

# Introduction to Galois Theory

July 6, 2016



# Contents

0.1	Groups . . . . .	5
0.1.1	Permutations . . . . .	6
0.2	Rings, Ideals and Fields . . . . .	7
0.3	Modules . . . . .	10
0.4	Linear algebra . . . . .	10
0.5	Functions . . . . .	11
0.6	Polynomial ring $K[X]$ . . . . .	12
0.7	Category theory . . . . .	13
<b>1</b>	<b>Generalities on algebraic extensions</b>	<b>15</b>
1.1	Field extensions: examples . . . . .	15
1.1.1	$K$ -algebra . . . . .	15
1.1.2	Field extension . . . . .	15
1.1.3	Field characteristic . . . . .	16
1.1.4	Field $K[X]/(P)$ . . . . .	17
1.2	Algebraic elements. Minimal polynomial . . . . .	17
1.2.1	$K[X]/(P)$ field . . . . .	17
1.2.2	Algebraic elements . . . . .	18
1.2.3	Minimal polynomial . . . . .	18
1.3	Algebraic elements. Algebraic extensions . . . . .	19
1.4	Finite extensions. Algebraicity and finiteness . . . . .	20
1.5	Algebraicity in towers. An example . . . . .	22
1.6	A digression: Gauss lemma, Eisenstein criterion . . . . .	23
<b>2</b>	<b>Stem field, splitting field, algebraic closure</b>	<b>27</b>
2.1	Stem field. Some irreducibility criteria . . . . .	27
2.1.1	Stem field . . . . .	27
2.1.2	Some irreducibility criteria . . . . .	28
2.2	Splitting field . . . . .	29
2.3	An example. Algebraic closure . . . . .	30
2.3.1	An example of automorphism . . . . .	30
2.3.2	Algebraic closure . . . . .	31

2.3.3	Ideals in a ring . . . . .	32
2.4	Extension of homomorphisms. Uniqueness of algebraic closure	33
<b>3</b>	<b>Finite fields. Separability, perfect fields</b>	<b>35</b>
3.1	An example (of extension)s. Finite fields . . . . .	35
3.1.1	Finite fields . . . . .	36
3.2	Properties of finite fields . . . . .	36
3.3	Multiplicative group and automorphism group of a finite field	38
3.4	Separable elements . . . . .	40
3.5	Separable degree, separable extensions . . . . .	42
3.6	Perfect fields . . . . .	44
<b>4</b>	<b>Tensor product. Structure of finite K-algebras</b>	<b>47</b>
4.1	Definition of tensor product . . . . .	47
4.1.1	Summary for previous lectures . . . . .	47
4.1.2	Tensor product . . . . .	48
4.2	Tensor product of modules . . . . .	50
4.2.1	Advantages of the universal property . . . . .	50
4.2.2	Several examples of universal property usage . . . . .	51
4.3	Base change . . . . .	52
4.4	Examples. Tensor product of algebras . . . . .	53
4.4.1	Tensor product of A algebras . . . . .	54
4.5	Relatively prime ideals. Chinese remainder theorem . . . . .	55
4.6	Structure of finite algebras over a field. Examples . . . . .	57

# Requirements

## 0.1 Groups

**Definition 0.1** (Monoid). *The set of elements  $M$  with defined binary operation  $\circ$  we will call as a monoid if the following conditions are satisfied.*

1. *Closure:  $\forall a, b \in M: a \circ b \in M$*
2. *Associativity:  $\forall a, b, c \in M: a \circ (b \circ c) = (a \circ b) \circ c$*
3. *Identity element:  $\exists e \in M$  such that  $\forall a \in M: e \circ a = a \circ e = a$*

**Definition 0.2** (Group). *Let we have a set of elements  $G$  with a defined binary operation  $\circ$  that satisfied the following properties.*

1. *Closure:  $\forall a, b \in G: a \circ b \in G$*
2. *Associativity:  $\forall a, b, c \in G: a \circ (b \circ c) = (a \circ b) \circ c$*
3. *Identity element:  $\exists e \in G$  such that  $\forall a \in G: e \circ a = a \circ e = a$*
4. *Inverse element:  $\forall a \in G \exists a^{-1} \in G$  such that  $a \circ a^{-1} = e$*

*In this case  $(G, \circ)$  is called as group.*

Therefore the group is a Monoid with inverse element property.

**Example 0.1.1** (Group  $\mathbb{Z}/2\mathbb{Z}$ ). *Consider a set of 2 elements:  $G = \{0, 1\}$  with the operation  $\circ$  defined by the table 1.*

*The identity element is 0 i.e.  $e = 0$ . Inverse element is the element itself because  $\forall a \in G: a \circ a = 0 = e$ .*

**Definition 0.3** (Cyclic group). *A cyclic group or monogenous group is a group that is generated by a single element. Note that Group  $\mathbb{Z}/2\mathbb{Z}$  is a cyclic group.*

Table 1: Cayley table for  $\mathbb{Z}/2\mathbb{Z}$ 

$\circ$	0	1
0	0	1
1	1	0

**Definition 0.4** (Order of element in group). *Order, sometimes period, of an element  $a$  of a group is the smallest positive integer  $m$  such that  $a^m = e$  (where  $e$  denotes the identity element of the group, and  $a^m$  denotes the product of  $m$  copies of  $a$ ). If no such  $m$  exists,  $a$  is said to have infinite order.*

**Definition 0.5** (Subgroup). *Let we have a Group  $(G, \circ)$ . The subset  $S \subset G$  is called as subgroup if  $(S, \circ)$  is a Group.*

**Definition 0.6** (Abelian group). *Let we have a Group  $(G, \circ)$ . The group is called an Abelian or commutative if  $\forall a, b \in G$  it holds  $a \circ b = b \circ a$ .*

**Definition 0.7** (Coset). *If  $G$  is a group, and  $H$  is a subgroup of  $G$ , and  $g$  is an element of  $G$ , then*

$$gH = \{gh | h \in H\}$$

*is the left coset of  $H$  in  $G$  with respect to  $g$ , and*

$$Hg = \{hg | h \in H\}$$

*is the right coset of  $H$  in  $G$  with respect to  $g$ .*

### 0.1.1 Permutations

**Example 0.1.2** ( $S_n$  group). *If we have a permutation of  $n$  elements then it's possible to do by means of  $n!$  ways.*

$S_1$  permutation of 1 element consists of only one element  $e$  - the simplest possible group

$S_2$  permutation consists of 2 elements:

1. identity  $e$ :

$$\begin{aligned} 1 &\rightarrow 1 \\ 2 &\rightarrow 2 \end{aligned}$$

2. transposition  $\tau$ :

$$\begin{aligned} 1 &\rightarrow 2 \\ 2 &\rightarrow 1 \end{aligned}$$

Table 2: Cayley table for  $S_2$ 

$\circ$	$e$	$\tau$
$e$	$e$	$\tau$
$\tau$	$\tau$	$e$

It's easy to see that the Cayley table has the form 2

$S_3$  permutation consists of 6 elements:  $e, \tau, \tau_1, \tau_2, \sigma, \sigma_1$ . The most important are  $e, \tau$  and  $\sigma$  and all others are represented via them.

1. identity  $e$ :

$$\begin{aligned} 1 &\rightarrow 1 \\ 2 &\rightarrow 2 \\ 3 &\rightarrow 3 \end{aligned}$$

2. transposition  $\tau$ :

$$\begin{aligned} 1 &\rightarrow 2 \\ 2 &\rightarrow 1 \\ 3 &\rightarrow 3 \end{aligned}$$

3. circle  $\sigma$ :

$$\begin{aligned} 1 &\rightarrow 2 \\ 2 &\rightarrow 3 \\ 3 &\rightarrow 1 \end{aligned}$$

## 0.2 Rings, Ideals and Fields

**Definition 0.8** (Ring). Consider a set  $R$  with 2 binary operations defined. The first one  $\oplus$  (addition) and elements of  $R$  forms an Abelian group under this operation. The second one is  $\odot$  (multiplication) and the elements of  $R$  forms a Monoid under the operation. The two binary operations are connected each other via the following distributive law

- Left distributivity:  $\forall a, b, c \in R: a \odot (b \oplus c) = a \odot b \oplus a \odot c$
- Right distributivity:  $\forall a, b, c \in R: (a \oplus b) \odot c = a \odot c \oplus b \odot c$

The identity element for  $(R, \oplus)$  is denoted as 0 (additive identity). The identity element for  $(R, \odot)$  is denoted as 1 (multiplicative identity).

The inverse element to  $a$  in  $(R, \oplus)$  is denoted as  $-a$

In this case  $(R, \oplus, \odot)$  is called as ring.

The Ring is a generalization of integer numbers conception.

**Example 0.2.1** (Ring of integers  $\mathbb{Z}$ ). *The set of integer numbers  $\mathbb{Z}$  forms a Ring under  $+$  and  $\cdot$  operations i.e. addition  $\oplus$  is  $+$  and multiplication  $\odot$  is  $\cdot$ . Thus for integer numbers we have the following Ring:  $(\mathbb{Z}, +, \cdot)$*

**Definition 0.9** (Ideal). *Lets we have the Ring  $(R, \oplus, \odot)$ . Subset  $I \subset R$  will be an ideal if it satisfied the following conditions*

1.  $(I, \oplus)$  is Subgroup of  $(R, \oplus)$
2.  $\forall i \in I$  and  $\forall r \in R$ :  $i \odot r \in I$  and  $r \odot i \in I$

**Example 0.2.2** (Ideal  $2\mathbb{Z}$ ). *Consider even numbers. They forms an Ideal in  $\mathbb{Z}$ . Because multiplication of any even number to any integer is an even. The ideal's symbolic name is  $2\mathbb{Z}$ .*

**Example 0.2.3** (Ring of integers modulo  $n$ :  $\mathbb{Z}/n\mathbb{Z}$ ). *Let  $n \in \mathbb{Z}$  and  $n > 1$ . Then  $n\mathbb{Z}$  is an Ideal.*

*Two integer  $a, b \in \mathbb{Z}$  are said to be congruent modulo  $n$ , written*

$$a \equiv b \pmod{n}$$

*if their difference  $a - b$  is an integer multiple of  $n$ .*

*Thus we have a separation of set  $\mathbb{Z}$  into subsets of numbers that are congruent. Each subset has the following form*

$$\{r\}_n = r + n\mathbb{Z} = \{r + nk \mid k \in \mathbb{Z}\}$$

*, thus*

$$\mathbb{Z} = \{0\}_n \cup \{1\}_n \cup \dots \cup \{n-1\}_n.$$

*Very often use the following notation*

$$\bar{r} = \{r\}_n.$$

*We can define the following operations*

$$\begin{aligned}\bar{k} \oplus \bar{l} &= \overline{k + l} \\ \bar{k} \odot \bar{l} &= \overline{k \cdot l}\end{aligned}$$

*The Ring where the objects are defined is called as  $\mathbb{Z}/n\mathbb{Z}$ .*

**Definition 0.10** (Principal ideal). *The ideal that is generated by one element  $a$  is called as principal ideal and is denoted as  $(a)$  i.e. left principal ideal:  $(a) = \{ra \mid \forall r \in R\}$  and right principal ideal:  $(a) = \{ar \mid \forall r \in R\}$*



**Definition 0.11** (Integral domain). *In mathematics, and specifically in abstract algebra, an integral domain is a nonzero commutative Ring in which the product of any two nonzero elements is nonzero.*

**Definition 0.12** (Principal ideal domain). *In abstract algebra, a principal ideal domain, or PID, is an Integral domain in which every ideal is principal, i.e., can be generated by a single element.*

**Definition 0.13** (Maximal ideal).  *$I$  is a maximal ideal of a ring  $R$  if there are no other ideals contained between  $I$  and  $R$ .*

**Definition 0.14** (Prime ideal). *An ideal  $I$  of a commutative ring  $R$  is prime if it has the following 2 properties <sup>1</sup>*

1. *If  $a, b \in R$  such that  $ab \in I$  then  $a \in I$  or  $b \in I$*
2.  *$I$  is not equal the whole ring  $R$*

**Definition 0.15** (Proper ideal).  *$I$  is a proper ideal of a ring  $R$  if  $I \subsetneq R$ .*

**Definition 0.16** (Quotient ring). *Quotient ring is a construction where one starts with a ring  $R$  and a two-sided ideal  $I$  in  $R$ , and constructs a new ring, the quotient ring  $R/I$ , whose elements are the Cosets of  $I$  in  $R$  subject to special  $+$  and  $\cdot$  operations.*

*Given a ring  $R$  and a two-sided ideal  $I \subset R$ , we may define an equivalence relation  $\sim$  on  $R$  as follows:  $a \sim b$  if and only if  $a - b \in I$ . The equivalence class of the element  $a$  in  $R$  is given by*

$$[a] = a + I := \{a + r : r \in I\}.$$

*This equivalence class is also sometimes written as  $a \bmod I$  and called the "residue class of  $a$  modulo  $I$ ".*

**Definition 0.17** (Field). *The ring  $(R, \oplus, \odot)$  is called as a field if  $(R \setminus \{0\}, \odot)$  is an Abelian group.*

*The inverse element to  $a$  in  $(R \setminus \{0\}, \odot)$  is denoted as  $a^{-1}$*

**Example 0.2.4** (Field  $\mathbb{Q}$ ). *Note that  $\mathbb{Z}$  is not a field because not for every integer number an inverse exists. But if we consider a set of fractions  $\mathbb{Q} = \{a/b \mid a \in \mathbb{Z}, b \in \mathbb{Z} \setminus \{0\}\}$  when it will be a field.*

*The inverse element to  $a/b$  in  $(\mathbb{Q} \setminus \{0\}, \cdot)$  will be  $b/a$ .*

**Definition 0.18** (Unique factorization domain). *Unique factorization domain (UFD) is a commutative ring, which is an Integral domain, and in which every non-zero non-unit element can be written as a product of prime elements (or irreducible elements), uniquely up to order and units, analogous to the fundamental theorem of arithmetic for the integers.*

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<sup>1</sup> There is a generalization of prime numbers in arithmetic

### 0.3 Modules

A module over a ring is a generalization of the notion of vector space over a field, wherein the corresponding scalars are the elements of an arbitrary given ring (with identity) and a multiplication (on the left and/or on the right) is defined between elements of the ring and elements of the module.

**Definition 0.19** (Module). *Let  $R$  is a Ring and  $1_R$  is it's multiplicative identity. A left  $R$ -module  $M$  consists of an Abelian group  $(M, +)$  and an operation  $\cdot : R \times M \rightarrow M$  such that  $\forall r, s \in R$  and  $\forall x, y \in M$  the following relations are hold:*

1.  $r \cdot (x + y) = r \cdot x + r \cdot y$
2.  $(r + s) \cdot x = r \cdot x + s \cdot x$
3.  $(rs) \cdot x = r \cdot (s \cdot x)$
4.  $1_R \cdot x = x$

**Example 0.3.1** (Module). *If  $K$  is a Field then concepts of  $K$ -Vector space and  $K$ -module are the same*

**Definition 0.20** (Free module). *The Module that has a basic (i.e. linearly independent generating set) is called as free module.*

*For a  $R$ -module  $M$  the set  $E \subseteq M$  is a basic for  $M$  if*

1.  *$E$  is a generating set for  $M$  i.e.  $\forall m \in M \exists n < \infty: \exists e_i \in E, r_i \in R:$   
 $m = \sum_{i=1}^n r_i e_i$*
2.  *$E$  is linearly independent, i.e. if  $r_1 e_1 + \dots + r_n e_n = 0_M$  for distinct elements  $e_1, \dots, e_n \in E$  then  $r_1 = \dots = r_n = 0_R$ .*

### 0.4 Linear algebra

**Definition 0.21** (Vector space). *Let  $F$  is a Field. The set  $V$  is called as vector space under  $F$  if the following conditions are satisfied*

1. *We have a binary operation  $V \times V \rightarrow V$  (addition):  $(x, y) \rightarrow x + y$  with the following properties:*
  - (a)  $x + y = y + x$
  - (b)  $(x + y) + z = x + (y + z)$

(c)  $\exists 0 \in V$  such that  $\forall x \in V : x + 0 = x$

(d)  $\forall x \in V \exists -x \in V$  such that  $x + (-x) = x - x = 0$

2. We have a binary operation  $F \times V \rightarrow V$  (scalar multiplication) with the following properties

(a)  $1_F \cdot x = x$

(b)  $\forall a, b \in F, x \in V : a \cdot (b \cdot x) = (ab) \cdot x$ .

