

# Calculations of $2\nu\beta\beta$ Decay Nuclear Matrix Elements Using Ab-Initio Methods

Thesis Presentation

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# 1. Neutrinos and the $\beta$ -Decay

$\beta$ -decay isospin changing nuclear decay governed by the weak nuclear force:

$$\beta^- : \left| n, t_z = -\frac{1}{2} \right\rangle \rightarrow \left| p, t_z = \frac{1}{2} \right\rangle + e^- + (\bar{\nu}_e) \quad (1)$$

$$\beta^+ : \left| p, t_z = \frac{1}{2} \right\rangle \rightarrow \left| n, t_z = -\frac{1}{2} \right\rangle + e^+ + (\nu_e) \quad (2)$$

- Emission of  $\beta$ -particles, either an electron  $\beta^- = e^-$  or a positron  $\beta^+ = e^+$  along with electron neutrinos  $\nu_e$  and  $\bar{\nu}_e$ .
- Historically, neutrino emission was unknown.
- Postulated by Wolfgang Pauli in 1930 to explain *the continuous energy spectra of the  $\beta$ -decay*.
- We focus on  $\beta^-$  decay.

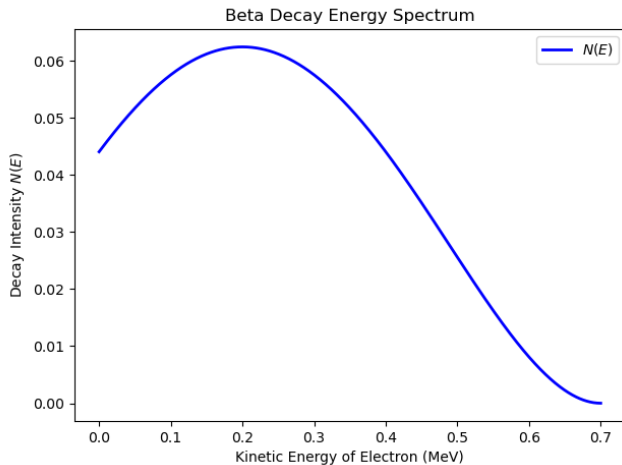
# Neutrinos and the $\beta$ -Decay

- Q-reaction value for nuclear decays and reactions:

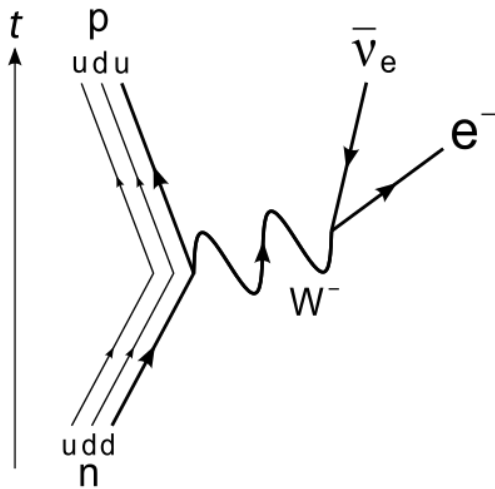
$$Q = T_f + T_i = (m_i - m_f)c^2 \quad (3)$$

- Without neutrinos, expected narrow distribution:  $Q \sim T_e \sim -m_e c^2$ .
- Observed continuous energy spectrum distribution:  $Q \sim T_e + T_{\bar{\nu}_e}$ .
- Electron energy shared with another unidentified particle called the neutrino.

# Neutrinos and the $\beta$ -Decay



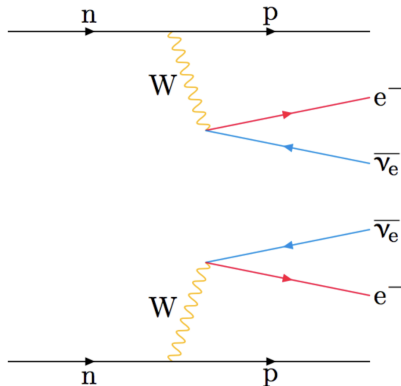
# Neutrinos and the $\beta$ -Decay



## 2. $2\nu\beta\beta$ and $0\nu\beta\beta$

- First theoretical postulation made by Maria Goeppert-Mayer in 1935.

