

# Software Systems Verification and Validation

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# Software Systems Verification and Validation

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"Tell me and I forget, teach me and I may remember, involve me and I learn."

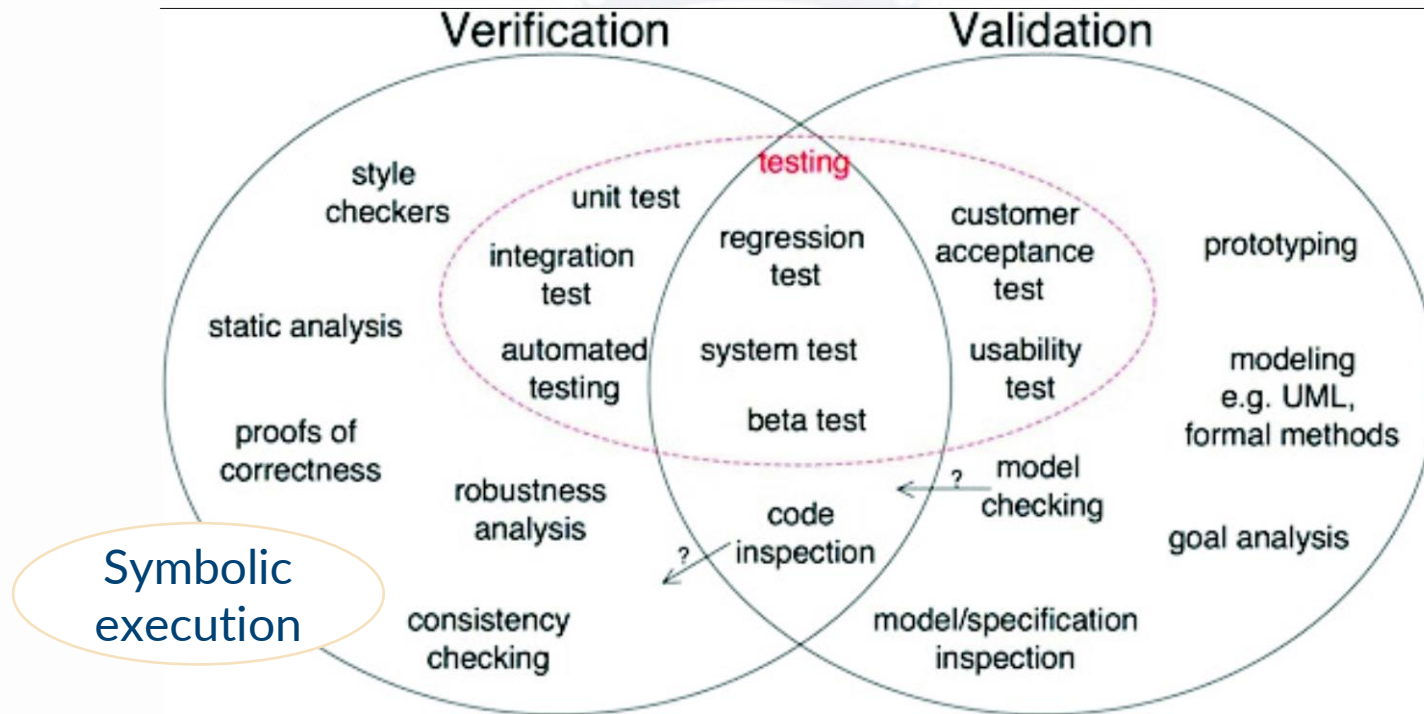
(Benjamin Franklin)

# (Next)/Today Lecture

- Correctness



# What we will learn!



- <http://www.easterbrook.ca/steve/2010/11/the-difference-between-verification-and-validation/>



# Outline

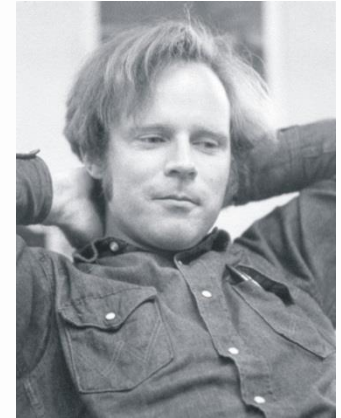
- Correctness
  - Floyd's Method -Inductive assertions, Partial correctness, Termination
  - Hoare Logic, Semantics of Hoare triples, Partial correctness, Total correctness
  - Dijkstra's Language, Guarded commands, Nondeterminacy, Formal Derivation of Programs
- Developing correct programs from specification, Refinement, Rules of Refinement, Examples
- Static analysis, JML- Java Modeling Language, ESC/Java2- Extended Static Checker for Java
- Questions