(c)  $\forall a, b \in F, x \in V : (a + b) \cdot x = a \cdot x + b \cdot x$

(d)  $\forall a \in F, x, y \in V : a \cdot (x + y) = a \cdot x + a \cdot y$

**Lemma 0.22** (About vector space isomorphism). *2 vector spaces  $L$  and  $M$  with same dimension  $\dim L = \dim M$  then there exists an Isomorphism between them*

## 0.5 Functions

**Definition 0.23** (Surjection). *The function  $f : X \rightarrow Y$  is surjective (or onto) if  $\forall y \in Y, \exists x \in X$  such that  $f(x) = y$ .*

**Definition 0.24** (Injection). *The function  $f : X \rightarrow Y$  is injective (or one-to-one function) if  $\forall x_1, x_2 \in X$ , such that  $x_1 \neq x_2$  then  $f(x_1) \neq f(x_2)$ .*

**Definition 0.25** (Bijection). *The function  $f : X \rightarrow Y$  is bijective (or one-to-one correspondence) if it is an Injection and a Surjection.*

**Definition 0.26** (Homomorphism). *The homomorphism is a function (map) between two sets that preserves its algebraic structure. For the case of groups  $(X, \circ)$  and  $(Y, \odot)$  the function  $f : X \rightarrow Y$  is called homomorphism if  $\forall x_1, x_2 \in X$  it holds  $f(x_1 \circ x_2) = f(x_1) \odot f(x_2)$ .*

**Definition 0.27** (Isomorphism). *If a map is Bijection as well as Homomorphism when it is called as isomorphism.*

*We use the following symbolic notation for isomorphism between  $X$  and  $Y$ :  $X \cong Y$ .*

**Definition 0.28** (Automorphism). *Automorphism is an isomorphism from a mathematical object to itself.*

**Definition 0.29** (Embedding). *When some object  $X$  is said to be embedded in another object  $Y$ , the embedding is given by some injective and structure-preserving map  $f : X \rightarrow Y$ . The precise meaning of "structure-preserving" depends on the kind of mathematical structure of which  $X$  and  $Y$  are instances.*

*The fact that a map  $f : X \rightarrow Y$  is an embedding is often indicated by the use of a "hooked arrow", thus:  $f : X \hookrightarrow Y$ . On the other hand, this notation is sometimes reserved for inclusion maps.*

## 0.6 Polynomial ring $K[X]$

Let we have a commutative Ring  $K$ . Lets create a new Ring  $B$  with the following infinite sets as elements:

$$f = (f_0, f_1, \dots), f_i \in K, \quad (1)$$

such that only finite number of elements of the sets are non zero.

We can define addition and multiplication on  $B$  as follows

$$\begin{aligned} f + g &= (f_0 + g_0, f_1 + g_1, \dots), \\ f \cdot g &= h = (h_0, h_1, \dots), \end{aligned} \quad (2)$$

where

$$h_k = \sum_{i+j=k} f_i g_j.$$

The sequences (1) forms a Ring with the following identities:

- Additive identity:  $(0, 0, \dots)$
- Multiplicative identity:  $(1, 0, \dots)$

The sequences  $k = (k, 0, \dots)$  added and multiplied as elements of  $K$  this allows say that such elements are elements of original Ring  $K$ . Thus  $K$  is sub-ring of the new ring  $B$ .

Let

$$\begin{aligned} X &= (0, 1, 0, \dots), \\ X^2 &= (0, 0, 1, \dots) \end{aligned}$$

thus if we have

$$f = (f_0, f_1, f_2, \dots, f_n, 0, \dots),$$

where  $f_n$  is the last non-zero element of (1), when one can get

$$f = f_0 + f_1 X + f_2 X^2 + \dots + f_n X^n.$$

**Definition 0.30** (Polynomial ring). *The Ring of sequences (1) with operations defined by (2) is called as polynomial ring  $K[X]$ .*

**Lemma 0.31** (Bézout's lemma). *Let  $a$  and  $b$  be nonzero integers and let  $d$  be their greatest common divisor. Then there exist integers  $x$  and  $y$  such that*

$$ax + by = d.$$

**Definition 0.32** (Monic polynomial). *Monic polynomial is a univariate polynomial in which the leading coefficient (the nonzero coefficient of highest degree) is equal to 1. Therefore, a monic polynomial has the form*

$$x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0$$

**Theorem 0.33** (About irreducible polynomials). *Let  $\pi(X)$  is an irreducible polynomial in  $K[X]$  and let  $\alpha$  be a root of  $\pi(X)$  in a some larger field.  $\forall h(x) \in K(X)$  if have the following statement:  $h(\alpha) = 0$  if and only if  $\pi(X) \mid h(X)$  in  $K[X]$ .*

*Proof.* If  $h(X) = \pi(X)g(X)$  then  $h(\alpha) = 0$

From other side let  $\pi \nmid h$  in  $K[X]$  this means that they are relatively prime in  $K[X]$  and by Bézout's lemma we can get  $Q, R \in K[X]$  such that

$$\pi(X)R(X) + h(X)Q(X) = 1,$$

and especially for  $X = \alpha$  we will get that  $0 = 1$  that is impossible. □

## 0.7 Category theory

**Definition 0.34** (Commutative diagram). *A commutative diagram is a diagram of objects (also known as vertices) and morphisms (also known as arrows or edges) such that all directed paths in the diagram with the same start and endpoints lead to the same result by composition*



# Chapter 1

## Generalities on algebraic extensions

We introduce the basic notions such as a field extension, algebraic element, minimal polynomial, finite extension, and study their very basic properties such as the multiplicativity of degree in towers.

### 1.1 Field extensions: examples

#### 1.1.1 K-algebra

**Definition 1.1** (K-algebra). *Let  $K$  be a field and  $A$  be a Vector space over  $K$  equipped with an additional binary operation  $A \times A \rightarrow A$  that we denote as  $\cdot$  here. The  $A$  is an algebra over  $K$  if the following identities hold  $\forall x, y, z \in A$  and for every elements (often called as scalar)  $a, b \in K$*

- *Right distributivity:*  $(x + y) \cdot z = x \cdot z + y \cdot z$
- *Left distributivity:*  $z \cdot (x + y) = z \cdot x + z \cdot y$
- *Compatibility with scalars:*  $(ax) \cdot (by) = (ab)(x \cdot y)$

**Example 1.1.1** (Field of complex numbers  $\mathbb{C}$ ). *The field of complex numbers  $\mathbb{C}$  can be considered as a  $K$ -algebra over field of real numbers  $\mathbb{R}$ .*

#### 1.1.2 Field extension

Let  $K$  and  $L$  are fields.

**Definition 1.2** (Field extension).  *$L$  is an extension of  $K$  if  $L \supset K$*

and another definition

**Definition 1.3** (Field extension). *L is an extension of K if L is a K-algebra*

Why the 2 definitions are equivalent?

**Lemma 1.4** (K-algebra and Homomorphism). *Given a K-algebra is the same as having Homomorphism  $f : K \rightarrow A$  of rings.*

*Proof.* Really if I have a K-algebra I can define the Homomorphism  $f(k) = k \cdot 1_A$ , where  $1_A$  is an identity element of  $A$ . Thus  $k \cdot 1_A \in A$ .

And conversely if I have the Homomorphism  $f : K \rightarrow A$  I can define the K-algebra structure by setting  $ka = f(k)a$  because  $f(k), a \in A$  and there is a multiplication defined on  $A$ . As result I have a rule for multiplication a scalar ( $k \in K$ ) on a vector ( $a \in A$ ).  $\square$

**Lemma 1.5** (About Homomorphism of fields). *Any Homomorphism of fields is Injection.*

*Proof.* Lets proof by contradiction. Really if  $f(x) = f(y)$  and  $x \neq y$  then

$$\begin{aligned} f(x) - f(y) &= 0_A, \\ f(x - y) &= 0_A, \\ f(x - y)f((x - y)^{-1}) &= f\left(\frac{x - y}{x - y}\right) = f(1_K) = 1_A = 0_A \end{aligned}$$

that is impossible.  $\square$

There are some comments on the results. We have got that a Homomorphism can be set between field  $K$  and its K-algebra. The Homomorphism is Injection therefore we can allocate a sub-field  $A' \subset A$  for that we will have the Homomorphism is a Surjection and therefore we have an Isomorphism between original field  $K$  and a sub-field  $A'$ . This means that we can say that the original field  $K$  is a sub-field for the K-algebra.

**Example 1.1.2** (Field extensions).  *$\mathbb{C}$  is a field extension for  $\mathbb{R}$ .  $\mathbb{R}$  is a field extension for  $\mathbb{Q}$*

### 1.1.3 Field characteristic

If  $L$  is a field there are 2 possibilities

1.  $1 + 1 + \dots \neq 0$ . In this case  $\mathbb{Z} \subset L$  but  $\mathbb{Z}$  is not a field therefore  $L$  is an extension of  $\mathbb{Q}$ . In the case  $\text{char} L = 0$



2.  $1 + 1 + \cdots + 1 = \sum_{i=1}^m 1 = 0$  for some  $m \in \mathbb{Z}$ . The first time when it happens is for a prime number i.e. minimal  $m$  with the property is prime. In this case  $\text{char} L = p$ , where  $p = \min m$  - the minimal  $m$  (prime) with the property. In this case  $\mathbb{Z}/p\mathbb{Z} \subset L$ . The  $\mathbb{Z}/p\mathbb{Z}$  is a field denoted by  $\mathbb{F}_p$ . The  $L$  is an extension of  $\mathbb{F}_p$ .

No other possibilities exist. The  $\mathbb{Q}$  and  $\mathbb{F}_p$  are the prime fields. Any field is an extension of one of those.

#### 1.1.4 Field $K[X]/(P)$

Let  $K[X]$  Ring of polynomials. The  $P \in K[X]$  is an irreducible.  $(P)$  is an Ideal formed by the polynomial. The set of residues by the polynomial forms a field that denoted by  $K[X]/(P)$ . How we can see it? If  $Q \in K[X]$  is a polynomial that  $Q \notin (P)$  when  $Q$  is prime to  $P$ . Then with Bézout's lemma we can get  $\exists A, B \in K[X]$  such that

$$AP + BQ = 1,$$

or

$$BQ \equiv 1 \pmod{P},$$

thus  $B$  is  $Q^{-1}$  in  $K[X]/(P)$ .

## 1.2 Algebraic elements. Minimal polynomial

### 1.2.1 $K[X]/(P)$ field

Alternative proof that  $K[X]/(P)$  is the Field. The  $(P)$  is a Maximal ideal but a quotient by a Maximal ideal is a Field.

$K[X]/(P)$  is an extension of  $K$  because it's  $K$ -algebra.

**Example 1.2.1** ( $\mathbb{F}_2/(x^2 + x + 1)$ ). Lets consider the following field  $K = \mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z} = \{0, 1\}$  in the field polynomial  $x^2 + x + 1$  is irreducible. It's very easy to verify it because  $\mathbb{F}_2$  has only 2 elements that can be (possible) a root:

$$0^2 + 0 + 1 = 1 \neq 0$$

and

$$1^2 + 1 + 1 = 1 \neq 0$$

The polynomial has the following residues:  $\bar{x} = x + (x^2 + x + 1)$  and  $\overline{x+1} = x + 1 + (x^2 + x + 1)$ . Thus the field  $\mathbb{F}_2/(x^2 + x + 1)$  consists of 4 elements:  $\{0, 1, \bar{x}, \overline{x+1}\}$ .

It's easy to see that the third element ( $\bar{x}$ ) is a root of  $P(x) = x^2 + x + 1$ :

$$\bar{x}^2 + \bar{x} + 1 = P(x) + (P(x)) = (P(x)) \equiv 0 \pmod{P}.$$

$$\bar{x}^2 + \bar{x} + 1 = \bar{0},$$

therefore

$$\bar{x}^2 = -\bar{x} - 1 = \bar{x} + 1 = \overline{x + 1}.$$

This is because we are in field  $\mathbb{F}_2$  where

$$2(x + 1) \pmod{2} = 0$$

and thus

$$-\bar{x} - 1 = \bar{x} + 1$$

Also

$$\overline{x + 1}^2 = \bar{x},$$

and they are inverse each other

$$\overline{x + 1}\bar{x} = 1,$$

### 1.2.2 Algebraic elements

**Definition 1.6** (Algebraic element). Let  $K \subset L$  and  $\alpha \in L$ .  $\alpha$  is an algebraic element if  $\exists P \in K[X]$  such that  $P(\alpha) = 0$ . Otherwise the  $\alpha$  is called transcendental.

### 1.2.3 Minimal polynomial

**Lemma 1.7** (About minimal polynomial existence). If  $\alpha$  is Algebraic element then  $\exists!$  unitary polynomial  $P$  of minimal degree such that  $P(\alpha) = 0$ . It is irreducible.  $\forall Q$  such that  $Q(\alpha) = 0$  is divisible by  $P$

**Definition 1.8** (Minimal polynomial). Such polynomial is called minimal polynomial and denoted by  $P_{min}(\alpha, K)$ .

*Proof.* We know that  $K[X]$  is a Principal ideal domain and a polynomial  $Q(\alpha) = 0$  forms an Ideal:  $I = \{Q \in K[X] \mid Q(\alpha) = 0\}$ , so the ideal is generated by one element:  $I = (P)$ . This is an unique (up to constant) polynomial minimal degree in  $I$ . If  $P$  is not irreducible then  $\exists Q, R \in I$  such that  $P = QR$ ,  $Q(\alpha) = 0$  or  $R(\alpha) = 0$  and  $\deg R, Q < \deg P$  that is in contradiction with the definition that  $P$  is a polynomial of minimal degree.  $\square$

### 1.3 Algebraic elements. Algebraic extensions

**Definition 1.9.** Let  $K \subset L$ ,  $\alpha \in L$ . The smallest sub-field contained  $K$  and  $\alpha$  denoted by  $K(\alpha)$ . The smallest sub-ring contained  $K$  and  $\alpha$  denoted by  $K[\alpha]$ .

As soon as  $K[\alpha]$  is a  $K$ -algebra it is a Vector space generated by  $1, \alpha, \alpha^2, \dots, \alpha^n, \dots$ .

**Example 1.3.1** ( $\mathbb{C}$ ).

$$\mathbb{C} = \mathbb{R}(i) = \mathbb{R}[i]$$

$\mathbb{C}$  is also a Vector space generated by 1 and  $i$ :  $\forall z \in \mathbb{C}$  it holds  $z = x + iy$  where  $x, y \in \mathbb{R}$ .

**Proposition 1.10.** The following assignment are equivalent

1.  $\alpha$  is algebraic over  $K$
2.  $K[\alpha]$  is a finite dimensional Vector space over  $K$
3.  $K[\alpha] = K(\alpha)$

*Proof.* Lets proof that 1 implies 2. If  $\alpha$  is algebraic over  $K$  then using lemma Minimal polynomial  $\exists P_{\min}(\alpha, K)$ :

$$P_{\min}(\alpha, K) = \alpha^d + a_{d-1}\alpha^{d-1} + a_1\alpha + a_0 = 0,$$

where  $a_k \in K$ . Then

$$\alpha^d = -a_{d-1}\alpha^{d-1} - a_1\alpha - a_0$$

this means that any  $\alpha^n$  can be represented as a linear combination of finite number of powers of  $\alpha$  i.e.  $K[\alpha]$  generated by  $1, \alpha, \dots, \alpha^{d-1}$  is a finite dimensional Vector space.

