

The computation aspects of the equivalent-layer technique: review and perspective

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1 FUNDAMENTALS

- 2 Let d be a $D \times 1$ vector, whose i-th element d_i is the observed potential field at the position (x_i, y_i, z_i) ,
- 3 $i \in \{1:D\}$. Consider that d_i can be satisfactorily approximated by a harmonic function

$$f_i = \sum_{j=1}^{P} g_{ij} p_j , \quad i \in \{1 : D\} ,$$
 (1)

- 4 where, p_i represents the scalar physical property of a virtual source (i.e., monopole, dipole, prism) located
- 5 at $(x_i, y_i, z_i), j \in \{1 : P\}$ and

$$g_{ij} \equiv g(x_i - x_j, y_i - y_j, z_i - z_j), \quad z_i < \min\{z_j\}, \quad \forall i \in \{1 : D\},$$
 (2)

- 6 is a harmonic function, where $\min\{z_j\}$ denotes the minimum z_j , or the vertical coordinate of the shallowest
- 7 virtual source. These virtual sources are called equivalent sources and they form an equivalent layer. In
- 8 matrix notation, the potential field produced by all equivalent sources at all points (x_i, y_i, z_i) , $i \in \{1 : D\}$,
- 9 is given by:

$$\mathbf{f} = \mathbf{G}\mathbf{p} \,, \tag{3}$$

- 10 where p is a $P \times 1$ vector with j-th element p_j representing the scalar physical property of the j-th
- 11 equivalent source and G is a $D \times P$ matrix with element g_{ij} given by equation 2.
- 12 The equivalent-layer technique consists in solving a linear inverse problem to determine a parameter
- 13 vector **p** leading to a predicted data vector **f** (equation 3) sufficiently close to the observed data vector **d**,
- 14 whose i-th element d_i is the observed potential field at (x_i, y_i, z_i) . The notion of closeness is intrinsically
- 15 related to the concept of vector norm (e.g., Golub and Van Loan, 2013, p. 68) or measure of length (e.g.,
- 16 Menke, 2018, p. 41). Because of that, almost all methods for determining p actually estimate a parameter
- 17 vector $\tilde{\mathbf{p}}$ minimizing a length measure of the difference between \mathbf{f} and \mathbf{d} (see subsection 1.3). Given an
- 18 estimate \tilde{p} , it is then possible to compute a potential field transformation

$$\mathbf{t} = \mathbf{A}\tilde{\mathbf{p}} \,, \tag{4}$$

- 19 where t is a $T \times 1$ vector with k-th element t_k representing the transformed potential field at the position
- 20 $(x_k, y_y, z_k), k \in \{1:T\}$, and

$$a_{kj} \equiv a(x_k - x_j, y_k - y_j, z_k - z_j), \quad z_k < \min\{z_j\}, \quad \forall k \in \{1:T\},$$
 (5)

21 is a harmonic function representing the kj-th element of the $T \times P$ matrix A.

22 1.1 Spatial distribution and total number of equivalent sources

- There is no well-established criteria to define the optimum number P or the spatial distribution of the
- 24 equivalent sources. We know that setting an equivalent layer with more (less) sources than potential-field
- 25 data usually leads to an underdetermined (overdetermined) inverse problem (e.g., Menke, 2018, p. 52–53).
- 26 Concerning the spatial distribution of the equivalent sources, the only condition is that they must rely on a
- 27 surface that is located below and does not cross that containing the potential field data. Soler and Uieda
- 28 (2021) present a practical discussion about this topic.

- 29 From a theoretical point of view, the equivalent layer reproducing a given potential field data set cannot
- 30 cross the true gravity or magnetic sources. This condition is a consequence of recognizing that the equivalent
- 31 layer is essentially an indirect solution of a boundary value problem of potential theory (e.g., Roy, 1962;
- 32 Zidarov, 1965; Dampney, 1969; Li et al., 2014; Reis et al., 2020). In practical applications, however, there
- 33 is no guarantee that this condition is satisfied. Actually, its is widely known from practical experience (e.g.,
- 34 Gonzalez et al., 2022) that the equivalent-layer technique works even for the case in which the layer cross
- 35 the true sources.
- 36 CRITÉRIOS PARA DEFINIR A PROFUNDIDADE DA CAMADA: DAMPNEY (ESPAÇAMENTO
- 37 DO GRID) E REIS (ESPAÇAMENTO DAS LINHAS)

38 **1.2 Matrix** G

Generally, the harmonic function g_{ij} (equation 2) is defined in terms of the inverse distance between the

40 observation point (x_i, y_i, z_i) and the j-th equivalent source at (x_j, y_j, z_j) ,

$$\frac{1}{r_{ij}} \equiv \frac{1}{\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2 + (z_i - z_j)^2}},$$
(6)

41 or by its partial derivatives of first and second orders, respectively given by

$$\partial_{\alpha} \frac{1}{r_{ij}} \equiv \frac{-(\alpha_i - \alpha_j)}{r_{ij}^3} , \quad \alpha \in \{x, y, z\} , \tag{7}$$

42 and

$$\partial_{\alpha\beta} \frac{1}{r_{ij}} \equiv \begin{cases} \frac{3(\alpha_i - \alpha_j)^2}{r_{ij}^5} , & \alpha = \beta ,\\ \frac{3(\alpha_i - \alpha_j)(\beta_i - \beta_j)}{r_{ij}^5} - \frac{1}{r_{ij}^3} , & \alpha \neq \beta , \end{cases} \quad \alpha, \beta \in \{x, y, z\} . \tag{8}$$

- In this case, the equivalent layer is formed by punctual sources representing monopoles or dipoles (e.g.,
- 14 Dampney, 1969; Emilia, 1973; Leão and Silva, 1989; Cordell, 1992; Oliveira Jr. et al., 2013; Siqueira et al.,
- 45 2017; Reis et al., 2020; Takahashi et al., 2020; Soler and Uieda, 2021; Takahashi et al., 2022). Another
- 46 common approach consists in not defining g_{ij} by using equations 6–8, but other harmonic functions
- 47 obtained by integrating them over the volume of regular prisms (e.g., Li and Oldenburg, 2010; Barnes and
- 48 Lumley, 2011; Li et al., 2014; Jirigalatu and Ebbing, 2019). There are also some less common approaches
- 49 defining the harmonic function g_{ij} (equation 2) as the potential field due to plane faces with constant
- 50 physical property (Hansen and Miyazaki, 1984), doublets (Silva, 1986) or by computing the double
- 51 integration of the inverse distance function with respect to z (Guspí and Novara, 2009).
- A common assumption for most of the equivalent-layer methods is that the harmonic function g_{ij}
- 53 (equation 2) is independent on the actual physical relationship between the observed potential field and
- 54 their true sources (e.g., Cordell, 1992; Guspí and Novara, 2009; Li et al., 2014). Hence, g_{ij} can be
- 55 defined according to the problem. The only condition imposed to this function is that it decays to zero
- as the observation point (x_i, y_i, z_i) goes away from the position (x_j, y_j, z_j) of the j-th equivalent source.
- 57 However, several methods use a function g_{ij} that preserves the physical relationship between the observed
- potential field and their true sources. For the case in which the observed potential field is gravity data, g_{ij}
- 59 is commonly defined as a component of the gravitational field produced at (x_i, y_i, z_i) by a point mass or
- prism located at (x_j, y_j, z_j) , with unit density. On the other hand, g_{ij} is commonly defined as a component

- of the magnetic induction field produced at (x_i, y_i, z_i) by a dipole or prism located at (x_j, y_j, z_j) , with unit magnetization intensity, when the observed potential field is magnetic data.
- For all harmonic functions discussed above, the sensitivity matrix G (equation 3) is always dense. For
- 64 scattered potential-field data, G does not have a well-defined structure, regardless of whether the spatial
- 65 distribution of the equivalent sources is set. Nevertheless, for the particular case in which (i) there is a
- single equivalent source right below each potential-field datum and (ii) both data and sources rely on
- 67 planar and regularly spaced grids, Takahashi et al. (2020, 2022) show that G assumes a block-Toeplitz
- 68 Toeplitz-block (BTTB) structure. In this case, the product of G and an arbitrary vector can be efficiently
- 69 computed via 2D fast Fourier transform as a discrete convolution.

1.3 General formulation

A general formulation for almost all equivalent-layer methods can be achieved by first considering that the $P \times 1$ parameter vector \mathbf{p} (equation 3) can be reparameterized into a $Q \times 1$ vector \mathbf{q} according to:

$$\mathbf{p} = \mathbf{H} \mathbf{q} \,, \tag{9}$$

73 where **H** is a $P \times Q$ matrix. The predicted data vector **f** (equation 3) can then be rewritten as follows:

$$\mathbf{f} = \mathbf{G} \mathbf{H} \mathbf{q} \,. \tag{10}$$

- Note that the original parameter vector \mathbf{p} is defined in a P-dimensional space whereas the reparameterized
- 75 parameter vector \mathbf{q} (equation 9) lies in a Q-dimensional space. For convenience, we use the terms P-space
- 76 and Q-space to designate them.
- In this case, the problem of estimating a parameter vector $\tilde{\mathbf{p}}$ minimizing a length measure of the difference
- 78 between f (equation 3) and d is replaced by that of estimating an auxiliary vector $\tilde{\mathbf{q}}$ minimizing the goal
- 79 function

$$\Gamma(\mathbf{q}) = \Phi(\mathbf{q}) + \mu \,\Theta(\mathbf{q}) \,, \tag{11}$$

80 which is a combination of particular measures of length given by

$$\Phi(\mathbf{q}) = (\mathbf{d} - \mathbf{f})^{\top} \mathbf{W}_d (\mathbf{d} - \mathbf{f}) , \qquad (12)$$

81 and

$$\Theta(\mathbf{q}) = (\mathbf{q} - \bar{\mathbf{q}})^{\top} \mathbf{W}_q (\mathbf{q} - \bar{\mathbf{q}}) , \qquad (13)$$

- 82 where the regularization parameter μ is a positive scalar controlling the trade-off between the data-misfit
- 83 function $\Phi(\mathbf{q})$ and the regularization function $\Theta(\mathbf{q})$; \mathbf{W}_d is a $D \times D$ symmetric matrix defining the relative
- 84 importance of each observed datum d_i ; \mathbf{W}_q is a $Q \times Q$ symmetric matrix imposing prior information on \mathbf{q} ;
- 85 and $\bar{\mathbf{q}}$ is a $Q \times 1$ vector of reference values for \mathbf{q} that satisfies

$$\bar{\mathbf{p}} = \mathbf{H}\,\bar{\mathbf{q}}\,,\tag{14}$$

where $\bar{\mathbf{p}}$ is a $P \times 1$ vector containing reference values for the original parameter vector \mathbf{p} .

