Lecture 2: Groups, Vector Bundles, and Gauge Theory

#### Groups

A Concrete Example: Spherical CNNs

Equivariance and

Bundles

Example(Continued) Spherical CNNs

Towards a General

# Lecture 2: Groups, Vector Bundles, and Gauge Theory

Geometry and Topology in Machine Learning Seminar

June 13th, 2025

# Outline

Lecture 2: Groups, Vector Bundles, and Gauge Theory

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# Definition (Group)

A group is a set  ${\mathcal G}$  together with a binary operation

$$\circ : \mathcal{G} \times \mathcal{G} \longrightarrow \mathcal{G}, \qquad (g,h) \mapsto g \circ h,$$

satisfying the following axioms:

- (i) Associativity: (gh)k = g(hk) for all  $g, h, k \in \mathcal{G}$
- (ii) Identity: There exists a unique element  $e \in \mathcal{G}$  such that eg = ge = g for all  $g \in \mathcal{G}$
- (iii) Inverse: For each  $g \in \mathcal{G}$  there exists a unique inverse  $g^{-1} \in \mathcal{G}$  such that  $g g^{-1} = g^{-1}g = e$
- (iv) Closure: For all  $g, h \in \mathcal{G}$ , the product gh lies in  $\mathcal{G}$

 $<sup>^1\</sup>text{Note that this is actually implied by the statement}\,\circ\colon\mathcal{G}\times\mathcal{G}\to\mathcal{G}$ 

# Examples of Groups used in ML

Lecture 2: Groups, Vector Bundles and Gauge Theory

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• Translation groups  $\mathbb{Z}^d$  or  $\mathbb{R}^d$  for CNNs. Here, the group operations are vector additions.

As we saw in the last lecture, the convolution operator is the unique linear map that commutes with these translations, and CNNs implement this equivariance via weight sharing.

• Permutation groups  $S_n$ . Here  $S_n$  is the set of all bijections  $h: \{1, ..., n\} \rightarrow \{1, ..., n\}$  and  $\circ$  is the composition of functions.

GNNs operate graph-based data by enforcing  $S_n$ equivariance under node relabeling. Permutation equivariance relaxes the classical i.i.d. assumption to the weaker notion of exchangeability in GNNs.

Theory

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- Special Orthogonal Groups SO(n) the set of  $n \times n$  real orthogonal matrices with determinant +1 forms a group under matrix multiplication. Recall that Spherical CNNs apply SO(3)-equivariant convolutions. More generally, the set of  $n \times n$  invertible real matrices forms a group under matrix multiplication.
- Euclidean groups E(n) the set of distance preserving maps  $\phi: \mathbb{R}^n \to \mathbb{R}^n$  with group operation being composition. These are especially useful for tasks like protein/molecule modeling or physics simulations, where only relative geometry drives the prediction.

Towards a General Theory

# Definition (Abelian Group)

A group  $(G,\cdot)$  is called Abelian or commutative if

$$g\,h=h\,g\quad\text{for all }g,h\in G.$$

Note that  $S_1$ ,  $S_2$  and SO(2) are abelian but in general  $S_{n\geq 3}$ , SO(n) with  $n\neq 2$ , and E(n) are in general nonabelian.  $\mathbb{Z}^n$  and  $\mathbb{R}^n$  are abelian for sure.

## Definition (Generated Subset)

Let  $(G,\cdot)$  be a group and  $S\subseteq G$ . We say G is generated by S (and write  $G=\langle S\rangle$ ) if every element of G can be written as a finite product of elements of S and their inverses, i.e.

$$\langle S \rangle = \left\{ s_1^{\pm 1} s_2^{\pm 1} \cdots s_n^{\pm 1} : n \ge 1, \ s_i \in S \right\} = G.$$

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# Definition (Subgroup)

Let  $(G,\cdot)$  be a group. A nonempty subset  $H\subseteq G$  is called a subgroup (denoted  $H\le G$ ) if it is closed under the group operation and inverses, i.e. for all  $h_1,h_2\in H$ ,

$$h_1 \cdot h_2 \in H$$
 and  $h_1^{-1} \in H$ 

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# Definition (Coset)

Let  $H \leq G$  be a subgroup and  $g \in G$ .

