Introduction to Galois Theory

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### Requirements

### 0.1 Groups

**Definition 0.1** (Monoid). The set of elements M with defined binary operation  $\circ$  we will call as a monoid if the following conditions are satisfied.

- 1. Closure:  $\forall a, b \in M$ :  $a \circ b \in G$
- 2. Associativity:  $\forall a, b, c \in M$ :  $a \circ (b \circ c) = (a \circ b) \circ c$
- 3. Identity element:  $\exists e \in M \text{ such that } \forall a \in G : e \circ a = a \circ e = a$

**Definition 0.2** (Group). Let we have a set of elements G with a defined binary operation  $\circ$  that satisfied the following properties.

- 1. Closure:  $\forall a, b \in G$ :  $a \circ b \in G$
- 2. Associativity:  $\forall a, b, c \in G$ :  $a \circ (b \circ c) = (a \circ b) \circ c$
- 3. Identity element:  $\exists e \in G \text{ such that } \forall a \in G : e \circ a = a \circ e = a$
- 4. Inverse element:  $\forall a \in G \ \exists a^{-1} \in G \ such \ that \ a \circ a^{-1} = e$

In this case  $(G, \circ)$  is called as group.

Therefore the group is a Monoid with inverse element property.

**Example 0.1.1** (Group  $\mathbb{Z}/2\mathbb{Z}$ ). Consider a set of 2 elements:  $G = \{0, 1\}$  with the operation  $\circ$  defined by the table 1.

The identity element is 0 i.e. e = 0. Inverse element is the element itself because  $\forall a \in G$ :  $a \circ a = 0 = e$ .

**Definition 0.3** (Cyclic group). A cyclic group or monogenous group is a group that is generated by a single element. Note that Group  $\mathbb{Z}/2\mathbb{Z}$  is a cyclic group.

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Table 1: Cayley table for  $\mathbb{Z}/2\mathbb{Z}$ 

0	0	1
0	0	1
1	1	0

**Definition 0.4** (Order of element in group). Order, sometimes period, of an element a of a group is the smallest positive integer m such that  $a^m = e$  (where e denotes the identity element of the group, and am denotes the product of m copies of a). If no such m exists, a is said to have infinite order.

**Definition 0.5** (Subgroup). Let we have a Group  $(G, \circ)$ . The subset  $S \subset G$  is called as subgroup if  $(S, \circ)$  is a Group.

**Definition 0.6** (Abelian group). Let we have a Group  $(G, \circ)$ . The group is called an Abelian or commutative if  $\forall a, b \in G$  it holds  $a \circ b = b \circ a$ .

**Definition 0.7** (Coset). If G is a group, and H is a subgroup of G, and g is an element of G, then

$$gH=\{gh|h\in H\}$$

is the left coset of H in G with respect to g, and

$$Hg = \{hg | h \in H\}$$

is the right coset of H in G with respect to g.

#### 0.1.1 Permutations

**Example 0.1.2** ( $S_n$  group). If we a have a permutation of n elements then it's possible to do by means of n! ways.

 $S_1$  permutation of 1 element consists of only one element e - the simplest possible group

 $S_2$  permutation consists of 2 elements:

1. identity e:

$$\begin{array}{c} 1 \rightarrow 1 \\ 2 \rightarrow 2 \end{array}$$

2. transposition  $\tau$ :

$$\begin{array}{c} 1 \rightarrow 2 \\ 2 \rightarrow 1 \end{array}$$

Table 2: Cayley table for  $S_2$ 

$$\begin{array}{c|ccc}
\circ & e & \tau \\
\hline
e & e & \tau \\
\tau & \tau & e
\end{array}$$

It's easy to see that the Cayley table has the form 2

 $S_3$  permutation consists of 6 elements:  $e, \tau, \tau_1, \tau_2, \sigma, \sigma_1$ . The most important are  $e, \tau$  and  $\sigma$  and all others are represented via them.

1. identity e:

$$\begin{array}{c} 1 \rightarrow 1 \\ 2 \rightarrow 2 \end{array}$$

$$3 \rightarrow 3$$

2. transposition  $\tau$ :

$$\begin{array}{c} 1 \rightarrow 2 \\ 2 \rightarrow 1 \\ 3 \rightarrow 3 \end{array}$$

3. circle  $\sigma$ :

$$1 \rightarrow 2$$

$$2 \rightarrow 3$$

$$3 \rightarrow 1$$

### 0.2 Rings, Ideals and Fields

**Definition 0.8** (Ring). Consider a set R with 2 binary operations defined. The first one  $\oplus$  (addition) and elements of R forms an Abelian group under this operation. The second one is  $\odot$  (multiplication) and the elements of R forms a Monoid under the operation. The two binary operations are connected each other via the following distributive law

- Left distributivity:  $\forall a, b, c \in R$ :  $a \odot (b \oplus c) = a \odot b \oplus a \odot c$
- Right distributivity:  $\forall a, b, c \in R$ :  $(a \oplus b) \odot c = a \odot c \oplus b \odot c$ The identity element for  $(R, \oplus)$  is denoted as 0 (additive identity). The identity element for  $(R, \odot)$  is denoted as 1 (multiplicative identity).

The inverse element to a in  $(R, \oplus)$  is denoted as -a

In this case  $(R, \oplus, \odot)$  is called as ring.

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The Ring is a generalization of integer numbers conception.

**Example 0.2.1** (Ring of integers  $\mathbb{Z}$ ). The set of integer numbers  $\mathbb{Z}$  forms a Ring under + and  $\cdot$  operations i.e. addition  $\oplus$  is + and multiplication  $\odot$  is  $\cdot$ . Thus for integer numbers we have the following Ring:  $(\mathbb{Z}, +, \cdot)$ 

**Definition 0.9** (Ideal). Lets we have the Ring  $(R, \oplus, \odot)$ . Subset  $I \subset R$  will be an ideal if it satisfied the following conditions

- 1.  $(I, \oplus)$  is Subgroup of  $(R, \oplus)$
- 2.  $\forall i \in I \text{ and } \forall r \in R : i \odot r \in I \text{ and } r \odot i \in I$

**Example 0.2.2** (Ideal  $2\mathbb{Z}$ ). Consider even numbers. They forms an Ideal in  $\mathbb{Z}$ . Because multiplication of any even number to any integer is an even. The ideal's symbolic name is  $2\mathbb{Z}$ .

**Example 0.2.3** (Ring of integers modulo  $n: \mathbb{Z}/n\mathbb{Z}$ ). Let  $n \in \mathbb{Z}$  and n > 1. Then  $n\mathbb{Z}$  is an Ideal.

Two integer  $a, b \in \mathbb{Z}$  are said to be congruent modulo n, written

$$a \equiv b \pmod{n}$$

if their difference a - b is an integer multiple of n.

Thus we have a separation of set  $\mathbb{Z}$  into subsets of numbers that are congruent. Each subset has the following form

$$\{r\}_n = r + n\mathbb{Z} = \{r + nk \mid k \in \mathbb{Z}\}$$

, thus

$$\mathbb{Z} = \{0\}_n \cup \{1\}_n \cup \cdots \cup \{n-1\}_n$$
.

Very often use the following notation

$$\bar{r} = \{r\}_n$$
.

We can define the following operations

$$\bar{k} \oplus \bar{l} = \overline{k+l}$$
$$\bar{k} \odot \bar{l} = \overline{k \cdot l}$$

The Ring where the objects are defined is called as  $\mathbb{Z}/n\mathbb{Z}$ .

**Definition 0.10** (Principal ideal). The ideal that is generated by one element a is called as principal ideal and is denoted as (a) i.e. left principal ideal:  $(a) = \{ra \mid \forall r \in R\}$  and right principal ideal:  $(a) = \{ar \mid \forall r \in R\}$ 

**Definition 0.11** (Integral domain). In mathematics, and specifically in abstract algebra, an integral domain is a nonzero commutative Ring in which the product of any two nonzero elements is nonzero.

**Definition 0.12** (Principal ideal domain). In abstract algebra, a principal ideal domain, or PID, is an Integral domain in which every ideal is principal, i.e., can be generated by a single element.

**Definition 0.13** (Maximal ideal). I is a maximal ideal of a ring R if there are no other ideals contained between I and R.

**Definition 0.14** (Proper ideal). I is a proper ideal of a ring R if  $I \subseteq R$ .

**Definition 0.15** (Quotient ring). Quotient ring is a construction where one starts with a ring R and a two-sided ideal I in R, and constructs a new ring, the quotient ring R/I, whose elements are the Cosets of I in R subject to special + and  $\cdot$  operations.

Given a ring R and a two-sided ideal  $I \subset R$ , we may define an equivalence relation  $\sim$  on R as follows:  $a \sim b$  if and only if  $a - b \in I$ . The equivalence class of the element a in R is given by

$$[a] = a + I := \{a + r : r \in I\}.$$

This equivalence class is also sometimes written as a mod I and called the "residue class of a modulo I".

**Definition 0.16** (Field). The ring  $(R, \oplus, \odot)$  is called as a field if  $(R \setminus \{0\}, \odot)$  is an Abelian group.

The inverse element to a in  $(R \setminus \{0\}, \odot)$  is denoted as  $a^{-1}$ 

**Example 0.2.4** (Field  $\mathbb{Q}$ ). Note that  $\mathbb{Z}$  is not a field because not for every integer number an inverse exists. But if we consider a set of fractions  $\mathbb{Q} = \{a/b \mid a \in \mathbb{Z}, b \in \mathbb{Z} \setminus \{0\}\}$  when it will be a field.

The inverse element to a/b in  $(\mathbb{Q} \setminus \{0\}, \cdot)$  will be b/a.

**Definition 0.17** (Unique factorization domain). Unique factorization domain (UFD) is a commutative ring, which is an Integral domain, and in which every non-zero non-unit element can be written as a product of prime elements (or irreducible elements), uniquely up to order and units, analogous to the fundamental theorem of arithmetic for the integers.

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### 0.3 Linear algebra

**Definition 0.18** (Vector space). Let F is a Field. The set V is called as vector space under F if the following conditions are satisfied

- 1. We have a binary operation  $V \times V \to V$  (addition):  $(x,y) \to x+y$  with the following properties:
  - (a) x + y = y + x
  - (b) (x + y) + z = x + (y + z)
  - (c)  $\exists 0 \in V \text{ such that } \forall x \in V : x + 0 = x$
  - (d)  $\forall x \in V \exists -x \in V \text{ such that } x + (-x) = x x = 0$
- 2. We have a binary operation  $F \times V \to V$  (scalar multiplication) with the following properties
  - (a)  $1_F \cdot x = x$
  - (b)  $\forall a, b \in F, x \in V : a \cdot (b \cdot x) = (ab) \cdot x$ .
  - (c)  $\forall a, b \in F, x \in V : (a+b) \cdot x = a \cdot x + b \cdot x$
  - (d)  $\forall a \in F, x, y \in V : a \cdot (x + y) = a \cdot x + a \cdot y$

**Lemma 0.19** (About vector space isomorphism). 2 vector spaces L and M with same dimension dimL = dimM then there exists an Isomorphism between them

### 0.4 Functions

**Definition 0.20** (Surjection). The function  $f: X \to Y$  is surjective (or onto) if  $\forall y \in Y$ ,  $\exists x \in X$  such that f(x) = y.