# Program verification methods - Correctness

- Lecture 1 - Verification and Validation
  - Verification/Validation
    - reviews products to ensure their quality → correctness
    - static and dynamic analysis techniques
  - A **correct program** is one that does exactly what it is intended to do, no more and no less.
  - A formally correct program is one whose correctness can be proved mathematically.
    - This requires a language for specifying precisely what the program is intended to do.
    - Specification languages are based in mathematical logic.
  - Until recently, correctness has been an academic exercise. – Now it is a key element of critical software systems.
- **Program verification - correctness**
  1. proof-based, computer-assisted, program-verification approach, mainly used for programs which we expect to terminate and produce a result
  2. model-based, automatic, property-verification approach, mainly used for concurrent, reactive systems (originally used in a post-development stage) - model checking (Lecture 9)
  3. Developing correct algorithms from specification (Carroll Morgan, "Programming from Specification")
    - Correctness-by-Construction.
      - Originally intended as a mere means of programming algorithms that are correct by construction - -Dijkstra (1968), Hoare (1971),
      - the approach found its way into commercial development processes of complex systems - Hall (2002), Hall and Chapman (2002)
      - 2012, The Correctness-by-Construction Approach to Programming, Authors: **Kourie**, Derrick G., **Watson**, Bruce W.
      - 2015, Experience with correctness-by-construction, B.W. Watson a, D.G. Kourie b, L. Cleophas b,\*
      - 2016, Correctness-by-Construction and Post-hoc Verification: Friends or Foes?, Maurice H. ter Beek<sup>1(B)</sup>, Reiner Hahnle<sup>2</sup>, and Ina Schaefer<sup>3</sup>
      - 2023, Automated Software Engineering Conference, The 5<sup>th</sup> International Workshop on Automated and verifiable Software sYstem DEvelopment (ASYDE)
    - Topic: Correct-by-construction software development
    - (<https://conf.researchr.org/track/ase-2023/ase-2023--workshop--asyde#the-5th-international-workshop-on-automated-and-verifiable-software-system-development-aside>)
- **Correctness Tools**
  - Theorem provers (PVS), Modeling languages (UML and OCL), Specification languages (JML), Programming language support (Eiffel, Java, Spark/Ada), Specification Methodology (Design by contract)
- **Methods for proving program correctness**
  - Floyd's Method - Inductive assertions
  - Hoare - Semantics of Hoare triples
  - Dijkstra's Language- Guarded commands, Nondeterminacy and Formal Derivation of Programs

# Floyd's Method - Inductive assertions [Flo67]

- **Aplicability**
  - Partial correctness of the program
  - Termination of the program
  - Total correctness = Partial correctness + Termination of the program
- **Uses**
  - The condition satisfied by the initial values of the program.
  - The condition to be satisfied by the output of the program.
  - Source code of the program.
- **Method:**
  - Cut the loops
  - Find an appropriate set of inductive assertions.
  - Construct the verification/termination conditions.
- **Theorem:** If all verification conditions are true, then the program is partially correct, i.e., whenever it terminates the result is correct.
- **Remark.** The method is useful when it is combined with termination.

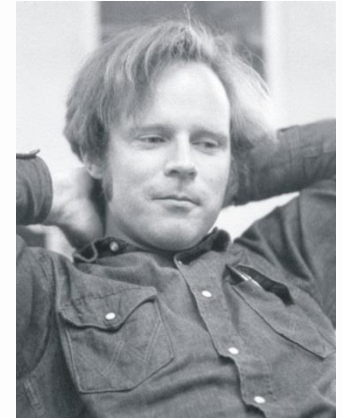


Robert W Floyd  
(June 8, 1936 -  
September 25, 2001)

# Floyd's Method - Inductive assertions [Flo67]

## Partial correctness - steps

- Cutting points are chosen inside the algorithm
  - 1 1 point at the beginning of the algorithm, 1 point at the end;
  - 2 At least 1 point for each *loop* statement
- For each cutting point an assertion (invariant predicate) is chosen.
  - 1 Entry point -  $\varphi(X)$ ;
  - 2 Ending point -  $\psi(X, Z)$ .
- Construction of the verification conditions
  - 1 Path from  $i$  to  $j$  -  $\alpha$ ;
  - 2  $P_i$  and  $P_j$  are assertions in  $i$  and  $j$ ;
  - 3  $R_\alpha(X, Y)$  - predicate that gives the condition for path  $\alpha$ ;
  - 4  $r_\alpha(X, Y)$  - function that gives the transformations of the variables  $Y$  from path  $\alpha$ ;
  - 5  $\forall X \forall Y (P_i(X, Y) \wedge R_\alpha(X, Y) \rightarrow P_j(X, r_\alpha(X, Y)))$ .
- Theorem: If all the verification conditions are true then  $P$  is partial correct.



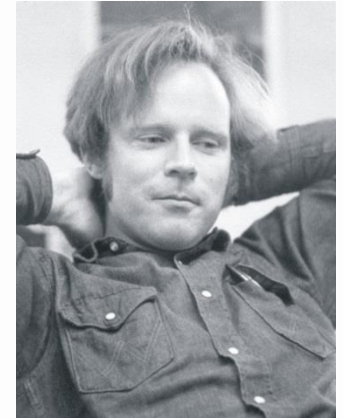
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# Floyd's Method - Inductive assertions [Flo67]

## Partial correctness - example

- Algorithm for  $z = x^y$   
   $z := 1; u := x; v := y;$   
  While ( $v > 0$ ) execute  
    If ( $v$  is even)  
      then  $u := u * u; v := v/2;$   
      else  $v := v - 1; z := z * u;$   
      endif  
    endWhile  
  endAlg;  
  A:  $\varphi(X) ::= (v > 0 \wedge (y \geq 0))$   
  B:  $\eta(X, Y) ::= z * u^v = x^y$   
  C:  $\psi(X, Z) ::= z = x^y$

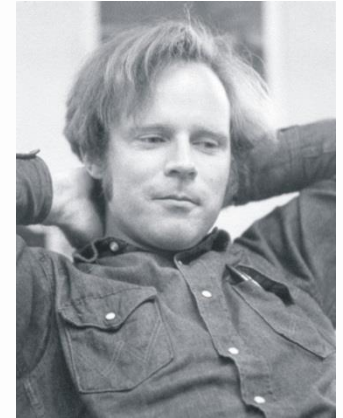


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# Floyd's Method - Inductive assertions [Flo67]

## Termination- steps

- Cut the loops and find “good” inductive assertions.
- Choose a well-formed set  $M$  (i.e., an ordered set without infinite strictly decreasing sequences)
- To demonstrate that some termination conditions hold: passing from one cutting point to another the values of some functions in the well-ordered set decrease.
- In point  $i$  a function is chosen  $u_i : D_X \times D_Y \rightarrow M$  and the termination condition on  $\alpha$  is:  
$$\forall X \forall Y (\varphi(X) \wedge R_\alpha(X, Y) \rightarrow (u_i(X, Y) > u_j(X, r_\alpha(X, Y))))).$$
- **Remark.** If partial correctness was demonstrated then the termination condition can be:  
$$\forall X \forall Y (P_i(X) \wedge R_\alpha(X, Y) \rightarrow (u_i(X, Y) > u_j(X, r_\alpha(X, Y))))).$$
- Theorem: If all the termination conditions hold then the program  $P$  terminates.