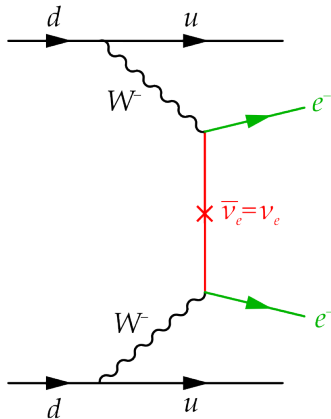
$$2\nu\beta\beta : X(A, Z) \longrightarrow X(A, Z + 2) + 2e^- + 2\bar{\nu}_e \quad (4)$$



- Important in the study of  $0\nu\beta\beta$  decay.

## $2\nu\beta\beta$ and $0\nu\beta\beta$

- Nuclear matrix element correlations for  $2\nu\beta\beta$  and  $0\nu\beta\beta$ .
- Constraining of  $0\nu\beta\beta$  NMEs values.
- Probing differences to phenomenological NMEs.
- Majorana or Dirac fermions?





### 3. Nuclear Matrix Elements (NMEs) and Half-Life

- Half-life equation for  $2\nu\beta\beta$  decay given by Fermi's Golden Rule (perturbative weak interaction) is <sup>1</sup>:

$$\frac{1}{T_{1/2}^{2\nu}} = G^{2\nu} g_A^4 |\mathcal{M}^{2\nu}|^2 m_e^2 \quad (5)$$

- We only care about the relation between half-life  $T_{1/2}^{2\nu}$  and NMEs  $|\mathcal{M}^{2\nu}|$ :

$$\frac{1}{T_{1/2}^{2\nu}} \propto |\mathcal{M}^{2\nu}|^2 \quad (6)$$

- Nuclear matrix element for  $2\nu\beta\beta$  contributed by Gamow-Teller and Fermi transitions:

$$\mathcal{M}^{2\nu} = \mathcal{M}_{GT}^{2\nu} - \left(\frac{g_V}{g_A}\right)^2 \mathcal{M}_F^{2\nu} \quad (7)$$

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<sup>1</sup>Payne, C. G. (2018). Ab initio theory for two-neutrino and neutrinoless double-beta decay (T). University of British Columbia. Retrieved from <https://open.library.ubc.ca/collections/ubctheses/24/items/1.0363101>

# Nuclear Matrix Elements (NMEs) and Half-Life

- General matrix element formulation for selection rules and probabilities.

$$\langle \Psi_f^{J\pi} | \hat{O} | \Psi_i^{I\pi} \rangle \quad (8)$$

- Suppress Fermi contribution <sup>2</sup>:

$$|\mathcal{M}_{GT}^{2\nu}| \gg |\mathcal{M}_F^{2\nu}| \quad (9)$$

- Fermi transition mismatch of isospins.

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<sup>2</sup>Masaru Doi, Tsuneyuki Kotani, Eiichi Takasugi, Double Beta Decay and Majorana Neutrino, Progress of Theoretical Physics Supplement, Volume 83, March 1985, Pages 1–175,

# Nuclear Matrix Elements (NMEs) and Half-Life

- Gamow-Teller transition contribution most significant <sup>3</sup> :

$$\mathcal{M}^{2\nu} \approx \mathcal{M}_{GT}^{2\nu} = \sum_k \frac{\langle 0_f^+ | \hat{\mathcal{O}}_{GT} | 1_k^+ \rangle \langle 1_k^+ | \hat{\mathcal{O}}_{GT} | 0_i^+ \rangle}{E_k - [(E_i + E_f)/2]} \quad (10)$$

- Gamow-Teller operator  $\hat{\mathcal{O}}_{GT} = \sum_a \hat{\tau}_a^- \sigma_a$ .
- Parent to daughter nuclei  $0_i^+ \longrightarrow 1_k^+ \longrightarrow 0_f^+$

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<sup>3</sup>P. Jokiniemi et al., \*Neutrinoless  $\beta\beta$ -decay nuclear matrix elements from two-neutrino  $\beta\beta$ -decay data\*, Phys. Rev. C, \*\*107\*\*(4), 2023.

## 4. Ab-initio method vs Phenomenology

- Theoretical nuclear phenomenology focuses on empirically motivated construction of nuclear theory.
- Liquid drop model, nuclear shell model, interacting boson model (IBM), etc
- Phenomenological methods fit nuclear potentials by appropriate mathematical functions to reproduce experiments.
- Lacks power to predict observables with no available data such as  $0\nu\beta\beta$ .