**Definition 0.21** (Injection). The function  $f: X \to Y$  is injective (or one-to-one function) if  $\forall x_1, x_2 \in X$ , such that  $x_1 \neq x_2$  then  $f(x_1) \neq f(x_2)$ .

**Definition 0.22** (Bijection). The function  $f: X \to Y$  is bijective (or one-to-one correspondence) if it is an Injection and a Surjection.

**Definition 0.23** (Homomorphism). The homomorphism is a function (map) between two sets that preserves its algebraic structure. For the case of groups  $(X, \circ)$  and  $(Y, \odot)$  the function  $f: X \to Y$  is called homomorphism if  $\forall x_1, x_2 \in X$  it holds  $f(x_1 \circ x_2) = f(x_1) \odot f(x_2)$ .

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**Definition 0.24** (Isomorphism). If a map is Bijection as well as Homomorphism when it is called as isomorphism.

We use the following symbolic notation for isomorphism between X and  $Y: X \cong Y$ .

**Definition 0.25** (Automorphism). Automorphism is an isomorphism from a mathematical object to itself.

**Definition 0.26** (Embedding). When some object X is said to be embedded in another object Y, the embedding is given by some injective and structure-preserving map  $f: X \to Y$ . The precise meaning of "structure-preserving" depends on the kind of mathematical structure of which X and Y are instances.

The fact that a map  $f: X \to Y$  is an embedding is often indicated by the use of a "hooked arrow", thus:  $f: X \hookrightarrow Y$ . On the other hand, this notation is sometimes reserved for inclusion maps.

### **0.5** Polynomial ring K[X]

Let we have a commutative Ring K. Lets create a new Ring B with the following infinite sets as elements:

$$f = (f_0, f_1, \dots), f_i \in K,$$
 (1)

such that only finite number of elements of the sets are non zero.

We can define addition and multiplication on B as follows

$$f + g = (f_0 + g_0, f_1 + g_1, \dots),$$
  
 $f \cdot g = h = (h_0, h_1, \dots),$  (2)

where

$$h_k = \sum_{i+j=k} f_i g_j.$$

The sequences (1) forms a Ring with the following identities:

- Additive identity:  $(0,0,\ldots)$
- Multiplicative identity:  $(1,0,\ldots)$

The sequences k = (k, 0, ...) added and multiplied as elements of K this allows say that such elements are elements of original Ring K. Thus K is sub-ring of the new ring B.

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Let

$$X = (0, 1, 0, \dots),$$
  
 $X^2 = (0, 0, 1, \dots)$ 

thus if we have

$$f = (f_0, f_1, f_2, \dots, f_n, 0, \dots),$$

where  $f_n$  is the last non-zero element of (1), when one can get

$$f = f_0 + f_1 X + f_2 X^2 + \dots + f_n X^n$$
.

**Definition 0.27** (Polynomial ring). The Ring of sequences (1) with operations defined by (2) is called as polynomial ring K[X].

**Lemma 0.28** (Bézout's lemma). Let a and b be nonzero integers and let d be their greatest common divisor. Then there exist integers x and y such that

$$ax + by = d$$
.

**Definition 0.29** (Monic polynomial). Monic polynomial is a univariate polynomial in which the leading coefficient (the nonzero coefficient of highest degree) is equal to 1. Therefore, a monic polynomial has the form

$$x^{n} + a_{n-1}x^{n-1} + \cdots + a_{1}x + a_{0}$$

**Theorem 0.30** (About irreducible polynomials). Let  $\pi(X)$  is an irreducible polynomial in K[X] and let  $\alpha$  be a root of  $\pi(X)$  in a some larger field.  $\forall h(x) \in K(X)$  if have the following statement:  $h(\alpha) = 0$  if and only if  $\pi(X) \mid h(X)$  in K[X].

*Proof.* If  $h(X) = \pi(X)q(X)$  then  $h(\alpha) = 0$ 

From other side let  $\pi \nmid h$  in K[X] this means that they are relatively prime in K[X] and by Bézout's lemma we can get  $Q, R \in K[X]$  such that

$$\pi(X)R(X) + h(X)Q(X) = 1,$$

and especially for  $X = \alpha$  we will get that 0 = 1 that is impossible.

### Chapter 1

# Generalities on algebraic extensions

We introduce the basic notions such as a field extension, algebraic element, minimal polynomial, finite extension, and study their very basic properties such as the multiplicativity of degree in towers.

### 1.1 Field extensions: examples

### 1.1.1 K-algebra

**Definition 1.1** (K-algebra). Let K be a field and A be a Vector space over K equipped with an additional binary operation  $A \times A \to A$  that we denote as  $\cdot$  here. The the A is an algebra over K if the following identities hold  $\forall x, y, z \in A$  and for every elements (often called as scalar)  $a, b \in K$ 

- Right distributivity:  $(x + y) \cdot z = x \cdot z + y \cdot z$
- Left distributivity:  $z \cdot (x + y) = z \cdot x + z \cdot y$
- Compatibility with scalars:  $(ax) \cdot (by) = (ab)(x \cdot y)$

**Example 1.1.1** (Field of complex numbers  $\mathbb{C}$ ). The field of complex numbers  $\mathbb{C}$  can be considered as a K-algebra over field of real numbers  $\mathbb{R}$ .

#### 1.1.2 Field extension

Let K and L are fields.

**Definition 1.2** (Field extension). L is an extension of K if  $L \supset K$ 

and another definition

**Definition 1.3** (Field extension). L is an extension of K if L is a K-algebra

Why the 2 definitions are equivalent?

**Lemma 1.4** (K-algebra and Homomorphism). Given a K-algebra is the same as having Homomorphism  $f: K \to A$  of rings.

*Proof.* Really if I have a K-algebra I can define the Homomorphism  $f(k) = k \cdot 1_A$ , where  $1_A$  is an identity element of A. Thus  $k \cdot 1_A \in A$ .

And conversely if I have the Homomorphism  $f: K \to A$  I can define the K-algebra structure by setting ka = f(k)a because  $f(k), a \in A$  and there is a multiplication defined on A. As result I have a rule for multiplication a scalar  $(k \in K)$  on a vector  $(a \in A)$ .

**Lemma 1.5** (About Homomorphism of fields). Any Homomorphism of fields is Injection.

*Proof.* Lets proof by contradiction. Really if f(x) = f(y) and  $x \neq y$  then

$$f(x) - f(y) = 0_A,$$
  

$$f(x - y) = 0_A,$$
  

$$f(x - y)f((x - y)^{-1}) = f\left(\frac{x - y}{x - y}\right) = f(1_K) = 1_A = 0_A$$

that is impossible.

There are some comments on the results. We have got that a Homomorphism can be set between field K and its K-algebra. This means that K-algebra is a field. The Homomorphism is Injection therefore we can allocate a sub-field  $A' \subset A$  for that we will have the Homomorphism is a Surjection and therefore we have an Isomorphism between original field K and a sub-field A'. This means that we can say that the original field K is a sub-field for the K-algebra.

**Example 1.1.2** (Field extensions).  $\mathbb{C}$  is a field extension for  $\mathbb{R}$ .  $\mathbb{R}$  is a field extension for  $\mathbb{Q}$ 

#### 1.1.3 Field characteristic

If L is a field there are 2 possibilities

- 1.  $1 + 1 + \cdots \neq 0$ . In this case  $\mathbb{Z} \subset L$  but  $\mathbb{Z}$  is not a field therefore L is an extension of  $\mathbb{Q}$ . In the case charL = 0
- 2.  $1+1+\cdots+1=\sum_{i=1}^m 1=0$  for some  $m\in\mathbb{Z}$ . The first time when it happens is for a prime number i.e. minimal m with the property is prime. In this case char L=p, where p=minm the minimal m (prime) with the property. In this case  $\mathbb{Z}/p\mathbb{Z}\subset L$ . The  $\mathbb{Z}/p\mathbb{Z}$  is a field denoted by  $\mathbb{F}_p$ . The L is an extension of  $\mathbb{F}_p$ .

No other possibilities exist. The  $\mathbb{Q}$  and  $\mathbb{F}_p$  are the prime fields. Any field is an extension of one of those.

### **1.1.4** Field K[X]/(P)

Let K[X] Ring of polynomials. The  $P \in K[X]$  is an irreducible. (P) is an Ideal formed by the polynomial. The set of residues by the polynomial forms a field that denoted by K[X]/(P). How we can see it? If  $Q \in K[X]$  is a polynomial that  $Q \notin (P)$  when Q is prime to P. Then with Bézout's lemma we can get  $\exists A, B \in K[X]$  such that

$$AP + BQ = 1$$
,

or

$$BQ \equiv 1 \mod P$$

thus B is  $Q^{-1}$  in K[X]/(P).

### 1.2 Algebraic elements. Minimal polynomial

### **1.2.1** K[X]/(P) field

Alternative proof that K[X]/(P) is the Field. The (P) is a Maximal ideal but a quotient by a Maximal ideal is a Field.

K[X]/(P) is an extension of K because it's K-algebra.

**Example 1.2.1** ( $\mathbb{F}_2/(x^2+x+1)$ ). Lets consider the following field  $K = \mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z} = \{0,1\}$  in the field polynomial  $x^2+x+1$  is irreducible. It's very easy to verify it because  $\mathbb{F}_2$  has only 2 elements that can be (possible) a root:

$$0^2 + 0 + 1 = 1 \neq 0$$

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and

$$1^2 + 1 + 1 = 1 \neq 0$$

The polynomial has the following residues:  $\bar{x} = x + (x^2 + x + 1)$  and  $\overline{x+1} = x+1+(x^2+x+1)$ . Thus the field  $\mathbb{F}_2/(x^2+x+1)$  consists of 4 elements:  $\{0,1,\bar{x},\overline{x+1}\}$ .

It's easy to see that the third element  $(\bar{x})$  is a root of  $P(x) = x^2 + x + 1$ :

$$\bar{x}^2 + \bar{x} + 1 = P(x) + (P(x)) = (P(x)) \equiv 0 \mod P.$$

$$\bar{x}^2 + \bar{x} + 1 = \bar{0},$$

therefore

$$\bar{x}^2 = -\bar{x} - 1 = \bar{x} + 1 = \overline{x+1}.$$

This is because we are in field  $\mathbb{F}_2$  where

$$2(x+1) \mod 2 = 0$$

and thus

$$-\bar{x} - 1 = \bar{x} + 1$$

Also

$$\overline{x+1}^2 = \bar{x},$$

and they are inverse each other

$$\overline{x+1}\overline{x}=1$$
.

### 1.2.2 Algebraic elements

**Definition 1.6** (Algebraic element). Let  $K \subset L$  and  $\alpha \in L$ .  $\alpha$  is an algebraic element if  $\exists P \in K[X]$  such that  $P(\alpha) = 0$ . Otherwise the  $\alpha$  is called transcendental.