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# Floyd's Method - Inductive assertions [Flo67]

## Termination- example

- Algorithm for  $z = x^y$

$z := 1; u := x; v := y;$

While ( $v > 0$ ) execute

If ( $v$  is even)

then  $u := u * u; v := v/2;$

else  $v := v - 1; z := z * u;$

endIf

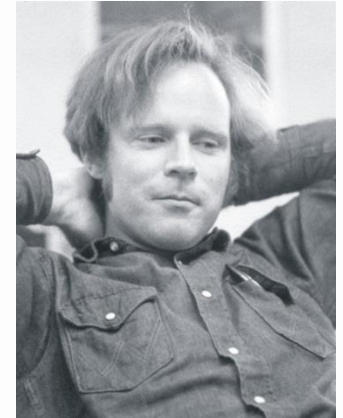
endWhile

endAlg;

A:  $\varphi(X) ::= (v > 0 \wedge (y \geq 0))$

B:  $\eta(X, Y) ::= z * u^v = x^y$

C:  $\psi(X, Z) ::= z = x^y$



Robert W Floyd  
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# Outline

- Correctness
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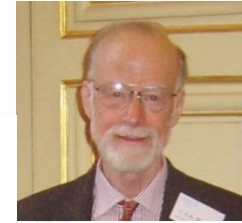
# Hoare triples [Hoa69]

- The meaning of a statement is described by a triple
  - $\{\varphi\} P \{\psi\}$ , where  $\varphi$  is called the precondition and  $\psi$  is called the postcondition.

$\{P\} S \{Q\}$

“when started in a state satisfying  $P$ , any terminating execution of  $S$  ends in a state satisfying  $Q$ ”

- If  $P$  does not terminate, we make no guarantees.
- Partial correctness
  - $\models_{par} \{\varphi\} P \{\psi\}$
  - only if  $P$  actually terminates.
- Total correctness
  - $\models_{tot} \{\varphi\} P \{\psi\}$
  - the program  $P$  is guaranteed to terminate.



- The Grand Verification Challenge Hoare 2003
- Develop a compiler which verifies that the program is correct
- <https://vimeo.com/39256698>

Charles Antony Richard Hoare  
(11 January 1934, Colombo, Sri Lanka)



An Advanced Study Institute of the  
NATO Security Through Science Committee  
and  
the Institut für Informatik,  
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on

Software System Reliability and Security

August 1 to August 13 2006

M. Broy (director)  
O. Kupferman (director)  
C.A.R. Hoare (co-director)  
A. Phuehl (co-director)

Katharina Spies (secretary)

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# Hoare triples [Hoa69]

## Partial correctness

### Rules

- Assignment
- Sequencing
- Conditional
- Loop



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# Hoare triples [Hoa69]

## Partial correctness

### Assignment

General Form: for any expression  $E$

- $\{P\} X := E \{Q\}$  *provided*  $[P \Rightarrow \langle X \leftarrow E \rangle (Q)]$

- Consider the triple  $\{P\} X := Y + 2 \{Q\}$ 
  - Given predicate  $Q$ , for what predicate  $P$  does this hold?
  - for any  $P$  such that  $[P \Rightarrow \langle X \leftarrow Y + 2 \rangle (Q)]$
- Examples
  - $\{P_0\} X := Y + 2 \{X \leq Y + 2\}$   
 $P_0 \equiv \text{true}$
  - $\{P_1\} X := Y + 2 \{X < 0\}$   
 $P_1 \equiv (Y + 2 < 0)$
  - $\{P_2\} X := Y + 2 \{Y < 0\}$   
 $P_2 \equiv (Y < 0)$
  - $\{P_3\} X := X + 2 \{X \text{ is even}\}$   
 $P_3 \equiv (X \text{ is even})$



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# Hoare triples [Hoa69]

## Partial correctness

### Sequencing

- We can conclude  $\{P\} S; T \{Q\}$   
if we can find a predicate  $R$  such that  $\{P\} S \{R\}$  and  $\{R\} T \{Q\}$

### Examples

- $\{P_0\} X := 2 * X; X := X + 1 \{X > 0\}$   
 $P_0 \equiv (2 * X + 1 > 0)$
- $\{P_1\} X := Y; Y := 3 \{X + Y < 5\}$   
 $P_1 \equiv (Y + 3 < 5)$



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# Hoare triples [Hoa69]

## Partial correctness

### Conditional

- We can conclude  
 $\{P\} \text{ IF } (C) \text{ THEN } S \text{ ELSE } T \text{ END} \{Q\}$   
provided we can show  
 $\{P \wedge C\} S \{Q\}$  and  $\{P \wedge \neg C\} T \{Q\}$

