

The computation aspects of the equivalent-layer technique: review and perspective

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1 FUNDAMENTALS

- 2 Let d be a $D \times 1$ vector, whose i-th element d_i is the observed potential field at the position (x_i, y_i, z_i) ,
- 3 $i \in \{1:D\}$, of a topocentric Cartesian system with x, y and z axes pointing to north, east and down,
- 4 respectively. Consider that d_i can be satisfactorily approximated by a harmonic function

$$f_i = \sum_{j=1}^{P} g_{ij} p_j , \quad i \in \{1 : D\} ,$$
 (1)

- 5 where, p_i represents the scalar physical property of a virtual source (i.e., monopole, dipole, prism) located
- 6 at $(x_j, y_j, z_j), j \in \{1 : P\}$ and

$$g_{ij} \equiv g(x_i - x_j, y_i - y_j, z_i - z_j), \quad z_i < \min\{z_j\}, \quad \forall i \in \{1 : D\},$$
 (2)

- 7 is a harmonic function, where $\min\{z_j\}$ denotes the minimum z_j , or the vertical coordinate of the shallowest
- 8 virtual source. These virtual sources are called equivalent sources and they form an equivalent layer. In
- 9 matrix notation, the potential field produced by all equivalent sources at all points (x_i, y_i, z_i) , $i \in \{1 : D\}$,
- 10 is given by:

$$\mathbf{f} = \mathbf{G}\mathbf{p} \,, \tag{3}$$

- 11 where \mathbf{p} is a $P \times 1$ vector with j-th element p_j representing the scalar physical property of the j-th
- 12 equivalent source and G is a $D \times P$ matrix with element g_{ij} given by equation 2.
- 13 The equivalent-layer technique consists in solving a linear inverse problem to determine a parameter
- 14 vector p leading to a predicted data vector f (equation 3) sufficiently close to the observed data vector d,
- whose i-th element d_i is the observed potential field at (x_i, y_i, z_i) . The notion of *closeness* is intrinsically
- related to the concept of vector norm (e.g., Golub and Van Loan, 2013, p. 68) or measure of length (e.g.,
- 17 Menke, 2018, p. 41). Because of that, almost all methods for determining p actually estimate a parameter
- vector $\tilde{\mathbf{p}}$ minimizing a length measure of the difference between \mathbf{f} and \mathbf{d} (see subsection 1.3). Given an
- 19 estimate \tilde{p} , it is then possible to compute a potential field transformation

$$\mathbf{t} = \mathbf{A}\tilde{\mathbf{p}} \,, \tag{4}$$

where t is a $T \times 1$ vector with k-th element t_k representing the transformed potential field at the position

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$$(x_k, y_y, z_k), k \in \{1:T\}$$
, and

$$a_{kj} \equiv a(x_k - x_j, y_k - y_j, z_k - z_j), \quad z_k < \min\{z_j\}, \quad \forall k \in \{1:T\},$$
 (5)

22 is a harmonic function representing the kj-th element of the $T \times P$ matrix A.

23 1.1 Spatial distribution and total number of equivalent sources

- There is no well-established criteria to define the optimum number P or the spatial distribution of the
- 25 equivalent sources. We know that setting an equivalent layer with more (less) sources than potential-field
- 26 data usually leads to an underdetermined (overdetermined) inverse problem (e.g., Menke, 2018, p. 52–53).
- 27 Concerning the spatial distribution of the equivalent sources, the only condition is that they must rely on a
- 28 surface that is located below and does not cross that containing the potential field data. Soler and Uieda
- 29 (2021) present a practical discussion about this topic.

From a theoretical point of view, the equivalent layer reproducing a given potential field data set cannot cross the true gravity or magnetic sources. This condition is a consequence of recognizing that the equivalent layer is essentially an indirect solution of a boundary value problem of potential theory (e.g., Roy, 1962; Zidarov, 1965; Dampney, 1969; Li et al., 2014; Reis et al., 2020). In practical applications, however, there is no guarantee that this condition is satisfied. Actually, its is widely known from practical experience (e.g., Gonzalez et al., 2022) that the equivalent-layer technique works even for the case in which the layer cross the true sources.

Regarding the depth of the equivalent layer, Dampney (1969) proposed a criterion based on horizontal data sampling, suggesting that the equivalent-layer depth should be between two and six times the horizontal grid spacing, considering evenly spaced data. However, when dealing with a survey pattern that has unevenly spaced data, Reis et al. (2020) adopted an alternative empirical criterion. According to their proposal, the depth of the equivalent layer should range from two to three times the spacing between adjacent flight lines. The criteria of Dampney (1969) and Reis et al. (2020) are valid for planar equivalent layers. Cordell (1992) have proposed and an alternative criterion for scattered data that leads to an undulating equivalent layer. This criterion have been slightly modified by Guspí et al. (2004), Guspí and Novara (2009) and Soler and Uieda (2021), for example, and consists in setting one equivalent source below each datum at a depth proportional to the horizontal distance to the nearest neighboring data points. Soler and Uieda (2021) have compared different strategies for defining the equivalent sources depth for the specific problem of interpolating gravity data, but they have not found significant differences between them.

49 **1.2 Matrix** G

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Generally, the harmonic function g_{ij} (equation 2) is defined in terms of the inverse distance between the observation point (x_i, y_i, z_i) and the j-th equivalent source at (x_j, y_j, z_j) ,

$$\frac{1}{r_{ij}} \equiv \frac{1}{\sqrt{(x_i - x_j)^2 + (y_i - y_j)^2 + (z_i - z_j)^2}},$$
(6)

52 or by its partial derivatives of first and second orders, respectively given by

$$\partial_{\alpha} \frac{1}{r_{ij}} \equiv \frac{-(\alpha_i - \alpha_j)}{r_{ij}^3} , \quad \alpha \in \{x, y, z\} , \tag{7}$$

53 and

$$\partial_{\alpha\beta} \frac{1}{r_{ij}} \equiv \begin{cases} \frac{3(\alpha_i - \alpha_j)^2}{r_{ij}^5} , & \alpha = \beta ,\\ \frac{3(\alpha_i - \alpha_j)(\beta_i - \beta_j)}{r_{ij}^5} - \frac{1}{r_{ij}^3} , & \alpha \neq \beta , \end{cases} \quad \alpha, \beta \in \{x, y, z\} . \tag{8}$$

In this case, the equivalent layer is formed by punctual sources representing monopoles or dipoles (e.g., Dampney, 1969; Emilia, 1973; Leão and Silva, 1989; Cordell, 1992; Oliveira Jr. et al., 2013; Siqueira et al., 55 2017; Reis et al., 2020; Takahashi et al., 2020; Soler and Uieda, 2021; Takahashi et al., 2022). Another 56 common approach consists in not defining g_{ij} by using equations 6–8, but other harmonic functions 57 58 obtained by integrating them over the volume of regular prisms (e.g., Li and Oldenburg, 2010; Barnes and Lumley, 2011; Li et al., 2014; Jirigalatu and Ebbing, 2019). There are also some less common approaches 59 defining the harmonic function g_{ij} (equation 2) as the potential field due to plane faces with constant physical property (Hansen and Miyazaki, 1984), doublets (Silva, 1986) or by computing the double 61 62 integration of the inverse distance function with respect to z (Guspí and Novara, 2009).

A common assumption for most of the equivalent-layer methods is that the harmonic function g_{ij} 63 (equation 2) is independent on the actual physical relationship between the observed potential field and 64 their true sources (e.g., Cordell, 1992; Guspí and Novara, 2009; Li et al., 2014). Hence, g_{ij} can be 65 defined according to the problem. The only condition imposed to this function is that it decays to zero 66 as the observation point (x_i, y_i, z_i) goes away from the position (x_j, y_j, z_j) of the j-th equivalent source. 67 However, several methods use a function g_{ij} that preserves the physical relationship between the observed 68 potential field and their true sources. For the case in which the observed potential field is gravity data, g_{ij} 69 is commonly defined as a component of the gravitational field produced at (x_i, y_i, z_i) by a point mass or 70 prism located at (x_j, y_j, z_j) , with unit density. On the other hand, g_{ij} is commonly defined as a component 71 of the magnetic induction field produced at (x_i, y_i, z_i) by a dipole or prism located at (x_i, y_i, z_i) , with unit magnetization intensity, when the observed potential field is magnetic data. 73

74 The main challenge in the equivalent-layer technique is the computational complexity associated with 75 handling large datasets. This complexity arises because the sensitivity matrix G (equation 3) is dense regardless of the harmonic function g_{ij} (equation 2) employed. In the case of scattered potential-field data, the structure of G is not well-defined, regardless of the spatial distribution of the equivalent sources. 77 However, in a specific scenario where (i) each potential-field datum is directly associated with a single 78 equivalent source located directly below it, and (ii) both the data and sources are based on planar and 79 regularly spaced grids, Takahashi et al. (2020, 2022) demonstrate that G exhibits a block-Toeplitz Toeplitz-80 block (BTTB) structure. In such cases, the product of G and an arbitrary vector can be efficiently computed 81 using a 2D fast Fourier transform as a discrete convolution.

83 1.3 General formulation

A general formulation for almost all equivalent-layer methods can be achieved by first considering that the $P \times 1$ parameter vector \mathbf{p} (equation 3) can be reparameterized into a $Q \times 1$ vector \mathbf{q} according to:

$$\mathbf{p} = \mathbf{H} \, \mathbf{q} \,, \tag{9}$$

where **H** is a $P \times Q$ matrix. The predicted data vector **f** (equation 3) can then be rewritten as follows:

$$\mathbf{f} = \mathbf{G} \mathbf{H} \mathbf{q} . \tag{10}$$

Note that the original parameter vector \mathbf{p} is defined in a P-dimensional space whereas the reparameterized parameter vector \mathbf{q} (equation 9) lies in a Q-dimensional space. For convenience, we use the terms P-space and Q-space to designate them.

