Article Title

Dennis Psaroudakis ¹, Ha Vu ¹, Colleen Yanarella ¹, Steven Cannon ¹, Darwin Campbell ¹, Parnal Joshi ¹, Iddo Friedberg ^{1,4}, Kokulapalan Wimalanathan ^{1,2}, Carolyn J. Lawrence-Dill ^{1,2,3*}

- ¹ Bioinformatics and Computational Biology, Iowa State University, Ames, IA, USA
- ² Department of Genetics, Development, and Cell Biology, Iowa State University, Ames, IA, USA
- ³ Department of Agronomy, Iowa State University, Ames, IA, USA
- ⁴ Department of Veterinary Microbiology, Iowa State University, Ames, IA, USA

Correspondence*:

Carolyn J. Lawrence-Dill

triffid@iastate.edu

2 ABSTRACT

- 3 Abstract length and content varies depending on article type. Refer to http://
- 4 www.frontiersin.org/about/AuthorGuidelines for abstract requirement and length
- 5 according to article type.

1 INTRODUCTION

7 Hello, how are we doing?

2 METHODS

2.1 Generating Predictions

9 Used GOMAP on condo lalala. Input files are (usually) published along results.

10 **2.2 Clean up**

- All functional annotation sets were cleaned up the following way (using definitions from the Gene
- 12 Ontology version 2019-07-01):
- 13 1. Any annotations where the GO accession was marked as obsolete were removed.
- 14 2. Some terms in the GO have 'alternative ids'. When naively removing duplicates, two entries will not
- be recognized as duplicates if they have different accessions pointing to the same GO term. Therefore,
- all GO accessions were changed to their respecitve 'main id' and the dataset was again scanned for
- 17 duplicates.
- 18 3. Any annotations with modifiers (NOT, contributes_to...) were removed since no tool used in the
- 19 further analysis can handle them.

Psaroudakis et al. **Short Title**

- Table 1 provides information on the number of annotations that were removed this way from each dataset. 20
- 21 All further analyses were performed on the cleaned datasets since we assume the user will only be interested
- in still valid and non-redundant functional annotations. 22

23 Quantitative Evaluation

24 lalala lololo table xyz

2.4 Quality Evaluation 25

Quality evaluation of gene function predictions is not trivial and usually done by comparing the set of 26 predicted functions of a gene against a gold standard consisting of annotations that are assumed to be 27 correct. We used annotations that were created or in some way curated with human participation for gold 28 standards. There are a plethora of different metrics to perform the comparison of predictions against this 29 gold standard. When we first published GOMAP (Wimalanathan et al., 2018), we used a modified version 30 of the hierarchical evaluation metrics originally introduced in (Verspoor et al., 2006) because they were 31 simple, clear, and part of an earlier attempt at unifying and standardizing GO annotation comparisons 32 (Defoin-Platel et al., 2011). In the meantime, Plyusnin et al. (2019) have published an approach for 33 evaluating different metrics showing substantial differences within the robustness of different approaches. 34 TODO DESCRIBE THEIR APPROACH We have applied their method on the Gold Standards available 36 to us to determine which evaluation metric is the most appropriate in our case. The results of this analysis can be seen in TODO. 37

38 We then evaluated our predictions and the other annotation sets using the best performing metrics as well 39 as the one we previously used (Table TODO).

2.5 **Phylogenetic Tree Construction** 40

- To demonstrate that a more top-level and holistic use of whole-genome functional predictions can still be 41
- useful we devised some simple ways of applying phylogenetic methods to our predictions. ### Distance 42
- Based ### Character Based 43

Ensuring Reproducibility

45 containerization, github...

3 **RESULTS**

... a quantitative comparison of the datasets in Table.