- Examples
  - $\{?\} \{((x > y) \Rightarrow Q_0) \wedge ((x \leq y) \Rightarrow Q_1)\}$   
 $\text{IF } (x > y) \text{ THEN } Q_0 : \{(m|x - y) \wedge (m|y)\}$   
 $x := x - y$   
 $\text{ELSE } Q_1 : \{(m|x) \wedge (m|y - x)\}$   
 $y := y - x$   
 $\text{END}$   
 $Q : \{(m|x) \wedge (m|y)\}$
  - So our final proof obligations are  
 $[(x > y) \Rightarrow (m|x - y) \wedge (m|y)]$  and  
 $[(x \leq y) \Rightarrow (m|x) \wedge (m|y - x)]$



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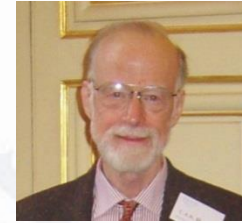
## Partial correctness

### Loop

- How can we conclude  
 $\{P\} \text{ WHILE } (G) \text{ DO } S \text{ END } \{Q\}$   
At the end of the loop (assuming it terminates), we know  $\neg G$   
But in general we don't know how often  $S$  is executed...
- Suppose we have a predicate  $J$  that is preserved by  $S$   
 $\{J\}S\{J\}$  such a  $J$  is called a **loop invariant**  
Then, at the end of the loop, we can conclude  
 $J \wedge \neg G$   
To establish the postcondition, we need  $J$  such that  
 $[J \wedge \neg G \Rightarrow Q]$
- We can conclude  
 $\{P\} \text{ WHILE } (G) \text{ DO } S \text{ END } \{Q\}$   
provided we can find a loop invariant  $J$  such that

$$\begin{aligned} &[P \Rightarrow J] \\ &[J \wedge \neg G \Rightarrow Q] \\ &\{G \wedge J\}S\{J\} \end{aligned}$$

$J$  holds at loop entry  
 $J$  establishes  $Q$  at loop exit  
 $J$  is preserved by each iteration



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- Exponentiation using multiplication
  - $\{(A > 0) \wedge (B \geq 0)\} S \{R = A^B\}$

$$\begin{aligned} &\{(A > 0) \wedge (B \geq 0)\} \\ &R := ?; b := 0 \text{ } R := 1 \\ &\text{WHILE } (b \neq B) \text{ DO } J : R = A^b \\ &R := ?; R := R * A; \\ &b := b + 1 \\ &\text{END} \\ &\{R = A^B\} \end{aligned}$$

# Hoare triples [Hoa69]

- The meaning of a statement is described by a triple
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$\{P\} S \{Q\}$

“when started in a state satisfying  $P$ , any terminating execution of  $S$  ends in a state satisfying  $Q$ ”

- If  $P$  does not terminate, we make no guarantees.

- Partial correctness
  - $\models_{par} \{\varphi\} P \{\psi\}$
  - only if  $P$  actually terminates.
- Total correctness
  - $\models_{tot} \{\varphi\} P \{\psi\}$
  - the program  $P$  is guaranteed to terminate.

- The “total correctness” interpretation also requires termination

“when started in a state satisfying  $P$ , any execution of  $S$  must terminate in a state satisfying  $Q$ ”



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# Hoare triples [Hoa69]

## Termination

### Rules

- Assignment
- Sequencing
- Conditional
- Loop

- Assignment  
 $\{P\} X := E \{Q\}$  provided  $[P \Rightarrow \langle X \leftarrow E \rangle (Q)]$
- Sequencing  
 $\{P\} S; T \{Q\}$  provided  
 $\{P\} S \{R\}$  and  $\{R\} T \{Q\}$  for some  $R$
- Conditional  
 $\{P\} \text{ IF } (G) \text{ THEN } S \text{ ELSE } T \text{ END } \{Q\}$  provided  
 $\{P \wedge G\} S \{Q\}$  and  $\{P \wedge \neg G\} T \{Q\}$
- Note: Same as the rules for partial correctness!



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- Total correctness rule for loops
- Consider  
 $\{P\} \text{ WHILE } (G) \text{ DO } S \text{ END } \{Q\}$
- How do we show that the loop terminates?
- One method  
find an integer expression  $V$  such that  
the value of  $V$  is nonnegative (that is  $V \geq 0$ ), and  
the value of  $V$  (strictly) decreases in every iteration that is,  
 $\{V = K\} S \{V < K\}$
- Such an expression is called a "loop variant"



# Hoare triples [Hoa69]



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## Exponentiation using multiplication

- $\{(A > 0) \wedge (B \geq 0)\} S \{R = A^B\}$
- Recall loop invariant  $J : R = A^b \wedge (B \geq b);$   
 $\{(A > 0) \wedge (B \geq 0)\}$   
 $R := 1; b := 0$   
WHILE  $(b \neq B)$  DO  $J : R = A^b \wedge (B \geq b);$   
 $R := R * A;$   
 $b := b + 1$   
END  
 $\{R = A^B\}$

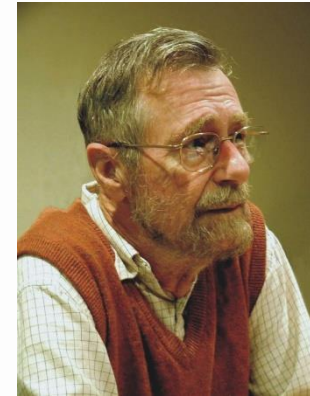
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# Edsger Wybe Dijkstra [Dij75]

