PTHash Revisiting FCH Minimal Perfect Hashing

Giulio Ermanno Pibiri

Ca' Foscari University of Venice and ISTI-CNR





@giulio_pibiri



@jermp

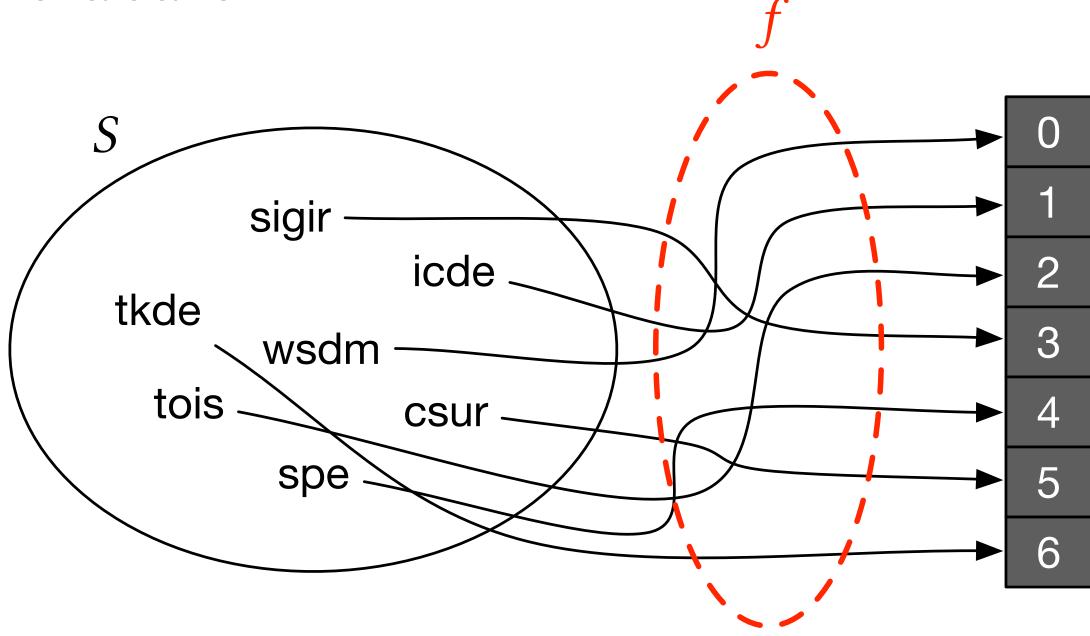
Data Structures in Bioinformatics (DSB)

Düsseldorf, Germany, 13-14 June 2022

Minimal Perfect Hashing

Given a set S of n distinct keys, a function f that bijectively maps the keys of S into the range $\{0, ..., n-1\}$ is called a minimal perfect hash function (MPHF) for S.

- Lower bound of $\log_2 e \approx 1.44$ bits/key [Mehlhorn, 1982]
 - in practice: 2-4 bits/key and constant time evaluation.
- Many known practical algorithms:
 - FCH [Fox et al., 1992]
 - CHD [Belazzougui et al., 2009]
 - EMPHF [Belazzougui et al., 2014]
 - GOV [Genuzio et al., 2016]
 - BBHash [Limasset et al., 2017]
 - RecSplit [Esposito et al., 2019]
 - PTHash [P. and Trani, 2021]



Applications

Space-efficient and fast retrieval of $\langle key, value \rangle$ pairs from a static set.

Some examples:

- Reserved words in programming languages.
- Garbage collectors.
- Command names in interactive systems.
- Lexicon of inverted indexes.
- Indexing of *q*-grams for language models.
- Indexing of *k*-mers of DNA.
- Web page URLs: DNS, page ranking, ecc.

