COMPASAnalysis

November 4, 2023

1 Analysis of COMPAS Score, Detecting Inaccuracies

1.1 Jack DeGesero

1.1.1 The data regarded predicts whether or not criminal defendants are likely to be reoffenders based on multiple attributes, in this report we will be examining sex, age (binned in three categories: <25, 25-45, >45), decile score, and if they did re-offend or not within a two year time frame (is a recidivist). All the data examined is sourced from Broward County, FL.

This data is sourced from the Pro Publica, who initially led the report with all variables. https://www.propublica.org/article/how-we-analyzed-the-compas-recidivism-algorithm

```
import pandas as pd
import numpy as py
import statistics

import matplotlib.pyplot as plt #graphing

from sklearn import tree #for tree
from sklearn import model_selection #for partition into test and training data
from sklearn import preprocessing #to change attributes
from sklearn.metrics import accuracy_score #for checking model accuracy
from sklearn.metrics import classification_report, confusion_matrix #to show__

-confusion matrix
```

```
[2]: #load the data
df1 = pd.read_csv('compas_small.csv')
df2 = pd.read_csv('compas_small_Ca.csv')
df3 = pd.read_csv('compas_small_AfAm.csv')

#get all relevant columns, and class attribute (is_recid)
df1 = df1[['sex', 'age_cat', 'decile_score', 'is_recid']]
df2 = df2[['sex', 'age_cat', 'decile_score', 'is_recid']]
df3 = df3[['sex', 'age_cat', 'decile_score', 'is_recid']]
```

```
[3]: df1
```

```
[3]:
                            age_cat decile_score is_recid
              sex
             Male Greater than 45
     0
                                                 1
                            25 - 45
     1
             Male
                                                 3
                                                        yes
     2
             Male
                       Less than 25
                                                 4
                                                        yes
     3
                       Less than 25
                                                 8
             Male
                                                         no
     4
             Male
                            25 - 45
                                                 1
                                                         no
                                                 7
    7209
             Male
                       Less than 25
                                                         no
    7210
             Male
                       Less than 25
                                                 3
                                                         no
    7211
                   Greater than 45
             Male
                                                 1
                                                         no
                            25 - 45
     7212 Female
                                                 2
                                                          no
    7213 Female
                       Less than 25
                                                 4
                                                        yes
     [7214 rows x 4 columns]
[4]: df2
[4]:
              sex
                                  decile_score is_recid
                         age_cat
     0
                         25 - 45
             Male
                                              6
                                                     yes
     1
           Female
                         25 - 45
                                              1
                                                      no
```

3 2 Male Less than 25 yes 3 Male 25 - 454 no 4 Female 25 - 451 no 2449 Male 25 - 452 no 25 - 452450 Female 1 yes 2451 Male Less than 25 8 no 2452 Male Less than 25 10 yes 2453 Male Less than 25 6 yes

[2454 rows x 4 columns]

[5]: df3

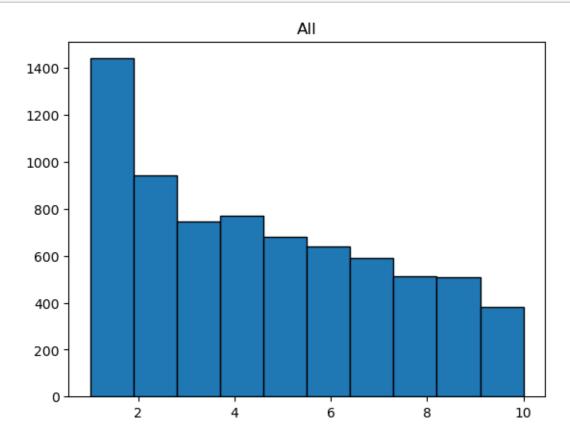
[5]:		sex		age_c	cat	decile	_score	is_recid
	0	Male		25 -	45		3	yes
	1	Male	Less	than	25		4	yes
	2	Male	Less	than	25		8	no
	3	Male	Less	than	25		6	yes
	4	Male		25 -	45		4	no
	•••			•••		•••	•••	
	3691	Male		25 -	45		2	yes
	3692	Male	Less	than	25		9	no
	3693	Male	Less	than	25		7	no
	3694	Male	Less	than	25		3	no
	3695	Female		25 -	45		2	no