In this case, the problem of estimating a parameter vector $\tilde{\mathbf{p}}$ minimizing a length measure of the difference between \mathbf{f} (equation 3) and \mathbf{d} is replaced by that of estimating an auxiliary vector $\tilde{\mathbf{q}}$ minimizing the goal function

$$\Gamma(\mathbf{q}) = \Phi(\mathbf{q}) + \mu \Theta(\mathbf{q}), \qquad (11)$$

93 which is a combination of particular measures of length given by

$$\Phi(\mathbf{q}) = (\mathbf{d} - \mathbf{f})^{\top} \mathbf{W}_d (\mathbf{d} - \mathbf{f}) , \qquad (12)$$

94 and

$$\Theta(\mathbf{q}) = (\mathbf{q} - \bar{\mathbf{q}})^{\top} \mathbf{W}_q (\mathbf{q} - \bar{\mathbf{q}}) , \qquad (13)$$

- where the regularization parameter μ is a positive scalar controlling the trade-off between the data-misfit
- 96 function $\Phi(\mathbf{q})$ and the regularization function $\Theta(\mathbf{q})$; \mathbf{W}_d is a $D \times D$ symmetric matrix defining the relative
- 97 importance of each observed datum d_i ; \mathbf{W}_q is a $Q \times Q$ symmetric matrix imposing prior information on \mathbf{q} ;
- 98 and $\bar{\mathbf{q}}$ is a $Q \times 1$ vector of reference values for \mathbf{q} that satisfies

$$\bar{\mathbf{p}} = \mathbf{H}\,\bar{\mathbf{q}}\,,\tag{14}$$

- 99 where $\bar{\mathbf{p}}$ is a $P \times 1$ vector containing reference values for the original parameter vector \mathbf{p} .
- After obtaining an estimate $\tilde{\mathbf{q}}$ for the reparameterized parameter vector \mathbf{q} (equation 9), the estimate $\tilde{\mathbf{p}}$ for the original parameter vector (equation 3) is computed by

$$\tilde{\mathbf{p}} = \mathbf{H}\,\tilde{\mathbf{q}}\,. \tag{15}$$

The reparameterized vector $\tilde{\mathbf{q}}$ is obtained by first computing the gradient of $\Gamma(\mathbf{q})$,

$$\nabla \Gamma(\mathbf{q}) = -2\mathbf{H}^{\mathsf{T}} \mathbf{G}^{\mathsf{T}} \mathbf{W}_d (\mathbf{d} - \mathbf{f}) + 2\mu \mathbf{W}_q (\mathbf{q} - \bar{\mathbf{q}}) . \tag{16}$$

103 Then, by considering that $\nabla\Gamma(\tilde{\mathbf{q}}) = \mathbf{0}$ (equation 16), where $\mathbf{0}$ is a vector of zeros, as well as adding and subtracting the term $(\mathbf{H}^{\top}\mathbf{G}^{\top}\mathbf{W}_{d}\mathbf{G}\mathbf{H})\bar{\mathbf{q}}$, we obtain

$$\tilde{\boldsymbol{\delta}}_q = \mathbf{B}\,\boldsymbol{\delta}_d\,,\tag{17}$$

105 where

$$\tilde{\boldsymbol{\delta}}_{q} = \tilde{\mathbf{q}} - \bar{\mathbf{q}} \,, \tag{18}$$

$$\delta_d = \mathbf{d} - \mathbf{G} \mathbf{H} \,\bar{\mathbf{q}} \,, \tag{19}$$

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$$\mathbf{B} = \left(\mathbf{H}^{\top} \mathbf{G}^{\top} \mathbf{W}_{d} \mathbf{G} \mathbf{H} + \mu \mathbf{W}_{q}\right)^{-1} \mathbf{H}^{\top} \mathbf{G}^{\top} \mathbf{W}_{d},$$
(20)

108 or, equivalently (Menke, 2018, p. 62),

$$\mathbf{B} = \mathbf{W}_q^{-1} \mathbf{H}^{\top} \mathbf{G}^{\top} \left(\mathbf{G} \mathbf{H} \mathbf{W}_q^{-1} \mathbf{H}^{\top} \mathbf{G}^{\top} + \mu \mathbf{W}_d^{-1} \right)^{-1} . \tag{21}$$

- 109 Evidently, we have considered that all inverses exist in equations 20 and 21.
- The $Q \times D$ matrix B defined by equation 20 is commonly used for the case in which D > Q, i.e., when
- there are more data than parameters (overdetermined problems). In this case, we consider that the estimate
- 112 \tilde{q} is obtained by solving the following linear system for δ_q (equation 18):

$$\left(\mathbf{H}^{\top}\mathbf{G}^{\top}\mathbf{W}_{d}\mathbf{G}\mathbf{H} + \mu\mathbf{W}_{q}\right)\tilde{\boldsymbol{\delta}}_{q} = \mathbf{H}^{\top}\mathbf{G}^{\top}\mathbf{W}_{d}\boldsymbol{\delta}_{d}.$$
(22)

- 113 On the other hand, for the cases in which D < Q (underdetermined problems), matrix B is usually defined
- 114 according to equation 21. In this case, the general approach involves estimating \tilde{q} in two steps. The first
- 115 consists in solving a linear system for a dummy vector, which is subsequently used to compute \tilde{q} by a

116 matrix-vector product as follows:

$$\left(\mathbf{G}\mathbf{H}\mathbf{W}_{q}^{-1}\mathbf{H}^{\top}\mathbf{G}^{\top} + \mu\mathbf{W}_{d}^{-1}\right)\mathbf{u} = \boldsymbol{\delta}_{d}$$

$$\tilde{\boldsymbol{\delta}}_{q} = \mathbf{W}_{q}^{-1}\mathbf{H}^{\top}\mathbf{G}^{\top}\mathbf{u}$$
(23)

- where u is a dummy vector. After obtaining $\tilde{\delta}_q$ (equations 22 and 23), the estimate \tilde{q} is computed with equation 18.
- Note that, for the particular case in which $\mathbf{H} = \mathbf{I}_P$ (equation 9), P = Q, $\mathbf{p} = \mathbf{q}$, $\bar{\mathbf{p}} = \bar{\mathbf{q}}$ (equation 14) and $\tilde{\mathbf{p}} = \tilde{\mathbf{q}}$ (equation 15). In this case, the linear system (equations 22 and 23) is directly solved for

$$\tilde{\boldsymbol{\delta}}_{p} = \tilde{\mathbf{p}} - \bar{\mathbf{p}} \,, \tag{24}$$

121 instead of $\tilde{\boldsymbol{\delta}}_q$ (equation 18).

2 NOTATION FOR SUBVECTORS AND SUBMATRICES

Here, we use a notation inspired on that presented by (Van Loan, 1992, p. 4) to represent subvectors and submatrices. Subvectors of d, for example, are specified by d[i], where i is a list of integer numbers that "pick out" the elements of d forming the subvector d[i]. For example, $\mathbf{i} = (1, 6, 4, 6)$ gives the subvector d[i] = $[d_1 \ d_6 \ d_4 \ d_6]^{\top}$. Note that the list i of indices may be sorted or not and it may also have repeated indices. For the particular case in which the list has a single element $\mathbf{i} = (i)$, then it can be used to extract the *i*-th element $d_i \equiv \mathbf{d}[i]$ of d. Sequential lists with increment of 1, if the starting index is smaller than the final index, or -1, if the starting index is greater than the final index, can be represented by using the colon notation. For example,

$$\mathbf{i} = (3:8) \Leftrightarrow \mathbf{d}[\mathbf{i}] = [d_3 \ d_4 \ \dots \ d_8]^{\top}$$

$$\mathbf{i} = (8:3) \Leftrightarrow \mathbf{d}[\mathbf{i}] = [d_8 \ d_7 \ \dots \ d_3]^{\top}$$

$$\mathbf{i} = (:8) \Leftrightarrow \mathbf{d}[\mathbf{i}] = [d_1 \ d_2 \ \dots \ d_8]^{\top}$$

$$\mathbf{i} = (3:) \Leftrightarrow \mathbf{d}[\mathbf{i}] = [d_3 \ d_4 \ \dots \ d_D]^{\top}$$

where D is the number of elements forming d.

The notation above can also be used to define submatrices of the $D \times P$ matrix G. For example, $\mathbf{i} = (2,7,4,6)$ and $\mathbf{j} = (1,3,8)$ lead to the submatrix

$$\mathbf{G}[\mathbf{i}, \mathbf{j}] = \begin{bmatrix} g_{21} & g_{23} & g_{28} \\ g_{71} & g_{73} & g_{78} \\ g_{41} & g_{43} & g_{48} \\ g_{61} & g_{63} & g_{68} \end{bmatrix}.$$

Note that, in this case, the lists i and j "pick out", respectively, the rows and columns of G that form the submatrix G[i, j]. The *i*-th row of G is given by the $1 \times P$ vector G[i, :]. Similarly, the $D \times 1$ vector G[:, j]

represents the j-th column. Finally, we may use the colon notation to define the following submatrix:

$$\mathbf{i} = (2:5), \mathbf{j} = (3:7) \Leftrightarrow \mathbf{G}[\mathbf{i}, \mathbf{j}] = \begin{bmatrix} g_{23} & g_{24} & g_{25} & g_{26} & g_{27} \\ g_{33} & g_{34} & g_{35} & g_{36} & g_{37} \\ g_{43} & g_{44} & g_{45} & g_{46} & g_{47} \\ g_{53} & g_{54} & g_{55} & g_{56} & g_{57} \end{bmatrix}$$

which contains the contiguous elements of G from rows 2 to 5 and from columns 3 to 7.