FCH Construction

Fox, Chen, and Heath, 1992

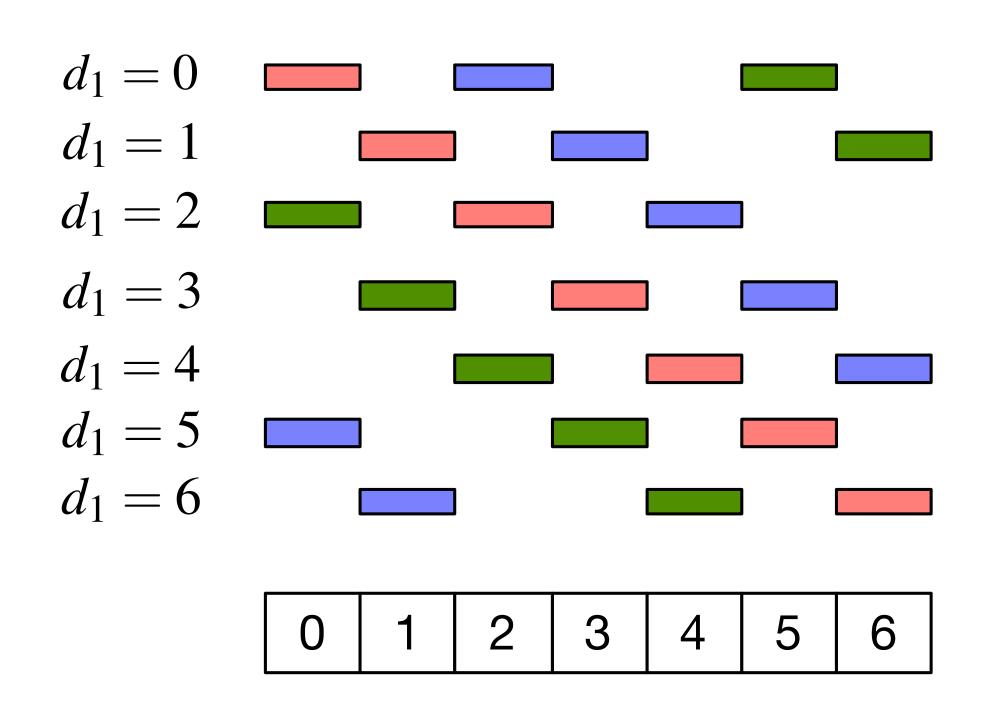
- Distribute keys into m buckets using a random hash function h and compute a displacement d_i for bucket i such that $f(x) = (h(x) + d_i) \bmod n$, and no collisions occur.
- Use $m = \lceil cn/\log_2 n \rceil$ buckets for n keys and a given parameter c.
- One memory access per lookup.

$$d_0$$
 0 tkde d_1 5 sigir spe tois d_2 2 icde d_3 5 csur wsdm



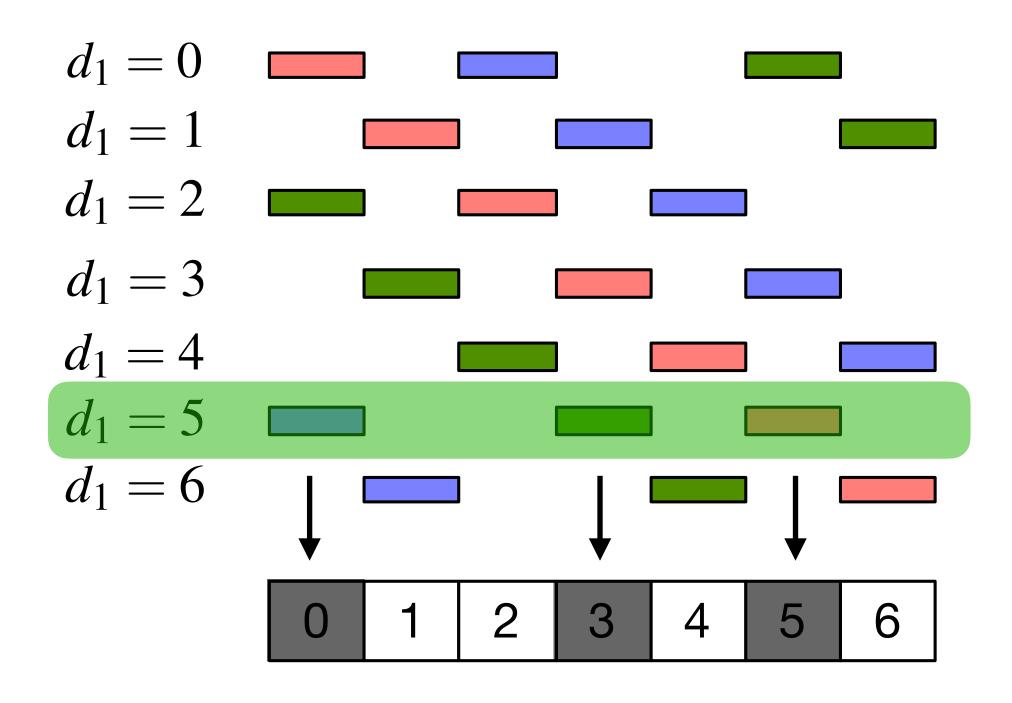
$$d_0$$
 tkde d_1 sigir spe tois d_2 icde d_3 csur wsdm