### 1.2.3 Minimal polynomial

**Lemma 1.7** (About minimal polynomial existence). If  $\alpha$  is Algebraic element then  $\exists !$  unitary polynomial P of minimal degree such that  $P(\alpha) = 0$ . It is irreducible.  $\forall Q$  such that  $Q(\alpha) = 0$  is divisible by P

**Definition 1.8** (Minimal polynomial). Such polynomial is called minimal polynomial and denoted by  $P_{min}(\alpha, K)$ .

*Proof.* We know that K[X] is a Principal ideal domain and a polynomial  $Q(\alpha) = 0$  forms an Ideal:  $I\{Q \in K[X] \mid Q(\alpha) = 0\}$ , so the ideal is generated by one element: I = (P). This is an unique (up to constant) polynomial minimal degree in I. If P is not irreducible then  $\exists Q, R \in I$  such that P = QR,  $Q(\alpha) = 0$  or  $R(\alpha) = 0$  and degR, Q < degP that is in contradiction with the definition that P is a polynomial of minimal degree.

### 1.3 Algebraic elements. Algebraic extensions

**Definition 1.9.** Let  $K \subset L$ ,  $\alpha \in L$ . The smallest sub-field contained K and  $\alpha$  denoted by  $K(\alpha)$ . The smallest sub-ring contained K and  $\alpha$  denoted by  $K[\alpha]$ .

As soon as  $K[\alpha]$  is a K-algebra it is a Vector space generated by  $1, \alpha, \alpha^2, \ldots, \alpha^n, \ldots$ 

Example 1.3.1 ( $\mathbb{C}$ ).

$$\mathbb{C} = \mathbb{R}(i) = \mathbb{R}[i]$$

 $\mathbb{C}$  is also a Vector space generated by 1 and i:  $\forall z \in \mathbb{Z}$  it holds z = x + iy where  $x, y \in \mathbb{R}$ .

**Proposition 1.10.** The following assignment are equivalent

- 1.  $\alpha$  is algebraic over K
- 2.  $K[\alpha]$  is a finite dimensional Vector space over K
- 3.  $K[\alpha] = K(\alpha)$

*Proof.* Lets proof that 1 implies 2. If  $\alpha$  is algebraic over K then using lemma Minimal polynomial  $\exists P_{min}(\alpha, K)$ :

$$P_{min}(\alpha, K) = \alpha^d + a_{d-1}\alpha^{d-1} + a_1\alpha + a_0 = 0,$$

where  $a_k \in K$ . Then

$$\alpha^d = -a_{d-1}\alpha^{d-1} - a_1\alpha - a_0$$

this means that any  $\alpha^n$  can be represented as a linear combination of finite number of powers of  $\alpha$  i.e.  $K[\alpha]$  generated by  $1, \alpha, \ldots, \alpha^{d-1}$  is a finite dimensional Vector space.

Lets proof that 2 implies 3. Its enough proof that  $K[\alpha]$  is a field. Let  $x \neq 0 \in K[\alpha]$  then lets look at an operation  $x \cdot K[\alpha] \to K[\alpha]$ . This is Injection because if  $y, z \in K[\alpha]$  and  $z \neq y$  then  $x \cdot y \neq x \cdot z$ . But the  $K[\alpha]$ 

is finite dimensional Vector space and a Homomorphism between 2 vector spaces with the same dimension is Surjection thus  $\exists y \in K[\alpha]$  such that  $x \cdot y = 1_{K[\alpha]}$ . Therefore x is invertable and  $K[\alpha]$  is a Field.

Lets proof that 3 implies 1. Let  $K[\alpha]$  is a Field but  $\alpha$  is not algebraic. Thus  $\forall P \in K[X] \ P(\alpha) \neq 0$ . The we have an Injection Homomorphism  $f: K[X] \to K[\alpha]$  but K[X] is not a field thus  $K[\alpha]$  should not be a field too that is in contradiction with the initial conditions.

**Definition 1.11** (Algebraic extension). L an extension of K is called algebraic if  $\forall \alpha \in L$  -  $\alpha$  is algebraic over K.

**Proposition 1.12.** If L is algebraic over K then any K-subalgebra of L is a Field.

*Proof.* Let  $L' \subset L$  a subalgebra and let  $\alpha \in L'$ . We want to show that  $\alpha$  is invertable.  $\alpha$  is algebraic therefore  $\alpha \in K[\alpha] \subset L' \subset L$  and it's invertable.

**Proposition 1.13.** Let  $K \subset L \subset M$ .  $\alpha \in M$  - algebraic over K then  $\alpha$  algebraic over L and  $P_{min}(\alpha, L)$  divides  $P_{min}(\alpha, K)$ .

*Proof.* Its clear because  $P_{min}(\alpha, K) \in L[X]$  thus  $\exists P_L \in L[X]$  such that  $P_L(\alpha) = 0$  i.e.  $\alpha$  is algebraic over L.

As soon as  $P_{min}(\alpha, K) \in L[X]$  then  $deg P_{min}(\alpha, L) \leq P_{min}(\alpha, K)$  and as soon as  $P_{min}(\alpha, K) \in (P_{min}(\alpha, L))$  then  $P_{min}(\alpha, L)$  divides  $P_{min}(\alpha, K)$ .  $\square$ 

## 1.4 Finite extensions. Algebraicity and finiteness

**Definition 1.14** (Finite extension). L is a finite extension of K if  $dim_k L < \infty$ .  $dim_k L$  is called as degree of L over K and is denoted by [L:K]

**Theorem 1.15** (The multiplicativity formula for degrees). Let  $K \subset L \subset M$ . Then M is Finite extension over K if and only if M is Finite extension over L and L is Finite extension over K. In this case

$$[M:K] = [M:L][L:K].$$

*Proof.* Let  $[M:K] < \infty$  but any linear independent set of vectors  $\{m_1, m_2, \ldots, m_n\}$  over L is also linear independent over K thus

$$[M:K]<\infty\Rightarrow [M:L]<\infty$$

also L is a vector sub space of M thus if  $[M:K]<\infty$  then  $[L:K]<\infty$ . Let  $[M:L]<\infty$  and  $[L:K]<\infty$  then we have the following basises

- L-basis over  $M: (e_1, e_2, \ldots, e_n)$
- K-basis over L:  $(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_d)$

Lets proof that  $e_i \varepsilon_j$  forms a K-basis over M.  $\forall x \in M$ :

$$x = \sum_{i=1}^{n} a_i e_i,$$

where  $a_i \in L$  and can be also written as

$$a_i = \sum_{j=1}^d b_{ij} \varepsilon_j,$$

where  $b_{ij} \in K$ . Thus

$$x = \sum_{i=1}^{n} \sum_{j=1}^{d} b_{ij} \varepsilon_j e_i,$$

therefore  $\varepsilon_j e_i = e_i \varepsilon_j$  generates M over K. From the other side we should check that  $\varepsilon_j e_i$  linear independent system of vectors. Lets

$$\sum_{i,j} c_{ij} \varepsilon_j e_i = \sum_{i=1}^n \left( \sum_{j=1}^d c_{ij} \varepsilon_j \right) e_i,$$

then  $\forall i$ :

$$\sum_{j=1}^{d} c_{ij} \varepsilon_j = 0.$$

Thus  $\forall i, j : c_{ik} = 0$  that finishes the proof the linear independence. The number of linear independent vectors is  $n \times d$  i.e.

$$\left[ M:K\right] =\left[ M:L\right] \left[ L:K\right] .$$

**Definition 1.16**  $(K(\alpha_1, \ldots, \alpha_n))$ .  $K(\alpha_1, \ldots, \alpha_n) \subset L$  generated by  $\alpha_1, \ldots, \alpha_n$  is the smallest sub field of L contained K and  $\alpha_i \in L$ .

**Theorem 1.17** (About towers). L is finite over K if and only if L is generated by a finite number of algebraic elements over K.

*Proof.* If L is finite then  $\alpha_1, \ldots, \alpha_d$  is a basis. In this case  $L = K[\alpha_1, \ldots, \alpha_d] = K(\alpha_1, \ldots, \alpha_d)$ . Moreover each  $K[\alpha_i]$  is finite dimensional thus by proposition 1.10  $\alpha_i$  is algebraic.

From other side if we have a finite set of algebraic elements  $\alpha_1, \ldots, \alpha_d$  then  $K[\alpha_1]$  is a finite dimensional Vector space over  $K, K[\alpha_1, \alpha_2]$  is a finite dimensional Vector space over  $K[\alpha_1]$  and so on  $K[\alpha_1, \ldots, \alpha_d]$  is a finite dimensional Vector space over  $K[\alpha_1, \ldots, \alpha_{d-1}]$ . All elements are algebraic thus

$$K[\alpha_1,\ldots,\alpha_i]=K(\alpha_1,\ldots,\alpha_i)$$

Then using theorem 1.15 we can conclude that  $K(\alpha_1, \ldots, \alpha_d)$  has finite dimension.

### 1.5 Algebraicity in towers. An example

**Theorem 1.18.**  $K \subset L \subset M$  then M Algebraic extension over K if and only if M algebraic over L and L algebraic over K.

*Proof.* If  $\alpha \in M$  is an Algebraic element over K then  $\exists P \in K[X]$  such that  $P(\alpha) = 0$  but the polynomial  $P \in K[X] \subset L[X]$  thus  $\alpha$  is algebraic over L. If  $\alpha \in L \subset M$  then  $\alpha$  is algebraic over K thus L is algebraic over K.

Let M algebraic over L and L algebraic over K and let  $\alpha \in M$ . We want to prove that  $\alpha$  is algebraic over K. Lets consider  $P_{min}(\alpha, L)$  the polynomial coefficients are from L and they (as soon as they count is a finite) generate a finite extension E over K thus  $E(\alpha)$  is finite over E (exists a relation between powers of  $\alpha$ ) and by theorem 1.17 is finite over K thus  $\alpha$  is algebraic over K.

**Example 1.5.1** ( $\mathbb{Q}$  extension).  $\mathbb{Q}(\sqrt[3]{2},\sqrt{3})$  algebraic and finite over  $\mathbb{Q}$ :

$$\mathbb{Q} \subset \mathbb{Q}\left(\sqrt[3]{2}\right) \subset \mathbb{Q}\left(\sqrt[3]{2}, \sqrt{3}\right)$$

Minimal polynomial

$$P_{min}\left(\sqrt[3]{2},\mathbb{Q}\right) = x^3 - 2.$$

 $\mathbb{Q}\left(\sqrt[3]{2}\right)$  is generated over  $\mathbb{Q}$  by  $1, \sqrt[3]{2}, \sqrt[3]{4}$  thus  $\left[\mathbb{Q}\left(\sqrt[3]{2}\right) : \mathbb{Q}\right] = 3$ . But  $\sqrt{3} \notin \mathbb{Q}\left(\sqrt[3]{2}\right)$  because otherwise  $\left[\mathbb{Q}\left(\sqrt{3}\right) : \mathbb{Q}\right] = 2$  must devide  $\left[\mathbb{Q}\left(\sqrt[3]{2}\right) : \mathbb{Q}\right] = 3$  that is impossible. Therefore  $x^2 - 3$  is irreducible over  $\mathbb{Q}(\sqrt[3]{2})$  and

$$P_{min}\left(\sqrt{3}, \mathbb{Q}\left(\sqrt[3]{2}\right)\right) = x^2 - 3.$$

$$\left[\mathbb{Q}\left(\sqrt[3]{2},\sqrt{3}\right):\mathbb{Q}\right] = 3 \cdot 2 = 6.$$

Proposition 1.19 (On dimension of extension).

$$[K(\alpha):K] = deg P_{min}(\alpha,K),$$

if  $\alpha$  is algebraic.

