# Scientific Programming (2MMN20) Exercise set 2 Sparse matrices and Graphs

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- **0**. Hand in one report (file) which for all questions contains
  - 1. Example input
  - 2. Script
  - 3. Outputs: Output data and figures.

Figures must have labeled axes and title. Copy-paste [inputs/script/outputs/figures] into LATEX, MSWord or Matlab reporter. When needed use 2MMN20 xformlatex() or xformmatlab().

- 1. Make sure your scripts can be copy-pasted from your .pdf into Matlab without problems except for with single quotes (').
- 2. Unless mentioned otherwise these control instructions are not allowed:

```
if x == 1; y = 1, else y = 0, end;
for x=[0,1]; y = x, end;
while ~isempty(x); y = x(end), x(end) = []; end;
```

- 3. For  $> 16 \times 16$  matrices red colored instructions of Table ?? are not allowed.
- 4. "1 statement" stands for 1 nested non-control statement such as:

```
1 + 2

ones(3, 1 + 2)

v = (1+3./(1:8)).^(1:8)

reshape(repmat(1:4,[4,1]),[1,16])

1 + (2 + 3)

ones(3, 1 + 2).^2

f = @(x) x.^2 * min(x)
```

Functions which consist of one statement such as

```
function y = square(x)
y = x.^2;
end
```

also count as 1 statement. If an exercise mentions "max k statements" you may use maximally k such (nested) statements, separated by comma or semi-colon. X % -> Y abbreviates: Statement(s) X produces output Y.

- 5. To "measure your script's execution time", first switch off/pause other running programs such as music/video and chat programs. "time" your scripts at least 3 times and report the average time.
- **6**. When asked to provide a 1–3–5 statement script:
  - 1. It is possible to find a 1 statement script, but not necessarily with optimal performance;
  - 2. It is possible to find a 3 statement script with optimal performance;
  - 3. You may use up to and including 5 statements.
- 7. All non-bonus questions: You can earn 0 (Fail), 1 (Sufficient) or 2 (Good) credit points. When asked to provide a 1–3–5 statement k-statement script:
  - 1. > 5 statements: < 1 credit point
  - 2. Use of not permitted control instruction(s): 0 credit points
  - 3. Use of non-efficient Matlab instructions:  $\leq 1$  credit points
  - 4. Creating an  $n \times n$  sparse format matrix from a full  $n \times n$  format matrix:  $\leq 0.5$  credit points
  - 5. Not copy-pasteable scripts from your .pdf: 0 credit points
  - 6. 1–5 statement(s) correct answers all score maximal

Bonus questions: Feedback and 0 credits.

- **8.** Example question and the expected motivated 2PTS answer:
  - 1. Q: Write a 1 statement script that for input  $\mathbf{v} = [v_1, \dots, v_n]$  returns  $\mathbf{v} = [v_1^2, \dots, v_n^2]$ :
  - 2. A: Your test input(s), your script, and the resulting output(s): >> v = [1,4,3,2] % your test input data -- does not count as statements v =

1 16 9 4 % your results -- does not count as statements

>>

- **9**. Example question and an incorrect 0.5PTS answer:
  - 1. Q: Write a 5 7 statement script that for inputs n and d returns an  $n \times n$  lower triangular sparse format matrix with approximately d% entries
  - entries
    2. A: This answer first creates a full format matrix with randi() and next
    forces it sparse format
    L = tril(randi([-k,k],n),-1); % creates a full format matrix ... 0.5PTS
    nzrs = find(L);
    L(nzrs(randperm(length(nzrs),((n-1)\*n/2)-d))) = 0; % sets entries to zero
    L = sparse(L) % converts into sparse matrix

which implies that this script involves  $O(n^2)$  OPS for  $n \to \infty$ .

### EXERCISE 1. Sparse matrices. Let

$$\mathbf{A} = \begin{bmatrix} -2 & -8 & -7 & 0 & 0 & 1\\ 1 & 2 & 0 & -8 & 0 & 0\\ -5 & 0 & 1 & -7 & 0 & 0\\ 0 & 0 & 0 & 0 & 0 & 0\\ 0 & 5 & 0 & 0 & 8 & -8\\ 0 & 0 & 0 & 0 & 0 & 0 \end{bmatrix} \in \mathbb{R}^{6 \times 6}$$

be created by

```
A = [ \dots \\ -2, -8, -7, 0, 0, 1; \dots \\ 1, 2, 0, -8, 0, 0; \dots \\ -5, 0, 1, -7, 0, 0; \dots \\ 0, 0, 0, 0, 0, 0, 0; \dots \\ 0, 5, 0, 0, 8, -8; \dots \\ 0, 0, 0, 0, 0, 0; \dots ]
```

- a. Write a script which outputs n, m, I, J, V for the COO format of A
- b. Write a script which outputs n, m, I, N, V for the CCS format of A
- c. Let  $k, l \in \mathbb{Z}$ ,  $n, m \in \mathbb{N}$  and  $d \in (0, 1)$ . Write a 2–5 statement function

```
function A = sprandi(interval, n, m, d)
...
...
```

such that input sprandi([k,1], n, m, d) returns a  $n \times m$  sparse format matrix with approximately  $d \cdot m \cdot n$  uniformly distributed random integer entries in range  $[k, k+1, \ldots, l-1, l]$ .

EXERCISE 2. Altering entries of a matrix: Let A be generated by matlab:

```
A = randi([0,1],[8,8])
and python:
```

A = np.random.randint(\*[0,2], (8,8))

Use commands such as find(), sparse() and spones() to:

a. Write a 2 statements script which alters all non-zero entries  $a_{ij}$  into  $10 \cdot i + j$ 

- b. Write a 2 statements script which alters all entries  $a_{ij}$  into  $10 \cdot i + j$
- c. Write a 1–2 statement(s) script which alter **all non-zero** entries  $a_{ij}$  in columns 2 and 6 into number 7. You are not allowed to use that columns 2 and 6 starts at linear index 8 resp. 40

EXERCISE 3. On sparse format matrix creation. Let  $n, k, l \in \mathbb{N}_+$ .