After obtaining an estimate $\tilde{\mathbf{q}}$ for the reparameterized parameter vector \mathbf{q} (equation 9), the estimate $\tilde{\mathbf{p}}$ for the original parameter vector (equation 3) is computed by

$$\tilde{\mathbf{p}} = \mathbf{H}\,\tilde{\mathbf{q}}\,. \tag{15}$$

The reparameterized vector $\tilde{\mathbf{q}}$ is obtained by first computing the gradient of $\Gamma(\mathbf{q})$,

$$\nabla\Gamma(\mathbf{q}) = -2\mathbf{H}^{\mathsf{T}}\mathbf{G}^{\mathsf{T}}\mathbf{W}_{d}(\mathbf{d} - \mathbf{f}) + 2\mu\mathbf{W}_{q}(\mathbf{q} - \bar{\mathbf{q}}). \tag{16}$$

Then, by considering that $\nabla\Gamma(\tilde{\mathbf{q}}) = \mathbf{0}$ (equation 16), where $\mathbf{0}$ is a vector of zeros, as well as adding and

of subtracting the term $(\mathbf{H}^{ op}\mathbf{G}^{ op}\mathbf{W}_d\mathbf{G}\,\mathbf{H})\,ar{\mathbf{q}}$, we obtain

$$\tilde{\boldsymbol{\delta}}_{q} = \mathbf{B}\,\boldsymbol{\delta}_{d}\,,\tag{17}$$

92 where

$$\tilde{\boldsymbol{\delta}}_{q} = \tilde{\mathbf{q}} - \bar{\mathbf{q}} \,, \tag{18}$$

$$\boldsymbol{\delta}_d = \mathbf{d} - \mathbf{G} \,\mathbf{H} \,\bar{\mathbf{q}} \,, \tag{19}$$

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$$\mathbf{B} = \left(\mathbf{H}^{\top} \mathbf{G}^{\top} \mathbf{W}_{d} \mathbf{G} \mathbf{H} + \mu \mathbf{W}_{q}\right)^{-1} \mathbf{H}^{\top} \mathbf{G}^{\top} \mathbf{W}_{d},$$
 (20)

95 or, equivalently (Menke, 2018, p. 62),

$$\mathbf{B} = \mathbf{W}_q^{-1} \mathbf{H}^{\mathsf{T}} \mathbf{G}^{\mathsf{T}} \left(\mathbf{G} \mathbf{H} \mathbf{W}_q^{-1} \mathbf{H}^{\mathsf{T}} \mathbf{G}^{\mathsf{T}} + \mu \mathbf{W}_d^{-1} \right)^{-1}.$$
 (21)

- 96 Evidently, we have considered that all inverses exist in equations 20 and 21.
- Matrix B defined by equation 20 is commonly used for the cases in which D > P, i.e., when there are more data than parameters (overdetermined problems). In this case, we consider that the estimate $\tilde{\mathbf{q}}$ is
- 99 obtained by solving the following linear system for δ_q (equation 18):

$$\left(\mathbf{H}^{\top}\mathbf{G}^{\top}\mathbf{W}_{d}\mathbf{G}\mathbf{H} + \mu \mathbf{W}_{q}\right)\tilde{\boldsymbol{\delta}}_{q} = \mathbf{H}^{\top}\mathbf{G}^{\top}\mathbf{W}_{d}\boldsymbol{\delta}_{d}.$$
(22)

- On the other hand, for the cases in which D < P (underdetermined problems), matrix B is usually
- defined according to equation 21. In this case, the general approach involves estimating $\tilde{\mathbf{q}}$ in two steps. The
- first consists in solving a linear system for a dummy vector, which is subsequently used to compute $\tilde{\mathbf{q}}$ by a
- 103 matrix-vector product as follows:

$$\left(\mathbf{G}\mathbf{H}\mathbf{W}_{q}^{-1}\mathbf{H}^{\top}\mathbf{G}^{\top} + \mu\mathbf{W}_{d}^{-1}\right)\mathbf{u} = \boldsymbol{\delta}_{d}$$

$$\tilde{\boldsymbol{\delta}}_{q} = \mathbf{W}_{q}^{-1}\mathbf{H}^{\top}\mathbf{G}^{\top}\mathbf{u}$$
(23)

where u is a dummy vector. After obtaining $\tilde{\delta}_q$ (equations 22 and 23), the estimate \tilde{q} is computed with equation 18.

106 1.3.1 Optional normalization strategy

- Setting the regularization parameter μ (equation 11) can be very difficult due to scale differences between
- 108 G and p (equation 3) or GH and q (equation 9. When faced with this scenario, a popular strategy (e.g., Li
- and Oldenburg, 2010; Soler and Uieda, 2021) involves creating the linear system (equations 22 and 23) by
- 110 substituting GH and q with

$$\mathbf{G}_n = \mathbf{G} \,\mathbf{H} \,\mathbf{N} \,, \quad \mathbf{q}_n = \mathbf{N}^{-1} \mathbf{q} \,, \tag{24}$$

- and then finding the solution $\tilde{\mathbf{q}}_n$ for the normalized parameter vector \mathbf{q}_n . The estimate $\tilde{\mathbf{q}}$ for the
- 112 reparameterized parameter vector q (equation 9) is subsequently obtained by removing the normalization
- 113 as follows:

$$\tilde{\mathbf{q}} = \mathbf{N}\,\tilde{\mathbf{q}}_n\,,\tag{25}$$

- 114 where N is an invertible normalization matrix. This strategy usually constrains the practical range of the
- 115 regularization parameter μ (equation 11).

2 COMPUTATIONAL STRATEGIES

- 116 COMEÇAR EXPLICANDO AS LIMITAÇÕES DA SOLUÇÃO OBTIDA PELA FORMULAÇÃO
- 117 GERAL
- 118 Two important factors affecting the efficiency of a given matrix algorithm are the storage and amount of
- 119 required arithmetic. Here, we quantify this last factor by counting flops. A flop is a floating point addition,
- subtraction, multiplication or division (Golub and Van Loan, 2013, p. 12–14).
- To investigate the efficiency of equivalent-layer methods, we consider how they:
- 122 (i) set up the linear system (equations 22 and 23);
- 123 (ii) solve the linear system (equations 22 and 23);
- 124 (iii) perform potential-field transformations (equation 4).
- 125 We focus on the overall strategies used by the selected methods.

126 2.1 Notation for subvectors and submatrices

Here, we use a notation inspired on that presented by (Van Loan, 1992, p. 4) to represent subvectors and submatrices. Subvectors of d, for example, are specified by d[i], where i is a list of integer numbers that "pick out" the elements of d forming the subvector d[i]. For example, $\mathbf{i} = (1, 6, 4, 6)$ gives the subvector d[i] = $[d_1 \ d_6 \ d_4 \ d_6]^{\top}$. Note that the list i of indices may be sorted or not and it may also have repeated indices. For the particular case in which the list has a single element $\mathbf{i} = (i)$, then it can be used to extract the *i*-th element $d_i \equiv \mathbf{d}[i]$ of d. Regular lists can be represented by using the colon notation. For example,

$$\mathbf{i} = (3:8) \Leftrightarrow \mathbf{d}[\mathbf{i}] = [d_3 \ d_4 \ \dots \ d_8]^{\top}$$
 $\mathbf{i} = (:8) \Leftrightarrow \mathbf{d}[\mathbf{i}] = [d_1 \ d_2 \ \dots \ d_7]^{\top}$
 $\mathbf{i} = (3:) \Leftrightarrow \mathbf{d}[\mathbf{i}] = [d_3 \ d_4 \ \dots \ d_D]^{\top}$

27 where D is the number of elements forming d.

The notation above can also be used to define submatrices of the $D \times P$ matrix G. For example, $\mathbf{i} = (2, 7, 4, 6)$ and $\mathbf{j} = (1, 3, 8)$ lead to the submatrix

$$\mathbf{G[i,j]} = \begin{bmatrix} g_{21} & g_{23} & g_{28} \\ g_{71} & g_{73} & g_{78} \\ g_{41} & g_{43} & g_{48} \\ g_{61} & g_{63} & g_{68} \end{bmatrix}.$$

Note that, in this case, the lists i and j "pick out", respectively, the rows and columns of G that form the submatrix G[i, j]. The i-th row of G is given by the $1 \times P$ vector G[i, :]. Similarly, the $D \times 1$ vector G[:, j] represents the j-th column. Finally, we may use the colon notation to define the following submatrix:

$$\mathbf{G}[2:5,3:7] = \begin{bmatrix} g_{23} & g_{24} & g_{25} & g_{26} & g_{27} \\ g_{33} & g_{34} & g_{35} & g_{36} & g_{37} \\ g_{43} & g_{44} & g_{45} & g_{46} & g_{47} \\ g_{53} & g_{54} & g_{55} & g_{56} & g_{57} \end{bmatrix},$$

which contains the contiguous elements of G from rows 2 to 5 and from columns 3 to 7.

2.2 Moving window

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The initial approach to enhance the computational efficiency of the equivalent-layer technique is 130 commonly denoted moving window and involves first splitting the observed data d_i , $i \in \{1 : D\}$, into 131 M overlapping subsets (or data windows) formed by D^m data each, $m \in \{1 : M\}$. The data inside the 132 m-th window are usually adjacent to each other and have indices defined by an integer list i^m having 133 D^m elements. The number of data D^m forming the data windows are not necessarily equal to each other. 134 Each data window has a $D^m \times 1$ observed data vector $\mathbf{d}^m \equiv \mathbf{d}[\mathbf{i}^m]$. The second step consists in defining 135 a set of P equivalent sources with scalar physical property p_i , $j \in \{1 : P\}$, and also split them into M 136 overlapping subsets (or source windows) formed by P^m data each, $m \in \{1: M\}$. The sources inside the 137 m-th window have indices defined by an integer list \mathbf{j}^m having P^m elements. Each source window has a 138 $P^m \times 1$ parameter vector \mathbf{p}^m and is located right below the corresponding m-th data window. Then, each 139 $\mathbf{d}^m \equiv \mathbf{d}[\mathbf{i}^m]$ is approximated by 140

$$\mathbf{f}^m = \mathbf{G}^m \mathbf{p}^m \,, \tag{26}$$

where $G^m \equiv G[i^m, j^m]$ is a submatrix of G (equation 3) formed by the elements computed with equation 2 using only the data and equivalent sources located inside the window m-th. The main idea of the moving-window approach is using the \tilde{p}^m estimated for each window to obtain (i) an estimate \tilde{p} of the parameter vector for the entire equivalent layer or (ii) a given potential-field transformation t (equation 4). The main advantages of this approach is that (i) the estimated parameter vector \tilde{p} or transformed potential field are not obtained by solving the full, but smaller linear systems and (ii) the full matrix G (equation 3) is never stored.

