Report of Introduction to Quantum Computing
Quantum Error Correction

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1 Quantum Error Correction

The hardware devices used to implement quantum circuits are sensitive to noise, much more than classical computer. This might cause the qubits carried by quantum circuits to be modified in an unpredictable way. For this reason being able to detect and correct these errors it's a topic of major importance.

Sadly handling errors in the quantum case it's more troublesome than classical computing: first, we cannot copy arbitrary qubits (because of the no cloning theorem), then we cannot measure a qubit to check its state because this would destroy the superposition and, moreover, an error on a qubit is a continuous error (while in classical computing we only have bits flip errors).

2 General Framework

We start by describing a general framework for quantum error correction, and in the next section we'll se how it can be applied in practice. The first step to protect a quantum state from a possible error is to define an error model, which is a description of the type of error it might happen. Once we have the error model, in order to defend a quantum state $|\psi\rangle$ from the error that might occur, we'll define an encoding operator U_{enc} which, by adding some extra ancillary qubits to the state, will produce an encoded state $|\psi_{enc}\rangle$:

$$U_{enc} |\psi\rangle |00\ldots 0\rangle = |\psi_{enc}\rangle$$

The space of all the possible encoded states will form a sub-space of the Hilbert space that we'll call the Quantum Error Correcting Code (QECC). If $|\psi\rangle$ is composed by k qubit, and with the encoding we add n qubits, then the code $\mathcal{C}$ will be a sub-space of the 2^{k+n} Hilbert space. The idea of encoding the state in a higher dimensional state, is that if the error occurs in the encoded state, it will leave some information which can be used to identify the effect of the error. Then we define a recovery operator $\mathcal{R}$ that, if an error occurs on the encoded state $|\psi_{enc}\rangle$, will undo the effect of the error, based on the information gained with the error syndrome. Finally we'll decode $|\psi_{enc}\rangle$ back to $|\psi\rangle$ with a decoding operator:

$$U_{dec} |\psi_{enc}\rangle = |\psi\rangle |0\ldots 0\rangle$$

In general given a quantum code $\mathcal{C} \subset \mathcal{H}$, we say that a noise operator $\mathcal{E}$ is recoverable it there exists a recovery operator $\mathcal{R}$ such that for every density matrix ρ associated with a state $|\psi\rangle \in \mathcal{C}$:

$$\mathcal{R}(\mathcal{E}(\rho)) = \rho$$

3 Correcting single qubit errors

3.1 Correcting bit flip errors

The simplest error that we might have is the bit flip error, where a generic qubit in state $\alpha |0\rangle + \beta |1\rangle$ it's transformed in $\alpha |1\rangle + \beta |0\rangle$. This can be seen as an application of the X Pauli's gate to the qubit. The idea of how to correct this error comes form classical computing, where if we have a noisy channel where a classical bit can flip with probability p, to reduce the probability of receiving the wrong bit, we can repeat the bit three times. In this way the receiver can decode the string of three bits simply by choosing the majority bit, so, for instance, if it receives 101, it will decode it as 1. Note that if two or all three bits get flipped, the receiver will decode the wrong bit, but this happens with probability $3p^2 + p^3$ instead of p. We can apply the same idea in the quantum case, by choosing the following encoding for the states $|0\rangle$ and $|1\rangle$:

$$\alpha |0\rangle + \beta |1\rangle \rightarrow \alpha |000\rangle + \beta |111\rangle$$