- The left coset of H by g is  $gH = \{g \cdot h : h \in H\}$
- The right coset of H by g is  $Hg = \{h \cdot g : h \in H\}$

We use G/H to denote the collection of left cosets of H. Note that the left cosets of H in G partition G into disjoint sets.

Example. If  $G = \mathbb{Z}$  and  $H = 2\mathbb{Z}$ , then the two cosets are odd and even integers  $G/H = \{0 + 2\mathbb{Z}, 1 + 2\mathbb{Z}\}.$ 

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# Definition (Group Action)

Let  $(G,\cdot)$  be a group with identity e, and let  $\Omega$  be a set. A (left) action of G on  $\Omega$  is a map

$$\alpha \colon G \times \Omega \longrightarrow \Omega, \qquad (g, u) \mapsto g \cdot u,$$

satisfying for all  $g,h\in G$  and  $u\in\Omega$ :

- (i)  $e \cdot u = u$ .
- (ii)  $g \cdot (h \cdot u) = (gh) \cdot u$ .

Informally speaking, a group action is simply a rule that assigns each group element a transformation of a set so that doing one transformation after another is the same as doing them in combination.

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## A group action of G on $\Omega$ is

- **1 transitive** if for all  $x, y \in \Omega$ , there exists some  $g \in G$  such that  $g \cdot x = y$ .
- **2** free if  $g \cdot x = x$  for some  $g \in G, x \in \Omega$  implies g = e.

## Here are some examples:

- ①  $\mathrm{GL}_n(\mathbb{R})$  acts on  $\mathbb{R}^n$  by matrix multiplication. This action is neither transitive nor free.
- ② More generally, any subgroup of GL(V) defines a group action on the vector space V by  $\alpha: G \times V \to V, \quad (g,v) \mapsto g(v)$ , i.e., each group element acts as a linear transformation on V.
- ${f 3}$  G acts on G itself by left multiplication. This is both free and transitive.

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Towards a General Theory Recall that the power of geometric models lies in building their hypothesis class around data symmetries from the very first linear mapping. e.g. via operations like  $w^{\top}x$ . Linear group actions, known as group representations, play a key role in ML.

# Definition (Real Representation)

Let  ${\cal G}$  be a group. An n-dimensional real representation of  ${\cal G}$  is a map

$$\rho: G \longrightarrow \mathrm{GL}_n(\mathbb{R}), \qquad g \mapsto \rho(g),$$

such that for all  $g, h \in G$ 

$$\rho(gh) = \rho(g) \, \rho(h).$$

The representation is called

• orthogonal if each  $\rho(g)$  is an orthogonal matrix (over  $\mathbb{R}$ ).

General Theory

# Definition (Homogeneous Space)

Let G be a group acting on a nonempty set X via

$$\alpha \colon G \times X \to X, \qquad (g, x) \mapsto g \cdot x.$$

Then X is called a homogeneous space (or G-space) if the action is transitive.

Equivalently, fixing any basepoint  $o \in X$  and its stabilizer subgroup  $H = \{h \in G : h \cdot o = o\}$ , one has a canonical G-equivariant bijection

$$X \cong G/H$$
,

where G/H is the set of left cosets of H in G.

# Spherical CNNs

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A Concrete Example: Spherical CNNs

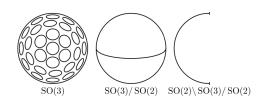
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Example(Continue Spherical CNNs

Towards a General Theory Recall: spherical CNNs replace the translation group by SO(3) and equip signals  $\psi, f \colon S^2 \to \mathbb{R}^K$  with the inner product  $\langle \psi, f \rangle := \int_{S^2} \sum_{k=1}^K \psi_k(x) \, f_k(x) \, d\mu_{S^2}$ .

