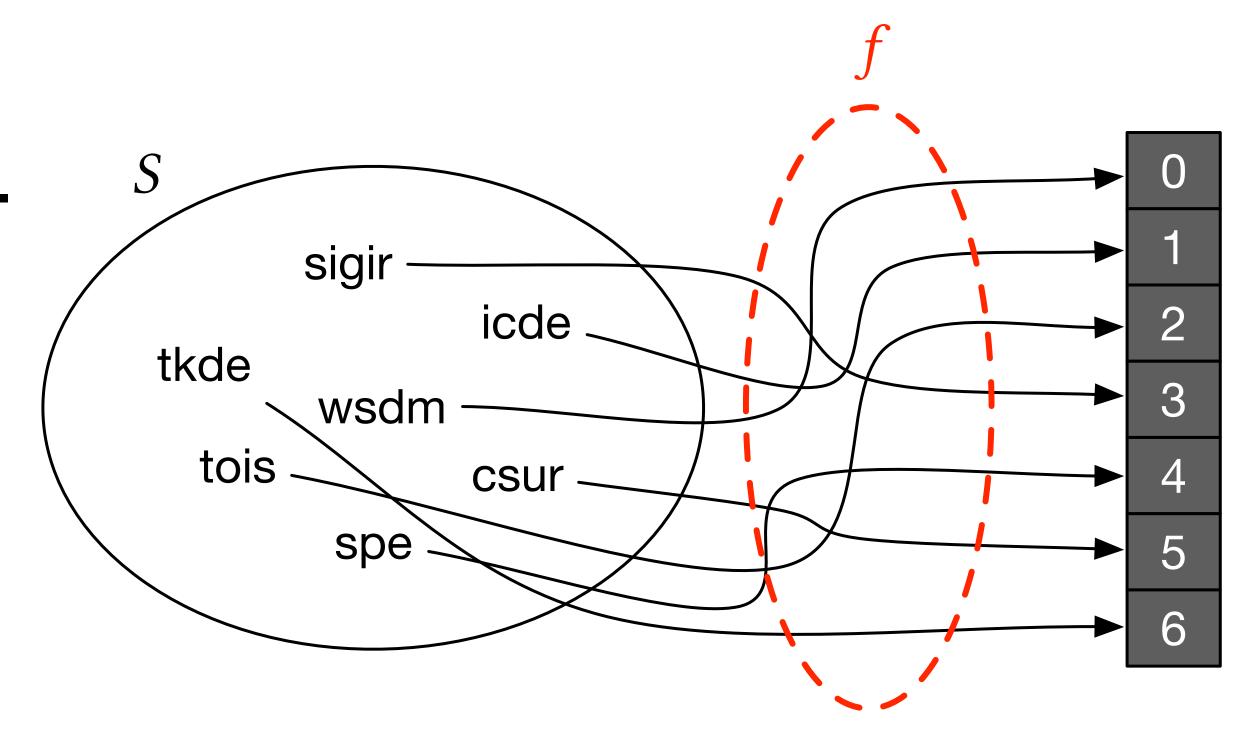
# PTHash Revisiting FCH Minimal Perfect Hashing

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## Minimal Perfect Hashing (MPH)

Given a set S of n distinct keys, a function f that bijectively maps the keys of S into the range  $\{0, ..., n-1\}$  is called a *minimal perfect hash function* for S.

- Lower bound of 1.44 bits/key.
- Built once and evaluated many times.
- Many algorithms available, like:
  - FCH (1992)
  - CHD (2009)
  - EMPHF (2014)
  - GOV (2016)
  - BBHash (2017)
  - RecSplit (2019)
  - PTHash (2021)



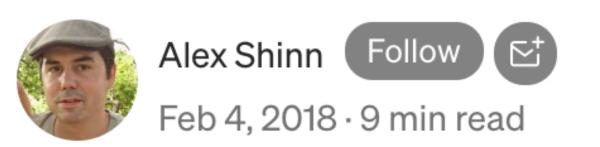
#### **Context and Motivations**

Space-efficient and fast retrieval of  $\langle key, value \rangle$  pairs from a static set.

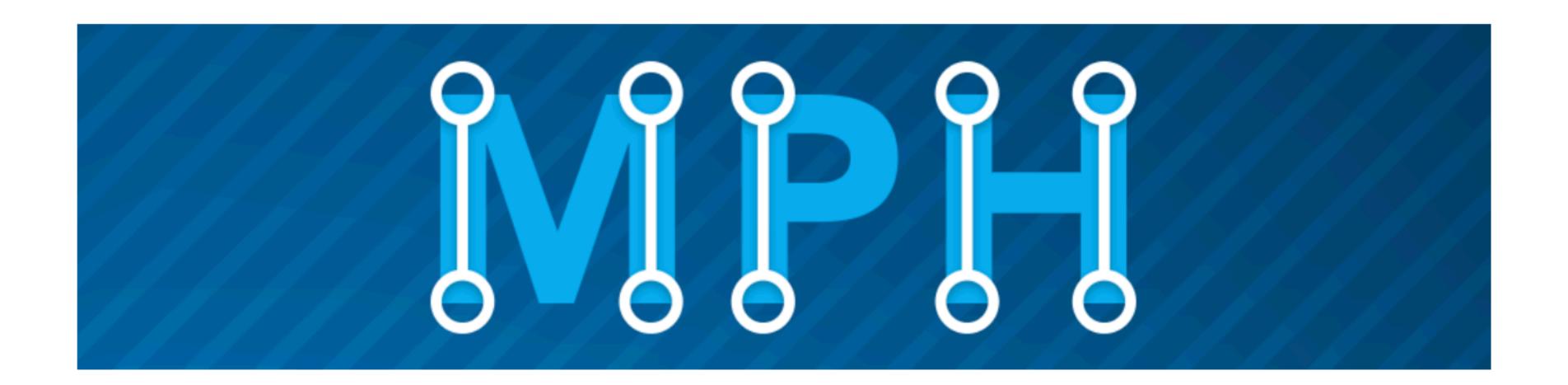
#### Some examples:

- Reserved words in programming languages.
- Garbage collectors.
- Command names in interactive systems.
- Lexicon of inverted indexes.
- Indexing of *q*-grams for language models.
- Indexing of k-mers of DNA.
- Web page URLs: DNS, page ranking, ecc.

# Indeed MPH: Fast and Compact Immutable Key-Value Stores







#### PTHash — Overview

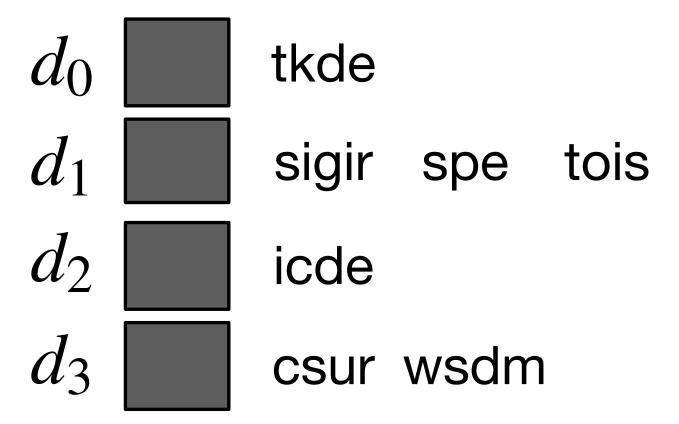
- Flexibility: minimal and non-minimal perfect hash functions
- Space/Time Efficiency: fast lookup within compressed space
- External-Memory Scaling: use disk if not enough RAM is available
- Parallel Construction: use more threads to speed up construction
- Configurable: can offer different trade-offs (between construction time, lookup time, and space effectiveness)
- C++ code available at: <a href="https://github.com/jermp/pthash">https://github.com/jermp/pthash</a>

#### FCH Construction

Fox, Chen, and Heath, SIGIR'92

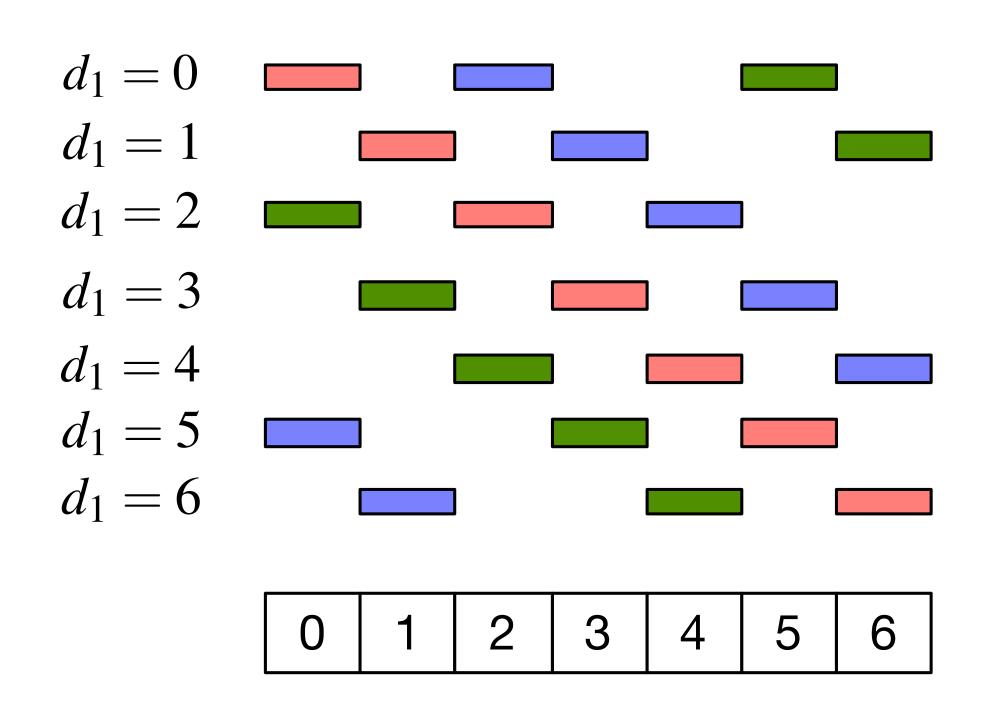
- Distribute keys into m buckets using hashing and compute a displacement  $d_i$  for bucket i such that  $f(x) = (h(x) + d_i) \mod n$ , and no collisions occur.
- Use  $m = \lceil cn/\log_2 n \rceil$  buckets for n keys and a given parameter c.
- One memory access per lookup.