# Ab-initio method vs Phenomenology

- Ab-initio; first principle or starting from the beginning.
- Essential idea: solve  $\hat{H}|\Psi\rangle = E|\Psi\rangle$ .
- Consider all nucleon-nucleon interactions and build from ground up.
- Apply appropriate approximations (e.g. valence-space and inert-core decoupling from  $\hat{H}$  using IMSRG).
- Use of  $\chi$  EFT to describe effective theory for QCD and complicated many-body interactions in nuclei.
- QCD: quark-gluon degrees of freedom.
- $\chi$ EFT: nucleon-pion degrees of freedom.
- Nucleon-nucleon interactions for NN and **3N** terms.

# Ab-initio method vs Phenomenology

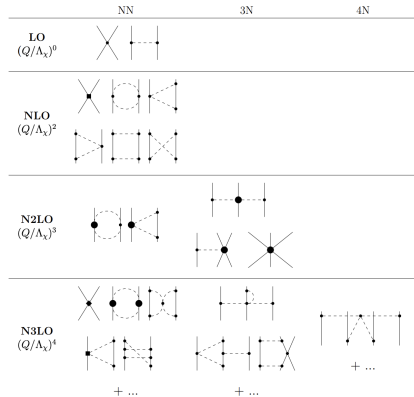


Figure:  $\chi$ EFT interaction diagrams. Full lines are nucleons, dashed lines pions. <sup>4</sup>

<sup>4</sup>Machleidt, R. and Sammarruca, F., \*Chiral EFT based nuclear forces: achievements and challenges\*, Physica Scripta, vol. 91, no. 8, 2016.

## 5. IMSRG

- Most quantum systems can be approximated using the quantum harmonic oscillator.
- Approximate nuclear potential interactions with quantum harmonic oscillator Hamiltonian  $\hat{H}_{HO} = \frac{\hat{p}^2}{2m} + V_{HO}$  where  $V_{HO} = \frac{1}{2}m\omega^2\mathbf{x}^2$ .
- Given different  $\hbar\omega$  values, we get different complete orthonormal basis sets  $\{|\Psi_n\rangle\}$ .

$$\hat{H}_{HO}(\omega)|\Psi_n\rangle_\omega = E_n^{HO}(\omega)|\Psi_n\rangle_\omega \quad (11)$$

- Energy splittings defined entirely by  $\hbar\omega$ :

$$E_n^{HO} = \hbar\omega \left( n + \frac{1}{2} \right) \quad (12)$$

- How to transform a Hamiltonian from the H.O. to the desired basis?

- IMSRG is a quantum many-body method for calculating nuclear interactions.
- Simplification of  $\hat{H}$ -Hamiltonian via **continuous unitary transformation**:<sup>5</sup>

$$\hat{H}(s) = \hat{U}(s)\hat{H}(0)\hat{U}^\dagger(s) \quad (13)$$

- $\hat{H}(s=0)$  starting Hamiltonian parametrized by  $s$ -flow parameter  $s \in [0, \infty)$ .

$$\hat{H}(s) = \hat{H}(s)_d + \hat{H}(s)_{od} \quad (14)$$

- As  $s \rightarrow \infty$ ,  $\hat{H}(s) \rightarrow \hat{H}(s)_d$  and  $\hat{H}(s)_{od} \rightarrow 0$ .
- Hamiltonian becomes more "simplified" or diagonal.
- Suppression of undesired terms as  $\hat{H}(s)_{od} \rightarrow 0$ .

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<sup>5</sup>H. Hergert et al., \*In-Medium Similarity Renormalization Group Approach to the Nuclear Many-Body Problem\*, in \*An Advanced Course in Computational Nuclear Physics\*, Springer, 2017.



Find a unitary transformation  $\hat{U}(s)$  that renders  $\hat{H}(s) \rightarrow \hat{H}(s)_d$  diagonal.  
After some tedious math...

### Dynamical Flow Generator

$$\hat{\eta}(s) \equiv \frac{d\hat{U}(s)}{ds} \hat{U}^\dagger(s) = -\hat{\eta}^\dagger(s) \quad (15)$$

- Solve the following differential equation:

$$\frac{d}{ds} \hat{H}(s) = [\hat{\eta}(s), \hat{H}(s)] \quad (16)$$

- SRG flow equation describes **evolution** of  $\hat{H}(s)$  under operation from  $\hat{\eta}(s)$ .

- Evolution of  $\hat{H}(s)$  depends on  $\hat{\eta}(s)$  generator.
- What  $\hat{\eta}(s)$  generator is appropriate? Recall...