**A key claim**: a spherical CNN is exactly an equivariant CNN on the homogeneous space  $SO(3)/SO(2) \cong S^2$ .



Picture from [Cohen et al., 2019]:

SO(3) as a principle SO(2) bundle over  $S^2$ 

Towards a General Theory Consider the action

$$SO(3) \times S^2 \longrightarrow S^2$$
, by matrix multiplication.

Observe that this is a transitive group action.

Then H= rotations about the z-axis is exactly the stabilizer of the north pole (0,0,1). H is also known as SO(2), and hence we have that

$$SO(3)/SO(2) \cong S^2$$
.

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General Theory **Representations.** Given H = SO(2) and choose  $\rho_{\text{in}}, \rho_{\text{out}} \colon H \to GL(V_{\text{in}}), GL(V_{\text{out}}).$ 

An equivariant kernel  $\kappa \colon S^2 \to \operatorname{Hom}(V_{\mathsf{in}}, V_{\mathsf{out}})$  satisfies

$$\kappa \big(h \cdot x \big) \; = \; \rho_{\mathsf{out}}(h) \, \kappa(x) \, \rho_{\mathsf{in}}(h)^{-1}, \quad \forall \, h \in H, \; x \in S^2.$$

<sup>&</sup>lt;sup>2</sup>Details will be given next lecture

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Towards a General Theory Recall that every dataset we study is anchored to a geometric domain  $\Omega$ —the set where the raw samples live, such as the pixel grid  $\mathbb{Z}^2$ , graph vertex set V, or the sphere  $S^2$ . In many cases, we can recognize  $\Omega$  as a homogeneous space G/H. Neural layers, however, are not built on  $\Omega$  itself. They operate on the corresponding feature space

$$\mathcal{F}(\Omega, C) = \{ x : \Omega \to C \},\$$

the vector space of signals (stacks of C-dimensional feature channels) attached point-wise to  $\Omega$ . All layer maps are linear or nonlinear transformations of these signal fields, subject to the desired equivariance with respect to the symmetries of the domain.

# Definition (G-Equivariant Function)

If C is a group representation, then G can act on  $\mathcal{F}(\Omega,C)$  via  $\rho(g)$ . For simplicity we omit C, a map

$$f \colon \mathcal{F}(\Omega) \longrightarrow \mathcal{F}(\Omega)$$

is called G-equivariant if

$$f\big(\rho(g)x\big) \; = \; \rho(g)\big(f(x)\big) \quad \text{for all } g \in G, \; x \in \mathcal{F}(\Omega).$$

Equivalently, this square commutes:

$$\begin{array}{ccc}
\mathcal{F}(\Omega) & \xrightarrow{f} & \mathcal{F}(\Omega) \\
\rho(g) & & \downarrow \rho(g) \\
\mathcal{F}(\Omega) & \xrightarrow{f} & \mathcal{F}(\Omega)
\end{array}$$

Groups, Vector Bundles.

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# Invariance in the feature space

# Definition (G-Invariant Function)

Let G act on the signal space  $\mathcal{F}(\Omega)$  via  $\rho(g)x$ , and let

$$f \colon \mathcal{F}(\Omega) \longrightarrow \mathcal{Y}$$

be any map. We say f is G-invariant if

$$f(\rho(g)x) = f(x)$$
 for all  $g \in G, x \in \mathcal{F}(\Omega)$ .

Equivariance

and Invariance

Equivalently, this square commutes:

$$\mathcal{F}(\Omega)$$
 $\rho(g)$ 
 $\mathcal{F}(\Omega) \xrightarrow{f} \mathfrak{I}$ 

Note that being G-invariant is the same as G-equivariant, when the action on  $\mathcal{Y}$  is trivial. 18 / 39

# GTMLS 2025 Example

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Groups

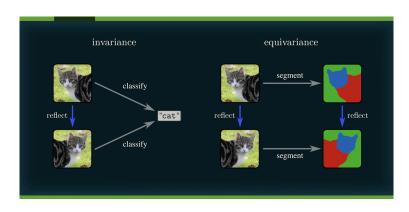
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Picture from [Weiler et al., 2025]