 $d_0$  0 tkde  $d_1$  5 sigir spe tois  $d_2$  2 icde  $d_3$  5 csur wsdm



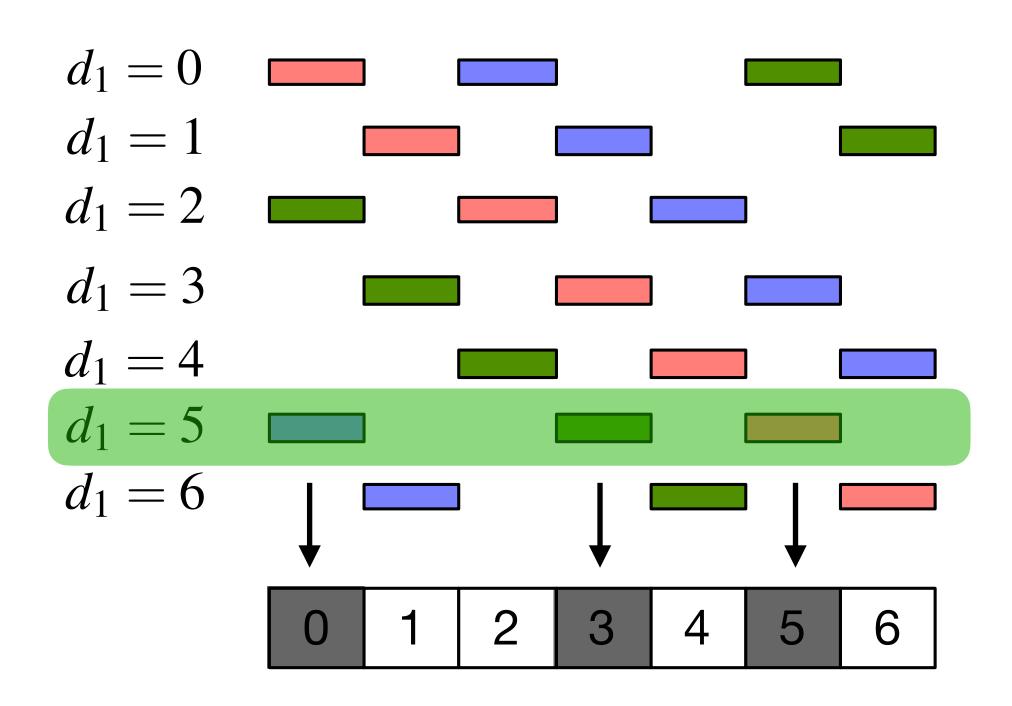
$$d_0$$
 tkde  $d_1$  sigir spe tois  $d_2$  icde  $d_3$  csur wsdm

$$f(x) = (h(x) + d_i) \bmod n$$



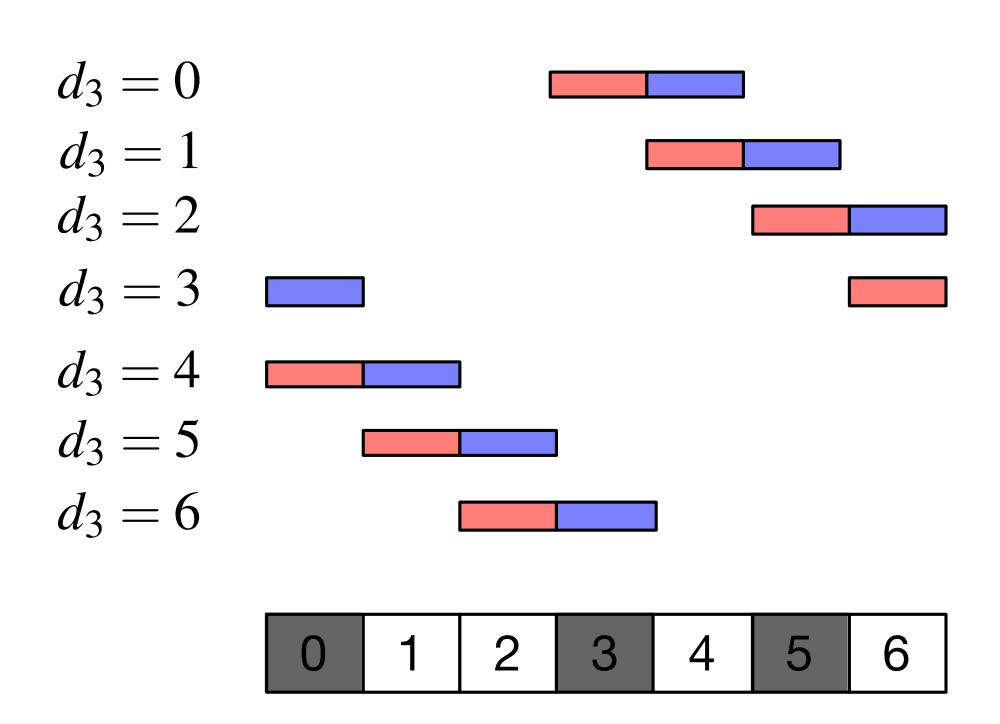
$$d_0$$
 tkde  $d_1$  5 sigir spe tois  $d_2$  icde  $d_3$  csur wsdm