3 COMPUTATIONAL STRATEGIES

- Here, we review some strategies for reducing the computational cost of equivalent-layer technique.
- Typically, estimating a parameter vector $\tilde{\mathbf{p}}$ or $\tilde{\mathbf{q}}$ requires to solve a large-scale linear inversion (equations 125
- 22 and 23). This, in turn, means to deal with some obstacles concerning large computational cost: 126
- 127 (i) the large computer memory to store large and full matrices;
- (ii) the long computation time to multiply a matrix by a vector; and 128
- (iii) the long computation time to solve a large linear system of equations. 129
- Two important factors affecting the efficiency of a given matrix algorithm are the storage and amount of 130
- required arithmetic. Here, we quantify this last factor by counting *flops*, which are floating point additions, 131
- 132 subtractions, multiplications or divisions (Golub and Van Loan, 2013, p. 12–14). We focus on the overall
- strategies used by the selected methods. 133

Moving window 3.1 134

- 135 The initial approach to enhance the computational efficiency of the equivalent-layer technique is
- commonly denoted moving window and involves first splitting the observed data d_i , $i \in \{1 : D\}$, into 136
- M overlapping subsets (or data windows) formed by D^m data each, $m \in \{1: M\}$. The data inside the 137
- m-th window are usually adjacent to each other and have indices defined by an integer list i^m having 138
- D^m elements. The number of data D^m forming the data windows are not necessarily equal to each other. 139
- Each data window has a $D^m \times 1$ observed data vector $\mathbf{d}^m \equiv \mathbf{d}[\mathbf{i}^m]$. The second step consists in defining 140
- a set of P equivalent sources with scalar physical property p_i , $j \in \{1 : P\}$, and also split them into M 141
- overlapping subsets (or source windows) formed by P^m data each, $m \in \{1 : M\}$. The sources inside the 142
- m-th window have indices defined by an integer list \mathbf{j}^m having P^m elements. Each source window has a 143
- $P^m \times 1$ parameter vector \mathbf{p}^m and is located right below the corresponding m-th data window. Then, each
- $\mathbf{d}^m \equiv \mathbf{d}[\mathbf{i}^m]$ is approximated by 145

$$\mathbf{f}^m = \mathbf{G}^m \mathbf{p}^m \,, \tag{25}$$

- where $G^m \equiv G[i^m, j^m]$ is a submatrix of G (equation 3) formed by the elements computed with equation 146
- 2 using only the data and equivalent sources located inside the window m-th. The main idea of the moving-147
- window approach is using the $\tilde{\mathbf{p}}^m$ estimated for each window to obtain (i) an estimate $\tilde{\mathbf{p}}$ of the parameter 148
- vector for the entire equivalent layer or (ii) a given potential-field transformation t (equation 4). The main 149
- advantages of this approach is that (i) the estimated parameter vector $\tilde{\mathbf{p}}$ or transformed potential field are 150
- 151 not obtained by solving the full, but smaller linear systems and (ii) the full matrix G (equation 3) is never

152 stored.

Leão and Silva (1989) presented a pioneer work using the moving-window approach. Their method 153 requires a regularly-spaced grid of observed data on a horizontal plane z_0 . The data windows are defined by 154 square local grids of $\sqrt{D'} \times \sqrt{D'}$ adjacent points, all of them having the same number of points D'. The 155 equivalent sources in the m-th data window are located below the observation plane, at a constant vertical 156 distance Δz_0 . They are arranged on a regular grid of $\sqrt{P'} \times \sqrt{P'}$ adjacent points following the same 157 grid pattern of the observed data. The local grid of sources for all data windows have the same number 158 of elements P'. Besides, they are vertically aligned, but expands the limits of their corresponding data 159 windows, so that D' < P'. Because of this spatial configuration of observed data and equivalent sources, 160 we have that $G^m = G'$ (equation 25) for all data windows (i.e., $\forall m \in \{1 : M\}$), where G' is a $D' \times P'$ 161 constant matrix. 162

By omitting the normalization strategy used by Leão and Silva (1989), their method consists in directly computing the transformed potential field t_c^m at the central point $(x_c^m, y_c^m, z_0 + \Delta z_0)$ of each data window as follows:

$$t_c^m = (\mathbf{a}')^\top \mathbf{B}' \mathbf{d}^m, \quad m \in \{1 : M\},$$
 (26)

where a' is a $P' \times 1$ vector with elements computed by equation 5 by using all equivalent sources in the m-th window and only the coordinate of the central point in the m-th data window and

$$\mathbf{B}' = \left(\mathbf{G}'\right)^{\top} \left[\mathbf{G}' \left(\mathbf{G}'\right)^{\top} + \mu \mathbf{I}_{D'}\right]^{-1}$$
(27)

is a particular case of matrix $\bf B$ associated with underdetermined problems (equation 21) for the particular case in which $\bf H = \bf W_q = \bf I_{P'}$ (equations 9 and 13), $\bf W_d = \bf I_{D'}$ (equation 12), $\bar{\bf p} = \bf 0$ (equation 14), where $\bf I_{P'}$ and $\bf I_{D'}$ are identity matrices of order P' and D', respectively, and $\bf 0$ is a vector of zeros. Due to the presumed spatial configuration of the observed data and equivalent sources, $\bf a'$ and $\bf G'$ are the same for all data windows. Hence, only the data vector $\bf d^m$ is modified according to the position of the data window. Note that equation 26 combines the potential-field transformation (equation 4) with the solution of the undetermined problem (equation 23).

The method proposed by Leão and Silva (1989) can be outlined by the Algorithm 1. Note that Leão and Silva (1989) directly compute the transformed potential t_c^m at the central point of each data window without explicitly computing and storing an estimated for \mathbf{p}^m (equation 25). It means that their method allows computing a single potential-field transformation. A different transformation or the same one evaluated at different points require running their moving-data window method again.

Soler and Uieda (2021) generalized the method proposed by Leão and Silva (1989) for irregularly spaced 180 data on an undulating surface. A direct consequence of this generalization is that a different submatrix 181 $G^m \equiv G[i^m, j^m]$ (equation 25) must be computed for each window. Differently from Leão and Silva 182 (1989), Soler and Uieda (2021) store the computed \tilde{p}^m for all windows and subsequently use them to obtain 183 a desired potential-field transformation (equation 4) as the superposed effect of all windows. The estimated 184 $\tilde{\mathbf{p}}^m$ for all windows are combined to form a single $P \times 1$ vector $\tilde{\mathbf{p}}$, which is an estimate for original 185 parameter vector p (equation 3). For each data window, Soler and Uieda (2021) solve an overdetermined 186 problem (equation 22) for $\tilde{\mathbf{p}}^m$ by using $\mathbf{H} = \mathbf{W}_q = \mathbf{I}_{P^m}$ (equations 9 and 13), \mathbf{W}_d^m (equation 12) equal to 187 a diagonal matrix of weights for the data inside the m-th window and $\bar{p} = 0$ (equation 14), so that

$$\left[\left(\mathbf{G}^{m} \right)^{\top} \mathbf{W}_{d}^{m} \mathbf{G}^{m} + \mu \mathbf{I}_{P'} \right] \tilde{\mathbf{p}}^{m} = \left(\mathbf{G}^{m} \right)^{\top} \mathbf{W}_{d}^{m} \mathbf{d}^{m}.$$
 (28)

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Algorithm 1: Generic pseudo-code for the method proposed by Leão and Silva (1989).

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Initialization:

1 Set the indices \mathbf{i}^m for each data window, m \in \{1:M\};

2 Set the indices \mathbf{j}^m for each source window, m \in \{1:M\};

3 Set the constant depth z_0 + \Delta z_0 for all equivalent sources;

4 Compute the vector \mathbf{a}' associated with the desired potential-field transformation;

5 Compute the matrix \mathbf{G}';

6 Compute the matrix \mathbf{B}' (equation 27);

7 Compute the vector (\mathbf{a}')^{\top} \mathbf{B}';

8 m = 1;

9 while m < M do

10 | Compute t_c^m (equation 26);

11 | m \leftarrow m + 1;

12 end
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Unlike Leão and Silva (1989), Soler and Uieda (2021) do not adopt a sequential order of the data windows; rather, they adopt a randomized order of windows in their iterations. The overall steps of the method proposed by Soler and Uieda (2021) are defined by the Algorithm 2. For convenience, we have omitted the details about the randomized window order and the normalization strategy employed by Soler and Uieda (2021). Note that this algorithm starts with a residuals vector r that is iteratively updated. The iterative algorithm in Soler and Uieda (2021) estimates a solution ($\tilde{\mathbf{p}}^m$ in equation 28) using the data and the equivalent sources that fall within a moving-data window; however, it calculates the predicted data and the residual data in the whole survey data. Next, the residual data that fall within a new position of the data window is used as input data to estimate a new solution within the data window which, in turn, is used to calculated a new predicted data and a new residual data in the whole survey data. Regarding the equivalent-source layout, Soler and Uieda (2021) proposed the block-averaged sources locations in which the survey area is divided into horizontal blocks and one single equivalent source is assigned to each block. Each single source per block is placed over the layer with its horizontal coordinates given by the average horizontal positions of observation points. According to Soler and Uieda (2021), the block-averaged sources layout may prevent aliasing of the interpolated values, specially when the observations are unevenly sampled. This strategy also reduces the number of equivalent sources without affecting the accuracy of the potential-field interpolation. This reduction reduces the computational load for estimating the physical property on the equivalent layer. This block-averaged sources layout is not included in the Algorithm 2.

3.2 Column-action update

We call the computational strategy *column-action update* because a single source is used to calculate the predicted data and the residual data in the whole survey data. Hence, a single column of the sensitivity matrix G (equation 3) is calculated iteratively.

Cordell (1992) proposed a computational strategy that was later used by Guspí and Novara (2009) and relies on first defining one equivalent source located right below each observed data d_i , $i \in \{1 : D\}$, at a vertical coordinate $z_i + \Delta z_i$, where Δz_i is proportional to the distance from the *i*-th observation point (x_i, y_i, z_i) to its closest neighbor. The second step consists in updating the physical property p_j of a single equivalent source, $j \in \{1 : D\}$ and remove its predicted potential field from the observed data vector d, producing a residuals vector \mathbf{r} . At each iteration, the single equivalent source is the one located vertically beneath the observation station of the maximum data residual. Next, the predicted data produced by this

Algorithm 2: Generic pseudo-code for the method proposed by Soler and Uieda (2021).

```
Initialization:
 1 Set the indices i^m for each data window, m \in \{1 : M\};
 2 Set the indices j^m for each source window, m \in \{1: M\};
    Set the depth of all equivalent sources;
    Set a D \times 1 residuals vector \mathbf{r} = \mathbf{d};
 5 Set a P \times 1 vector \tilde{\mathbf{p}} = \mathbf{0};
 6 m = 1;
    while m < M do
          Set the matrix \mathbf{W}_d^m;
          Compute the matrix G^m
 9
          Compute \tilde{\mathbf{p}}^m (equation 28);
10
          \tilde{\mathbf{p}}[\mathbf{j}^m] \leftarrow \tilde{\mathbf{p}}[\mathbf{j}^m] + \tilde{\mathbf{p}}^m;
11
          \mathbf{r} \leftarrow \mathbf{r} - \mathbf{G}[:, \mathbf{j}^m] \, \tilde{\mathbf{p}}^m \; ;
12
         m \leftarrow m + 1;
13
14 end
```

single source is calculated over all of the observation points and a new data residual r and the $D \times 1$ 218 parameter vector p containing the physical property of all equivalent sources are updated iteratively. During 219 each subsequent iteration, Cordell's method either incorporates a single equivalent source or adjusts an 220 221 existing equivalent source to match the maximum amplitude of the current residual field. The convergence occurs when all of the residuals are bounded by an envelope of prespecified expected error. At the end, the 222 algorithm produces an estimate \tilde{p} for the parameter vector yielding a predicted potential field f (equation 223 224 3) satisfactorily fitting the observed data d according to a given criterion. Note that the method proposed 225 by Cordell (1992) iteratively solves the linear $G\tilde{p} \approx d$ with a $D \times D$ matrix G. At each iteration, only a 226 single column of G (equation 3) is used. An advantage of this column-action update approach is that the 227 full matrix G is never stored.

