

Readings about DP on Trees

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I. DP ON TREES TUTORIAL

Pre-requisites for the reading:

- Basic dynamic programming: optimal substructure property and memoisation.
- Trees (basic DFS, subtree definition, children, etc.)

DP definition: solve problems by breaking them down into overlapping sub-problems which follow the optimal substructure.

We define functions for nodes, which we calculate recursively based on children of a node. One of the states of the **DP** usually is a node i , so we are solving for its subtree.

A. Problem 1

Tree T with N nodes, each node i has C_i coins attached to it. Choose a subset of nodes such as no two nodes are *adjacent*, and the sum of coins is max.

A similar problem was for an $A = \{a_1, a_2, \dots, a_n\}$. We chose $dp(i)$ as our answer for a_1, a_2, \dots, a_i . The recurrence being $dp(i) = \max(a_i + dp(i-2), dp(i-1))$ (choose a_i or not, basically).

In a tree first we need to root it. For example at node 1 and define $dp(V)$ as the answer for subtree with root V , then $dp(1)$ is the answer.

So if we include V we can't include their children, but we can include any grandchildren.

So the recurrency of $dp(V)$ is:

$$\max\left(\sum_{i=1}^n dp(v_i), C_V + \sum_{i=1}^n \text{sum of } dp(j) \text{ for children } j \text{ of } v_i\right)$$

We can define two DP, $dp1(V), dp2(V)$, the first one is the number of coins that can be obtained from the subtree, and the second one, if we include the node or not. Final answer being $\max(dp1(1) + dp2(1))$

Now the recursion is easier:

$$dp1(V) = C_V + \sum_{i=1}^n dp2(v_i)$$

Since the answer of a node is dependent on the one of its children, we need a recursive DFS implementation.

```
vector<int> adj[N] // contains all neighbors
                  // of i node.
int dp1[N], dp2[N];
void dfs(int V, int pV) // pV is the parent of
                        // node V
{
    // for storing sums of dp1 and max(dp1, dp2)
    // for all children of V
    int sum1 = 0, sum2 = 0;
    // traverse over all children
    for (auto v: adj[V])
    {
```

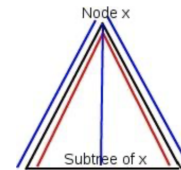
```
        if (v == pV) continue;
        dfs(v, V);
        sum1 += dp2[v];
        sum2 += max(dp1[v], dp2[v]);
    }
    dp1[V] = C[V] + sum1;
    dp2[V] = sum2;
}
int main()
{
    int n;
    cin >> n;
    for(int i=1; i<n; i++)
    {
        cin >> u >> v;
        adj[u].push_back(v);
        adj[v].push_back(u);
    }
    dfs(1, 0); // this basically does the DFS
               // for all the nodes.
    int ans = max(dp1[1], dp2[1]);
    cout << ans << endl;
}
```

Complexity: $O(N)$.

B. Problem 2

Given a tree T of N nodes, longest path between two nodes (*diameter of the tree*). If we root node 1, there exists a node x such that:

- Longest path starts from node x and goes into the subtree. Denote this as $f(x)$. Blue
- Starts in the subtree of x , passes through it, and then back into the subtree. Denote this as $g(x)$. Red.



If we get the max from both for all nodes x we can calculate the diameter, but how can we calculate max path length in both cases?

A node V has n children $v_1 \dots v_n$. $f(i)$ is the length of longest path that starts at node i and ends in its sub tree. So $f(V) = 1 + \max(f(v_1), \dots, f(v_n))$. Since it is the maximum path of their children, plus the father.

As you can see in DP on trees functions are for nodes and recursion is based on values of children.

Case 2: originate from subtree of v_i , go through V and end in the subtree of v_j , where $i \neq j$. To make the path maximum we'll make $f(v_i), f(v_j)$ to be maximum. So $g(V) = 1 + \text{sum of two max from set } \{f(v_1), \dots, f(v_n)\}$.