Quality Evaluation 3.1 47

TODO If it turns out that our predictions are good with hF but bad with more approriate metrics, 48 explanation would be that score thresholds for the prediction tools used in the GOMAP pipeline have 49 been chosen to maximize this hF value. It now seems reasonable to re-adjust these thresholds to maximize 50 a different metric which will likely result in a drop in hF score but increase in other metrics. Again 51 emphasizes the importance of choosing the right evaluation metric. Also shows how comparison between 52 different pipelines/predictions can be difficult if chose different metric or optimized for different metric. 53 Also: if an annotation is not present in the gold standard, there is no way of knowing whether that gene

truly doesn't have that function or whether it has just never been characterized/examined. So we cannot

distinguish between a biologically true negative and an actually false negative in the gold standard. This

Psaroudakis et al. Short Title

Table 1. Number of removed annotations during cleanup.

| Genome | Dataset | Obsolete Annotations | Duplicates | Annotations with Modifiers |
|--------------------------|---|-----------------------|--------------------|----------------------------|
| Arachis hypogaea | GOMAP | 0 | 0 | 912 |
| Brachypodium distachyon | GOMAP | 696 | 43 | 789 |
| Glycine max | GOMAP | 203 | 0 | 930 |
| Gossypium raimondii | GOMAP | 184 | 0 | 822 |
| Hordeum vulgarum | GOMAP GoldStandard | 101 0 | 0 4 | 815 0 |
| Medicago truncatula A17 | GOMAP | 0 | 0 | 798 |
| Medicago truncatula R108 | GOMAP | 0 | 0 | 803 |
| Oryza sativa | GOMAP GoldStandard Gramene61-IEA | 111 38 10 | 2 556 14 | 869 0 0 |
| Phaseolus vulgaris | GOMAP | 0 | 0 | 783 |
| Sorghum bicolor | GOMAP | 690 | 59 | 783 |
| Triticum aestivum | GOMAP GoldStandard Gramene61-IEA | 285 0 47 | 0 10 48 | 1132 0 0 |
| Vigna unguiculata | GOMAP | 0 | 0 | 811 |
| Zea mays B73.v3 | GOMAP GoldStandard Gramene49 Phytozome | 1106 1 94 54 | 70 11 2 0 | 709 NA 0 0 |
| Zea mays B73.v4 | GOMAP GoldStandard Gramene61-IEA | 752 55 99 | 83 174 157 | 848 0 0 |
| Zea mays Mo17 | GOMAP | 726 | 77 | 823 |
| Zea mays PH207 | GOMAP | 798 | 76 | 830 |
| Zea mays W22 | GOMAP | 754 | 82 | 840 |

Download this table (CSV)

poses a problem when annotations are predicted that are not found in the gold standard: Is this truly a wrong prediction or is the gold standard incomplete? Especially in our case where the predictions not only contain more annotations than the gold standard, but are also more diverse. In effect this means that a quality score as calculated above may not only describe the quality of the prediction, but to some extent also the completeness of the gold standard itself. At least we can see here that gold standards with a median of 3 annotations per gene resulted in higher quality scores than gold standards with less annotations per gene, even though predictions were generated the same way in all cases. TODO maybe put a figure with regression quality score/median annotions per gene or something In conclusion this means that truly making a statement about the quality of a prediction set would require the ideal and complete gold standard. The scores we can generate so far are by far not as meaningful.

REFERENCES

59

60 61

62

63

65

66

Defoin-Platel, M., Hindle, M. M., Lysenko, A., Powers, S. J., Habash, D. Z., Rawlings, C. J., et al. (2011). AIGO: Towards a unified framework for the Analysis and the Inter-comparison of GO functional annotations. *BMC Bioinformatics* doi:10.1186/1471-2105-12-431

Frontiers 3

Psaroudakis et al. Short Title

Table 2. Quantitative metrics of the cleaned functional annotation sets. CC, BF, MP, and A refer to the aspects of the GO: Cellular Component, Biological Function, Molecular Process, and Any/All.