$$f(x) = (h(x) + d_i) \bmod n$$



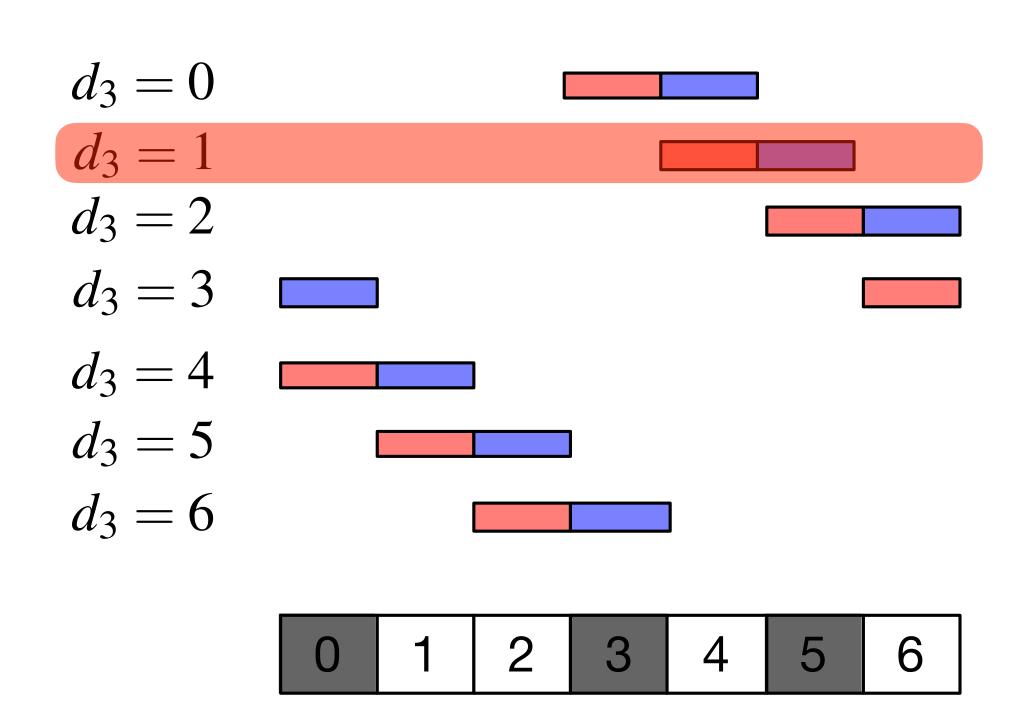
$$d_0$$
 tkde  $d_1$  5 sigir spe tois  $d_2$  icde  $d_3$  csur wsdm

$$f(x) = (h(x) + d_i) \bmod n$$



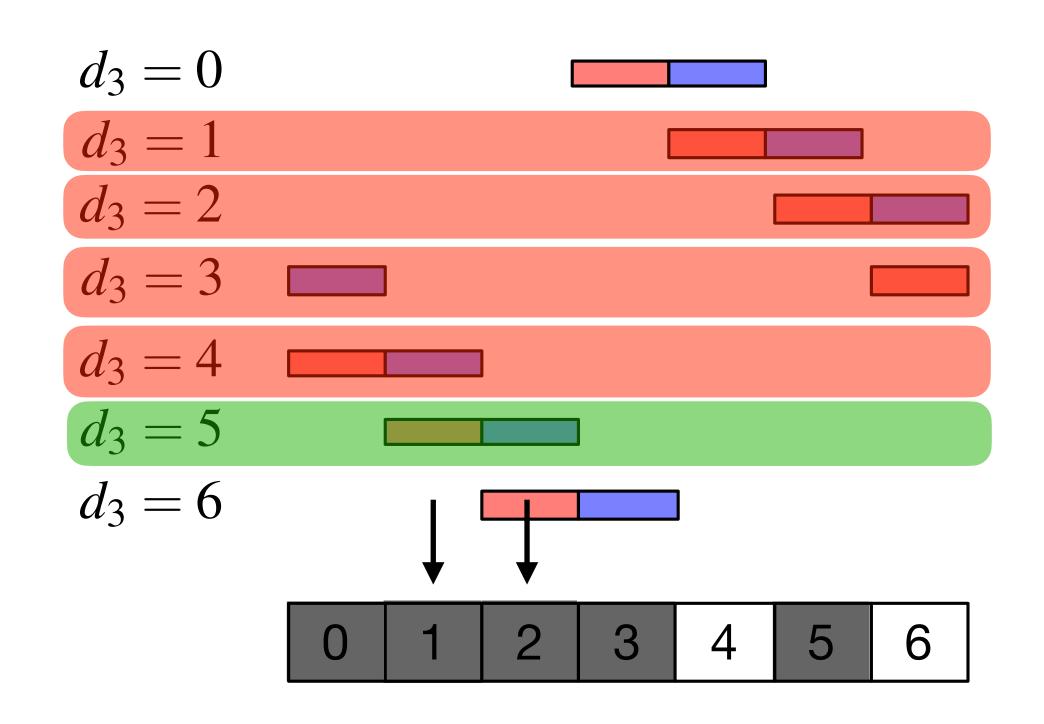
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 tkde  $d_1$  5 sigir spe tois  $d_2$  icde  $d_3$  5 csur wsdm

$$f(x) = (h(x) + d_i) \bmod n$$



#### FCH Construction — Remarks

• To guarantee that all positions in the table are tested with *uniform probability*, displacements have to be tried at random  $\rightarrow$  the best we can hope for is  $\lceil \log_2 n \rceil$  bits per bucket.