Leão and Silva (1989) presented a pioneer work using the moving-window approach. Their method requires a regularly-spaced grid of observed data on a horizontal plane z_0 . The data windows are defined by square local grids of $\sqrt{D'} \times \sqrt{D'}$ adjacent points, all of them having the same number of points D'. The equivalent sources in the m-th data window are located below the observation plane, at a constant vertical distance Δz_0 . They are arranged on a regular grid of $\sqrt{P'} \times \sqrt{P'}$ adjacent points following the same grid pattern of the observed data. The local grid of sources for all data windows have the same number

- of elements P'. Besides, they are vertically aligned, but expands the limits of their corresponding data
- vindows, so that D' < P'. Because of this spatial configuration of observed data and equivalent sources,
- 156 we have that $G^m = G'$ (equation 26) for all data windows (i.e., $\forall m \in \{1 : M\}$), where G' is a $D' \times P'$
- 157 constant matrix.
- By omitting the normalization strategy used by Leão and Silva (1989), their method consists in directly
- 159 computing the transformed potential field t_c^m at the central point $(x_c^m, y_c^m, z_0 + \Delta z_0)$ of each data window
- 160 as follows:

$$t_c^m = \left(\mathbf{G}'\mathbf{a}'\right)^{\top} \left[\mathbf{G}' \left(\mathbf{G}'\right)^{\top} + \mu \mathbf{I}_{D'}\right]^{-1} \mathbf{d}^m, \quad m \in \{1:M\},$$
(27)

- where $I_{D'}$ is the identity matrix of order D' and a' is a $P' \times 1$ vector with elements computed by equation
- 162 5 by using all equivalent sources in the m-th subset and only the coordinate of the central point in the
- 163 m-th data window. Due to the presumed spatial configuration of the observed data and equivalent sources,
- a' is the same for all data windows. Note that equation 27 combines the potential-field transformation
- 165 (equation 4) with the solution of the undetermined problem (equation 23) for the particular case in which
- 166 $\mathbf{H} = \mathbf{W}_q = \mathbf{I}_{P'}$ (equations 9 and 13), $\mathbf{W}_d = \mathbf{I}_{D'}$ (equation 12), $\bar{p} = \mathbf{0}$ (equation 14), where $\mathbf{I}_{P'}$ and $\mathbf{I}_{D'}$
- are identity matrices of order P' and D', respectively, and 0 is a vector of zeros.
- 168 The method proposed by Leão and Silva (1989) can be outlined by the Algorithm 1. Note that Leão and
- 169 Silva (1989) directly compute the transformed potential t_c^m at the central point of each data window without
- 170 explicitly computing and storing an estimated for p^m (equation 26). It means that their method allows
- 171 computing a single potential-field transformation. A different transformation or the same one evaluated at
- 172 different points require running their moving-data window method again.

Algorithm 1: Generic pseudo-code for the method proposed by Leão and Silva (1989).

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Initialization:
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- 1 Set the indices \mathbf{i}^m for each data window, $m \in \{1:M\}$;
- 2 Set the indices \mathbf{j}^m for each source window, $m \in \{1 : M\}$;
- 3 Set the constant depth $z_0 + \Delta z_0$ for all equivalent sources;
- 4 Compute the vector a' associated with the desired potential-field transformation;
- 5 Compute the matrix G';

```
6 Compute (\mathbf{G'a'})^{\top} \left[ \mathbf{G'} \left( \mathbf{G'} \right)^{\top} + \mu \mathbf{I}_{D'} \right]^{-1};

7 m = 1;

8 while m < M do

9 Compute t_c^m (equation 27);

10 m \leftarrow m + 1;
```

11 end

Soler and Uieda (2021) generalized the method proposed by Leão and Silva (1989) for irregularly spaced data on an undulating surface. A direct consequence of this generalization is that a different submatrix $\mathbf{G}^m \equiv \mathbf{G}[\mathbf{i}^m, \mathbf{j}^m]$ (equation 26) must be computed for each window. Differently from Leão and Silva (1989), Soler and Uieda (2021) store the computed $\tilde{\mathbf{p}}^m$ for all windows and subsequently use them to obtain a desired potential-field transformation (equation 4) as the superposed effect of all windows. The estimated $\tilde{\mathbf{p}}^m$ for all windows are combined to form a single $P \times 1$ vector $\tilde{\mathbf{p}}$, which is an estimate for original parameter vector \mathbf{p} (equation 3). For each data window, Soler and Uieda (2021) solve an overdetermined problem (equation 22) for $\tilde{\mathbf{p}}^m$ by using $\mathbf{H} = \mathbf{W}_q = \mathbf{I}_{P^m}$ (equations 9 and 13), \mathbf{W}_d^m (equation 12) equal to

181 a diagonal matrix of weights for the data inside the m-th window and $\bar{p} = 0$ (equation 14), so that

$$\left[(\mathbf{G}^m)^\top \mathbf{W}_d^m \mathbf{G}^m + \mu \mathbf{I}_{P'} \right] \tilde{\mathbf{p}}^m = (\mathbf{G}^m)^\top \mathbf{W}_d^m \mathbf{d}^m.$$
 (28)

182 It is important to stress here that Soler and Uieda (2021) used the normalization strategy described in 183 section 1.3.1, but we have conveniently omitted here. The overall steps of their method are defined by the 184 Algorithm 2. Note that this algorithm starts with a residuals vector **r** that is iteratively updated. At each 185 iteration, the potential field predicted a source window is computed at all observation points and removed 186 from the residuals vector **r**.

Algorithm 2: Generic pseudo-code for the method proposed by Soler and Uieda (2021).

```
Initialization:
 1 Set the indices i^m for each data window, m \in \{1 : M\};
 2 Set the indices \mathbf{j}^m for each source window, m \in \{1 : M\};
   Set the depth of all equivalent sources;
 4 Set a D \times 1 residuals vector \mathbf{r} = \mathbf{d};
5 Set a P \times 1 vector \tilde{\mathbf{p}} = \mathbf{0};
   m = 1;
    while m < M do
 7
          Set the matrix \mathbf{W}_d^m;
 8
          Compute the matrix G^m;
 9
          Compute \tilde{\mathbf{p}}^m (equation 28);
10
          \tilde{\mathbf{p}}[\mathbf{j}^m] \leftarrow \tilde{\mathbf{p}}[\mathbf{j}^m] + \tilde{\mathbf{p}}^m;
11
          \mathbf{r} \leftarrow \mathbf{r} - \mathbf{G}[:, \mathbf{j}^m] \, \tilde{\mathbf{p}}^m \; ;
12
          m \leftarrow m + 1;
13
14 end
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2.3 Column update

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Cordell (1992) proposed a computational strategy that was later used by Guspí and Novara (2009) and relies on first defining one equivalent source located right below each observed data d_i , $i \in \{1 : D\}$, at a vertical coordinate $z_i + \Delta z_i$, where Δz_i is proportional to the distance from the *i*-th observation point (x_i, y_i, z_i) to its closest neighbor. The second step consists in updating the physical property p_j of a given equivalent source, $j \in \{1 : D\}$ and remove its predicted potential field from the observed data vector \mathbf{d} , producing a residuals vector \mathbf{r} . Then, the same procedure is repeated for other sources with the purpose of iteratively updating \mathbf{r} and the $D \times 1$ parameter vector \mathbf{p} containing the physical property of all equivalent sources. At the end, the algorithm produces an estimate $\tilde{\mathbf{p}}$ for the parameter vector yielding a predicted potential field \mathbf{f} (equation 3) satisfactorily fitting the observed data \mathbf{d} according to a given criterion. Note that the method proposed by Cordell (1992) iteratively solves the linear $\mathbf{G}\tilde{\mathbf{p}} \approx \mathbf{d}$ with a $D \times D$ matrix \mathbf{G} . At each iteration, only a single column of \mathbf{G} (equation 3) is used. An advantage of this *column-update approach* is that the full matrix \mathbf{G} is never stored.

Algorithm 3 delineates the Cordell's method. Note that a single column $G[:, i_{max}]$ of the $D \times D$ matrix G (equation 3) is used per iteration, where i_{max} is the index of the maximum absolute value in \mathbf{r} . As pointed out by Cordell (1992), the method does not necessarily decrease monotonically along the iterations. Besides, the method may not converge depending on how the vertical distances Δz_i , $i \in \{1 : D\}$, controlling the depths of the equivalent sources are set. According to Cordell (1992), the maximum absolute value r_{max}

in r decreases robustly at the beginning and oscillates within a narrowing envelope for the subsequent iterations.

Algorithm 3: Generic pseudo-code for the method proposed by Cordell (1992).