*Proof.* If  $degP_{min}(\alpha, K) = d$  then  $1, \alpha, \dots, \alpha^{d-1}$  - d independent vectors and dimension  $K(\alpha)$  is d.

**Proposition 1.20** (About algebraic closure). If  $K \subset L$  (L extension of K). Consider

$$L' = \{ \alpha \in L \mid \alpha \text{ algebraic over } K \},$$

then L' sub-field of L and is called as algebraic closure of K in L.

*Proof.* We have to prove that if  $\alpha, \beta$  are algebraic then  $\alpha + \beta$  and  $\alpha \cdot \beta$  are also algebraic. This is trivial because

$$\alpha+\beta,\alpha\cdot\beta\in K\left[\alpha,\beta\right]=K\left(\alpha,\beta\right)$$

# 1.6 A digression: Gauss lemma, Eisenstein criterion

What we have seen so far:

- K is a field,  $\alpha$  is an Algebraic element over K if it is a root of a polynomial  $P \in K[X]$ .
- L is an Algebraic extension over K if  $\forall \alpha \in L$ :  $\alpha$  is an algebraic over K
- L is a Finite extension over K if  $dim_K L < \infty$ .
- If an extension is finite then it is algebraic

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- An extension is finite if and only if it is algebraic and generated by a finite number of algebraic elements (see theorem 1.17)
- $[K[\alpha]:K] = deg P_{min}(\alpha,K)$  (see proposition 1.19).

How to decide that a polynomial P is irreducible over K? About polynomial  $x^3 - 2$  it is easy to decide that it's irreducible over  $\mathbb{Q}$ , but what's about  $x^{100} - 2$ ?

**Lemma 1.21** (Gauss). Let  $P \in \mathbb{Z}[X]$ , i.e. a polynomial with integer coefficients, then if P decomposes over  $\mathbb{Q}$  ( $P = Q \cdot R, degQ, R < degP$ ) then it also decomposes over  $\mathbb{Z}$ .

*Proof.* Let P = QR over  $\mathbb{Q}$ . Then

$$Q = mQ_1, Q_1 \in \mathbb{Z}[X],$$
  
$$R = nR_1, R_1 \in \mathbb{Z}[X],$$

thus

$$nmP = Q_1R_1.$$

There exists p that divides mn:  $p \mid mn$  thus in modulo p we have

$$0 = \overline{Q_1 R_1}$$

but p is prime and the equation is in the field  $\mathbb{F}_p$  thus either  $\overline{Q_1}=0$  or  $\overline{R_1}=0$ . Let  $\overline{Q_1}=0$  thus p divides all coefficients in  $Q_1$  and we can take  $\frac{Q_1}{p}=Q_2\in\mathbb{Z}[X]$ . Continue for all primes in mn we can get that

$$P = Q_s R_t$$

where  $Q_s, R_t \in \mathbb{Z}[X]$ .

**Example 1.6.1** (Eisenstein criterion). Lets consider the following polynomial  $x^{100}-2$ . It's irreducible. Lets prove it. If it reducible then  $\exists Q, R \in \mathbb{Z}[X]$  such that

$$x^{100} - 2 = QR (1.1)$$

Lets consider (1.1) modulo 2. In the case we will have

$$QR \equiv x^{100} \mod 2,$$

therefore

$$Q \equiv x^k \mod 2,$$
$$R \equiv x^l \mod 2,$$

or

$$Q = x^k + \dots + 2 \cdot m$$

and

$$R = x^l + \dots + 2 \cdot n$$

thus

$$QR = x^{100} + 4 \cdot nm$$

that is impossible because  $n, m \in \mathbb{Z}$  and  $nm \neq -\frac{1}{2}$ .

**Lemma 1.22** (Eisenstein criterion). Lets  $P \in \mathbb{Z}[X]$  and  $P = a_n X^n + a_{n-1} X^{n-1} + a_1 X + a_0$ . If  $\exists p$  - prime such that  $p \nmid a_n$ ,  $p \mid a_i \forall i < n$  and  $p^2 \nmid a_0$ , then  $P \in \mathbb{Z}[X]$  is irreducible.

*Proof.* the same as for example 1.6.1.

Note: that both: Gauss and Eisenstein criterion are valid by replacing  $\mathbb{Z}$  with an Unique factorization domain R and  $\mathbb{Q}$  by its factorization field.

## Chapter 2

# Stem field, splitting field, algebraic closure

We introduce the notion of a stem field and a splitting field (of a polynomial). Using Zorn's lemma, we construct the algebraic closure of a field and deduce its unicity (up to an isomorphism) from the theorem on extension of homomorphisms.

### 2.1 Stem field. Some irreducibility criteria

### 2.1.1 Stem field

**Definition 2.1** (Stem field). Let  $P \in K[X]$  is an irreducible Monic polynomial. Field extension E is a stem field of P if  $\exists \alpha \in E$  - the root of polynomial P and  $E = K[\alpha]$ .

Such things exist, for instance we can take K[X]/(P). It is a field because P is irreducible moreover the root of the P is in the field (see example 1.2.1).

We also can say that for any stem field E:

$$K[X]/(P) \cong E$$
.

We can use the following Isomorphism:  $f : \forall p \in K[X]/(P) \to p(\alpha)$ , there  $\alpha$  is a root of polynomial P. To summarize we have the following

**Proposition 2.2** (About stem field existence). The stem field exist and if we have 2 stem fields E and E' which correspond 2 roots of  $P: E = K[\alpha]$ ,  $E' = K[\alpha']$  then  $\exists! f: E \cong E'$  (Isomorphism of K-algebras) such that  $f(\alpha) = \alpha'$ .

*Proof.* Existence: K[X]/(P) can be took as the stem field.

Uniquest of the Isomorphism is easy because it is defined by it's value on argument  $\alpha$ :

$$\phi: K[X]/(P) \cong_{x\to\alpha} E,$$
  
$$\psi: K[X]/(P) \cong_{x\to\alpha'} E',$$

thus

$$\phi^{-1} \circ \psi : E \cong_{\alpha \to \alpha'} E'.$$

**Remark 2.3** (About stem field). 1. In particular: If a stem field contains 2 roots of P then  $\exists$ ! Automorphism taking one root into another.

- 2. If E stem field then [E:K] = degP
- 3. If [E:K] = degP and E contains a root of P then E is a stem field
- 4. If E is not a stem field but contains root of P then [E:K] > degP (???)

### 2.1.2 Some irreducibility criteria

**Corollary 2.4.**  $P \in K[X]$  is irreducible over K if and only if it does not have a root in Field extension L of K of such that  $[L:K] \leq \frac{n}{2}$ , where n = deq P.

*Proof.*  $\Rightarrow$ : If P is not irreducible then it has a polynomial Q that divides P and  $degQ \leq \frac{n}{2}$  (P = RQ and if  $degQ > \frac{n}{2}$  then we can take R as Q). The Stem field L for Q exists and it's degree is  $degQ \leq \frac{n}{2}$ . L should have root of Q (as soon as root of P) by definition.

 $\Leftarrow$ : If P has a root  $\alpha$  in L then  $\exists P_{min}(\alpha, K)$  with degree  $\leq \frac{n}{2} < n$  (because  $[L:K] \leq \frac{n}{2}$ ) that divides P (see lemma 1.7) i.e. P become reducible.  $\square$ 

**Corollary 2.5.**  $P \in K[X]$  irreducible with degP = n. Let L be an extension of K such that [L:K] = m. If gcd(n,m) = 1 then P is irreducible over L.

*Proof.* If it is not a case and  $\exists Q$  such that  $Q \mid P$  in L[X]. Let M be a Stem field of Q over L.

So we have  $K \subset L \subset M = L(\alpha)$ . M is a stem field that [M:L] = degQ = d < n. Thus [M:L] = md

Lets  $K(\alpha)$  is a stem field of P over K then  $[K(\alpha):K]=degP=n.$ 

 $K(\alpha) \subseteq M$  and therefore  $n \mid md$  thus using gcd(m,n) = 1 one can get that  $n \mid d$  but this is impossible because d < n.

### 2.2 Splitting field

**Definition 2.6** (Splitting field). Let  $P \in K[X]$ . The splitting field of P over K is an extension L where P is split (i.e. is a product of linear factors) and roots of P generate L

**Theorem 2.7** (About splitting fields). 1. Splitting field L exists and  $[L:K] \le d!$ , where d = degP.

2. If L and M are 2 splitting fields then  $\exists \phi : L \cong M$  (an Isomorphism). But the Isomorphism is not necessary to be unique.

*Proof.* Lets prove by induction on d. The first case (d = 1) is trivial the K itself is the splitting field. Now assume d > 1 and that the theorem is valid for any polynomial of degree < d over any field K. Let Q be any irreducible factor of P. We can create a Stem field  $L_1 = K(\alpha)$  for Q that will be also a Stem field for P.

Over  $L_1$  we have  $P = (x - \alpha)R$ , where R is a polynomial with degR = d-1. We know (see remark 2.3) that there exists a Splitting field L for R over  $L_1$  and its degree:  $[L:L_1] \leq (d-1)!$  We have  $K \subset L_1 \subset L$ . The L will be a splitting field for original polynomial P. Its degree (by The multiplicativity formula for degrees) is  $\leq d \cdot (d-1)! = d!$ .

Uniqueness: Let L and M are 2 splitting fields. Let  $\beta$  is a root of Q (irreducible factor of P) in M. We have 2 stem fields:  $L_1 = K(\alpha)$  and  $M_1 = K(\beta)$ . Proposition 2.2 says as that

$$\exists \phi : L_1 = K(\alpha) \cong K(\beta) = M_1,$$

such that  $\phi(\alpha) = \beta$ .

Over  $M_1$  we have  $P = (x - \beta)S$ , where  $S = \phi(R)^{-1}$ 

M is splitting field for S over  $K(\beta) = M_1$ . M is also  $L_1$ -algebra (via the Isomorphism  $\phi$ ) and as such it's a splitting field for R over  $L_1$ . As soon as  $[L:L_1] = [M:M_1]$  the  $M/L_1 \cong L/L_1$  because the  $L_1$ -algebras with the same dimension are isomorphic (see lemma 0.19). Therefore we have an  $L_1 = K(\alpha)$  Isomorphism  $L \cong M$  and therefore K Isomorphism  $L \cong M$ .  $\square$ 

**Remark 2.8.** The Isomorphism is not unique. A splitting field can have many Automorphism and this is in fact the subject of Galois theory.

$$P = (x - \beta)S = \phi(P) = \phi((x - \alpha)R) = (x - \beta)\phi(R)$$

and  $S = \phi(R)$ .