$$f(x) = (h(x) + d_i) \bmod n$$



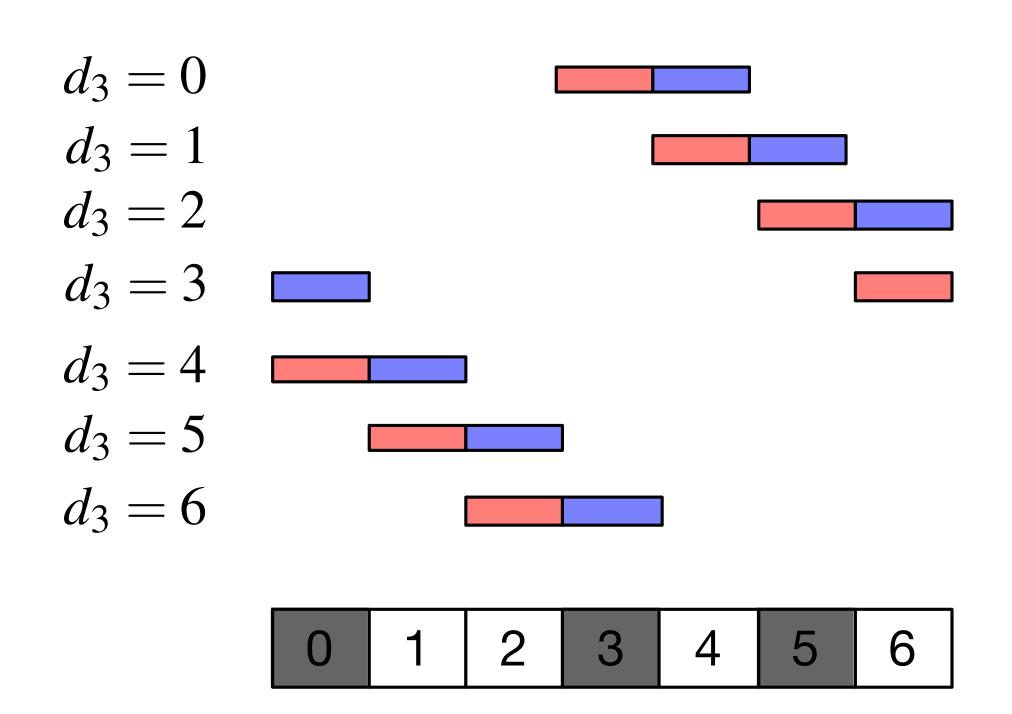
$$d_0$$
 tkde d_1 5 sigir spe tois d_2 icde d_3 csur wsdm

$$f(x) = (h(x) + d_i) \bmod n$$



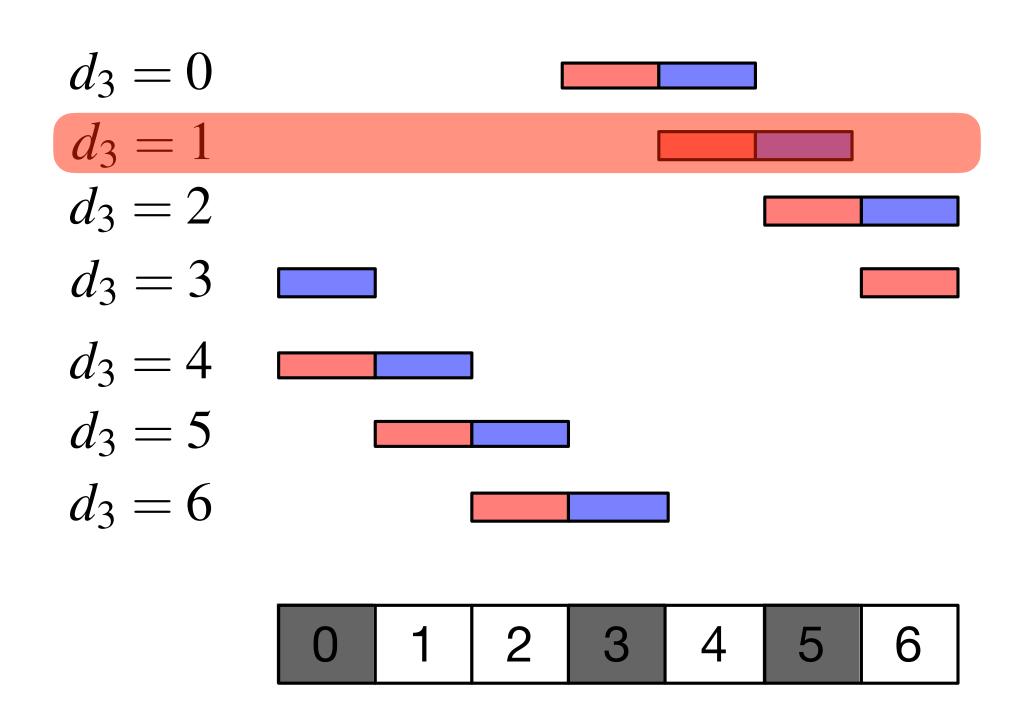
$$d_0$$
 tkde d_1 5 sigir spe tois d_2 icde d_3 csur wsdm

