

### Friction and roughness of a melting rock surface

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### SUMMARY

Under extreme conditions like those encountered during earthquake slip, frictional melt is likely to occur. It has been observed on ancient faults that the melt is mostly extruded toward local extensional jogs or lateral tension cracks. In the case of laboratory experiments with a rotary shear apparatus, melt is extruded from the sample borders. When this happens, a thin and irregular melt layer is formed whereby the normal load is still in part supported by contact asperities under an incipient yield condition (as in dry friction models), but also, in the interstices between asperities, by the pressure of the viscous fluid wetting the interface. In addition, roughness of the surface is dynamically reshaped by the melting process of an inhomogeneous material (polymineralic rock). In particular, we argue that the roughness of the melting surface decreases with melting rate and temperature gradient perpendicular to the fault. Taking into account the above conditions, we obtain an expression for the average melt layer thickness and viscous pressure that may be used in estimates of friction in the presence of melt. We argue that the ratio of melt thickness to roughness depends on sliding velocity; such a ratio may be used as a gauge of slip-rate during fossil earthquakes on faults bearing pseudotachylite (solidified melt). Finally, we derive an improved analytical solution for friction in the presence of melt including the effect of roughness evolution.

**Key words:** Friction; Earthquake dynamics; Rheology and friction of fault zones; Dynamics and mechanics of faulting.

### 1 INTRODUCTION

Earthquake rupture is essentially controlled by the dynamics of friction of rock surfaces under rapid slip on faults buried at several km depth. As a consequence of the lithostatic and tectonic load, the conditions are rather extreme: normal stress is of the order of tens to hundreds of MPa and slip velocities are of the order of meters per second. The amount of heat produced over time intervals of a few seconds is such that phase transitions are rapidly triggered (Jeffreys 1942; Rice 2006; Hirono *et al.* 2007; Sulem & Famin 2009; Hamada *et al.* 2009) altering the nature and rheology of the fault zone in the vicinity of the sliding surface.

The above arguments and their implications for earthquake studies have stimulated a rapidly growing body of theoretical, experimental and field investigations on frictional sliding in the presence of a number of processes, such as flash heating (Beeler *et al.* 2008), fluid pressurization (Bizzarri & Cocco 2006), gelification (Goldsby & Tullis 2002; Di Toro *et al.* 2004), decarbonation (Han *et al.* 2007), dehydration (Brantut *et al.* 2008) and melting (Hirose & Shimamoto 2005; Spray 2005; Di Toro *et al.* 2006). In the case of melting, it is attested by the presence of pseudotachylite (glassy veins of rapidly solidified melt, Shand 1916) observed on some exhumed ancient faults, and it has been the object of particular attention (Sibson

1975; Di Toro *et al.* 2006). This study complements recent investigations on frictional melt (Nielsen *et al.* 2008), by introducing the effect of surface roughness in relation to normal stress and friction across a viscous melt layer.

In ancient seismic faults, geometrical characteristics of exposed pseudotachylites suggest that melt is squeezed out from the slipping zone during co-seismic slip and either injected in the wall rocks or pooled toward extensional jogs (Sibson 1975; Di Toro *et al.* 2005a). As a consequence, many segments of the faults show a very thin remnant layer of solidified melt (a fraction of mm), decorated by injection veins and separated by locally thicker pools at extensional jogs. The effective thickness of melt within the fault vein is rather small, though a larger average thickness (total melt volume produced per unit fault area) may result when including pools and lateral vein injections (Di Toro *et al.* 2005a).

In high velocity rotary shear experiments, frictional melt is produced under dry ambient conditions when samples of silicate rocks are forced to slide against each other at seismic slip rates ( $\approx 1 \text{ m s}^{-1}$ ) under an intermediate (1–20 MPa) applied normal stress (Tsutsum & Shimamoto 1997; Hirose & Shimamoto 2005; Di Toro *et al.* 2006; Del Gaudio *et al.* 2009). In these experiments, shear stress evolves with slip from a value initially high on average, compatible with standard Byerlee rock friction coefficients ( $\mu \ge 0.6$ ), toward a

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much lower steady-state shear stress, indicative of the presence of a lubrication process on the interface.

In the experiments, melt is extruded from the sample to the open air (Hirose & Shimamoto 2005; Spray 1995; Di Toro et al. 2006), also resulting in an effectively submillimetre-thin layer of melt. The extrusion is only limited by the viscosity of the melt, because the melt experiences no resistance to outflow at the sample edges (ambient pressure is negligible). On natural faults, we argue that the pressure gradient between the shear layer and the extrusion volume (injection veins and/or extension jogs) is very large, because both injection veins and jogs are caused by suction into cracks formed by transient absolute tension in the rock (see, for example Di Toro et al. 2005b), rather than by hydrofracturing due to relative melt overpressure. The resulting pressure in the extrusion volumes is negligible compared to that inside the fault and should not significantly oppose the outflow of melt. As melting proceeds, fault slip increases, and the opening gaps widen, such that the pressure does not increase in time in the pooling reservoirs. As a result we can reasonably consider that the extrusion rate is controlled by the viscous squeeze, under a pressure drop which is approximately constant and equal to the normal stress  $\sigma_n$ , in a situation similar to the experimental conditions. The distance between a point inside the pressurized melt and the nearest extrusion vein may fluctuate during one seismic episode, if slip amount is close or larger than the intervein distance; however the average extrusion flow length on a larger fault area should remain the same, resulting, in the global mass balance, in stable boundary conditions for melt extrusion.

Following (Lee & Ladd 2002; Fialko & Khazan 2005; Nielsen *et al.* 2008) we assume that if a viscous melt patch of linear dimension R and of thickness  $2\omega$  is being squeezed at a rate  $2\dot{\omega}_c$  (convergence rate of two opposite melt layer boundaries), a viscous push in the form

$$\sigma_v = C \ \eta_e \, \dot{\omega}_c \, R^2 / \omega^3 \tag{1}$$

will develop which resists the convergence of the opposite sliding surface (C is a dimensionless factor depending on the geometry of the contact and  $\eta_e$  an equivalent viscosity for the extrusion of a cross-layer inhomogeneous film of fluid). In the case of cylindrical symmetry, such as rotary shear experiments, R is the outer radius of the sample, while for faults R can be the average distance between injection veins. For an irregular surface, melt thickness  $\omega$  is understood as an average across the contact area. In Nielsen *et al.* (2008), it was noted that the experimental data showed evidence for an apparent residual stress  $\sigma_0$ , in addition to the viscous push, leading to an empirical normal stress fit of the form

$$\sigma_n = C \ \eta_e \, \dot{\omega}_c \, R^2 / \omega^3 + \sigma_0. \tag{2}$$

As one interpretation of such a residual stress, it was proposed that part of the load is supported by local partial contact of the two solid blocks across the melt layer due to the roughness in the surface topography. Asperity contact across the melt layer can take place because of the limited melt thickness both in experimental samples and on natural faults. In this study, we develop the above concept of partial contact, based on microstructural descriptions of the interface morphology, and seek a mathematical form for  $\sigma_0$ . We investigate the consequences on the frictional behaviour of faults where melting occurs, and derive a link between surface roughness, melt thickness and slip rate. Although the solidified melt encountered on natural faults is also observed to form locally thicker pools, we believe that the latter are not significantly contributing to the frictional behaviour but rather act as passive buffers. As a consequence the asperity model adopted for this study should apply

to frictional melt on both experimental and natural faults. However, while the fault areas where only a thin melt layer is present will provide the most significant friction, and those patches with a locally thicker melt pool offer a limited resistance, the resulting overall mesoscale friction will be an area-weighted average of both. Values resulting from our model provide an upper limit of friction on natural pseudotachylite-bearing faults (depending on what proportion of the fault is occupied by the pools).