We say that the logical states $|0\rangle$ and $|1\rangle$ have been encoded in the physical states $|000\rangle$ and $|111\rangle$. We want to apply the same strategy we used for the classical case, so checking if the values of the three qubits are the same, and if not, we impose the value of the majority qubit. Of course we cannot do that by measuring the state, otherwise the superposition will be destroyed. What we can do instead is using some extra qubits, to store in them the information of which qubit of the state has been flipped, and we'll call these extra qubits syndrome qubits. The full circuit to get these operations done is the following:
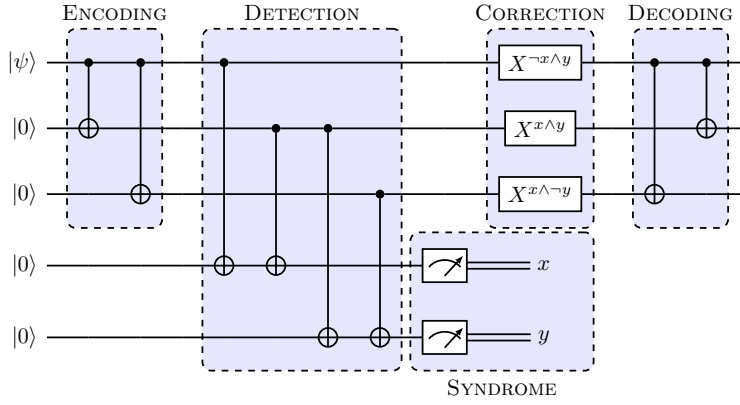
Figure 1: Bit flip error correction circuit

The first three qubits are used for the encoding, while the other two are the syndrome qubits. The first two CNOT gates are used to apply the encoding. Then, with the second two CNOT gates, we check if the first two qubits are equal. If they are equal and they're both $|0\rangle$ then the first syndrome qubit is not flipped. If they are equal an they're both $|1\rangle$ the first syndrome qubit it's flipped twice (so it goes back to 0). If they're different the syndrome qubit is flipped once and so it is set to $|1\rangle$. With the last two CNOT gates we repeat the same procedure, but this time we compare the second and the third qubits and we act on the second syndrome qubit. Finally we measure the syndrome qubits and we get the values x and y. The value of x tells us if the first and the second qubit were the same, while the value of y tells us if the second and the third qubit were the same. Like in the classical case, if a bit flip error occurs in 2 or all the 3 qubits, then the circuit will decode the wrong state $\alpha |1\rangle + \beta |0\rangle$, but anyway the circuit reduces this probability.

3.2 Correcting phase flip errors

Another type of error which does not have an equivalent in the classical case is the phase flip error, where a generic qubit $\alpha |0\rangle + \beta |1\rangle$ is transformed in $\alpha |0\rangle - \beta |1\rangle$. This can be seen as an application of the Z Pauli gate. It turns out that solving phase flip errors is very similar to solve bit flip errors, since phase flip errors in the canonical basis become bit flip errors in the Hadamard basis since $X = HZH$ and because of that, $Z |+\rangle = |-\rangle$ and $Z |-\rangle = |+\rangle$. We can exploit this fact by using the following encoding:

$$\alpha |0\rangle + \beta |1\rangle \rightarrow \alpha |+++\rangle + \beta |---\rangle$$

which can be obtain from the bit flip encoding, by applying to each one of the three qubit the H gate. Suppose that with this encoding a phase flip error occurs in the second qubit. Then, since in the Hadamard basis, a phase flip acts like a bit flip, the encoded state becomes:

$$\alpha |+-+\rangle + \beta |-+-\rangle$$

if we now apply and H gate to each qubit of the state, it becomes:

$$\alpha |010\rangle + \beta |101\rangle$$

which is like if a bit flip error occurred in the second bit of the encoding for the bit flip error. Therefore we can simply run the circuit, for the bit flip correction, and we'll correctly recover the initial phase flip error. So, like the bit flip circuit, the circuit is able to correct a phase flip error only if it occurs in jut one of the three qubits.

3.3 Nine-qubits correcting code

We have seen a circuit which can correct bit flip errors and a circuit which can correct phase flip errors, but both of them fail if a phase flip and a bit flip error happens on the encoded state at the same time. The intuition is to start from the encoding which protects from phase flip errors:

$$\alpha |0\rangle + \beta |1\rangle \rightarrow \alpha |+++\rangle + \beta |---\rangle$$

and apply to each one of the three qubit the circuit for the bit flip encoding, in order to protect each qubit from bit flip errors, ending up with the following nine-qubit encoding:

$$\alpha |0\rangle + \beta |1\rangle \rightarrow \frac{\alpha}{\sqrt{8}} (|000\rangle + |111\rangle)^{\otimes 3} + \frac{\beta}{\sqrt{8}} (|000\rangle - |111\rangle)^{\otimes 3}$$