$$f(x) = (h(x) + d_i) \bmod n$$



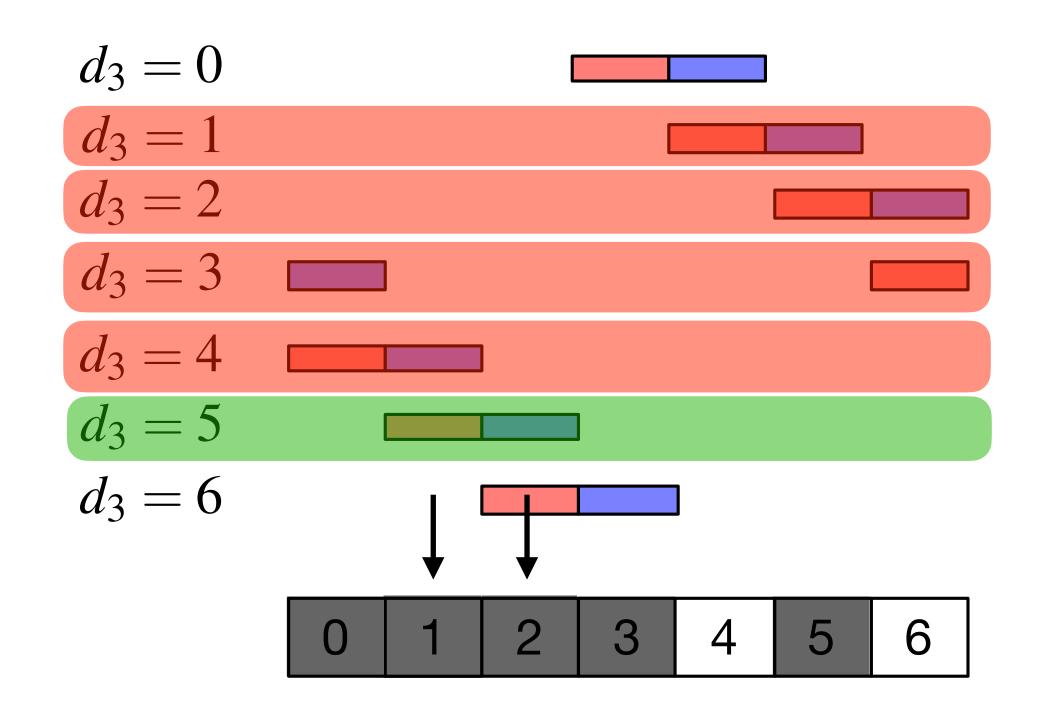
$$d_0$$
 tkde d_1 5 sigir spe tois d_2 icde d_3 csur wsdm

$$f(x) = (h(x) + d_i) \bmod n$$



$$d_0$$
 tkde d_1 5 sigir spe tois d_2 icde d_3 5 csur wsdm

$$f(x) = (h(x) + d_i) \bmod n$$



FCH Construction — Remarks

• To guarantee that all positions in the table are tested with *uniform probability*, displacements have to be tried at random: the best we can hope for is $\lceil \log_2 n \rceil$ bits per bucket.

For $\lceil cn/\log_2 n \rceil$ buckets, it costs cn total bits. Large space for large c.

Up to n trials to "fit" a pattern.
 If a successful displacement is not found for a bucket: rehash.
 Slow for small c.

Example. For 10^8 64-bit random keys and c = 3.0, FCH takes 1h 10m. SPOILER (!): other techniques can do the same in 1m or less.

Extremely fast lookup.

Our Research Question

Is it possible to combine the lookup efficiency of FCH with fast construction on large datasets and good compression effectiveness?

PTHash — Intuition

- If the table of displacements were **compressible**, we could afford to use a parameter c' > c and run the search faster, such that the size of the compressed table is $\approx cn$ bits.
- Now, how to achieve compression? Re-design the search step.

FCH PTHash

$$f(x) = (h(x) + d_i) \bmod n \qquad \longrightarrow \qquad f(x) = (h(x) \oplus h(k_i)) \bmod n$$

Pilot for *i*-th bucket.

FCH PTHash $f(x) = (h(x) + d_i) \bmod n \implies f(x) = (h(x) \oplus h(k_i)) \bmod n$ Pilot for *i*-th bucket.