## Guarded command

- “guarded command” - a statement list prefixed by a boolean expression: only when this boolean expression is initially true, is the statement list eligible for execution
- $\langle \textit{guarded command} \rangle ::= \langle \textit{guard} \rangle \rightarrow \langle \textit{guarded list} \rangle$
- $\langle \textit{guard} \rangle ::= \langle \textit{boolean expression} \rangle$
- $\langle \textit{guarded list} \rangle ::= \langle \textit{statement} \rangle \{ ; \langle \textit{statement} \rangle \}$
- $\langle \textit{guarded command set} \rangle ::=$   
     $\langle \textit{guarded command} \rangle \{ \square \langle \textit{guarded command} \rangle \}$
- $\langle \textit{alternative construct} \rangle ::= \textbf{if} \langle \textit{guarded command set} \rangle \textbf{fi}$
- $\langle \textit{repetitive construct} \rangle ::= \textbf{do} \langle \textit{guarded command set} \rangle \textbf{do}$
- $\langle \textit{statement} \rangle ::= \langle \textit{alternative construct} \rangle \mid$   
     $\langle \textit{repetitive construct} \rangle \mid \text{“other statements”}$

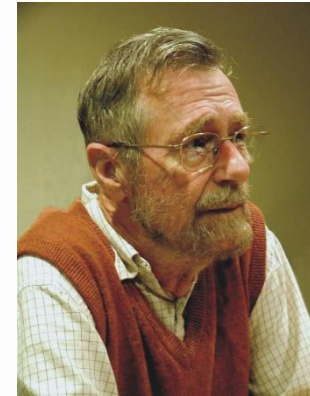


Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)

# Edsger Wybe Dijkstra [Dij75]

## Nondeterminacy

- Example 1  
**if**  $x \geq y \rightarrow m := x$   
**□**  $y \geq x \rightarrow m := y$   
**fi**
- Example 2 - compute  $k$  s.t. for fixed value  $n$  and fixed function  $f(i)$  (defined for  $0 \leq i < n$ ),  $k$  will eventually satisfy  $0 \leq k < n$  and  $(\forall i : 0 \leq i < n : f(k) \geq f(i))$ .  
  
 $k := 0; j := 1;$   
**do**  $j \neq n \rightarrow$  **if**  $f(j) \leq f(k) \rightarrow j := j + 1$   
           $\square f(j) \geq f(k) \rightarrow k := j; j := j + 1$   
          **fi**  
**od**



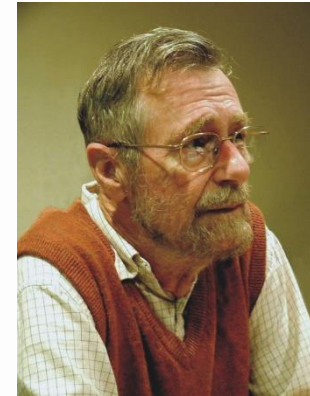
Edsger Wybe Dijkstra  
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# Edsger Wybe Dijkstra [Dij75]

## Weakest pre-conditions

- Hoare - introduced sufficient pre-conditions such that the mechanism will not produce the wrong result but may fail to terminate.
- Dijkstra - introduced necessary and sufficient pre-conditions such that the mechanism are guaranteed to produce the right result.
  - = weakest pre-conditions
- $wp(S, R)$ , where  $S$  denotes a statement list,  $R$  some condition on the state of the system.
- $wp$  - called a “predicate transformer” - because it associates a pre-condition to any post-condition  $R$ .

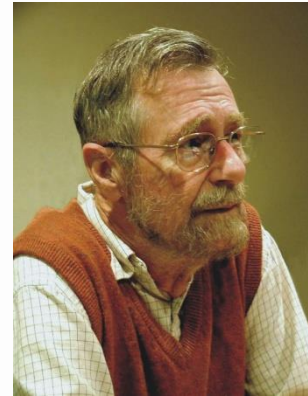


Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)

# Edsger Wybe Dijkstra [Dij75]

## Properties of wp

- ❶ Law of the Excluded Miracle  
For any  $S$ , for all states:  $wp(S, F) = F$
- ❷ For any  $S$  and any two post-conditions, such that for all states  $P \Rightarrow Q$ , for all states:  
$$wp(S, P) \Rightarrow wp(S, Q)$$
- ❸ For any  $S$  and any two post-conditions  $P$  and  $Q$ , for all states:  
$$wp(S, P) \textbf{ and } wp(S, Q) = wp(S, P \textbf{ and } Q)$$
- ❹ For any deterministic  $S$  and any post-conditions  $P$  and  $Q$ , for all states:  
$$(wp(S, P) \textbf{ or } wp(S, Q)) \Rightarrow wp(S, P \textbf{ or } Q)$$



Edsger Wybe Dijkstra  
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# Edsger Wybe Dijkstra [Dij75]

## Assignment and concatenation operator

- Assignment

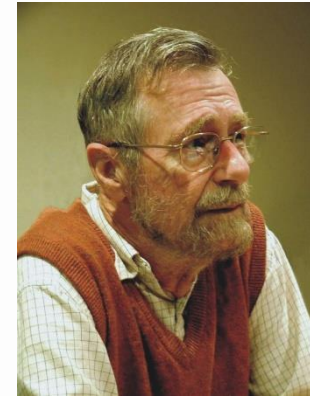
The semantics of  $x := E$  are given by:

$wp("x := E", R) = R_E^x$ ,  $R_E^x$  -denotes a copy of the predicate defining  $R$  in which each occurrence of the variable  $x$  is replaced by  $E$ .

- Concatenation operator ;

The semantics of the ; operator are given by:

$wp("S1 ; S2", R) = wp(S1, wp(S2, R))$ .