For  $\lceil cn/\log_2 n \rceil$  buckets, it costs cn total bits. Large space for large c.

• Up to n trials to "fit" a pattern. If a successful displacement is not found for a bucket  $\rightarrow$  rehash.

#### Slow for small c.

Example. For  $10^8$  64-bit random keys and c=3.0, FCH takes 1h 10m: other techniques can do the same in 1m or less.

• Extremely fast lookup.

### Our Research Question

Is it possible to combine the lookup efficiency of FCH with fast construction on large datasets and good compression effectiveness?

#### PTHash — Intuition

- If the table of displacements were **compressible**, we could afford to use a parameter c' > c and run the search faster, such that the size of the compressed table is  $\approx cn$  bits.
- Now, how to achieve compression? Re-design the search step.

$$f(x) = (h(x) + d_i) \bmod n \qquad \longrightarrow \qquad f(x) = (h(x) \oplus h(k_i)) \bmod n$$

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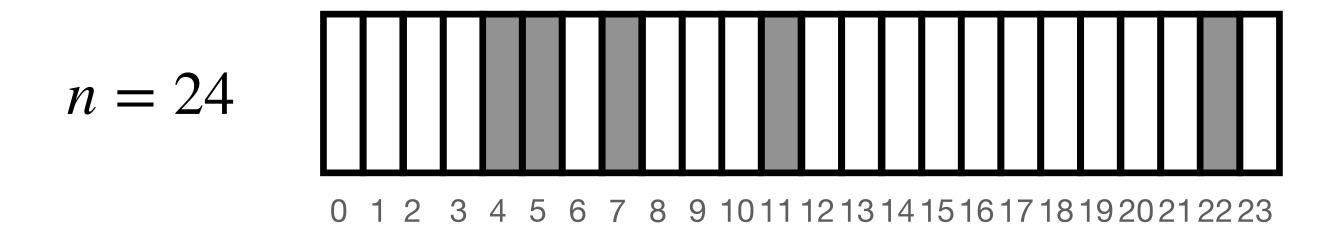
- The bitwise XOR between two random fingerprints is another random fingerprint → displacement of keys at random.
- New random patterns generated with every tried pilot, even when pilots are tried in order, that is:

$$k_i = 0, 1, 2, 3, \dots$$

→ Pilots will be small on average and repetitive, hence compressible.

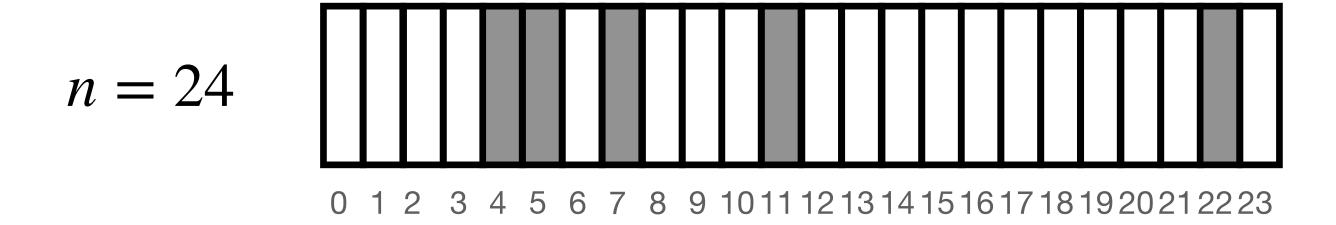
x = "A View From the Top of the World"

$$k_i = 0$$



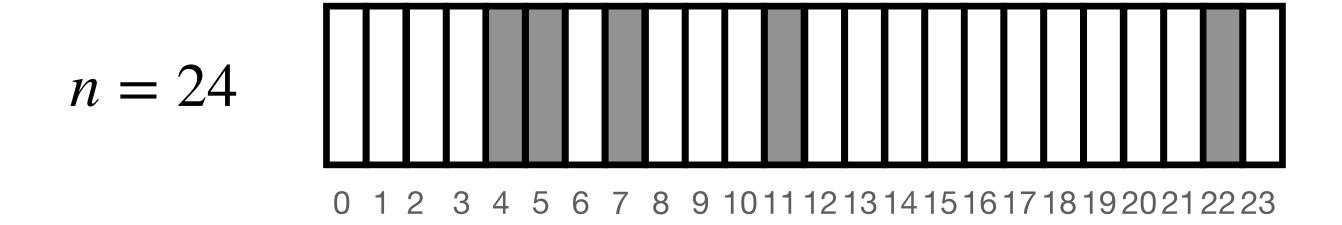
```
\chi = "A View From the Top of the World"
```