# GTMLS 2025 Outline

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# Definition (Fiber Bundle)

A fiber bundle is a quadruple  $(E,B,\pi,F)$  consisting of a total space E, base space B, fiber F, and a continuous surjection

$$\pi\colon E \longrightarrow B,$$

called the projection, such that for every  $b\in B$  there exists an open neighborhood  $U\subseteq B$  and a homeomorphism

$$\varphi_U \colon \pi^{-1}(U) \longrightarrow U \times F$$

making  $\operatorname{proj}_1 \circ \varphi_U = \pi$  on  $\pi^{-1}(U)$ , and the maps  $\varphi_U$  are called local trivializations.

For two trivializing open sets  $U_i, U_j$ , we locally have

$$\varphi_i:\pi^{-1}(U_i)\to U_i\times F,$$

$$\varphi_j:\pi^{-1}(U_j)\to U_j\times F.$$

The composition  $\varphi_j \circ \varphi_i^{-1}$  defines a map

$$U_i \cap U_i \times F \to U_i \cap U_i \times F$$
.

 $U_i \cap U_j \times F \to U_i \cap U_j \times F$ 

Because of the axioms, this map must be of the form

$$(x, f) \mapsto (x, g_{ij}(f))$$

for some function  $g_{ij}: U_i \cap U_j \to \operatorname{Aut}(F)^3$ .

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 $<sup>^3</sup>$ This is the group of continuous self-homeomorphisms on F with group operation being composition

A vector bundle is simply a special case of fiber bundles that the fiber F is a finite-dimensional vector space  $\mathbb{R}^k$  and the transition map lies in GL(F), i.e.,  $g_{ij}:U_i\cap U_j\to GL(F)$ .

# Definition (Vector Bundle)

A vector bundle of rank k over a base space B is a fiber bundle

$$\pi \colon E \longrightarrow B$$

whose typical fiber F is a k-dimensional real vector space, and for which there exists an open cover  $\{U_i\}$  of B and homeomorphisms

$$\varphi_{U_i} \colon \pi^{-1}(U_i) \xrightarrow{\sim} U_i \times \mathbb{R}^k$$

making  $\pi=\operatorname{proj}_1\circ\varphi_{U_i}$  and such that each  $\varphi_{U_i}$  restricts on fibers to a linear isomorphism.

#### Groups

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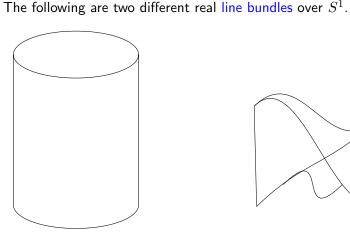
General Theory

# Example of Vector Bundles

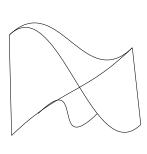
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#### **Bundles**







Mobius Band

A principle G-bundle is a specialized fiber bundle whose fibers are G-torsors, spaces on which the group G acts freely and transitively.

# Definition (Principal G-Bundle)

A principal G-bundle over a base B is a fiber bundle

$$\pi: P \longrightarrow B$$

equipped with a continuous right action  $P \times G \to P$  such that:

- Fiber Preservation:  $\pi(p \cdot g) = \pi(p)$  for all  $p \in P$ ,  $g \in G$ .
- Free & Transitive Action: G acts freely and transitively on each fiber  $\pi^{-1}(b)$ .
- Local Triviality:  $\pi: P \to B$  locally looks like the projection  $U \times G \to U$ .

# Groups A Concrete

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## Bundles Example(Continu

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- Note that principal *G*-bundles are sometimes called bundles of groups in the literature.
- But this is misleading, they are in fact bundles of G-torsors.
   A G-torsor is just a set on which G acts freely and transitively, meaning there is no perferred "origin" within.
- Informally, one can regard it as a group without the identity element.

