

# On open-separating domination codes in graphs

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# Outline

- 1 Identification problems in graphs
- 2 Properties of open-separating dominating codes
- 3 Open-separating dominating codes in several graph families
- 4 Benefit of reformulations as covering in hypergraphs
  - Analyzing the X-hypergraphs
  - From hypergraphs to clutters
  - About lower bounds on X-numbers
  - Pushing up lower bounds by polyhedral methods
- 5 Summary

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# Identification problems in graphs

## Objective

Separate any two nodes of a graph by their unique neighborhoods in a suitably chosen (total-)dominating set (= **code**) of the graph.

Let  $G = (V, E)$  be a graph and denote by  $N(i)$  (resp.  $N[i]$ ) the open (resp. closed) neighborhood of a node  $i \in V$ .

## Domination properties

- $C \subseteq V$  is **dominating** if  $N[i] \cap C$  are non-empty sets for all  $i \in V$
- $C \subseteq V$  is **total-dominating** if  $N(i) \cap C$  are non-empty sets for all  $i \in V$

## Separation properties

- $C \subseteq V$  is **closed-separating** if  $N[i] \cap C$  are distinct sets for all  $i \in V$
- $C \subseteq V$  is **open-separating** if  $N(i) \cap C$  are distinct sets for all  $i \in V$
- $C \subseteq V$  is **locating** if  $N(i) \cap C$  are distinct sets for all  $i \in V \setminus C$

# Identification problems in graphs

## Objective

Separate any two nodes of a graph by their unique neighborhoods in a suitably chosen (total-)dominating set (= **code**) of the graph.

The following identification problems have been studied in the literature by combining a domination and a separation property:

	domination	total-domination
closed-separation	identifying codes <b>(ID-codes)</b>	differentiating total-dominating codes <b>(DTD-codes)</b>
open-separation		open-locating dominating codes <b>(OLD-codes)</b>
location	locating-dominating codes <b>(LD-codes)</b>	locating total-dominating codes <b>(LTD-codes)</b>

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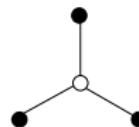
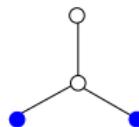
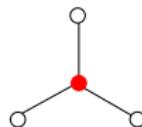
We here study the problem that arises by combining domination and open-separation:

	domination	total-domination
closed-separation	identifying codes <b>(ID-codes)</b>	differentiating total-dominating codes <b>(DTD-codes)</b>
open-separation	<b>open-separating dominating codes (OSD-codes)</b>	open-locating total-dominating codes <b>(OLD-codes)</b>
location	locating-dominating codes <b>(LD-codes)</b>	locating total-dominating codes <b>(LTD-codes)</b>

## Some examples

For a graph  $G = (V, E)$ , a subset  $C \subseteq V$  is

- **dominating** if  $N[i] \cap C$  are non-empty sets for all  $i \in V$
- **open-separating** if  $N(i) \cap C$  are distinct sets for all  $i \in V$
- an **open-separating dominating code** if it is dominating and open-separating

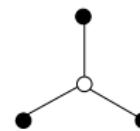
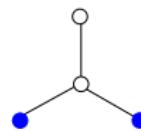
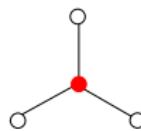


- **total-dominating** if  $N(i) \cap C$  are non-empty sets for all  $i \in V$
- **open-separating** if  $N(i) \cap C$  are distinct sets for all  $i \in V \setminus C$
- a **open-locating total-dominating code** if it is total-dominating and open-separating

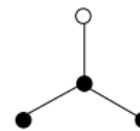
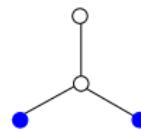
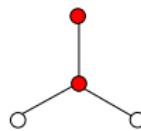
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# Identification problems in graphs

Let  $\text{CODES} = \{ID, DTD, LD, LTD, OSD, OLD\}$ .

## X-code Problem

Given a graph  $G$  and  $X \in \text{CODES}$ , find an X-code of minimum cardinality  $\gamma^X(G)$ .

- There are manifold applications, including
  - placing sensors in sensor networks
  - detecting faulty processors in multi-processor networks
- The related decision problems are all NP-complete.
- Typical lines of attack: for special graphs  $G$ ,
  - give a closed formula or (lower or upper) bounds for  $\gamma^X(G)$
  - design (combinatorial) algorithms to determine  $\gamma^X(G)$
- Active research area, see the bibliography maintained by Jean and Lobstein:  
<https://dragazo.github.io/bibdom/main.pdf>

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# Existence

## Relations to other X-codes

# Reformulation as covering in hypergraphs

To study X-problems under a **unifying point of view**, reformulate the X-problems in a graph as covering problem in suitably constructed hypergraphs!