<sup>&</sup>lt;sup>1</sup> We have  $\phi: K(\alpha) \to K(\beta)$ . The  $\phi: K \to K$  because  $K \subset K(\alpha)$  as well as  $K \subset K(\beta)$ . Therefore  $\phi(P) = P$  because  $P \in K[X]$ . Thus

### 2.3 An example. Algebraic closure

### 2.3.1 An example of automorphism

**Example 2.3.1**  $(x^3-2 \text{ over } \mathbb{Q})$ . Let we have the following polynomial  $x^3-2$  over  $\mathbb{Q}$ . It has the following roots:  $\sqrt[3]{2}$ ,  $j\sqrt[3]{2}$  and  $j^2\sqrt[3]{2}$ , where  $j=e^{\frac{2\pi i}{3}}$ . Splitting field is the following  $L=\mathbb{Q}\left(\sqrt[3]{2},j\right)$ . Lets find Automorphisms of the field.



As soon as L is a stem field for  $\mathbb{Q}(j)$  and for  $\mathbb{Q}(\sqrt[3]{2})$  then 2 types of automorphism exist:

- 1.  $\mathbb{Q}\left(\sqrt[3]{2}\right)$  Automorphism. We have  $x^2 + x + 1$  as  $P_{min}\left(j, \mathbb{Q}\left(\sqrt[3]{2}\right)\right)$ . The polynomial has 2 roots: j and  $j^2$  and there is an Automorphism that exchanges the root. Lets call it  $\tau$
- 2.  $\mathbb{Q}(j)$  Automorphism. In this case the automorphism of exchanging  $\sqrt[3]{2}$  and  $j\sqrt[3]{2}$ . Lets call it  $\sigma$

The group of automorphism of L Aut (L/K) is embedded into permutation group of 3 elements  $S_3$  (see example 0.1.2):

$$Aut(L/K) \hookrightarrow S_3$$
.

It's embedded because the automorphism exchanges the roots of  $x^3-2$ . Moreover

$$Aut\left( L/K\right) =S_{3},$$

because  $\sigma$  and  $\tau$  generates  $S_3$  because

•  $\sigma: \sqrt[3]{2} \to j\sqrt[3]{2} \to j^2\sqrt[3]{2} \to \sqrt[3]{2}$ . This is a circle.

 $<sup>^2</sup>$  ???? The minimal polynomial is  $x^3-2$  there and thus we have 3 roots:  $\sqrt[3]{2},\,j\sqrt[3]{2}$  and  $j^2\sqrt[3]{2}$ 

•  $\tau$  - it keeps  $\sqrt[3]{2}$  and exchanges j and  $j^2$ :  $\sqrt[3]{2}j \leftrightarrow \sqrt[3]{2}j^2$  (???). This is a transposition.

Lets also look at  $\mathbb{Q}(\sqrt[3]{2})$ . The question is the following: how many Homomorphisms to  $L = \mathbb{Q}(\sqrt[3]{2}, j)$  do we have. As we know

$$L = \mathbb{Q}\left(\sqrt[3]{2}, j\right) = \mathbb{Q}\left(\sqrt[3]{2}, j\sqrt[3]{2}, j^2\sqrt[3]{2}\right),$$

i.e.  $\sqrt[3]{2}$  can be switched with one of the roots:  $\sqrt[3]{2}$ ,  $j\sqrt[3]{2}$ ,  $j\sqrt[3]{2}$  and each permutation is a homomorphism. To demonstrate it lets look at the following permutation  $\sqrt[3]{2} \leftrightarrow j\sqrt[3]{2}$ . We have a unique Isomorphism

$$\mathbb{Q}\left(\sqrt[3]{2}\right) \to \mathbb{Q}\left(j\sqrt[3]{2}\right) \subset L.$$

i.e. we have a homomorphism  $\mathbb{Q}(\sqrt[3]{2}) \to L$  associated with the following permutation:  $\sqrt[3]{2} \leftrightarrow j\sqrt[3]{2}$ 

### 2.3.2 Algebraic closure

**Definition 2.9** (Algebraically closed field). K is algebraically closed if any non constant polynomial  $P \in K[X]$  has a root in K or in other words if any  $P \in K[X]$  splits

**Example 2.3.2** ( $\mathbb{C}$ ).  $\mathbb{C}$  is an Algebraically closed field. This will be proved later.

**Definition 2.10** (Algebraic closure). An algebraic closure of K is a field L that is Algebraically closed field and Algebraic extension over K.

**Theorem 2.11** (About Algebraic closure). Any field K has an Algebraic closure

*Proof.* Lets discuss the strategy of the prove. First construct  $K_1$  such that  $\forall P \in K[X]$  has a root in  $K_1$ . There is not a victory because  $K_1$  can introduce new coefficients and polynomials that can be irreducible over  $K_1$ . Then construct  $K_2$  such that  $\forall P \in K_1[X]$  has a root in  $K_2$  and so forth. As result we will have

$$K \subset K_1 \subset K_2 \subset \cdots \subset K_n \subset \cdots$$

Take  $\bar{K} = \bigcup_i K_i$  and we claim that  $\bar{K}$  is algebraically closed. Really  $\forall P \in \bar{K}[X] \; \exists j : P \in K_j[X]$  thus it has a root in  $K_{j+1}$  and as result in  $\bar{K}$ .

Now how can we construct  $K_1$ . Let S be a set of all irreducible  $P \in K[X]$ . Let  $A = K[(X_p)_{p \in S}]$  - multi-variable (one variable  $X_p$  for each  $p \in S$ ) polynomial ring.

Let  $I \subset A$  is an Ideal generated by  $P(X_p) \forall p \in S$ . We claim that I is a Proper ideal i.e.  $I \neq A$ . If not then we can write

$$1_A = \sum_{i}^{n} \lambda_i P_i \left( X_{p_i} \right), \tag{2.1}$$

where  $\lambda_i \in A$  and the sum is the finite. As soon as the sum is finite then I can take the product of the polynomials in the sum:  $P = \prod_i^n P_i$  and I can create a Splitting field L for the polynomial P over K.

A is a polynomial ring and it's very easy produce a homomorphism between polynomial algebra and any other algebra. Therefore there is a homomorphism between rings A and L such that  $\phi: A \to L$  where  $X_{p_i} \to \alpha_i$  if  $P = P_i$  and  $X_{p_i} \to 0$  otherwise. From (2.1) we have

$$\phi(1_A) = \sum_{i=1}^{n} \lambda_i \phi(P_i(X_{p_i})) = \sum_{i=1}^{n} \lambda_i P_i(\alpha_i) = 0$$

that is impossible.

Fact: Any Proper ideal  $I \subset A$  is contained in the Maximal ideal m and A/m is a field.

Thus I can take  $K_1 = A/m$  and continue in the same way to construct  $K_2, K_3, \ldots, K_n, \ldots$ 

### 2.3.3 Ideals in a ring

The ring is commutative, associative with unity. Any Proper ideal is in a Maximal ideal. This is a consequence of what one calls Zorn's lemma

**Definition 2.12** (Chain). Let  $\mathcal{P}$  is a partially ordered set  $(\leq is \text{ the order } relation)$ .  $\mathcal{C} \subset \mathcal{P}$  is a chain if  $\forall \alpha, \beta \in \mathcal{C}$  exists a relation between  $\alpha$  and  $\beta$  i.e.  $\alpha \leq \beta$  or  $\beta \leq \alpha$ .

**Lemma 2.13** (Zorn). If any non-empty Chain C in a non-empty set P has an upper bound (that is  $M \in P$  such that  $M \ge x, \forall x \in C$ ) then P has a maximal element.

 $<sup>{}^{3}</sup>I = \overline{\sum_{i} \lambda_{i} P_{i}\left(X_{p_{i}}\right)}, \text{ where } \lambda_{i} \in A$   ${}^{4}\alpha_{i} \text{ is a root of } P_{i}$ 

### 2.4. EXTENSION OF HOMOMORPHISMS. UNIQUENESS OF ALGEBRAIC CLOSURE31

We can use Zorn lemma to prove that any proper ideal is in a Maximal ideal.

Let  $\mathcal{P}$  is the set of proper ideals in A containing I. The set is not empty because it has at least one element I. Any Chain  $\mathcal{C} = \{I_{\alpha}\}^{5}$  has an upper bound: it's  $\cup_{\alpha} I_{\alpha}$  (exercise that the union is an ideal). So  $\mathcal{P}$  has a maximal element m and  $I \subset m$ .

If we take a Quotient ring by maximal ideal it's always a field otherwise it will have a proper ideal:  $\exists a \in A/m$  such that (a) is a proper ideal and it pre-image in  $\pi: A \to A/m$  should strictly contain  $m^6$ .

# 2.4 Extension of homomorphisms. Uniqueness of algebraic closure

Some summary about just proved existence of algebraic closure. There exists  $\bar{K} = \bigcup_{i=1}^{\infty} K_i$  - algebraic closure of K, where

$$K \subset K_1 \subset K_2 \subset \cdots \subset K_{i-1} \subset K_i \subset \cdots$$

 $K_i$  is a field where each polynomial  $P \in K_{i-1}$  has a root. The field  $K_i$  is Quotient ring of huge polynomial ring  $K_{i-1}[X]$  by a suitable Maximal ideal that is got by means of Zorn lemma.

Another question is the closure unique? The answer is yes. We start the proof with the following theorem

**Theorem 2.14** (About extension of homomorphism). Let  $K \subset L \subset M$  - Algebraic extension.  $K \subset \Omega$ , where  $\Omega$  - Algebraic closure of K.  $\forall \phi : L \to \Omega$  extends to  $\widetilde{\phi} : M \to \Omega$ 

*Proof.* Apply Zorn lemma to the following set (of pairs)

$$\mathcal{E} = \{ (N, \psi) : L \subset N \subset M, \psi \text{ extends } \phi \}$$

 $\mathcal{E}$  is non empty because  $(L, \phi) \in \mathcal{E}$ .

The set  $\mathcal{E}$  is partially ordered by the following relation ( $\leq$ ):

$$(N, \psi) \leq (N', \psi')$$
,

if  $N \subseteq N'$  and  $\psi'/N = \psi$  ( $\psi'$  extends  $\psi$ ). Any Chain  $(N_{\alpha}, \psi_{\alpha})$  has an upper bound  $(N, \psi)$ , where  $N = \bigcup_{\alpha} N_{\alpha}$  - field, sub extension of M.  $\psi$  defined in the following way: for  $x \in N_{\alpha} \psi(x) = \psi_{\alpha}(x)$ .

<sup>&</sup>lt;sup>5</sup> The order is the following  $I_{\alpha} \leq I_{\beta}$  if  $I_{\alpha} \subset I_{\beta}$ 

<sup>&</sup>lt;sup>6</sup> ??? i.e. m is not a maximal ideal in the case.

Thus  $\mathcal{E}$  has a maximal element that we denote by  $(N_0, \psi_0)$ .