- a. Write a 3-4-6 statements linear-time script which for inputs n, k, l returns a sparse format  $n \times n$  unit lower triangular matrix  $\mathbf{L}$  with approximately l off-diagonal entries in  $\{-k, \ldots, -1, 0, 1, \ldots, k\}$ . You are not allowed to use the command spdiags().
- b. Let  $\mathbf{L} \in \mathbb{R}^{n \times n}$  be unit lower triangular and  $\mathbf{D} \in \mathbb{R}^{n \times n}$  be a diagonal matrix with positive diagonal elements. Show that  $\mathbf{L}\mathbf{D}\mathbf{L}^{\mathrm{T}}$  is positive definite.
- c. Let L be defined as in the first question and let

```
D = diag(randi([1,k],[n,1]));
```

Write a 1 statement script which for inputs **L** and **D** returns a symmetric positive definite matrix  $\mathbf{A} \in \mathbb{Z}^{n \times n}$ , with only integer entries.

d. Show that for all matrices  $\mathbf{B} \in \mathbb{R}^{n \times n}$  and all  $\mathbf{x} \in \mathbb{R}^n$ 

$$((\mathbf{B} - \mathbf{B}^{\mathrm{T}})\mathbf{x}, \mathbf{x})_2 = 0.$$

e. Let  $\mathbf{L} \in \mathbb{Z}^{n \times n}$  be defined as in the first question and let

```
D = diag(randi([1,k],[n,1]));
```

Write a 1–2 statement script which for inputs  $\mathbf{L} \in \mathbb{Z}^{n \times n}$  and  $\mathbf{D} \in \mathbb{Z}^{n \times n}$  returns a non-symmetric positive definite matrix  $\mathbf{A} \in \mathbb{Z}^{n \times n}$ , with only integer entries. Hint: First create a symmetric positive definite matrix  $\mathbf{LDL}^{\mathrm{T}}$  as before. Then create a skew-symmetric matrix (for instance use  $\mathbf{L}$ ) and add it to  $\mathbf{LDL}^{\mathrm{T}}$ .

EXERCISE 4. Sparse matrices. Not allowed are instructions which cause your scrip to be inefficient: The use of mod(), floor(), fix() and other rounding operators; Full format matrices; The use of set commands such as

#### ismember(), intersect().

Let G(V, A) be a graph with n = 8 vertices  $V = v_1, \ldots, v_n$  have connectivity matrix

matlabcreation – the coordinates of vertex k are in the k-th row of XY:

```
n = 8
V = 1:n
C = sparse([ ...
                    Ο,
  1, 0, 1, 0,
                         0,
                               0, 0; ...
  0, 0, 0, 0, 1, 0, 0, 1; ...

1, 0, 1, 0, 0, 1, 1, 0; ...

1, 0, 1, 0, 1, 1, 1, 1; ...
   1, 0, 1, 1, 1, 0, 0,
      0, 1, 0, 0, 1, 1,
                                    0; ...
   0.
            Ο,
   1,
       Ο,
                Ο,
                      1,
                          0,
                               Ο,
                                     0; ...
  Ο,
       1,
            Ο,
                 Ο,
                      Ο,
                                1,
                                     0; ...
]); full(C)
XY = [[1;2;3;1;2;3;1;2],[1;1;1;2;2;2;3;3]];
and pythoncreation:
n = 8
V = np.arange(n)
C = sparse.dok_matrix([[1, 0, 1, 0, 0, 0, 0, 0],
                       [0, 0, 0, 0, 1, 0, 0, 1],
                       [1, 0, 1, 0, 0, 1, 1, 0],
                       [1, 0, 1, 0, 1, 1, 1, 1],
                       [1, 0, 1, 1, 1, 0, 0, 0],
                       [0, 0, 1, 0, 0, 1, 1, 0],
                       [1, 0, 0, 0, 1, 0, 0, 0],
                       [0, 1, 0, 0, 0, 1, 1, 0]])
XY = np.array([[1,2,3,1,2,3,1,2],[1,1,1,2,2,2,3,3]])
# only if plot_graph was in your 2mmn20.zip download:
plot_graph(C,XY)
An alternative python answer:
from scipy import sparse as sp
import numpy as np
import networkx as nx
```

```
from network2tikz import plot
import itertools

n = 8
C = sp.csc_matrix(np.random.randint(0, 2, np.power(n, 2)).reshape(n, n))
G = nx.Graph(C)
XY = list(itertools.product(range(np.ceil(np.sqrt(n)).astype(int)), repeat=2))
# ceil also still works for dimension n = 3 (but no more for n = 4)
print(C.todense())

[C.nonzero()] = range(1, C.nonzero()[0].size + 1)
print(C.todense())

np.random.shuffle(XY)
plot(G,
filename='.../src/images/set2/4a.pdf',
layout=dict(enumerate(XY)),
canvas=(n,n),
margin=1)
```

- a. Write a script (for arbitrary inputs **C** and **XY**) which plots the undirected graph related to **C**. matlabHint: Use gplot(). pythonHint: Must make your own plot\_graph or ask lector.
- b. Write a script (for arbitrary inputs C and XY) which labels (writes numbers next to) the vertices and edges. Hint: Use text().

The neighbors of vertex k (the other vertices to which it is connected) have an entry with value 1 in row k: For instance:

- vertex  $v_3$  is connected to  $v_1, v_3, v_6$  and  $v_7$  (3 has connections 1,3,6,7)
- vertex  $v_3$  has neighbors  $v_1, v_6$  and  $v_7$  (3 has neighbors 1,6,7).

Vertices  $v_3, v_1, v_7$ 

- Are connected to (in order) [1, 3, 6, 7; 1, 3; 1, 5]
- Have neighbors (in order) [1, 6, 7; 3; 1, 5].

The connections of  $v_3$  can be found with find(C(3,:)) %-> 1, 3, 6, 7.