So we have three block of three qubits each. Within each block, all the qubit are supposed to be the same, so we can run the bit flip error correction circuit in every one of the block to protect each block by bit flip errors. Now, if we consider the

thee block, the sign of every block should be the same, but if there's been a phase flip error in one of the block, the sign on that block will be flipped. The idea is the same but this time we cannot apply the circuit we saw before, but what we can do is use this circuit:
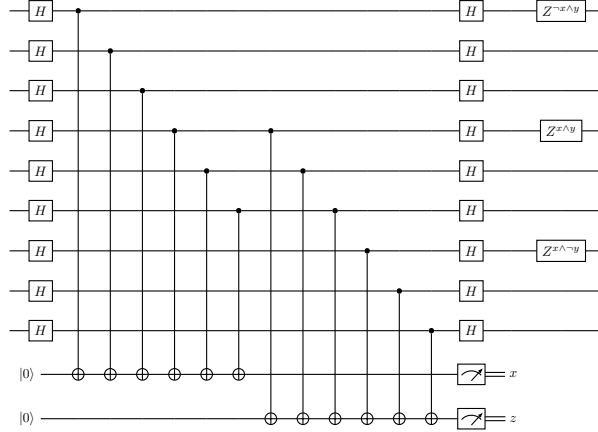
it rather messy, but what the circuit does is comparing the sign of the first block with the sign of the second block and if they're different set the first ancillary qubit to 1, and then it does the same thing with the second and third block, so that we'll measure a syndrome for each possible error.

To show why the circuit works, after the application of $H^{\otimes 9}$ the blocks will be transformed like that:

$$(|000\rangle + |111\rangle)^{\otimes 3} \rightarrow 2(|000\rangle + |011\rangle + |101\rangle + |110\rangle)^{\otimes 3} = |\psi^+\rangle^{\oplus 3}$$

$$(|000\rangle - |111\rangle)^{\otimes 3} \rightarrow 2(|001\rangle + |010\rangle + |100\rangle + |111\rangle)^{\otimes 3} = |\psi^-\rangle^{\oplus 3}$$

$$\alpha |0\rangle + \beta |1\rangle \rightarrow \alpha |\psi^+\rangle |\psi^+\rangle |\psi^+\rangle + \beta |\psi^-\rangle |\psi^-\rangle |\psi^-\rangle$$

showing all the steps would be too messy and not even interesting, but the idea is that now each qubit of each block is composed a series of binary string where for the encoding of $|0\rangle$ each string is composed by an even number of 1s, while for the encoding of $|0\rangle$ by an odd number of 1s. The circuit exploit this property, since if there's been no phase error, the first three CNOT gates will flip the first ancillary qubit an even number of times for the encoding of $|0\rangle$, and an odd number of times for the encoding of $|1\rangle$. The the second three CNOTs will flip the first qubits again an even number of times for the encoding of $|0\rangle$, and an odd number of times for the encoding of $|1\rangle$. Since an even number plus an even number is an even number, and an odd number plus an odd number is an odd number, for both the encoding of $|0\rangle$ and $|1\rangle$ it gets flipped an even number of times and so it remains $|0\rangle$.

Suppose that there's been a phase flip error on the first block. Then state after the application of the Hadamard gates will be:

$$\alpha |\psi^-\rangle |\psi^+\rangle |\psi^+\rangle + \beta |\psi^+\rangle |\psi^-\rangle |\psi^-\rangle$$

so this time when we apply the first three CNOTs for the encoding of $|0\rangle$ the first ancillary qubit will be flipped an odd number of times, and an even number of times for the encoding of $|1\rangle$. Then with the second three CNOTs the ancillary qubits will be flipped an even number of times for the encoding of $|0\rangle$ and an odd number of times for the encoding of $|1\rangle$. Since an even number plus and odd number is an odd number, the first ancillary is flipped in total and odd number of times for both the encoding of $|0\rangle$ and $|1\rangle$. The same reasoning can be applied with the second and third triples of CNOTs and with the third and the fourth.