Lets suppose that  $N_0 \neq M$ , i.e.  $N_0 \subsetneq M$ . Now it's very easy to get a contradiction. Lets take  $x \in M \setminus N_0$  and consider Minimal polynomial  $P_{min}(x, N_0)$ . It should have a root  $\alpha \in \Omega$ . Now we extend  $N_0$  to  $N_0(x)$  and define  $\psi'$  on  $N_0(x)$  as follows:  $\forall y \in N_0 : \psi'(y) = \psi_0(y)$  and  $\psi'(x) = \alpha$ . Thus we was able to find an element of the chain that is greater than maximal. Therefore our assumption about  $N_0 \neq M$  was incorrect and we can conclude than  $N_0 = M$  and therefore  $\tilde{\phi} = \psi_0$ .

Corollary 2.15 (About algebraic closure isomorphism). If  $\Delta$  and  $\Delta'$  are 2 algebraic closures of K then they are isomorphic as K-algebras.

*Proof.* Using theorem 2.14 one can assume  $L=K,\,M=\Delta'$  and  $\Omega=\Delta$  i.e. we have

$$K \subset K \subset \Delta'$$

in this case homomorphism  $K \to \Delta$  can be extended to  $\Delta' \to \Delta$  i.e. there exists a homomorphism (i.e. Injection) from  $\Delta'$  to  $\Delta$ .

If we assume  $M = \Delta$  and  $\Omega = \Delta$  then there exists a homomorphism (i.e. Injection) from  $\Delta$  to  $\Delta'$ . The Injection is also Surjection in another direction:  $\Delta' \to \Delta$  and as result we have Isomorphism  $\Delta' \to \Delta$ 

## Chapter 3

# Finite fields. Separability, perfect fields

We recall the construction and basic properties of finite fields. We prove that the multiplicative group of a finite field is cyclic, and that the automorphism group of a finite field is cyclic generated by the Frobenius map. We introduce the notions of separable (resp. purely inseparable) elements, extensions, degree. We briefly discuss perfect fields.

### 3.1 An example (of extension)s. Finite fields

Corollary 3.1. Algebraic closure of K is unique up to Isomorphism of K-algebras  $^1$ 

Corollary 3.2. Any Algebraic extension of K is embedded into the Algebraic closure  $^2$ 

**Example 3.1.1** (Of extension of homomorphism). Let  $K = \mathbb{Q}$  and  $\overline{\mathbb{Q}}$  is the Algebraic closure of K. For instance we can consider  $\overline{\mathbb{Q}} \subset \mathbb{C}$ .

Let

$$L = \mathbb{Q}\left(\sqrt{2}\right) = \mathbb{Q}\left[X\right] / \left(X^2 - 2\right),$$

 $\alpha$  is a class of X in L. L has 2 Embeddings into  $\overline{\mathbb{Q}}$ 

1. 
$$\phi_1:\alpha\to\sqrt{2}$$

2. 
$$\phi_2: \alpha \to -\sqrt{2}$$

<sup>&</sup>lt;sup>1</sup> There is a redefinition of corollary 2.15.

 $<sup>^{2}</sup>$ seems to be a reformulation of theorem 2.14

<sup>&</sup>lt;sup>3</sup> Really  $\overline{\mathbb{Q}} = \mathbb{A}$  - the set of all algebraic numbers, i.e. roots of polynomials  $P \in \mathbb{Q}[X]$ .

Let

$$M = \mathbb{Q}\left(\sqrt[4]{2}\right) = \mathbb{Q}\left[Y\right] / \left(Y^4 - 2\right),\,$$

 $\beta$  is a class of Y in M. M has 4 Embeddings into  $\overline{\mathbb{Q}}$ 

- 1.  $\psi_1: \beta \to \sqrt[4]{2} \ (extends \ \phi_1)$
- 2.  $\psi_2: \beta \to -\sqrt[4]{2} \ (extends \ \phi_1)$
- 3.  $\psi_3: \beta \to i\sqrt[4]{2} \ (extends \ \phi_2)$
- 4.  $\psi_4: \beta \to -i\sqrt[4]{2} \ (extends \ \phi_2)$

This ("extends") is because

$$M = L[Y] / (Y^2 - \alpha)$$

### 3.1.1 Finite fields

**Definition 3.3** (Finite field). K is a finite field if it's characteristic (see section 1.1.3) charK = p, where p -  $prime\ number$ 

**Remark 3.4** ( $\mathbb{F}_{p^n}$ ). If K is a finite extension of  $\mathbb{F}_p$  and  $n = [K : \mathbb{F}_p]$  then number of elements of  $K : |K| = p^n$ . The following notation is also used for a finite extension of a finite field:  $\mathbb{F}_{p^n}$ 

**Remark 3.5** (Frobenius homomorphism). If char K = p, then exists a Homomorphism  $F_p: K \to K$  such that  $x \in K \to x^p \to K$ . Really if we consider  $(x+y)^p$  and  $(xy)^p$  then we can get  $(x+y)^p = x^p + y^p$  and  $(xy)^p = x^p y^p$ . The second property is the truth in the all fields (of course) but the first one is the special property of  $\mathbb{F}_p$  fields.

**Remark 3.6.** Also  $F_{p^n}: x \in K \to x^{p^n} \in K$  is also homomorphism (a power of Frobenius homomorphism.

### 3.2 Properties of finite fields

**Theorem 3.7.** Lets fix  $\mathbb{F}_P$  and it's Algebraic closure  $\overline{\mathbb{F}_P}$ .

The Splitting field of  $x^{p^n} - x$  has  $p^n$  elements. Conversely any field of  $p^n$  elements is a splitting field of  $x^{p^n} - x$ . Moreover there is an unique sub extension of  $\overline{\mathbb{F}_P}$  with  $p^n$  elements.

Proof. Note that  $F_{p^n}: x \to x^{p^n}$  is a Homomorphism (see remark 3.6) as result the following set  $\{x \mid F_{p^n}(x) = x\}$  is a sub-field containing  $\mathbb{F}_p$  <sup>4</sup> Lets  $Q_n(X) = X^{p^n} - X$  thus the considered set consists of the root of the polynomial  $Q_n$ . The polynomial has no multiple roots because  $gcd(Q_n, Q'_n) = 1$ . <sup>5</sup> This is because  $Q'_n \equiv 1 \mod p$ . <sup>6</sup> As soon as  $Q_n$  has no multiple roots then there are  $p^n$  different roots and therefore the splitting field is the field with  $p^n$  elements.

Conversely lets  $|K| = p^n$  and  $\alpha \neq 0 \in K$ . Using the fact that the multiplication group of K has  $p^n - 1$  elements:  $|K^*| = p^n - 1$  as result the multiplication of all the elements should give us 1:  $\alpha^{p^n-1} = 1$  or  $\alpha^{p^n} - \alpha = 0$ . Therefore  $\alpha$  is a root of  $Q_n$ . Thus the splitting field of  $Q_n$  consists of elements of K.

The uniqueness of sub-extension of  $\mathbb{F}_p$  with  $p^n$  elements is a result of uniqueness of the splitting field (see theorem 2.7).

**Theorem 3.8.**  $\mathbb{F}_{p^d} \subset \mathbb{F}_{p^n}$  if and only if  $d \mid n$ .

*Proof.* Let  $\mathbb{F}_{p^d} \subset \mathbb{F}_{p^n}$  in this case  $\mathbb{F}_p \subset \mathbb{F}_{p^d} \subset \mathbb{F}_{p^n}$  and

$$\left[\mathbb{F}_{p^n}:\mathbb{F}_p\right] = \left[\mathbb{F}_{p^n}:\mathbb{F}_{p^d}\right] \left[\mathbb{F}_{p^d}:\mathbb{F}_p\right]$$

or  $n = x \cdot d$  i.e.  $d \mid n$ 

Conversely if  $d \mid n$  then  $n = x \cdot d$  or  $p^n = \prod_{i=1}^x p^d$  thus if  $x^{p^d} = x$  then

$$x^{p^n} = x^{\prod_{i=1}^x p^d} \left( x^{p^d} \right)^{\prod_{i=2}^x p^d} = x^{\prod_{i=2}^x p^d} = \dots = x^{p^d} = x,$$

i.e.  $\forall \alpha \in \mathbb{F}_{p^d}$  we also have  $\alpha \in \mathbb{F}_{p^n}$  or in other notation:  $\mathbb{F}_{p^d} \subset \mathbb{F}_{p^n}$ .

**Theorem 3.9.**  $\mathbb{F}_{p^n}$  is a Stem field and a Splitting field of any irreducible polynomial  $P \in \mathbb{F}_p$  of degree n.

*Proof.* Stem field K has to have degree n over  $\mathbb{F}_p$  i.e.  $[K : \mathbb{F}_l] = n$  i.e. it should have  $p^n$  elements and therefore  $K = \mathbb{F}_{p^n}$  (see also remark 3.4).

About Splitting field. Using the just proved result we can say that if  $\alpha$  is a root of P then  $\alpha \in \mathbb{F}_{p^n}$  thus  $Q_n(\alpha) = 0$ . Therefore P divides  $Q_n^8$  and as result P splits in  $\mathbb{F}_{p^n}$ .

For  $x \in \mathbb{F}_p$  we have that  $x^{p-1} = 1$  (the field with fixed number of elements) and therefore  $\forall x \in \mathbb{F}_p : x^p = x$  i. e.  $F_{p^n}(x) = x$ .

<sup>&</sup>lt;sup>5</sup> If  $Q_n$  has a multiple root  $\beta$  then it is divisible by  $(X - \beta)^2$  and the  $Q'_n$  is divisible by (at least)  $(X - \beta)$  thus the  $(X - \beta)$  should be a part of gcd.

<sup>6 ???</sup>  $Q'_n = p^n X^{p^n - 1} - 1 \equiv -1 \mod p$ 

 $<sup>^{7}</sup> K^{*} = K \setminus \{0\}$ 

<sup>&</sup>lt;sup>8</sup>as soon as any root of P also a root of  $Q_n$ 

Corollary 3.10. Let  $\mathcal{P}_d$  is the set of all irreducible, Monic polynomials of degree d such that  $\mathcal{P}_d \subset \mathbb{F}_p[X]$  then

$$Q_n = \prod_{d|n} \prod_{P \in \mathcal{P}_d} P$$

*Proof.* As we just seen if  $P \in \mathcal{P}_d$  and  $d \mid n$  then  $P \mid Q_n$ . <sup>9</sup> Since all such polynomials are relatively prime of course <sup>10</sup> <sup>11</sup> and  $Q_n$  have no multiple roots (as result no multiple factors) then

$$\prod_{d|n} \prod_{P \in \mathcal{P}_d} P \mid Q_n$$

From other side let R is an irreducible factor of  $Q_n$ .  $\alpha$  is a root of R then  $Q_n(\alpha) = 0$  thus  $\mathbb{F}_p(\alpha) \subset \mathbb{F}_{p^n}$  therefore  $\mathbb{F}_p(\alpha) = \mathbb{F}_{p^d}$  where  $d \mid n$  and as result,  $degR \mid n$ . Thus the polynomial should be in the product  $\prod_{d \mid n} \prod_{P \in \mathcal{P}_d} P$ .  $\square$ 

**Example 3.2.1.** Let p = n = 2. The monic irreducible polynomials in  $\mathbb{F}_2$  whose degree divides 2 are: x, x + 1 and  $x^2 + x + 1$ . As you can see

$$x(x+1)(x^2+x+1) = x^4 + x = x^4 - x$$

because  $2x = 0 \mod 2$  or x = -x.