Let n = |V|. Define sequence of "unprocessed" vertices  $\mathbf{U} \in \mathbb{N}^n$  by:

$$U_k = \begin{cases} k & \text{if vertex } k \text{ is unprocessed} \\ 0 & \text{if vertex } k \text{ is processed.} \end{cases}$$

Initially, before processing any of the vertices,  $\mathbf{U} = [1, 2, ..., n]$ . I.e.,  $\mathbf{U}$  serves as indicator, but using value k > 0 instead of 1 > 0.

For a given sequence of processed vertices we want to obtain the sequence of their unprocessed neighbor vertices, i.e., all their neighbors k for which U(k) = k > 0.

c. Assume that the only unprocessed vertices are [5, 7, 3]. The vector **U** which reflects this state is:

$$n = 8$$
,  $U = 1:n$ ,  $U([1,2,4,6,8]) = 0$ 

Write a 1 statement script which filters out the unprocessed vertices, leaving:

$$V = [5; 3; 7],$$

using the sequence U.

- d. Write an efficient 2–4 statement function  $\operatorname{degr}(\mathsf{C},\mathsf{VL})$  which determines the degree for a sequence of vertices: for instance for VL = [3,1,7] function  $\operatorname{degr}(\mathsf{C},\mathsf{VL})$  should return  $[\operatorname{degree}(v_3),\operatorname{degree}(v_1),\operatorname{degree}(v_7)]$ .
- e. Write a 1-2 statement script which for input the sparse format matrix  $\mathbf{C}$  outputs a sparse connection matrix  $\mathbf{N}$  with **each column** k now related to all connections of vertex  $v_k$ :

$$\mathbf{N} = \begin{bmatrix} 1 & 1 & 1 & 1 & 1 & \\ & & & & & 2 \\ 3 & 3 & 3 & 3 & 3 & \\ & & 4 & & \\ 5 & 5 & 5 & 5 & 5 \\ & 6 & 6 & 6 & 6 \\ & 7 & 7 & 7 & 7 & 7 \\ & 8 & 8 & & & \end{bmatrix}$$

You may assume that  $\mathbf{C} = \mathbf{C}^{\mathrm{T}}.$  Hint: One of the statements is find().

f. Based on  ${\bf N}$  write a 1-statement script to for all vertices sort their

connections, to obtain

The simultaneous sort of all neighbors is more efficient than processing the neighbors of one vertex at a time.

g. Based on N: Apply the statement to vertices [2, 4, 1] (i.e., to N(:, [2,4,1]) to obtain

$$\begin{bmatrix} & 1 & & \\ & 3 & & \\ & 5 & & \\ & 6 & & \\ 5 & 7 & 1 & \\ 8 & 8 & 3 & \end{bmatrix}$$

h. Write a 2–4 statements script to collect all neighbors of vertices [2, 4, 1] into one sequence, eliminating the doubles **and** keeping the *column* order, to obtain the **full** neighbor vertex vector:

$$V = \begin{bmatrix} 5;8 \\ \text{from 1-st column from 2-nd column} \end{bmatrix}$$

 ${\tt matlab}$ : Hint: These are a first possible statements of a 3 statement solution:

but there is an even shorter (faster) one.

- i. Write an efficient 1 statement script which for inputs  $n \in \mathbb{N}_+$  and  $d \in [0,1]$  returns an  $n \times n$  sparse directed connectivity matrix, with approximately  $n^2 \cdot d$  non-zero entries.
- j. Assume that  $n=8,\ V=[2,4,1]$  and that the unprocessed vertices are [5,3,7]. Test the combination of your scripts on an  $n\times n$  matrix created in the previous question:
  - Determine the unique unprocessed neighbors of vertices [2, 4, 1]
  - Ensure you print your matrix C
  - And provide your script.

# EXERCISE 5. Graphs. Python users: Skip this question.

All your connectivity, adjacency and incidence matrices should be sparse format. You are not allowed to use the R2015b novel commands adjacency() and incidence().

- a. Make a 2D-directed graph G(V,A) of  $\geq 10$  points (vertices), which are partially connected by arcs. Do not take any 2MMN20 graph. (Ensure it differs from the other groups' choices). Write a Matlab script which defines:
  - (a) The point coordinate list: XYZ
  - (b) The graphs' arc list: IJV
  - (c) The graph's dimension: nb\_dimension = 2
  - (d) The graph's amount of points: nb\_points
  - (e) Determine the graph's sparse format connectivity matrix C
  - (f) Plot your grid with gplot3(C, XYZ, 'b-\*'), label your vertices with text() and include the figure in your report.
- b. Write a 1-2 statement script which returns the sparse format connectivity matrix **C** for an arbitrary directed graph specified by its arclist and vertex coordinates. Maximally 0 control-structures are permitted. Hint: One statement should contain sparse(...)

- c. Write a 2-3 statement script which for input the grid's connectivity matrix returns the related sparse format adjacency matrix C. Hint: One statement should contain sparse(...)
- d. Write a 1–5 statement script which calculates the graph's sparse format incidence matrix **C**.

EXERCISE 6. Grids. All connectivity, adjacency and incidence matrices should be sparse.

a. matlab: Run GD\_info to determine how many grid examples are provided.

python: Skip this question.

- b. Draw a grid of  $\geq 10$  connected 2-simplices, different from the example below (and ensure it differs from the other groups' choices). Specify the following entities:
  - (a) The point coordinate list: XYZ
  - (b) The cells (to points) Cell List: KLW
  - (c) The cells Neighbor List: NB (not 2018–2019 and later)
  - (d) The grid's dimension 2; nb\_dimension = size(XYZ,2)
  - (e) The grid's amount of points: nb\_points = size(XYZ,1)
  - (f) The grid's amount of cells nb\_cells = size(KLW,1)
- c. Why are the connectivity, adjacency, and incidence matrix not uniquely defined?