```
Initialization:
```

```
1 Compute a D \times 1 vector \Delta z whose i-th element \Delta z_i is a vertical distance controlling the depth of
      the i-th equivalent source, i \in \{1 : D\};
    Set a tolerance \epsilon;
    Set a maximum number of iteration ITMAX;
    Set a D \times 1 residuals vector \mathbf{r} = \mathbf{d};
 5 Set a D \times 1 vector \tilde{\mathbf{p}} = \mathbf{0};
 6 Define the maximum absolute value r_{\text{max}} in \mathbf{r};
 7 \ m = 1;
    while (r_{\text{max}} > \epsilon) and (m < \text{ITMAX}) do
          Define the coordinates (x_{\text{max}}, y_{\text{max}}, z_{\text{max}}) and index i_{\text{max}} of the observation point associated with
          \tilde{\mathbf{p}}[i_{\text{max}}] \leftarrow \tilde{\mathbf{p}}[i_{\text{max}}] + (a_{\text{max}} \Delta \mathbf{z}[i_{\text{max}}]);
10
          \mathbf{r} \leftarrow \mathbf{r} - (\mathbf{G}[:, i_{\text{max}}] \, \tilde{\mathbf{p}}[i_{\text{max}}]) ;
11
          Define the new r_{\text{max}} in r;
12
13
          m \leftarrow m + 1;
14 end
```

207 **2.4 Row update**

208 Mendonça and Silva (1994) proposes an algebraic reconstruction technique (ART) (e.g., van der Sluis 209 and van der Vorst, 1987, p. 58) to estimate a parameter vector $\tilde{\mathbf{p}}$ for a regular grid of P equivalent sources on a horizontal plane z_0 . Such methods iterate on the linear system rows to estimate corrections for the 210 211 parameter vector, which may substantially save computer time and memory required to compute and store 212 the full linear system matrix along the iterations. The convergence of such row-update methods depends on the linear system condition. The main advantage of such methods is not computing and storing the full 213 214 linear system matrix, but iteratively using its rows. The particular ART method proposed by Mendonça and Silva (1994) considers that 215

$$\mathbf{d} = \begin{bmatrix} \mathbf{d}_e \\ \mathbf{d}_r \end{bmatrix} , \quad \mathbf{G} = \begin{bmatrix} \mathbf{G}_e \\ \mathbf{R}_r \end{bmatrix} , \tag{29}$$

where \mathbf{d}_e and \mathbf{d}_r are $D_e \times 1$ and $D_r \times 1$ vectors and \mathbf{G}_e and \mathbf{G}_r are $D_e \times P$ and $D_r \times P$ matrices, respectively. Mendonça and Silva (1994) designate \mathbf{d}_e and \mathbf{d}_r as, respectively, equivalent and redundant data. With the exception of a normalization strategy, Mendonça and Silva (1994) calculate a $P \times 1$ estimated parameter vector $\tilde{\mathbf{p}}$ by solving an underdetermined problem (equation 23) involving only the equivalent data \mathbf{d}_e (equation 29) for the particular case in which $\mathbf{H} = \mathbf{W}_p = \mathbf{I}_P$ (equations 9 and 13), $\mathbf{W}_d = \mathbf{I}_{D_e}$ (equation 12) and $\bar{p} = \mathbf{0}$ (equation 14), which results in

$$(\mathbf{F} + \mu \mathbf{I}_{D_e}) \mathbf{u} = \mathbf{d}_e \tilde{\mathbf{p}} = \mathbf{G}_e^{\top} \mathbf{u} ,$$
(30)

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229 230

where \mathbf{F} is a $P \times P$ matrix that replaces $\mathbf{G}_e \mathbf{G}_e^{\top}$. Mendonça and Silva (1994) presume that the estimated parameter vector $\tilde{\mathbf{p}}$ obtained from equation 30 leads to a $D_r \times 1$ residuals vector

$$\mathbf{r} = \mathbf{d}_r - \mathbf{G}_r \tilde{\mathbf{p}} \tag{31}$$

224 having a maximum absolute value $r_{\text{max}} \leq \epsilon$, where ϵ is a predefined tolerance.

The overall method of Mendonça and Silva (1994) is defined by Algorithm 4. It is important noting that the number D_e of equivalent data in \mathbf{d}_e increases by one per iteration, which means that the order of the linear system in equation 30 also increases by one at each iteration. Those authors also propose a computational strategy based on Cholesky factorization (e.g., Golub and Van Loan, 2013, p. 163) for efficiently updating $(\mathbf{F} + \mu \mathbf{I}_{D_e})$ at a given iteration (line 16 in Algorithm 4) by computing only its new elements with respect to those computed in the previous iteration.

Algorithm 4: Generic pseudo-code for the method proposed by Mendonça and Silva (1994).

```
Initialization:
 1 Set a regular grid of P equivalent sources at a horizontal plane z_0;
 2 Set a tolerance \epsilon;
 3 Set a D \times 1 residuals vector \mathbf{r} = \mathbf{d};
 4 Define the maximum absolute value r_{\text{max}} in \mathbf{r};
 5 Define the index i_{max} of r_{max};
 6 Define the list of indices i_r of the remaining data in r;
 7 Define \mathbf{d}_e = \mathbf{d}[i_{\max}];
 8 Compute (\mathbf{F} + \mu \mathbf{I}_{D_e}) and \mathbf{G}_e;
   Compute \tilde{\mathbf{p}} (equation 30);
10 Compute \mathbf{r} = \mathbf{d}[\mathbf{i}_r] - \mathbf{G}[\mathbf{i}_r,:] \tilde{\mathbf{p}};
11 Define the maximum absolute value r_{\text{max}} in \mathbf{r};
    while (r_{\text{max}} > \epsilon) do
          Define the index i_{max} of r_{max};
13
          Define the list of indices i_r of the remaining elements in r;
14
          \mathbf{d}_e \leftarrow egin{bmatrix} \mathbf{d}_e \ \mathbf{d}[i_{	exttt{max}}] \end{bmatrix} ;
15
          Update (\mathbf{F} + \mu \mathbf{I}_{D_e}) and \mathbf{G}_e;
16
          Update \tilde{\mathbf{p}} (equation 30);
17
          Update \mathbf{r} = \mathbf{d}[\mathbf{i}_r] - \mathbf{G}[\mathbf{i}_r,:] \tilde{\mathbf{p}};
18
          Define the maximum absolute value r_{\text{max}} in r;
19
20 end
```

2.5 Reparameterization

232 Another approach for improving the computational performance of equivalent-layer technique consists in setting a $P \times Q$ reparameterization matrix H (equation 9) with $Q \ll P$. This strategy has been used 233 in applied geophysics for decades (e.g., Skilling and Bryan, 1984; Kennett et al., 1988; Oldenburg et al., 234 1993; Barbosa et al., 1997) and is known as *subspace method*. The main idea relies in reducing the linear 235 system dimension from the original P-space to a lower-dimensional subspace (the Q-space). An estimate 236 $\tilde{\mathbf{q}}$ for the reparameterized parameter vector \mathbf{q} is obtained in the Q-space and subsequently used to obtain 237 an estimate \tilde{p} for the parameter vector p (equation 3) in the P-space by using equation 9. Hence, the key 238 aspect of this reparameterization approach is solving an appreciably smaller linear inverse problem for $\tilde{\mathbf{q}}$ 239 than that for the original parameter vector $\tilde{\mathbf{p}}$ (equation 3). 240

241 Oliveira Jr. et al. (2013) have used this approach to describe the physical property distribution on the 242 equivalent layer in terms of piecewise bivariate polynomials. Specifically, their method consists in splitting a regular grid of equivalent sources into source windows inside which the physical-property distribution 243 is described by bivariate polynomial functions. The key aspect of their method relies on the fact that the 244 245 total number of coefficients required to define the bivariate polynomials is considerably smaller than the original number of equivalent sources. Hence, they formulate a linear inverse problem for estimating the 246 polynomial coefficients and use them later to compute the physical property distribution on the equivalent 247 layer. 248

The method proposed by Oliveira Jr. et al. (2013) consists in solving an overdetermined problem (equation 220) for estimating the polynomial coefficients $\tilde{\bf q}$ with ${\bf W}_d={\bf I}_D$ (equation 12) and $\bar q={\bf 0}$ (equation 14), so that

$$\left(\mathbf{H}^{\top}\mathbf{G}^{\top}\mathbf{G}\,\mathbf{H} + \mu\,\mathbf{W}_{q}\right)\tilde{\mathbf{q}} = \mathbf{H}^{\top}\mathbf{G}^{\top}\,\mathbf{d}\,,\tag{32}$$

where $\mathbf{W}_q = \mathbf{H}^{\top} \mathbf{W}_p \mathbf{H}$ is defined by a matrix \mathbf{W}_p representing the zeroth- and first-order Tikhonov regularization (e.g., Aster et al., 2019, p. 103). Note that, in this case, the prior information is defined in the P-space for the original parameter vector \mathbf{p} and then transformed to the Q-space. Another characteristic of their method is that it is valid for processing irregularly-spaced data on an undulating surface.