### Desired Hamiltonian

$$\lim_{s \rightarrow \infty} \hat{H}(s) \rightarrow \hat{H}(s)_d, \lim_{s \rightarrow \infty} \hat{H}(s)_{od} \rightarrow 0 \quad (17)$$

- Wegner-Brockett proposed:

$$\hat{\eta}(s) \equiv [\hat{H}(s)_d, \hat{H}(s)_{od}] \quad (18)$$

- $\hat{\eta}(s)$  vanishes at two points: finite  $s$  due to degeneracy and  $s \rightarrow \infty$ .

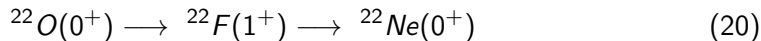
- Other operators transform along:

$$\hat{\mathcal{O}}(s)_{GT} = \hat{U}(s)\hat{\mathcal{O}}(0)_{GT}\hat{U}^\dagger(s) \quad (19)$$

- $2n + l \leq e_{max}$

## 6. NMEs Results for $^{22}\text{O}$ & $^{48}\text{Ca}$ with Ab-Initio Methods

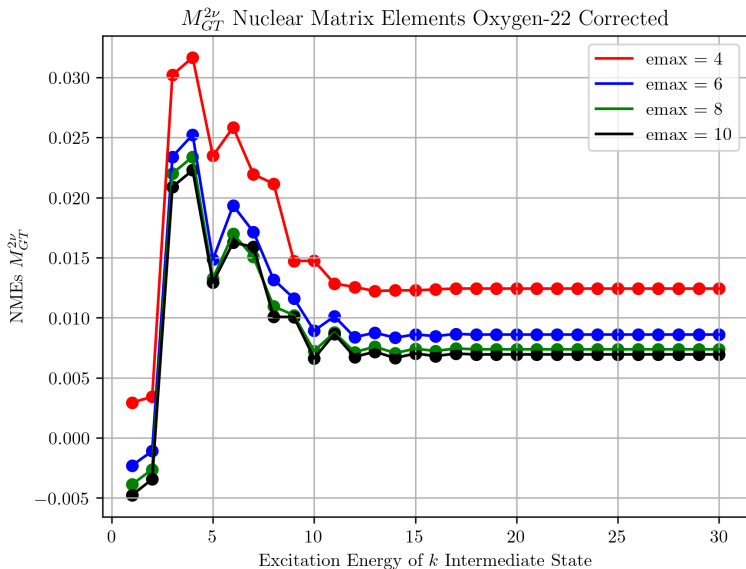
- Oxygen-22  $2\nu\beta\beta$  decay.



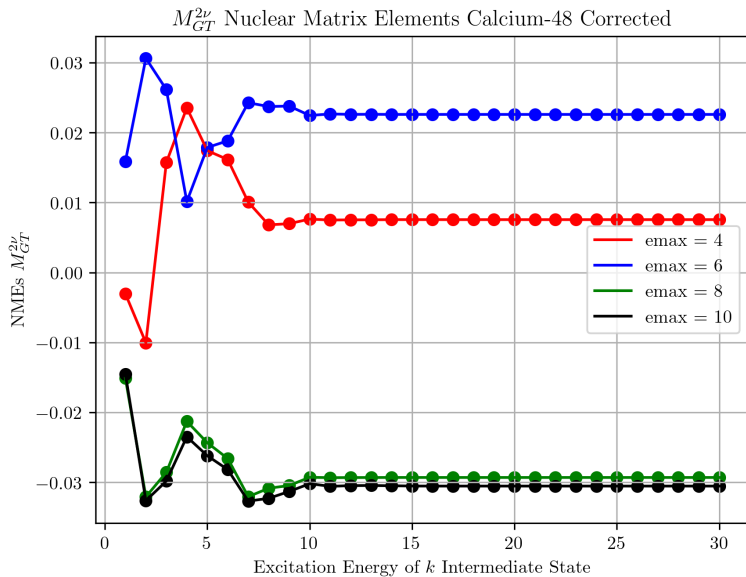
- Calcium-48  $2\nu\beta\beta$  decay.



# NMEs Results for $^{22}\text{O}$ & $^{48}\text{Ca}$ with Ab-Initio Methods



# NMEs Results for $^{22}\text{O}$ & $^{48}\text{Ca}$ with Ab-Initio Methods



## 7. Concluding Remarks

- Important to study  $2\nu\beta\beta$  NMEs to correlate and constrain  $0\nu\beta\beta$  NMEs.
- First ab-initio calculations of  $2\nu\beta\beta$  NMEs.
- Useful tool in probing and understanding neutrino and Beyond the Standard Model physics.

# The End