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## 1 Problem 1

(50 points) Now you've learned the RC4 scheme (one of the stream cipher of symmetric key encryption). Denote  $KeyGen(\lambda)$  as the key generation algorithm: pick a uniform  $k \in \{0, 1\}^{128}$ , and output  $k$ . Denote  $m$  as a message of  $l$  bytes and  $c$  as the ciphertext. Please use your own words (or pseudocode) to describe the encoding algorithm  $Enc(k, m)$  and decoding algorithm  $Dec(k, c)$ .

**Hints:** 1) The Enc determines the format of ciphertext output. 2) A stream cipher need synchronized information between encryption and decryption side (why?). Consider a real example: after A and B shared secret key  $k$ , A is going to send message  $m_1$  to B in the first day and send message  $m_2$  to B in the second day. In the meanwhile, A and B's computers running Enc/Dec may shut down or restart due to failure. Take a look at whether your algorithms can support this example safely and conveniently.

(a)  $Enc(k, m)$ .

**Answer:** In order to ensure the correctness of **RC4 Algorithm** in different situations, we need to implement the synchronization of this stream ciphers. Thus, we design our strategy with reference to **self-synchronized stream cipher\***:

1. Let  $t$  denotes the number of encryption registers, which stores the ciphertext bytes that transformed before.
2.  $t$  bytes in these encryption registers are set to be  $1, 2, \dots, t$  and initialized with secret key  $key$ .
3. Once we've transferred a byte, we store this byte into one of these encryption registers, and remove it after  $t$  rounds. We replace the earliest byte that entered encryption registers with this byte.
4. We use these  $t$  bytes in encryption registers as our internal states  $S$ , which means that these  $t$  bytes will influence the generation of our key stream.
5. We generate our key stream by using maximum and minimum elements in  $S$ , which don't pay attention to the order of elements in  $S$  (in order to implement self-synchronized). That is, **compared with the change on number order of basic RC4 algorithm, we change the element itself.**

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\*Stream Ciphers: [https://blog.csdn.net/qz\\_43721475/article/details/104661762](https://blog.csdn.net/qz_43721475/article/details/104661762)

Based on the strategy above, we give the pseudocode of our Encryption algorithm (let  $t = 256$ ):

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**Algorithm 1:** Encryption Algorithm  $Enc(k, m)$  of RC4

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**Input:** Uniform secret key  $k \in \{0, 1\}^{128}$ ; Message  $m$  of  $l$  bytes

**Output:** Ciphertext  $c$  of  $l$  bytes.

```

1 byte key[16] ;                                // secret key
2 byte K[256] ;                                // keying material
3 byte S[256] ;                                // internal states (encryption registers)

4 for i = 0 to 255 do
5   S[i] = i;
6   K[i] = key[i mod 16];
7 j = 0;
8 for i = 0 to 255 do
9   j = (j + S[i] + K[i]) mod 256;
10  S[i] = (S[i] + S[j] + K[j]) mod 256 ;      // initialize S with key
      materials

11 for idx = 0 to l do
12   max = max{S[i] | i = 0, 1, ..., 255} ;    // maximum element in S
13   min = min{S[i] | i = 0, 1, ..., 255} ;    // minimum element in S
14   t = (S[max] + S[min]) mod 256 ;           // Begin encryption
15   c[idx] = m[idx]  $\oplus$  S[t];
16   Replace earliest byte that entered S with c[idx]

17 return c;
```

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**Proof.**

1. **Secrecy.** Obviously, the generated key stream both rely on the secret key and the previous ciphertext bytes, and has enough randomness, which can ensure the secrecy of our algorithm.
2. **Self-synchronized.** If one of the ciphertext bytes comes to failure during transformation, obviously after  $t$  rounds of transformation, this failure will be automatically corrected, which means that this failure will only influence  $t$  ciphertext bytes during transformation.

(b)  $Dec(k, c)$ .

**Answer:** The pseudocode of our Decryption algorithm is:

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**Algorithm 2:** Decryption Algorithm  $Dec(k, c)$  of RC4

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**Input:** Uniform secret key  $k \in \{0, 1\}^{128}$ ; Ciphertext  $c$  of  $l$  bytes

**Output:** Message  $m$  of  $l$  bytes.

```
1 byte key[16] ;                                // secret key
2 byte K[256] ;                                // keying material
3 byte S[256] ;                                // internal states (encryption registers)

4 for i = 0 to 255 do
5   S[i] = i;
6   K[i] = key[i mod 16];
7 j = 0;
8 for i = 0 to 255 do
9   j = (j + S[i] + K[i]) mod 256;
10  S[i] = (S[i] + S[j] + K[j]) mod 256 ;      // initialize S with key
      materials

11 for idx = 0 to l do
12   max = max{S[i] | i = 0, 1, ..., 255} ;      // maximum element in S
13   min = min{S[i] | i = 0, 1, ..., 255} ;      // minimum element in S
14   t = (S[max] + S[min]) mod 256 ;             // Begin encryption
15   m[idx] = c[idx]  $\oplus$  S[t];
16   Replace earliest byte that entered S with c[idx]

17 return m;
```

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## 2 Problem 2

(50 points) Suppose the key for a cipher is an  $l$ -bit binary string.

(a) What is the key space size of this cipher?.

**Answer:** The key space size of cipher is  $2^l$ .

(b) To find a key by exhaustive key search, how many keys does an attacker need to test on average?.

**Answer:** It's reasonable to assume that the probability distribution of key is uniform distribution. That is, the probability of each value of key is  $\frac{1}{2^l}$ . Therefore, the average times an attacker need to test by exhaustive key search is:

$$\frac{1}{2^l} \times \sum_{i=1}^{2^l} i = \frac{1}{2^l} \times (1 + 2^l) \times 2^{l-1} = 2^{l-1} + \frac{1}{2}$$