3.4 Correcting generic errors

Since now we only saw bit flip and phase flip errors, but how do we deal with other type of errors, like a generic rotation of the qubit in the Bloch sphere. Consider a generic error on a single qubit defined by a matrix $E \in \mathbb{C}^{2\times 2}$, and consider the four Pauli matrices I, X, Y and Z. As we already saw X and Z represent respectively bit flip and phase flip errors. Since $Y = iXZ$, it can be seen as bit flip error followed by a phase flip error (the global phase factor i does not matter), while the gate I can be simply seen as the no-error gate. These four matrices span the space of the 2×2 complex matrices, so the before error matrix E can be written as:

$$E = \alpha_0 I + \alpha_1 X + \alpha_2 Y + \alpha_3 Z, \quad \alpha_0, \alpha_1, \alpha_2, \alpha_3 \in \mathbb{C}$$

Basically we've rewritten E as a linear combination of bit flip and phase flip errors, which we already know how to solve. This is important because there's a theorem which state that if a code $\mathcal{C}$ corrects a set of errors $\mathcal{E} = \{E_i\}$, then $\mathcal{C}$ corrects also the span of $\mathcal{E}$. So if we're able to correct bit flip and phase flip errors, then we're able to correct any kind of error.

4 Stabilizer codes

The codes that we saw so far and many others can be described using a particular formalism, introduced by Gottersman in [1], which makes it easier to define quantum error correcting codes, which is called the stabilizer formalism. In the following section we'll introduce the stabilizer formalism and we'll see how we can apply it to quantum error correction.

We say that a unitary operator U stabilizes the state $|\psi\rangle$ if $U|\psi\rangle = |\psi\rangle$, which means that $|\psi\rangle$ is an eigenstate of U with eigenvalue 1. If V and U stabilize $|\psi\rangle$ the also their product and their inverses stabilize $|\psi\rangle$. Since matrix multiplication is an associative operation, this means that the set of unitary matrices that stabilizes $|\psi\rangle$ form a group. Consider the set of Pauli operators $\mathcal{P} = \{\pm I, \pm X, \pm Y, \pm Z, \pm iI, \pm iX, \pm iY, \pm iZ\}$, we then define $\mathcal{P}^n$ as:

$$\mathcal{P}^n = \{A_1 \otimes \cdots \otimes A_n : A_j \in \mathcal{P} \; \forall j\}$$

which is the set $2^n \times 2^n$ matrices which can be obtained by the tensor product of n Pauli matrices. All the operators in Π^n either commute or anti-commute. A stabilizer $\mathcal{S}$ is an abelian subgroup of Π^n.

The question is how can we use stabilizer to describe quantum error correction codes? Consider the circuit for the single bit flip correction, what we were trying to do was check if the first and the second qubits where the same, and the same thing for the second and the third qubits. We can equivalently say that we were verifying if the encodes state has eigenvalue 1 with respect to the operators $Z_1 = Z \otimes Z \otimes I$ and $Z_2 = I \otimes Z \otimes Z$, since:

$$(Z \otimes Z \otimes I)(\alpha|000\rangle + \beta|111\rangle) = \alpha|000\rangle + \beta|111\rangle, \qquad (I \otimes Z \otimes Z)(\alpha|000\rangle + \beta|111\rangle) = \alpha|000\rangle + \beta|111\rangle$$

consider the three possible errors detectable by the code, in this case we will have:

$$(Z \otimes Z \otimes I)(\alpha|100\rangle + \beta|011\rangle) = -(\alpha|100\rangle + \beta|011\rangle), \qquad (I \otimes Z \otimes Z)(\alpha|100\rangle + \beta|011\rangle) = \alpha|100\rangle + \beta|011\rangle$$

$$(Z \otimes Z \otimes I)(\alpha|010\rangle + \beta|101\rangle) = -(\alpha|010\rangle + \beta|101\rangle), \qquad (I \otimes Z \otimes Z)(\alpha|010\rangle + \beta|101\rangle) = -(\alpha|010\rangle + \beta|101\rangle)$$

$$(Z \otimes Z \otimes I)(\alpha|001\rangle + \beta|110\rangle) = \alpha|001\rangle + \beta|110\rangle, \qquad (I \otimes Z \otimes Z)(\alpha|001\rangle + \beta|110\rangle) = -(\alpha|001\rangle + \beta|110\rangle)$$