Algorithm 3 delineates the Cordell's method. Note that a single column $G[:, i_{max}]$ of the $D \times D$ matrix G (equation 3) is used per iteration, where i_{max} is the index of the maximum absolute value in r. As pointed out by Cordell (1992), the method does not necessarily decrease monotonically along the iterations. Besides, the method may not converge depending on how the vertical distances Δz_i , $i \in \{1:D\}$, controlling the depths of the equivalent sources are set. According to Cordell (1992), the maximum absolute value r_{max} in r decreases robustly at the beginning and oscillates within a narrowing envelope for the subsequent iterations.

Guspí and Novara (2009) generalized Cordell's method to perform reduction to the pole and other transformations on scattered magnetic observations by using two steps. The first step involves computing the vertical component of the observed field using equivalent sources while preserving the magnetization direction. In the second step, the vertical observation direction is maintained, but the magnetization direction is shifted to the vertical. The main idea employed by both Cordell (1992) and Guspí and Novara (2009) is an iterative scheme that uses a single equivalent source positioned below a measurement station to compute both the predicted data and residual data for all stations. This approach entails a computational strategy where a single column of the sensitivity matrix G (equation 3) is calculated per iteration.

243 3.3 Row-action update

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We call the computational strategy *row-action update* because a single row of the sensitivity matrix G (equation 3) is calculated iteratively. Hence, the equivalent-layer solution is updated by processing a

Algorithm 3: Generic pseudo-code for the method proposed by Cordell (1992).

Initialization:

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1 Compute a D \times 1 vector \Delta z whose i-th element \Delta z_i is a vertical distance controlling the depth of
      the i-th equivalent source, i \in \{1 : D\};
 2 Set a tolerance \epsilon;
    Set a maximum number of iterations ITMAX;
    Set a D \times 1 residuals vector \mathbf{r} = \mathbf{d};
    Set a D \times 1 vector \tilde{\mathbf{p}} = \mathbf{0};
    Define the maximum absolute value r_{\text{max}} in r;
    while (r_{\text{max}} > \epsilon) and (m < \text{ITMAX}) do
          Define the coordinates (x_{\text{max}}, y_{\text{max}}, z_{\text{max}}) and index i_{\text{max}} of the observation point associated with
          \tilde{\mathbf{p}}[i_{\text{max}}] \leftarrow \tilde{\mathbf{p}}[i_{\text{max}}] + (r_{\text{max}} \Delta \mathbf{z}[i_{\text{max}}]);
10
          \mathbf{r} \leftarrow \mathbf{r} - (\mathbf{G}[:, i_{\text{max}}] \, \tilde{\mathbf{p}}[i_{\text{max}}]) ;
11
          Define the new r_{\text{max}} in \mathbf{r};
12
13
          m \leftarrow m + 1;
14 end
```

new datum (one matrix row) at each iteration. To reduce the total processing time and memory usage of 246 247 equivalent-layer technique, Mendonça and Silva (1994) proposed a strategy called *equivalent data concept*. 248 The equivalent data concept is grounded on the principle that there is a subset of redundant data that does not contribute to the final solution and thus can be dispensed. Conversely, there is a subset of observations, 249 called equivalent data, that contributes effectively to the final solution and fits the remaining observations 250 251 (redundant data). Iteratively, Mendonça and Silva (1994) selected the subset of equivalent data that is substantially smaller than the original dataset. This selection is carried out by incorporating one data point 252 at a time. 253

Mendonça and Silva (1994) proposes an algebraic reconstruction technique (ART) (e.g., van der Sluis and van der Vorst, 1987, p. 58) to estimate a parameter vector $\tilde{\mathbf{p}}$ for a regular grid of P equivalent sources on a horizontal plane z_0 . Such methods iterate on the linear system rows to estimate corrections for the parameter vector, which may substantially save computer time and memory required to compute and store the full linear system matrix along the iterations. The convergence of such *row-update methods* depends on the linear system condition. The main advantage of such methods is not computing and storing the full linear system matrix, but iteratively using its rows. In contrast to ART-type algorithms, the rows in Mendonça and Silva (1994) are not processed sequentially. Instead, in Mendonça and Silva (1994), the rows are introduced according to their residual magnitudes (maximum absolute value in \mathbf{r}), which are computed based on the estimate over the equivalent layer from the previous iteration. The particular ART method proposed by Mendonça and Silva (1994) considers that

$$\mathbf{d} = \begin{bmatrix} \mathbf{d}_e \\ \mathbf{d}_r \end{bmatrix} , \quad \mathbf{G} = \begin{bmatrix} \mathbf{G}_e \\ \mathbf{R}_r \end{bmatrix} , \tag{29}$$

where \mathbf{d}_e and \mathbf{d}_r are $D_e \times 1$ and $D_r \times 1$ vectors and \mathbf{G}_e and \mathbf{G}_r are $D_e \times P$ and $D_r \times P$ matrices, respectively. Mendonça and Silva (1994) designate \mathbf{d}_e and \mathbf{d}_r as, respectively, equivalent and redundant data. With the exception of a normalization strategy, Mendonça and Silva (1994) calculate a $P \times 1$ estimated parameter vector $\tilde{\mathbf{p}}$ by solving an underdetermined problem (equation 23) involving only the equivalent data \mathbf{d}_e (equation 29) for the particular case in which $\mathbf{H} = \mathbf{W}_p = \mathbf{I}_P$ (equations 9 and 13), $\mathbf{W}_d = \mathbf{I}_{D_e}$

270 (equation 12) and $\bar{p} = 0$ (equation 14), which results in

$$(\mathbf{F} + \mu \mathbf{I}_{D_e}) \mathbf{u} = \mathbf{d}_e \tilde{\mathbf{p}} = \mathbf{G}_e^{\top} \mathbf{u} ,$$
 (30)

271 where **F** is a computationally-efficient $D_e \times D_e$ matrix that approximates $\mathbf{G}_e \mathbf{G}_e^{\top}$. Mendonça and Silva

272 (1994) presume that the estimated parameter vector $\tilde{\mathbf{p}}$ obtained from equation 30 leads to a $D_r \times 1$ residuals

273 vector

$$\mathbf{r} = \mathbf{d}_r - \mathbf{G}_r \tilde{\mathbf{p}} \tag{31}$$

274 having a maximum absolute value $r_{\text{max}} \leq \epsilon$, where ϵ is a predefined tolerance.

The overall method of Mendonça and Silva (1994) is defined by Algorithm 4. It is important noting that the number D_e of equivalent data in \mathbf{d}_e increases by one per iteration, which means that the order of the linear system in equation 30 also increases by one at each iteration. Those authors also propose a computational strategy based on Cholesky factorization (e.g., Golub and Van Loan, 2013, p. 163) for efficiently updating $(\mathbf{F} + \mu \mathbf{I}_{D_e})$ at a given iteration (line 16 in Algorithm 4) by computing only its new elements with respect to those computed in the previous iteration.

Algorithm 4: Generic pseudo-code for the method proposed by Mendonça and Silva (1994).