For the remainder we choose to represent the grid by a directed graph G(V, A) representation and for each cell  $[p_1, p_2, p_3]$  we choose arcs  $(p_1, p_2), (p_2, p_3)$  and  $(p_3, p_1)$ . Furthermore we define

```
nb_arcs = nb_cells*3 % or
nb_arcs = numel(KLW)
```

- d. For your example grid write down the its connectivity matrix  ${\bf C}$  based on input KLW
- e. Using your connectivity matrix C plot your grid with trimesh() (for a 3-d grid use tetramesh()):

```
figure();
[KLW, NB, XYZ] = GDO();
trimesh(KLW,XYZ(:,1),XYZ(:,2))
figure();
[KLW, NB, XYZ] = GD1();
tetramesh(KLW,XYZ)
```

- f. Write a 1–5 statement script which determines a connectivity matrix **C** for an arbitrary triangular grid. No for loops are permitted.
- g. Write a 1 statement script which for input the grid's cell list calculates the related adjacency matrix  ${\bf C}$
- h. Write a 1–2 statement script which for the input grid's cell list calculates an incidence matrix C for your grid.
- i. Explain why for a triangular grid with many elements a sparse format **C** is to be preferred above a full format **C**.

EXERCISE 7. We now focus on tetrahedral example grid GD1:

a. Load and plot GD1 with VGD1, include the print in your report (VGD1 uses tetramesh(). On python — ensure that ./2mmn20/gallery/grids/ is on the python path:

```
import numpy as np
# read and make cell list KLW (matrix: nb_cells x 4 vertices)
KLW = np.fromfile("GD1points.txt",dtype=int,sep=' ')
KLW = KLW.reshape((int(np.size(KLW)/4),4))
print(KLW)
# read and make XYZ coordinates (matrix: nb_vertices x 3 coordinates)
XYZ = np.fromfile("GD1XYZ.txt",dtype=float,sep=' ')
XYZ = XYZ.reshape((int(np.size(XYZ)/3),3))
print(XYZ)
```

Note that dimension information is lost . . . .

On python more specifically: Write to and read from file: Methods fromfile() and tofile() write and read full precision – but does not write the matrix dimensions or write multiple columns (!?): write to and read from ascii file "by hand":

```
import numpy as np
IJVW = np.array([[1,2,3.0],[2,2,-1.0],[3,2,0.1],[4,2,1/3]]);
IJVW.tofile("IJV.txt",sep=" ",format="%s");
# well, tofile() does not save dimensions ....
IJVR = np.fromfile("IJV.txt",dtype=float,sep=' ')
IJVR = IJVR.reshape((4,3))
print(np.linalg.norm(IJVW-IJVR,2))
```

Write in computer platform independent manner (and read back): Methods save() and load() write and read full precision – and also save the dimensions – but without dictionary only first variable saved

```
import numpy as np
IJVW = np.array([[1,2,3.0],[2,2,-1.0],[3,2,0.1],[4,2,1/3]]);
IJVW2 = 2*np.array([[1,2,3.0],[2,2,-1.0],[3,2,0.1],[4,2,1/3]]);
# only the first matrix is saved ...
np.save('IJV.npy', IJVW, IJVW2)
IJVR = np.load('IJV.npy')
print(np.linalg.norm(IJVW-IJVR,2))
```

b. Write a 3-8 statement script which only plots those edges of the grid GD1 which coincide with plane x=1/2. Hint: One statement should contain find() and the other gplot3()

EXERCISE 8. Complexity. WARNING: Timing for k = 4 in (c) can take several minutes per trial. Assume that n-1 is an amount of intervals (along the x-axis). We consider the complexity of various reordering methods. we apply these methods to a uniform grid of  $2 \cdot n^2$  triangles on  $[0, 1]^2$  such as is shown for n = 8 in Figure 1, created with

```
% create uniform grid and define quantities
[XYZ, KLW, NB] = square_triangular_grid(8);
nb_cells = size(KLW,1)
nb_arcs = nb_cells*size(KLW,2)
nb_points = size(XYZ,1)
% create undirected connectivity matrix
IJV(:,1) = reshape(KLW,
                                     [nb_arcs,1]);
IJV(:,2) = reshape(KLW(:, [2:end,1]),[nb_arcs,1]);
C = sparse(IJV(:,1),IJV(:,2),1,nb_points,nb_points);
C = spones(C+C');
\% plot the graph related to the grid
trimesh(KLW, XYZ(:,1), XYZ(:,2))
% plot the graph related to the grid
gplot(C,XYZ,'*-b');
print('uniform_triangles_8.eps','-depsc2');
```

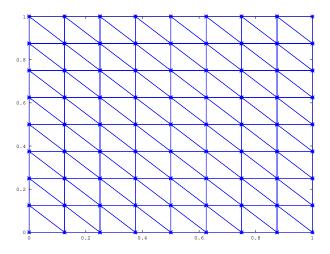


Figure 1: An  $n \times n$  uniform grid of triangles for n

Note that for this example n = 8+1 = 9 is the amount of grid points situated at y = 0. Denote the maximum number of neighbors of a grid point with B.

a. Determine the maximum amount of connections respectively the maximum amount B of neighbors of a grid point.

To see the subsequent levelsets execute bfs\_levelset\_demo(C,XYZ,1). We want to determine the amount of OPS (the complexity)  $O(n^d)$  of bfs\_levelset() as a function of n. You may assume that n is even.

- b. Determine the exponent d of the complexity  $O(n^d)$  of bfs\_levelset() as a function of n: For each command determine the amount of associated OPS and calculate (estimate) the total amount to find d.
- c. Determine the complexity  $O(n^d)$  of bfs\_queue() as a function of n.

We now verify the theoretical estimates in a practical manner: We time all methods:

d. For the sequence of grids for k = 1, 2, 3, 4, created by square\_triangular\_grid(2^(2\*k)),  $k = 1, \ldots, 4$ , run the reorderings methods

```
bfs_levelset();
bfs_queue();
cm_levelset();
cm_queue();
```

```
cm_levelset_ic(); % levelset on column oriented C
cm_levelset_icg(); % levelset on column oriented C and Greedy
cmp_levelset();
cmp_levelset_icg();
```

(a for-loop is permitted). Visualize the  $4 \times 8$  times in a multi-bar plot with bar() as in graphs/timing.m. Use a legend, lable the axes, etc.

e. Are there differences between the theoretical (predicted amount  $O(\mathtt{OPS})$  and the practice?