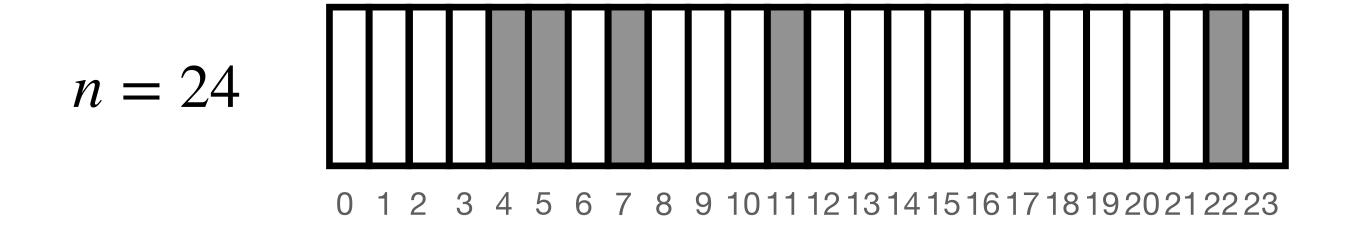
$$k_i = 0$$

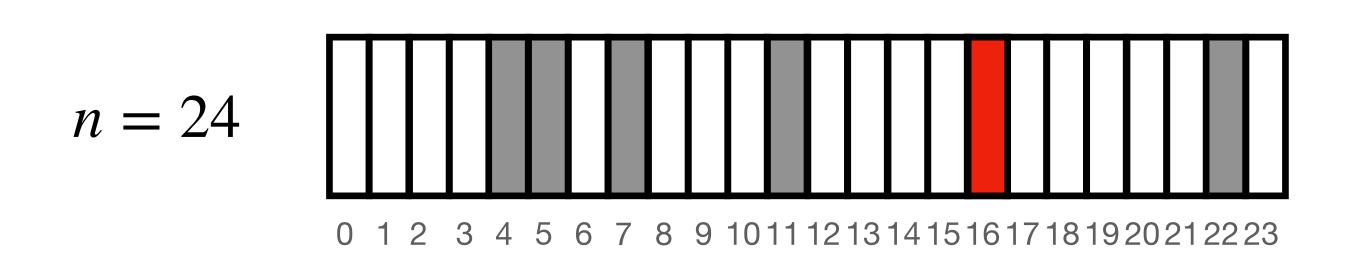


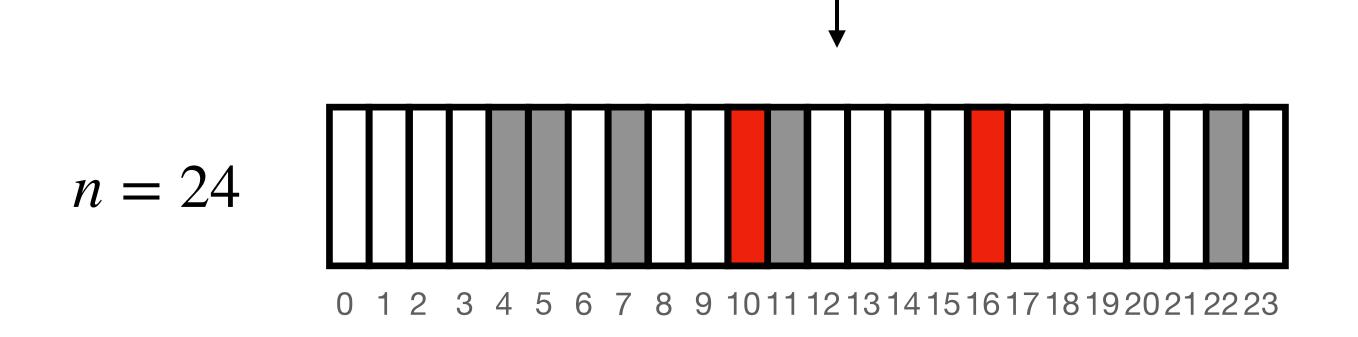
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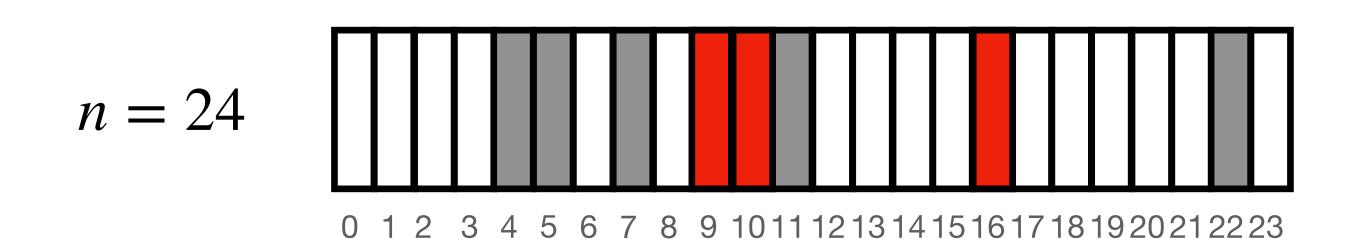
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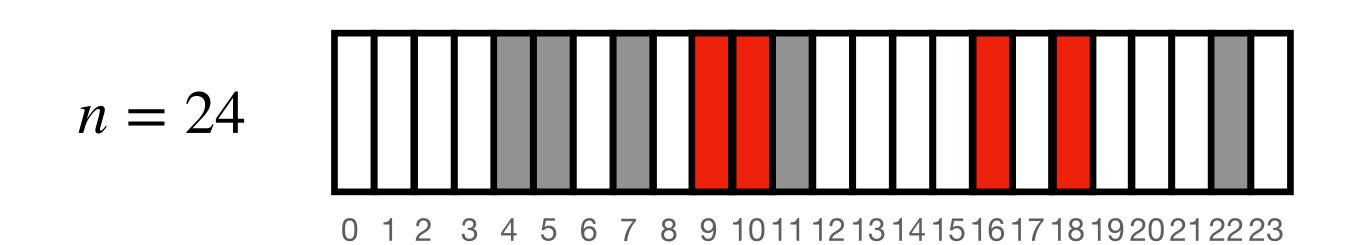