| | | | Genes Annotated[%]a | | | Annotations ^b | | | Median Ann. per G.c | | | | | |
|--------------------------|---------|---|---------------------------------|---------------------------------|---------------------------------|----------------------------------|-------------------------------------|----------------------------------|------------------------------------|--------------------------------------|------------------|-------------|------------------|-------------------|
| Genome | Genes | Dataset | CC | BF | MP | A | CC | BF | MP | A | CC | BF | MP | A |
| Arachis hypogaea | 67,124 | GOMAP | 85.90 | 84.69 | 100.00 | 100.00 | 153,052 | 132,624 | 493,588 | 779,264 | 2 | 2 | 6 | 10 |
| Brachypodium distachyon | 34,310 | GOMAP | 81.37 | 85.36 | 100.00 | 100.00 | 75,536 | 69,452 | 255,616 | 400,604 | 2 | 2 | 6 | 10 |
| Glycine max | 52,872 | GOMAP | 87.02 | 88.93 | 100.00 | 100.00 | 128,836 | 113,491 | 417,340 | 659,667 | 2 | 2 | 6 | 11 |
| Gossypium raimondii | 37,505 | GOMAP | 93.06 | 92.37 | 100.00 | 100.00 | 96,442 | 85,234 | 307,727 | 489,403 | 2 | 2 | 6 | 11 |
| Hordeum vulgarum | 39,734 | GOMAP GoldStandard | 88.67 0.02 | 91.77 0.05 | 100.00 0.05 | 100.00 0.07 | 87,780 7 | 80,015 23 | 272,625 45 | 440,420 75 | 2 0 | 2 | 5 1 | 10 2 |
| Medicago truncatula A17 | 50,444 | GOMAP | 83.90 | 86.69 | 100.00 | 100.00 | 107,019 | 99,452 | 363,877 | 570,348 | 2 | 2 | 6 | 10 |
| Medicago truncatula R108 | 55,706 | GOMAP | 72.39 | 90.14 | 100.00 | 100.00 | 111,991 | 107,769 | 382,133 | 601,893 | 1 | 2 | 5 | 9 |
| Oryza sativa | 35,825 | GOMAP GoldStandard Gramene61-IEA | 79.87 15.98 30.07 | 83.31 20.61 43.37 | 100.00 25.21 46.63 | 100.00 31.79 59.86 | 72,415 7,730 14,633 | 64,386 11,060 32,787 | 248,495 19,378 39,105 | 385,296 38,176 86,529 | 2 1 1 | 2 1 1 | 6 1 1 | 9 3 3 |
| Phaseolus vulgaris | 27,433 | GOMAP | 94.52 | 93.07 | 100.00 | 100.00 | 71,658 | 64,328 | 229,449 | 365,435 | 2 | 2 | 6 | 11 |
| Sorghum bicolor | 34,129 | GOMAP | 82.48 | 85.98 | 100.00 | 100.00 | 76,343 | 69,937 | 259,229 | 405,509 | 2 | 2 | 6 | 10 |
| Triticum aestivum | 107,891 | GOMAP GoldStandard Gramene61-IEA | 88.60 0.89 26.74 | 90.98 0.57 55.24 | 100.00 1.54 48.72 | 100.00 1.73 70.24 | 267,317 1,590 38,975 | 218,186 923 109,319 | 785,689 4,807 109,518 | 1,271,192 7,323 257,832 | 2 1 0 | 2 0 1 | 6 2 1 | 10 3 2 |
| Vigna unguiculata | 29,773 | GOMAP | 91.26 | 91.08 | 100.00 | 100.00 | 75,513 | 68,040 | 243,094 | 386,647 | 2 | 2 | 6 | 11 |
| Zea mays B73.v3 | 39,469 | GOMAP GoldStandard Gramene49 Phytozome | 88.33 3.89 29.98 11.46 | 96.42 0.15 45.58 34.78 | 99.99 0.38 40.03 28.79 | 100.00 4.10 55.55 40.87 | 134,917 1,554 20,072 4,787 | 87,166 65 31,056 19,044 | 291,091 299 30,089 13,100 | 513,174 1,918 81,217 36,931 | 3 1 1 0 | 2 0 1 | 6 0 1 1 | 11 1 3 2 |
| Zea mays B73.v4 | 39,324 | GOMAP GoldStandard Gramene61-IEA | 93.36 21.23 37.57 | 94.93 25.60 56.11 | 100.00 30.82 60.94 | 100.00 38.07 74.13 | 88,468 11,510 20,265 | 81,963 15,019 47,657 | 278,518 25,737 58,110 | 448,949 52,428 126,525 | 2 1 1 | 2 1 1 | 6 1 2 | 10 3 3 |
| Zea mays Mo17 | 38,620 | GOMAP | 87.04 | 90.88 | 100.00 | 100.00 | 87,221 | 78,938 | 277,586 | 443,745 | 2 | 2 | 6 | 10 |
| Zea mays PH207 | 40,557 | GOMAP | 86.71 | 90.62 | 100.00 | 100.00 | 90,267 | 85,223 | 288,474 | 463,964 | 2 | 2 | 6 | 10 |
| Zea mays W22 | 40,690 | GOMAP | 90.89 | 92.59 | 100.00 | 100.00 | 95,043 | 84,750 | 289,576 | 469,369 | 2 | 2 | 6 | 10 |