```
Initialization:
 1 Set a regular grid of P equivalent sources at a horizontal plane z_0;
 2 Set a tolerance \epsilon;
 3 Set a D \times 1 residuals vector \mathbf{r} = \mathbf{d};
 4 Define the maximum absolute value r_{\text{max}} in r;
 5 Define the index i_{max} of r_{max};
6 Define the list of indices i_r of the remaining data in r;
 7 Define \mathbf{d}_e = \mathbf{d}[i_{\mathtt{max}}];
    Compute (\mathbf{F} + \mu \mathbf{I}_{D_e}) and \mathbf{G}_e;
    Compute \tilde{\mathbf{p}} (equation 30);
10 Compute \mathbf{r} = \mathbf{d}[\mathbf{i}_r] - \mathbf{G}[\mathbf{i}_r,:] \tilde{\mathbf{p}};
    Define the maximum absolute value r_{\text{max}} in \mathbf{r};
     while (r_{\text{max}} > \epsilon) do
           Define the index i_{max} of r_{max};
Define the list of indices i_r of the remaining elements in r;
13
14
           \mathbf{d}_e \leftarrow egin{bmatrix} \mathbf{d}_e \ \mathbf{d}[i_{	exttt{max}}] \end{bmatrix};
15
           Update (\mathbf{F} + \mu \mathbf{I}_{D_e}) and \mathbf{G}_e;
16
           Update \tilde{\mathbf{p}} (equation 30);
17
           Update \mathbf{r} = \mathbf{d}[\mathbf{i}_r] - \mathbf{G}[\mathbf{i}_r,:] \tilde{\mathbf{p}};
18
           Define the maximum absolute value r_{\text{max}} in \mathbf{r};
19
20 end
```

3.4 Reparameterization

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Another approach for improving the computational performance of equivalent-layer technique consists in setting a $P \times Q$ reparameterization matrix \mathbf{H} (equation 9) with Q << P. This strategy has been used in applied geophysics for decades (e.g., Skilling and Bryan, 1984; Kennett et al., 1988; Oldenburg et al., 1993; Barbosa et al., 1997) and is known as *subspace method*. The main idea relies in reducing the linear

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system dimension from the original P-space to a lower-dimensional subspace (the Q-space). An estimate $\tilde{\mathbf{q}}$ for the reparameterized parameter vector \mathbf{q} is obtained in the Q-space and subsequently used to obtain an estimate $\tilde{\mathbf{p}}$ for the parameter vector \mathbf{p} (equation 3) in the P-space by using equation 9. Hence, the key aspect of this *reparameterization approach* is solving an appreciably smaller linear inverse problem for $\tilde{\mathbf{q}}$ than that for the original parameter vector $\tilde{\mathbf{p}}$ (equation 3).

Oliveira Jr. et al. (2013) have used this approach to describe the physical property distribution on the equivalent layer in terms of piecewise bivariate polynomials. Specifically, their method consists in splitting a regular grid of equivalent sources into source windows inside which the physical-property distribution is described by bivariate polynomial functions. The key aspect of their method relies on the fact that the total number of coefficients required to define the bivariate polynomials is considerably smaller than the original number of equivalent sources. Hence, they formulate a linear inverse problem for estimating the polynomial coefficients and use them later to compute the physical property distribution on the equivalent layer.

The method proposed by Oliveira Jr. et al. (2013) consists in solving an overdetermined problem (equation 22) for estimating the polynomial coefficients $\tilde{\bf q}$ with ${\bf W}_d={\bf I}_D$ (equation 12) and $\bar q={\bf 0}$ (equation 14), so that

$$\left(\mathbf{H}^{\top}\mathbf{G}^{\top}\mathbf{G}\,\mathbf{H} + \mu\,\mathbf{W}_{q}\right)\tilde{\mathbf{q}} = \mathbf{H}^{\top}\mathbf{G}^{\top}\,\mathbf{d}\,,\tag{32}$$

where $\mathbf{W}_q = \mathbf{H}^{\top} \mathbf{W}_p \mathbf{H}$ is defined by a matrix \mathbf{W}_p representing the zeroth- and first-order Tikhonov regularization (e.g., Aster et al., 2019, p. 103). Note that, in this case, the prior information is defined in the P-space for the original parameter vector \mathbf{p} and then transformed to the Q-space. Another characteristic of their method is that it is valid for processing irregularly-spaced data on an undulating surface.

Mendonça (2020) also proposed a reparameterization approach for the equivalent-layer technique. Their approach, however, consists in setting ${\bf H}$ as a truncated singular value decomposition (SVD) (e.g., Aster et al., 2019, p. 55) of the observed potential field. Differently from Oliveira Jr. et al. (2013), however, the method of Mendonça (2020) requires a regular grid of potential-field data on horizontal plane. Another difference is that these authors uses ${\bf W}_q = {\bf I}_Q$ (equation 13), which means that the regularization is defined directly in the Q-space.

Before Oliveira Jr. et al. (2013) and Mendonça (2020), Barnes and Lumley (2011) also proposed a 312 computationally efficient method for equivalent-layer technique based on reparameterization. A key 313 difference, however, is that Barnes and Lumley (2011) did not set a $P \times Q$ reparameterization matrix H 314 (equation 9) with $Q \ll P$. Instead, they used a matrix **H** with $Q \approx 1.7 P$. Their central idea is setting a 315 reparameterization scheme that groups distant equivalent sources into blocks by using a bisection process. 316 This scheme leads to a quadtree representation of the physical-property distribution on the equivalent layer, 317 so that matrix GH (equation 10) is notably sparse. Barnes and Lumley (2011) explore this sparsity in 318 solving the overdetermined problem for \tilde{q} (equation 32) via conjugate-gradient method (e.g., Golub and 319 Van Loan, 2013, sec. 11.3). 320

3.5 Wavelet compression

Previously to Barnes and Lumley (2011), the idea of transforming the dense matrix G (equation 3) into a sparse one has already been used in the context of equivalent-layer technique. Li and Oldenburg (2010) proposed a method that applies the discrete wavelet transform to introduce sparsity into the original dense matrix G. Those authors approximate a planar grid of potential-field data by a regularly-spaced grid of equivalent sources, so that the number of data D and sources P is the same, i.e., D = P. Specifically, Li

- and Oldenburg (2010) proposed a method that applies the wavelet transform to the original dense matrix G
- 328 and sets to zero the small coefficients that are below a given threshold, which results in an approximating
- 329 sparse representation of G in the wavelet domain. They first consider the following approximation

$$\mathbf{d}_w \approx \mathbf{G}_s \, \mathbf{p}_w \,, \tag{33}$$

330 where

$$\mathbf{d}_w = \mathbf{W} \, \mathbf{d} \,, \quad \mathbf{p}_w = \mathbf{W} \, \mathbf{p} \,,$$
 (34)

- are the observed data and parameter vector in the wavelet domain; $\boldsymbol{\mathcal{W}}$ is a $D \times D$ orthogonal matrix
- 332 defining a discrete wavelet transform; and G_s is a sparse matrix obtained by setting to zero the elements of

$$G_w = \mathcal{W} G \mathcal{W}^{\top} \tag{35}$$

- 333 with absolute value smaller than a given threshold.
- Li and Oldenburg (2010) solve a normalized inverse problem in the wavelet domain. Specifically, they
- 335 first define a matrix

$$\mathbf{G}_L = \mathbf{G}_s \, \mathbf{L}^{-1} \tag{36}$$

336 and a normalized parameter vector

$$\mathbf{p}_L = \mathbf{L} \, \mathbf{p}_w \,, \tag{37}$$

- 337 where L is a diagonal and invertible matrix representing an approximation of the first-order Tikhonov
- 338 regularization in the wavelet domain. Then they solve an overdetermined problem (equation 22) to obtain
- an estimate $\tilde{\mathbf{p}}_L$ for \mathbf{p}_L (equation 37), with \mathbf{G}_L (equation 36), $\mathbf{H} = \mathbf{I}_P$ (equations 9), $\mu = 0$ (equation 11),
- 340 $W_d = I_D$ (equation 12) and $\bar{p} = 0$ (equation 14) via conjugate-gradient method (e.g., Golub and Van
- 341 Loan, 2013, sec. 11.3). Finally, Li and Oldenburg (2010) compute an estimate \tilde{p} for the original parameter
- 342 vector given by

$$\tilde{\mathbf{p}} = \mathbf{W}^{\top} \left(\mathbf{L}^{-1} \, \tilde{\mathbf{p}}_L \right) \,, \tag{38}$$

- 343 where the term within parenthesis is an estimate $\tilde{\mathbf{p}}_w$ of the parameter vector \mathbf{p}_w (equation 34) in the wavelet
- 344 domain and matrix \mathbf{W}^{\top} represents an inverse wavelet transform.

345 3.6 Iterative methods using the full matrix G

- Xia and Sprowl (1991) introduced an iterative method for estimating the parameter vector $\tilde{\mathbf{p}}$ (equation 3),
- 347 which was subsequently adapted to the Fourier domain by Xia et al. (1993). Their method uses the full
- 348 and dense sensitivity matrix G (equation 3) (without applying any compression or reparameterization, for
- 349 example) to compute the predicted data at all observation points per iteration. More than two decades later,
- 350 Sigueira et al. (2017) have proposed an iterative method similar to that presented by Xia and Sprowl (1991).
- 351 The difference is that Siqueira et al.'s algorithm was deduced from the Gauss' theorem (e.g., Kellogg, 1967,
- p. 43) and the total excess of mass (e.g., Blakely, 1996, p. 60). Besides, Siqueira et al. (2017) have included
- 353 a numerical analysis showing that their method produces very stable solutions, even for noise-corrupted
- 354 potential-field data.
- 355 The iterative method proposed by Siqueira et al. (2017) is outlined in Algorithm 5, presumes an equivalent
- 356 layer formed by monopoles (point masses) and can be applied to irregularly-spaced data on an undulating
- surface. Note that the residuals r are used to compute a correction Δp for the parameter vector at each
- 358 iteration (line 11), which requires a matrix-vector product involving the full matrix G. Interestingly, this

approach for estimating the physical property distribution on an equivalent layer is the same originally proposed by Bott (1960) for estimating the basement relief under sedimentary basins. The methods of Xia and Sprowl (1991) and Siqueira et al. (2017) were originally proposed for processing gravity data, but can be potentially applied to any harmonic function because they actually represent iterative solutions of the classical *Dirichlet's problem* or the *first boundary value problem of potential theory* (Kellogg, 1967, p. 236) on a plane.

Recently, Jirigalatu and Ebbing (2019) presented another iterative method for estimating a parameter 365 vector $\tilde{\mathbf{p}}$ (equation 3). With the purpose of combining different potential-field data, their method basically 366 modifies that shown in Algorithm 5 by changing the initial approximation and the iterative correction for 367 the parameter vector. Specifically, Jirigalatu and Ebbing (2019) replace line 4 by $\tilde{\mathbf{p}} = \mathbf{0}$, where $\mathbf{0}$ is a vector 368 of zeros, and line 9 by $\Delta p = \omega G^{T} r$, where ω is a positive scalar defined by trial and error. Note that 369 this modified approach requires two matrix-vector products involving the full matrix G per iteration. To 370 overcome the high computational cost of these two products, Jirigalatu and Ebbing (2019) set an equivalent 371 layer formed by prisms and compute their predicted potential field in the wavenumber domain by using the 372 373 Gauss-FFT technique Zhao et al. (2018).

Algorithm 5: Generic pseudo-code for the iterative method proposed by Siqueira et al. (2017). The symbol " \circ " denotes the entrywise or Hadamard product (e.g., Horn and Johnson, 1991, p. 298) and σ is a $P \times 1$ vector whose j-th element is the ratio of a predefined element of area centered at the j-th equivalent source and the term $2\pi\gamma$, where γ is the gravitational constant.

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Initialization:
```

```
Set P equivalent sources on a horizontal plane z_0;
 2 Set a tolerance \epsilon;
 3 Set an auxiliary vector \sigma;
 4 Compute \tilde{\mathbf{p}} = \boldsymbol{\sigma} \circ \mathbf{d};
    Compute G (equation 3);
 6 Compute \mathbf{r} = \mathbf{d} - \mathbf{G}\,\tilde{\mathbf{p}};
    Compute \delta = \|\mathbf{r}\|/D;
     while (\delta > \epsilon) do
           Compute \Delta \mathbf{p} = \boldsymbol{\sigma} \circ \mathbf{r};
 9
           Update \tilde{\mathbf{p}} \leftarrow \tilde{\mathbf{p}} + \Delta \mathbf{p};
10
           Compute \nu = G \Delta p;
11
           Update \mathbf{r} \leftarrow \mathbf{r} - \boldsymbol{\nu};
12
           Compute \delta = \|\boldsymbol{\nu}\|/D;
13
14 end
```

374 3.7 Iterative deconvolution

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Recently, Takahashi et al. (2020, 2022) proposed the *convolutional equivalent-layer method*, which explores the structure of the sensitivity matrix G (equation 3) for the particular case in which (i) there is a single equivalent source right below each potential-field datum and (ii) both data and sources rely on planar and regularly spaced grids. Specifically, they consider a regular grid of D potential-field data at points (x_i, y_i, z_0) , $i \in \{1 : D\}$, on a horizontal plane z_0 . The data indices i may be ordered along the x- or y-direction, which results in an x- or y-oriented grid, respectively. They also consider a single equivalent source located right below each datum, at a constant vertical coordinate $z_0 + \Delta z$, $\Delta z > 0$. In this case, the number of data and equivalent sources are equal to each other (i.e., D = P) and G (equation 3) assumes a *doubly block Toeplitz* (Jain, 1989, p. 28) or *block-Toeplitz Toeplitz-block* (BTTB) (Chan and