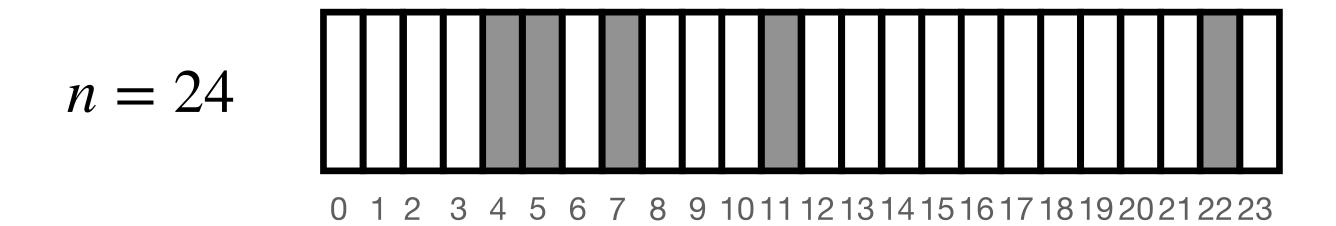
- The bitwise XOR between two random fingerprints is another random fingerprint → displacement of keys at random.
- New random patterns generated with every tried pilot, even when pilots are tried in order, that is:

$$k_i = 0, 1, 2, 3, \dots$$

Pilots will be small on average and repetitive, hence compressible.

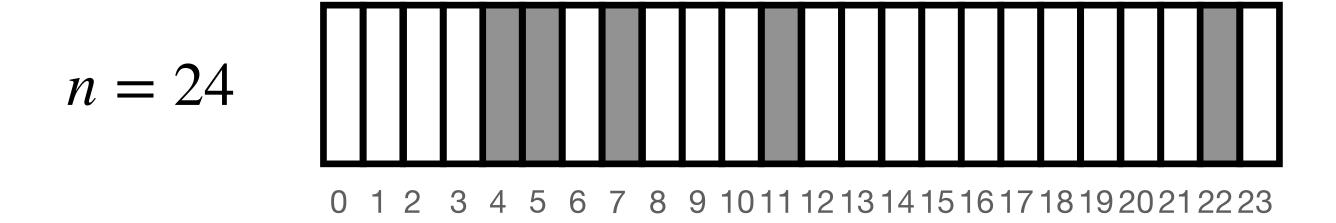
x = "A View From the Top of the World"

$$k_i = 0$$



```
\chi = "A View From the Top of the World"
```

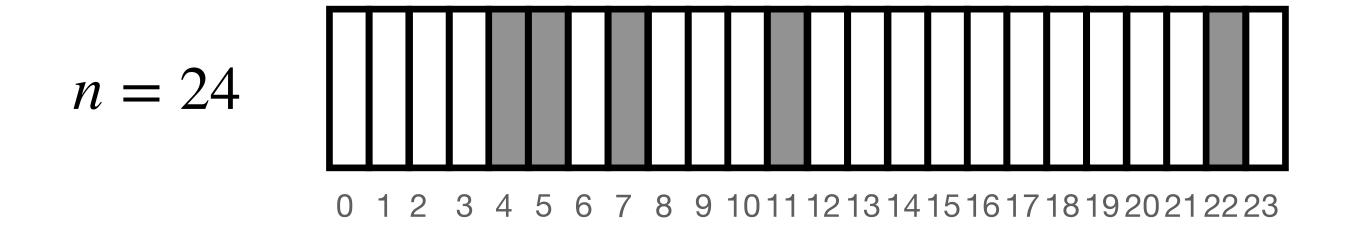
$$k_i = 0$$



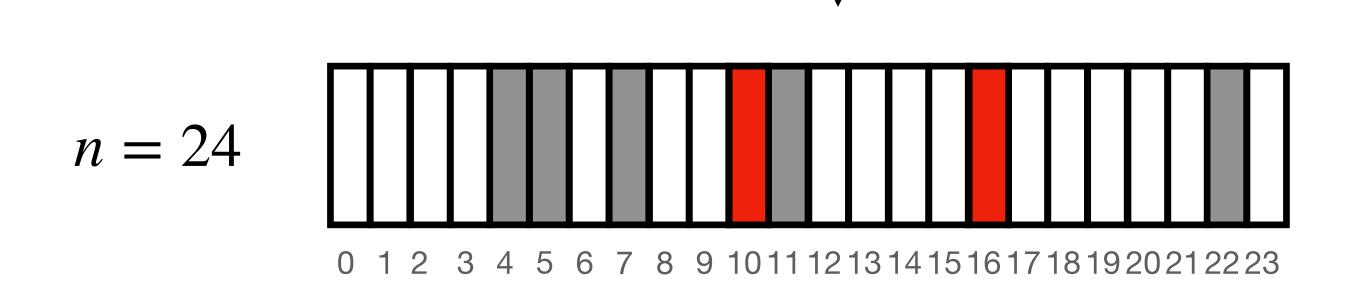
```
x = "A View From the Top of the World"
```