## Covers of hypergraphs

Let  $\mathcal{H} = (V, \mathcal{F})$  be a hypergraph.

- A **cover** of  $\mathcal{H}$  is a subset  $C \subseteq V$  satisfying  $C \cap F \neq \emptyset$  for all  $F \in \mathcal{F}$ .
- The **covering number**  $\tau(\mathcal{H})$  is the minimum cardinality of a cover  $C$  of  $\mathcal{H}$ .

## X-hypergraph $\mathcal{H}_X(G)$

For a graph  $G = (V, E)$  and  $X \in \text{CODES}$ , the **X-hypergraph**  $\mathcal{H}_X(G) = (V, \mathcal{F}_X)$  satisfies that  $C \subseteq V$  is an X-code of  $G$  **if and only if**  $C$  is a cover of  $\mathcal{H}_X(G)$ .

We have by construction:

## Observation on X-numbers

For any graph  $G$  and  $X \in \text{CODES}$ , we have  $\gamma^X(G) = \tau(\mathcal{H}_X(G))$ .

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# Reformulation as covering in hypergraphs

- $\mathcal{H}_X(G)$  clearly needs to contain the neighborhoods of all nodes of  $G$ .
- To encode that the intersections of an X-code  $C$  with the neighborhoods are **unique**, use the symmetric differences of the neighborhoods!

## Theorem

A code  $C$  of a graph  $G$  is

- **closed-separating** if and only if  $(N[i] \Delta N[j]) \cap C \neq \emptyset$  for all pairs of distinct nodes  $i, j$  of  $G$ ,
- **open-separating** if and only if  $(N(i) \Delta N(j)) \cap C \neq \emptyset$  for all pairs of distinct nodes  $i, j$  of  $G$ ,
- **locating** if and only if
  - $(N(i) \Delta N(j)) \cap C \neq \emptyset$  for all pairs of **adjacent** nodes  $i, j$  of  $G$  and
  - $(N[i] \Delta N[j]) \cap C \neq \emptyset$  for all pairs of **non-adjacent** nodes  $i, j$  of  $G$ .
- **pair-locating** if and only if
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# Reformulation as covering in hypergraphs

## Corollary

The X-hypergraphs  $\mathcal{H}_X(G) = (V, \mathcal{F}_X)$  of the X-problems for  $X \in \text{CODES}$  contain the following combinations of sets of hyperedges:

ID	DTD	LD	LTD	PD	PTD	OSD	OLD
$N[G]$	$N(G)$	$N[G]$	$N(G)$	$N[G]$	$N(G)$	$N[G]$	$N(G)$
$\Delta_a[G]$	$\Delta_a[G]$	$\Delta_a(G)$	$\Delta_a(G)$	$\Delta_a[G]$	$\Delta_a[G]$	$\Delta_a(G)$	$\Delta_a(G)$
$\Delta_n[G]$	$\Delta_n[G]$	$\Delta_n[G]$	$\Delta_n[G]$	$\Delta_n(G)$	$\Delta_n(G)$	$\Delta_n(G)$	$\Delta_n(G)$

- $N[G]$  (resp.  $N(G)$ ) denotes the set of all closed (resp. open) **neighborhoods** of nodes in  $G$ ,
- $\Delta_a[G]$  (resp.  $\Delta_a(G)$ ) denotes the set of symmetric differences of closed (resp. open) neighborhoods of all pairs of **adjacent** nodes in  $G$ ,
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# Hardness

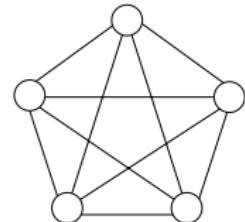
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# Examples of X-hypergraphs: Cliques

A **clique** is a graph  $K_n = (V, E)$  with

- $n$  nodes in  $V = \{1, \dots, n\}$ ,
- all possible edges.



LD/OSD-hypergraph

$$\begin{aligned}N[i] &= V \\ N(i)\Delta N(j) &= \{i, j\} \\ - &\end{aligned}$$

LTD/OLD-hypergraph

$$\begin{aligned}V \setminus \{i\} &= N(i) \\ \{i, j\} &= N(i)\Delta N(j) \\ - &\end{aligned}$$

LD/OSD-codes of  $K_n$

$$\begin{aligned}V \setminus \{i\} \text{ for } 1 \leq i \leq n \\ V = \{1, \dots, n\}\end{aligned}$$

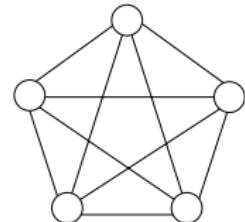
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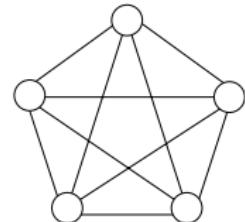