In addition, based on theoretical arguments and a few experimental examples, we show that a combination of measurable parameters (related to the melting surface roughness and to the thickness of the melt) is quantitatively related to the slip rate V. Ideally, this relation could be used as a gauge for posthumous estimate of the coseismic slip rate on faults bearing solidified frictional melt, providing an additional tool to reconstruct earthquake dynamics from fossil markers on ancient seismic faults. In previous studies, field observations already provided constraints on coseismic friction (Sibson 1975; Di Toro  $et\ al.\ 2006$ ) rupture directivity and rupture propagation velocity (Di Toro  $et\ al.\ 2005$ b) and earthquake energy budget (Pittarello  $et\ al.\ 2008$ ).

## 2 CONTACT BETWEEN ROUGH SURFACES

During slip in the presence of friction melt, most of the removable melt is being squeezed out of the interface and contacting areas start to appear and are continually renewed across the sliding surface. We must analyse the convergence of the two solid boundaries in the framework of Hertzian contact between two rough elastic surfaces, with plastic yield locally occurring at isolated asperity contacts where indentation hardness  $\sigma_c$  of the material is exceeded. In our case, what we refer to as contact asperities are actually places where the two rocks are still separated by an extremely thin (micrometre) melt film. The latter is impossible to squeeze out so that the weight is effectively supported across the asperities. For small slip amounts (<1 cm) melt is generally scarce or absent and it has been proposed to analyse friction in terms of flash (short lived) heating and weakening of local contact asperities (Beeler et al. 2008). On the other hand, after a few centimetres of slip or more, silica-rich rocks under dry conditions, high normal stress and high slip rates, produce a pervasive layer of melt, with a few local asperity contacts across the interface (Hirose & Shimamoto 2005), a situation which is investigated here. To this purpose, we develop some fundamental concepts of tribology regarding the contact of rough surfaces, which we adapt to the case where a fluid pressure (viscous push) is present at the interface.

Let's rapidly recall a couple of fundamental concepts of tribology regarding the contact of rough surfaces:

(a) The average spacing between the two rough surfaces does not vary significantly with normal load. Hertzian contact between two isolated, elastic spheres yields an interpenetration distance h varying non-linearly with the normal load in the form  $h \propto F^{2/3}$  (Landau & Lifshitz 1975). However, as shown in (Greenwood 1992) and (Persson 2000), assuming that the surface topography of two solids in frictional contact across an area A can be mimicked by adjoining N spherical caps with average radius  $\lambda$  and a given probability distribution of height  $\psi(z)$ , the separation  $\omega$  between two rough surfaces under a normal load F follows the equation:

$$\left(\frac{\omega}{\omega_0}\right)^2 = 2\log\left(N\,\omega_0^{3/2}\,\lambda^{1/2}\,\frac{4}{3(1-\nu_p^2)}\,\frac{E}{F}\right) - 5\log\left(\frac{\omega}{\omega_0}\right),\quad(3)$$

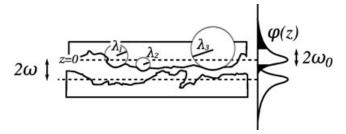


Figure 1. We illustrate the meaning of  $\omega$ ,  $\omega_0$  and  $\lambda$  in the equations.  $\psi(z)$  is the probability density function of the asperity heights with respect to a reference line (dotted line). Note that the dotted line (z=0) does not in general coincide with the peak of the elevation density distribution  $\psi(z)$  (unless  $\psi$  is symmetric which is usually not the case), but rather with the average value. Since the interstitial space is filled with melt (more precisely a viscous body composed by melt with clasts in suspension),  $2\omega$  is defined as the average thickness of the melt. For the characterization of a sample surface, at each local maximum in the topography the local curvature is measured and the asperity summit is modeled as a spherical cap of radius  $\lambda_n$  (an arc of circle in the 2-D section). For sake of clarity, only three such spheres are represented. Finally,  $\omega_0$  is defined as the rms of distribution  $\psi(z)$  and  $\lambda$  as the average radius in the distribution of the spherical caps fitting individual asperities.

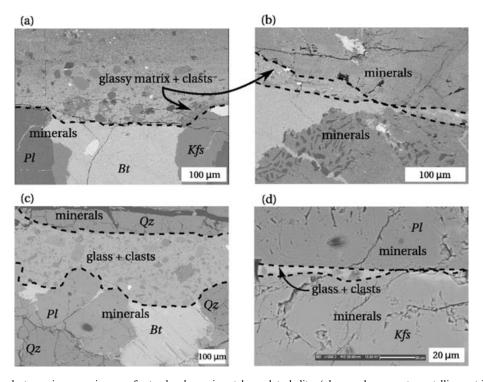
where  $\omega_0$  is the characteristic elevation of the asperities on the surface, for example, the root mean square (rms) of the asperity height probability distribution  $\psi(z)$  (z being the asperity height with respect to the reference plane z=0 such that  $\int_A \psi(z) da=0$ ),  $\nu_P$  is the Poisson ratio and E is the Young modulus of the medium. A schematic illustration of the meaning of the  $\omega$ ,  $\omega_0$  and  $\lambda$  in the above equation is provided in Fig. 1.

It appears that the separation  $\omega$  is weakly sensitive to normal stress  $\sigma_n$ , resulting for practical purposes in a bounded value  $\omega=2\,\omega_0\to 3\,\omega_0$ . If we take  $\omega_0\approx 70$  µm, consistent with the observed values on thin sections of fossil fault rocks (Fig. 2a) bearing solidified melt (pseudotachylite), or laboratory samples from frictional melt experiments (Figs 2b and c), we obtain typically that  $140<\omega<210\,\mu m$ .

For simplicity, we may approximate the total area as  $A \propto N \lambda^2$ . This assumes that  $\frac{1}{N} \sum_{n=1}^{N} \lambda_n^2 = (\frac{1}{N} \sum_{n=1}^{N} \lambda_n)^2 = \lambda^2$ , which is acceptable if the radii  $\lambda_n$  are all similar and if the virtual spheres are packed with a reasonably regular spacing along the plane z=0. If the spheres overlap on average of about 22 per cent of their radius, the proportionality reduces to  $A=N\pi \lambda^2$  (for different values of sphere overlapping, the proportionality factor will change without altering substantially the scaling law and the following results). Finally, we may write the normal force as  $F=A\sigma_n$ . As a consequence, we obtain the modified expression

$$\left(\frac{\omega}{\omega_0}\right)^2 = 2 \log\left(\frac{4}{3(1-\nu_P^2)} \left(\frac{\omega_0}{\lambda}\right)^{3/2} \frac{E}{\sigma_n}\right) - 5 \log\left(\frac{\omega}{\omega_0}\right), \quad (4)$$