For those who want to experiment: This very fast script creates a list of random points in  $[0, 1]^2$  connected by a triangular grid:

```
nb_points = 40; mx = 20;
XYZ = unique([randi([0,mx],[nb_points,1]),randi([0,mx],[nb_points,1])],'rows')/mx;
KLW = delaunay(XYZ(:,1),XYZ(:,2))
triplot(KLW,XYZ(:,1),XYZ(:,2));
```

EXERCISE 9. Graphs. WARNING: bfs\_queue() applied to ST5 can take up to two hours. Goal: Write a very fast version of bfs\_levelset(), called bfs\_greedy(), which processes lists (level-sets) of un-processes new neighbors simultaneously. Make use of the statements you wrote in Exercise 4.

matlab: The new fast script should look as follows:

```
function [P] = bfs_levelset_greedy(C, V)
U = 1:size(C,1); P = []; U(V) = 0;
while ~isempty(V)
P = [P, V]; L = V; V = [];
% REPLACE FOR LOOP: for v = L
...
...
% REPLACE FOR LOOP: end
end
function w = connected(C, v) % w connected v
% REPLACE COMMAND: w = find(C(v,:));
...
% REPLACE COMMAND: end
```

python: The file reorder.py contains examples bfs\_levelset(), bfs\_queue(). Your new fast script should look as follows:

```
def bfs_levelset_greedy(C, V):
    U = np.arange(0,np.size(C,1))
    P = np.array([],dtype=int)
    U[V] = -1;
```

```
while np.size(V) > 0:
P = np.append(P,V);
L = V;
V = np.array([],dtype=int);
% NO FOR LOOP: for v in L:
...
...
return P
```

a. Time bfs\_levelset() vs bfs\_levelset\_greedy() for the connectivity matrices related to matrices X from ST4 and ST5. Put all results together into one timing bar-chart.

matlab: load('ST4.mat') and load('ST5.mat') both load matrix X.

python: Load X for ST4 and ST5 from ST4\_IJV.txt, ST5\_IJV.txt:

```
import numpy as np
from scipy import sparse
X = np.fromfile('ST4_IJV.txt',dtype=float,sep=' ')
X = X.reshape((int(np.size(X,0)/3),3))
X = sparse.csc_matrix((X[:,2], (np.array(X[:,0],dtype=int), np.array(X[:,1],dtype=int))))
print(X)
```

b. For both matrices **X** from ST4 and ST5, plot spy(X(P1,P1)) next to spy(X(P2,P2)), where P1 and P2 are obtained by application of bfs\_queue() resp. bfs\_greedy(). What do you expect and are the results what you expect?

```
Matlab commands:
sparse(), size(), numel() and nnz():
A = \text{sparse}(3,4); A(3,3) = 1 \% \text{ a sparse format } 3 \text{ (rows) } x \text{ 4 (columns) matrix}
size(A) % -> [3, 4] % its dimensions n x m
size(A,2) % -> 4
                       % its 2-nd dim m
numel(A) % -> 12
                       % max. potentially nonzeros
       % -> 1
                       % actual amount of nonzeros
nnz(A)
       % -> "(9,1) -> 1" % returns reshape(A,[numel(A),1])
v = 1:4 % -> v = [1,2,3,4] % a full format 1 x 4 matrix
A = diag(1:6,-1) % ->
  0
     0
        0
            0
               0
     Ο
        Ο
           0 0
                  0
  1
    2 0 0 0 0 0
  0 0 3 0 0 0
                     0
  0
     0
        0
           4
               0
                  0
                      0
  0
     0
        0
            0 5
                  0
                     0
     0 0 0 0 6
                     0
A = spdiags((1:7)',-1,7,7); full(A) % ->
  0
     0
        0
            0
               0
                  0
  1
     0
        0
            0
              0
                  0
                     0
           0
              0
                  0
                     0
  0
    2
        0
  0 0 3 0
              0
              0
  0 0 0
                  0
           4
                     Ω
  0
     0
        0
            0
               5
  0
     0
        0
            0 0
                  6
                      0
A = spones(A); full(A) %
  0 0 0
            0 0
     0
        0
           0
              0
                  0
  1
                      0
  0
     1
        0
            0
               0
                  0
  0 0
        1
            0
              0
                  0
                     0
  0 0 0 1
              0
                  0
  0 0 0 0 1
                  0
  0 0 0 0 0
A = zeros(3,4) \% \rightarrow
  0 0 0 0
  0 0 0 0
  0 0 0 0
A = ones(3,4) \% ->
  1 1 1 1
  1 1 1 1
repmat(), repelem()
A = repmat([4,3],[2,2]) % ->
 4 3 4 3
  4 3 4
           3
L = repelem([3,1,5],[2,3,4]) % \rightarrow
  3 3 1 1 1 5 5 5 5
```

# ndgrid()

reshape() - alter matrix dimensions while preserving memory layout:

```
reshape([1,2,3;4,5,6],[1,6]) % -> [1, 4, 2, 5, 3, 6] % 2x3 -> 1x6 reshape([1,2,3;4,5,6],[3,2]) % -> [[1; 4; 2], [5; 3; 6]] % 2x3 -> 3x2
```

nonzeros(A) - returns V obtained by [I,J,V] = find(A):

find() – obtain nonzero entry information – for  $\mathbf{A} = \begin{bmatrix} 0 & -1 & 0 \\ 2 & 1 & 0 \\ 0 & 0 & 4 \end{bmatrix}$ :

