

Regulatory Landscape: US vs EU

United States

Dodd-Frank Act (2010)

Systemic-risk oversight

SEC / DERA

Data analytics division

MIDAS Platform

Market surveillance system

Whistleblower Program

Financial incentives

European Union

AIFMD (2011)

Fund manager regulation

National Authorities

ESMA coordination

EU AI Act (2024)

Risk-based AI governance

High-Risk Classification

Algorithmic finance rules

*Cross-border
coordination*