Lets proof that 2 implies 3. Its enough proof that  $K[\alpha]$  is a field. Let  $x \neq 0 \in K[\alpha]$  then lets look at an operation  $x \cdot K[\alpha] \rightarrow K[\alpha]$ . This is Injection because if  $y, z \in K[\alpha]$  and  $z \neq y$  then  $x \cdot y \neq x \cdot z$ . But the  $K[\alpha]$  is finite dimensional Vector space and a Homomorphism between 2 vector spaces with the same dimension is Surjection thus  $\exists y \in K[\alpha]$  such that  $x \cdot y = 1_{K[\alpha]}$ . Therefore  $x$  is invertable and  $K[\alpha]$  is a Field.

Lets proof that 3 implies 1. Let  $K[\alpha]$  is a Field but  $\alpha$  is not algebraic. Thus  $\forall P \in K[X]$   $P(\alpha) \neq 0$ . The we have an Injection Homomorphism  $f : K[X] \rightarrow K[\alpha]$  but  $K[X]$  is not a field thus  $K[\alpha]$  should not be a field too that is in contradiction with the initial conditions.  $\square$

**Definition 1.11** (Algebraic extension).  *$L$  an extension of  $K$  is called algebraic if  $\forall \alpha \in L - \alpha$  is algebraic over  $K$ .*

**Proposition 1.12.** *If  $L$  is algebraic over  $K$  then any  $K$ -subalgebra of  $L$  is a Field.*

*Proof.* Let  $L' \subset L$  a subalgebra and let  $\alpha \in L'$ . We want to show that  $\alpha$  is invertible.  $\alpha$  is algebraic therefore  $\alpha \in K[\alpha] \subset L' \subset L$  and it's invertible.  $\square$

**Proposition 1.13.** *Let  $K \subset L \subset M$ .  $\alpha \in M$  - algebraic over  $K$  then  $\alpha$  algebraic over  $L$  and  $P_{\min}(\alpha, L)$  divides  $P_{\min}(\alpha, K)$ .*

*Proof.* It's clear because  $P_{\min}(\alpha, K) \in L[X]$  thus  $\exists P_L \in L[X]$  such that  $P_L(\alpha) = 0$  i.e.  $\alpha$  is algebraic over  $L$ .

As soon as  $P_{\min}(\alpha, K) \in L[X]$  then  $\deg P_{\min}(\alpha, L) \leq \deg P_{\min}(\alpha, K)$  and as soon as  $P_{\min}(\alpha, K) \in (P_{\min}(\alpha, L))$  then  $P_{\min}(\alpha, L)$  divides  $P_{\min}(\alpha, K)$ .  $\square$

## 1.4 Finite extensions. Algebraicity and finiteness

**Definition 1.14** (Finite extension).  *$L$  is a finite extension of  $K$  if  $\dim_K L < \infty$ .  $\dim_K L$  is called as degree of  $L$  over  $K$  and is denoted by  $[L : K]$*

**Theorem 1.15** (The multiplicativity formula for degrees). *Let  $K \subset L \subset M$ . Then  $M$  is Finite extension over  $K$  if and only if  $M$  is Finite extension over  $L$  and  $L$  is Finite extension over  $K$ . In this case*

$$[M : K] = [M : L][L : K].$$

*Proof.* Let  $[M : K] < \infty$  but any linear independent set of vectors  $\{m_1, m_2, \dots, m_n\}$  over  $L$  is also linear independent over  $K$  thus

$$[M : K] < \infty \Rightarrow [M : L] < \infty$$

also  $L$  is a vector sub space of  $M$  thus if  $[M : K] < \infty$  then  $[L : K] < \infty$ .

Let  $[M : L] < \infty$  and  $[L : K] < \infty$  then we have the following bases

- $L$ -basis over  $M$ :  $(e_1, e_2, \dots, e_n)$
- $K$ -basis over  $L$ :  $(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_d)$

Lets proof that  $e_i \varepsilon_j$  forms a  $K$ -basis over  $M$ .  $\forall x \in M$ :

$$x = \sum_{i=1}^n a_i e_i,$$

where  $a_i \in L$  and can be also written as

$$a_i = \sum_{j=1}^d b_{ij} \varepsilon_j,$$

where  $b_{ij} \in K$ . Thus

$$x = \sum_{i=1}^n \sum_{j=1}^d b_{ij} \varepsilon_j e_i,$$

therefore  $\varepsilon_j e_i = e_i \varepsilon_j$  generates  $M$  over  $K$ . From the other side we should check that  $\varepsilon_j e_i$  linear independent system of vectors. Lets

$$\sum_{i,j} c_{ij} \varepsilon_j e_i = \sum_{i=1}^n \left( \sum_{j=1}^d c_{ij} \varepsilon_j \right) e_i,$$

then  $\forall i$ :

$$\sum_{j=1}^d c_{ij} \varepsilon_j = 0.$$

Thus  $\forall i, j : c_{ik} = 0$  that finishes the proof the linear independence. The number of linear independent vectors is  $n \times d$  i.e.

$$[M : K] = [M : L] [L : K].$$

□

**Definition 1.16** ( $K(\alpha_1, \dots, \alpha_n)$ ).  $K(\alpha_1, \dots, \alpha_n) \subset L$  generated by  $\alpha_1, \dots, \alpha_n$  is the smallest sub field of  $L$  contained  $K$  and  $\alpha_i \in L$ .

**Theorem 1.17** (About towers).  $L$  is finite over  $K$  if and only if  $L$  is generated by a finite number of algebraic elements over  $K$ .

*Proof.* If  $L$  is finite then  $\alpha_1, \dots, \alpha_d$  is a basis. In this case  $L = K[\alpha_1, \dots, \alpha_d] = K(\alpha_1, \dots, \alpha_d)$ . Moreover each  $K[\alpha_i]$  is finite dimensional thus by proposition 1.10  $\alpha_i$  is algebraic.

From other side if we have a finite set of algebraic elements  $\alpha_1, \dots, \alpha_d$  then  $K[\alpha_1]$  is a finite dimensional Vector space over  $K$ ,  $K[\alpha_1, \alpha_2]$  is a finite

dimensional Vector space over  $K[\alpha_1]$  and so on  $K[\alpha_1, \dots, \alpha_d]$  is a finite dimensional Vector space over  $K[\alpha_1, \dots, \alpha_{d-1}]$ . All elements are algebraic thus

$$K[\alpha_1, \dots, \alpha_i] = K(\alpha_1, \dots, \alpha_i)$$

Then using theorem 1.15 we can conclude that  $K(\alpha_1, \dots, \alpha_d)$  has finite dimension.  $\square$

## 1.5 Algebraicity in towers. An example

**Theorem 1.18.**  *$K \subset L \subset M$  then  $M$  Algebraic extension over  $K$  if and only if  $M$  algebraic over  $L$  and  $L$  algebraic over  $K$ .*

*Proof.* If  $\alpha \in M$  is an Algebraic element over  $K$  then  $\exists P \in K[X]$  such that  $P(\alpha) = 0$  but the polynomial  $P \in K[X] \subset L[X]$  thus  $\alpha$  is algebraic over  $L$ . If  $\alpha \in L \subset M$  then  $\alpha$  is algebraic over  $K$  thus  $L$  is algebraic over  $K$ .

Let  $M$  algebraic over  $L$  and  $L$  algebraic over  $K$  and let  $\alpha \in M$ . We want to prove that  $\alpha$  is algebraic over  $K$ . Lets consider  $P_{min}(\alpha, L)$  the polynomial coefficients are from  $L$  and they (as soon as they count is a finite) generate a finite extension  $E$  over  $K$  thus  $E(\alpha)$  is finite over  $E$  (exists a relation between powers of  $\alpha$ ) and by theorem 1.17 is finite over  $K$  thus  $\alpha$  is algebraic over  $K$ .  $\square$

**Example 1.5.1** ( $\mathbb{Q}$  extension).  $\mathbb{Q}(\sqrt[3]{2}, \sqrt{3})$  algebraic and finite over  $\mathbb{Q}$ :

$$\mathbb{Q} \subset \mathbb{Q}(\sqrt[3]{2}) \subset \mathbb{Q}(\sqrt[3]{2}, \sqrt{3})$$

*Minimal polynomial*

$$P_{min}(\sqrt[3]{2}, \mathbb{Q}) = x^3 - 2.$$

$\mathbb{Q}(\sqrt[3]{2})$  is generated over  $\mathbb{Q}$  by  $1, \sqrt[3]{2}, \sqrt[3]{4}$  thus  $[\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 3$ .

But  $\sqrt{3} \notin \mathbb{Q}(\sqrt[3]{2})$  because otherwise  $[\mathbb{Q}(\sqrt{3}) : \mathbb{Q}] = 2$  must divide  $[\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}] = 3$  that is impossible.

Therefore  $x^2 - 3$  is irreducible over  $\mathbb{Q}(\sqrt[3]{2})$  and

$$P_{min}(\sqrt{3}, \mathbb{Q}(\sqrt[3]{2})) = x^2 - 3.$$

$$[\mathbb{Q}(\sqrt[3]{2}, \sqrt{3}) : \mathbb{Q}] = 3 \cdot 2 = 6.$$

**Proposition 1.19** (On dimension of extension).

$$[K(\alpha) : K] = \deg P_{\min}(\alpha, K),$$

if  $\alpha$  is algebraic.

*Proof.* If  $\deg P_{\min}(\alpha, K) = d$  then  $1, \alpha, \dots, \alpha^{d-1}$  -  $d$  independent vectors and dimension  $K(\alpha)$  is  $d$ .  $\square$

**Proposition 1.20** (About algebraic closure). *If  $K \subset L$  ( $L$  extension of  $K$ ). Consider*

$$L' = \{\alpha \in L \mid \alpha \text{ algebraic over } K\},$$

*then  $L'$  sub-field of  $L$  and is called as algebraic closure of  $K$  in  $L$ .*

*Proof.* We have to prove that if  $\alpha, \beta$  are algebraic then  $\alpha + \beta$  and  $\alpha \cdot \beta$  are also algebraic. This is trivial because

$$\alpha + \beta, \alpha \cdot \beta \in K[\alpha, \beta] = K(\alpha, \beta)$$

$\square$

## 1.6 A digression: Gauss lemma, Eisenstein criterion

What we have seen so far:

- $K$  is a field,  $\alpha$  is an Algebraic element over  $K$  if it is a root of a polynomial  $P \in K[X]$ .
- $L$  is an Algebraic extension over  $K$  if  $\forall \alpha \in L$ :  $\alpha$  is an algebraic over  $K$
- $L$  is a Finite extension over  $K$  if  $\dim_K L < \infty$ .
- If an extension is finite then it is algebraic
- An extension is finite if and only if it is algebraic and generated by a finite number of algebraic elements (see theorem 1.17)
- $[K[\alpha] : K] = \deg P_{\min}(\alpha, K)$  (see proposition 1.19).

How to decide that a polynomial  $P$  is irreducible over  $K$ ? About polynomial  $x^3 - 2$  it is easy to decide that it's irreducible over  $\mathbb{Q}$ , but what's about  $x^{100} - 2$ ?

**Lemma 1.21** (Gauss). *Let  $P \in \mathbb{Z}[X]$ , i.e. a polynomial with integer coefficients, then if  $P$  decomposes over  $\mathbb{Q}$  ( $P = Q \cdot R$ ,  $\deg Q, R < \deg P$ ) then it also decomposes over  $\mathbb{Z}$ .*

*Proof.* Let  $P = QR$  over  $\mathbb{Q}$ . Then

$$\begin{aligned} Q &= mQ_1, Q_1 \in \mathbb{Z}[X], \\ R &= nR_1, R_1 \in \mathbb{Z}[X], \end{aligned}$$

thus

$$nmP = Q_1R_1.$$

There exists  $p$  that divides  $mn$ :  $p \mid mn$  thus in modulo  $p$  we have

$$0 = \overline{Q_1R_1}$$

but  $p$  is prime and the equation is in the field  $\mathbb{F}_p$  thus either  $\overline{Q_1} = 0$  or  $\overline{R_1} = 0$ . Let  $\overline{Q_1} = 0$  thus  $p$  divides all coefficients in  $Q_1$  and we can take  $\frac{Q_1}{p} = Q_2 \in \mathbb{Z}[X]$ . Continue for all primes in  $mn$  we can get that

$$P = Q_sR_t,$$

where  $Q_s, R_t \in \mathbb{Z}[X]$ . □

**Example 1.6.1** (Eisenstein criterion). *Lets consider the following polynomial  $x^{100} - 2$ . It's irreducible. Lets prove it. If it reducible then  $\exists Q, R \in \mathbb{Z}[X]$  such that*

$$x^{100} - 2 = QR \tag{1.1}$$

*Lets consider (1.1) modulo 2. In the case we will have*

$$QR \equiv x^{100} \pmod{2},$$

*therefore*

$$Q \equiv x^k \pmod{2},$$

$$R \equiv x^l \pmod{2},$$

*or*

$$Q = x^k + \dots + 2 \cdot m$$

*and*

$$R = x^l + \dots + 2 \cdot n$$

*thus*

$$QR = x^{100} + 4 \cdot nm$$

*that is impossible because  $n, m \in \mathbb{Z}$  and  $nm \neq -\frac{1}{2}$ .*



**Lemma 1.22** (Eisenstein criterion). *Lets  $P \in \mathbb{Z}[X]$  and  $P = a_n X^n + a_{n-1} X^{n-1} + \dots + a_1 X + a_0$ . If  $\exists p$  - prime such that  $p \nmid a_n$ ,  $p \mid a_i \forall i < n$  and  $p^2 \nmid a_0$ , then  $P \in \mathbb{Z}[X]$  is irreducible.*

*Proof.* the same as for example 1.6.1. □

Note: that both: Gauss and Eisenstein criterion are valid by replacing  $\mathbb{Z}$  with an Unique factorization domain  $R$  and  $\mathbb{Q}$  by its factorization field.



# Chapter 2

## Stem field, splitting field, algebraic closure

We introduce the notion of a stem field and a splitting field (of a polynomial). Using Zorn's lemma, we construct the algebraic closure of a field and deduce its unicity (up to an isomorphism) from the theorem on extension of homomorphisms.

### 2.1 Stem field. Some irreducibility criteria

#### 2.1.1 Stem field

**Definition 2.1** (Stem field). *Let  $P \in K[X]$  is an irreducible Monic polynomial. Field extension  $E$  is a stem field of  $P$  if  $\exists \alpha \in E$  - the root of polynomial  $P$  and  $E = K[\alpha]$ .*

Such things exist, for instance we can take  $K[X]/(P)$ . It is a field because  $P$  is irreducible moreover the root of the  $P$  is in the field (see example 1.2.1).

We also can say that for any stem field  $E$ :

$$K[X]/(P) \cong E.$$

We can use the following Isomorphism:  $f : \forall p \in K[X]/(P) \rightarrow p(\alpha)$ , there  $\alpha$  is a root of polynomial  $P$ . To summarize we have the following

**Proposition 2.2** (About stem field existence). *The stem field exist and if we have 2 stem fields  $E$  and  $E'$  which correspond 2 roots of  $P$ :  $E = K[\alpha]$ ,  $E' = K[\alpha']$  then  $\exists! f : E \cong E'$  (Isomorphism of  $K$ -algebras) such that  $f(\alpha) = \alpha'$ .*

*Proof.* Existence:  $K[X]/(P)$  can be took as the stem field.

Uniqueness of the Isomorphism is easy because it is defined by its value on argument  $\alpha$ :

$$\begin{aligned}\phi : K[X]/(P) &\cong_{x \rightarrow \alpha} E, \\ \psi : K[X]/(P) &\cong_{x \rightarrow \alpha'} E',\end{aligned}$$

thus

$$\phi^{-1} \circ \psi : E \cong_{\alpha \rightarrow \alpha'} E'.$$

□

**Remark 2.3** (About stem field). 1. In particular: If a stem field contains 2 roots of  $P$  then  $\exists!$  Automorphism taking one root into another.