We calculate f through a DFS and on the go. It's done in $O(N)$

```
vector<int> adj[N];
int f[N], g[N], diameter;
void(int V, int pV)
{
    vector<int> fValues; // f for all children
                        // of V
    for(auto v: adj[V])
    {
        if(v == pV) continue;
        dfs(v, V);
        fValues.push_back(f[v]);
    }
    //Sort to get the two biggest values
    sort(fValues.begin(), fValues.end());
    f[V] = 1; // update for the current node
    if(!fValues.empty()) f[V] += fValues.back();
    if(fValues.size() >= 2) // more than 2
        kids
        g[V] = 2 + fValues.back() + fValues[
            fValues.size()-2]; // the two
            biggest.
    diameter = max(diameter, max(f[V], g[V]));
}
```

C. Problem 3

Tree T with N nodes. Find number of **sub trees** of size $\leq K$.

Sub Tree (separated): connected subset of nodes from the original tree.

Suppose the tree is rooted at 1, $S(V)$ is the subtree rooted at node V (different definition from the one in the problem), since all the nodes are included.

How to count the number of sub trees of a tree? We define $f(V)$ as the number of sub trees of $S(V)$ (subtree with the node included). So for each child u of V we have the choice of including them in the sub tree (which there are $f(u)$ ways of doing) or not (just 1 way, because we wont choose its children).

Node V has children v_1, \dots, v_n , so $f(V) = \prod_{i=1}^n (1 + f(v_i))$ (el producto del f de cada uno de los hijos). That function considers the subtrees **rooted** at V . We now define $g(V)$ for the ones not rooted at V , its recursion being:

$$\sum_{i=1}^n f(i) + g(i)$$

For each child we add to $g(V)$ number of ways to choose a subtree rooted or not at that child. So the final answer is $f(1) + g(1)$ (for this partial problem).

How many of these don't exceed K size? We add another state to the dp , $f(V, k)$ being the number of sub trees with k nodes and V as root. If a child $S(v_i)$ contributes a_i nodes, k is $\sum_{i=1}^n a_i$. If we want to form a sub tree of size $k+1$ (one node contributed by V), $f(V, k)$ is the sum of $\prod_{i=1}^n f(v_i, a_i)$ for all distinct sequences a_1, \dots, a_n .

How to compute this? $dp1(i, j)$ is the way to choose j nodes from subtrees v_1, \dots, v_i , and its recurrence is: $dp1(i, j) =$

$\sum_{k=0}^K dp1(i-1, j-k) * f(i, k)$ (iterating over k assuming that subtree of v_i contains k nodes). Finally:

$$f(V, k) = dp1(n, k)$$

$$\sum_{i=1}^K f(V, i) \text{ for all nodes } V$$

In pseudo-code:

```
f[N][K+1]; // K+1 since you will include 0
void rec(int cur_node)
{
    f[cur_node][1] = 1
    dp_buffer[K] = {0}
    dp_buffer[0] = 1

    for(all v such that v is children of
        cur_node)
        rec(v)
        dp_buffer1[K] = {0}
        for i = 0 to K:
            for j = 0 to K - i:
                dp_buffer1[i+j] += dp_buffer[i]
                *f[v][j]
        dp_buffer = dp_buffer1
    f[cur_node] = dp_buffer
}
```

Complexity: n children, so $O(N * K^2)$

A similar problem: N nodes and weight of each, delete enough nodes so it has K leaves. Cost is the weight of the node. Try to find the minimum cost. (check Indian Computing Olympiad)

D. Problem 4

Each node i has a cost C_i . Start in root, choose unvisited node at random until there are no unvisited. Cost of travel is the sum of costs so far. *Which node should be the root?* $f(V)$ is expected total time if we start at node V and visit in its subtree.

$$f(V) = C_V + \frac{\sum_{i=1}^n f(v_i)}{n}$$

Now we find a node v such that $f(v)$ is minimized. It depends on the root of the tree. Brute force is too slow.

Idea: Iterate over all nodes V and calculate the value of $f(V)$ if the tree is rooted at it. *We need to see the contribution of $f(\text{parent}(V))$ if tree is rooted at V .* $g(V)$ is defined as the expected total time at $\text{parent}(V)$, if we don't consider the contribution of the subtree of V .