[3696 rows x 4 columns]

```
[6]: #Check if any na values are present in each data set
any([df1['decile_score'].isna().any(),df2['decile_score'].isna().
any(),df3['decile_score'].isna().any()])
```

[6]: False

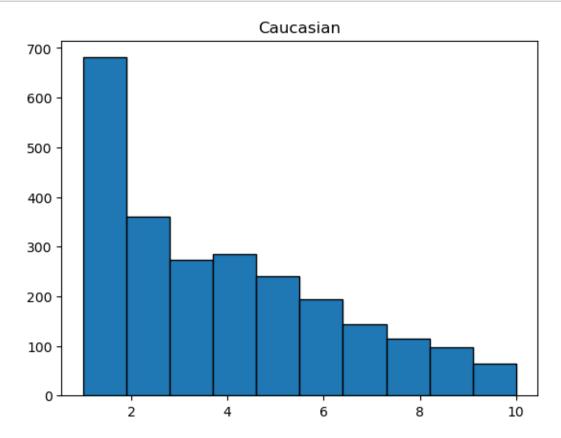
```
[7]: plt.hist(df1['decile_score'], ec='black')
  plt.title("All")
  plt.show()
```



Median of All: 4.0, Mode of All: 1

1.1.2 From the figure above, we can see the median for decile score is greater than the mean indicating it is positively skewed

```
[9]: plt.hist(df2['decile_score'], ec='black')
  plt.title("Caucasian")
  plt.show()
```



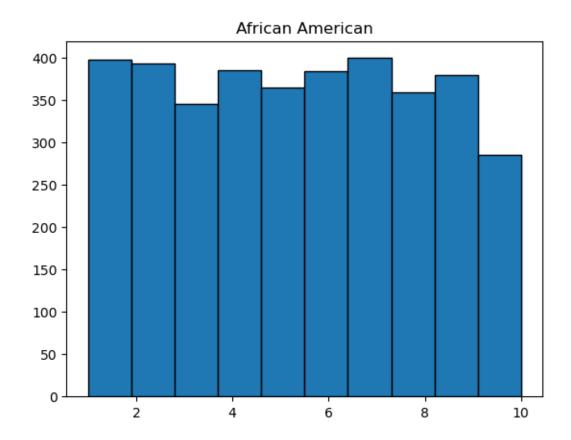
```
[10]: print("Median of Caucasians: " + str(df2['decile_score'].median()) + ", Mode of

Gaucasians: "+ str(df2['decile_score'].mode().values[0]))
```

Median of Caucasians: 3.0, Mode of Caucasians: 1

1.1.3 From this figure, we can see the histogram for Caucasians is also positively skewed

```
[11]: plt.hist(df3['decile_score'], ec='black')
  plt.title("African American")
  plt.show()
```



```
[12]: print("Median of African Americans: " + str(df3['decile_score'].median()) + ", \( \to \) Mode of African Americans: "+ str(df1['decile_score'].mode().values[0]))
```

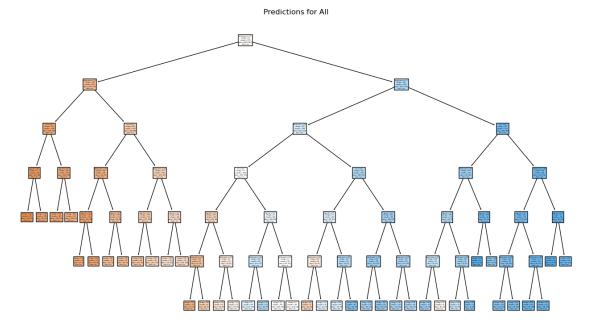
Median of African Americans: 5.0, Mode of African Americans: 1