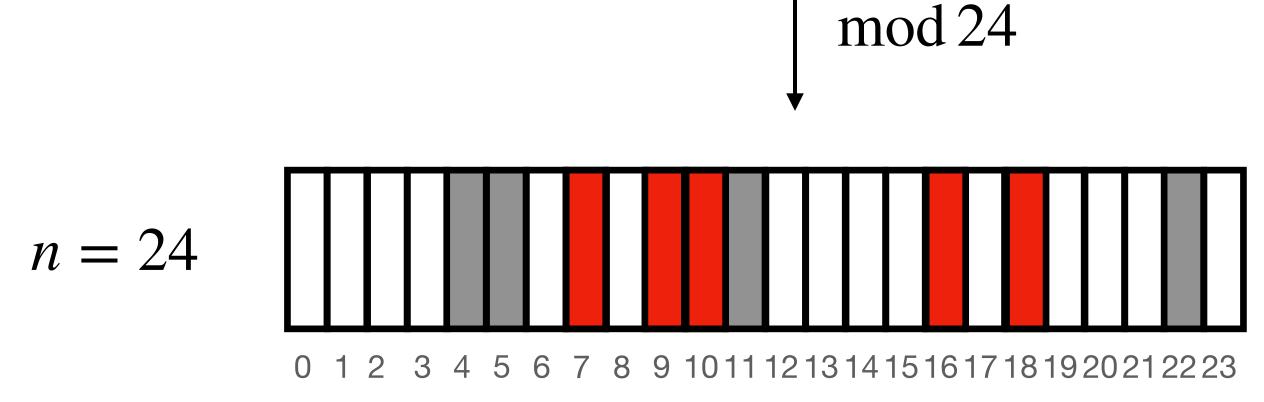


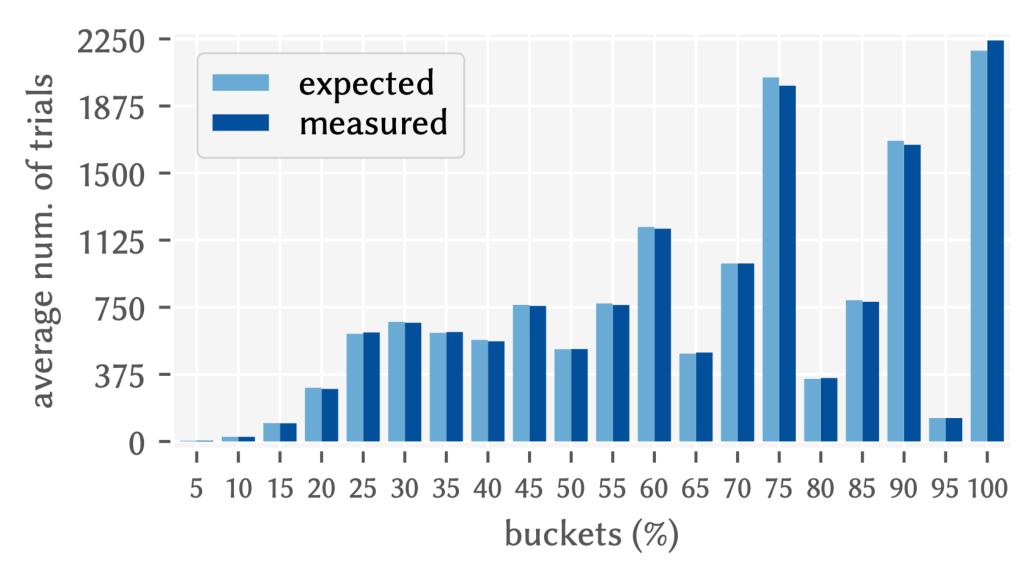












$$n = 10^6$$
 keys,  $c = 3.5$  (1.76 ×  $10^5$  buckets)

$c \rightarrow$	2.5	3.0	3.5	4.0	4.5	5.0	5.5	6.0	6.5	7.0
FCH	16.69	16.85	16.93	16.93	16.87	16.77	16.65	16.49	16.32	16.14
PTHash	13.42	11.68	10.32	9.29	8.48	7.82	7.27	6.82	6.45	6.11

Empirical entropy of the tables, for  $n = 10^6$  keys

## PTHash — Limiting the Load Factor

- Allocate a search space of  $n/\alpha$  slots,  $0 < \alpha \le 1$ .
- More slots → faster search and smaller pilots.