2. If  $E$  stem field then  $[E : K] = \deg P$

3. If  $[E : K] = \deg P$  and  $E$  contains a root of  $P$  then  $E$  is a stem field

4. If  $E$  is not a stem field but contains root of  $P$  then  $[E : K] > \deg P$   
(???)

### 2.1.2 Some irreducibility criteria

**Corollary 2.4.**  $P \in K[X]$  is irreducible over  $K$  if and only if it does not have a root in Field extension  $L$  of  $K$  of such that  $[L : K] \leq \frac{n}{2}$ , where  $n = \deg P$ .

*Proof.*  $\Rightarrow$ : If  $P$  is not irreducible then it has a polynomial  $Q$  that divides  $P$  and  $\deg Q \leq \frac{n}{2}$  ( $P = RQ$  and if  $\deg Q > \frac{n}{2}$  then we can take  $R$  as  $Q$ ). The Stem field  $L$  for  $Q$  exists and its degree is  $\deg Q \leq \frac{n}{2}$ .  $L$  should have root of  $Q$  (as soon as root of  $P$ ) by definition.

$\Leftarrow$ : If  $P$  has a root  $\alpha$  in  $L$  then  $\exists P_{\min}(\alpha, K)$  with degree  $\leq \frac{n}{2} < n$  (because  $[L : K] \leq \frac{n}{2}$ ) that divides  $P$  (see lemma 1.7) i.e.  $P$  become reducible. □

**Corollary 2.5.**  $P \in K[X]$  irreducible with  $\deg P = n$ . Let  $L$  be an extension of  $K$  such that  $[L : K] = m$ . If  $\gcd(n, m) = 1$  then  $P$  is irreducible over  $L$ .

*Proof.* If it is not a case and  $\exists Q$  such that  $Q \mid P$  in  $L[X]$ . Let  $M$  be a Stem field of  $Q$  over  $L$ .

So we have  $K \subset L \subset M = L(\alpha)$ .  $M$  is a stem field that  $[M : L] = \deg Q = d < n$ . Thus  $[M : K] = md$

Lets  $K(\alpha)$  is a stem field of  $P$  over  $K$  then  $[K(\alpha) : K] = \deg P = n$ .

$K(\alpha) \subseteq M$  and therefore  $n \mid md$  thus using  $\gcd(m, n) = 1$  one can get that  $n \mid d$  but this is impossible because  $d < n$ . □

## 2.2 Splitting field

**Definition 2.6** (Splitting field). *Let  $P \in K[X]$ . The splitting field of  $P$  over  $K$  is an extension  $L$  where  $P$  is split (i.e. is a product of linear factors) and roots of  $P$  generate  $L$*

**Theorem 2.7** (About splitting fields). 1. *Splitting field  $L$  exists and  $[L : K] \leq d!$ , where  $d = \deg P$ .*

2. *If  $L$  and  $M$  are 2 splitting fields then  $\exists \phi : L \cong M$  (an Isomorphism). But the Isomorphism is not necessary to be unique.*

*Proof.* Lets prove by induction on  $d$ . The first case ( $d = 1$ ) is trivial the  $K$  itself is the splitting field. Now assume  $d > 1$  and that the theorem is valid for any polynomial of degree  $< d$  over any field  $K$ . Let  $Q$  be any irreducible factor of  $P$ . We can create a Stem field  $L_1 = K(\alpha)$  for  $Q$  that will be also a Stem field for  $P$ .

Over  $L_1$  we have  $P = (x - \alpha)R$ , where  $R$  is a polynomial with  $\deg R = d - 1$ . We know (see remark 2.3) that there exists a Splitting field  $L$  for  $R$  over  $L_1$  and its degree:  $[L : L_1] \leq (d - 1)!$  We have  $K \subset L_1 \subset L$ . The  $L$  will be a splitting field for original polynomial  $P$ . Its degree (by The multiplicativity formula for degrees) is  $\leq d \cdot (d - 1)! = d!$ .

Uniqueness: Let  $L$  and  $M$  are 2 splitting fields. Let  $\beta$  is a root of  $Q$  (irreducible factor of  $P$ ) in  $M$ . We have 2 stem fields:  $L_1 = K(\alpha)$  and  $M_1 = K(\beta)$ . Proposition 2.2 says as that

$$\exists \phi : L_1 = K(\alpha) \cong K(\beta) = M_1,$$

such that  $\phi(\alpha) = \beta$ .

Over  $M_1$  we have  $P = (x - \beta)S$ , where  $S = \phi(R)$  <sup>1</sup>  $M$  is a splitting field for of  $S$  over  $K[\beta]$  i.e. it is a  $K[\beta]$ -algebra but it's is also (via Isomorphism)  $K[\alpha]$ -algebra and as result it's a splitting field for  $R$  over  $K[\alpha]$  and by induction <sup>2</sup> we have  $K[\alpha]$  isomorphism  $L \cong M$  and as result  $K$  isomorphism  $L \cong M$ . <sup>3</sup> □

---

<sup>1</sup> We have  $\phi : K(\alpha) \rightarrow K(\beta)$ . The  $\phi : K \rightarrow K$  because  $K \subset K(\alpha)$  as well as  $K \subset K(\beta)$ . Therefore  $\phi(P) = P$  because  $P \in K[X]$ . Thus

$$P = (x - \beta)S = \phi(P) = \phi((x - \alpha)R) = (x - \beta)\phi(R)$$

and  $S = \phi(R)$ .

<sup>2</sup> Induction steps are the following: we have a polynomial  $P$  with  $\deg P = n$ . We suppose that the isomorphism is proved for polynomial with degree  $n - 1$

<sup>3</sup> Lukas Heger comment about the prove: We can consider another roots:  $\alpha_2$  for  $R$  and

**Remark 2.8.** *The Isomorphism is not unique. A splitting field can have many Automorphism and this is in fact the subject of Galois theory.*

## 2.3 An example. Algebraic closure

### 2.3.1 An example of automorphism

**Example 2.3.1** ( $x^3 - 2$  over  $\mathbb{Q}$ ). *Let us have the following polynomial  $x^3 - 2$  over  $\mathbb{Q}$ . It has the following roots:  $\sqrt[3]{2}, j\sqrt[3]{2}$  and  $j^2\sqrt[3]{2}$ , where  $j = e^{\frac{2\pi i}{3}}$ . Splitting field is the following  $L = \mathbb{Q}(\sqrt[3]{2}, j)$ . Let's find Automorphisms of the field.*



As soon as  $L$  is a stem field for  $\mathbb{Q}(j)$  and for  $\mathbb{Q}(\sqrt[3]{2})$  then 2 types of automorphism exist:

1.  $\mathbb{Q}(\sqrt[3]{2})$  Automorphism. We have  $x^2 + x + 1$  as  $P_{\min}(j, \mathbb{Q}(\sqrt[3]{2}))$ . The polynomial has 2 roots:  $j$  and  $j^2$  and there is an Automorphism that exchanges the root. Let's call it  $\tau$
2.  $\mathbb{Q}(j)$  Automorphism. In this case the automorphism of exchanging  $\sqrt[3]{2}$  and  $j\sqrt[3]{2}$ .<sup>4</sup> Let's call it  $\sigma$

---

$\beta_2$  for  $S$  and there is an isomorphism between the 2 stem fields also. Continue in the way we will get the 2 following chains

$$K \subset L_1 \subset L_2 \subset \cdots \subset L_n \subset L$$

$$K \subset M_1 \subset M_2 \subset \cdots \subset M_n \subset M$$

On each step we have an isomorphism between  $L_i$  and  $M_i$  and as result the isomorphism between resulting fields  $L$  and  $M$  (via  $\phi$ ) as  $L_n$  algebras and therefore as  $K$  algebras.

<sup>4</sup> ??? The minimal polynomial is  $x^3 - 2$  there and thus we have 3 roots:  $\sqrt[3]{2}, j\sqrt[3]{2}$  and  $j^2\sqrt[3]{2}$

The group of automorphism of  $L$   $\text{Aut}(L/K)$  is embedded into permutation group of 3 elements  $S_3$  (see example 0.1.2):

$$\text{Aut}(L/K) \hookrightarrow S_3.$$

It's embedded because the automorphism exchanges the roots of  $x^3 - 2$ . Moreover

$$\text{Aut}(L/K) = S_3,$$

because  $\sigma$  and  $\tau$  generates  $S_3$  because

- $\sigma: \sqrt[3]{2} \rightarrow j\sqrt[3]{2} \rightarrow j^2\sqrt[3]{2} \rightarrow \sqrt[3]{2}$ . This is a circle.
- $\tau$  - it keeps  $\sqrt[3]{2}$  and exchanges  $j$  and  $j^2$ :  $\sqrt[3]{2}j \leftrightarrow \sqrt[3]{2}j^2$  (???). This is a transposition.

Lets also look at  $\mathbb{Q}(\sqrt[3]{2})$ . The question is the following: how many Homomorphisms to  $L = \mathbb{Q}(\sqrt[3]{2}, j)$  do we have. As we know

$$L = \mathbb{Q}(\sqrt[3]{2}, j) = \mathbb{Q}(\sqrt[3]{2}, j\sqrt[3]{2}, j^2\sqrt[3]{2}),$$

i.e.  $\sqrt[3]{2}$  can be switched with one of the roots:  $\sqrt[3]{2}, j\sqrt[3]{2}, j^2\sqrt[3]{2}$  and each permutation is a homomorphism. To demonstrate it lets look at the following permutation  $\sqrt[3]{2} \leftrightarrow j\sqrt[3]{2}$ . We have a unique Isomorphism

$$\mathbb{Q}(\sqrt[3]{2}) \rightarrow \mathbb{Q}(j\sqrt[3]{2}) \subset L.$$

i.e. we have a homomorphism  $\mathbb{Q}(\sqrt[3]{2}) \rightarrow L$  associated with the following permutation:  $\sqrt[3]{2} \leftrightarrow j\sqrt[3]{2}$

### 2.3.2 Algebraic closure

**Definition 2.9** (Algebraically closed field).  $K$  is algebraically closed if any non constant polynomial  $P \in K[X]$  has a root in  $K$  or in other words if any  $P \in K[X]$  splits

**Example 2.3.2** ( $\mathbb{C}$ ).  $\mathbb{C}$  is an Algebraically closed field. This will be proved later.

**Definition 2.10** (Algebraic closure). An algebraic closure of  $K$  is a field  $L$  that is Algebraically closed field and Algebraic extension over  $K$ .

**Theorem 2.11** (About Algebraic closure). Any field  $K$  has an Algebraic closure

*Proof.* Lets discuss the strategy of the prove. First construct  $K_1$  such that  $\forall P \in K[X]$  has a root in  $K_1$ . There is not a victory because  $K_1$  can introduce new coefficients and polynomials that can be irreducible over  $K_1$ . Then construct  $K_2$  such that  $\forall P \in K_1[X]$  has a root in  $K_2$  and so forth. As result we will have

$$K \subset K_1 \subset K_2 \subset \cdots \subset K_n \subset \cdots$$

Take  $\bar{K} = \cup_i K_i$  and we claim that  $\bar{K}$  is algebraically closed. Really  $\forall P \in \bar{K}[X] \exists j : P \in K_j[X]$  thus it has a root in  $K_{j+1}$  and as result in  $\bar{K}$ .

Now how can we construct  $K_1$ . Let  $S$  be a set of all irreducible  $P \in K[X]$ . Let  $A = K[(X_p)_{p \in S}]$  - multi-variable (one variable  $X_p$  for each  $p \in S$ ) polynomial ring.

Let  $I \subset A$  is an Ideal generated by  $P(X_p) \forall p \in S$ .<sup>5</sup> We claim that  $I$  is a Proper ideal i.e.  $I \neq A$ . If not then we can write

$$1_A = \sum_i^n \lambda_i P_i(X_{p_i}), \quad (2.1)$$

where  $\lambda_i \in A$  and the sum is the finite. As soon as the sum is finite then I can take the product of the polynomials in the sum:  $P = \prod_i^n P_i$  and I can create a Splitting field  $L$  for the polynomial  $P$  over  $K$ .<sup>6</sup>

$A$  is a polynomial ring and it's very easy produce a homomorphism between polynomial algebra and any other algebra. Therefore there is a homomorphism between rings  $A$  and  $L$  such that  $\phi : A \rightarrow L$  where  $X_{p_i} \rightarrow \alpha_i$  if  $P = P_i$  and  $X_{p_i} \rightarrow 0$  otherwise. From (2.1) we have

$$\phi(1_A) = \sum_i^n \lambda_i \phi(P_i(X_{p_i})) = \sum_i^n \lambda_i P_i(\alpha_i) = 0$$

that is impossible.

Fact: Any Proper ideal  $I \subset A$  is contained in the Maximal ideal  $m$  and  $A/m$  is a field.

Thus I can take  $K_1 = A/m$  and continue in the same way to construct  $K_2, K_3, \dots, K_n, \dots$   $\square$

### 2.3.3 Ideals in a ring

The ring is commutative, associative with unity. Any Proper ideal is in a Maximal ideal. This is a consequence of what one calls Zorn's lemma

<sup>5</sup>  $I = \sum_i \lambda_i P_i(X_{p_i})$ , where  $\lambda_i \in A$

<sup>6</sup>  $\alpha_i$  is a root of  $P_i$



**Definition 2.12** (Chain). *Let  $\mathcal{P}$  is a partially ordered set ( $\leq$  is the order relation).  $\mathcal{C} \subset \mathcal{P}$  is a chain if  $\forall \alpha, \beta \in \mathcal{C}$  exists a relation between  $\alpha$  and  $\beta$  i.e.  $\alpha \leq \beta$  or  $\beta \leq \alpha$ .*

**Lemma 2.13** (Zorn). *If any non-empty Chain  $\mathcal{C}$  in a non-empty set  $\mathcal{P}$  has an upper bound (that is  $M \in \mathcal{P}$  such that  $M \geq x, \forall x \in \mathcal{C}$ ) then  $\mathcal{P}$  has a maximal element.*

We can use Zorn lemma to prove that any proper ideal is in a Maximal ideal.

Let  $\mathcal{P}$  is the set of proper ideals in  $A$  containing  $I$ . The set is not empty because it has at least one element  $I$ . Any Chain  $\mathcal{C} = \{I_\alpha\}$ <sup>7</sup> has an upper bound: it's  $\cup_\alpha I_\alpha$  (exercise that the union is an ideal). So  $\mathcal{P}$  has a maximal element  $m$  and  $I \subset m$ .

If we take a Quotient ring by maximal ideal it's always a field otherwise it will have a proper ideal:  $\exists a \in A/m$  such that  $(a)$  is a proper ideal and its pre-image in  $\pi : A \rightarrow A/m$  should strictly contain  $m$ <sup>8</sup>.

## 2.4 Extension of homomorphisms. Uniqueness of algebraic closure

Some summary about just proved existence of algebraic closure. There exists  $\bar{K} = \cup_{i=1}^\infty K_i$  - algebraic closure of  $K$ , where

$$K \subset K_1 \subset K_2 \subset \dots \subset K_{i-1} \subset K_i \subset \dots$$

$K_i$  is a field where each polynomial  $P \in K_{i-1}$  has a root. The field  $K_i$  is Quotient ring of huge polynomial ring  $K_{i-1}[X]$  by a suitable Maximal ideal that is got by means of Zorn lemma.