Mendonça (2020) also proposed a reparameterization approach for the equivalent-layer technique. Their approach, however, consists in setting ${\bf H}$ as a truncated singular value decomposition (SVD) (e.g., Aster et al., 2019, p. 55) of the observed potential field. Differently from Oliveira Jr. et al. (2013), however, the method of Mendonça (2020) requires a regular grid of potential-field data on horizontal plane. Another difference is that these authors uses ${\bf W}_q = {\bf I}_Q$ (equation 13), which means that the regularization is defined directly in the Q-space.

262 Before Oliveira Jr. et al. (2013) and Mendonça (2020), Barnes and Lumley (2011) also proposed a 263 computationally efficient method for equivalent-layer technique based on reparameterization. A key difference, however, is that Barnes and Lumley (2011) did not set a $P \times Q$ reparameterization matrix H 264 265 (equation 9) with $Q \ll P$. Instead, they used a matrix **H** with $Q \approx 1.7 P$. Their central idea is setting a 266 reparameterization scheme that groups distant equivalent sources into blocks by using a bisection process. This scheme leads to a quadtree representation of the physical-property distribution on the equivalent layer, 267 268 so that matrix GH (equation 10) is notably sparse. Barnes and Lumley (2011) explore this sparsity in 269 solving the overdetermined problem for \tilde{q} (equation 32) via conjugate-gradient method (e.g., Golub and Van Loan, 2013, sec. 11.3). 270

2.6 Wavelet compression

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Previously to Barnes and Lumley (2011), the idea of transforming the dense matrix G (equation 3) into a 272 sparse one has already been used in the context of equivalent-layer technique. Li and Oldenburg (2010) 273 proposed a method that applies the discrete wavelet transform to introduce sparsity into the original dense 274 275 matrix G. Those authors approximate a planar grid of potential-field data by a regularly-spaced grid of equivalent sources, so that the number of data D and sources P is the same, i.e., D = P. Specifically, Li 276 277 and Oldenburg (2010) proposed a method that applies the wavelet transform to the original dense matrix G 278 and sets to zero the small coefficients that are below a given threshold, which results in an approximating sparse representation of G in the wavelet domain. They first consider the following approximation 279

$$\mathbf{d}_w \approx \mathbf{G}_s \, \mathbf{p}_w \,, \tag{33}$$

280 where

$$\mathbf{d}_w = \mathbf{W} \, \mathbf{d} \,, \quad \mathbf{p}_w = \mathbf{W} \, \mathbf{p} \,, \tag{34}$$

are the observed data and parameter vector in the wavelet domain; W is a $D \times D$ orthogonal matrix defining a discrete wavelet transform; and G_s is a sparse matrix obtained by setting to zero the elements of

$$G_w = \mathcal{W} G \mathcal{W} \tag{35}$$

- 283 with absolute value smaller than a given threshold.
- 284 Li and Oldenburg (2010) apply the normalization strategy defined in section 1.3.1 to equation 33 by using
- a diagonal normalization matrix N (equation 24) and then formulate an overdetermined problem (equation
- 286 22) with $\mathbf{H} = \mathbf{I}_P$ (equation 9), $\mu = 0$ (equation 11), $\mathbf{W}_d = \mathbf{I}_D$ (equation 12) and $\bar{p} = \mathbf{0}$ (equation 14) so
- 287 that

$$\left(\mathbf{G}_n^{\top}\mathbf{G}_n\right)\tilde{\mathbf{p}}_n = \mathbf{G}_n^{\top}\mathbf{d}_w , \qquad (36)$$

- 288 where G_n and \tilde{p}_n are defined according to equation 24 in terms of the sparse matrix G_s (equation 33).
- 289 They solve this linear system (equation 36) with the conjugate-gradient method (e.g., Golub and Van Loan,
- 290 2013, sec. 11.3) and use it to obtain an estimate \tilde{p} for the parameter vector given by

$$\tilde{\mathbf{p}} = \mathbf{W}^{\top} \left(\mathbf{N} \, \tilde{\mathbf{p}}_n \right) \,, \tag{37}$$

- 291 where the term within parentheses removes the normalization (equation 25) and matrix \mathbf{W}^{\top} applies an
- 292 inverse wavelet transform.

293 2.7 Iterative methods using the full matrix G

- In the context of equivalent-layer technique, there are methods (e.g., Li and Oldenburg, 2010; Barnes
- and Lumley, 2011) that modify the original linear system and then iteratively solve this modified system
- by using the well-established conjugate gradient method (e.g., Golub and Van Loan, 2013, sec. 11.3).
- 297 Xia and Sprowl (1991) also proposed an iterative method for estimating a parameter vector $\tilde{\mathbf{p}}$ (equation
- 298 3). Their method, however, uses the original matrix G without previously computing a compression
- 299 or reparameterization, for example. More than two decades later, Siqueira et al. (2017) have deduced
- 300 essentially the same method presented by Xia and Sprowl (1991), but by following a more theoretical
- 301 approach based on the Gauss' theorem (e.g., Kellogg, 1967, p. 43) and the total excess of mass (e.g.,
- 302 Blakely, 1996, p. 60). Besides, Siqueira et al. (2017) have shown that this method produces very stable
- 303 solutions, even for noise-corrupted potential-field data.
- The iterative method proposed by Xia and Sprowl (1991) and Siqueira et al. (2017) is outlined in
- 305 Algorithm 5, presumes an equivalent layer formed by monopoles (point masses) and can be applied to
- 306 irregularly-spaced data on an undulating surface. Note that the residuals r are used to compute a correction
- 307 Δp for the parameter vector at each iteration (line 11), which requires a matrix-vector product involving
- 308 the full matrix G. Interestingly, this approach for estimating the physical property distribution on an
- 309 equivalent layer is the same originally proposed by Bott (1960) for estimating the basement relief under
- 310 sedimentary basins. The method of Xia and Sprowl (1991) and Siqueira et al. (2017) was originally
- sedimentary cusins. The inclined of the and Spicori (1991) and Siquetia et al. (2017) was originarly
- 311 proposed for processing gravity data, but can be potentially applied to any harmonic function because it
- 312 actually represents an iterative solution of the classical Dirichlet's problem or the first boundary value
- 313 problem of potential theory (Kellogg, 1967, p. 236) on a plane.

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314 Recently, Jirigalatu and Ebbing (2019) presented another iterative method for estimating a parameter vector $\tilde{\mathbf{p}}$ (equation 3). With the purpose of combining different potential-field data, their method basically 315 modifies that shown in Algorithm 5 by changing the initial approximation and the iterative correction for 316 the parameter vector. Specifically, Jirigalatu and Ebbing (2019) replace line 3 by $\tilde{\mathbf{p}} = \mathbf{0}$, where $\mathbf{0}$ is a vector 317 of zeros, and line 8 by $\Delta p = \omega G^{T} r$, where ω is a positive scalar defined by trial and error. Note that 318 this modified approach requires two matrix-vector products involving the full matrix G per iteration. To 319 overcome the high computational cost of these two products, Jirigalatu and Ebbing (2019) set an equivalent 320 layer formed by prisms and compute their predicted potential field in the wavenumber domain by using the 321 322 Gauss-FFT technique Zhao et al. (2018).

INCLUIMOS Xia et al. (1993) - FOURIER - OU NÃO?

Algorithm 5: Generic pseudo-code for the iterative methods proposed by Xia and Sprowl (1991) and Siqueira et al. (2017). The symbol "o" denotes the entrywise or Hadamard product (e.g., Horn and Johnson, 1991, p. 298) and s is a $P \times 1$ vector whose j-th element is a predefined element of area centered at the j-th equivalent source.