- Jin, 2007, p. 67) structure formed by $N_B \times N_B$ blocks, where each block has $N_b \times N_b$ elements, with
- 385 $D = N_B N_b$. This particular structure allows formulating the product of G and an arbitrary vector as a fast
- 386 2D discrete convolution via Fast Fourier Transform (FFT) (Van Loan, 1992, section 4.2).
- Consider, for example, the particular case in which $N_B=4$, $N_b=3$ and D=12. In this case, G (equation 3) is a 12×12 block matrix given by

$$\mathbf{G} = \begin{bmatrix} \mathbf{G}^{0} & \mathbf{G}^{1} & \mathbf{G}^{2} & \mathbf{G}^{3} \\ \mathbf{G}^{-1} & \mathbf{G}^{0} & \mathbf{G}^{1} & \mathbf{G}^{2} \\ \mathbf{G}^{-2} & \mathbf{G}^{-1} & \mathbf{G}^{0} & \mathbf{G}^{1} \\ \mathbf{G}^{-3} & \mathbf{G}^{-2} & \mathbf{G}^{-1} & \mathbf{G}^{0} \end{bmatrix}_{D \times D},$$
(39)

- 389 where each block \mathbf{G}^n , $n \in \{(1 N_B) : (N_B 1)\}$, is a 3×3 Toeplitz matrix. Takahashi et al. (2020,
- 390 2022) have deduced the specific relationship between blocks G^n and G^{-n} and also between a given block
- 391 \mathbf{G}^n and its transposed $(\mathbf{G}^n)^{\top}$ according to the harmonic function g_{ij} (equation 2) defining the element ij
- 392 of the sensitivity matrix G (equation 3) and the orientation of the data grid.
- 393 Consider the matrix-vector products

$$\mathbf{G}\,\mathbf{v} = \mathbf{w} \tag{40}$$

394 and

$$\mathbf{G}^{\top}\mathbf{v} = \mathbf{w} \,, \tag{41}$$

involving a $D \times D$ sensitivity matrix G (equation 3) defined in terms of a given harmonic function g_{ij}

396 (equation 2), where

$$\mathbf{v} = \begin{bmatrix} \mathbf{v}^0 \\ \vdots \\ \mathbf{v}^{N_B - 1} \end{bmatrix}_{D \times 1}, \quad \mathbf{w} = \begin{bmatrix} \mathbf{w}^0 \\ \vdots \\ \mathbf{w}^{N_B - 1} \end{bmatrix}_{D \times 1}, \tag{42}$$

are arbitrary partitioned vectors formed by N_B sub-vectors \mathbf{v}^n and \mathbf{w}^n , $n \in \{0 : (N_B - 1)\}$, all of them

having N_b elements. Equations 40 and 41 can be computed in terms of an auxiliary matrix-vector product

$$\mathbf{G}_c \, \mathbf{v}_c = \mathbf{w}_c \,, \tag{43}$$

399 where

$$\mathbf{v}_{c} = \begin{bmatrix} \mathbf{v}_{c}^{0} \\ \vdots \\ \mathbf{v}_{c}^{N_{B}-1} \\ \mathbf{0} \end{bmatrix}_{AD \times 1}, \quad \mathbf{w}_{c} = \begin{bmatrix} \mathbf{w}_{c}^{0} \\ \vdots \\ \mathbf{w}_{c}^{N_{B}-1} \\ \mathbf{0} \end{bmatrix}_{AD \times 1}, \quad (44)$$

400 are partitioned vectors formed by $2N_b \times 1$ sub-vectors

$$\mathbf{v}_{c}^{n} = \begin{bmatrix} \mathbf{v}^{n} \\ \mathbf{0} \end{bmatrix}_{2N_{c} \times 1}, \quad \mathbf{w}_{c}^{n} = \begin{bmatrix} \mathbf{w}^{n} \\ \mathbf{0} \end{bmatrix}_{2N_{c} \times 1}, \tag{45}$$

- 401 and G_c is a $4D \times 4D$ doubly block circulant (Jain, 1989, p. 28) or block-circulant circulant-block (BCCB)
- 402 (Chan and Jin, 2007, p. 76) matrix. What follows aims at explaining how the original matrix-vector products
- 403 defined by equations 40 and 41, involving a $D \times D$ BTTB matrix G exemplified by equation 39, can be

- efficiently computed in terms of the auxiliary matrix-vector product given by equation 43, which has a $4D \times 4D$ BCCB matrix G_c .
- 406 Matrix G_c (equation 43) is formed by $2N_B \times 2N_B$ blocks, where each block G_c^n , $n \in \{(1 N_B) :$
- 407 (N_B-1) } is a $2N_b \times 2N_b$ circulant matrix. For the case in which the original matrix-vector product is that
- 408 defined by equation 40, the first column of blocks forming the BCCB matrix G_c is given by

$$\mathbf{G}_{c}[:,:2N_{b}] = \begin{bmatrix} \mathbf{G}_{c}^{0} \\ \mathbf{G}_{c}^{-1} \\ \vdots \\ \mathbf{G}_{c}^{1-N_{B}} \\ \mathbf{0} \\ \mathbf{G}_{c}^{N_{B}-1} \\ \vdots \\ \mathbf{G}_{c}^{1} \end{bmatrix}_{AD\times2N} , \tag{46}$$

409 with blocks \mathbf{G}_c^n having the first column given by

$$\mathbf{G}_{c}^{n}[:, 1] = \begin{bmatrix} \mathbf{G}^{n}[:, 1] \\ 0 \\ (\mathbf{G}^{n}[1, N_{b}: 2])^{\top} \end{bmatrix}_{2N_{b} \times 2N_{b}}, \quad n \in \{(1 - N_{B}): (N_{B} - 1)\},$$
(47)

- 410 where G^n are the blocks forming the BTTB matrix G (equation 39). For the case in which the original
- 411 matrix-vector product is that defined by equation 41, the first column of blocks forming the BCCB matrix
- 412 G_c is given by

$$\mathbf{G}_{c}[:,:2N_{b}] = \begin{bmatrix} \mathbf{G}_{c}^{0} \\ \mathbf{G}_{c}^{1} \\ \vdots \\ \mathbf{G}_{c}^{N_{B}-1} \\ \mathbf{0} \\ \mathbf{G}_{c}^{1-N_{B}} \\ \vdots \\ \mathbf{G}_{c}^{-1} \end{bmatrix}_{4D\times2N_{c}}, \tag{48}$$

413 with blocks G_c^n having the first column given by

$$\mathbf{G}_{c}^{n}[:, 1] = \begin{bmatrix} (\mathbf{G}^{n}[1, :])^{\top} \\ 0 \\ \mathbf{G}^{n}[N_{b}: 2, 1] \end{bmatrix}_{2N_{b} \times 2N_{b}}, \quad n \in \{(1 - N_{B}): (N_{B} - 1)\}.$$
(49)

- 414 The complete matrix G_c (equation 43) is obtained by properly downshifting the block columns $G_c[:,:]$
- 415 $2N_b$] defined by equation 46 or 48. Similarly, the *n*-th block \mathbf{G}_c^n of \mathbf{G}_c is obtained by properly downshifting
- 416 the first columns $\mathbf{G}_c^{\ell}[:, 1]$ defined by equation 47 or 49.
- Note that G_c (equation 43) is a $4D \times 4D$ matrix and G (equation 39) is a $D \times D$ matrix. It seems weird
- 418 to say that computing $G_c v_c$ is more efficient than directly computing Gv. To understand this, we need first

- to use the fact that BCCB matrices are diagonalized by the 2D unitary discrete Fourier transform (DFT)
- 420 (e.g., Davis, 1979, p. 31). Because of that, G_c can be written as

$$\mathbf{G}_{c} = \left(\boldsymbol{\mathcal{F}}_{2N_{B}} \otimes \boldsymbol{\mathcal{F}}_{2N_{b}} \right)^{*} \boldsymbol{\Lambda} \left(\boldsymbol{\mathcal{F}}_{2N_{B}} \otimes \boldsymbol{\mathcal{F}}_{2N_{b}} \right) , \tag{50}$$

- 421 where the symbol " \otimes " denotes the Kronecker product (e.g., Horn and Johnson, 1991, p. 243), \mathcal{F}_{2N_B} and
- 422 \mathcal{F}_{2N_b} are the $2N_B \times 2N_B$ and $2N_b \times 2N_b$ unitary DFT matrices (e.g., Davis, 1979, p. 31), respectively,
- 423 the superscritpt "*" denotes the complex conjugate and Λ is a $4D \times 4D$ diagonal matrix containing the
- 424 eigenvalues of G_c . Due to the diagonalization of the matrix G_c , equation 43 can be rewritten by using
- 425 equation 50 and premultiplying both sides of the result by $(\mathcal{F}_{2N_B} \otimes \mathcal{F}_{2N_b})$, i.e.,

$$\Lambda \left(\mathcal{F}_{2N_B} \otimes \mathcal{F}_{2N_b} \right) \mathbf{v}_c = \left(\mathcal{F}_{2N_B} \otimes \mathcal{F}_{2N_b} \right) \mathbf{w}_c . \tag{51}$$

426 By following Takahashi et al. (2020), we rearrange equation 51 as follows

$$\mathcal{L} \circ (\mathcal{F}_{2N_B} \mathcal{V}_c \mathcal{F}_{2N_b}) = \mathcal{F}_{2N_B} \mathcal{W}_c \mathcal{F}_{2N_b}$$
 (52)

- 427 where " \circ " denotes the Hadamard product (e.g., Horn and Johnson, 1991, p. 298) and \mathcal{L} , \mathcal{V}_c and \mathcal{W}_c are
- 428 $2N_B \times 2N_b$ matrices obtained by rearranging, along their rows, the elements forming the diagonal of Λ
- 429 (equation 50), vector \mathbf{v}_c and vector \mathbf{w}_c (equation 44), respectively. Then, by premultiplying both sides of
- 430 equation 52 by $\mathcal{F}_{2N_B}^*$ and then postmultiplying both sides by $\mathcal{F}_{2N_b}^*$, we obtain

$$\mathcal{F}_{2N_B}^* \left[\mathcal{L} \circ \left(\mathcal{F}_{2N_B} \, \mathcal{V}_c \, \mathcal{F}_{2N_b} \right) \right] \, \mathcal{F}_{2N_b}^* = \mathcal{W}_c \,. \tag{53}$$

