



Bongani Patrick Mbambiso

Senior Financial Services and Economic/Market Research Professional

1596 Mount Anderson Street
Kirkney X12
Pretoria
0182
C: 0796076615 / 0832126233
E: mbambisob@gmail.com

A hardworking, goal-orientated professional with more than 15 years of hands-on experience in the financial services industry. Highly skilled in **Equity Investment Analysis, Building Valuation or Financial Models, Portfolio Management, Risk Management, Project Management, Economic or Market Research, conducting Due Diligence and feasibility studies**. Extensive knowledge of global/local financial markets, global/local commodity markets, and a wide variety of key economic industries and sectors. Team player with a multi-cultural background, and highly receptive to new environments.

PERSONAL ATTRIBUTES AND COMPETENCIES

- Strong General Management Acumen (Project/ Strategic/ Commercial/ Financial Management)
- Experience in investment management, project appraisal, capital fund raising, business planning/budget management
- Good intuition for probability theory, quantitative/ statistical analysis and modelling, and portfolio optimization
- Sound understanding of micro- and macro-economics
- In-depth knowledge of global metals and mineral commodities markets, sub-industries of the global and local (SA/Africa) Mining Sector which include: Precious metals, bulk commodities, and industrial metals
- Hands-on experience in analysing JSE listed stocks, Portfolio Construction, Portfolio Management and Rebalancing
- Strong financial statements analysis skills (Credit Analysis, Corporate Governance / ESG Analysis skills)
- Acquainted with regulatory policies that apply to mining and minerals industries, Vast knowledge of GAAP, IFRS, and FAIS Regulations
- Highly efficient in building and maintaining technical/financial/valuation/risk/attribution models for all asset classes
- Working knowledge of private equity, compiling term sheets/ Letters of Interests, and conducting due diligence investigations
- Vast knowledge of the key economic sectors (Mining, Energy, Chemical, Building & Construction, Paper & packaging)

ADDITIONAL SKILLS

- Problem solving ◦ Independent thinker ◦ Time Management ◦ Effective writing, presentation, and verbal communication
- Analytical/Numerical/Data Handling ◦ Ability to properly function under pressure ◦ Ability to use initiative and multitask

COMPUTER LITERACY:

- Advanced skills in Word/Excel/ PowerPoint
- iRes (iNet), Bloomberg, Factset, Maitland
- Python, R, VBA, MATLAB, C/C++, Java
- Tableau, Power BI, SQL (SQL Server, MySQL, SQLite, PostgreSQL)

EDUCATION

2011: MSc in Mathematics of Finance, University Cape Town

Selected Relevant Courses:

- Probability Theory ◦ Theory of Statistics ◦ Multivariate Regression & Time Series ◦ Multivariate Analysis and Modelling
- Financial Instruments and Related Markets ◦ Portfolio Management theory ◦ Mathematics of Derivatives Securities
- Stochastic Calculus ◦ Theory of Arbitrage in Discrete and Continuous Times ◦ Numerical Modelling

2003: BSc in Electrical Engineering, University Cape Town

Selected Relevant Courses:

- Probability ◦ Mathematics I & II ◦ Computer Science ◦ Fourier Analysis ◦ Professional Comm. ◦ New Business Venture Planning
- Power Eng. ◦ Control Eng. ◦ Elec. Machines ◦ Digital Electronics & Microprocessors ◦ Signal Processing ◦ Telecoms.

CERTIFICATION

- CFA Level 1
- FAIS Regulatory Exams (RE1, RE5, RE3)
- Team Effectiveness, *Palesa Training Consultant*
- Project Management, *52 Marshall Str. JHB - Anglo American Head Office;*
- Introduction to Financial Analysis & Equity Valuations, *Rand Merchant Bank Academy*

WORK EXPERIENCE

Pilanehurst Asset Managers, Sandton

Pilanehurst Asset Managers is a 100% black-owned and managed boutique, which manages a Private Equity Fund and a number of Traditional Listed Securities Funds.