# 3.3 Multiplicative group and automorphism group of a finite field

**Theorem 3.11.** Let K be a field and and G be a finite Subgroup of  $K^*$  then G is a Cyclic group

*Proof.* Idea is to compare G and the Cyclic group  $\mathbb{Z}/N\mathbb{Z}$  where N = |G|. <sup>12</sup>

<sup>&</sup>lt;sup>9</sup> Since stem field is  $\mathbb{F}_{p^d} \subset \mathbb{F}_{p^n}$ 

<sup>&</sup>lt;sup>10</sup> As soon as  $\mathbb{F}_p[X]$  is Unique factorization domain then any polynomial can be written as a product of irreducible elements, uniquely up to order and units this means that each  $P \in \mathcal{P}_d$  (where  $d \mid n$ ) should be in the factorization of  $Q_n$ . It should be only one time because there is no multiply roots.

<sup>&</sup>lt;sup>11</sup> We also can say that 2 irreducible polynomial  $P_1, P_2 \in \mathbb{F}_p[X]$  should not have same roots. For example if  $\alpha$  is the same root - it cannot be in  $\mathbb{F}_p$  because in the case the polynomials will be reducible. Thus it can be only in an extension of  $\mathbb{F}_p$  from other side  $gcd(P_1, P_2) = 1$  and therefore with Bézout's lemma one can get that  $\exists Q, R \in \mathbb{F}_p[X]$  such that  $P_1Q + P_2R = 1$  and setting  $\alpha$  into the equation leads to fail statement that 0 = 1.

<sup>&</sup>lt;sup>12</sup> We also will use the fact that any cyclic group of order N is isomorphic to  $\mathbb{Z}/N\mathbb{Z}$ 

Let  $\psi\left(d\right)$  - is the number of elements of order d ( see also Order of element in group) in G. We need  $\psi(N) \neq 0^{13}$  and we know that  $N = \sum \psi(d)$ .

Let also  $\phi(d)$  - is the number of elements of order d (see also Order of element in group) in  $\mathbb{Z}/N\mathbb{Z}$ . <sup>14</sup> As  $\mathbb{Z}/N\mathbb{Z}$  contains a single (cyclic) subgroup of order d for each  $d \mid N$ . <sup>15</sup>  $\phi(d)$  is the number of generators of  $\mathbb{Z}/d\mathbb{Z}$  i.e. the number of elements between 1 and d-1 that are prime to d. We know that  $\phi(N) \neq 0$ .

We claim that either  $\psi(d) = 0$  or  $\psi(d) = \phi(d)^{16}$  If no element of order d in G then  $\psi(d) = 0$  otherwise if  $x \in G$  has order d then  $x^d = 1$  or x is a root of the following polynomial  $x^d-1$ . The roots of the polynomial forms a cyclic subgroup of G. So G as well as  $\mathbb{Z}/N\mathbb{Z}$  has a single cyclic subgroup of order d (which is cyclic) or no such group at all. <sup>17</sup>

If  $\psi(d) \neq 0$  then exists such a subgroup and  $\psi(d)$  is equal to the number of generators of that group or  $\phi(d)$  <sup>18</sup> In particular  $\psi(d) \leq \phi(d)$  <sup>19</sup> but there should be equality because the sum of both  $\sum \psi(d) = \sum \phi(d) = N$ . In particular  $\psi(N) \neq 0$  and we proved the theorem.

Corollary 3.12. If  $\mathbb{F}_p \subset K$  and  $[K : \mathbb{F}_p] = n$  then  $\exists \alpha$  such that  $K = \mathbb{F}_p(\alpha)$ . In particular  $\exists$  an irreducible polynomial of degree n over  $\mathbb{F}_p$  20

*Proof.* We can take 
$$\alpha = \text{generator of } K^{*21}$$

$$x^N = x^{r \cdot d} = \prod_{i=1}^r x^d$$

thus  $x^{d}=1$  i.e. there is a cyclic subgroup of order d.

16 suffices since  $\sum \psi\left(d\right)=\sum \phi\left(d\right)=N$ 

<sup>&</sup>lt;sup>13</sup> In this case we will have at least one element x of order N i.e. N different elements of G is generated by the x i.e. the G is cyclic.

<sup>&</sup>lt;sup>14</sup> The function  $\phi(d)$  is also called as Euler's totient function and it counts the positive integers up to a given integer d that are relatively prime to d

<sup>&</sup>lt;sup>15</sup> The one generated by N/d. Let  $N = r \cdot d$  in the case  $x^N = 1$  there x is a  $\mathbb{Z}/N\mathbb{Z}$  group generator. From other side

<sup>&</sup>lt;sup>17</sup> Several comments about the subgroup. There is a group because multiplication of any elements is in the set. It's cyclic because it's generated by one element. All  $x^i$  where  $i \leq d$  are different (in other case the group should have an order less than d). Each element of the group  $x^i$  is a root of  $x^d-1$  because  $(x^i)^d=(x^d)^i=1^i=1$ . And the group is unique as well as we have d different roots of  $x^d - 1$  in the group.

<sup>&</sup>lt;sup>18</sup> Because the group is cyclic and any cyclic group is isomorphic to  $\mathbb{Z}/d\mathbb{Z}$  and as result has the same number of generators.

<sup>&</sup>lt;sup>19</sup> because  $\psi(d) = 0$  or  $\psi(d) = \phi(d)$ 

<sup>&</sup>lt;sup>20</sup> The theorem 3.9 and remark 3.4 says that the stem field for any polynomial of degree n over  $\mathbb{F}_p$  exists and there is  $\mathbb{F}_{p^n}$  and  $[\mathbb{F}_{p^n}:\mathbb{F}_p]=n$  i.e.  $K=\mathbb{F}_{p^n}$ . But we had not proved yet that an irreducible polynomial of degree n exists.

<sup>&</sup>lt;sup>21</sup> This is because  $K^* = \langle \alpha \rangle$  i.e. any element of K except 0 can be got as a power of  $\alpha$ 

Corollary 3.13. The group of automorphism of  $\mathbb{F}_{p^n}$  over  $\mathbb{F}_p$  is cyclic and generated by Frobenius map:  $F_p: x \to x^p$  (see remark 3.5)

*Proof.* As we know from theorem 3.7:  $\forall x \in \mathbb{F}_{p^n}: x^{p^n} = x \text{ so } F_p^n = Id^{22}$ . From other side if m < n then  $x^{p^m} - x = 0$  has  $p^m < p^n$  roots and cannot be identity <sup>23</sup> Finally (from corollary 3.12) we have  $\mathbb{F}_{p^n} = \mathbb{F}_p(\alpha)$  where  $\alpha$  is a root of an irreducible polynomial of degree n. Thus there exists exactly n automorphisms of  $\mathbb{F}_{p^n}$ . <sup>24</sup> So

$$|Aut\left(\mathbb{F}_{p^n}/\mathbb{F}_p\right)| \leq n$$

and as we have n of them (Automorphisms) then

$$|Aut\left(\mathbb{F}_{p^n}/\mathbb{F}_p\right)|=n$$

### 3.4 Separable elements

Let E is a Splitting field of an irreducible polynomial P. We would like to say that it "has many Automorphisms". What does this mean? This means the following thing: Let  $\alpha$  and  $\beta$  be 2 roots of P then we have 2 extensions  $K(\alpha) \subset E$  and  $K(\beta) \subset E$ .

There exists an Isomorphism (see proposition 2.2) over K

$$\phi:K\left(\alpha\right)\to K\left(\beta\right)$$

that is also extended to an Automorphism on E (see theorem 2.14).

There is one problem with it: is that truth that an irreducible polynomial of degree n has "many" (no more than n and not single) roots.

The answer is yes if charK = 0, but not always if charK = p (where p is a prime number). P can have multiple roots in the case i.e.  $gcd(P, P') \neq 1$ .

i.e. we really got  $K = \mathbb{F}_p(\alpha)$ .

<sup>???</sup> The irreducible polynomial we can get if consider  $1, \alpha, \dots, \alpha^{n-1}$  as a basis and  $\alpha^n$  can be represented via the basis.

<sup>&</sup>lt;sup>22</sup> because  $F_p^n: x \to x^{p^n} = x$ .

<sup>&</sup>lt;sup>23</sup> because operates only with  $p^m$  elements i.e. not of all elements of  $\mathbb{F}_{p^n}$ .

 $<sup>^{24}</sup>$  Each automorphism converts the root  $\alpha$  into another one of n roots of the irreducible polynomial

Why it's not a case for charK = 0 - it is because  $\deg P' < \deg P$  and  $P \nmid P'$  for  $P' \neq 0$  (non constant polynomial) <sup>25</sup>

But for charK = p there can be a case when P' = 0 for a non constant polynomial thus  $P \mid P'$  and as result gcd(P, P') = P. The P' = 0 i.e. is vanish if  $P = \sum a_i x^i$  and  $p \mid i$  or  $a_i = 0$ .

Let  $r = \max h$  such that P is a polynomial in  $x^{p^h}$  that is  $a_i = 0$  whenever  $p^h \nmid i$ 

**Proposition 3.14.** Let  $P(X) = Q(x^{p^r})$  and  $Q' \neq 0$  i.e. gcd(Q, Q') = 1 and Q does not have multiple roots but all roots of P have multiplicity  $p^r$ .

*Proof.* If  $\lambda$  is a root of P then  $\lambda$ :  $P(X) = (X - \lambda)R$  Thus  $\mu = \lambda^{p^r}$  is the root of Q:  $Q(Y) = (Y - \lambda^{p^r})S(Y)$  therefore

$$P(X) = \left(X^{p^r} - \lambda^{p^r}\right) S\left(X^{p^r}\right) = \left(X - \lambda\right)^{p^r} S\left(X^{p^r}\right)$$

and  $\lambda$  is not a root of  $S(X^{p^r})$ . <sup>26</sup> Thus we just got that multiplicity of  $\lambda$  is  $p^r$ .

**Definition 3.15** (Separable polynomial).  $P \in K[X]$  irreducible polynomial is called separable if gcd(P, P') = 1

**Definition 3.16** (Degree of separability).  $d_{sep}(P) = \deg Q$  (as above) <sup>27</sup>

**Definition 3.17** (Degree of inseparability).  $d_i(P) = \frac{\deg P}{\deg Q}$  (=  $p^r$  in definition 3.16)

**Definition 3.18** (Pure inseparable polynomial). P is pure inseparable if  $d_i = \deg P$ . Then  $P = X^{p^r} - a^{28}$ 

**Definition 3.19** (Separable element). Let L be an Algebraic extension of K then  $\alpha \in K$  is called separable(inseparable) if it's Minimal polynomial  $P_{min}(\alpha, K)$  has the property. Note: the separable element is also Algebraic element because it has minimal polynomial.