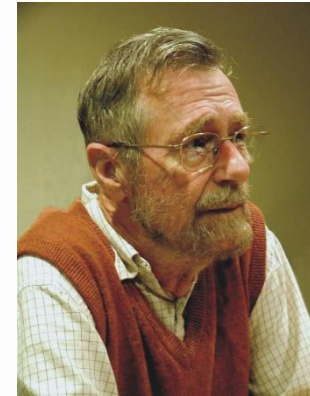


Edsger Wybe Dijkstra  
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# Edsger Wybe Dijkstra [Dij75]

## The Alternative Construct

- Let  $IF$  denote: **if**  $B_1 \rightarrow SL_1 \square \dots \square B_n \rightarrow SL_n$  **fi**  
Let  $BB$  denote:  $(\exists i : 1 \leq i \leq n : B_i)$ , then, by definition  
 $wp(IF, R) = (BB \text{ and } (\forall i : 1 \leq i \leq n : B_i \Rightarrow wp(SL_i, R)))$ .
- Theorem 1  
From  $(\forall i : 1 \leq i \leq n : (Q \text{ and } B_i) \Rightarrow wp(SL_i, R))$  for all states we can conclude that  $(Q \text{ and } BB) \Rightarrow wp(IF, R)$  holds for all states.
- Let  $t$  denote some integer function, and  $wdec(S, t)$
- Theorem 2  
From  $(\forall i : 1 \leq i \leq n : (Q \text{ and } B_i) \Rightarrow wdec(SL_i, t))$  for all states we can conclude that  $(Q \text{ and } BB) \Rightarrow wdec(IF, t)$  hold for all states.
- By definition,  
 $wdec(S, t) = (tmin(X) \leq t(X) - 1) = (tmin(X) < t(X))$ .

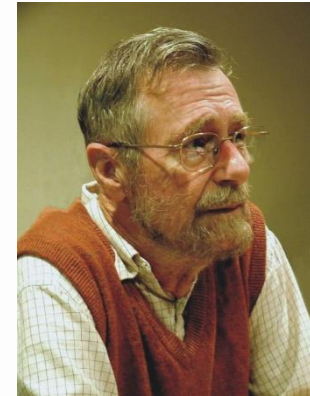


Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)

# Edsger Wybe Dijkstra [Dij75]

## The Alternative Construct - example

- The formal requirements for performing  $m := \max(x, y)$  is:  
 $R : (m = x \text{ or } m = y) \text{ and } m \geq x \text{ and } m \geq y.$
- Assignment  $m := x$  for  $m = x$ ?  
 $wp("m := x", R) = (x = x \text{ or } x = y) \text{ and } x \geq x \text{ and } x \geq y = x \geq y$
- Theorem 1: **if**  $x \geq y \rightarrow m := x$  **fi**
- But  $B \neq T$ , so we weakening BB means looking for alternatives which might introduce new guards.
- Alternative: " $m := y$ " that introduces the new guard  
 $wp("m" := y, R) = y \geq x$   
**if**  $x \geq y \rightarrow m := x$   
 $\square y \geq x \rightarrow m := y$   
**fi**



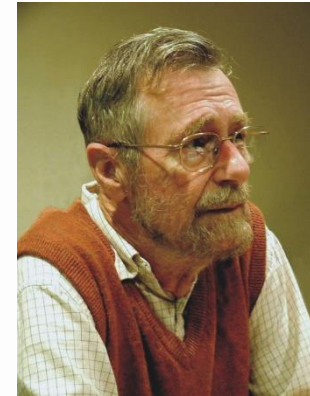
Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)



# Edsger Wybe Dijkstra [Dij75]

## The Repetitive Construct

- Let  $DO$  denote: **do**  $B_1 \rightarrow SL_1 \square \dots \square B_n \rightarrow SL_n$  **do**  
Let  $H_0 = (R \text{ and non } BB)$   
and for  $k > 0$ ,  $H_k(R) = (wp(IF, H_{k-1}(R))) \text{ or } H_0(R)$   
then, by definition:  $wp(DO, R) = (\exists k : k \geq 0 : H_k(R))$ .
- Theorem 3  
If we have all the states  
( $P \text{ and } BB$ )  $\Rightarrow$  ( $wp(IF, P) \text{ and } wdec(IF, t) \text{ and } t \geq 0$ ) we can  
conclude that we have for all states  $P \Rightarrow wp(DO, P \text{ and non } BB)$
- $T$  is the condition satisfied by all states, and  $wp(S, T)$  is the  
weakest pre-condition guaranteeing proper termination of  $S$ .
- Theorem 4  
From ( $P \text{ and } BB$ )  $\Rightarrow wp(IF, P)$  for all states, we can conclude that  
we have for all states  
( $P \text{ and } wp(DO, T) \Rightarrow wp(DO, P \text{ and non } BB)$ )

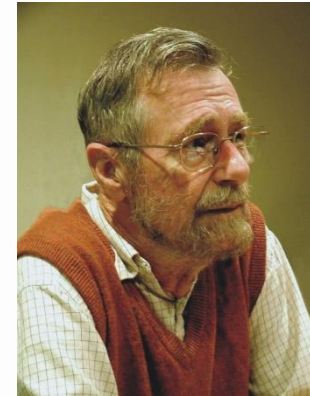


Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)

# Edsger Wybe Dijkstra [Dij75]

## The Repetitive Construct - example

- The greatest common divisor:  $x = \text{gcd}(X, Y)$
- Choose an invariant relation and variant function.  
establish the relation  $P$  to be kept invariant  
**do** "decrease  $t$  as long as possible under variance of  $P$ " **od**
- invariant relation (established by  $x := X; y := Y$ ):  
 $P : \text{gcd}(X, Y) = \text{gcd}(x, y)$  **and**  $x > 0$  **and**  $y > 0$
- $(P \text{ and } B) \Rightarrow \text{wp}("x, y : E1, E2", P))$   
 $= (\text{gcd}(X, Y) = \text{gcd}(E1, E2) \text{ and } E1 > 0 \text{ and } E2 > 0).$ 
  - $\text{gcd}(X, Y) = \text{gcd}(E1, E2)$  is implied by  $P$
  - invariant for  $(x, y) : \text{wp}("x := x - y, P) = (\text{gcd}(X, Y) = \text{gcd}(x - y, y)$  **and**  $x - y > 0$  **and**  $y > 0)$ , and guard  $x > y$
  - decrease of the variant function  $t = x + y$   
 $\text{wp}("x := x - y", t \leq t_0) = (x \leq t_0)$   
 $t_{\min} = x, \text{wdec}("x := x - y", t) = (x < x + y) = y > 0$