1.1.4 Finally, African Americans have a slight positive skew; however, the median is higher than both the latter graphs indicating its more evenly skewed (ie more entries with higher decile scores)

```
[14]: #Make Trained Decision Tree for 'All' (df1)

#Grab attributes and class attribute
allAtr = df1[['sex', 'age_cat', 'decile_score']]
classAtr = df1['is_recid']
```

```
#Partition 20% of data to be tested, map to xtr-Training Attributes, xt-Test_{\sqcup}
Attributes, ytr-Class Training Attributes, yt-Class Test Attributes (used
⇔for accuracy)
xtr, xt, ytr, yt = model_selection.train_test_split(allAtr, classAtr,_
 stest_size=0.2, random_state=42)
#instantiates tree object
AllTree = tree.DecisionTreeClassifier(criterion="entropy")
#make the tree
AllTree.fit(xtr, ytr)
#predict based on test data
prediction = AllTree.predict(xt)
#plot data
plt.figure(figsize=(16, 9))
tree.plot_tree(AllTree, feature_names=allAtr.columns, class_names=['no',_
plt.title('Predictions for All')
plt.savefig('decision_tree.png', dpi=300) #very low res in out[]:, see picture
plt.show()
```



```
print("Gain:")
      # Print the attributes in the order of importance
      for i in xtr.columns:
          print(f'Attribute: {i}, Importance: {importances[xtr.columns.get_loc(i)]:.
       Gain:
     Attribute: sex, Importance: 0.05
     Attribute: age_cat, Importance: 0.03
     Attribute: decile_score, Importance: 0.92
[16]: #Run the test
      print("Confusion matrix: \n" + str(confusion_matrix(yt,prediction)) +

¬"\nTP,FP\nFN,TN")
     Confusion matrix:
     [[570 219]
      [285 369]]
     TP,FP
     FN,TN
[17]: | print("Accuracy for All: " + str(accuracy_score(yt,prediction)))
```

Accuracy for All: 0.6507276507276507

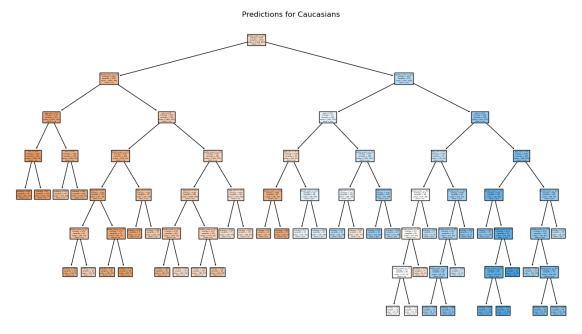
- 1.1.5 The main model is accurate about 65% of the time. False positives occur 27%of the time while false negatives occur 43% of the time.
- 1.1.6 I ran the same data through the software Weka and got an accuracy of about 68%, very interesting to see how some models may classify more accurately than other models! Really goes to show why most contemporary models are proprietary.
- 1.1.7 Now, we repeat the same analysis for Caucasians and African Americans. Find Caucasian analysis below:

```
[18]: #Preprocess some attributes to make scikit more digestible
      df2['sex'] = preprocessing.LabelEncoder().fit(df2['sex']).transform(df2['sex'])
      df2['age_cat'] = preprocessing.OneHotEncoder(sparse=False).

→fit_transform(df2['age_cat'].values.reshape(-1, 1))
```

```
[19]: #Make Trained Decision Tree for 'Caucasians' (df2)
      #Grab attributes and class attribute
      allAtr = df2[['sex', 'age_cat', 'decile_score']]
      classAtr = df2['is_recid']
```

```
#Partition 20% of data to be tested, map to xtr-Training Attributes, xt-Test
 \hookrightarrowAttributes, ytr-Class Training Attributes, yt-Class Test Attributes (used
 ⇔for accuracy)
xtr, xt, ytr, yt = model_selection.train_test_split(allAtr, classAtr,_
 ⇔test_size=0.2, random_state=42)
#instantiates tree object
AllTree = tree.DecisionTreeClassifier(criterion="entropy")
#make the tree
AllTree.fit(xtr, ytr)
#predict based on test data
prediction = AllTree.predict(xt)
#plot data
plt.figure(figsize=(16, 9))
tree.plot_tree(AllTree, feature_names=allAtr.columns, class_names=['no',_
→'yes'], filled=True, impurity=True, precision=2)
plt.title('Predictions for Caucasians')
plt.savefig('decision_tree_caucasian.png', dpi=300) #very low res in out[]:,
 ⇔see picture
plt.show()
```



```
[20]: importances = AllTree.feature_importances_ #qet gain of each attribute_i
           \rightarrow examined, put in list
         print("Gain:")
         # Print the attributes in the order of importance
         for i in xtr.columns:
               print(f'Attribute: {i}, Importance: {importances[xtr.columns.get_loc(i)]:.

<p
        Gain:
        Attribute: sex, Importance: 0.07
        Attribute: age_cat, Importance: 0.09
        Attribute: decile_score, Importance: 0.85
[21]: #Run the test
         print("Confusion matrix: \n" + str(confusion_matrix(yt,prediction)) +

¬"\nTP,FP\nFN,TN")
        Confusion matrix:
        [[225 46]
          [125 95]]
        TP,FP
        FN,TN
[22]: print("Accuracy for Caucasians: " + str(accuracy score(yt,prediction)))
```