Total expected time now is:

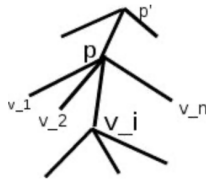
$$C_V = \frac{g(V) + \sum_{i=1}^n f(v_i)}{n+1}$$

To calculate $g(V)$ consider a node p with parent p' and children v_1, \dots, v_n . To find $g(v_i)$, which means root tree at node p and **don't consider** subtree of v_i for calculating $f(p)$.

$$C_p + \frac{g(p) + f(v_i) + \dots + f(v_{i-1}) + f(v_{i+1}) + \dots + f(v_n)}{n}$$

$g(p)$ gives us the time at p' without considering the subtree of p . And we divide by the n since it is the number of adjacent

nodes of p , minus the one we are not considering.



f, g can be calculated recursively in $O(N)$.

E. Problem 5

You have to make T_1 as structurally similar to T_2 which can be done by inserting leaves in any of the trees. Minimum number of insertions to do so.

Suppose both are rooted at 1. T_{1_1} with children u_1, \dots, u_n and T_{2_1} with v_1, \dots, v_m . We map nodes between sets, making $u_i = v_j$ in terms of structure, for some i, j , by adding the required nodes. If $n \neq m$ we add the whole subtree required.

How to choose which node to map? $dp(i, j)$ is the minimum additions required to make the subtree of i to the one of j .

So we gonna assign a node u_i to v_j , the cost gonna be $dp(u_i, v_j)$. We have to assign such that the cost is minimized, **assignment problem**. Cost matrix C in which $C(i, j)$ is the cost of assigning i to j . Solving this matrix can be done in $O(N^3)$, if there are N tasks. $C(i, j) = dp(u_i, v_j)$ so we can get $dp(i, j)$.

Complexity ends up being $O(N^3)$, N being the maximum number of nodes between the trees.

II. ANOTHER DP ON TREES TUTORIAL

A. Lowest Common Ancestor

This technique is known as **binary lifting**. It allows you to find the LCA in $\lg n$. Basically the lowest node that serves as root for the subtree that includes both nodes.

So while we are doing *I am pretty sure he said DFS*, we can obtain the depth of $L[\mu]$ (μ being a node), which is equal to $1 + L[\text{parent}]$. Now let us create the following:

$$p[\mu][0] = \text{parent}$$

p is a 2d array of space $n \lg n$. Where $p[\mu][i]$ means the ancestor of μ , 2^i steps up. So suppose that $x = P[\mu][i]$, then $P[\mu][i+1] = P[x][i]$.

We can get $P[\mu][0]$ for all μ , and then we can get the rest of the array easily in $n \lg n$. Having N values of μ and $\lg n$ values of i .

So, suppose that you have nodes u, v , suppose *without loss of generalization* that $L[u] \geq L[v]$ (if not you can "swap" which one is your u, v to achieve this). Move from u upwards until you reach the node x that is the same level as v .

So suppose the difference between $L[v]$ and $L[u]$ is Z , how do we know how to jump towards it in $\lg n$ time. The steps are:

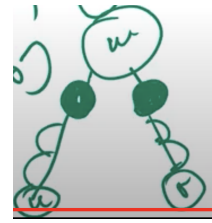
- 1) Take the greatest factor of 2 that is $\leq Z$
- 2) Jump those many steps
- 3) Subtract that from Z

4) Go to step one again. Until $Z = 0$ s

So now we have 2 cases:

- 1) $u = v$ which happens when v is an ancestor of u . In this case just return v
- 2) They are not equal, but they are now at the same level. So we can take the distance to their common ancestor as Y . Though we don't know its value

Let's have an i which iterates from the highest power of 2 towards 1. If $P[u][i] \neq P[v][i]$, I move both nodes i steps upwards. Keep doing this and you will eventually arrive to the point where the common ancestor is their parent. Then simply return $P[u][0]$.