so, if there's no error then the encodes state $|\psi_{enc}\rangle$ is a $+1$ eigenstate for both Z_1 and Z_2, therefore Z_1 and Z_2 stabilize $|\psi_{enc}\rangle$. If there's a bit flip error in the first or the second qubit the resulting state will be an -1 eigenstate of Z_1, while if the error is on the second or in the third qubit the encoded state will be an -1 eigenstate of Z_2. Note that Z_1 and Z_2 are not the only stabilizers of $|\psi_{enc}\rangle$, there are also $Z_3 = Z \otimes I \otimes Z$ and $I^{\otimes 3} = I \otimes I \otimes I$, but the these two can be obtained as product of Z_1 and Z_2 since $Z_3 = Z_1 Z_2 = Z_2 Z_1$ and $I^{\otimes 3} = Z_1 Z_1 = Z_2 Z_2$. Therefore we say that Z_1 and Z_2 are the generators of the group of stabilizers of $|\psi_{enc}\rangle$, and we write:

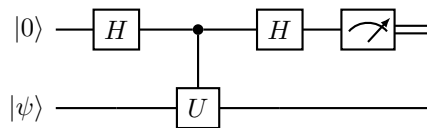$$\begin{array}{c|ccc} G_1 & Z & Z & I \\ G_2 & I & Z & Z \end{array}$$

The same reasoning applies to the phase flip correction but with the matrices $X_1 = X \otimes X \otimes I$ and $X_2 = I \otimes X \otimes X$.

So the is that instead of describing a QECC with the state space representation we can simply list the generators of its stabilizers group, which is a much more compact representation and it allows us to immediately check if an error matrix E applied on the encoded stated can be detected by the code or not, since if it is detectable it will anti-commute with at least one generators G_i, or equivalently the state $E|\psi_{enc}\rangle$ will be a -1 eigenstate of G_i. In general, consider an error matrix $E \in \mathcal{P}^n$ and a stabilizer group $\mathcal{S}$. E can either commute or anti-commute with the operators in $\mathcal{S}$. If $\forall M \in \mathcal{S} \; ME = -EM$ then $ME|\psi\rangle = -EM|\psi\rangle = -E|\psi\rangle$, thus the error defined by E can be detected. If instead $\forall M \in \mathcal{S} \; ME = EM$ then $ME|\psi\rangle = EM|\psi\rangle = E|\psi\rangle$, which means that this error cannot be detected.

It is possible to show that if we encode k physical qubits in n logical qubits, then the corresponding stabilizers group will have exactly $n - k$ generator (for the bit flip code in fact $k = 1$ and $n = 3$). The generators of the stabilizer group for the 9-qubit encoding are the following:

$$\begin{array}{c|ccccccccc} g_1 & Z & Z & I & I & I & I & I & I & I \\ g_2 & I & Z & Z & I & I & I & I & I & I \\ g_3 & I & I & I & Z & Z & I & I & I & I \\ g_4 & I & I & I & I & Z & Z & I & I & I \end{array} \qquad \begin{array}{c|ccccccccc} g_5 & I & I & I & I & I & I & Z & Z & I \\ g_6 & I & I & I & I & I & I & I & Z & Z \\ g_7 & X & X & X & X & X & X & I & I & I \\ g_8 & I & I & I & X & X & X & X & X & X \end{array}$$

Using stabilizers has also the advantage that is pretty straightforward to derive a circuit for the correction once we have the generators of the code. The idea is to use a circuit like the following:

$$|0\rangle|\psi\rangle \xrightarrow{H \otimes I} \frac{1}{\sqrt{2}}\Big[|0\rangle|\psi\rangle + |1\rangle|\psi\rangle\Big] \xrightarrow{I \otimes c - U} \frac{1}{\sqrt{2}}\Big[|0\rangle|\psi\rangle + |1\rangle U|\psi\rangle\Big] \xrightarrow{H \otimes I} \frac{1}{2}\Big[(|0\rangle + |1\rangle)|\psi\rangle + (|0\rangle - |1\rangle)U|\psi\rangle\Big]$$

$$= \frac{1}{2} |0\rangle \left(|\psi\rangle + U |\psi\rangle \right) + \frac{1}{2} |1\rangle \left(|\psi\rangle - U |\psi\rangle \right)$$