Chief Investment Officer (02 Jan 2021 – Jan 2024)

- Directly **oversees Investment Division staff** with primary sign off authority for the Investment Division.
- **Formulate and recommend investment policies and strategies** to effectively manage portfolios to enhance returns and reduce risk.
- **Lead the investment staff and advisors** in implementing the Board's investment policies and strategies to achieve set investment goals.
- **Enhance Board reporting** and education to ensure appropriate and relevant information is provided to enable trustees to carry out the fiduciary responsibilities with respect to the investment program.
- **Prepare and present understandable written and oral reports** to the Board, Sponsors, committees, clients, or other groups as required.
- **Oversight of Business**, by ensuring that all FAIS Act impacted business areas have operational ability (e.g. phones, printers, IT systems, etc.). Promoting the culture of "Treating Customers Fairly" (TCF), and "Protection of Personal Information" (POPI) in the company.
- **Oversight of Representatives**, by ensuring that all representatives are correctly registered on the Representatives' Register, all active Representatives are "*fit and proper*", checking business rendered by representatives for correctness and appropriateness to the client needs.
- **Compliance Support**, by attending relevant Compliance meetings, providing feedback on any relevant challenges experienced by business, following up with business to respond to issues raised by Compliance
- **Maintenance of Compliance Requirements**, by ensuring that all FSCA and Ombudsman levies are submitted on time to the FSCA, and by ensuring that the FSP submits Financial Statements to the FSCA within 4 months of being finalized.
- **Managing specific investment projects** on day-to-day basis from start to finish.
- Contributing towards **quarterly strategy development**, and compiling quarterly/monthly reports/presentations for clients.
- **Building financial/ performance/ risk monitoring/mathematical models and tools.**
- Developing **firm-wide investment strategy and appropriate policies and procedures.**

Private Investment Consultancy, Pretoria *(Jan 2019 – Current)*

- Enhancing the competitiveness of my clients' proposals, bids, funding applications and capabilities, and thereby procuring or soliciting work for their benefit.
- Assisting clients to **identify potential investment or acquisition projects** and/or businesses,
- Assisting clients to **submit appropriate funding applications** with development finance institutions as well as with private equity finance institutions.
- **Consultancy services** also include but not be limited to: conducting due diligence, market research, valuations, feasibility and viability studies, evaluating strategic investment opportunities, formulate and submit appropriate acquisition proposals and all other functions geared to the success of clients.

Umthombo Wealth, Sandton, JHB

Portfolio Manager (Apr 2017 – Aug 2018)

- Managing specific investment projects on day-to-day basis from start to finish.
- Contributing towards **quarterly strategy development**, and compiling quarterly/monthly reports/presentations for clients.
- Performing **industry research and analysing JSE listed companies** in various sectors.
- **Building financial/ performance/ risk monitoring/ mathematical models** and tools.
- **Managing long-only Equity Portfolios valued at R1 billion.**
- **In-depth research of the global commodities**, including forecasting trends/ directional moves of global commodity prices
- **In-depth analysis of global/local macro and microeconomic drivers.**
- Supervising, training and development of graduates.

Cachalia Capital, Sandton, JHB

Portfolio Manager (Jan 2016 – Feb 2017)

- Performing industry research & analysing JSE listed companies (Equity analysis) in various economic sectors.
- **Building financial and valuation models**, and also involved in **Portfolio management and Risk Management.**
- **Analysing global commodities**, macro-economic price drivers, supply-demand dynamics, and forecasting prices.
- Compiling quarterly and monthly reports and **presentations for clients.**

Kumvest Investment Holdings, Sandton, JHB

Chief Investment Officer (Jan 2015 - Dec 2015)

- Developing **firm-wide investment strategy** and appropriate policies & procedures.
- Establishing the M&A business, identifying/analysing **M&A opportunities** and **strategic investment opportunities.**
- **Coordinating transactions** effectively & smoothly with all related business units.
- **Overseeing** the provision of internal M&A corporate advisory services- valuations, due diligence, feasibility studies, etc.