1. K = find(A) returns their single-index k locations:

$$K = [2; 4; 5; 9]$$

2. [I,J,V] = find(A) in addition returns their nonzero values:

```
I = [2; 1; 2; 3]

J = [1; 2; 2; 3]

V = [2; -1; 1; 4]
```

all(v) – returns boolean 1 if all entries of vector v are nonzero:

```
all([1,0,2,-1]) % -> 0
all([1,1,2,-1]) % -> 1
all([1,0;2,-1]) % -> [0, 1] % applied to n x matrix returns [all(A(:,1),all(A(:,2)),...,all(A(:,end))]
all([1,1;2,-1]) % -> [1, 1]
```

accumarray() – its inputs must be column-vector(s):

$$\mathtt{accumarray}([3;4;1;4;5;3;1;4],1)\% - > \underbrace{2}_{\text{"x1}}\underbrace{0}_{\text{"x2}};\underbrace{2}_{\text{"x3}};\underbrace{3}_{\text{"x4}};\underbrace{1}_{\text{"x5}}]$$

$$\mathtt{accumarray}([1;2;3;2;4;1;3;1;4;2;4],[-1;0;1;2;3;4;5;6;7;8;9])\% - > \underbrace{[-1+4+6;}_{"1"}\underbrace{0+2+8;}_{"2"}\underbrace{1+5;}_{"3"}\underbrace{3+7+9}_{"4"}\underbrace{1+5;}_{"4"}\underbrace{1+5$$

sort() – sort vector entries/column entries for all matrix columns:

```
v = [4, 1, 3, 1, 5, 3, 6, 2]; [s,iv] = sort(v); s, iv % ->
s = 1    1    2    3    3    4    5    6 % sorted values default: ascending
iv = 2    4    8    3    6    1    5    7 % s == v(iv)
v = [4, 1, 3, 1, 5, 3, 6, 2]; [s,iv] = sort(v,'descend'); s, iv % ->
s = 6    5    4    3    3    2    1    1 % sorted values: descending
iv = 7    5    1    3    6    8    2    4 % s == v(iv)
```

$$\operatorname{sort}(\left[\begin{array}{cccccc} -2 & -8 & -7 & & & 1 \\ 1 & 2 & & -8 & & \\ -5 & & 1 & -7 & & \\ & 5 & & 8 & -8 \end{array}\right]) = \left[\begin{array}{ccccccccc} -5 & -8 & -7 & -8 & & -8 \\ -2 & & & -7 & & \\ & & & & & -7 & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & \\ & & & & \\ & & \\ & &$$

$$sortrows(\begin{bmatrix} 2 & 4 \\ 1 & 8 \\ 2 & 2 \\ 1 & 2 \\ 3 & 4 \\ 2 & 7 \end{bmatrix}, [1,2]) = \begin{bmatrix} 1 & 2 \\ 1 & 8 \\ 2 & 2 \\ 2 & 4 \\ 2 & 7 \\ 3 & 4 \end{bmatrix}, \quad sortrows(\begin{bmatrix} 2 & 4 \\ 1 & 8 \\ 2 & 2 \\ 1 & 2 \\ 3 & 4 \\ 2 & 7 \end{bmatrix}, [2,1]) = \begin{bmatrix} 1 & 2 \\ 2 & 2 \\ 2 & 4 \\ 3 & 4 \\ 2 & 7 \\ 1 & 8 \end{bmatrix}$$
%sort inside columns [1,2] resp. [2,1]

unique() - returns a vector with the distinct entries of its input:

```
v = [6,8,3,6,7,8,6]; [u,iv,iu] = unique(v);
                                                      u,iv',iu' % ->
                                       % the k=4 sorted unique entries
   u = 3 6 7 8
   iv = 3 1 5 2
                                       % their single-index locations
iu = 2 4 1 2 3 4 2 % relabel al:
v(iv) % -> 3 6 7 8 % u == v(iv)
u(iu) % -> 6 8 3 6 7 8 6 % u(iu) == v
                                       % relabel all entries 1-to-1 into {1,...,k}
v = [6,8,3,6,7,8,6]; [u,iv,iu] = unique(v,'stable'); u,iv',iu' % ->
  u = 6 & 8 & 3 & 7

iv = 1 & 2 & 3 & 5
                                       % the k=4 FIRST ENCOUNTER unique entries
                                       % their single-index locations
  iu = 1 2 3 1 4 2 1
                                      % relabel all entries 1-to-1 into {1,....,k}
v(iv) % -> 6 8 3 7
                                       % u == v(iv)
u(iu) % -> 6 8 3 6 7 8 6 % u(iu) == v
v = [6,8,3,6,7,8,6]; [u,iv,iu] = unique(v,'first'); u,iv,iu % ->
  u = 3 6 7 8
                                       % the k=4 sorted unique entries
                  2
  iv = 3
          1
              5
                                       % their single-index locations
  iu = 2 4 1
                   2 3 4 2
                                       % relabel all entries 1-to-1 into {1,...,k}
v(iv) % -> 6 8 3 7
                                       % u == v(sort(iv))
u(iu) % -> 6 8 3 6 7 8 6 % u(iu) == v
v = [6,8,3,6,7,8,6]; [u,iv,iu] = unique(v,'last'); u,iv,iu % ->
 u = 3 6 7 8
iv = 3 7 5 6
                                       % the k=4 sorted unique entries
                                       % their single-index locations
  iu = 2 4 1 2 3
                                       % relabel all entries 1-to-1 into {1,...,k}
v(iv) % -> 6 8 3 7
u(iu) % -> 6 8 3 6 7 8 6 %
unique \begin{pmatrix} 1 & 2 \\ 3 & 4 \\ 1 & 2 \\ 5 & 6 \\ 2 & 4 \\ 1 & 2 \end{pmatrix}, 'rows' = \begin{bmatrix} 1 & 2 \\ 2 & 4 \\ 3 & 4 \\ 5 & 6 \end{bmatrix} %return unique rows
```