```
Initialization:
     Set P equivalent sources on a horizontal plane z_0;
 2 Set the auxiliary vector s;
 3 Set a tolerance \epsilon;
 4 Compute \tilde{\mathbf{p}} = \mathbf{s} \circ \mathbf{d};
 5 Compute G:
 6 Compute \mathbf{r} = \mathbf{d} - \mathbf{G}\,\tilde{\mathbf{p}};
    Compute \|\mathbf{r}\|;
     while (\|\mathbf{r}\| > \epsilon) do
             \Delta \mathbf{p} = \mathbf{s} \circ \mathbf{r};
            \tilde{\mathbf{p}} \leftarrow \tilde{\mathbf{p}} + \Delta \mathbf{p};
10
            \mathbf{r} \leftarrow \mathbf{r} - \mathbf{G} \, \mathbf{\Delta} \mathbf{p};
            Compute \|\mathbf{r}\|;
12
13 end
```

324 2.8 Discrete convolution

The conjugate gradient (CG) is a very popular iterative method for solving linear systems. This method was originally developed to solve systems having a square and positive definite matrix. There are two adapted versions of the CG method. The first is called *conjugate gradient normal equation residual* (CGNR) Golub and Van Loan (2013, sec. 11.3) or *conjugate gradient least squares* (CGLS) (Aster et al., 2019, p. 165) and is used to solve overdetermined problem (equation 22). The second is called *conjugate gradient normal equation error* (CGNE) method Golub and Van Loan (2013, sec. 11.3) and is used to solve the underdetermined problem (equation 23).

332 Takahashi et al. (2020)

333 Takahashi et al. (2022)

TEXTO ANTIGO 3

- The wavelet compression and lower-dimensional subspace 334 3.0.1
- 335 For large data sets, the sensitivity matrix A (equation 3) is a drawback in applying the equivalent-layer technique because it is a large and dense matrix. 336
- ? transformed a large and full sensitivity matrix into a sparse one by using fast wavelet transforms. In 337 the wavelet domain, ? applyied a 2D wavelet transform to each row and column of the original sensitivity 338 matrix A to expand it in the wavelet bases. This operation can be done by premultiplying the original 339 sensitivity matrix A by a matrix representing the 2D wavelet transform W_2 and then the resulting is 340
- postmultiplied by the transpose of W_2 (i.e., W_2^{\top}). 341

$$\tilde{\mathbf{A}} = \mathbf{W_2} \, \mathbf{A} \, \mathbf{W_2}^{\top} \,, \tag{38}$$

where $\tilde{\mathbf{A}}$ is the expanded original sensitivity matrix in the wavelet bases with many elements zero or close 342 to zero. Next, the matrix $\hat{\bf A}$ is replaced by its sparse version $\hat{\bf A}_{\rm s}$ in the wavelet domain which in turn 343 344 is obtained by retaining only the large elements of the A. Thus, the elements of A whose amplitudes 345 fall below a relative threshold are discarded. In ?, the original sensitivity matrix A is high compressed resulting in a sparce matrix \hat{A}_s with a few percent of nonzero elements and the the inverse problem is 346 solved in the wavelet domain by using A_s and a incomplete conjugate gradient least squares, without an 347 explicit regularization parameter and a limited number of iterations. The solution is obtained by solving the 348 349 following linear system

$$\tilde{\mathbf{A}}_{\mathbf{L}}^{\top} \tilde{\mathbf{A}}_{\mathbf{L}} \tilde{\mathbf{p}}_{\mathbf{L}}^{*} = \tilde{\mathbf{A}}_{\mathbf{L}}^{\top} \tilde{\mathbf{d}}^{o} , \qquad (39)$$

where $\tilde{\mathbf{p}}_{\mathbf{L}}^*$ is obtained by solving the linear system given by equation 39,

$$\tilde{\mathbf{A}}_{\mathbf{L}} = \tilde{\mathbf{A}}_{\mathbf{s}} \tilde{\mathbf{L}}^{-1}, \tag{40a}$$

$$\tilde{\mathbf{p}}_{\mathbf{L}} = \tilde{\mathbf{L}}\tilde{\mathbf{p}}, \tag{40b}$$

$$\tilde{\mathbf{d}}^{o} = \mathbf{W}_{2} \mathbf{d}^{o}, \tag{40c}$$

$$\tilde{\mathbf{d}}^o = \mathbf{W_2} \, \mathbf{d}^o, \tag{40c}$$

351 where L is a diagonal and invertible weighting matrix representing the finite-difference approximation in 352 the wavelet domain. Finally, the distribution over the equivalent layer in the space domain p is obtained by applying an inverse wavelet transform in two steps, i.e.: 353

$$\tilde{\mathbf{p}} = \tilde{\mathbf{L}}^{-1} \, \tilde{\mathbf{p}}_{\mathbf{L}}^* \,, \tag{41}$$

and 354

$$\mathbf{p} = \mathbf{W_2} \, \tilde{\mathbf{p}} \,. \tag{42}$$

- Although the data misfit quantifying the difference between the observed and predicted data by the 355 equivalent source is calculated in the wavelet domain, we understand that the desired transformation is 356 calculated via equation ?? which uses a full matrix of Green's functions T. 357
- ? used the equivalent-layer technique with a wavelet compression to perform an upward continuation 358 of total-field anomaly between uneven surfaces. For regularly spaced grid of data, ? reported that high 359 compression ratios are achived with insignificant loss of accuracy. As compared to the upward-continued 360 total-field anomaly by equivalent layer using the dense matrix, ?'s (?) approach, using the Daubechies 361 wavelet, decreased CPU (central processing unit) time by up to two orders of magnitude. 362

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? overcame the solution of intractable large-scale equivalent-layer problem by using the subspace method 363 (e.g., Skilling and Bryan, 1984; Kennett et al., 1988; Oldenburg et al., 1993; Barbosa et al., 1997). The 364 subspace method reduces the dimension of the linear system of equations to be solved. Given a higher-365 dimensional space (e.g., M-dimensional model space, \mathbb{R}^M), there exists many lower-dimensional subspaces 366 (e.g., Q-dimensional subspace) of \mathbb{R}^M . The linear inverse problem related to the equivalent-layer technique 367 consists in finding an M-dimension parameter vector $\mathbf{p} \in \mathbb{R}^M$ which adequately fits the potential-field 368 data. The subspace method looks for a parameter vector who lies in a Q-dimensional subspace of \mathbb{R}^M 369 which, in turn, is spanned by a set of Q vectors $\mathbf{v}_i = 1, ..., Q$, where $\mathbf{v}_i \in \mathbb{R}^M$ In matrix notation, the 370 parameter vector in the subspace method can be written as

$$p = V \alpha$$
, (43)

where V is an $M \times Q$ matrix whose columns $\mathbf{v}_i = 1, ..., Q$ form a basis vectors for a subspace Q of \mathbb{R}^M . In equation 43, the parameter vector **p** is defined as a linear combination in the space spanned by Q basis 373 vectors $\mathbf{v}_i = 1, ..., Q$ and $\boldsymbol{\alpha}$ is a Q-dimensional unknown vector to be determined. The main advantage of 374 the subspace method is that the linear system of M equations in M unknowns to be originally solved is 375 reduced to a new linear system of Q equations in Q unknowns which requires much less computational 376 effort since $Q \ll M$, i.e.: 377

$$\mathbf{V}^{\top} \mathbf{A}^{\top} \mathbf{A} \mathbf{V} \alpha^{*} = \mathbf{V}^{\top} \mathbf{d}^{o}. \tag{44}$$

To avoid the storage of matrices A and V,? evaluates an element of the matrix AV by calculating the dot 378 product between the row of matrix A and the column of the matrix B. After estimating α^* (equation 44) 379 belonging to a Q-dimensional subspace of \mathbb{R}^M , the distribution over the equivalent layer p in the \mathbb{R}^M is 380 obtained by applying equation 43. The choice of the Q basis vectors $\mathbf{v}_i = 1, ..., Q$ (equation 43) in the 381 subspace method is not strict. ?, for example, chose the eigenvectors yielded by applying the singular value 382 decomposition of the matrix containing the gridded data set. The number of eigenvectors used to form 383 basis vectors will depend on the singular values. 384

385 The proposed subspace method for solving large-scale equivalent-layer problem by ? was applied to 386 estimate the mass excess or deficiency caused by causative gravity sources.

3.0.2 The quadtree discretization 387

To make the equivalent-layer technique tractable, ? also transformed the dense sensitivity matrix A (equation 3) into a sparse matrix. In ?, a sparce version of the sensitivity matrix is achived by grouping equivalent sources (e.g., they used prisms) distant from an observation point together to form a larger prism or larger block. Each larger block has averaged physical properties and averaged top- and bottom-surfaces of the grouped smaller prisms (equivalent sources) that are encompassed by the larger block. The authors 392 called it the 'larger averaged block' and the essence of their method is the reduction in the number of equivalent sources, which means a reduction in the number of parameters to be estimated implying in model dimension reduction.

395

The key of the ?'s (?) method is the algorithm for deciding how to group the smaller prisms. In 396 practice, these authors used a recursive bisection process that results in a quadtree discretization of the 397 equivalent-layer model. 398

By using the quadtree discretization, ? were able to jointly process multiple components of airborne gravity-gradient data using a single layer of equivalent sources. To our knowledge, ? are the pioneers on processing full-tensor gravity-gradient data jointly. In addition to computational feasibility, ?'s (?) method

reduces low-frequency noise and can also remove the drift in time-domain from the survey data. Those 403 authors stressed that the G_{zz} -component calculated through the single estimated equivalent-layer model projected on a grid at a constant elevation by inverting full gravity-gradient data has the low-frequency error 404 reduced by a factor of 2.4 as compared to the inversion of an individual component of the gravity-gradient 405 406 data.

The reparametrization of the equivalent layer 407 3.0.3

Oliveira Jr. et al. (2013) reparametrized the whole equivalent-layer model by a piecewise bivariate-408 polynomial function defined on a set of Q equivalent-source windows. In Oliveira Jr. et al.'s (2013) 409 approach, named polynomial equivalent layer (PEL), the parameter vector within the kth equivalent-source 410 411

window \mathbf{p}^k can be written in matrix notation as

$$\mathbf{p}^k = \mathbf{B}^k \, \mathbf{c}^k \,, \qquad k = 1 \dots Q \,, \tag{45}$$

where \mathbf{p}^k is an M_w -dimensional vector containing the physical-property distribution within the kth equivalent-source window, c^k is a P-dimensional vector whose lth element is the lth coefficient of the α th-order polynomial function and \mathbf{B}^k is an $M_w \times P$ matrix containing the first-order derivative of the α th-order polynomial function with respect to one of the P coefficients. 415

By using a regularized potential-field inversion, Oliveira Jr. et al. (2013) estimates the polynomial 416 coefficients for each equivalent-source window by solving the following linear system 417

$$\left(\mathbf{B}^{\top} \mathbf{A}^{\top} \mathbf{A} \mathbf{B} + \mu \mathbf{I}\right) \mathbf{c}^{*} = \mathbf{B}^{\top} \mathbf{A}^{\top} \mathbf{d}^{o}, \tag{46}$$

where μ is a regularizing parameter, \mathbf{c}^* is an estimated H-dimensional vector containing all coefficients describing all polynomial functions within all equivalent-source windows which compose the entire 419 equivalent layer, I is an identity matrix of order $H(H = P\dot{Q})$ and B is an $M \times H$ block diagonal matrix 420 such that the main-diagonal blocks are \mathbf{B}^k matrices (equation 45) and all off-diagonal blocks are zero 421 422 matrices. For ease of the explanation of equation 46, we keep only the zeroth-order Tikhonov regularization and omitting the first-order Tikhonov regularization (?) which was also used by Oliveira Jr. et al. (2013). 423

424 The main advantage of the PEL is solve H-dimensional system of equations (equation 46), where Htotalizes the number of polynomial coefficients composing all equivalent-source windows, requiring a 425 lower computational effort since $H \ll N$. To avoid the storage of matrices A and B, Oliveira Jr. et al. 426 427 (2013) evaluate an element of the matrix AB by calculating the dot product between the row of matrix A 428 and the column of the matrix B. After estimating all polynomial coefficients of all windows, the estimated 429 coefficients (c* in equation 46) are transformed into a single physical-property distribution encompassing the entire equivalent layer. 430