If $|\psi\rangle$ is a +1 eigenstate of U then it will only remain the term $|0\rangle |\psi\rangle$ and we'll measure 0 on the fist qubit, while if is a -1 eigenstate of U it will only remain the term $|1\rangle |\psi\rangle$ and we'll measure 1 on the first qubit. In any case we recover the input state $|\psi\rangle$ on the second register and we get of the first register the information of the sign of the eigenvalue associated to the eigenstate $|\psi\rangle$. So if U is a stabilizer of $|\psi\rangle$ we'll always measure 0. In instead ψ has been affected by some error E correctable, hence $UE |\psi\rangle = -EU |\psi\rangle = -E |\psi\rangle$, we'll measure 1, and therefore we know that an error occurred.

So what we can do is build a series of circuits like the following one for generator of the stabilizer code. We'll se an example of this with the 5-qubit encoding.

5 Five qubit code

The five qubit code is the smallest code (in term of number of qubits for the encoding) which is able to detect bit-phase flip errors on a single qubit, which has the following generators

$$
\begin{array}{c|ccccc}
g_1 & X & Z & Z & X & I \\
g_2 & I & X & Z & Z & X
\end{array}
\qquad
\begin{array}{c|ccccc}
g_3 & X & I & X & Z & Z \\
g_4 & Z & X & I & X & Z
\end{array}
$$

The encoding for the state $|0\rangle$ is $|0\rangle_L = \sum_{M \in \mathcal{S}} M |00000\rangle$, where $\mathcal{S}$ is the stabilizer group generated by the above generators, which is composed by 16 operators. In the same way, the encoding of $|1\rangle$ is $|1\rangle_L = \sum_{M \in \mathcal{S}} M |11111\rangle$. In this way is easy to check that every generator G_i stabilizes $|\psi_{enc}\rangle = \alpha |0\rangle + \beta |1\rangle$, since:

$$G_i |\psi_{enc}\rangle = G_i (\alpha \sum_{M \in \mathcal{S}} M |00000\rangle + \beta \sum_{M \in \mathcal{S}} M |11111\rangle) = \alpha \sum_{M \in \mathcal{S}} G_i M |00000\rangle + \beta \sum_{M \in \mathcal{S}} G_i M |11111\rangle$$

Once we have figured out a circuit for the encoding (which is not particularly interesting), for each generator we'll add a syndrome qubit and we'll apply circuit x:
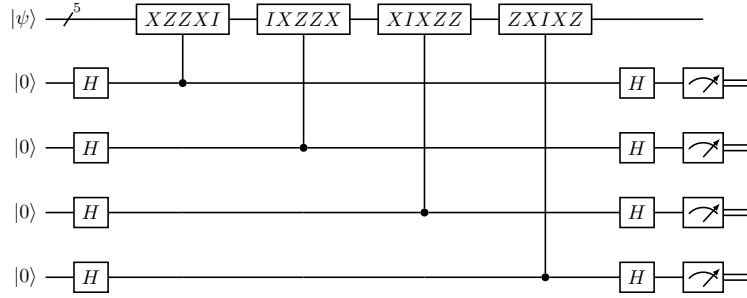
note that $XIXZZ$ is a shorthand notation for $X \otimes I \otimes X \otimes Z \otimes Z$. In this way we have $2^4 = 16$ possible measurement for the syndrome, each of which correspond to a particular error. Each possible X, Y, Z error applied on one of the five qubit will produce a different syndrome, which are shown in table.

Error	Syndrome	Error	Syndrome	Error	Syndrome	Error	Syndrome	Error	Syndrome
X_1	0000	X_2	0000	X_3	0000	X_4	0000	X_5	0000
Y_1	0000	Y_2	0000	Y_3	0000	Y_4	0000	Y_5	0000
Z_1	0000	Z_2	0000	Z_3	0000	Z_4	0000	Z_5	0000

The sub-script X_i means that the gate X is applied to the i-th qubit.

References

[1] Daniel Gottesman. Stabilizer codes and quantum error correction. *arXiv: Quantum Physics*, 1997.