General Theory

# Definition (Section of a Fiber Bundle)

Let  $\pi \colon E \to B$  be a fiber bundle with typical fiber F. A section of  $\pi$  is a continuous map

$$s \colon B \ \longrightarrow \ E \quad \text{such that} \quad \pi \big( s(b) \big) = b \quad \forall \, b \in B.$$

In other words, s(b) picks out one point in each fiber  $E_b$ .

## **Examples:**

- Vector bundle  $E \to B$ : A section is a continuous choice of vector in each fiber. E.g. for any vector bundle, there is always a continuous choice of the zero vector (known as the zero section).
- Principal G-bundle  $P \to B$ : A section is a map  $s \colon B \to P$  such that s(b) lies in the torsor  $P_b$ . Globally defined sections exist exactly when the bundle is trivial (i.e.  $P \cong B \times G$ ).

# Aside: Principal G-Bundles and Gauge Theory

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Towards a General Theory Mathematically, gauge theory is the study of gauges, which are defined to be local sections of principal G-bundles. One can think of gauges as generalized frames that express some geometric quantities.

A Concrete Example: Spherical CNN

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Example(Continue Spherical CNNs

Towards a General Theory

## Definition (Associated Vector Bundle)

Let  $\pi\colon P\to B$  be a principal G-bundle and let  $\rho\colon G\to \mathrm{GL}(V)$  be a representation on a finite-dimensional vector space V. The associated vector bundle  $E=P\times_{\rho}V$  is the quotient

$$E = (P \times V) / \sim,$$

where  $(p \cdot g, v) \sim (p, \rho(g) \, v)$  for all  $g \in G$ ,  $p \in P$ ,  $v \in V$ . Its projection  $\pi_E \colon E \to B$  is given by  $\pi_E([p,v]) = \pi(p)$ , making E a rank- $\dim V$  vector bundle over B.

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# Bundles Example(Continu

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Towards a General Theory Let  $\mathbb{C}^\times$  denote the non-zero complex numbers whose group structure is multiplication.

- Given a principal  $\mathbb{C}^{\times}$ -bundle  $\pi: P \to B$ , we can always "add zeroes" to  $\pi$  to obtain a complex line bundle.
- Given a complex line bundle, we can always remove the zero section to get a principal C<sup>×</sup>-bundle.

Another name for  $\mathbb{C}^{\times}$  is  $\mathrm{GL}_1(\mathbb{C}).$  In general, there is a correspondence between

principal  $\mathrm{GL}_n(\mathbb{F})$  – bundles and associated  $\mathbb{F}$  – vector bundles, where  $\mathbb{F}=\mathbb{R}$  or  $\mathbb{C}.$ 

# A paraphrase of feature spaces

Lecture 2: Groups, Vector Bundles, and Gauge Theory Here we will firstly show that in the spherical CNN, feature spaces on the sphere

$$\mathcal{F} = \Gamma(SO(3) \times_{SO(2)} V) \cong \{V\text{-values fields on } S^2\}$$

where the fields mean a section of an associated bundle.





Pictures from [Cohen et al., 2019]

Then we will lift to a general theory: in a homogeneous space, feature spaces can be considered as spaces of sections of the associated vector bundle given a representation.

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## Groups

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## Example(Continued)

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General Theory **1** Principal *H*-bundle:

$$\pi \colon SO(3) \longrightarrow SO(3)/SO(2) \cong S^2,$$

with H = SO(2) acting freely on the right of SO(3).

**2** Associated vector bundle: Let  $\rho(h) \colon SO(2) \to GL(V)$  be the  $2 \times 2$  rotation matrix on  $V = \mathbb{R}^2$ . Form

$$E = SO(3) \times_{\rho(h)} V = \left(SO(3) \times V\right) / \left( (g h, v) \sim (g, \rho(h)v) \right),$$

which inherits a projection  $E \to S^2$ .