Another question is the closure unique? The answer is yes. We start the proof with the following theorem

**Theorem 2.14** (About extension of homomorphism). *Let  $K \subset L \subset M$  - Algebraic extension.  $K \subset \Omega$ , where  $\Omega$  - Algebraic closure of  $K$ .  $\forall \phi : L \rightarrow \Omega$  extends to  $\tilde{\phi} : M \rightarrow \Omega$*

*Proof.* Apply Zorn lemma to the following set (of pairs)

$$\mathcal{E} = \{(N, \psi) : L \subset N \subset M, \psi \text{ extends } \phi\}$$

<sup>7</sup> The order is the following  $I_\alpha \leq I_\beta$  if  $I_\alpha \subset I_\beta$

<sup>8</sup> ??? i.e.  $m$  is not a maximal ideal in the case.

$\mathcal{E}$  is non empty because  $(L, \phi) \in \mathcal{E}$ .

The set  $\mathcal{E}$  is partially ordered by the following relation ( $\leq$ ):

$$(N, \psi) \leq (N', \psi'),$$

if  $N \subseteq N'$  and  $\psi'/N = \psi$  ( $\psi'$  extends  $\psi$ ). Any Chain  $(N_\alpha, \psi_\alpha)$  has an upper bound  $(N, \psi)$ , where  $N = \cup_\alpha N_\alpha$  - field, sub extension of  $M$ .  $\psi$  defined in the following way: for  $x \in N_\alpha$   $\psi(x) = \psi_\alpha(x)$ .

Thus  $\mathcal{E}$  has a maximal element that we denote by  $(N_0, \psi_0)$ .

Lets suppose that  $N_0 \neq M$ , i.e.  $N_0 \subsetneq M$ . Now it's very easy to get a contradiction. Lets take  $x \in M \setminus N_0$  and consider Minimal polynomial  $P_{min}(x, N_0)$ . It should have a root  $\alpha \in \Omega$ . Now we extend  $N_0$  to  $N_0(x)$  and define  $\psi'$  on  $N_0(x)$  as follows:  $\forall y \in N_0 : \psi'(y) = \psi_0(y)$  and  $\psi'(x) = \alpha$ . Thus we was able to find an element of the chain that is greater than maximal. Therefore our assumption about  $N_0 \neq M$  was incorrect and we can conclude that  $N_0 = M$  and therefore  $\tilde{\phi} = \psi_0$ .  $\square$

**Corollary 2.15** (About algebraic closure isomorphism). *If  $\Delta$  and  $\Delta'$  are 2 algebraic closures of  $K$  then they are isomorphic as  $K$ -algebras.*

*Proof.* Using theorem 2.14 one can assume  $L = K$ ,  $M = \Delta'$  and  $\Omega = \Delta$  i.e. we have

$$K \subset K \subset \Delta'$$

in this case homomorphism  $K \rightarrow \Delta$  can be extended to  $\Delta' \rightarrow \Delta$  i.e. there exists a homomorphism (i.e. Injection) from  $\Delta'$  to  $\Delta$ .

If we assume  $M = \Delta$  and  $\Omega = \Delta$  then there exists a homomorphism (i.e. Injection) from  $\Delta$  to  $\Delta'$ . The Injection is also Surjection in another direction:  $\Delta' \rightarrow \Delta$  and as result we have Isomorphism  $\Delta' \rightarrow \Delta$   $\square$

# Chapter 3

## Finite fields. Separability, perfect fields

We recall the construction and basic properties of finite fields. We prove that the multiplicative group of a finite field is cyclic, and that the automorphism group of a finite field is cyclic generated by the Frobenius map. We introduce the notions of separable (resp. purely inseparable) elements, extensions, degree. We briefly discuss perfect fields.

### 3.1 An example (of extension)s. Finite fields

**Corollary 3.1.** *Algebraic closure of  $K$  is unique up to Isomorphism of  $K$ -algebras*<sup>1</sup>

**Corollary 3.2.** *Any Algebraic extension of  $K$  is embedded into the Algebraic closure*<sup>2</sup>

**Example 3.1.1** (Of extension of homomorphism). *Let  $K = \mathbb{Q}$  and  $\overline{\mathbb{Q}}$  is the Algebraic closure of  $K$ . For instance we can consider  $\overline{\mathbb{Q}} \subset \mathbb{C}$ .*<sup>3</sup>

Let

$$L = \mathbb{Q}(\sqrt{2}) = \mathbb{Q}[X] / (X^2 - 2),$$

$\alpha$  is a class of  $X$  in  $L$ .  $L$  has 2 Embeddings into  $\overline{\mathbb{Q}}$

1.  $\phi_1 : \alpha \rightarrow \sqrt{2}$
2.  $\phi_2 : \alpha \rightarrow -\sqrt{2}$

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<sup>1</sup> There is a redefinition of corollary 2.15.

<sup>2</sup> seems to be a reformulation of theorem 2.14

<sup>3</sup> Really  $\overline{\mathbb{Q}} = \mathbb{A}$  - the set of all algebraic numbers, i.e. roots of polynomials  $P \in \mathbb{Q}[X]$ .

Let

$$M = \mathbb{Q}(\sqrt[4]{2}) = \mathbb{Q}[Y] / (Y^4 - 2),$$

$\beta$  is a class of  $Y$  in  $M$ .  $M$  has 4 Embeddings into  $\overline{\mathbb{Q}}$

1.  $\psi_1 : \beta \rightarrow \sqrt[4]{2}$  (extends  $\phi_1$ )
2.  $\psi_2 : \beta \rightarrow -\sqrt[4]{2}$  (extends  $\phi_1$ )
3.  $\psi_3 : \beta \rightarrow i\sqrt[4]{2}$  (extends  $\phi_2$ )
4.  $\psi_4 : \beta \rightarrow -i\sqrt[4]{2}$  (extends  $\phi_2$ )

This (“extends”) is because

$$M = L[Y] / (Y^2 - \alpha)$$

### 3.1.1 Finite fields

**Definition 3.3** (Finite field).  $K$  is a finite field if it's characteristic (see section 1.1.3)  $\text{char}K = p$ , where  $p$  - prime number

**Remark 3.4** ( $\mathbb{F}_{p^n}$ ). If  $K$  is a finite extension of  $\mathbb{F}_p$  and  $n = [K : \mathbb{F}_p]$  then number of elements of  $K$ :  $|K| = p^n$ . The following notation is also used for a finite extension of a finite field:  $\mathbb{F}_{p^n}$

**Remark 3.5** (Frobenius homomorphism). If  $\text{char}K = p$ , then exists a Homomorphism  $F_p : K \rightarrow K$  such that  $x \in K \rightarrow x^p \in K$ . Really if we consider  $(x + y)^p$  and  $(xy)^p$  then we can get  $(x + y)^p = x^p + y^p$  and  $(xy)^p = x^p y^p$ . The second property is the truth in the all fields (of course) but the first one is the special property of  $\mathbb{F}_p$  fields.

**Remark 3.6.** Also  $F_{p^n} : x \in K \rightarrow x^{p^n} \in K$  is also homomorphism (a power of Frobenius homomorphism).

## 3.2 Properties of finite fields

**Theorem 3.7.** Lets fix  $\mathbb{F}_P$  and it's Algebraic closure  $\overline{\mathbb{F}_P}$ .

The Splitting field of  $x^{p^n} - x$  has  $p^n$  elements. Conversely any field of  $p^n$  elements is a splitting field of  $x^{p^n} - x$ . Moreover there is an unique sub extension of  $\overline{\mathbb{F}_P}$  with  $p^n$  elements.

*Proof.* Note that  $F_{p^n} : x \rightarrow x^{p^n}$  is a Homomorphism (see remark 3.6) as result the following set  $\{x \mid F_{p^n}(x) = x\}$  is a sub-field containing  $\mathbb{F}_p$ .<sup>4</sup> Lets  $Q_n(X) = X^{p^n} - X$  thus the considered set consists of the root of the polynomial  $Q_n$ . The polynomial has no multiple roots because  $\gcd(Q_n, Q'_n) = 1$ .<sup>5</sup> This is because  $Q'_n \equiv 1 \pmod{p}$ .<sup>6</sup> As soon as  $Q_n$  has no multiple roots then there are  $p^n$  different roots and therefore the splitting field is the field with  $p^n$  elements.

Conversely lets  $|K| = p^n$  and  $\alpha \neq 0 \in K$ . Using the fact that the multiplication group of  $K$  has  $p^n - 1$  elements:  $|K^*| = p^n - 1$ <sup>7</sup> as result the multiplication of all the elements should give us 1:  $\alpha^{p^n-1} = 1$  or  $\alpha^{p^n} - \alpha = 0$ . Therefore  $\alpha$  is a root of  $Q_n$ . Thus the splitting field of  $Q_n$  consists of elements of  $K$ .

The uniqueness of sub-extension of  $\mathbb{F}_p$  with  $p^n$  elements is a result of uniqueness of the splitting field (see theorem 2.7).  $\square$

**Theorem 3.8.**  $\mathbb{F}_{p^d} \subset \mathbb{F}_{p^n}$  if and only if  $d \mid n$ .

*Proof.* Let  $\mathbb{F}_{p^d} \subset \mathbb{F}_{p^n}$  in this case  $\mathbb{F}_p \subset \mathbb{F}_{p^d} \subset \mathbb{F}_{p^n}$  and

$$[\mathbb{F}_{p^n} : \mathbb{F}_p] = [\mathbb{F}_{p^n} : \mathbb{F}_{p^d}] [\mathbb{F}_{p^d} : \mathbb{F}_p]$$

or  $n = x \cdot d$  i.e.  $d \mid n$

Conversely if  $d \mid n$  then  $n = x \cdot d$  or  $p^n = \prod_{i=1}^x p^d$  thus if  $x^{p^d} = x$  then

$$x^{p^n} = x^{\prod_{i=1}^x p^d} \left( x^{p^d} \right)^{\prod_{i=2}^x p^d} = x^{\prod_{i=2}^x p^d} = \dots = x^{p^d} = x,$$

i.e.  $\forall \alpha \in \mathbb{F}_{p^d}$  we also have  $\alpha \in \mathbb{F}_{p^n}$  or in other notation:  $\mathbb{F}_{p^d} \subset \mathbb{F}_{p^n}$ .  $\square$

**Theorem 3.9.**  $\mathbb{F}_{p^n}$  is a Stem field and a Splitting field of any irreducible polynomial  $P \in \mathbb{F}_p$  of degree  $n$ .

*Proof.* Stem field  $K$  has to have degree  $n$  over  $\mathbb{F}_p$  i.e.  $[K : \mathbb{F}_p] = n$  i.e. it should have  $p^n$  elements and therefore  $K = \mathbb{F}_{p^n}$  (see also remark 3.4).

About Splitting field. Using the just proved result we can say that if  $\alpha$  is a root of  $P$  then  $\alpha \in \mathbb{F}_{p^n}$  thus  $Q_n(\alpha) = 0$ . Therefore  $P$  divides  $Q_n$ <sup>8</sup> and as result  $P$  splits in  $\mathbb{F}_{p^n}$ .  $\square$

<sup>4</sup> For  $x \in \mathbb{F}_p$  we have that  $x^{p-1} = 1$  (the field with fixed number of elements) and therefore  $\forall x \in \mathbb{F}_p : x^p = x$  i. e.  $F_{p^n}(x) = x$ .

<sup>5</sup> If  $Q_n$  has a multiple root  $\beta$  then it is divisible by  $(X - \beta)^2$  and the  $Q'_n$  is divisible by (at least)  $(X - \beta)$  thus the  $(X - \beta)$  should be a part of gcd.

<sup>6</sup> ???  $Q'_n = p^n X^{p^n-1} - 1 \equiv -1 \pmod{p}$

<sup>7</sup>  $K^* = K \setminus \{0\}$

<sup>8</sup> as soon as any root of  $P$  also a root of  $Q_n$

**Corollary 3.10.** *Let  $\mathcal{P}_d$  is the set of all irreducible, Monic polynomials of degree  $d$  such that  $\mathcal{P}_d \subset \mathbb{F}_p[X]$  then*

$$Q_n = \prod_{d|n} \prod_{P \in \mathcal{P}_d} P$$

*Proof.* As we just seen if  $P \in \mathcal{P}_d$  and  $d \mid n$  then  $P \mid Q_n$ .<sup>9</sup> Since all such polynomials are relatively prime of course<sup>10 11</sup> and  $Q_n$  have no multiple roots (as result no multiple factors) then

$$\prod_{d|n} \prod_{P \in \mathcal{P}_d} P \mid Q_n$$

From other side let  $R$  is an irreducible factor of  $Q_n$ .  $\alpha$  is a root of  $R$  then  $Q_n(\alpha) = 0$  thus  $\mathbb{F}_p(\alpha) \subset \mathbb{F}_{p^n}$  therefore  $\mathbb{F}_p(\alpha) = \mathbb{F}_{p^d}$  where  $d \mid n$  and as result,  $\deg R \mid n$ . Thus the polynomial should be in the product  $\prod_{d|n} \prod_{P \in \mathcal{P}_d} P$ .  $\square$

**Example 3.2.1.** *Let  $p = n = 2$ . The monic irreducible polynomials in  $\mathbb{F}_2$  whose degree divides 2 are:  $x$ ,  $x + 1$  and  $x^2 + x + 1$ . As you can see*

$$x(x+1)(x^2+x+1) = x^4 + x = x^4 - x$$

*because  $2x = 0 \pmod{2}$  or  $x = -x$ .*

### 3.3 Multiplicative group and automorphism group of a finite field

**Theorem 3.11.** *Let  $K$  be a field and  $G$  be a finite Subgroup of  $K^*$  then  $G$  is a Cyclic group*

*Proof.* Idea is to compare  $G$  and the Cyclic group  $\mathbb{Z}/N\mathbb{Z}$  where  $N = |G|$ .<sup>12</sup>

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<sup>9</sup> Since stem field is  $\mathbb{F}_{p^d} \subset \mathbb{F}_{p^n}$

<sup>10</sup> As soon as  $\mathbb{F}_p[X]$  is Unique factorization domain then any polynomial can be written as a product of irreducible elements, uniquely up to order and units this means that each  $P \in \mathcal{P}_d$  (where  $d \mid n$ ) should be in the factorization of  $Q_n$ . It should be only one time because there is no multiply roots.

<sup>11</sup> We also can say that 2 irreducible polynomial  $P_1, P_2 \in \mathbb{F}_p[X]$  should not have same roots. For example if  $\alpha$  is the same root - it cannot be in  $\mathbb{F}_p$  because in the case the polynomials will be reducible. Thus it can be only in an extension of  $\mathbb{F}_p$  from other side  $\gcd(P_1, P_2) = 1$  and therefore with Bézout's lemma one can get that  $\exists Q, R \in \mathbb{F}_p[X]$  such that  $P_1Q + P_2R = 1$  and setting  $\alpha$  into the equation leads to fail statement that  $0 = 1$ .

<sup>12</sup> We also will use the fact that any cyclic group of order  $N$  is isomorphic to  $\mathbb{Z}/N\mathbb{Z}$

### 3.3. MULTIPLICATIVE GROUP AND AUTOMORPHISM GROUP OF A FINITE FIELD 39

Let  $\psi(d)$  - is the number of elements of order  $d$  ( see also Order of element in group) in  $G$ . We need  $\psi(N) \neq 0$  <sup>13</sup> and we know that  $N = \sum \psi(d)$ .

Let also  $\phi(d)$  - is the number of elements of order  $d$  ( see also Order of element in group) in  $\mathbb{Z}/N\mathbb{Z}$ . <sup>14</sup> As  $\mathbb{Z}/N\mathbb{Z}$  contains a single (cyclic) subgroup of order  $d$  for each  $d \mid N$ . <sup>15</sup>  $\phi(d)$  is the number of generators of  $\mathbb{Z}/d\mathbb{Z}$  i.e. the number of elements between 1 and  $d - 1$  that are prime to  $d$ . We know that  $\phi(N) \neq 0$ .