(b) The area of real contact between two rough surfaces increases proportionally to normal load (Dieterich & Kilgore 1994). Letting  $\alpha$  be the proportion of real contact area vs. total area, it can be shown that  $\alpha=\sigma_n/\sigma_c$ ; the contact asperities are under a compressive stress close to the material strength  $\sigma_c$ , in a state of incipient plastic yield (Dieterich & Kilgore 1994; Persson 2000). In our case, contact areas are constituted by those patches where the melt thickness becomes negligible and the two solid sides touch each other. We need to slightly modify the above definition of  $\alpha$  as a the ratio of normal to yield stress, due to the presence of the additional pressure generated



**Figure 2.** Back scatter electron microscope images of natural and experimental pseudotachylites (glass or glassy cryptocrystalline matrix from solidified melt with mineral clasts) hosted in granitoid rocks (Tonalite). (a, b) Natural ancient fault from the Gole Larghe Fault zone (Italian Alps, Di Toro *et al.* 2006). (c, d) Experimental fault from high velocity rock friction experiments performed on the same host granitoids as (a). In (b) and (d) details are shown where the glassy layer is extremely thin and the minerals are in contact across an asperity. Pl: plagioclase (melting point 1200 °C); Bt: biotite (melting point 650 °C); Kfs: K-feldspar (melting point 1150 °C); Qz: quartz (melting point 1700 °C).

by extrusion of the viscous melt (viscous push  $\sigma_v$ ). We can obtain a modified relationship by simply writing the total load across the area as the sum of two contributions:

$$\sigma_n = \alpha \,\sigma_c + (1 - \alpha) \,\sigma_v \tag{5}$$

At first glance it is counter-intuitive that in point (a) above, the separation distance between the two surfaces is a highly non linear function of normal load, while the area of contact described in point (b) is proportional to normal load. Indeed the behaviour of a distribution of asperities is quite different from that of a single, isolated Hertzian contact. One key mechanism is that, under an increasing normal load, the effective contact area increases principally by increasing the total number of contact asperities (rather than by increasing the area of existing asperities). These results are discussed at length in texts of tribology (study of friction, e.g. Greenwood 1966, 1992; Persson 2000) but also to some extent on fault mechanics literature (Brown & Scholz 1985; Scholz 1990).

Interestingly, an expression similar to (5) is used to account for the presence of pore fluid pressure on faults (Scholz 1990). Using (1) for the viscous push  $\sigma_v$  due to the squeezing of the interface at a rate  $\dot{\omega}_c$ , we may write

$$\sigma_n - \alpha \, \sigma_c = (1 - \alpha) \, C \, \eta_e \, \dot{\omega}_c \, R^2 / \omega^3. \tag{6}$$

We may derive an independent relationship for  $\alpha$  directly from the separation distance of the two boundaries, using (Persson 2000)

$$\alpha = \sqrt{\frac{1}{2\pi}} \frac{\pi N \lambda}{A} \frac{\omega_0^3}{\omega^2} \exp\left(-\frac{\omega^2}{2\omega_0^2}\right),\tag{7}$$

where A is the total area and N the number of asperities of average radius  $\lambda$ . We may again assume that the total area A scales with the number of N of asperities such that  $A \approx N \pi \lambda^2$  to obtain

$$\alpha \approx \sqrt{\frac{1}{2\pi}} \frac{\omega_0^3}{\omega^2 \lambda} \exp\left(-\frac{\omega^2}{2\omega_0^2}\right)$$
 (8)

with the constraint that

$$\alpha \le \sigma_n/\sigma_c \tag{9}$$

because the total stress cannot exceed the applied normal stress  $\sigma_n$ .

# 3 ORIGIN OF THE MELTING SURFACE ROUGHNESS: THEORETICAL CONSIDERATIONS

Microstructural analysis of fault and laboratory samples show that a minimum quantity of melt always remains trapped in the roughness of the boundary surfaces formed by melt. The roughness is determined by a variety of small-scale inhomogeneities of mechanical (e.g. indentation hardness, resistance to wear), structural (e.g. presence of fluid inclusions, cleavage surfaces, microfractures), mineralogical (e.g. melting temperature) or thermomechanical nature (thermal cracking). The resulting boundary constitutes a strongly uneven and wavy surface (see Figs 2–4) where the topographical fluctuations are of the order of  $\omega_0 \approx 50$ –150 µm over distances  $\lambda$  (or asperity radii) of comparable size, though they are found to vary depending on the type of rock, mineral grain size and also on melting conditions (Hirose & Shimamoto 2003).

Indeed, it is essential to note that under frictional melting conditions, the nature of surface roughness changes depending on the advancement of slip and on the levels of slip rate and normal stress. As pointed out in Hirose & Shimamoto (2003), the geometry of the irregular melt/solid boundary is conditioned by a number of factors: the selective or 'preferential' melting (hydrous minerals tend to melt faster given their lower melting point, see Shand 1916; Spray 1992); the Gibbs—Thomson effect (i.e. the local melting temperature of a mineral in contact with melt is lowered in proportion to its curvature, tending to eliminate sharp corners in favour of round-shaped melting surfaces); the preferential melting along grain boundaries and cracks; and the indentation, cracking or plastic yielding at solid/solid contacts.

Preferential melting takes place when the temperature increase is extremely fast (seconds) and the kinetics of equilibrium melting do not apply. For instance, in a granite, because of the preferential melting of mafic minerals, which have the lowest individual melting point (e.g. biotite melts at 1-atm at 650 °C while quartz at 1700 °C) the pseudotachylyte matrix are commonly more mafic in composition than the precursor granite, a strong evidence that disequilibrium (i.e. single mineral) melting, rather than eutectic or incongruent melting, occurs during pseudotachylyte generation. Chemical analysis and description of pseudotachylite composition

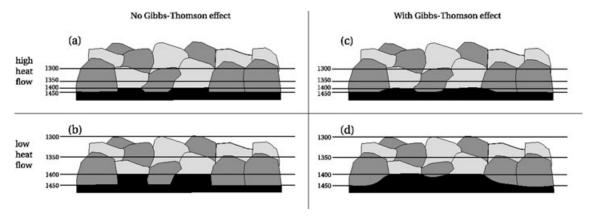


Figure 3. Idealized models of melting boundary in a two-mineral rock. Dark grey areas represent minerals melting at relatively higher temperature (e.g. bytownite or 80 per cent anorthite,  $T_m = 1450\,^{\circ}$ C), while lighter coloured minerals with a lower melting temperature (e.g. diopside,  $T_m = 1400\,^{\circ}$ C). Black areas represent melt. The straight lines show isolines of temperature in the rock. (a,b): steep and gentle temperature gradient, respectively, with melting boundary strictly following the isotherms in each mineral. (c, d): same temperature gradients as (a, b) but the melt/solid boundaries are drawn in a more round-shaped fashion to account for the preferential melting of sharp corners and isolated asperities. In (a, b) the temperature gradient affects the only the asperity height in the profile topography, which is smaller for the steeper gradient in (a), while in (c, d) it affects both the height and the asperity radius distribution. Higher heat flows are found either during the early phases of melting or under conditions of high normal stress and slip rate (see text for details).