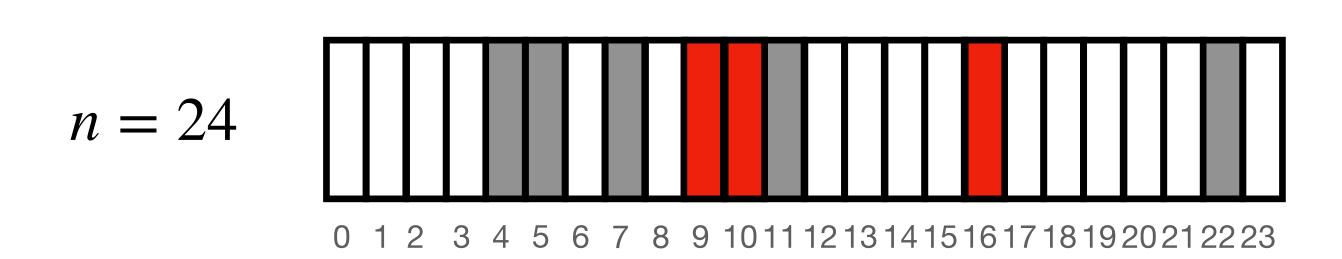
$$k_i = 0$$

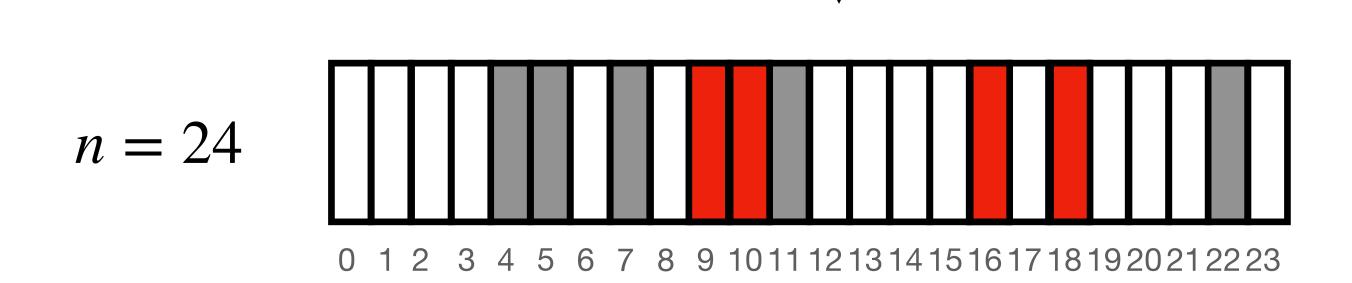


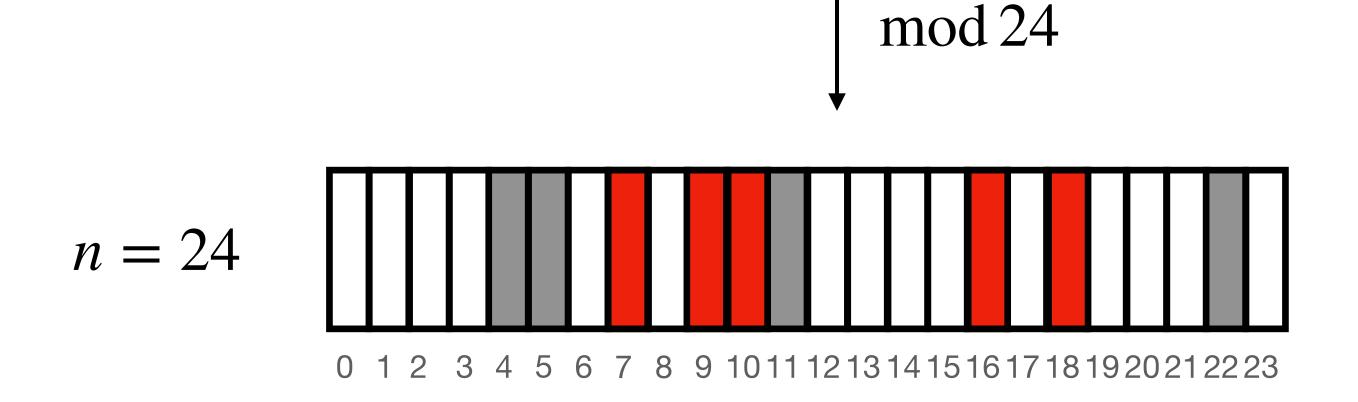


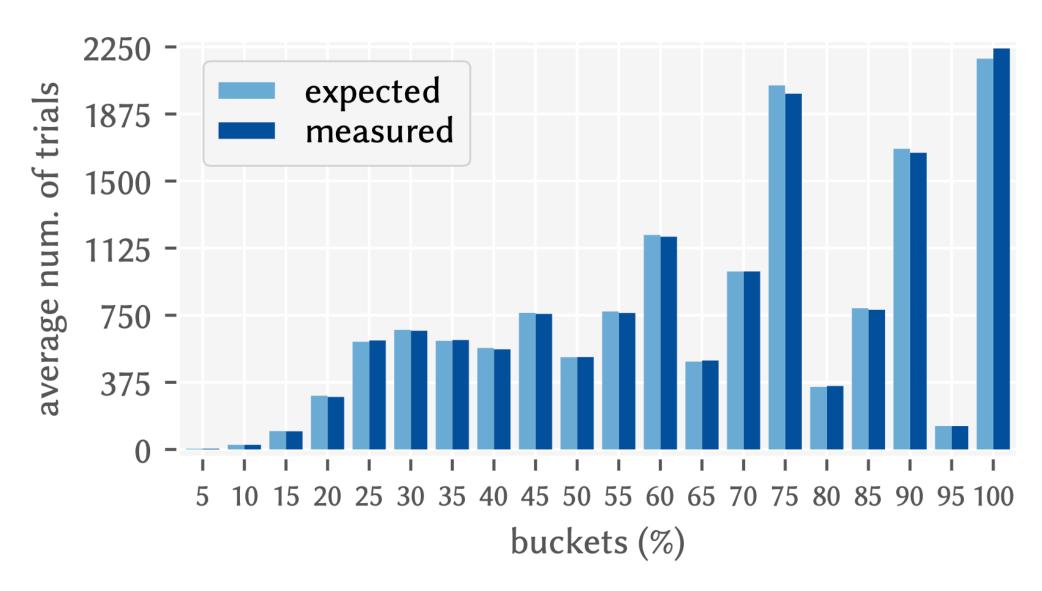
0 1 2 3 4 5 6 7 8 9 1011121314151617181920212223











$$n = 10^6$$
 keys, $c = 3.5$ (1.76 × 10^5 buckets)

$c \rightarrow$	2.5	3.0	3.5	4.0	4.5	5.0	5.5	6.0	6.5	7.0
FCH	16.69	16.85	16.93	16.93	16.87	16.77	16.65	16.49	16.32	16.14
PTHash	13.42	11.68	10.32	9.29	8.48	7.82	7.27	6.82	6.45	6.11

Empirical entropy of the tables, for $n = 10^6$ keys

PTHash — Limiting the Load Factor

- Allocate a search space of n/α slots, $0 < \alpha \le 1$.
- More slots: faster search and smaller pilots.

(Technically, this is a **perfect** hash function: need to re-rank some positions to guarantee *minimal* output. See the paper for details.)

PTHash — Example

- For 10^8 64-bit random keys and c=3.0 (3 bits/key), FCH takes 1h 10m.
- PTHash with $\alpha = 0.99$, c = 6.8, and Front-Back Dictionary-based compression achieves the same space (3 bits/key) but builds in 37s (114×).