We claim that either  $\psi(d) = 0$  or  $\psi(d) = \phi(d)$  <sup>16</sup> If no element of order  $d$  in  $G$  then  $\psi(d) = 0$  otherwise if  $x \in G$  has order  $d$  then  $x^d = 1$  or  $x$  is a root of the following polynomial  $x^d - 1$ . The roots of the polynomial forms a cyclic subgroup of  $G$ . So  $G$  as well as  $\mathbb{Z}/N\mathbb{Z}$  has a single cyclic subgroup of order  $d$  (which is cyclic) or no such group at all. <sup>17</sup>

If  $\psi(d) \neq 0$  then exists such a subgroup and  $\psi(d)$  is equal to the number of generators of that group or  $\phi(d)$  <sup>18</sup> In particular  $\psi(d) \leq \phi(d)$  <sup>19</sup> but there should be equality because the sum of both  $\sum \psi(d) = \sum \phi(d) = N$ . In particular  $\psi(N) \neq 0$  and we proved the theorem.  $\square$

**Corollary 3.12.** *If  $\mathbb{F}_p \subset K$  and  $[K : \mathbb{F}_p] = n$  then  $\exists \alpha$  such that  $K = \mathbb{F}_p(\alpha)$ . In particular  $\exists$  an irreducible polynomial of degree  $n$  over  $\mathbb{F}_p$  <sup>20</sup>*

*Proof.* We can take  $\alpha =$  generator of  $K^*$  <sup>21</sup>  $\square$

<sup>13</sup> In this case we will have at least one element  $x$  of order  $N$  i.e.  $N$  different elements of  $G$  is generated by the  $x$  i.e. the  $G$  is cyclic.

<sup>14</sup> The function  $\phi(d)$  is also called as Euler's totient function and it counts the positive integers up to a given integer  $d$  that are relatively prime to  $d$

<sup>15</sup> The one generated by  $N/d$ . Let  $N = r \cdot d$  in the case  $x^N = 1$  there  $x$  is a  $\mathbb{Z}/N\mathbb{Z}$  group generator. From other side

$$x^N = x^{r \cdot d} = \prod_{i=1}^r x^d$$

thus  $x^d = 1$  i.e. there is a cyclic subgroup of order  $d$ .

<sup>16</sup> suffices since  $\sum \psi(d) = \sum \phi(d) = N$

<sup>17</sup> Several comments about the subgroup. There is a group because multiplication of any elements is in the set. It's cyclic because it's generated by one element. All  $x^i$  where  $i \leq d$  are different (in other case the group should have an order less than  $d$ ). Each element of the group  $x^i$  is a root of  $x^d - 1$  because  $(x^i)^d = (x^d)^i = 1^i = 1$ . And the group is unique as well as we have  $d$  different roots of  $x^d - 1$  in the group.

<sup>18</sup> Because the group is cyclic and any cyclic group is isomorphic to  $\mathbb{Z}/d\mathbb{Z}$  and as result has the same number of generators.

<sup>19</sup> because  $\psi(d) = 0$  or  $\psi(d) = \phi(d)$

<sup>20</sup> The theorem 3.9 and remark 3.4 says that the stem field for any polynomial of degree  $n$  over  $\mathbb{F}_p$  exists and there is  $\mathbb{F}_{p^n}$  and  $[\mathbb{F}_{p^n} : \mathbb{F}_p] = n$  i.e.  $K = \mathbb{F}_{p^n}$ . But we had not proved yet that an irreducible polynomial of degree  $n$  exists.

<sup>21</sup> This is because  $K^* = \langle \alpha \rangle$  i.e. any element of  $K$  except 0 can be got as a power of  $\alpha$

**Corollary 3.13.** *The group of automorphism of  $\mathbb{F}_{p^n}$  over  $\mathbb{F}_p$  is cyclic and generated by Frobenius map:  $F_p : x \rightarrow x^p$  (see remark 3.5)*

*Proof.* As we know from theorem 3.7:  $\forall x \in \mathbb{F}_{p^n} : x^{p^n} = x$  so  $F_p^n = Id$  <sup>22</sup>. From other side if  $m < n$  then  $x^{p^m} - x = 0$  has  $p^m < p^n$  roots and cannot be identity <sup>23</sup> Finally (from corollary 3.12) we have  $\mathbb{F}_{p^n} = \mathbb{F}_p(\alpha)$  where  $\alpha$  is a root of an irreducible polynomial of degree  $n$ . Thus there exists exactly  $n$  automorphisms of  $\mathbb{F}_{p^n}$ . <sup>24</sup> So

$$|Aut(\mathbb{F}_{p^n}/\mathbb{F}_p)| \leq n$$

and as we have  $n$  of them (Automorphisms) then

$$|Aut(\mathbb{F}_{p^n}/\mathbb{F}_p)| = n$$

□

### 3.4 Separable elements

Let  $E$  is a Splitting field of an irreducible polynomial  $P$ . We would like to say that it “has many Automorphisms”. What does this mean? This means the following thing: Let  $\alpha$  and  $\beta$  be 2 roots of  $P$  then we have 2 extensions  $K(\alpha) \subset E$  and  $K(\beta) \subset E$ .

There exists an Isomorphism (see proposition 2.2) over  $K$

$$\phi : K(\alpha) \rightarrow K(\beta)$$

that is also extended to an Automorphism on  $E$  (see theorem 2.14).

There is one problem with it: is that truth that an irreducible polynomial of degree  $n$  has “many” (no more than  $n$  and not single) roots.

The answer is yes if  $\text{char} K = 0$ , but not always if  $\text{char} K = p$  (where  $p$  is a prime number).  $P$  can have multiple roots in the case i.e.  $\gcd(P, P') \neq 1$ .

---

i.e. we really got  $K = \mathbb{F}_p(\alpha)$ .

??? The irreducible polynomial we can get if consider  $1, \alpha, \dots, \alpha^{n-1}$  as a basis and  $\alpha^n$  can be represented via the basis.

<sup>22</sup> because  $F_p^n : x \rightarrow x^{p^n} = x$ .

<sup>23</sup> because operates only with  $p^m$  elements i.e. not of all elements of  $\mathbb{F}_{p^n}$ .

<sup>24</sup> Each automorphism converts the root  $\alpha$  into another one of  $n$  roots of the irreducible polynomial



Why it's not a case for  $\text{char} K = 0$  - it is because  $\deg P' < \deg P$  and  $P \nmid P'$  for  $P' \neq 0$  (non constant polynomial) <sup>25</sup>

But for  $\text{char} K = p$  there can be a case when  $P' = 0$  for a non constant polynomial thus  $P \mid P'$  and as result  $\gcd(P, P') = P$ . The  $P' = 0$  i.e. is vanish if  $P = \sum a_i x^i$  and  $p \mid i$  or  $a_i = 0$ .

Let  $r = \max h$  such that  $P$  is a polynomial in  $x^{p^h}$  that is  $a_i = 0$  whenever  $p^h \nmid i$

**Proposition 3.14.** *Let  $P(X) = Q(x^{p^r})$  and  $Q' \neq 0$  i.e.  $\gcd(Q, Q') = 1$  and  $Q$  does not have multiple roots but all roots of  $P$  have multiplicity  $p^r$ .*

*Proof.* If  $\lambda$  is a root of  $P$  then  $\lambda: P(X) = (X - \lambda)R$  Thus  $\mu = \lambda^{p^r}$  is the root of  $Q$ :  $Q(Y) = (Y - \lambda^{p^r})S(Y)$  therefore

$$P(X) = (X^{p^r} - \lambda^{p^r}) S(X^{p^r}) = (X - \lambda)^{p^r} S(X^{p^r})$$

and  $\lambda$  is not a root of  $S(X^{p^r})$ . <sup>26</sup> Thus we just got that multiplicity of  $\lambda$  is  $p^r$ .  $\square$

**Definition 3.15** (Separable polynomial).  $P \in K[X]$  irreducible polynomial is called separable if  $\gcd(P, P') = 1$

**Definition 3.16** (Degree of separability).  $d_{\text{sep}}(P) = \deg Q$  (as above) <sup>27</sup>

**Definition 3.17** (Degree of inseparability).  $d_i(P) = \frac{\deg P}{\deg Q}$  ( $= p^r$  in definition 3.16)

**Definition 3.18** (Pure inseparable polynomial).  $P$  is pure inseparable if  $d_i = \deg P$ . Then  $P = X^{p^r} - a$  <sup>28</sup>

**Definition 3.19** (Separable element). Let  $L$  be an Algebraic extension of  $K$  then  $\alpha \in K$  is called separable(inseparable) if it's Minimal polynomial  $P_{\min}(\alpha, K)$  has the property. Note: the separable element is also Algebraic element because it has minimal polynomial.

<sup>25</sup> Let  $P$  has multiply roots. As soon as it's irreducible a multiply root is in an extension of  $K$ . In this case the root should be also a root for  $P'$  thus by theorem 0.33 one can get that  $P \mid P'$  in  $K[X]$  but that is impossible because  $\deg P' < \deg P$  and can be only possible if  $P' = 0$ .

<sup>26</sup> This is because  $Q$  does not have multiply roots and as result  $\mu = \lambda^{p^r}$  is not a root of  $S$  or in other words  $S(X^{p^r})_{X=\lambda} \neq 0$

<sup>27</sup> It requires some explanation compare to that one was got on the lecture video. If  $P$  is a Separable polynomial then  $d_{\text{sep}}(P) = \deg P$ . In other case  $P$  should be represented as  $P(X) = q_1(X^p)$ . If  $q_1(Y)$  is separable than  $Q = q_1$  otherwise we continue and represent  $q_1(X) = q_2(X^p)$ . We should stop on some  $q_r$  in this case  $Q = q_r$  and  $P(X) = Q(X^{p^r})$ . In the case  $d_{\text{sep}}(P) = \deg Q$ .

<sup>28</sup> ??? For example but not then

**Proposition 3.20** (On number of homomorphisms). *If  $\alpha$  is separable on  $K$  then the number of Homomorphisms over  $K$  from  $K$  to  $\bar{K}$*

$$|Hom_K(K(\alpha), \bar{K})| = \deg P_{min}(\alpha, K)$$

*in general*

$$|Hom_K(K(\alpha), \bar{K})| = d_{sep} P_{min}(\alpha, K)$$

*Proof.* It's obvious because  $d_{sep}$  is the number of distinct roots.  $\square$

### 3.5 Separable degree, separable extensions

We want to generalize the proposition 3.20 for any field extension (not necessary  $K(\alpha)$ ). Let  $L$  be a finite extension of  $K$

**Definition 3.21** (Separable degree).  $[L : K]_{sep} = |Hom_K(L, \bar{K})|$

As we know if  $L = K(\alpha)$  then Separable degree is a number of distinct roots of minimal polynomial  $P_{min}(\alpha, K)$

**Definition 3.22** (Separable extension).  $L$  is separable over  $K$  if  $[L : K]_{sep} = [L : K]$

**Definition 3.23** (Inseparable degree).

$$[L : K]_i = \frac{[L : K]}{[L : K]_{sep}}$$

**Theorem 3.24** (About separable extensions). 1. *If  $K \subset L \subset M$  then  $[M : K]_{sep} = [M : L]_{sep} [L : K]_{sep}$  and  $M$  is Separable extension over  $K$  if and only if  $M$  is separable over  $L$  and  $L$  is separable over  $K$*

2. *The following things are equivalent*

- (a)  $L$  is separable over  $K$
- (b)  $\forall \alpha \in L$   $\alpha$  Separable element over  $K$
- (c)  $L$  is generated over  $K$  by a finite number of Separable elements  
i.e.  $L = K(\alpha_1, \alpha_2, \dots, \alpha_n)$ , there  $\alpha_i$  is separable over  $K$
- (d)  $L = K(\alpha_1, \alpha_2, \dots, \alpha_n)$ , there  $\alpha_i$  is separable over  $K(\alpha_1, \alpha_2, \dots, \alpha_{i-1})$

**Remark 3.25.** *That holds if we replace separability with pure inseparability.*

*Proof.* About 1st part: If we have a Homomorphism  $\phi : L \rightarrow \bar{K}$  then it is extended to  $\tilde{\phi} : M \rightarrow \bar{K}$  (by extension theorem 2.14) it can be done with one way per each homomorphism from  $L$  to  $M$  i.e. it can be done by  $|Hom_L(M, \bar{K})|$  ways but we have

$$|Hom_L(M, \bar{K})| = |Hom_L(M, \bar{L})| = [M : L]_{sep}$$

because  $\bar{K}$  is also  $\bar{L}$  (Algebraic closure over  $L$ ) thus for the total number of homomorphisms one can get the following equations

$$\begin{aligned} [M : K]_{sep} &= |Hom_K(M, \bar{K})| = |Hom_K(L, \bar{K})| |Hom_L(M, \bar{K})| = \\ &= |Hom_K(L, \bar{K})| |Hom_L(M, \bar{L})| = [M : L]_{sep} [L : K]_{sep} \end{aligned}$$

We have the following inequality

$$[E : K]_{sep} \leq [E : K] \quad (3.1)$$

29

With the inequality (3.1) we also have

$$[M : K]_{sep} = [M : L]_{sep} [L : K]_{sep} \leq [M : L] [L : K] = [M : K]$$

The equality is possible if  $[M : L]_{sep} = [M : L]$  and  $[L : K]_{sep} = [L : K]$  i.e. if  $M$  is separable over  $L$  and  $L$  is separable over  $K$ . This finishes the proof of the first part.

About 2d part:

2a  $\Rightarrow$  2b: Part 1 implies that a separable sub extension  $K(\alpha)$  or a separable extension  $L$  is separable. <sup>30</sup>

2b  $\Rightarrow$  2c: obvious <sup>31</sup>

---

<sup>29</sup> The inequality can be proved by induction using the fact that it's true for  $K(\alpha)$  because from general case of proposition 3.20

$$|Hom_K(K(\alpha), \bar{K})| = d_{sep} P_{min}(\alpha, K) \leq \deg P_{min}(\alpha, K) = [K(\alpha) : K]$$

Then let it was proved for  $E = K(\alpha_1, \dots, \alpha_{n-1})$  and we want to prove it for  $K(\alpha_1, \dots, \alpha_{n-1}, \alpha_n) = E(\alpha_n)$ . It's easy because  $\bar{E} = \bar{K}$  and we can use the same approach as for the first induction step.

<sup>30</sup> I.e. in the case we have  $K \subset K(\alpha) \subset L$  and if  $L$  is separable then  $K(\alpha)$  is separable and as result  $\alpha$  is a Separable element because  $P_{min}(\alpha, K)$  is separable.

<sup>31</sup> We consider finite extensions (see remark 3.4) i.e. which consists of finite number of elements

2c  $\Rightarrow$  2d: We know that  $P_{\min}(\alpha_i, K(\alpha_1, \dots, \alpha_{i-1}))$  divides  $P_{\min}(\alpha_i, K)$ .  
<sup>32</sup> Thus if  $P_{\min}(\alpha_i, K)$  is separable (i.e. have distinct roots) then it's divisor  $P_{\min}(\alpha_i, K(\alpha_1, \dots, \alpha_{i-1}))$  also should have distinct roots i.e.  $\alpha_i$  is a Separable element over  $K(\alpha_1, \dots, \alpha_{i-1})$   
 2d  $\Rightarrow$  2a: Induction as above <sup>33</sup>  $\square$

What's about not finite extension? For that case we can define separable extension as follows.

**Definition 3.26** (Separable closure). *If  $L$  over  $K$  not necessary finite (but algebraic over  $K$ ) we can define*

$$L^{\text{sep}} = \{x | x \text{ separable over } K\}$$

$L^{\text{sep}}$  is a sub extension (??? from theorem 3.24) called separable closure of  $K$  over  $L$

$L$  is pure inseparable over  $L^{\text{sep}}$ .