rand(), randi() and randperm() and inverse permutation:

```
% -> [3, 0, 1, -1; -1, 1, -1, 2]
p = randperm(8)  % -> 1 x 8 vector with a random permutation of \{1,2,\ldots,7,8\}
% -> [5, 2, 6, 7, 1, 3, 8, 4]
p = randperm(8,5)
                      % -> 1 x 5 vector with 5 entries from randperm(8)
% -> [5, 3, 4, 2, 1]
p = [3,2,1,4]
pinv(p) = 1:4
p(pinv)
                    % [1, 2, 3, 4]
pinv(p)
                    % [1, 2, 3, 4]
sum(), cumsum(), prod(), cumprod() and diff():
v = 1:6
                    % \rightarrow v = [1, 2, 3, 4, 5, 6]
sum(v)
                    % -> 21
                    % -> [1, 3, 6, 10, 15, 21]
cumsum(v)
prod(v)
                    % -> 720
                    % -> [1, 2, 6, 24, 120, 720]
cumprod(v)
diff(v)
                    % -> [1, 1, 1, 1, 1]
diff(cumsum([1, v])) \% \rightarrow [1, 2, 3, 4, 5, 6]
min(), max():
                    \% -> mn = 1; loc = 1
[mn,loc] = min(v)
[mn,loc] = max(v)
                    \% -> mn = 6; loc = 6
                    % \rightarrow w = [6, 5, 4, 3, 2, 1]
w = 6:-1:1
                    % \rightarrow u = [1, 2, 3, 3, 2, 1]
u = min(v,w)
char(), double():
double('a')
                     % -> 97
                    % -> 'a'
char (97)
'c' - 'a'
                    % -> 2
Python commands: sparse(), size(), shape() and count_nonzero():
import numpy as np
from numpy import matlib
from scipy import sparse
np.set_printoptions(threshold=np.inf) # print all entries
A = \text{sparse.csc\_matrix}((3,4)); A[2,2] = 1
                                               # sparse 3 x 4 matrix
              # -> (3, 4)
np.shape(A)
                                               # its dimensions n x m
np.size(A,1)
               # -> 4
                                               # its 2-nd dim m
                # -> 1
np.size(A)
                                               # actual amount of nonzeros
sparse.csc_matrix.count_nonzero(A) # -> 1
                                               # actual amount of nonzeros
A = sparse.random(8, 8, 0.7, format='csr');
                                               # sparse random
# replace all non-zeros by 1
A.data.fill(1)
A = np.random.randint(low=1,high=8, size=(8,8)) # full 8 x 8 matrix
np.reshape(A,(8*8))
                                                # reshaped to array
np.reshape(A,(2,32))
                                                # reshaped to matrix
v = np.arange(1,5) # -> v = [1,2,3,4]
                                                # 1 x 4 array
A = sparse.spdiags(np.arange(1,8),-1,7,7)
                                               # sparse 7 x 7 sub-diagonal
                                                # full zeros
A = np.zeros(shape=(3,4))
A = np.ones(shape=(3,4))
                                                # full ones
```

```
repmat(), repelem():
matlib.repmat(np.array([4, 3]), 2, 2)
                                                 # -> array([[4, 3, 4, 3], [4, 3, 4, 3]])
np.repeat(np.array([3,1,5]),np.array([2,3,4])) # -> array([3, 3, 1, 1, 1, 5, 5, 5, 5])
meshgrid():
np.meshgrid(np.array([1,2,3]),np.array([1,2,3,4])) # ->
# [array([[1, 2, 3], [1, 2, 3], [1, 2, 3], [1, 2, 3]]),
   array([[1, 1, 1], [2, 2, 2], [3, 3, 3], [4, 4, 4]])]
reshape():
np.reshape(np.array([[1,2,3],[4,5,6]]),(1,6)) # -> array([[1, 2, 3, 4, 5, 6]])
np.reshape(np.array([[1,2,3],[4,5,6]]),(3,2)) # -> array([[1, 2], [3, 4], [5, 6]])
nonzero() and find():
A = \text{sparse.csc\_matrix}(\text{np.array}([[0,-1,0],[2,1,0],[0,0,4]]))
I,J,V = sparse.find(A)
                                                             # -> array I, J, V
V = A[sparse.csc_matrix.nonzero(A)]
                                                             # -> full V
V = np.squeeze(np.array(A[sparse.csc_matrix.nonzero(A)])) # -> array V
I,J,V = sparse.find(sparse.csc_matrix(np.array([[0,-1,0],[2,1,0],[0,0,4]])))
\# -> I = [0 \ 1 \ 1 \ 2]
\# -> J = [1 \ 0 \ 1 \ 2]
\# -> V = [-1 \ 2 \ 1 \ 4]
# find example: restrict to lower triangular part:
n = 9: k = 4
A = sparse.random(n, n, 0.7, format='csr'); print(A.toarray())
I,J,V = sparse.find(A)
S = J - I <= 0
Y = sparse.csc_matrix((V[S],(I[S],J[S])), shape=(np.size(A,0), np.size(A,1)))
all():
np.all(np.array( [1,0, 2,-1])) # -> False
np.all(np.array( [1,1, 2,-1])) # -> True
np.all(np.array([[1,0],[2,-1]])) # -> False
np.all(np.array([[1,1],[2,-1]])) # -> True
np.all(np.array([[1,0],[2,-1]]),axis=0) # -> [False,False]
np.all(np.array([[1,1],[2,-1]]),axis=0) # -> [True,True]
accumarray():
def accumarray(I,V,sze=None,fmt='full'):
 if sze is None: sze=max(I)+1
 if fmt == 'full':
  A = np.squeeze(np.array(sparse.csc_matrix.todense(sparse.csc_matrix(sparse.coo_matrix((V, (np.zeros(np.size(I),dtype=
 A = sparse.csc_matrix(sparse.coo_matrix((V, (np.zeros(np.size(I),dtype=int),I)), shape=(1,sze)))
 return A
I = np.array([0, 2, 1, 2, 1, 1])
V = np.array([1, 1, 1, 1, 2, 2])
A = accumarray(I,V,fmt='sparse')
                                          # sparse [1 5 2]
```

```
A = accumarray(I,V)
                                       # full
                                               [1 5 2]
A = accumarray(I,V,sze=7,fmt='sparse') # sparse [1 5 2 0 0 0 0]
A = accumarray(I,V,sze=7)
                                       # full [1 5 2 0 0 0 0]
A = accumarray(np.array([3,4,1,4,5,3,1,4])-1,np.array([1,1,1,1,1,1,1])) # -> full [2, 0, 2, 3, 1]
A = accumarray(np.array([1,2,3,2,4,1,3,1,4,2,4])-1,np.array([-1,0,1,2,3,4,5,6,7,8,9])) # -> full [ 9, 10, 6, 19]
sort():
# sort offers 'stable' (useless), option 'ascend' is not implemented, permutation needs 2-nd call
v = np.array([4, 1, 3, 1, 5, 3, 6, 2]); # -> array([4, 1, 3, 1, 5, 3, 6, 2])
s = np.sort(v,kind='heapsort')
                                               # -> array([1, 1, 2, 3, 3, 4, 5, 6])
iis = np.argsort(v,kind='heapsort')
                                               # -> array([3, 1, 7, 2, 5, 0, 4, 6])
# sort on a sparse matrix: is not implemented
A = sparse.csc_matrix((6,6))
A[0,0] = -2; A[0,1] = -8; A[0,3] = -7; A[0,5] = 1; A[1,0] = 1; A[1,1] = 2; A[1,3] = -8;
A[2,0] = -5; A[2,2] = 1; A[2,3] = -7; A[4,1] = 5; A[4,4] = 8; A[4,5] = -8;
# row sort DOES NOT EXIST:
A = np.array([[2,4],[1,8],[2,2],[1,2],[3,4],[2,7]])
V = np.sort(A,kind='heapsort',axis=0) # ->
# array([[1, 2], [1, 2], [2, 4], [2, 4], [2, 7], [3, 8]]) % not row sort
V = np.sort(A,kind='heapsort',axis=1) # ->
# array([[2, 4], [1, 8], [2, 2], [1, 2], [3, 4], [2, 7]]) % not row sort
unique():
# option 'stable' (first in u are the first encounts) not implemented:
v = np.array([6,8,3,6,7,8,6]);
u,iv,iu = np.unique(v,return_index=True,return_inverse=True) # ->
# u = array([3, 6, 7, 8])
# iv = array([2, 0, 4, 1])
# iu = array([1, 3, 0, 1, 2, 3, 1])
v[iv] # -> array([3, 6, 7, 8])
u[iu] # -> array([6, 8, 3, 6, 7, 8, 6])
# this function provides option 'stable':
def uniquestable(v) :
p= np.argsort(iv)
us= u[p]; ivs = iv[p]
pinv = np.empty(np.size(p),dtype=int)
pinv[p] = np.arange(0,np.size(p))
ius = pinv[iu]
return us, ivs, ius
# test stable unique:
v = np.array([6,8,3,6,7,8,6])
u,iv,iu = uniquestable(v)
# u = array([6, 8, 3, 7])
# iv = array([0, 1, 2, 4])
# iu = array([0, 1, 2, 0, 3, 1, 0])
v[iv] # -> array([3, 6, 7, 8])
u[iu] # -> array([6, 8, 3, 6, 7, 8, 6])
# unique for rows DOES EXIST -- but of course deletes the doubles:
A = np.array([[2,4],[1,8],[2,2],[1,2],[3,4],[2,7]])
U = np.unique(A,axis=0) # ->
# array([[1, 2], [1, 8], [2, 2], [2, 4], [2, 7], [3, 4]])
```