- Both functions evaluate in 35 37 nanosec/key.

Benchmark with 1B 64-bit random keys

- Processor: Intel i9-9900K @ 3.6 GHz,
 32 KiB of L1, 256 KiB of L2 cache
- OS: Ubuntu 20
- Compiler: gcc 9.2.1, with flags -march=native -03
- construction in internal memory
- construction is single-threaded

	$n = 10^9$				
Method	constr.	space	lookup		
	(secs)	(bits/key)	(ns/key)		
FCH, $c = 3$	_	_	_		
FCH, $c=4$	15904	4.00	35		
FCH, $c = 5$	2937	5.00	35		
FCH, $c = 6$	2133	6.00	35		
FCH, $c=7$	1221	7.00	35		
CHD, $\lambda = 4$	1972	2.17	419		
CHD, $\lambda = 5$	5964	2.07	417		
CHD, $\lambda = 6$	23746	2.01	416		
EMPHF	374	2.61	199		
GOV	875	2.23	175		
BBHash, $\gamma = 1$	253	3.06	170		
BBHash, $\gamma = 2$	152	3.71	143		
BBHash, $\gamma = 5$	100	6.87	113		
RecSplit, ℓ =5, b =5	233	2.95	220		
RecSplit, ℓ =8, b =100	936	1.80	204		
RecSplit, ℓ =12, b =9	5700	2.23	197		
PTHash					
(i) C-C, α =0.99, c =7	1042	3.23	37		
(ii) D-D, α =0.88, c =11	308	3.94	64		
(iii) EF, α =0.99, c =6	1799	2.17	101		
(iv) D-D, α =0.94, c =7	689	2.99	55		

(A part of) Table 5 from [1].