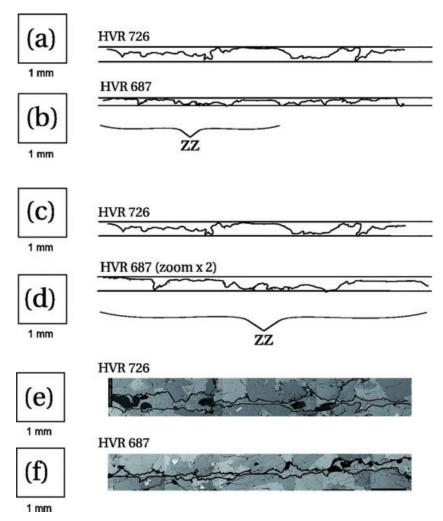


Figure 4. Profiles drawn from samples of experiments performed on gabbro (37 per cent diopside, light grey, melting point  $1400\,^{\circ}$ C; 33 per cent anorthite, dark grey, melting point  $1560\,^{\circ}$ C; 30 per cent other minerals). (a) HVR726 performed under  $\sigma_n = 1.5\,\text{MPa}$ ,  $V = 1.31\,\text{m s}^{-1}$ ,  $\tau V \approx 1\,\text{MW m}^{-2}$  (i.e. low heat flow and gentle temperature gradient) and (b) HVR687 performed under  $\sigma = 15.5\,\text{MPa}$ ,  $V = 1.14\,\text{m s}^{-1}$ ,  $\tau V \approx 3\,\text{MW m}^{-2}$  (i.e. high heat flow and steep temperature gradient). The profiles qualitatively show the same effect as in idealized models of Fig. 3 showing both wavelength and elevation effect, for conditions of gentle and steep temperature gradients, respectively. (c, d) show the same profiles, but with a zoom of a factor of 2 for HVR 687. Upon inspection, zooming in (d) produces a structure on a very similar sale as profile (a), illustrating a rescaling of both wavelength and elevation ( $\lambda$ ,  $\omega_0$ ) in the profiles with the increase of temperature gradient. This rescaling is confirmed by a quantitative analysis of the elevation distribution as discussed in the text. (e) and (f) show photomosaics from BSE-SEM images of the original thin sections: the profiles are digitized from the bottom solid/melt boundaries (indicated by black curves) in both experiments.

and structure can be found in Snoke *et al.* (1998), Magloughlin (1989), Maddock (1992) and Di Toro & Pennacchioni (2004).

Here we argue that the evolution of the temperature gradient perpendicular of the fault surface, in the solid next to the melting boundary, will strongly modulate the role of the above factors in shaping the irregular surface, in particular, in the preferential melting of minerals with different composition. A steep temperature gradient will result in (1) a more planar and less irregular surface and (2) smaller wavelength fluctuations in the surface topography.

In order to explain these effects, we take the example of an ideal, gabbroic-type rock, whose two main constituent minerals are a diopside (a clinopyroxene) and bytownite (a plagioclase), with different melting temperatures of ca.  $T_{Di} = 1400^{\circ}\text{C}$  and  $T_{By} = 1450^{\circ}\text{C}$ , respectively (Fig. 3). Frictional heat is produced at the sliding interface, and diffuses into the rock, creating a temperature gradient with a series of isotherms roughly parallel to the fault. The melt boundary, by definition at the melting temperature, will follow to the first order either the isotherm 1400 or 1450 °C depending on

which mineral it crosses, forming an irregular profile with fluctuations at the scale of the mineral grains. In Fig. 3, a simplified sketch shows an idealization of such melting profiles for a bi-mineral rock under (a) a steep temperature gradient and (b) a gentle temperature gradient, showing that the topography of the interface is smaller under a steeper temperature gradient (Figs 4c and d). This sketch is a simplification and idealization of the actual process, since isotherms in reality will be curved and other effects will play a role other than differences in melting temperature, such as variations in thermal conductivity or preferential melting in the vicinity of microcracks and mineral joints.

As shown in Nielsen *et al.* (2008), the thermal profile can be obtained by solving the Stefan problem with a migrating boundary. The temperature gradient will change (1) as a function of time, until at some point a steady-state condition is reached, and (2) as a function of the normal stress  $\sigma_n$  and slip rate V conditions, generating variable heat production rates  $\tau V = f(\sigma_n, V, ...)$ . The gradient is generally steeper at the beginning of sliding, because the

penetration depth of thermal diffusion is bound, at the start, by  $z \approx \sqrt{\kappa \, t}$ , where  $\kappa$  is heat diffusivity. The steeper initial gradient is compatible with the observation of Hirose & Shimamoto (2005), who studied melting surfaces from high velocity slip experiments which were arrested at different stages of evolution from early melting to steady-state. Indeed, Hirose & Shimamoto (2005) show how the melting surface evolved from a quite smooth topography in the early stages toward a rougher state. The temperature gradient is also steeper for conditions of high  $\tau \, V$  (e.g. under high slip-rate and normal stress), because the shortening rate  $\nu$  (or velocity of the melting front advancement) is faster and the thermal boundary layer is bound, at later stages, by  $z \approx \kappa/\nu$  (Nielsen *et al.* 2008).

Under steeper gradients the spacing between the two isotherms (1400 and 1450 °C) is reduced and so is the offset of the thermal boundary layer when crossing different minerals. Faults are rough at all scales but here we are primarily interested in the small-scale oscillations of the boundary between the melt and the solid host rock on either side of the fault vein . Therefore, to the first order we may equate such oscillations  $z^\pm$  of the boundary due to a difference  $\Delta T$  (for example, between diopside and anorthite) in melting temperature as:

$$\Delta T = T_{An} - T_{Di} \approx -2 \left. \frac{\partial T}{\partial z} \right|_{0^{+}} z^{\pm}. \tag{10}$$

Assuming that the topography at the scale of interest is primarily shaped by the process of preferential melting, we may write  $\alpha_{10} \approx z^{\pm}$ .

$$\omega_0 \approx z^{\pm} \approx -\frac{1}{2} \Delta T / \left. \frac{\partial T}{\partial z} \right|_{0^{+}}.$$
 (11)

Solution of the Stefan problem under steady-state conditions (eqs 11 and 15, Nielsen *et al.* 2008) gives an estimate of temperature gradient

$$\partial T/\partial z|_{+} \approx -\frac{1}{2} \tau_{ss} V(T_m - T_i)/[\kappa \rho (L + c(T_m - T_i))].$$
 (12)

(see parameter definition in Table 1), which we can combine with eq. (11) in order to obtain the penetration depth (topography eleva-

tion) such that

$$\omega_0 \approx \frac{2 \Delta T}{\tau V} \frac{\kappa \rho \left[ L + c(T_m - T_i) \right]}{(T_m - T_i)}.$$
 (13)

Hence the value of  $\omega_0$  should vary dynamically, depending on the heat production rate  $\tau V$  and the way it affects the temperature gradient. We can make a theoretical prediction based on eq. (13) for two experiments on gabbro where the heat production rate was measured: in experiment HVR726 (Fig. 4a) the imposed normal stress was  $\sigma_n = 1.5 \,\mathrm{MPa}$  and slip rate  $V = 1.31 \,\mathrm{m\,s^{-1}}$ ; a steady-state shear stress resistance of  $\tau_{ss} = 0.81 \, \text{MPa}$  was measured, yielding a frictional heating rate  $\tau_{ss} V \approx 1 \,\mathrm{MW}\,\mathrm{m}^{-2}$  at the sliding interface. In experiment HVR687 (Fig. 4b) the conditions were  $\sigma_n = 15.5 \,\mathrm{MPa}$ ,  $V = 1.14 \,\mathrm{m\,s^{-1}}$  and  $\tau_{ss} = 2.65 \,\mathrm{MPa}$ , yielding  $\tau_{ss} V \approx 3 \, \text{MW} \, \text{m}^{-2}$ . Using eq. (13) with gabbro parameters (Table 1) and an indicative value for average melting temperature fluctuations  $\Delta T = 50$  °C , we predict  $\omega_0 = 0.129$  mm (HVR726) and  $\omega_0 = 0.059 \, \text{mm}$  (HVR687) for dominant surface elevation in each experiment. These  $\omega_0$  values are fairly compatible with roughness measurements made by microscopic inspection directly on the samples, see below in Section 4.