- 431 Finally, we get from equation 50 that matrix \mathcal{L} can be computed by using only the first column $G_c[:,1]$ of
- 432 the BCCB matrix G_c (equation 43) according to (Takahashi et al., 2020)

$$\mathcal{L} = \sqrt{4D} \, \mathcal{F}_{2N_B} \, \mathcal{C} \, \mathcal{F}_{2N_b} \,, \tag{54}$$

- 433 where C is a $2N_B \times 2N_b$ matrix obtained by rearranging, along its rows, the elements of $G_c[:,1]$ (equation
- 434 43). It is important noting that the matrices C and L (equation 54) associated with the BTTB matrix G
- 435 (equation 39) are different from those associated with \mathbf{G}^{\top} .
- The whole procedure to compute the original matrix-vector products $\mathbf{G}\mathbf{v}$ (equation 40) and $\mathbf{G}^{\perp}\mathbf{v}$
- 437 (equation 41) consists in (i) rearranging the elements of the vector \mathbf{v} and the first column $\mathbf{G}[:,1]$ of matrix
- 438 G into the matrices \mathcal{V}_c and \mathcal{C} (equations 53 and 54), respectively; (ii) computing terms $\mathcal{F}_{2N_B} \mathcal{A} \mathcal{F}_{2N_b}$ and
- 439 $\mathcal{F}_{2N_B}^* \mathcal{A} \mathcal{F}_{2N_b}^*$, where \mathcal{A} is a given matrix, and a Hadamard product to obtain \mathcal{W}_c (equation 53); and (iii)
- 440 retrieve the elements of vector \mathbf{w} (equation 40) from \mathcal{W}_c (equation 53). It is important noting that the steps
- 441 (i) and (iii) do not have any computational cost because they involve only reorganizing elements of vectors
- and matrices. Besides, the terms $\mathcal{F}_{2N_B} \mathcal{A} \mathcal{F}_{2N_b}$ and $\mathcal{F}_{2N_B}^* \mathcal{A} \mathcal{F}_{2N_b}^*$ in step (ii) represent, respectively, the
- 2D Discrete Fourier Transform (2D-DFT) and the 2D Inverse Discrete Fourier Transform (2D-IDFT) of A.
- These transforms can be efficiently computed by using the 2D Fast Fourier Transform (2D-FFT). Hence,
- 445 the original matrix-vector products $\mathbf{G}\mathbf{v}$ (equation 40) and $\mathbf{G}^{\top}\mathbf{v}$ (equation 41) can be efficiently computed
- 446 by using the 2D-FFT.
- 447 Algorithms 6 and 7 show pseudo-codes for the convolutional equivalent-layer method proposed by
- 448 Takahashi et al. (2020, 2022). Note that those authors formulate the overdetermined problem (equation
- 449 22) of obtaining an estimate \tilde{p} for the parameter vector p (equation 3) as an iterative deconvolution via

- 450 conjugate gradient normal equation residual (CGNR) Golub and Van Loan (2013, sec. 11.3) or conjugate
- 451 gradient least squares (CGLS) (Aster et al., 2019, p. 165) method. As shown by Takahashi et al. (2020,
- 452 2022), the CGLS produces stable estimates \tilde{p} for the parameter vector p (equation 3) in the presence of
- 453 noisy potential-field data d. This is a well-known property of the CGLS method (e.g., Aster et al., 2019, p.
- 454 166).

21 end

Algorithm 6: Generic pseudo-code for the convolutional equivalent-layer method proposed by Takahashi et al. (2020, 2022).

Initialization:

- 1 Set the regular grid of P equivalent sources on a horizontal plane z_0 ;
- 2 Set a tolerance ϵ and a maximum number of iterations ITMAX;
- 3 Compute the first column G[:, 1] and row G[1, :] of the sensitivity matrix G (equation 3) for the particular case in which it has a BTTB structure (equation 39);
- 4 Rearrange the elements of G[:, 1] into matrix C, compute its 2D-DFT via 2D-FFT and multiply by $\sqrt{4D}$ to obtain a matrix \mathcal{L}' (equation 54);
- 5 Rearrange the elements of G[1,:] into matrix C, compute its 2D-DFT via 2D-FFT and multiply by $\sqrt{4D}$ to obtain a matrix \mathcal{L}'' (equation 54);

```
6 Set \tilde{\mathbf{p}} = \mathbf{0};
 7 Set \mathbf{r} = \mathbf{d} and compute \delta = \|\mathbf{r}\|/D;
 8 Compute \boldsymbol{\vartheta} = \mathbf{G}^{\top} \mathbf{r} (Algorithm 7) and \rho_0 = \boldsymbol{\vartheta}^{\top} \boldsymbol{\vartheta};
     Set \tau = 0 and \eta = 0;
10 m = 1;
      while (\delta > \epsilon) and (m < ITMAX) do
11
             Update \eta \leftarrow \vartheta + \tau \eta;
12
             Compute \nu = G \eta (Algorithm 7);
13
             Compute v = \rho_0/(\boldsymbol{\nu}^{\top}\boldsymbol{\nu});
14
             Update \tilde{\mathbf{p}} \leftarrow \tilde{\mathbf{p}} + v \, \boldsymbol{\eta};
15
             Update \mathbf{r} \leftarrow \mathbf{r} - v \, \boldsymbol{\nu} and compute \delta \leftarrow \|v \, \boldsymbol{\nu}\|/D;
16
             Compute \boldsymbol{\vartheta} = \mathbf{G}^{\top} \mathbf{r} (Algorithm 7) and \rho = \boldsymbol{\vartheta}^{\top} \boldsymbol{\vartheta};
17
             Compute \tau = \rho/\rho_0;
18
             Update \rho_0 \leftarrow \rho;
19
             m \leftarrow m + 1;
20
```

Algorithm 7: Pseudo-code for computing the generic matrix-vector products given by equations 40 and 41 via fast 2D discrete convolution for a given vector \mathbf{v} (equation 42) and matrix \mathcal{L} (equation 54)

```
1 Rearrange the elements of v (equations 40 and 42) into the matrix V_c (equation 53);
```

- 2 Compute $\mathcal{F}_{2N_B} \mathcal{V}_c \mathcal{F}_{2N_b}$ via 2D-FFT;
- 3 Compute the Hadamard product with matrix \mathcal{L} (equation 54);
- 4 Compute 2D-IDFT via 2D-FFT to obtain matrix \mathcal{W}_c (53);
- 5 Retrieve w (equations 40 and 42) from w_c (equations 43–45);

455 3.8 Direct deconvolution

The method proposed by Takahashi et al. (2020, 2022) can be reformulated to avoid the iterations of the conjugate gradient method. This alternative formulation consists in considering that $\mathbf{v} = \mathbf{p}$ and $\mathbf{w} = \mathbf{d}$ in

equation 40, where **p** is the parameter vector (equation 3) and **d** the observed data vector. Then, equation 52 is manipulated to obtain

$$\mathcal{V}_{c} = \mathcal{F}_{2N_{B}}^{*} \left[\left(\mathcal{F}_{2N_{B}} \, \mathcal{W}_{c} \, \mathcal{F}_{2N_{b}} \right) \circ \check{\mathcal{L}} \right] \mathcal{F}_{2N_{b}}^{*} , \qquad (55)$$

460 where

$$\overset{\sim}{\mathcal{L}} = \mathcal{L}^* \oslash (\mathcal{L}\mathcal{L}^* + \zeta 1) ,$$
(56)

- 461 1 is a $4D \times 4D$ matrix of ones, " \oslash " denotes entrywise division and ζ is a positive scalar. Note that $\zeta = 0$
- 462 leads to $1 \oslash \mathcal{L}$. In this case, the entrywise division may be problematic due to the elements of \mathcal{L} having
- 463 absolute value equal or close to zero. So, a small ζ is set to avoid this problem in equation 56. Next, we use
- 464 \mathcal{L} to obtain a matrix \mathcal{V}_c from equation 55. Finally, the elements of the estimated parameter vector $\tilde{\mathbf{p}}$ are
- 465 retrieved from the first quadrant of \mathcal{V}_c . This procedure represents a direct deconvolution (e.g., Aster et al.,
- 466 2019, p. 220) using a *Wiener filter* (e.g., Gonzalez and Woods, 2002, p. 263).
- Differently from the convolutional equivalent-layer method proposed by Takahashi et al. (2020, 2022),
- 468 the alternative direct deconvolution presented here produces an estimated parameter vector $\tilde{\mathbf{p}}$ directly from
- 469 the observed data d, in a single step, avoiding the conjugate gradient iterations. On the other hand, the
- 470 alternative method presented here requires estimating a set of tentative parameter vectors $\tilde{\mathbf{p}}$ for different
- 471 predefined ζ . Besides, there must be criterion to chose the best $\tilde{\mathbf{p}}$ from this tentative set. This can be
- 472 made, for example, by using the well-known *L-curve* (Hansen, 1992). From a computational point of view,
- 473 the number of CGLS iterations in the method proposed by Takahashi et al. (2020, 2022) is equivalent to
- 474 the number of tentative estimated parameter vectors required to form the L-curve in the proposed direct
- 475 deconvolution.

4 SOLUTION STABILITY

- The solution stability of the equivalent-layer methods is rarely addressed. Here, we follow the numerical stability analysis presented in Siqueira et al. (2017).
- Let us assume noise-free potential-field data d, we estimate a physical-property distribution p (estimated
- 479 solution) within the equivalent layer. Then, the noise-free data d are contaminated with additive D different
- 480 sequences of pseudorandom Gaussian noise, creating different noise-corrupted potential-field data d_{ℓ}^{o} ,
- 481 $\ell = 1, ..., D$. From each $\mathbf{d}_{\ell}^{\mathbf{o}}$, we estimate a physical-property distribution $\hat{\mathbf{p}}_{\ell}$ within the equivalent layer.
- Next, for each noise-corrupted data $\mathbf{d}^{\mathbf{o}}_{\ell}$ and estimated solution $\hat{\mathbf{p}}_{\ell}$, the ℓ th model perturbation δp_{ℓ} and the
- 483 $\,\ell$ th data perturbation δd_{ℓ} are, respectively, evaluated by

$$\delta p_{\ell} = \frac{\parallel \hat{\mathbf{p}}_{\ell} - \mathbf{p} \parallel_2}{\parallel \mathbf{p} \parallel_2}, \quad \ell = 1, ..., D,$$
 (57)

484 and

$$\delta d_{\ell} = \frac{\parallel \mathbf{d}_{\ell}^{\mathbf{o}} - \mathbf{d} \parallel_2}{\parallel \mathbf{d} \parallel_2}, \quad \ell = 1, ..., D.$$

$$(58)$$

Regardless of the particular method used, the following inequality (?, p. 66) is applicable:

$$\delta p_{\ell} \le \kappa \, \delta d_{\ell}, \quad \ell = 1, ..., D,$$
 (59)

Takahashi et al.