Download this table (CSV)

Table 3. Quality evaluation of the used GO annotation sets.

| | | | SimGIC2 | | TC-AUCPCR | | | | |
|-------------------|---------------|----------|----------|----------|-----------|----------|----------|--|--|
| Genome | Dataset | CC | BF | MP | CC | BF | MP | | |
| Hordeum vulgarum | GOMAP | 0.309334 | 0.424286 | 0.180110 | NaN | 0.000493 | 0.000388 | | |
| Oryza sativa | GOMAP | 0.499374 | 0.448762 | 0.213827 | 0.274482 | 0.261767 | 0.142014 | | |
| | Gramene61-IEA | 0.416283 | 0.415342 | 0.324981 | 0.170662 | 0.257685 | 0.126104 | | |
| Triticum aestivum | GOMAP | 0.474972 | 0.418110 | 0.202528 | 0.014568 | 0.005485 | 0.009287 | | |
| | Gramene61-IEA | 0.384973 | 0.346840 | 0.191962 | 0.004446 | 0.006115 | 0.004811 | | |
| Zea mays B73.v3 | GOMAP | 0.235407 | 0.348389 | 0.096186 | 0.035907 | 0.001639 | 0.001017 | | |
| | Gramene49 | 0.299075 | 0.359259 | 0.164630 | 0.055498 | 0.003230 | 0.001965 | | |
| | Phytozome | 0.186238 | 0.347164 | 0.100965 | 0.014873 | 0.003058 | 0.000540 | | |
| Zea mays B73.v4 | GOMAP | 0.498781 | 0.429594 | 0.212130 | 0.276072 | 0.245183 | 0.133683 | | |
| | Gramene61-IEA | 0.368491 | 0.411399 | 0.323139 | 0.159213 | 0.229186 | 0.130063 | | |

Download this table (CSV)

bowntoat this table $(CS)^n$ a How many genes in the genome have at least one GO term from the CC, BF, MP aspect annotated to them? A = How many at least one from any aspect? $(A = CC \cup BF \cup MP)$

b How many annotations in the CC, BF, and MP aspect does this dataset contain? A = How many in total? A = CC + BF + MP

c Take a typical gene that is present in the annotation set. How many annotations does it have in each aspect? A = How many in total? Please note that $A \neq CC + BF + MP$

⁷⁰ Plyusnin, I., Holm, L., and Törönen, P. (2019). Novel comparison of evaluation metrics for gene

ontology classifiers reveals drastic performance differences. *PLOS Computational Biology* 15, e1007419.

⁷² doi:10.1371/journal.pcbi.1007419

Psaroudakis et al. Short Title

Verspoor, K., Cohn, J., Mniszewski, S., and Joslyn, C. (2006). A categorization approach to automated
ontological function annotation. *Protein Science* doi:10.1110/ps.062184006

- 75 Wimalanathan, K., Friedberg, I., Andorf, C. M., and Lawrence-Dill, C. J. (2018). Maize GO Annotation-
- Methods, Evaluation, and Review (maize-GAMER). Plant Direct 2, e00052. doi:10.1002/pld3.

77 52

Frontiers 5