LD/OSD-hypergraph	LTD/OLD-hypergraph
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$N(i)\Delta N(j) = \{i, j\}$	$\{i, j\} = N(i)\Delta N(j)$
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LD/OSD-codes of $K_n$	LTD/OLD-codes of $K_n$
$V \setminus \{i\}$ for $1 \leq i \leq n$ $V = \{1, \dots, n\}$	$V \setminus \{i\}$ for $1 \leq i \leq n$ $V = \{1, \dots, n\}$

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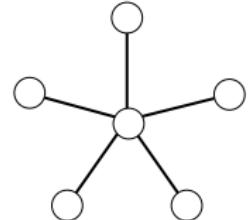


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## Examples of X-hypergraphs: Stars

A **star** is a graph  $K_{1,n} = (V, E)$  with

- $n+1$  nodes in  $V = \{0, 1, \dots, n\}$ ,
- edges  $0i$  for  $1 \leq i \leq n$ .



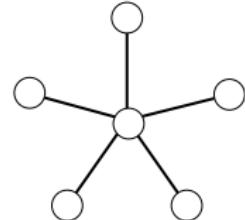
ID-hypergraph	LTD-hypergraph
$N[0] = V$	$\{1, \dots, n\} = N(0)$
$N[i] = \{0, i\}$	$\{0\} = N(i)$
$N[0]\Delta N[i] = \{1, \dots, n\} \setminus \{i\}$	$V = N(0)\Delta N(i)$
$N[j]\Delta N[i] = \{i, j\}$	$\{i, j\} = N[j]\Delta N[i]$

ID-codes of $K_{1,n}$	LTD-codes of $K_{1,n}$
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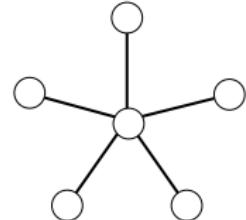
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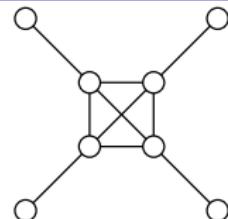


ID-hypergraph	LTD-hypergraph
$N[0] = V$	$\{1, \dots, n\} = N(0)$
$N[i] = \{0, i\}$	$\{0\} = N(i)$
$N[0]\Delta N[i] = \{1, \dots, n\} \setminus \{i\}$	$V = N(0)\Delta N(i)$
$N[j]\Delta N[i] = \{i, j\}$	$\{i, j\} = N[j]\Delta N[i]$
ID-codes of $K_{1,n}$	LTD-codes of $K_{1,n}$
$V \setminus \{i\}$ for $0 \leq i \leq n$	$V \setminus \{i\}$ for $1 \leq i \leq n$
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# Examples of X-hypergraphs: Thin spiders

A **thin spider** is a graph  $H_k = (S \cup Q, E)$  where

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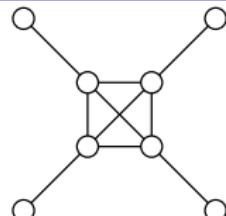


LD-hypergraph	OSD-hypergraph
$N[s_i] = \{s_i, q_i\}$	$\{s_i, q_i\} = N[s_i]$
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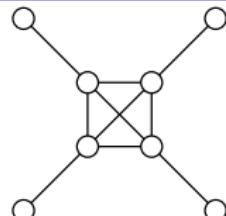


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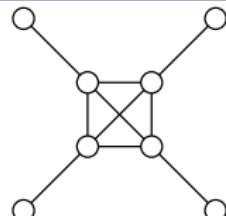


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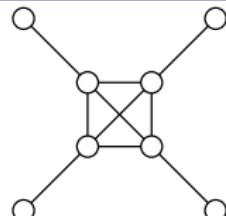


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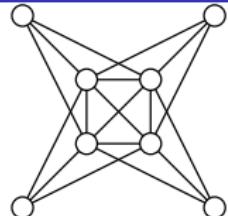


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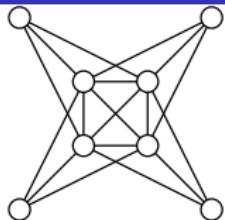


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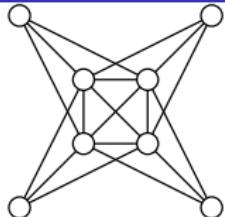


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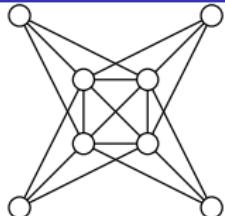


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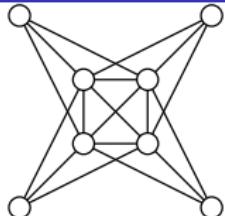


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- 3 Open-separating dominating codes in several graph families
- 4 Benefit of reformulations as covering in hypergraphs
  - Analyzing the X-hypergraphs
  - From hypergraphs to clutters
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# About the existence of X-codes in graphs

## Observation

A hypergraph  $\mathcal{H} = (V, \mathcal{F})$  has **no cover** if and only if  $\emptyset \in \mathcal{F}$ .