### random(), inverse permutation:

```
# random vector, matrix
A = np.random.randint(low=-10,high=10,size=(8, 8)) # random full 8 x 8
A = np.random.randint(low=-10,high=10,size=10)
                                                      # random permutation/array
A = np.random.randint(low=-10,high=10,size=10)[0:6] # random permutation/array part
A = A[0:5]
                                                       # 5 random out of 10
A = sparse.random(8, 8, 0.7, format='csr');
                                                       # sparse random
# inverse permutation
p = np.random.permutation(10)
pinv = np.empty(10,dtype=int)
pinv[p] = np.arange(0,10);
p[pinv] # -> array([0, 1, 2, 3, 4, 5, 6, 7, 8, 9])
pinv[p] # -> array([0, 1, 2, 3, 4, 5, 6, 7, 8, 9])
stack(), hstack(), vstack():
# stack compatible matrices
A = np.arange(1,4)
B = np.arange(4,7)
np.stack((A, B))
                          # -> A on top of B (matlab [A,B])
np.stack((A, B), axis=1) # -> A^T left of B^T (matlab [A';B'])
np.hstack((A, B)) # -> A left of B (matlab [A;B])
np.vstack((A, B)) # -> A on top of B (matlab [A,B])
sum(), cumsum(), prod(), cumprod(), diff():
# sum, cumsum(), prod(), cumprod() and diff()
v = np.arange(1,7)
                                                    # v = [1, 2, 3, 4, 5, 6]
np.sum(v)
                                                    # 21
np.cumsum(v)
                                                    # [1, 3, 6, 10, 15, 21]
np.prod(v)
                                                    # 720
np.cumprod(v)
                                                    # [1, 2, 6, 24, 120, 720]
np.diff(v)
                                                    # [1, 1, 1, 1, 1]
np.diff(np.cumsum(np.hstack((np.array([1]),v)))) # [1, 2, 3, 4, 5, 6]
min(), minimum():
w = 7 - np.arange(1,7)
                                                   # [6, 5, 4, 3, 2, 1]
mn = min(w)
                                                   # 1 # no location information
u = np.minimum(v,w)
                                                   # [1, 2, 3, 3, 2, 1]
char(), ord():
ord('a')
                                                   # -> 97
                                                   # -> 'a'
char (97)
ord('c') - ord('a')
                                                    # -> 2
Linear index:
A = np.zeros([3,3]); K = [0,4,8]; A[np.unravel_index(K, A.shape)] = K;
 \# \rightarrow A = [[0,0,0],[0,4,0],[0,0,8]]
auxiliary:
```

```
# matrix parts: let k be the number of the diagonal: works:
# for full A:
A = np.random.randint(low=-10,high=10,size=(8, 8)) # random full 8 x 8
np.tril(A,k)
np.triu(A,k)
np.diag(A,k)
# for sparse A:
A = sparse.random(8, 8, 0.7, format='csr'); # sparse random
sparse.tril(A,k)
sparse.triu(A,k)
# sparse.diag(A,k) # is not implemented
# for sparse A:
A.diagonal() # selects main diagonal, can not select other diagonals
sparse.triu(sparse.tril(A,k), # selects MATRIX with only diagonal k but is slow
```