Edsger Wybe Dijkstra  
(May 11, 1930 - August 6, 2002)

- $x := X; y := Y$   
**do**  $x > y \rightarrow x := x - y$  **od**
- But  $P$  **and**  $BB$  - are not allowed to conclude  $x = \text{gcd}(X, Y)$   
the alternative  $y := y - x$  requires a guard  $y > x$
- $x := X; y := Y$   
**do**  $x > y \rightarrow x := x - y$   
 $\square y > x \rightarrow y := y - x$   
**od**

# Outline

- Correctness
- Floyd's Method -Inductive assertions, Partial correctness, Termination
- Hoare Logic, Semantics of Hoare triples, Partial correctness, Total correctness
- Dijkstra's Language, Guarded commands, Nondeterminacy, Formal Derivation of Programs
- Developing correct programs from specification, Refinement, Rules of Refinement, Examples

## Correctness-by-Construction.

Originally intended as a mere means of programming algorithms that are correct by construction - -Dijkstra (1968), Hoare (1971), the approach found its way into commercial development processes of complex systems - Hall (2002), Hall and Chapman (2002)

2012, The Correctness-by-Construction Approach to Programming, Authors: **Kourie**, Derrick G., **Watson**, Bruce W.

2015, Experience with correctness-by-construction, B.W. Watson a, D.G. Kourie b, L. Cleophas b,

2016, Correctness-by-Construction and Post-hoc Verification: Friends or Foes?, M. Beek , R. Hahnle,I. Schaefer

2016, Correctness-by-Construction and Post-hoc Verification: A Marriage of Convenience? B. Watson, D. Kourie, I. Schaefer, L. Cleophas

2023, Automated Software Engineering Conference, The 5<sup>th</sup> International Workshop on Automated and verifiable Software sYstem DEvelopment (ASYDE)

Topic: Correct-by-construction software development

(<https://conf.researchr.org/track/ase-2023/ase-2023--workshop--asyde#the-5th-international-workshop-on-automated-and-verifiable-software-system-development-aside>)

Static analysis, JML- Java Modeling Language, ESC/Java2- Extended Static Checker for Java

- Questions

# Developing correct programs from specification[Mor98]

## Refinement

- Input data:  $X$                        $\varphi(X)$   
Output data:  $Z$                        $\psi(X, Z)$
- Abstract program  
 $Z : [\varphi, \psi]$
- Refinement  
 $P_1 \prec P_2 \prec \dots \prec P_{n-1} \prec P_n$
- Rules of refinement
  - Assignment rule
  - Sequential composition rule
  - Alternation rule
  - Iteration rule

Carroll  
Morgan

[https://my.cse.unsw.edu.au/staff/staff\\_details.php?ID=carrollm](https://my.cse.unsw.edu.au/staff/staff_details.php?ID=carrollm)

# Developing correct programs from specification[Mor98]

## Rules of Refinement

- Assignment rule:  $[\varphi(v/e), \psi] \prec v := e$
- Sequential composition rule ( $\gamma$  – *middlepredicate*)  
$$\begin{array}{l} [\eta_1, \eta_2] \prec [\eta_1, \gamma] \\ \quad [\gamma, \eta_2] \end{array}$$
- Alternation rule,  $G = g_1 \vee g_2 \vee \dots \vee g_n$   
$$\begin{array}{l} [\eta_1, \eta_2] \prec \\ \textbf{if } g_1 \rightarrow [\eta_1 \wedge g_1, \eta_2] \\ \quad \square g_2 \rightarrow [\eta_1 \wedge g_2, \eta_2] \\ \quad \vdots \\ \quad \square g_n \rightarrow [\eta_1 \wedge g_n, \eta_2] \\ \textbf{fi} \end{array}$$
- Iteration rule  $G = g_1 \vee g_2 \vee \dots \vee g_n$   
$$\begin{array}{l} [\eta, \eta \wedge \neg G] \prec \\ \textbf{do } g_1 \rightarrow [\eta \wedge g_1, \eta \wedge TC] \\ \quad \square g_2 \rightarrow [\eta \wedge g_2, \eta \wedge TC] \\ \quad \vdots \\ \quad \square g_n \rightarrow [\eta \wedge g_n, \eta \wedge TC] \\ \textbf{do} \end{array}$$