In addition, it is likely that the characteristic radius  $\lambda$  of the asperities is linked to  $\omega_0$ , because (1) the size of the inhomogeneity sampled by the temperature fluctuation should increase with increasing penetration depth of the heat wave, and (2) preferential melting at grain boundaries and at sharp corners tends to eliminate isolated asperities and pronounced topographies with high curvature. Processes (1) and (2) and their consequence on  $\omega_0$  and  $\lambda$  is illustrated by the ideal sketch in Fig. 3. In our model, for simplicity, we take the assumption that

$$\omega_0/\lambda \approx \text{Const} \approx 1$$
 (14)

which will appear reasonable in the light of the experimental measures discussed below. As a consequence  $\lambda$  can be eliminated from eq. (8) and the value of  $\alpha$  may be simplified as follows:

$$\alpha \approx \sqrt{\frac{1}{2\pi}} \frac{\omega_0^2}{\omega^2} \exp\left(-\frac{\omega^2}{2\omega_0^2}\right).$$
 (15)

**Table 1.** Main parameters and variables defined.

		gabbro:
$\rho$	Mass density	$3000 \text{ kg}  \text{m}^{-3}$
κ	Thermal diffusivity	$0.4810^{-6}\mathrm{m}^2\mathrm{s}^{-1}$
c	Heat capacity	950 J kg <sup>−1</sup> °C
L	Latent heat	$350 \ 10^{3} \mathrm{J  kg^{-1}}$
$\Delta T$	Temperature difference (preferential melting)	_
$T_m, T_i$	Melting and initial temperatures	1200, 20°C
R	Extrusion radius of melt patch	_
$\sigma_n$	Normal stress	_
$\tau$ , $\tau_{ss}$	Shear stress, general and steady-state, respectively	_
ν	Shortening rate	_
$\dot{\omega}_c$	Extrusion rate	_
V	Slip rate	_
W	Characteristic velocity used in viscosity law	$0.21 \; \mathrm{m  s^{-1}}$
$\eta_c$	Characteristic viscosity used in viscosity law	$7.5 \times 10^{3} \text{ Pa s}$
$\eta_e$ , $\eta_s$	Equivalent viscosities (for shear and extrusion, respectively)	_
ω	Half thickness of melt layer	_
$\omega_o$	Characteristic height of asperities	_
λ	Characteristic radius of asperities	_
$\psi(z)$	Probability density function of asperity heights	_
$\alpha$ , $\alpha_{ss}$	Ratio of contact asperities to total area	_
$\sigma_c$	Indentation yield strength	$150 \times 10^{6} \text{ Pa}$

*Note:* MKS units are assumed unless otherwise specified (for ease of reading mm and MPa are used as specified in some text and figures).

This rule of thumb seems to be confirmed upon a preliminary inspection of thin sections of gabbro samples where experimental conditions led to different melting rates and temperature gradients during high velocity slip (see below).

### 4 OBSERVATIONS ON NATURAL AND EXPERIMENTAL SAMPLES

We obtain an independent estimate of both half-variance (i.e.  $\omega_0$ ) and dominant radius (i.e.  $\lambda$ ), by performing a quantitative analysis of the digital profiles from several experiments (Table 2). We use a method originally proposed by Yamada *et al.* (1978) in order to describe roughness of dry frictional surfaces, henceforth implemented by Yoshioka & Scholz (1989) in order to model the shear stiffness and normal closure of contacting solids. It is assumed for these measurements that the profile can be satisfactorily represented as a population of spherical asperities. A characteristic height  $\omega_0$  is defined as the root mean square of the probability density function  $\psi(z)$  of the asperity elevation z with respect to a reference line, and  $\lambda$  is defined as the average radius of curvature in a population of spherical caps fitting the individual asperity summits (see Fig. 1 and caption text for further details).

The resulting measurements performed on slip surfaces after the experiment, are shown in Table 2 and Fig. 5. Within the resolution of this qualitative fit, we find for the five experimental gabbro samples (1) a strong correlation in the variations of  $\lambda$  and  $\omega_0$  (see Fig. 5a), confirming that both values vary in conjunction and (2) that the roughness elevation decreases under steeper temperature gradients (see Fig. 5b) as expected from the discussion on preferential melting and Fig. 3. The values of  $\omega_0=0.124$  and 0.069 mm obtained for experiments HVR726 and HVR687 (Table 2) are consistent with the estimates obtained in the previous paragraph using eq. (13) and  $\Delta T=50\,^{\circ}\mathrm{C}$ . These preliminary results seem to corroborate the theoretical arguments leading to eqs (11) and (14). In Fig. 5, a graphical synthesis of the measurements is presented, showing the correlation of  $\omega_0$ ,  $\lambda$  and their variation with the heat production rate in comparison to the theoretical prediction of eq. (13).

For simplicity, the temperature difference of  $\Delta T \approx 50\,^{\circ}\mathrm{C}$  derived from these experimental data was reproduced, by design, in the ideal bytownite/diopside example of Fig. 3. However, we note that in different rocks the values of  $\Delta T$  will change depending

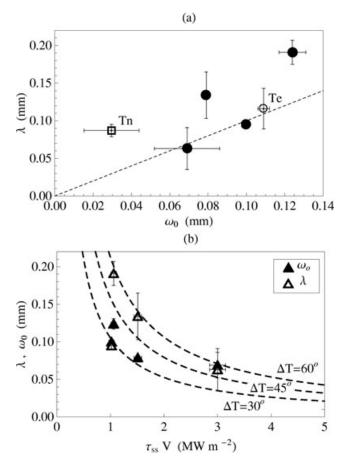


Figure 5. Characteristic asperity height  $\omega_0$  and radius  $\lambda$ , plotted (a) against each other and (b) as a function of the heat production rate  $\tau_{ss}$  V, for fault surfaces from experiments summarized in Table 2. (a) The curve  $\lambda=\omega_0$  is shown in as a dashed line for reference. The square (marked Tn) represents the tonalite sample from the natural fault profile L05\_06 of Fig. 6 and the circle marked Te represents experiment HVR376 performed on the same tonalite host rock. Black disks represent the gabbro experiments of Table 2. (b) The dotted curves show the theoretical penetration depth of isotherms  $\Delta T=30$ , 45, 60 °C according to eq. (13) for reference, while the black and white triangles represent the measures of  $\omega_o$  and  $\lambda$ , respectively, from the experimental gabbro samples of Table 2.