431 As stated by Oliveira Jr. et al. (2013), the computational efficiency of PEL approach stems from the fact that the total number of polynomial coefficients H required to depict the physical-property distribution 432 433 within the equivalent layer is generally much smaller than the number of equivalent sources. Consequently, 434 this leads to a considerably smaller linear system that needs to be solved. Hence, the main strategy of 435 polynomial equivalent layer is the model dimension reduction.

436 The polynomial equivalent layer was applied to perform upward continuations of gravity and magnetic data and reduction to the pole of magnetic data. 437

438 3.0.4 The iterative scheme without solving a linear system

- There exists a class of methods that iteratively estimate the distribution of physical properties within an 439 equivalent layer without the need to solve linear systems. The method initially introduced by Cordell (1992) 440 and later expanded upon by Guspí and Novara (2009) updates the physical property of sources, located 441 beneath each potential-field data, by removing the maximum residual between the observed and fitted data. 442 In addition, Xia and Sprowl (1991) and Xia et al. (1993) have developed efficient iterative algorithms for 443 updating the distribution of physical properties within the equivalent layer in the wavenumber and space 444 domains, respectively. Specifically, in Xia and Sprowl's (1991) method the physical-property distribution is 445 updated by using the ratio between the squared depth to the equivalent source and the gravitational constant 446 multiplied by the residual between the observed and predicted observation at the measurement station. 447
- 448 Neither of these methods solve linear systems.
- Following this class of methods of iterative equivalent-layer technique that does not solve linear systems, Siqueira et al. (2017) developed a fast iterative equivalent-layer technique for processing gravity data in which the sensitivity matrix A (equation 3) is replaced by a diagonal matrix $N \times N$, i.e.:

$$\tilde{\tilde{\mathbf{A}}} = 2 \,\pi \,\gamma \,\Delta \mathbf{S}^{-1} \,, \tag{47}$$

- where γ is Newton's gravitational constant and ΔS^{-1} is a diagonal matrix of order N whose diagonal elements Δs_i , i=1,...,N are the element of area centered at the ith horizontal coordinates of the ith observation point. The physical foundations of Siqueira et al.'s (2017) method rely on two constraints: i) the excess of mass; and ii) the positive correlation between the gravity observations and the mass distribution over the equivalent layer.
- Although Siqueira et al.'s (2017) method does not solve any linear system of equations, it can be theoretically explained by solving the following linear system at the kth iteration:

$$\tilde{\tilde{\mathbf{A}}}^{\top} \tilde{\tilde{\mathbf{A}}} \Delta \hat{\mathbf{p}}^k = \tilde{\tilde{\mathbf{A}}}^{\top} \mathbf{r}^k, \tag{48}$$

where \mathbf{r}^k is an N-dimensional residual vector whose ith element is calculated by subtracting the ith observed data d_i^o from the ith fitted data d_i^k at the kth iteration, i.e.,

$$r_i^k = d_i^o - d_i^k . (49)$$

461 and $\Delta \hat{\mathbf{p}}^k$ is an estimated N-dimensional vector of parameter correction.

Because $\tilde{\mathbf{A}}$, in equation 48, is a diagonal matrix (equation 47), the parameter correction estimate is directly calculated without solving system of linear equations, and thus, an *i*th element of $\Delta \hat{\mathbf{p}}^k$ is directly calculated by

$$\Delta \hat{p}^k_{\ i} = \frac{\Delta s_i \ r_i^k}{2 \ \pi \ \gamma} \ . \tag{50}$$

465 The mass distribution over the equivalent layer is updated by:

$$\hat{p}_i^{k+1} = \hat{p}_i^k + \Delta \hat{p}_i^k. \tag{51}$$

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Siqueira et al.'s (2017) method starts from a mass distribution on the equivalent layer, whose *i*th mass p_i^o is proportional to the *i*th observed data d_i^o , i.e.,

$$p_i^o = \frac{\Delta s_i \, d_i^o}{2 \, \pi \, \gamma} \,. \tag{52}$$

Siqueira et al. (2017) applied their fast iterative equivalent-layer technique to interpolate, calculate the horizontal components, and continue upward (or downward) gravity data.

For jointly process two gravity gradient components, Jirigalatu and Ebbing (2019) used the Gauss-FFT for forward calculation of potential fields in the wavenumber domain combined with Landweber's iteration coupled with a mask matrix M to reduce the edge effects without increasing the computation cost. The mask matrix M is defined in the following way: if the corresponding pixel does not contain the original data, the element of M is set to zero; otherwise, it is set to one. The *k*th Landweber iteration is given by

$$\mathbf{p}_{k+1} = \mathbf{p}_k + \omega \left[\mathbf{A_1}^{\top} (\mathbf{d_1} - \mathbf{M} \mathbf{A_1} \mathbf{p}_k) + \mathbf{A_2}^{\top} (\mathbf{d_2} - \mathbf{M} \mathbf{A_2} \mathbf{p}_k) \right],$$
 (53)

where ω is a relaxation factor, d_1 and d_2 are the two gravity gradient components and A_1 and A_2 are the corresponding gravity gradient kernels. Jirigalatu and Ebbing (2019) applied their method for processing two horizontal curvature components of Falcon airborne gravity gradient.

478 3.0.5 The convolutional equivalent layer with BTTB matrices

? (?, ?) introduced the convolutional equivalent layer for gravimetric and magnetic data processing, respectively.

481 ? demonstrated that the sensitivity matrix A (equation 3) associated with a planar equivalent layer formed by a set of point masses, each one directly beneath each observation point and considering a regular grid of 482 observation points at a constant height has a symmetric block-Toeplitz Toeplitz-block (BTTB) structure. A 483 484 symmetric BTTB matrix has, at least, two attractive properties. The first one is that it can be defined by using only the elements forming its first column (or row). The second attractive property is that any BTTB 485 matrix can be embedded into a symmetric Block-Circulat Circulant-Block (BCCB) matrix. This means that 486 the full sensitivity matrix A (equation 3) can be completely reconstruct by using the first column of the 487 BCCB matrix only. In what follows, ? computed the forward modeling by using only a single equivalent 488 source. Specifically, it is done by calculating the eigenvalues of the BCCB matrix that can be efficiently 489 computed by using only the first column of the BCCB matrix via 2D fast Fourier transform (2D FFT). 490 By comparing with the classic approach in the Fourier domain, the convolutional equivalent layer for 491 gravimetric data processing proposed by ? performed upward- and downward-continue gravity data with a 492 very small border effects and noise amplification. 493

By using the original idea of the convolutional equivalent layer proposed by ? for gravimetric data processing, ? developed the convolutional equivalent layer for magnetic data processing. By assuming a regularly spaced grid of magnetic data at a constant height and a planar equivalent layer of dipoles, ? proved that the sensitivity matrix linked with this layer possess a BTTB structure in the specific scenario where each dipole is exactly beneath each observed magnetic data point. ? used a conjugate gradient least-squares (CGLS) algorithm which does not require an inverse matrix or matrix-matrix multiplication. Rather, it only requires matrix-vector multiplications per iteration, which can be effectively computed using the 2D FFT as a discrete convolution. The matrix-vector product only uses the elements that constitute the

- first column of the associated BTTB matrix, resulting in computational time and memory savings. ? (?)
- 503 showed the robustness of the convolutional equivalent layer in processing magnetic survey that violates the
- 504 requirement of regular grids in the horizontal directions and flat observation surfaces.
- The matrix-vector product in ?(?,?) (e.g., d = Ap, such as in equation 3) is the main issue to be solved.
- 506 To solve it efficiently, these authors involked the auxiliary linear system

$$\mathbf{w} = \mathbf{C}\mathbf{v} \,, \tag{54}$$

- 507 where w and v are, respectively, vectors of data and parameters completed by zeros and C is a BCCB
- 508 matrix formed by $2Q \times 2Q$ blocks, where each block C_q , $q = 0, \dots, Q 1$, is a $2P \times 2P$ circulant matrix.
- 509 The first column of C is obtained by rearranging the first column of the sensitivity matrix A (equation 3).
- 510 Because a BCCB matrix is diagonalized by the 2D unitary discrete Fourier transform (DFT), C can be
- 511 written as

$$\mathbf{C} = (\mathbf{F}_{2Q} \otimes \mathbf{F}_{2P})^* \mathbf{\Lambda} (\mathbf{F}_{2Q} \otimes \mathbf{F}_{2P}) , \qquad (55)$$

- where the symbol " \otimes " denotes the Kronecker product (?), \mathbf{F}_{2Q} and \mathbf{F}_{2P} are the $2Q \times 2Q$ and $2P \times 2P$
- 513 unitary DFT matrices (?, p. 31), respectively, the superscritpt "*" denotes the complex conjugate and Λ is
- 514 a $4QP \times 4QP$ diagonal matrix containing the eigenvalues of C. Due to the diagonalization of the matrix
- 515 C, the auxiliary system (equation 54) can be rewritten by using equation 55 and premultiplying both sides
- 516 of the result by $(\mathbf{F}_{2Q} \otimes \mathbf{F}_{2P})$, i.e.,

$$\Lambda \left(\mathbf{F}_{2Q} \otimes \mathbf{F}_{2P} \right) \mathbf{v} = \left(\mathbf{F}_{2Q} \otimes \mathbf{F}_{2P} \right) \mathbf{w} . \tag{56}$$

- 517 By applying the vec-operator (?) to both sides of equation 56, by premultiplying both sides of the result by
- 518 \mathbf{F}_{2O}^* and then postmultiplying both sides of the result by \mathbf{F}_{2P}^*

$$\mathbf{F}_{2Q}^* \left[\mathbf{L} \circ \left(\mathbf{F}_{2Q} \mathbf{V} \mathbf{F}_{2P} \right) \right] \mathbf{F}_{2P}^* = \mathbf{W} , \qquad (57)$$

- 519 where " \circ " denotes the Hadamard product (?, p. 298) and L, V and W are $2Q \times 2P$ matrices obtained
- by rearranging, along their rows, the elements forming the diagonal of matrix Λ , vector \mathbf{v} and vector \mathbf{w} ,
- 521 respectively. The left side of equation 57 contains the 2D Inverse Discrete Fourier Transform (IDFT) of the
- 522 term in brackets, which in turn represents the Hadamard product of matrix L and the 2D DFT of matrix V.
- 523 Matrix L contains the eigenvalues of Λ (equation 55) and can be efficiently computed by using only the
- 524 first column of the BCCB matrix C (equation 54).
- Actually, in ? (?, ?) a fast 2D discrete circular convolution (Van Loan, 1992) is used to process very
- 526 large gravity and magnetic datasets efficiently. The convolutional equivalent layer was applied to perform
- 527 upward continuation of large magnetic datasets. Compared to the classical Fourier approach, ?'s (?) method
- 528 produces smaller border effects without using any padding scheme.
- 529 Without taking advantage of the symmetric BTTB structure of the sensitivity matrix (?, ?) that arises
- 530 when gravimetric observations are measured on a horizontally regular grid, on a flat surface and considering
- 531 a regular grid of equivalent sources whithin a horizontal layer, ? explored the symmetry of the gravity
- 532 kernel to reduce the number of forward model evaluations. By exploting the symmetries of the gravity
- 533 kernels and redundancies in the forward model evaluations on a regular grid and combining the subspace
- 534 solution based on eigenvectors of the gridded dataset, ? estimated the mass excess or deficiency produced
- 535 by anomalous sources with positive or negative density contrast.

536 3.0.6 The deconvolutional equivalent layer with BTTB matrices

- To avoid the iterations of the conjugate gradient method in ?, we can employ the deconvolution process.
- 538 Equation 57 shows that estimate the matrix V, containing the elements of parameter vector p, is a
- 539 inverse problem that could be solved by deconvolution. From equation 57, the matrix V can be obtain by
- 540 deconvolution, i.e.