(Perfect hash function: need to re-rank some positions to guarantee minimal output. See the paper for details.)

## PTHash — Example

- For  $10^8$  64-bit random keys and c=3.0 (3 bits/key), FCH takes 1h 10m.
- PTHash with  $\alpha = 0.99$ , c = 6.8, and Front-Back Dictionary-based compression achieves the same space (3 bits/key) but builds in 37s (114×).
- Both functions evaluate in 35-37 nanosec/key.

## Benchmark with 1B 64-bit random keys

- Processor: Intel i9-9900K @ 3.6 GHz,
   32 KiB of L1, 256 KiB of L2 cache
- OS: Ubuntu 20
- Compiler: gcc 9.2.1, with flags -march=native -03
- construction in internal memory
- construction is single-threaded

	$n = 10^9$					
Method	constr.	space	lookup			
	(secs)	(bits/key)	(ns/key)			
FCH, $c = 3$	_	_	_			
FCH, $c=4$	15904	4.00	35			
FCH, $c=5$	2937	5.00	35			
FCH, $c = 6$	2133	6.00	35			
FCH, $c=7$	1221	7.00	35			
CHD, $\lambda = 4$	1972	2.17	419			
CHD, $\lambda = 5$	5964	2.07	417			
CHD, $\lambda = 6$	23746	2.01	416			
EMPHF	374	2.61	199			
GOV	875	2.23	175			
BBHash, $\gamma = 1$	253	3.06	170			
BBHash, $\gamma = 2$	152	3.71	143			
BBHash, $\gamma = 5$	100	6.87	113			
RecSplit, $\ell$ =5, $b$ =5	233	2.95	220			
RecSplit, $\ell$ =8, $b$ =100	936	1.80	204			
RecSplit, $\ell$ =12, $b$ =9	5700	2.23	197			
PTHash						
(i) C-C, $\alpha$ =0.99, $c$ =7	1042	3.23	37			
(ii) D-D, $\alpha$ =0.88, $c$ =11	308	3.94	64			
(iii) EF, $\alpha$ =0.99, $c$ =6	1799	2.17	101			
(iv) D-D, $\alpha$ =0.94, $c$ =7	689	2.99	55			

(A part of) Table 5 from [1].

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## Benchmark with large string collections

Dataset	Number of strings			
ClueWeb09-Full URLs	4 780 950 911			
GoogleBooks 3-gr	7 384 478 110			

Numbers in parentheses refer to the parallel construction using 8 threads. All PTHash configurations use  $\alpha = 0.94$  and c = 7.0.

- construction in external memory
- construction is multi-threaded

	ClueWe	b09-Full UI	RLs	GoogleBooks 3-gr			
Method	construction (seconds)	space (bits/key)	lookup (ns/key)	construction (seconds)	space (bits/key)	lookup (ns/key)	
PTHash (D-D) PTHash (PC) PTHash (EF)	7234 (4869) 7161 (4859) 7225 (4788)	2.96 2.58 2.32	120 175 214	9770 (5865) 9756 (5736) 9649 (5849)	2.56	91 143 208	
PTHash-HEM (D-D) PTHash-HEM (PC) PTHash-HEM (EF)	4651 (3632) 4522 (3541) 4627 (3631)	2.75 2.58 2.32	152 192 235	5215 (3510) 5015 (3366) 5179 (3512)	2.57	135 190 230	
EMPHF EMPHF-HEM	24862 3980	2.61 3.31	231 304	37731 5606	2.61 3.06	220 304	
GOV	8228 (5400)	2.23	232	10782 (6461)	2.23	242	
BBHash ( $\gamma=1.0$ ) BBHash ( $\gamma=2.0$ )	19360 (18391) 11074 (10348)		320 236	20178 (9554) 10254 (5404)		305 235	

(A part of) Table 5 from [2].

#### Conclusions

- PTHash is a novel MPHF algorithm combining good space effectiveness and fast construction with the excellent lookup performance of FCH.
- PTHash can be tuned to consume space similar to another method and, yet, it provides remarkably better lookup performance, with feasible or better construction speed.
- Our library at <a href="https://github.com/jermp/pthash">https://github.com/jermp/pthash</a> is well-engineered and also supports multi-threading and external-memory scaling.

#### References

- 1. Giulio Ermanno Pibiri and Roberto Trani. "PTHash: Revisiting FCH Minimal Perfect Hashing". In Proceedings of the 44th International Conference on Research and Development in Information Retrieval (SIGIR). 2021.
- 2. Giulio Ermanno Pibiri and Roberto Trani. "Parallel and External-Memory Construction of Minimal Perfect Hash Functions with PTHash". ArXiv. 2021.