**Table 2.** Measures from experimental and natural faults describing topography of melt/solid boundaries, melt thickness and slip conditions.

Sample no.	Rock type	λ (mm)	$\omega_0 \text{ (mm)}$	$ au_{ss} V (\text{MW m}^{-2})$
HVR687	gabbro	$0.063 \pm 0.028$	$0.069 \pm 0.017$	$3.00 \pm 0.15$
HVR688	gabbro	$0.134 \pm 0.031$	$0.079 \pm 0.002$	$1.51 \pm 0.07$
HVR726	gabbro	$0.191 \pm 0.016$	$0.124 \pm 0.007$	$1.06 \pm 0.05$
HVR737	gabbro	$0.095 \pm 0.006$	$0.100 \pm 0.002$	$1.02 \pm 0.05$
HVR376	tonalite	$0.116 \pm 0.027$	$0.109 \pm 0.003$	$5.02 \pm 0.15$
L05_06 <sup>a</sup>	tonalite nat.	$0.087 \pm 0.008$	$0.0296 \pm 0.0143$	$32-107^b$

Notes:  $\lambda$  is average radius of spherical asperity caps,  $\omega_0$  is the characteristic elevation of the asperities on the surface (e.g. the rms of the asperity height probability distribution),  $\omega$  is the average thickness of melt and  $\tau$  V is the average power developed during dynamic slip (the product of average shear stress and slip rate).  $\tau$  V is measured for all the HVR experiments but inferred for the fossil fault outcrop L05\_06 according to the method explained in (Di Toro et al. 2005a), using the field observations on amount of pseudotachylite (an average of about 7 mm including veins and pools) and measured offset (ranging 0.3–1 m, resulting in the large span of  $\tau$  V). <sup>a</sup>Sample from natural fault.

<sup>&</sup>lt;sup>b</sup>Estimated from the amount of pseudotachylite and fault offset.

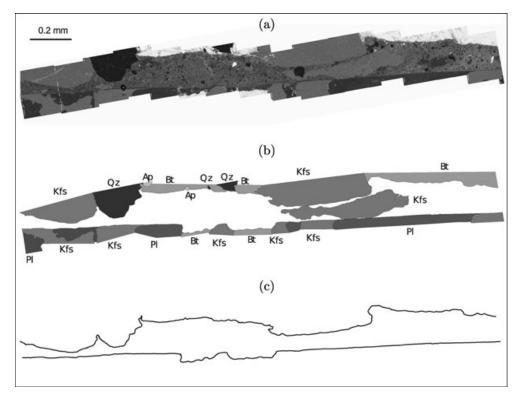


Figure 6. Fault vein profile from natural fault (Adamello, Trentino, Southern Italian Alps). (a) Photomosaic from back-scatter electron scanning microscope. (b) Redrawn profile with mineral identification (Ap: apotite; Qz: quartz; Kfs: K-feldspar; Bt: biotite; Pl: plagioclase). (c) High-resolution profile.

on their composition and structure.  $\Delta T$  is strongly related to the differences in the mineral melting temperatures, however, on the small scale, impurities, microcracks, inclusions and the Gibbs effect (geometry of the interface) also induce very local fluctuations in the effective melting temperature of the rock, in a way that can influence the small scale topography. As a consequence, although an approximate value of the parameter  $\Delta T$  can be predicted based on the rock composition, it should be better characterized through an experimental fit for each rock assembly.

In order to compare the measurements obtained from our experimental samples to similar occurrences on natural faults (under arguably similar conditions and settings), we performed the same surface measurements on sample number L\_05\_06, retrieved from an ancient seismic fault decorated by pseudotachylite, in the Gole Larghe fault zone, Italian Alps (Di Toro & Pennacchioni, 2004). The sample SEM image and the profile used for the measurements are shown in Fig. 6. Since the host rock (tonalite) in this case is quite different from the gabbro samples used in the experiments, we also performed for reference measurements on sample  $\ensuremath{\mathsf{HVR376}}$ (Table 2), retrieved from an experiment which was performed on tonalite from the same outcrop as L05\_06. Both  $\lambda$  and  $\omega_o$  are substantially smaller in the natural fault sample L05\_06, where the estimated work rate is high (30  $< \tau_{ss} V < 107 \,\mathrm{MWm^{-2}}$ ), than in experimental sample HVR376 where work rate is relatively low  $(\tau_{ss} V \approx 5 \,\mathrm{MWm}^{-2})$ . It should be stressed that this single sample is shown only as an example of a possible situation on a natural pseudotachylite-bearing fault. The measure is indicative and not sufficient as supporting evidence that our melting roughness hypothesis holds true under natural conditions. As customary, we leave for future studies the endeavour of obtaining and analysing a sufficient

number of field and experimental data to perform a significant test of the present theory.

While the measures are straightforwardly obtained from experimental samples and lead to consistent results, those performed on natural faults are more thorny. First, the fossil fault structure and thickness are highly variable along strike: extension jogs that cumulate abundant solidified melt are interspersed within thin fault veins and compression bends, as discussed in the introduction. The locally thicker melt pools do not sustain significant friction, so that the thinner areas only are representative of the rupture dynamics. As a consequence, one should select a sample within one of the thin fault segments in order to obtain a representative characterization of the surface. Second, if the fault has accumulated slip in the order tens of cm or more, the two sides of the fault finally facing each other (in the observed position) may have been generated on rather different segments of the fault and withstood distinct conditions of thickness and normal stress before they became adjacent. It follows that the two opposing melting boundaries on each side of the melt can have a contrasting surface structure in terms of roughness, preferential melting and the parameters  $\omega_0$  and  $\lambda$ . While the experimental samples are rather symmetric and homogeneous, natural faults show a highly variable and asymmetric structure of the pseudotachylite vein. In the example of sample shown here from a natural fault (L\_05\_06 in Fig. 6) there is a clear difference between the bottom and the top profiles (the top profile shows a relatively accentuated topography with larger  $\omega_0$  with respect to the extremely flat bottom one). In conclusion, an accurate study of a natural pseudotachylite-bearing fault may require several measurements along different spots of the fault in order to obtain a good coverage of the variability.

## 5 NORMAL STRESS AND MELT THICKENING

To sum-up, we introduced partial contact at asperities under a state of incipient yield, and assumed that the melt/solid boundary has a topography of dominant elevation  $\omega_0$  and half wavelength  $\lambda$ . We argued that both  $\omega_0$  and  $\lambda$  are dynamically determined, related to the temperature gradient and that they vary in concert such that  $\omega_0/\lambda \propto$  const. The residual stress resulting from the contact asperities is  $\alpha\sigma_c$ , where  $\alpha$  increases when  $\omega \to \omega_0$ . We now recall the main equations that we obtained to describe the normal stress

$$\sigma_{n} = (1 - \alpha) \frac{C \eta_{e} \dot{\omega}_{c} R^{2}}{\omega^{3}} + \alpha \sigma_{c} \quad (a)$$

$$\alpha = \sqrt{\frac{1}{2\pi}} \frac{\omega_{0}^{2}}{\omega^{2}} e^{-\frac{\omega^{2}}{2\omega_{0}^{2}}} \quad (b)$$

$$\omega_{0} \approx z^{\pm} \approx \frac{1}{2} \Delta T / \frac{\partial T}{\partial z}|_{0^{+}} \quad (c)$$

(with the constraint that  $\alpha(\omega) \leq \sigma_n/\sigma_c$ ).