$$\mathbf{V} = \mathbf{F}_{2Q}^* \left[\frac{\left(\mathbf{F}_{2Q} \mathbf{W} \, \mathbf{F}_{2P} \right)}{\mathbf{L}} \right] \mathbf{F}_{2P}^* \,. \tag{58}$$

- 541 Equation 58 shows that the parameter vector (in matrix V) can be theoretically obtain by dividing each
- 542 potential-field observations (in matrix W) by each eigenvalues (in matrix L). Hence, the parameter vector
- 543 is constructed by element-by-element division of data by eigenvalues.
- However, the deconvolution often is extremely unstable. This means that a small change in data can lead
- 545 to an enormous change in the estimated parameter. Hence, equation 58 requires regularization to be useful.
- 546 We usede wiener deconvolution to obtain a stable solution, i.e.,

$$\mathbf{V} = \mathbf{F}_{2Q}^* \left[\left(\mathbf{F}_{2Q} \mathbf{W} \, \mathbf{F}_{2P} \right) \frac{\mathbf{L}^*}{\left(\mathbf{L} \, \mathbf{L}^* + \mu \right)} \right] \mathbf{F}_{2P}^* \,, \tag{59}$$

- 547 where the matrix L^* contains the complex conjugate eigenvalues and μ is a parameter that controls the
- 548 degree of stabilization.

549 3.1 Solution stability

- The solution stability of the equivalent-layer methods is rarely addressed. Here, we follow the numerical
- stability analysis presented in Siqueira et al. (2017).
- Let us assume noise-free potential-field data d, we estimate a physical-property distribution p (estimated
- solution) within the equivalent layer. Then, the noise-free data d are contaminated with additive D different
- sequences of pseudorandom Gaussian noise, creating different noise-corrupted potential-field data d_ℓ,
- 555 $\ell = 1, ..., D$. From each $\mathbf{d}_{\ell}^{\mathbf{o}}$, we estimate a physical-property distribution $\hat{\mathbf{p}}_{\ell}$ within the equivalent layer.
- Next, for each noise-corrupted data $\mathbf{d}_{\ell}^{\mathbf{o}}$ and estimated solution $\hat{\mathbf{p}}_{\ell}$, the ℓ th model perturbation δp_{ℓ} and the
- 557 ℓ th data perturbation δd_{ℓ} are, respectively, evaluated by

$$\delta p_{\ell} = \frac{\parallel \hat{\mathbf{p}}_{\ell} - \mathbf{p} \parallel_2}{\parallel \mathbf{p} \parallel_2}, \quad \ell = 1, ..., D,$$
 (60)

558 and

$$\delta d_{\ell} = \frac{\parallel \mathbf{d}_{\ell}^{\mathbf{o}} - \mathbf{d} \parallel_2}{\parallel \mathbf{d} \parallel_2}, \quad \ell = 1, ..., D.$$

$$(61)$$

Regardless of the particular method used, the following inequality (?, p. 66) is applicable:

$$\delta p_{\ell} \le \kappa \, \delta d_{\ell}, \quad \ell = 1, ..., D,$$
 (62)

- 560 where κ is the constant of proportionality between the model perturbation δp_{ℓ} (equation 60) and the data
- 561 perturbation δd_{ℓ} (equation 61). The constant κ acts as the condition number of an invertible matrix in a
- 562 given inversion, and thus measures the instability of the solution. The larger (smaller) the value of κ the
- 563 more unstable (stable) is the estimated solution.

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- Equation 62 shows a linear relationship between the model perturbation and the data perturbation. By plotting δp_ℓ (equation 60) against δd_ℓ (equation 61) produced by a set of D estimated solution obtained by applying a given equivalent-layer method, we obtain a straight line behaviour described by equation 62. By applying a linear regression, we obtain a fitted straight line whose estimated slope (κ in equation 62) quantifies the solution stability.
- Here, the analysis of solution stability is numerically conducted by applying the classical equivalentlayer technique with zeroth-order Tikhonov regularization, the convolutional method for gravimetric and magnetic data, the deconvolutional method (equation 58) and the deconvolutional method with different values for the Wiener stabilization (equation 59).

579

4 NUMERICAL SIMULATIONS

- 573 We investigated different computational algorithms for inverting gravity disturbances and total-field
- 574 anomalies. To test the capability of the fast equivalent-layer technique for processing that potential field
- 575 data we measure of the computational effort by counting the number of floating-point operations (*flops*),
- 576 such as additions, subtractions, multiplications, and divisions (Golub and Van Loan, 2013) for different
- 577 number of observation points, ranging from 10,000 up to 1,000,000. The results generated when using
- 578 iterative methods are set to it = 50 for the number of iterations.

4.1 Floating-point operations calculation

- To measure the computational effort of the different algorithms to solve the equivalent layer linear system,
- a non-hardware dependent method can be useful because allow us to do direct comparison between them.
- 582 Counting the floating-point operations (*flops*), i.e., additions, subtractions, multiplications and divisions
- 583 is a good way to quantify the amount of work of a given algorithm (Golub and Van Loan, 2013). For
- example, the number of *flops* necessary to multiply two vectors \mathbb{R}^N is 2N. A common matrix-vector
- multiplication with dimension $\mathbb{R}^{N\times N}$ and \mathbb{R}^N , respectively, is $2N^2$ and a multiplication of two matrices
- 586 $\mathbb{R}^{N\times N}$ is $2N^3$. Figure ?? shows the total flops count for the different methods presented in this review
- 587 with a crescent number of data, ranging from 10,000 to 1,000,000 for the gravity equivalent layer and
- 588 figure ?? for magnetic data.

589 4.1.1 Normal equations using Cholesky decomposition

- 590 The equivalent sources can be estimated directly from solving the normal equations 3. In this work we
- 591 will use the Cholesky decompositions method to calculate the necessary flops. In this method it is calculated
- 592 the lower triangule of A^TA (1/2N³), the Cholesky factor (1/3N³), a matrix-vector multiplication (2N²)
- 593 and finally solving the triangular system $(2N^2)$, totalizing

$$f_{classical} = \frac{5}{6}N^3 + 4N^2 \tag{63}$$

594 4.1.2 Window method (Leão and Silva, 1989)

- The moving data-window scheme (Leão and Silva, 1989) solve N linear systems with much smaller
- 596 sizes (equation ??). For our results we are considering a data-window of the same size of wich the authors
- 597 presented in theirs work ($N_w = 49$) and the same number of equivalent sources ($M_w = 225$). We are doing
- 598 this process for all the other techniques to standardize the resolution of our problem. Using the Cholesky
- 599 decomposition with this method the *flops* are

$$f_{window} = N\frac{5}{6}M_w N_w^2 + 4N_w M_w (64)$$

600 4.1.3 PEL method (Oliveira Jr. et al., 2013)

- The polynomial equivalent layer uses a simliar approach od moving windows from Leão and Silva (1989).
- 602 For this operations calculation (equation 46) we used a first degree polynomial (two variables) and each
- 603 window contains $N_s = 1,000$ observed data and $M_s = 1,000$ equivalent sources. Following the steps
- 604 given in (Oliveira Jr. et al., 2013) the total flops becomes

$$f_{pel} = \frac{1}{3}H^3 + 2H^2 + 2NM_sH + H^2N + 2HN + 2NP$$
 (65)

605 where H is the number of constant coefficients for the first degree polynomial (P=3) times the number 606 of windows $(P \times N/N_s)$.

- 607 4.1.4 Conjugate gradient least square (CGLS)
- The CGLS method is a very stable and fast algorithm for solving linear systems iteratively. Its computational complexity envolves a matrix-vector product outside the loop $(2N^2)$, two matrix-vector
- 610 products inside the loop $(4N^2)$ and six vector products inside the loop (12N) (?)

$$f_{cals} = 2N^2 + it(4N^2 + 12N) (66)$$

- 611 4.1.5 Wavelet compression method with CGLS (?)
- For the wavelet method (equation 39) we have calculated a coompression rate of 98% ($C_r = 0.02$)
- for the threshold as the authors used in ? and the wavelet transformation requiring $\log_2(N)$ flops each
- 614 (equations 38 and 40c), with its inverse also using the same number of operations (equation 42). Combined
- 615 with the conjugate gradient least square necessary steps and iterations, the number of *flops* are

$$f_{wavelet} = 2NC_r + 4N\log_2(N) + it(4N\log_2(N) + 4NC_r + 12C_r)$$
(67)

- 616 4.1.6 Fast equivalent layer for gravity data (Sigueira et al., 2017)
- The fast equivalent layer from Siqueira et al. (2017) solves the linear system in it iterations. The main
- 618 cost of this method (equations 48,49, 50 and 51) is the matrix-vector multiplication to asses the predicted
- 619 data $(2N^2)$ and three simply element by element vector sum, subtraction and division (3N total)

$$f_{siqueira} = it(3N + 2N^2) \tag{68}$$

- 620 4.1.7 Convolutional equivalent layer for gravity data (?)
- This methods replaces the matrix-vector multiplication of the iterative fast-equivalent technique (Siqueira
- 622 et al., 2017) by three steps, involving a Fourier transform, an inverse Fourier transform, and a Hadamard
- 623 product of matrices (equation 57). Considering that the first column of our BCCB matrix has 4N elements,
- 624 the flops count of this method is

$$f_{convgrav} = \kappa 4N \log_2(4N) + it(27N + \kappa 8N \log_2(4N)) \tag{69}$$

- In the resultant count we considered a radix-2 algorithm for the fast Fourier transform and its inverse, which has a κ equals to 5 and requires $\kappa 4N\log_2(4N)$ flops each. The Hadarmard product of two matrices of 4N elements with complex numbers takes 24N flops. Note that equation 69 is different from the one presented in ? because we also added the flops necessary to calculate the eigenvalues in this form. It does
- 629 not differentiate much in order of magnitude because the iterative part is the most costful.

630 4.1.8 Convolutional equivalent layer for magnetic data (?)

The convolutional equivalent layer for magnetic data uses the same flops count of the main operations as in the gravimetric case (equation 57), the difference is the use of the conjugate gradient algorithm to solve the inverse problem. It requires a Hadamard product outside of the iterative loop and the matrix-vector and vector-vector multiplications inside the loop as seem in equation 66.

$$f_{convmag} = \kappa 16N \log_2(4N) + 24N + it(\kappa 16N \log_2(4N) + 60N)$$
(70)

635 4.1.9 Deconvolutional method

The deconvolution method does not require an iterative algorithm, rather it solves the estimative of the physical properties in a single step using the 4N eigenvalues of the BCCB matrix as in the convolutional method. From equation 58 it is possible to deduce this method requires two fast Fourier transform ($\kappa 4N \log_2(4N)$), one for the eigenvalues and another for the data transformation, a element by element division (24N) and finally, a fast inverse Fourier transform for the final estimative ($\kappa 4N \log_2(4N)$).

$$f_{deconv} = \kappa 12N \log_2(4N) + 24N \tag{71}$$

Using the deconvolutional method with a Wiener stabilization adds two multiplications of complex elements of the conjugates eigenvalues (24N each) and the sum of 4N elements with the stabilization parameter μ as shown in equation 59

$$f_{deconvwiener} = \kappa 12N \log_2(4N) + 76N \tag{72}$$

CONFLICT OF INTEREST STATEMENT

- 644 The authors declare that the research was conducted in the absence of any commercial or financial
- relationships that could be construed as a potential conflict of interest.

AUTHOR CONTRIBUTIONS

- 646 The Author Contributions section is mandatory for all articles, including articles by sole authors. If an
- appropriate statement is not provided on submission, a standard one will be inserted during the production
- 648 process. The Author Contributions statement must describe the contributions of individual authors referred
- 649 to by their initials and, in doing so, all authors agree to be accountable for the content of the work. Please
- 650 see here for full authorship criteria.

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DATA AVAILABILITY STATEMENT

- 657 The datasets generated for this study can be found in the frontiers-paper Github repository link:
- 658 https://github.com/DiegoTaka/frontiers-paper.

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