# Program verification methods - Correctness

- Lecture 1 - Verification and Validation
  - Verification/Validation
    - reviews products to ensure their quality → correctness
    - static and dynamic analysis techniques
  - A **correct program** is one that does exactly what it is intended to do, no more and no less.
  - A **formally correct program** is one whose correctness can be proved mathematically.
    - This requires a language for specifying precisely what the program is intended to do.
    - Specification languages are based in mathematical logic.
  - Until recently, correctness has been an academic exercise. – Now it is a key element of critical software systems.
- **Program verification - correctness**
  1. proof-based, computer-assisted, program-verification approach, mainly used for programs which we expect to terminate and produce a result
  2. model-based, automatic, property-verification approach, mainly used for concurrent, reactive systems (originally used in a post-development stage) - model checking (Lecture 9)
  3. Developing correct algorithms from specification (Carroll Morgan, "Programming from Specification")
    - Correctness-by-Construction.  
Originally intended as a mere means of programming algorithms that are correct by construction - Dijkstra (1968), Hoare (1971),  
the approach found its way into commercial development processes of complex systems - Hall (2002), Hall and Chapman (2002)  
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2016, Correctness-by-Construction and Post-hoc Verification: Friends or Foes?, Maurice H. ter Beek1(B) , Reiner Hahnle2, and Ina Schaefer3
- **Correctness Tools**
  - Theorem provers (PVS), Modeling languages (UML and OCL), Specification languages (JML), Programming language support (Eiffel, Java, Spark/Ada), Specification Methodology (Design by contract)
- **Methods for proving program correctness**
  - Floyd's Method - Inductive assertions
  - Hoare - Semantics of Hoare triples
  - Dijkstra's Language- Guarded commands, Nondeterminacy and Formal Derivation of Programs

# Program verification methods - Correctness

- **Software engineering problem:** building/maintaining **correct** systems.

- How?
  - Specification
  - Tools

- Formal Methods in Software Engineering

- Formal languages guarantee
  - Precision (no ambiguity)
  - Certainty (modeling errors)
  - Automation (automatic verification tools).

- Things to do:

- 1) make a **formal model**
- 2) **specify properties** for the model
- 3) **verify/check** the properties

- Formal methods and JML (Java Modeling Language):

- 1) formal model is **Java programming language**
- 2) the properties are specified in **JML**
- 3) Properties may be
  - **Tested** using **jmlrac**
  - **Checked** using **ESC2Java**

# What is JML?

- Gary T. Leavens's JML group at the University of Central Florida
- <http://www.eecs.ucf.edu/~leavens/JML//index.shtml>
- a behavioral interface specification language
- used to specify the behavior of Java modules
- combines
  - design by contract approach
  - the model-based specification approach
  - some elements of the refinement calculus

## Tools for using JML

- Runtime assertion checkers (e.g. **jmlc/jmlrac**)
- Static checkers (**ESC2Java**)
- Test generation (e.g. **jmlunit**)
- Formal verification tools (e.g. **KeY**)
- Design tools (e.g. **AutoJML**)

# Tools for JML

## Runtime assertion checking with jmlc/jmlrac

- Special compiler inserts runtime tests for all JML assertions. Any assertion violation results in a special exception.
- checks specs at run-time
- only **tests** correctness of **specs**.
- Find violations at runtime.

### JML web page

- <http://www.eecs.ucf.edu/~leavens/JML//index.shtml>

## Extended static checking with ESC/Java

- Automatically tries to prove simple JML assertions at compile time.
- checks specs at compile-time
- **proves** correctness of **specs**.
- Warn about likely runtime exceptions and violations.

### ESC/Java2 web page

<http://www.kindsoftware.com/products/opensource/ESCJava2/download.html>

# Design by contract

## Contract?

## Method contract

### Precondition

Specifies “caller’s responsibility”

- Constraints on parameter values and target object’s state.
- Valid object’s states, in which a method can be called.

*Intuitively*

- Expression that must hold at the entry to the method.

### Postcondition

Specifies “implementation’s responsibility”

- Constraints on the method’s return value and side effects.
- Relation between initial and final state of the method.

*Intuitively*

- Expression that must hold at the exit from the method.

## Class contract

### Invariant

- Specifies caller’s responsibility at the entry to a method and implementation’s responsibility at the exit from a method.
- Valid states of class instances (values of fields).

### Intuitively

- Expression that must hold at the entry and exit of each method in the class.



# Tools for JML

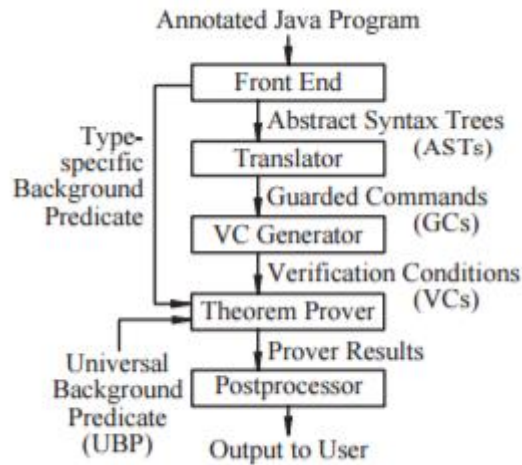
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- checks specs at run-time
- only **tests** correctness of **specs**.
- **Find violations at runtime.**

### *jmlc* and *jmlrac* – by example

- Compile and Run
- Compile
- `jmlc FileName.java`
- Run
- `jmlrac FileName listOfParam`

- Demo 01: Factorial
- Demo02: Integer sqrt



- Unsound ?
- Incomplete ?

## Tools for JML

### Extended static checking with ESC/Java

- Automatically tries to prove simple JML assertions at compile time.
- checks specs at compile-time
- **proves** correctness of specs
- **Warn** about likely runtime exceptions and violations.

### ESC/Java2 – by example

- Run
- `escj FileName.java`
- Demo 01: Fast exponentiation
- Demo 02: MyArray
- Demo 03: MySet

# Next Lecture

- **Snyk Invited Lecture**

***Test-Driven Development (TDD)  
and its Role in Web and Internet Security***

**Presenter:**

**Tuesday**

**Matt Gibbs,**

VP of Engineering at Snyk,

Former VP of Engineering at GitHub,

Microsoft Veteran

**23 May 2023, 8-10 am**

**Room: 2/I  
(Main Building)**

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- Questions

# References

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# Software Systems Verification and Validation

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"Tell me and I forget, teach me and I may remember, involve me and I learn."

(Benjamin Franklin)