From eq. (16a) we may derive the squeezing (extrusion) rate  $\dot{\omega}_c$ . Note that in the particular case of steady-state conditions, the thickness of melt is constant; therefore squeezing rate can be equated to rate of melting:  $\dot{\omega}_c = \nu$  (extrusion exactly compensates melt production, where  $\nu$  is the rate of melting or the velocity of advancement of the melting front into the solid). However in the transient regime, the thickness does vary so that we need to estimate its evolution based on a balance between extruded volume and melted volume. As a consequence, we may write at any time t the differential equation defining the rate of thickening, and its time integration (or its discrete approximation) yielding the thickness evolution

$$\dot{\omega}(t) = -H(\dot{\omega}_c(t)) + v(t)$$
 (a)  

$$\omega(t) = \int_0^t \dot{\omega}(t) dt',$$
 (b)

where  $\dot{\omega}$  refers to the time derivative of the average melt thickness while  $\dot{\omega}_c$  refers to the rate of squeezing only, and  $\nu$  to the melting rate. The convergence due to squeezing should always be positive, since we assume that once extruded the melt cannot be sucked in again; this is why we introduce the Heaviside step function H. On the other hand, melting rate  $\nu$  can be reversed in a freezing rate and thus may take both positive and negative values.

In order to complete the solution of the equation system (16) the melting rate  $\nu$  and the temperature gradient  $\frac{\partial T}{\partial z}|_{0^+}$  are computed by solving the Stefan problem of migrating boundary. In the transient regime, it is necessary to perform a numerical solution, which will be the topic of future work (Nielsen *et al.* submitted to *J. Geophys. Res.*, 2010). However, the analytical solution is straightforward if the steady-state condition is assumed as proposed by Nielsen *et al.* (2008). Such a solution is the object of next section.

### 6 IMPROVED STEADY-STATE SOLUTION

From (Nielsen et al. 2008, eqs 11, 15, 36), we may write:

$$\nu = \frac{\tau_{ss} V}{2\rho (L + c(T_m - T_i))}$$
(a)
$$\frac{\partial T}{\partial z}|_{0^+} = \frac{\nu}{\kappa} (T_m - T_i)$$
(b)
$$\omega = \frac{W \eta_c}{2\tau} \frac{\operatorname{atanh}\left(\frac{V/W}{\sqrt{1 + V^2/W^2}}\right)}{\sqrt{1 + V^2/W^2}},$$
(c)

where  $\eta_c$  and W are constitutive parameters (see Table 1) governing the viscosity temperature dependence,  $T_m$  and  $T_i$  is the average melting temperature of the rock and initial temperature, respectively. We recall that frictional melt experiments (Hirose & Shimamoto 2005; Del Gaudio *et al.* 2009) show a transient evolution of shear stress with ongoing slip followed by a stable value  $\tau_{ss}$ , attesting the onset of a steady-state condition. The steady-state is reached because melt extrusion balances the heat production (Nielsen *et al.* 2008). By substituting the eqs (18) into eqs (16b, c), we may write the ratio  $\omega_0/\omega$  and find that all variables except slip rate V simplify and the ratio depends on constitutive parameters of the rock only

$$\left(\frac{\omega_0}{\omega}\right)_{ss} = \frac{2\kappa\rho\left(L + c\left(T_m - T_i\right)\right)\sqrt{1 + \frac{V^2}{W^2}}\Delta T}{\left(T_m - T_i\right)VW\eta_c \operatorname{atanh}\left(\frac{V/W}{\sqrt{1 + \frac{V^2}{W^2}}}\right)},\tag{19}$$

As a consequence the ratio  $\alpha$  of contact area to total area,

$$\alpha(V) = (1/\sqrt{2\pi}) \left(\omega_0^2/\omega^2\right) e^{-\frac{\omega^2}{2\omega_0^2}}$$
 (20)

depends on slip velocity alone during frictional melt steady-state conditions. This is a striking result, which can be interpreted on the basis that both  $\omega$  and  $\omega_0$  are inversely proportional to the steady-state shear stress  $\tau_{ss}$  which factors out, while the effective melt viscosity (indirectly controlling melt thickness through the heat balance of the system), depends on slip rate alone (eq. 42 in Nielsen *et al.* 2008). Thus, the ratio of thickness to roughness may allow for an estimate of slip-rate during past earthquakes in natural fossil faults bearing pseudotachylite, assuming that the final fault sliding occurred close to a steady-state condition. This point is discussed more in detail in next section.

In addition, in agreement with Nielsen *et al.* (2008, eqs 42, 51) we may use the following expressions:

$$\eta_e = \frac{W \,\eta_c \, \text{atanh} \left(\frac{V}{W\sqrt{\frac{V^2}{W^2} + 1}}\right)}{3 \, V \sqrt{\frac{V^2}{W^2} + 1}} \tag{21}$$

$$\tau_{ss} = \frac{V \, \eta_e}{6 \, \omega} \tag{22}$$

which, in conjunction with eq. (16a) yield a fourth order polynomial expression in  $\tau_{ss}$ . There is only one positive, real root of such a polynomial, resulting in the steady-state shear stress:

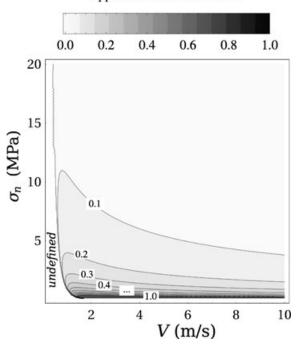
$$\tau_{ss} = A \left( \frac{\sigma_n - \alpha_{ss}(V) \sigma_c}{1 - \alpha_{ss}(V)} \right)^{1/4} \frac{\sqrt{\operatorname{atanh}(\frac{V/W}{\sqrt{1 + V^2/W^2}})}}{(1 + V^2/W^2)^{1/4}}, \tag{23}$$

where

$$A = \frac{\sqrt{W\eta_c/R}}{3\sqrt{2}} \left( \frac{\rho(L + c(T_m - T_i))}{3C} \right)^{1/4}.$$

The value of  $\alpha_{ss}(V)$  is computed according to eqs (16b, 19). As  $\alpha_{ss}(V)$  varies with slip rate, an important consequence is that the dependence of friction on normal stress  $\sigma_n$  on one side, and on slip velocity V on the other, are coupled. This coupling displays a remarkable difference with the approximate frictional form proposed in (Nielsen *et al.* 2008). The latter frictional form is a good approximation of the one proposed here at relatively high slip rate. Indeed the coefficient  $\alpha$  becomes negligible at high slip rates and the normal stress dependence identical to that of (Nielsen *et al.* 2008) is retrieved.

#### apparent friction coefficient



**Figure 7.** Apparent steady-state friction coefficient as a function of slip rate and normal stress. The lower values at high normal stress are due to the lubrication effect of frictional melt. The small 'undefined' domain on the left corresponds to the nonphysical case  $\alpha_{ss}\sigma_c > \sigma_n$ , yielding to an imaginary solution in eq. (23). This implies that no steady-state can be reached in this domain for frictional melt. See text for more details.