**Remark 3.27.** 1. If  $\text{char } K = 0$  then any extension of  $K$  is separable

2. If  $\text{char } K = p$  then pure inseparable extension has degree  $p^r$  and always degree of inseparability  $[L : K]_i = p^r$

## 3.6 Perfect fields

**Definition 3.28** (Perfect field). *Let  $K$  is a field and  $\text{char } K = p > 0$ .  $K$  is perfect if Frobenius homomorphism is a Surjection*

**Example 3.6.1.** 1. Finite field is perfect because an Injection of a set into itself is always a Surjection

2. Algebraically closed fields are perfect because  $x^p - a$  has a root for any  $a$  particularly  $a = F_p(\alpha)$  <sup>34</sup>

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<sup>32</sup> Let  $K(\alpha_1, \dots, \alpha_{i-1}) = L$  then  $K \subset L$  and  $P_{\min}(\alpha_i, K) \in L[X]$  From other side  $P_{\min}(\alpha_i, L)$  is the minimal irreducible polynomial in  $L[X]$  and any other polynomial with  $\alpha_i$  as root has to be divisible by it. see also lemma 1.7

<sup>33</sup> The first induction step is trivial:  $L = K(\alpha)$  where  $\alpha$  is separable over  $K$  in this case  $K(\alpha)$  is also separable. Now we have that  $\forall k < n$ : if  $L = K(\alpha_1, \alpha_2, \dots, \alpha_k)$ , there  $\alpha_i$  is separable over  $K(\alpha_1, \alpha_2, \dots, \alpha_{i-1})$  then  $L$  is separable over  $K$ . Thus we have  $K(\alpha_1, \alpha_2, \dots, \alpha_{n-1})$  separable and  $\alpha_n$  is separable over  $K(\alpha_1, \alpha_2, \dots, \alpha_{n-1})$  thus using the first part of the theorem we can conclude that  $K(\alpha_1, \alpha_2, \dots, \alpha_n)$  is also separable over  $K$

<sup>34</sup> As a polynomial in  $Y = X^p$  has a root then it also have a root for  $X$  variable.

3. *Not perfect field example.* Let  $K = \mathbb{F}_p(X)$  be a field of rational fractions in 1 variable over  $\mathbb{F}_p$ . I.e. elements of the field are  $\frac{f(X)}{g(X)}$  where  $f, g \in \mathbb{F}_p[X]$ . It's not perfect because  $\text{Im}(F_p) = \mathbb{F}_p(X^p) \neq \mathbb{F}_p(X)$

**Theorem 3.29.**  $K$  is a Perfect field if and only if all irreducible polynomial over  $K$  are separable or in other words all Algebraic extensions of  $K$  are separable.

*Proof.* Let  $K$  is perfect and  $P \in K[X]$  is an irreducible polynomial. Let also

$$P(X) = Q(X^{p^r}) = \sum_i a_i (X^{p^r})^i$$

but as soon as my field is perfect then I can extract  $p$ -root of  $a_i$  and do it repeatedly. I.e.  $\exists b_i \in K$  such that  $b_i^{p^r} = a_i$ . Therefore

$$P(X) = \sum_i b_i^{p^r} (X^{p^r})^i = \sum_i (b_i X^i)^{p^r} = \left( \sum_i b_i X^i \right)^{p^r}.$$

The polynomial is not irreducible unless  $r = 0$ <sup>35</sup> so irreducible means separable.

If  $K$  is not perfect but all irreducible polynomial are separable.  $K$  is not perfect means that  $\exists a \notin \text{Im}(F_p)$  and lets consider the following polynomial:  $X^{p^r} - a$ . It is irreducible and not separable.

About separability: in fact all roots are in  $\bar{K}$  are the same  $x$  with  $x^{p^r} = a$ <sup>36</sup> and of course  $x^{p^{r-1}} \notin K$ .<sup>37</sup>

About the polynomial is irreducible. We have already seen that in the case  $[K(x) : K] = p^r$  so the polynomial is irreducible (??? see corollary 3.12) and this finishes the proof.<sup>38</sup>  $\square$

<sup>35</sup> In other case each root will have at least multiplicity  $p^r$ .

<sup>36</sup> We have  $x^{p^r} = a$  thus polynomial  $X^{p^r} - a$  can be written as  $X^{p^r} - a = X^{p^r} - x^{p^r} = (X - x)^{p^r}$  thus  $x$  has multiplicity  $p^r$

<sup>37</sup> as soon as any power of  $x$  (little  $x$  but not the big one  $X$ )

<sup>38</sup> Because we found an irreducible polynomial that is not separable because has a root of multiplicity  $p^r$ .



# Chapter 4

## Tensor product. Structure of finite K-algebras

This is a digression on commutative algebra. We introduce and study the notion of tensor product of modules over a ring. We prove a structure theorem for finite algebras over a field (a version of the well-known "Chinese remainder theorem").

### 4.1 Definition of tensor product

#### 4.1.1 Summary for previous lectures

We considered finite Field extension  $L$  i.e  $[L : K] < \infty$ . We also saw that if  $L$  is generated by a finite number of Separable elements  $\alpha_1, \dots, \alpha_r$  then the number of Homomorphisms over  $K$  from  $L$  to  $\bar{K}$  denoted by  $|Hom_K(L, \bar{K})|$  is equal to  $[L : K]$ . In general

$$[L : K]_{sep} = |Hom_K(L, \bar{K})| \leq [L : K].$$

For  $L = K(\alpha)$  it is clear because the number of homomorphisms is equal to the number of roots of the Minimal polynomial  $P_{min}(\alpha, K)$ . In general one can use induction and multiplicativity of the degree  $[L : K]$  and number of homomorphisms (see theorem About separable extensions). Thus separable extension was exactly an extension which had the right number of homomorphisms into the algebraic closure.

Our next goal is to characterize the separability in the terms of tensor product.

### 4.1.2 Tensor product

**Definition 4.1** (Tensor product). Let  $A$  is a ring,  $N, M$  are  $A$ -Modules. The tensor product  $M \otimes_A N$  is another  $A$ -Module together with an  $A$ -bilinear map  $\phi : M \times N \rightarrow M \otimes_A N$  which has “Universal property” defined below

**Definition 4.2** (Universal property).  $A$ -bilinear map  $\phi : M \times N \rightarrow M \otimes_A N$  has “universal property” if  $\forall P$  -  $A$ -Module and for  $A$ -bilinear  $f : M \times N \rightarrow P$  ( i.e.  $\forall m, f_m : N \rightarrow P$  and  $\forall n, f_n : M \rightarrow P$  are Homomorphisms of  $A$ -modules ), then  $\exists! \tilde{f}$  - homomorphism of  $A$ -modules such that  $f = \tilde{f} \circ \phi$ <sup>1</sup>

$$\begin{array}{ccc} M \times N & \xrightarrow{f} & P \\ & \searrow \phi \quad \nearrow \tilde{f} & \\ & M \otimes_A N & \end{array}$$

The property characterize the pair  $(\phi, M \otimes N)$ . Really if have another pair  $(\bar{\phi}, \overline{M \otimes N})$  like this one then by definition we have mutually inverse homomorphisms of  $A$ -modules between them

**Lemma 4.3** (About uniqueness of object defined by universal property).  
<sup>2</sup> If we have two objects  $(\phi, M \otimes N)$  and  $(\bar{\phi}, \overline{M \otimes N})$  which both satisfies Universal property than there is an unique Isomorphism between them:

$$(\phi, M \otimes N) \cong (\bar{\phi}, \overline{M \otimes N})$$

*Proof.* Let  $P = \overline{M \otimes N}$  and  $f = \bar{\phi}$ . In the case we can consider the following diagram

$$\begin{array}{ccccc} & & M \otimes_A N & & \\ & \nearrow \phi & \downarrow g = \tilde{\phi} & & \\ M \times N & \xrightarrow{\bar{\phi}} & \overline{M \otimes_A N} & \xrightarrow{\phi} & M \otimes_A N \\ & \searrow \phi & \downarrow \bar{g} = \tilde{\phi} & & \end{array}$$

<sup>1</sup> That means that we have a Commutative diagram there

<sup>2</sup> It is out of the lecture video and can be considered as an explanation for the claim about having mutually inverse homomorphisms of  $A$ -modules



Thus we have that there are 2 homomorphisms  $g : M \otimes_A N \rightarrow \overline{M \otimes_A N}$  and  $\bar{g} : \overline{M \otimes_A N} \rightarrow M \otimes_A N$  moreover  $g \circ \bar{g} = id_{M \otimes_A N}$ <sup>3</sup> i.e.  $\bar{g} = g^{-1}$  i.e. we have an Isomorphism and the isomorphism is unique as soon as the function  $g$  is unique due the Universal property.

We just prove an isomorphism existence between  $M \otimes N$  and  $\overline{M \otimes N}$  but the tensor product is characterized not only by the module  $M \otimes N$  but also a bilinear map  $\phi$  for that we can have the following: setting  $P = \overline{M \otimes N}$  we can get that  $\bar{\phi} = \tilde{\phi} \circ \phi$  is determined by the unique relation  $\phi \rightarrow \bar{\phi}$  as soon as  $\tilde{\phi}$  is unique. Analogues one can get unique relation  $\bar{\phi} \rightarrow \phi$ .  $\square$

The uniqueness does not mean existence and we should proof that such object exists.

**Lemma 4.4** (About tensor product existence). *Tensor product defined via Universal property exists*

*Proof.* Lets consider  $\mathcal{E}$  the maps (functions) from  $M \times N$  to  $A$  as sets which are 0 almost everywhere (i.e. outside of a finite set). For example we can consider delta functions:

$$\delta_{m,n} : M \times N \rightarrow A$$

such that

$$\begin{aligned} \delta_{m,n}(m, n) &= 1, \\ \delta_{m,n}(m', n') &= 0 \text{ if } (m, n) \neq (m', n') \end{aligned}$$

Then  $\mathcal{E}$  is a  $A$ -Free module with basis  $\delta_{m,n}$ . Thus we have a map of sets  $M \times N \rightarrow \mathcal{E}$  such that  $(m, n) \rightarrow \delta_{m,n}$  which is not bilinear but we can make it bilinear by means of changing  $\mathcal{E}$ .

Let  $\mathcal{F} \subset \mathcal{E}$  a submodule generated by  $\delta_{m+m',n} - \delta_{m,n} - \delta_{m',n}$ ,  $\delta_{m,n+n'} - \delta_{m,n} - \delta_{m,n'}$ ,  $\delta_{am,n} - a\delta_{m,n}$ ,  $\delta_{m,an} - a\delta_{m,n}$ .<sup>4</sup>

It can be shown that  $M \times N \rightarrow \mathcal{E}/\mathcal{F}$  is bilinear<sup>5</sup> and has the desired Universal property.

<sup>3</sup> We have that both  $g$  and  $\bar{g}$  are linear. They also declared to be unique. They combination is also linear and ??? unique. The  $id_{M \otimes_A N}$  satisfies the linear property and from uniqueness there is no any others

<sup>4</sup> The basis is chosen to be a bilinear mod  $\mathcal{F}$ , for instance  $\delta_{m+m',n} = \delta_{m,n} + \delta_{m',n}$  mod  $\mathcal{F}$

<sup>5</sup> Follows from the basis choice

Really lets we have the following bilinear map:  $f : M \times N \rightarrow P$ . Then we can consider the following map  $f' : \mathcal{E} \rightarrow P$  that sends  $\delta_{m,n}$  to  $f(n, m)$ . Using the fact that  $f$  is bilinear we can get

$$\begin{aligned} f'(\delta_{m+m',n}) &= f'(\delta_{m,n}) + f'(\delta_{m',n}), \\ f'(\delta_{m,n+n'}) &= f'(\delta_{m,n}) + f'(\delta_{m,n'}), \\ f'(\delta_{am,n}) &= af'(\delta_{m,n}), \\ f'(\delta_{m,an}) &= af'(\delta_{m,n}) \end{aligned}$$

with the  $f'$  linearity we have

$$\begin{aligned} f'(\delta_{m+m',n}) &= f'(\delta_{m,n} + \delta_{m',n}), \\ f'(\delta_{m,n+n'}) &= f'(\delta_{m,n} + \delta_{m,n'}), \\ f'(\delta_{am,n}) &= af'(\delta_{m,n}), \\ f'(\delta_{m,an}) &= af'(\delta_{m,n}) \end{aligned}$$

The kernel  $\ker f' = \mathcal{F}$  thus if we want to have a homomorphism to  $P$  we have to replace  $\mathcal{E}$  with  $\mathcal{E}/\mathcal{F}$  that is also denoted by  $M \otimes_A N$ . In the case we will replace  $f'$  with  $\tilde{f}(\delta_{m,n} \bmod \mathcal{F}) = f(m, n)$ . As soon as the images for the basis is fixed the mapping is unique.  $\square$

We will denote  $\phi(m, n) = \delta_{m,n} \bmod \mathcal{F}$  as  $m \otimes n$ . I.e our tensor product can be considered as the  $(\otimes, M \otimes_A N)$  pair.

**Remark 4.5.** *Wrong idea is to define  $M \otimes_A N$  as a set of  $m \otimes n$ . I.e.  $M \otimes_A N \neq \{m \otimes n\}$ .*

The  $M \otimes_A N$  is generated by  $m \otimes n$  i.e.  $\forall x \in M \otimes_A N$  we have  $x = \sum_{i=1}^k m_i \otimes n_i$

## 4.2 Tensor product of modules

### 4.2.1 Advantages of the universal property

Now, you can ask why haven't I just defined the tensor product by this construction? Why am I talking of this universal property? And the answer is because it is easier to prove things this way. So advantages of the universal property is as follows: that the proofs become easy.

### 4.2.2 Several examples of universal property usage

**Example 4.2.1** (Commutativity proof). *We want to prove that*

$$M \otimes_A N \cong N \otimes_A M$$

*We have the following bilinear map:  $M \times N \rightarrow N \otimes_A M$  for which the pair  $(m, n)$  is mapped to  $n \otimes m$ . Thus from Universal property we have that there is a linear map (homomorphism)  $\alpha : M \otimes_A N \rightarrow N \otimes_A M$ . With the same construction we can get also and the inverse map that sends  $N \otimes_A M$  to  $M \otimes_A N$ .*

Also

$$A \otimes_A M \cong M$$

If we have that  $M$  is generated by  $e_1, e_2, \dots$  and  $N$  is generated by  $\epsilon_1, \epsilon_2, \dots$  than  $M \otimes_A N$  is generated by pairs  $e_i \otimes \epsilon_j$ . It's obvious.

More complex fact is the following

**Proposition 4.6.** *Let  $M$  and  $N$  are Free modules with corresponding bases  $e_1, e_2, \dots, e_n$  and  $\epsilon_1, \epsilon_2, \dots, \epsilon_m$  than  $M \otimes_A N$  is also free module with basis  $e_i \otimes \epsilon_j$  where  $1 \leq i \leq n$  and  $1 \leq j \leq m$ .*

*Proof.* Lets define  $f_{i_0, j_0} : M \times N \rightarrow A$  as a map that sends  $(\sum a_i e_i, \sum b_j \epsilon_j)$  to  $a_{j_0} b_{j_0}$ . It's bilinear <sup>6</sup> so it factors through the tensor product  $\tilde{f}_{i_0, j_0} : M \otimes_A N \rightarrow A$ . The map  $\tilde{f}_{i_0, j_0}$  sends  $e_{i_0} \otimes \epsilon_{j_0}$  to 1 and all others to 0. So if

$$\sum \alpha_{ij} e_i \otimes \epsilon_j = 0$$

then applying  $\tilde{f}_{i_0, j_0}$  for all indices one can get  $\forall i, j : \alpha_{ij} = 0$ . <sup>7</sup> □

In particular for the Vector space the tensor product is defined in the same way (as just proved in the proposition 4.6): the testnsor product of 2 vector spaces with bases  $e_1, e_2, \dots, e_n$  and  $\epsilon_1, \epsilon_2, \dots, \epsilon_m$  is another vector space with the following basis  $e_i \otimes \epsilon_j$  i.e. the definition does not take into consideration the Universal property.