Accuracy for Caucasians: 0.6517311608961304

- 1.1.8 The model made for caucasians is slightly more accurate, by approximately 0.1%. False positives occurred 16% of the time while false negatives occurred 56% of the time.
- 1.1.9 Find African Amercian analysis below:

```
[23]: #Preprocess some attributes to make scikit more digestible

df3['sex'] = preprocessing.LabelEncoder().fit(df3['sex']).transform(df3['sex'])

df3['age_cat'] = preprocessing.OneHotEncoder(sparse=False).

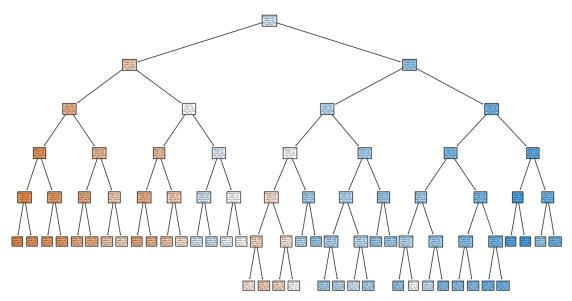
→fit_transform(df3['age_cat'].values.reshape(-1, 1))
```

```
[24]: #Make Trained Decision Tree for 'African Americans' (df3)

#Grab attributes and class attribute
allAtr = df3[['sex', 'age_cat', 'decile_score']]
classAtr = df3['is_recid']
```

```
#Partition 20% of data to be tested, map to xtr-Training Attributes, xt-Test_{\sqcup}
Attributes, ytr-Class Training Attributes, yt-Class Test Attributes (used
⇔for accuracy)
xtr, xt, ytr, yt = model_selection.train_test_split(allAtr, classAtr,_
→test_size=0.2, random_state=42)
#instantiates tree object
AllTree = tree.DecisionTreeClassifier(criterion="entropy")
#make the tree
AllTree.fit(xtr, ytr)
#predict based on test data
prediction = AllTree.predict(xt)
#plot data
plt.figure(figsize=(16, 9))
tree.plot_tree(AllTree, feature_names=allAtr.columns, class_names=['no',_
plt.title('Predictions for African Americans')
plt.savefig('decision_tree_africanamerican.png', dpi=300) #very low res in_
 →out[]:, see picture
plt.show()
```





```
[25]: importances = AllTree.feature_importances_ #qet qain of each attribute_i
           ⇔examined, put in list
         print("Gain:")
         # Print the attributes in the order of importance
         for i in xtr.columns:
               print(f'Attribute: {i}, Importance: {importances[xtr.columns.get_loc(i)]:.

<p
        Gain:
        Attribute: sex, Importance: 0.10
        Attribute: age_cat, Importance: 0.04
        Attribute: decile score, Importance: 0.86
[26]: #Run the test
         print("Confusion matrix: \n" + str(confusion matrix(yt,prediction)) + | |

¬"\nTP,FP\nFN,TN")
        Confusion matrix:
        [[155 191]
          [ 85 309]]
        TP,FP
        FN,TN
[27]: print("Accuracy for African Americans: " + str(accuracy_score(yt,prediction)))
```

Accuracy for African Americans: 0.6270270270270271

- 1.1.10 The model for African Americans is only accurate about 62% of the time, 2.4% less accurate than the caucasian model. False positives occurred 55% of the time, while false negatives occurred 21% of the time. The disparity in the False Positives goes to show why this prediction should not be the sole deciding factor in determining recidivism.
- 1.1.11 We can also check if we add more attributes (for the decision tree) if our accuracy will increase. Let's attempt this with our 'all' data, but this time we will also consider juvenile misdemeanors.

```
1
        Male
                      25 - 45
                                           3
                                                            0
                                                                   yes
2
                 Less than 25
        Male
                                           4
                                                            0
                                                                   yes
3
        Male
                 Less than 25
                                                            1
                                                                    no
                      25 - 45
4
        Male
                                                                    no
7209
        Male
                 Less than 25
                                           7
                                                            0
                                                                    nο
7210
       Male
                 Less than 25
                                           3
                                                            0
                                                                    no
        Male Greater than 45
7211
                                           1
                                                            0
                                                                    nο
                      25 - 45
7212 Female
                                           2
                                                            0
                                                                    no
7213 Female
                 Less than 25
                                                            0
                                                                   yes
[7214 rows x 5 columns]
```