Due to the rough and irregular shape of the melt boundaries the assumption of laminar flow across the melt is questionable. The approximation holds provided that the lubricant thickness is small compared to the wavelength of the roughness (Brodsky & Kanamori 2001); however here both lengths fall in the same order of magnitude. As a consequence we expect the development of turbulence and mixing to some extent, depending on the particular combination of boundary roughness and Reynolds number. In this context eqs (18), (21) and (22) should be considered as an effective medium approximation of practical use (a common practice in fluid dynamics for problems involving turbulence, see for example Goldstein 1938). The small-scale turbulence is ignored but effective parameters such as  $\eta_c$  and W implicitly account for the increase of apparent viscosity (by transfer of momentum by eddies) and increase in dif-

fusivity (by local transport and mixing). In Nielsen *et al.* (2008)  $\eta_c$  and W are retrieved by empirical fit of experimental data.

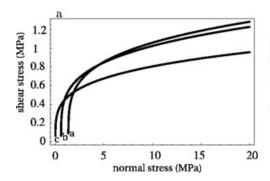
We show examples of friction at the steady-state as a function of normal stress, slip velocity and both (Figs 7 and 8). We used constitutive parameters compatible with a gabbro (see Table 1); the geometry corresponds to that a cylindrical sample of 1 cm ( $R = 10^{-2}$  m, C = 3/16); we set  $\Delta T = 80^{\circ}C$  to model the differential melting and  $\eta_c = 7.5 \times 10^3 \,\mathrm{Pa}\,\mathrm{s},\ W = 0.21\,\mathrm{m\,s^{-1}}$  for the Arrhenius equivalentviscosity law according to Nielsen et al. (2008). Since the ratio of shear stress to normal stress is not constant under lubricating conditions, the very definition of a friction coefficient  $\mu$  is awkward: the dimensionless ratio  $\mu$  is a non-trivial function of its own denominator, that is,  $\tau/\sigma_n = f(\sigma_n, \ldots)$ . The coefficient  $\mu$  obtained under a given normal stress cannot be extrapolated to other conditions. As a consequence, we mostly represent the results in terms of absolute friction, except for Fig. 7, where the drop of the friction coefficient with normal stress is visible. This drop in friction coefficient is a direct effect of the lubrication, which essentially eliminates the linear increase of friction with normal stress (usually observed under solid, dry sliding friction conditions).

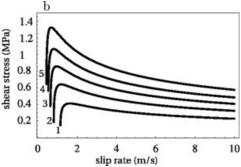
The undefined domain in Fig. 7 represents a regime where no physical solution is allowed for a stable, steady-state frictional melt situation. Indeed the condition (9) would be violated, resulting in the non-physical situation where the surface contacts support more normal stress than the one actually applied. This domain is, in any case, of no practical interest for the frictional melt dynamics on seismic faults. Indeed, it corresponds to conditions of relatively low slip rates and normal stress. Under such conditions, the frictional heat production rate is modest, and the melting temperature would not be reached within the duration of seismic fault slip. In this regime, sliding should be described in the framework of rock friction in the absence of melt (Dieterich 1972). Under conditions of aseismic slip, on the other hand, and for longer time intervals, melting could be achieved at some point but, given the slow nature of the process, this would occur under equilibrium conditions (generating no preferential melting but rather eutectic or incongruous melting), so that our analysis of surface roughness would not apply.

### 7 RELATING SLIP RATE V AND $\omega/\omega_0$

A back-of the envelope rationale for eq. (19) is the following. As argued above, the roughness  $\omega_0$  is strongly related to inhomogeneous melting temperatures and hence scales with the temperature gradient (eq. 16c).

On the other hand, as shown in (Nielsen *et al.* 2008), the temperature gradient is proportional to melt front advancement velocity,





**Figure 8.** (a) Dependence of steady-state shear stress on normal stress, for three different slip rates (a, b, c are curves for V = 0.9, 1.1 and 2.5 m s<sup>-1</sup>, respectively). (b) Dependence of steady-state shear stress on slip rate, for five different normal stresses (1, 2, 3, 4, 5 are curves for  $\sigma_n = 0.5$ , 2, 5, 10, 20 MPa, respectively).

which is driven by the heat rate production or  $\tau V$  (at least under conditions reasonably close to the steady-state). In addition, the resulting friction can be described as viscous shear upon definition of an equivalent viscosity  $\eta_s$  across the melt layer.

We may express in simplified equations the above arguments, such that:

$$\omega_0 \propto 1/(\partial T/\partial z)_{0+}$$
 (a)

$$\partial T/\partial z|_{0^+} \propto \quad \nu \qquad \qquad \propto \tau \ V \quad \text{(b)}$$

$$\tau = \eta_s V / 2 \omega \tag{c}$$

which may be combined into

$$\omega/\omega_0 \propto \eta_s(V) V^2.$$
 (25)

Finally, assuming a linearized Arrhenius law, an expression for the equivalent viscosity  $\eta_s$  can be derived (Nielsen *et al.* 2008). The latter shows a negative slip-rate dependence which may be roughly approximated by  $\eta_s \propto 1/V$  at high slip rates, yielding

$$\omega/\omega_0 \approx \frac{1}{a} V.$$
 (26)

The characteristic velocity a may be estimated based on laboratory tests at different velocities for a given rock type. For gabbro, based on the experiments of (Fig. 4e), we obtain an indicative value  $a \approx 2.5 \pm 0.7 \,\mathrm{m\,s^{-1}}$  (for HVR726, the final sliding velocities were  $V = 1.31 \,\mathrm{m\,s^{-1}}$  and we measure  $\omega_0 = 0.236$  and  $\omega = 0.22 \,\mathrm{mm}$ ; for HVR687,  $V = 1.14 \,\mathrm{m\,s^{-1}}$ ,  $\omega_0 = 0.08$  and  $\omega = 0.22 \,\mathrm{mm}$ ).

Ideally, eq. (26) may be used to estimate V independently of  $\tau$  and other ambient conditions by inspection of fossil fault samples. However as noted at the end of Section 3, the structure of a natural fault is highly non symmetric and variable along strike so that an accurate characterization may require multiple samples and measures along fault strike.

#### 8 CONCLUSIONS

We include the effect of partial asperity contact across the melt layer, in order to obtain a theoretical prediction of rock friction in the presence of melting. The normal stress is supported in part at contact asperities and in part by the pressure of the viscous melt layer, and this affects the resulting friction across the fault in a non-linear fashion.

We show that at the small scale, the roughness of the melting surface does vary depending on the sliding conditions, and can be linked to the temperature gradient into the side walls of the fault. As a consequence, the amount of partial contact area  $\alpha$ , and the partitioning of normal stress, generally depend on sliding conditions.

We quantify the above arguments and incorporate them into the expression for steady-state friction in the presence of melt. In the resulting friction law, the effect of normal stress and the effect of slip-rate are coupled through the contact ratio coefficient  $\alpha$ .

Finally, we show that the ratio of roughness  $\omega_0$  (defined as the root mean square of the probability density distribution of asperity elevation) and the average melt thickness  $\omega$  only depend on sliding velocity, so that  $\omega/\omega_0$  could ideally be used as a gauge to estimate past slip-rates on fossil earthquake faults.

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