- 486 where κ is the constant of proportionality between the model perturbation δp_{ℓ} (equation 57) and the data
- 487 perturbation δd_{ℓ} (equation 58). The constant κ acts as the condition number of an invertible matrix in a
- 488 given inversion, and thus measures the instability of the solution. The larger (smaller) the value of κ the
- 489 more unstable (stable) is the estimated solution.
- Equation 59 shows a linear relationship between the model perturbation and the data perturbation. By
- 491 plotting δp_b (equation 57) against δd_ℓ (equation 58) produced by a set of D estimated solution obtained by
- 492 applying a given equivalent-layer method, we obtain a straight line behaviour described by equation 59.
- 493 By applying a linear regression, we obtain a fitted straight line whose estimated slope (κ in equation 59)
- 494 quantifies the solution stability.
- Here, the analysis of solution stability is numerically conducted by applying the classical equivalent-
- 496 layer technique with zeroth-order Tikhonov regularization, the convolutional method for gravimetric and
- 497 magnetic data, the deconvolutional method (equation ??) and the deconvolutional method with different
- 498 values for the Wiener stabilization (equation ??).

5 NUMERICAL SIMULATIONS

499 5.1 Floating-point operations calculation

- To measure the computational effort of the different algorithms to solve the equivalent layer linear system,
- a non-hardware dependent method can be useful because allow us to do direct comparison between them.
- 502 Counting the floating-point operations (*flops*), i.e., additions, subtractions, multiplications and divisions is
- a good way to quantify the amount of work of a given algorithm (Golub and Van Loan, 2013). For example,
- 504 the number of flops necessary to multiply two vectors \mathbb{R}^D is 2D. A common matrix-vector multiplication
- 505 with dimension $\mathbb{R}^{D\times D}$ and \mathbb{R}^D , respectively, is $2D^2$ and a multiplication of two matrices $\mathbb{R}^{D\times D}$ is $2D^3$.
- 506 Figure ?? shows the total flops count for the different methods presented in this review with a crescent
- 507 number of data, ranging from 10,000 to 1,000,000.

508 5.1.1 Normal equations using Cholesky decomposition

- The equivalent sources can be estimated directly from solving the normal equations 18. In this work
- 510 we will use the Cholesky decompositions method to calculate the necessary flops for a overdetermined
- 511 problem (equation 20). In this method it is necessary to calculate the lower triangule matrix of the left side
- 512 equation $(1/2D^3)$, the Cholesky factor $(1/3D^3)$, a matrix-vector multiplication $(2D^2)$ and finally solving
- 513 the triangular system $(2D^2)$, totalizing

$$f_{classical} = \frac{5}{6}D^3 + 4D^2 \tag{60}$$

514 5.1.2 Window method (Leão and Silva, 1989)

- The moving data-window scheme (Leão and Silva, 1989) solve M linear systems with much smaller
- 516 sizes (equation ??) in comparison to the original $D \times D$ system. For our results we are considering a
- 517 data-window of the same size of wich the authors presented in theirs work (D' = 49) and the same number
- 518 of equivalent sources (P' = 225). Using the algorithm 1 as a guide, we have a matrix-matrix multiplication
- 519 $(2D'^2P')$, a scalar multiplication and a sum with diagonal matrices (D') each, a matrix inverse (D'^3) ,
- 520 another matrix-matrix product $(2D'P'^2)$, a matrix-vetor product (2P'D') and finally a iteration with a
- 521 vector-vector multiplication (2D'). The *flops* are

$$f_{window} = M2D' + 2P'D' + \frac{2D'^3}{3} + 2D' + 2D'P'(D' + P')$$
(61)

- Here we are considering a $2D'^3/3$ flops count for the Gauss-Jordan inverse matrix algorithm and M=D
- as we want to calculate the same number of transformations as observation points. Notice that this algorithm
- takes advantage of a regular grid to calculate only once the inverse matrix and the harmonic functions of a', with a irregular grid these calculations would be necessary at each iteration. Also this method does
- 526 not store the equivalent sources estimatives saving computer memory, however, any other potential field
- 527 transformation would require to run the algorithm again with the compatible harmonic function a' (equation
- 528 5).

529 5.1.3 PEL method (Oliveira Jr. et al., 2013)

- The polynomial equivalent layer uses a similiar approach of moving windows from Leão and Silva (1989).
- 531 For this operations calculation (equation 32) we used a first degree polynomial (two variables) and each

window contains D' = 1,000 observed data and P' = 1,000 equivalent sources. Following the steps given in (Oliveira Jr. et al., 2013) the total flops becomes

$$f_{pel} = \frac{1}{3}N_c^3 + 2N_c^2 + 2DP'N_c + N_c^2D + 2N_cD + 2DC$$
 (62)

- where N_c is the number of constant coefficients for the first degree polynomial (C=3) times the number of windows $(C \times M)$.
- 536 5.1.4 Conjugate gradient least square (CGLS)
- The CGLS method is a very stable and fast algorithm for solving linear systems iteratively. Its computational complexity envolves a matrix-vector product outside the loop $(2D^2)$, two matrix-vector products inside the loop $(4D^2)$ and six vector products inside the loop (12D) (?)

$$f_{cals} = 2D^2 + it(4D^2 + 12D) (63)$$

- 540 5.1.5 Wavelet compression method with CGLS (?)
- For the wavelet method (equation ??) we have calculated a compression rate of 98% ($C_r = 0.02$)
- as the authors used in ? and the wavelet transformation requiring $log_2(D)$ flops each (equations 34 and
- 543 35), with its inverse also using the same number of operations (equation 38). The normalization using
- 544 diagonal matrix L in equations 36, 37 and 38 can be simplified to a matrix-vector product (2DP) and two
- vector-vector products (2Peach). Combined with the conjugate gradient least square necessary steps and
- 546 iterations, the number of *flops* are

$$f_{wavelet} = 2DP + 4P + 2DC_r + 4D\log_2(D) + it(4D\log_2(D) + 4DC_r + 12C_r)$$
(64)

- 547 5.1.6 Fast equivalent layer for gravity data (Sigueira et al., 2017)
- The fast equivalent layer from Siqueira et al. (2017) solves the linear system in it iterations. The main
- cost of this method (algorithm 5) is the matrix-vector multiplication to assess the predicted data $(2D^2)$ and
- 550 three simply element by element vector sum, subtraction and division (3D total)

$$f_{siqueira} = it(3D + 2D^2) \tag{65}$$

- 551 5.1.7 Convolutional equivalent layer for gravity data (?)
- 552 This methods replaces the matrix-vector multiplication of the iterative fast-equivalent technique (Siqueira
- et al., 2017) by three steps, involving a Fourier transform, an inverse Fourier transform, and a Hadamard
- product of matrices (equation 53). Considering that the first column of our BCCB matrix has 4D elements,
- 555 the flops count of this method is a combination of agorithms 5 and 7

$$f_{convarav} = \kappa 4D \log_2(4D) + it(27D + \kappa 8D \log_2(4D))$$
 (66)

- In the resultant count we considered a *radix-2* algorithm for the fast Fourier transform and its inverse, which has a κ equals to 5 and requires $\kappa 4D \log_2(4D)$ flops each. The Hadarmard product of two matrices
- of 4D elements with complex numbers takes 24D flops. Note that equation 66 is different from the one

presented in ? because we also added the flops necessary to calculate the eigenvalues in this form. It does not differentiate much in order of magnitude because the iterative part is the most costful.

5.1.8 Convolutional equivalent layer for magnetic data (?)

The convolutional equivalent layer for magnetic (algorithm 6) data uses the same flops count of the main operations as in the gravimetric case (equation 53), the difference is the use of the conjugate gradient algorithm to solve the inverse problem. It requires a Hadamard product outside of the iterative loop and the matrix-vector and vector-vector multiplications inside the loop as seem in equation 63.

$$f_{convmag} = \kappa 16D \log_2(4D) + 24D + it(\kappa 16D \log_2(4D) + 60D) \tag{67}$$

566 5.1.9 Deconvolutional method

The deconvolution method does not require an iterative algorithm, rather it solves the estimative of the physical properties in a single step using the 4D eigenvalues of the BCCB matrix as in the convolutional method. From equation ?? it is possible to deduce this method requires two fast Fourier transform ($\kappa 4D \log_2(4D)$), one for the eigenvalues and another for the data transformation, an element by element division (24D) and finally, a fast inverse Fourier transform for the final estimative ($\kappa 4D \log_2(4D)$).

$$f_{deconv} = \kappa 12D \log_2(4D) + 24D \tag{68}$$

Using the deconvolutional method with a Wiener stabilization adds two multiplications of complex elements of the conjugates eigenvalues (24D each) and the sum of 4D elements with the stabilization parameter μ as shown in equation ??

$$f_{deconvwiener} = \kappa 12D \log_2(4D) + 76D \tag{69}$$

CONFLICT OF INTEREST STATEMENT

- 575 The authors declare that the research was conducted in the absence of any commercial or financial
- 576 relationships that could be construed as a potential conflict of interest.

AUTHOR CONTRIBUTIONS

- 577 The Author Contributions section is mandatory for all articles, including articles by sole authors. If an
- 578 appropriate statement is not provided on submission, a standard one will be inserted during the production
- 579 process. The Author Contributions statement must describe the contributions of individual authors referred
- 580 to by their initials and, in doing so, all authors agree to be accountable for the content of the work. Please
- 581 see here for full authorship criteria.

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DATA AVAILABILITY STATEMENT

- 588 The datasets generated for this study can be found in the frontiers-paper Github repository link:
- 589 https://github.com/DiegoTaka/frontiers-paper.

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