1) *Example: Distance between u and v:* It's easy. Compute the tree, then find the LCA of u, v which we will not as w and then the distance would be:

$$L[u] + L[v] - 2L[w]$$

2) *Implementation:* This is the code for the *dfs* function which get us the levels. We start with 1, 0 as parameters.

```
void dfs(int u, int par)
{
    L[u] = 1 + L[par];
    P[u][0] = par;
    for(int v:g[u])
    {
        if (v == par) continue;
        dfs(v, u);
    }
}
```

And the code for the LCA is the following:

```
int lca(int u, int v)
{
    int i, lg;
    if(L[u] < L[v]) swap(u, v);
    for(lg = 0; (1<<lg) <= L[u]; lg++); //
        Finding the greatest power of 2
    lg--;
    if (u == v)
        return u;
    for(i = lg; i >= 0; i--)
    {
        if (P[u][i] != -1 and P[u][i] != P[v][i])
            u = P[u][i], v = P[v][i];
    }
    return P[u][0];
}
```

A few lines of code that I found interesting in the main were:

```
for(i = 1; i < LG; i++)
{
    Fo(j, 1, n+1) // A macro
```

```

        if (P[j][i-1] != -1)
            P[j][i] = P[P[j][i-1]][i-1];
    }

```

Might come in handy.

B. Application in Competitive Programming

The problem we are going to solve is: *Fafa and Ancient Mathematics* from Codeforces Round #465 (Div.2).

An *Ahmed Arithmetic Expression* (AAE) is:

- " d ", where d is a one-digit positive integer.
- " $(E_1 op E_2)$ " where E_1, E_2 are AAE, and op is $+$, $-$

You have an expression but don't know the operators. Find the max possible value after putting the operators in. You are given how many $+$ and $-$ operators there are.

Examples:

```

Input:
(1?1)
1 0
Output: 2
Input:
(2?(1?2))
1 1
Output: 1

```

In the tree that we are gonna make from the expression, **the leafs will be the digits**. Any non-leaf node will be a $?$ that will be filled with plus or minus. Each of them has 2 children which are the operands.

The first thing we need to do is to build the tree, keeping in mind that the order of the operands matters ($2 - 3 \neq 3 - 2$). So then:

- If the sign is $+$, we want the max value from the left and right operand.
- If the sign is $-$, we want the max value from the left and min from the right.

So let's take these 2 cases, and consider than in each we have p plus signs, and m minus signs. We have to consider all cases.

If we choose Plus we will have $p - 1$ symbols to distribute between the left subtree (let's say x) and the right one ($p - 1 - x$). Knowing we have $count$ number of $?$ in the left subtree, then the number of minus symbols in the left subtree will be $count - x$. Something similar will happen in the right one, which will have another value of $count$, and the amount of minus will be $count - (p - 1 - x)$.

If we choose Minus Then we will have $max(x, count - x)$ on the left subtree, but in the right subtree we will go with $min(p - x, count - (p - x))$.

So we will have to *DP* states that we want to check. They will look like this:

$$max(\mu, p, m)$$

$$min(\mu, p, m)$$

Now how do we minimize the value. Well, that depends on what value do we choose for the node v again.

If we choose Plus Then we shall try to minimize the value on the left side, and in the right side.

If we choose Minus Then we shall try to minimize the value on the left side, and maximize the value on the right side.

So basically we have 2 DP going on at the same time.

By constraints we know that the value of μ can go all the way to 10^4 , and its value is defined by $count$, and since m is defined by $count - p$ we **don't** need a third dimension for our dp . In fact, this value can be re-stated as $max(v, p)$ or $max(v, m)$ depending on if $p > m$ or otherwise.

It's quite a long code, but fun to implement. *Allegedly*.

III. ALGORITHMS LECTURE 1: SUMS AND EXPECTED VALUE REFERENCES

- [1] *DP on Trees Tutorial*, darkshadow's blog, From: <https://codeforces.com/blog/entry/20935>
- [2] *Dynamic Programming on Trees* rachitiitr's blog, From: <https://codeforces.com/blog/entry/61572>