Mvunonala Asset Managers (MAM), Rosebank, JHB

Senior Analyst: (Mar 2013 - Dec 2014)

- Conducting **in-depth research and analysis of the global/local commodity markets.**
- Performing **industry research and analysing JSE listed companies** in various key sectors.
- **Building financial models, portfolio management**, making decisions with respect to investment portfolio positions.
- Involved in **Investment strategy and Business Development**, which included compiling quarterly reports and presentations for clients.

Public Investment Corporation (PIC), Pretoria

Portfolio Manager: (Jan 2010 - Feb 2013)

- Involved in the **Management of the R30 billion-rand Internal Basic Materials Portfolio.**
- Performing industry research, analysing **small-to-mid cap mining, and non-mining basic materials companies.**

Equity Analyst: Resources/Mining Sector (Jan 2008 - Dec 2009)

- In-depth research and analysis of the **global commodities**, and forecasting trends/ prices commodity prices.
- Performing **industry research and analysing mid-cap and small-cap diversified mining companies.**
- Building financial models, valuing companies, and making recommendations of stock allocation to internal fund.

Quantitative Analyst (Oct 2006 - Dec 2007)

- Involved in the portfolio management of a R20 billion-rand Quant Fund.
- Developing, implementing, and maintaining mathematical models, tools, and algorithms.
- Building Performance & Attribution (Risk Monitoring) models for equity and fixed income portfolios.

Futuregrowth, Newlands Cape Town

MSc Financial Mathematics Candidate - research work for UCT (Dec 2005 - Sep 2006)

- Maintaining mathematical models, tools, and algorithms.
- Maintaining and utilizing a research database

Anglo-Platinum, Rustenburg, Amandelbult

Junior Engineer (Jan 2003 - Feb 2005)

- Supervisor for the Transport Department in Anglo-Platinum in Rustenburg.
- Working underground on electrical reticulation;
- Maintenance of Compressors, Electrode steam boilers and Coal-fired boilers;

Student Vacation Temporary/Training Jobs

- Anglo-Platinum, Amandelbult (Nov 2001 - January 2002): incline shaft safety project.
- Anglo-Gold - Klerksdorp (Nov 2000 - January 2001): Ore transportation in Vaal Reefs Gold complex.
- Carlton Ville (Nov 1999 - January 2000): building battery chargers.

DIRECTORSHIP

Advanced Mechanical and Industrial Technologies (Pty) Ltd, Jet Park, Johannesburg

Non-Executive Director: (Sept 2022 – Jan 2024)

WoodyCode Corporation/ Investment Consortium, Sandton, Johannesburg

Non-Executive Director: (Sept 2022 – Current)

Dee Tha Capital Partners, Parkhurst, Johannesburg

Non-Executive Director: (Jan 2021 – Jan 2024)

Pendellum, Sandton, Johannesburg

Non-Executive Director: (Jan 2021 – Jan 2024)

REFERENCES

- **Joe Kainja:** CEO/CIO, JoPhi Capital, - Ph: +27 83 444 8634/ 0115506184
- **Stephen Charangwa:** Head of Fixed Income, Umthombo Wealth, - Ph: +27 78 355 5234/11 318 0171
- **Alex Duys:** Head of Listed Equities, Umthombo Wealth, - Ph: +27 84 810 0596 / 011 318 0171
- **Kgomotso Serwalo:** CIO, Fairsure Investment Managers, - Ph: +27 72 246 9257/ 011 318 0171

PERSONAL INFORMATION

° **Nationality:** South African ° **ID No:** 8105065722083 (DoB: 06/05/1981) ° **Driver's License:** Code 8

° **Languages:** English/Xhosa/Zulu (*Read, Write, Speak*)

Tswana/Sesotho/Sepedi (*Speak*)