Benchmark with 1B 64-bit random keys

- Processor: Intel i9-9900K @ 3.6 GHz,
 32 KiB of L1, 256 KiB of L2 cache
- OS: Ubuntu 20
- Compiler: gcc 9.2.1, with flags -march=native -03
- construction in internal memory
- construction is single-threaded

	$n = 10^9$				
Method	constr.	space	lookup		
	(secs)	(bits/key)	(ns/key)		
FCH, $c = 3$	_	_	_		
FCH, $c = 4$	15904	4.00	35		
FCH, $c = 5$	2937	5.00	35		
FCH, $c = 6$	2133	6.00	35		
FCH, $c = 7$	1221	7.00	35		
CHD, $\lambda = 4$	1972	2.17	419		
CHD, $\lambda = 5$	5964	2.07	417		
CHD, $\lambda = 6$	23746	2.01	416		
EMPHF	374	2.61	199		
GOV	875	2.23	175		
BBHash, $\gamma = 1$	253	3.06	170		
BBHash, $\gamma = 2$	152	3.71	143		
BBHash, $\gamma = 5$	100	6.87	113		
RecSplit, ℓ =5, b =5	233	2.95	220		
RecSplit, ℓ =8, b =100	936	1.80	204		
RecSplit, ℓ =12, b =9	5700	2.23	197		
PTHash					
(i) C-C, α =0.99, c =7	1042	3.23	37		
(ii) D-D, α =0.88, c =11	308	3.94	64		
(iii) EF, α =0.99, c =6	1799	2.17	101		
(iv) D-D, α =0.94, c =7	689	2.99	55		

Benchmark with string collections

Dataset	Number of strings			
ClueWeb09-Full URLs	4 780 950 911			
GoogleBooks 3-gr	7 384 478 110			

Numbers in parentheses refer to the parallel construction using 8 threads. All PTHash configurations use $\alpha=0.94$ and c=7.0.

- construction in external memory
- construction is multi-threaded

	ClueWe	b09-Full UI	RLs	GoogleBooks 3-gr			
Method	construction	space	lookup	construction	space	lookup	
	(seconds)	(bits/key)	(ns/key)	(seconds)	(bits/key)	(ns/key)	
PTHash (D-D)	7234 (4869)	2.96	120	9770 (5865)		91	
PTHash (PC)	7161 (4859)	2.58	175	9756 (5736)		143	
PTHash (EF)	7225 (4788)	2.32	214	9649 (5849)		208	
PTHash-HEM (D-D)	4651 (3632)	2.75	152	5215 (3510)	2.57	135	
PTHash-HEM (PC)	4522 (3541)	2.58	192	5015 (3366)		190	
PTHash-HEM (EF)	4627 (3631)	2.32	235	5179 (3512)		230	
EMPHF	24862	2.61	231	37731	2.61	220	
EMPHF-HEM	3980	3.31	304	5606	3.06	304	
GOV	8228 (5400)	2.23	232	10782 (6461)	2.23	242	
BBHash ($\gamma=1.0$) BBHash ($\gamma=2.0$)	19360 (18391) 11074 (10348)		320 236	20178 (9554) 10254 (5404)		305 235	

(A part of) Table 5 from [2].

Conclusions

- PTHash combines good space effectiveness and fast construction with the excellent lookup performance of FCH.
- PTHash can be tuned to consume space similar to another method and, yet, it provides remarkably better lookup performance, with feasible or better construction speed.
 - Flexibility: minimal and non-minimal perfect hash functions
 - Space/Time Efficiency: fast lookup within compressed space
 - External-Memory Scaling: use disk if not enough RAM is available
 - Parallel Construction: use more threads to speed up construction
 - Configurable: can offer different trade-offs
 - C++ code available at: https://github.com/jermp/pthash

References

- 1. Giulio Ermanno Pibiri and Roberto Trani. "PTHash: Revisiting FCH Minimal Perfect Hashing". In Proceedings of the 44th International Conference on Research and Development in Information Retrieval (SIGIR). 2021.
- 2. Giulio Ermanno Pibiri and Roberto Trani. "Parallel and External-Memory Construction of Minimal Perfect Hash Functions with PTHash". ArXiv. 2021.