 $<sup>^{25}</sup>$  Let P has multiply roots. As soon as it's irreducible a multiply root is in an extension of K. In this case the root should be also a root for P' thus by theorem 0.30 one can get that  $P \mid P'$  in K[X] but that is impossible because  $\deg P' < \deg P$  and can be only possible if P' = 0.

<sup>&</sup>lt;sup>26</sup> This is because Q does not have multiply roots and as result  $\mu = \lambda^{p^r}$  is not a root of S or in other words  $S\left(X^{p^r}\right)_{X=\lambda} \neq 0$ 

<sup>&</sup>lt;sup>27</sup> It requires some explanation compare to that one was got on the lecture video. If P is a Separable polynomial then  $d_{sep}(P) = \deg P$ . In other case P should be represented as  $P(X) = q_1(X^p)$ . If  $q_1(Y)$  is separable than  $Q = q_1$  otherwise we continue and represent  $q_1(X) = q_2(X^p)$ . We should stop on some  $q_r$  in this case  $Q = q_r$  and  $P(X) = Q(X^{p^r})$ . In the case  $d_{sep}(P) = \deg Q$ .

<sup>&</sup>lt;sup>28</sup> ??? For example but not then

**Proposition 3.20** (On number of homomorphisms). If  $\alpha$  is separable on K then the number of Homomorphisms

$$|Hom_k(K(\alpha), \bar{K})| = \deg P_{min}(\alpha, K)$$

in general

$$\left|Hom_{k}\left(K\left(\alpha\right),\bar{K}\right)\right|=d_{sep}P_{min}\left(\alpha,K\right)$$

*Proof.* It's obvious because  $d_{sep}$  is the number of distinct roots.

### 3.5 Separable degree, separable extensions

We want to generalize the proposition 3.20 for any field extension (not necessary  $K(\alpha)$ ). Let L be a finite extension of K

**Definition 3.21** (Separable degree).  $[L:K]_{sep} = |Hom_k(L,\bar{K})|$ 

As we know if  $L = K(\alpha)$  then Separable degree is a number of distinct roots of minimal polynomial  $P_{min}(\alpha, K)$ 

**Definition 3.22** (Separable extension). L is separable over K if  $[L:K]_{sep} = [L:K]$ 

**Definition 3.23** (Inseparable degree).

$$[L:K]_i = \frac{[L:K]}{[L:K]_{sep}}$$

**Theorem 3.24** (About separable extensions). 1. If  $K \subset L \subset M$  then  $[M:K]_{sep} = [M:L]_{sep} [L:K]_{sep}$  and M is Separable extension over K if and only if M is separable over L and L is separable over K

- 2. The following things are equivalent
  - (a) L is separable over K
  - (b)  $\forall \alpha \in L \ \alpha \ Separable \ element \ over \ K$
  - (c) L is generated over K by a finite number of Separable elements i.e.  $L = K(\alpha_1, \alpha_2, ..., \alpha_n)$ , there  $\alpha_i$  is separable over K
  - (d)  $L = K(\alpha_1, \alpha_2, \dots, \alpha_n)$ , there  $\alpha_i$  is separable over  $K(\alpha_1, \alpha_2, \dots, \alpha_{i-1})$

Remark 3.25. That holds if we replace separability with pure inseparability.

*Proof.* About 1st part: If we have a Homomorphism  $\phi: L \to \bar{K}$  then it is extended to  $\tilde{\phi}: M \to \bar{K}$  (by extension theorem 2.14) it can be done with one way per each homomorphism from L to M i.e. it can be done by  $|Hom_L(M,\bar{K})|$  ways but we have

$$\left| Hom_L\left(M, \bar{K}\right) \right| = \left| Hom_L\left(M, \bar{L}\right) \right| = [M:L]_{sep}$$

because  $\bar{K}$  is also  $\bar{L}$  (Algebraic closure over L) thus for the total number of homomorphisms one can get the following equations

$$[M:K]_{sep} = \left| Hom_k \left( M, \bar{K} \right) \right| = \left| Hom_k \left( L, \bar{K} \right) \right| \left| Hom_L \left( M, \bar{K} \right) \right| =$$
$$\left| Hom_k \left( L, \bar{K} \right) \right| \left| Hom_L \left( M, \bar{L} \right) \right| = [M:L]_{sep} [L:K]_{sep}$$

We have the following inequality

$$[E:K]_{sep} \le [E:K] \tag{3.1}$$

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With the inequality (3.1) we also have

$$[M:K]_{sep} = [M:L]_{sep} [L:K]_{sep} \le [M:L] [L:K] = [M:K]$$

The equality is possible if  $[M:L]_{sep} = [M:L]$  and  $[L:K]_{sep} = [L:K]$  i.e. if M is separable over L and L is separable over K. This finishes the proof of the first part.

About 2d part:

2a  $\Rightarrow$  2b: Part 1 implies that a separable sub extension  $K(\alpha)$  or a separable extension L is separable. <sup>30</sup>

 $2b \Rightarrow 2c$ : obvious <sup>31</sup>

$$|Hom_k(K(\alpha), \bar{K})| = d_{sep}P_{min}(\alpha, K) \le \deg P_{min}(\alpha, K) = [K(\alpha) : K]$$

Then let it was proved for  $E = K(\alpha_1, \ldots, \alpha_{n-1})$  and we want to prove it for  $K(\alpha_1, \ldots, \alpha_{n-1}, \alpha_n) = E(\alpha_n)$ . It's easy because  $\bar{E} = \bar{K}$  and we can use the same approach as for the first induction step.

<sup>30</sup> I.e. in the case we have  $K \subset K(\alpha) \subset L$  and if L is separable then  $K(\alpha)$  is separable and as result  $\alpha$  is a Separable element because  $P_{min}(\alpha, K)$  is separable.

<sup>&</sup>lt;sup>29</sup> The inequality can be proved by induction using the fact that it's true for  $K(\alpha)$  because from general case of proposition 3.20

 $<sup>^{31}</sup>$  We consider finite extensions (see remark 3.4) i.e. which consists of finite number of elements

 $2c \Rightarrow 2d$ : We know that  $P_{min}(\alpha_i, K(\alpha_1, \ldots, \alpha_{i-1}))$  divides  $P_{min}(\alpha_i, K)$ .

Thus if  $P_{min}(\alpha_i, K)$  is separable (i.e. have distinct roots) then it's divisor  $P_{min}(\alpha_i, K(\alpha_1, \ldots, \alpha_{i-1}))$  also should have distinct roots i.e.  $\alpha_i$  is a Separable element over  $K(\alpha_1, \ldots, \alpha_{i-1})$ 

$$2d \Rightarrow 2a$$
: Induction as above <sup>33</sup>

What's about not finite extension? For that case we can define separable extension as follows.

**Definition 3.26** (Separable closure). If L over K not necessary finite (but algebraic over K) we can define

$$L^{sep} = \{x | x \text{ separable over } K\}$$

 $L^{sep}$  is a sub extension (??? from theorem 3.24) called separable closure of K over L

L is pure inseparable over  $L^{sep}$ .

**Remark 3.27.** 1. If charK = 0 then any extension of K is separable

2. If charK = p then pure inseparable extension has degree  $p^r$  and always degree of inseparability  $[L:K]_i = p^r$ 

### 3.6 Perfect fields

**Definition 3.28** (Perfect field). Let K is a field and charK = p > 0. K is perfect if Frobenius homomorphism is a Surjection

**Example 3.6.1.** 1. Finite field is perfect because an Injection of a set into itself is always a Surjection

2. Algebraically closed fields are perfect because  $x^p - a$  has a root for any a particularly  $a = F_p(\alpha)^{-34}$ 

<sup>&</sup>lt;sup>32</sup> Let  $K(\alpha_1, \ldots, \alpha_{i-1}) = L$  then  $K \subset L$  and  $P_{min}(\alpha_i, K) \in L[X]$  From other side  $P_{min}(\alpha_i, L)$  is the minimal irreducible polynomial in L[X] and any other polynomial with  $\alpha_i$  as root has to by divisible by it. see also lemma 1.7

<sup>&</sup>lt;sup>33</sup> The first induction step is trivial:  $L = K(\alpha)$  where  $\alpha$  is separable over K in this case  $K(\alpha)$  is also separable. Now we have that  $\forall k < n$ : if  $L = K(\alpha_1, \alpha_2, \ldots, \alpha_k)$ , there  $\alpha_i$  is separable over  $K(\alpha_1, \alpha_2, \ldots, \alpha_{i-1})$  then L is separable over K. Thus we have  $K(\alpha_1, \alpha_2, \ldots, \alpha_{n-1})$  separable and  $\alpha_n$  is separable over  $K(\alpha_1, \alpha_2, \ldots, \alpha_{n-1})$  thus using the first part of the theorem we can conclude that  $K(\alpha_1, \alpha_2, \ldots, \alpha_n)$  is also separable over K

<sup>&</sup>lt;sup>34</sup> As a polynomial in  $Y = X^p$  has a root then it also have a root for X variable.

3. Not perfect field example. Let  $K = \mathbb{F}_p(X)$  be a field of rational fractions in 1 variable over  $\mathbb{F}_p$ . I.e. elements of the field are  $\frac{f(X)}{g(X)}$  where  $f, g \in \mathbb{F}_p[X]$ . It's not perfect because  $Im(F_p) = \mathbb{F}_p(X^p) \neq \mathbb{F}_p(X)$ 

**Theorem 3.29.** K is a Perfect field if and only if all irreducible polynomial over K are separable or in other words all Algebraic extensions of K are separable.

*Proof.* Let K is perfect and  $P \in K[X]$  is an irreducible polynomial. Let also

$$P(X) = Q(X^{p^r}) = \sum_{i} a_i (X^{p^r})^i$$

but as soon as my field is perfect then I can extract p-root of  $a_i$  and do it repeatedly. I.e.  $\exists b_i \in K$  such that  $b_i^{p^r} = a_i$ . Therefore

$$P(X) = \sum_{i} b_{i}^{p^{r}} (X^{p^{r}})^{i} = \sum_{i} (b_{i}X^{i})^{p^{r}} = \left(\sum_{i} b_{i}X^{i}\right)^{p^{r}}.$$

The polynomial is not irreducible unless  $r=0\ ^{35}$  so irreducible means separable.

If K is not perfect i.e.  $\exists a \notin Im(F_p)$  then  $X^{p^r} - a$  is irreducible and all roots are in  $\bar{K}$  are the (???) same x with  $x^{p^r} = a$  and of course  $x^{p^{r-1}} \notin K$ .

36 We have already seen that in the case  $[K(x):K] = p^r$  so the polynomial is irreducible (???) see corollary 3.12) and this finishes the proof.

<sup>&</sup>lt;sup>35</sup> In other case each root will have at least multiplicity  $p^r$ .

<sup>&</sup>lt;sup>36</sup> as soon as any power of x (little x but not the big one X)