**3** Sections (feature space):

$$\mathcal{F} = \Gamma(S^2, E) = \{ s : S^2 \to E \mid \pi \circ s = \mathrm{id}_{S^2} \}.$$

Equivalently,

$$\mathcal{F} \cong \left\{ f : SO(3) \to V \mid f(gh) = \rho_1(h)^{-1} f(g) \right\},\,$$

which identifies each section with a V-valued field on  $S^2$ .

$$\overline{\mathcal{F} \ = \ \Gamma \big( SO(3) \times_{SO(2)} V \big)} \ \cong \ \{ V \text{-valued fields on } S^2 \}.$$

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Example(Continued): Spherical CNNs

4 Towards a General Theory

Towards a General Theory Let M=G/H be a homogeneous space. Fix a representation  $\rho:H\to \mathrm{GL}(V).$  We'll then get the associated vector bundle

$$E = G \times_{\rho} V \longrightarrow M = G/H.$$

and the resulting feature spaces

$$\mathcal{F} = \Gamma(M, E) = \{ s : M \to E \mid \pi \circ s = \mathrm{id}_M \},$$

i.e. the space of sections of E.

As we stated at the beginning of this section, on a homogeneous space M=G/H, feature spaces are exactly spaces of sections of the associated bundle  $G\times_{\rho}V$ .

Towards a General Theory

## Definition

Let V be an  $H\mbox{-representation, a Mackey function}$  is a function  $f:G\to V$  such that

$$f(gh) = \rho(h)^{-1} f(g), \forall g \in G, h \in H.$$

## Theorem

Let  $E = G \times_{\rho} V \xrightarrow{\pi} G/H$  be the associated bundle for  $\rho : H \to \operatorname{GL}(V)$ . Then there is an isomorphism

$$\Gamma(E) \cong \mathcal{I}_G = \{ \text{collection of all Mackey functions} \}.$$

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Example(Continued) Spherical CNNs

Towards a General Theory **Proof.** Let  $s(x) \in G$  be a fixed coset representative for  $x \in G/H$ . Consider the map  $\Lambda : \Gamma(E) \to \mathcal{I}_G$  given by

$$\Lambda f(x) := \rho(h(g)^{-1}) f(gH),$$

where  $h(g) = s(gH)^{-1}g \in H$ .

We claim it has an inverse  $\Lambda^{-1}: \mathcal{I}_G \to \Gamma(E)$  given by

$$\Lambda^{-1}f'(x) \coloneqq f'(s(x)).$$

# Equivalence of Sections and Mackey Functions

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Towards a General Theory Indeed, we check that

$$\begin{split} [\Lambda^{-1}[\Lambda f]](x) &= [\Lambda f](s(x)) \\ &= \rho(\mathbf{h}(s(x))^{-1})f(s(x)H) \\ &= \rho((s(s(x)H)^{-1}s(x))^{-1})f(x) \\ &= \rho(s(x)^{-1}s(x))f(x) \\ &= f(x). \end{split}$$

Conversely, we have that

$$\begin{split} [\Lambda[\Lambda^{-1}f']](g) &= \rho(\mathbf{h}(g)^{-1})[\Lambda^{-1}f'](gH) \\ &= \rho(\mathbf{h}(g)^{-1})f'(s(gH)) \\ &= f'(s(gH)\,\mathbf{h}(g)) \qquad f' \text{ is a Mackey function} \\ &= f'(s(gH)s(gH)^{-1}g) \\ &= f'(g). \end{split}$$

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Towards a General Theory We also want to describe how features transform (ie. for spherical GNNs, how the vectors are changed under rotations). The general tool to describe them in this case comes from induced representations.

## Definition

Let  $H \leq G$  and  $\rho: H \to \mathrm{GL}(V)$  be an H-representation. The induced representation  $\pi = \mathrm{Ind}_H^G \, \rho$  is the data:

- $oldsymbol{1}$  The vector space  $\mathcal{I}_G$
- 2 An action of G on  $\mathcal{I}_G$  by

$$g \cdot f(k) \coloneqq f(g^{-1}k)$$

spaces.

Group

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Example(Continue

Spherical CNNs

Towards a General Theory Cohen, T. S., Geiger, M., and Weiler, M. (2019).

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