**Proposition 4.7** (Associative).

$$(M_1 \otimes_A M_2) \otimes_A M_3 \cong M_1 \otimes_A (M_2 \otimes_A M_3)$$

*Proof.* There is just a scratch of the proof. Introduce  $M_1 \otimes_A M_2 \otimes_A M_3$  as a universal object for 3-linear maps and show that 2 considered parts are isomorphic each other. □

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<sup>6</sup> for example  $(\sum (a_i + a'_i) e_i, \sum b_j \epsilon_j)$  is sent to  $(a_{j_0} + a'_{j_0}) b_{j_0}$ .

<sup>7</sup> because  $\tilde{f}$  should be linear.

### 4.3 Base change

Let  $A$  is a Ring and  $B$  is  $A$ -algebra. Let also  $M$  is an  $A$ -Module and  $N$  is  $B$ -module.

I can of course make  $N$  into  $A$ -module (just forgetting the additional  $A$ -algebra structure). But we can also make  $B$ -module of  $M$ , that is not a trivial thing, by considering  $B \otimes_A M$ . We can introduce  $B$ -module structure on  $B \otimes_A M$  by <sup>8</sup>

$$b \cdot (b' \otimes m) = (b \cdot b') \otimes m$$

**Example 4.3.1** (The complexification of a real vector space). *We can “make”  $\mathbb{R}^{2n}$  from  $\mathbb{C}^n$  by forgetting the complex structure.* <sup>9</sup> *The  $\mathbb{C}^n$  has the following basis  $e_1, \dots, e_n$ . The  $\mathbb{R}^{2n}$  has the following one  $e_1, \dots, e_n, ie_1, \dots, ie_n$ . Now we forgot about multiplication rules for  $i = \sqrt{-1}$  and denote  $ie_i$  as  $v_i$ . In the case the basis for  $\mathbb{R}^{2n}$  is the following one:  $e_1, \dots, e_n, v_1, \dots, v_n$ .*

*But we can also do the following constructions*

$$\mathbb{R}^n \rightarrow \mathbb{C}^n = \mathbb{C} \otimes \mathbb{R}^n \rightarrow \mathbb{R}^{2n}$$

*for the  $\mathbb{C}^n$  basis we have  $1 \otimes e_1, \dots, 1 \otimes e_n$  and for  $\mathbb{R}^{2n}$  -  $1 \otimes e_1, \dots, 1 \otimes e_n, i \otimes e_1, \dots, i \otimes e_n$ .*

**Proposition 4.8.** *In general we have the following. If  $M$  - free  $A$  - module with basis  $e_1, \dots, e_n$  then  $B \otimes_A M$  is a free  $B$  module with basis  $1_B \otimes e_1, \dots, 1_B \otimes e_n$ .*

*Proof.* The proof is the same as at proposition 4.6. Again we construct certain bilinear maps and say that those factor over the tensor product and this implies that certain families are linearly independent. ???  $\square$

We have the following maps.

- For  $A$  - modules:  $\alpha : M \rightarrow B \otimes_A M$  where  $m \in M \rightarrow 1_B \otimes m$
- For  $B$  - modules:  $\mu : B \otimes_A N \rightarrow N$  where  $n \in N, b \in B \rightarrow bn$ .

**Theorem 4.9** (Base-change). *Let  $A$  is a Ring and  $B$  is  $A$ -algebra. Let also  $M$  is an  $A$ -Module and  $N$  is  $B$ -module.*

$$\text{Hom}_A(M, N) \leftrightarrow \text{Hom}_A(B \otimes_A M, N)$$

*I.e. the homomorphisms are the same or in other words the corresponding groups of homomorphisms are isomorphic.*

<sup>8</sup> I.e. we introduced  $B$ -algebra operations for objects from  $B \otimes_A M$ . See also definition 1.1.

<sup>9</sup> In the case we have ring  $A = \mathbb{R}$  and  $B = \mathbb{C}$  -  $A$  algebra.  $A$  - module is the following vector space  $M = \mathbb{R}^{2n}$  and  $B$  - module is  $N = \mathbb{C}^n$ .

*Proof.* First of all we have <sup>10</sup> Homomorphism  $f : B \otimes M \rightarrow N$ . We also have the following map (see above):  $\alpha : M \rightarrow B \otimes_A M$ . Thus  $f \cdot \alpha : M \rightarrow N$  i.e.  $f \in \text{Hom}_A(B \otimes_A M, N)$  corresponds to  $f \cdot \alpha \in \text{Hom}_A(M, N)$ .

In other direction we have  $g : M \rightarrow N$  thus  $\text{id}_B \otimes g : B \otimes_A M \rightarrow B \otimes_A N$  but ( see also above) we have  $\mu : B \otimes_A N \rightarrow N$  i.e.  $g \in \text{Hom}_A(M, N)$  corresponds to  $\mu \cdot (\text{id}_B \otimes g) \in \text{Hom}_A(B \otimes_A M, N)$ .

And then we check that those maps are mutually inverse. <sup>11</sup>  $\square$

## 4.4 Examples. Tensor product of algebras

**Proposition 4.10.** *If  $I \subset A$  - an Ideal so my  $B$  -  $A$  algebra will be  $B = A/I$  then*

$$A/I \otimes_A M \cong M/IM$$

*Proof.* We have map  $\alpha : M \rightarrow A/I \otimes_A M$  (see above section) which sends  $m$  to  $1 \otimes m$ . The map sends  $IM$  to 0 because  $\forall i \in I, m \in M : im \rightarrow 1 \otimes im = i \otimes m$  because the tensor product is over  $A$  and everything is  $A$  linear and as result  $1 \otimes im = i \otimes m$ , but  $i \otimes m = 0 \otimes m = 0$ . <sup>12</sup> Thus  $\alpha$  sends  $IM$  to 0. So  $\alpha$  induces  $\bar{\alpha} : M/IM \rightarrow A/I \otimes_A M$  <sup>13</sup>

For other direction we apply Base-change theorem. The following map of  $A$ -modules

$$M \rightarrow M/IM$$

gives us the following map of  $B$ -modules

$$B \otimes_A M \rightarrow M/IM$$

i.e.

$$A/I \otimes_A M \rightarrow M/IM$$

Ones check again that this inverse to  $\bar{\alpha}$ . <sup>14</sup>  $\square$

Several examples:

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<sup>10</sup> One homomorphism from  $\text{Hom}_A(B \otimes_A M, N)$

<sup>11</sup> ???

<sup>12</sup> because  $i = 0 \pmod I$

<sup>13</sup> ???

<sup>14</sup> ???

**Example 4.4.1.** Let  $\mathbb{Z}/2\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/3\mathbb{Z}$  what will we obtain?

$$\mathbb{Z}/2\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/3\mathbb{Z} \cong \mathbb{Z}/3\mathbb{Z} / (2) \cdot \mathbb{Z}/3\mathbb{Z}$$

but 2 is invertible:  $2^{-1} = -1 \pmod{3}$  thus  $(2)\mathbb{Z}/3\mathbb{Z} = \mathbb{Z}/3\mathbb{Z}$  and as result

$$\mathbb{Z}/2\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}/3\mathbb{Z} \cong \mathbb{Z}/3\mathbb{Z} / \mathbb{Z}/3\mathbb{Z} = 0$$

**Example 4.4.2.**

$$B \otimes_A A[X] \cong B[X]$$

and more interesting one

$$B \otimes_A A[X] / (P) \cong B[X] / (P),$$

there  $(P)$  becomes an ideal generated by  $P$  in  $B[X]$ .

#### 4.4.1 Tensor product of A algebras

Let  $B, C$  are  $A$ -algebras. The following maps form an algebra structure on  $A$ :

$$\alpha : A \rightarrow B$$

$$\beta : A \rightarrow C$$

New  $A$ -algebra  $B \otimes_A C$ : is a ring with respect to the following operation <sup>15</sup>

$$(b \otimes c) \cdot (b' \otimes c') = (b \cdot b') \otimes (c \cdot c')$$

The tensor product has the following

**Definition 4.11** (Universal property). *Let we have the following maps*

$$\alpha : A \rightarrow B,$$

$$\beta : A \rightarrow C,$$

$$\phi : b \in B \rightarrow b \otimes 1 \in B \otimes_A C,$$

$$\psi : c \in C \rightarrow 1 \otimes c \in B \otimes_A C$$

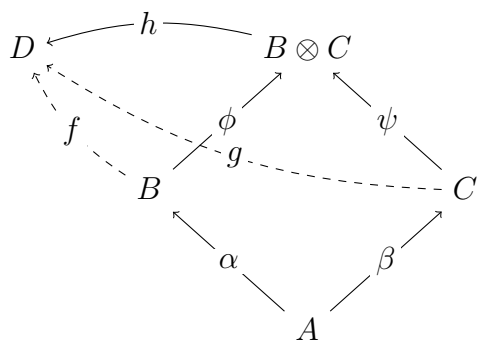
Then for any  $A$ -algebra  $D$  one has

$$\text{Hom}_A(B \otimes_A C, D) \leftrightarrow \text{Hom}_A(B, D) \times \text{Hom}_A(C, D)$$

i.e. if I have some Homomorphism  $h \in \text{Hom}_A(B \otimes_A C, D)$  this is the same as giving 2 homomorphisms  $f \in \text{Hom}_A(B, D)$  and  $g \in \text{Hom}_A(C, D)$  such that all maps in the following diagram commute (see Commutative diagram).

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<sup>15</sup> that makes it  $A$ -algebra (see K-algebra)



Thus if we have  $h$  then we can define  $f = h \cdot \phi$  and  $g = h \cdot \psi$ . And conversely if I have  $f$  and  $g$  then I can define  $h$  by the following rule:

$$h(b \otimes c) = f(b) \cdot g(c)$$

Let consider the following example

$$\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C} \cong \mathbb{C} \otimes_{\mathbb{R}} \mathbb{R}[X]/(x^2 + 1) \cong \mathbb{C}[X]/(x^2 + 1)$$

but by Chinese remainder theorem

$$\mathbb{C}[X]/(x^2+1) \cong \mathbb{C}[X]/(x+i) \times \mathbb{C}[X]/(x-i) \cong \mathbb{C} \times \mathbb{C}$$

As result we have that  $\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C}$  is not a field because it has zero divisors.

### 4.5 Relatively prime ideals. Chinese remainder theorem

**Definition 4.12** (Relatively prime ideals). *Let  $A$  - Ring and  $I, J$  are Ideals.  $I$  and  $J$  are relatively prime if  $I + J = A$ .*

**Lemma 4.13.**    1. If  $I, J$  are relatively prime then  $IJ = I \cap J$

2. If  $I_1, \dots, I_k$  relatively prime with  $J$  then  $\prod_{i=1}^k I_i = I_1 \cdot \dots \cdot I_k$  is also relatively prime with  $J$ .
3. If  $I, J$  relatively prime then  $I^k$  and  $J^l$  are also relatively prime for any  $l$  and  $k$ .

*Proof.* 1. The following one  $IJ \subset I \cap J$  is clear <sup>16</sup> If  $I$  and  $J$  are relatively prime then  $1_A = i + j$  for some  $i \in I$  and  $j \in J$ . Thus  $\forall x \in I \cap J$  we have the following ones:  $xi \in IJ$  and  $xj \in IJ$  and as result

$$x = xi + xj \in IJ$$

<sup>16</sup> Assuming that  $I$  and  $J$  commute we have if  $x \in IJ$  then  $x \in I$  and if  $x \in JI$  then  $x \in J$  i.e.  $x \in I \cap J$ .

i.e.  $I \cap J \subset IJ$ .

2. Suppose for simplicity that  $k = 2$ . In the case we have  $1 = i_1 + j_1 = i_2 + j_2$  where  $i_1 \in I_1, i_2 \in I_2$  and  $j_1, j_2 \in J$ . we also have

$$1 = (i_1 + j_1)(i_2 + j_2) = i_1 i_2 + (j_1 i_2 + j_2 i_1 + j_1 j_2) \in I_1 I_2 + J$$

thus  $\forall x \in A$  we have

$$x = 1x = i_1 i_2 x + (j_1 i_2 + j_2 i_1 + j_1 j_2) x \in I_1 I_2 + J$$

3. is obvious <sup>17</sup>

□

**Theorem 4.14** (Chinese remainder theorem). *Let  $I_1, \dots, I_n$  - ideals and map  $\pi : A \rightarrow A/I_1 \times \dots \times A/I_n$  defined as follows*

$$\pi(a) = (a \bmod I_1, \dots, a \bmod I_n)$$

*The kernel  $\ker \pi = I_1 \cap \dots \cap I_n$ .*

*The  $\pi$  is Surjection if and only if  $I_1, \dots, I_n$  are pairwise relatively prime.*

*In that case*

$$A / \bigcap I_k \cong A / \prod I_k \cong \prod (A / I_k)$$

<sup>18</sup>

*Proof.* Let  $\pi$  is Surjection. In the case  $\exists a_i \in A$  such that

$$\pi(a_i) = (0, \dots, 1 \text{ (in } i\text{-th place)}, 0, \dots, 0)$$

i.e.  $a_i \bmod I_j = 0$  or  $a_i \in I_j$  for  $i \neq j$ . We also have that  $1 - a_i \in I_i$ . Thus  $\forall j, \exists a_i \in I_j, a_k \in I_i$  such that  $1 = a_i + a_k$  thus  $A = I_j + I_i$  i.e.  $I_i$  relatively prime with any  $I_j$ .

Conversely if  $I_i$  is relatively prime with any  $I_j$  where  $j \neq i$  then it also relatively prime with the product (see lemma 4.13)  $\prod_{j \neq i} I_j$ . In the case  $\exists x_i \in I_i, y_i \in \prod_{j \neq i} I_j$  such that  $1 = x_i + y_i$  in the case

$$\pi(y_i) = (0, \dots, 1 \text{ (in } i\text{-th place)}, 0, \dots, 0)$$

and  $\forall b_i \in A/I_i$

$$\pi \left( \sum_{i=1}^n b_i y_i \right) = (b_1, \dots, b_n)$$

i.e.  $\pi$  is surjective. □

<sup>17</sup> It follows from the 2 because we can assume  $I_i = I$  and will get that  $\forall k, I^k$  is relatively prime with  $J$ . From other side we can assume  $I_i = J$  and  $J = I^k$  and conclude that  $J^l$  is relatively prime with  $I^k$ .

<sup>18</sup> ??? no prove about it



Let  $K$  is a field and  $A$  is a finite (finite dimensional vector space)  $K$ -algebra.

**Proposition 4.15.** 1. If  $A$  is an Integral domain then  $A$  is a field.

2. (replacing the first one) Any Prime ideal of  $A$  is Maximal ideal

*Proof.* Well, I shall prove only the first part, the second part is just a consequence of definitions. In fact, a factor over a prime ideal, a quotient over a prime ideal is an integral domain, and a quotient over a maximal ideal is a field. If you don't know this, please look it up in any book.

Lets prove the first part. Integral domain means that there is no zero divisors i.e.  $\forall a \in A$  <sup>19</sup> multiplication by  $a$  is Injection.  $A$  is finite dimensional Vector space that implies that  $\times a$  is an Isomorphism, <sup>20</sup> in particular Surjection i.e.  $\exists b \in A$  such that  $b \times a = 1$  i.e.  $a$  is invertible therefore  $A$  is field.  $\square$

## 4.6 Structure of finite algebras over a field. Examples

**Theorem 4.16** (Structure of finite  $K$ -algebra). Let  $A$  be a finite  $K$ -algebra i.e.  $\dim_K A < \infty$ . Then

1. There are only finitely many Maximal ideals  $m_1, \dots, m_r$  in  $A$
2. Let  $J = m_1 \cap \dots \cap m_r = m_1 \dots m_r$ . Then  $J^n = 0$  for some  $n$
3.  $A \cong A/m_1^{n_1} \times \dots \times A/m_r^{n_r}$  for some  $n_1, \dots, n_r$ .

*Proof.*  $\square$

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<sup>19</sup>  $a \neq 0_A$

<sup>20</sup> ???