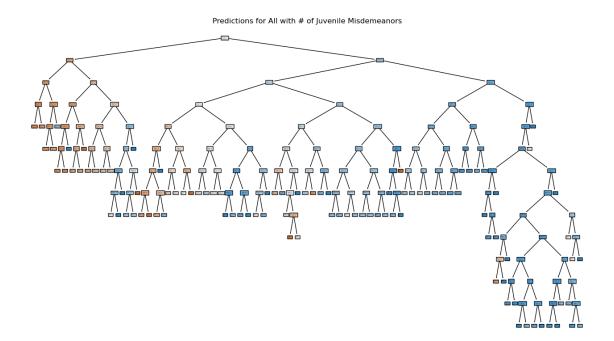
[30]: #Preprocess some attributes to make scikit more digestible

df4['sex'] = preprocessing.LabelEncoder().fit(df4['sex']).transform(df4['sex'])

df4['age_cat'] = preprocessing.OneHotEncoder(sparse=False).

ofit_transform(df4['age_cat'].values.reshape(-1, 1))

```
[31]: | #Make Trained Decision Tree for 'All with # of Juvenile Misdemeanors' (df4)
      #Grab attributes and class attribute
     allAtr = df4[['sex', 'age_cat', 'decile_score', 'juv_misd_count']]
     classAtr = df4['is_recid']
      #Partition 20% of data to be tested, map to xtr-Training Attributes, xt-Test
       \hookrightarrowAttributes, ytr-Class Training Attributes, yt-Class Test Attributes (used
       ⇔for accuracy)
     xtr, xt, ytr, yt = model_selection.train_test_split(allAtr, classAtr,_
      →test_size=0.2, random_state=42)
      #instantiates tree object
     AllTree = tree.DecisionTreeClassifier(criterion="entropy")
      #make the tree
     AllTree.fit(xtr, ytr)
      #predict based on test data
     prediction = AllTree.predict(xt)
      #plot data
     plt.figure(figsize=(16, 9))
     tree.plot_tree(AllTree, feature_names=allAtr.columns, class_names=['no',u
      plt.title('Predictions for All with # of Juvenile Misdemeanors')
     plt.savefig('decision_tree_juvc.png', dpi=300) #very low res in out[]:, see__
       \rightarrowpicture
     plt.show()
```



```
[32]: importances = AllTree.feature_importances_ #get gain of each attribute_
          ⇔examined, put in list
         # Print the attributes in the order of importance
         for i in xtr.columns:
               print(f'Attribute: {i}, Importance: {importances[xtr.columns.get_loc(i)]:.

<pr
        Attribute: sex, Importance: 0.05
        Attribute: age_cat, Importance: 0.04
        Attribute: decile_score, Importance: 0.83
        Attribute: juv_misd_count, Importance: 0.08
[33]: #Run the test
         print("Confusion matrix: \n" + str(confusion_matrix(yt,prediction)) +__

¬"\nTP,FP\nFN,TN")

        Confusion matrix:
        [[564 225]
         [280 374]]
       TP,FP
       FN,TN
[34]: print("Accuracy for All (after juv count added): " + L
           ⇔str(accuracy_score(yt,prediction)))
```

Accuracy for All (after juv count added): 0.65003465003465

1.1.12 After we added in the juvenile misdemeanor count, the model decreased in accuracy. This is why attribute selection is vital to ensure there are no redundancies examined in our data. A good way to avoid redundancy in our attributes is to check correlation. We can see below that, although weak, juvenile misdemeanor count is positively correlated with decile score. It's the most notable correlation as compared to the other attributes.

```
[35]: corref = py.corrcoef(df4['decile_score'].values,df4['juv_misd_count'].

_values)[0, 1]

corref
```

[35]: 0.21592745594052032