## Corollary

There is no X-code in a graph  $G$  with  $\mathcal{H}_X(G)$  involving

- $N(G)$  if  $G$  has **isolated nodes**  $i$  with  $N(i) = \emptyset$ ;
- $\Delta_a[G]$  if  $G$  has **closed twins**:  
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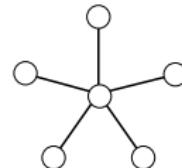
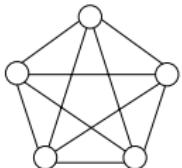
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## Example: Closed and open twins



Cliques  $K_n$  have **closed twins** and no X-codes for  $X \in \{ID, DTD, PD, PTD\}$

Stars  $K_{1,n}$  have **open twins** and no X-codes for  $X \in \{PD, PTD, OSD, OLD\}$

ID-hypergraph:

$N[G]$	$N[i] = V$
$\Delta_a[G]$	$N[i]\Delta N[j] = \emptyset$
$\Delta_n[G]$	-

PD-hypergraph:

$N[G]$	$N[0] = V$
$N[i]$	$\{0, i\}$
$\Delta_a[G]$	$N[0]\Delta N[i] = V \setminus \{0, i\}$
$\Delta_n(G)$	$N(i)\Delta N(j) = \emptyset$

# About the relations of X-numbers

Consider hypergraphs  $\mathcal{H} = (V, \mathcal{F})$  and  $\mathcal{H}' = (V, \mathcal{F}')$  on the same node set  $V$ . We say  $\mathcal{H} \prec \mathcal{H}'$  if, for each  $F'$  of  $\mathcal{H}'$ , there exists  $F$  of  $\mathcal{H}$  such that  $F \subseteq F'$ .

## Lemma

For  $\mathcal{H} = (V, \mathcal{F})$  and  $\mathcal{H}' = (V, \mathcal{F}')$  with  $\mathcal{H} \prec \mathcal{H}'$ , we have  $\tau(\mathcal{H}') \leq \tau(\mathcal{H})$ .

**Proof:** Consider a cover  $C \subseteq V$  of  $\mathcal{H}$ .

- For every  $F'$  of  $\mathcal{H}'$ , there is  $F$  of  $\mathcal{H}$  such that  $F \subseteq F'$  by  $\mathcal{H} \prec \mathcal{H}'$ .
- Since  $C \cap F \neq \emptyset$  holds for all  $F \in \mathcal{F}$ , also  $C \cap F' \neq \emptyset$  follows for all  $F' \in \mathcal{F}'$ .
- Hence,  $C$  is also a cover of  $\mathcal{H}'$  and the assertion follows.  $\square$

N.B. For all graphs, it is clear that

- $N[i] = N(i) \cup \{i\}$  for all nodes  $i \in V$ ;
- $N(i) \Delta N(j) = (N[i] \Delta N[j]) \cup \{i, j\}$  for all adjacent nodes  $i, j \in V$ ;
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- $N[i] = N(i) \cup \{i\}$  for all nodes  $i \in V$ ;
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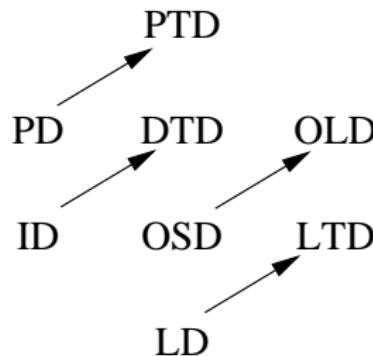
# About the relations of X-numbers

## Corollary

If for a graph  $G = (V, E)$  and two problems  $X, X' \in \text{CODES}$  the hypergraphs  $\mathcal{H}_X(G) = (V, \mathcal{F}_X)$  and  $\mathcal{H}'_{X'}(G) = (V, \mathcal{F}'_{X'})$  only differ in the

- neighborhoods such that  $N(G) \subset \mathcal{F}_X$  and  $N[G] \subset \mathcal{F}_{X'}$ ,
- symmetric differences with  $\Delta_a[G] \subset \mathcal{F}_X$  and  $\Delta_a(G) \subset \mathcal{F}_{X'}$ ,
- symmetric differences with  $\Delta_n(G) \subset \mathcal{F}_X$  and  $\Delta_n[G] \subset \mathcal{F}_{X'}$ ,

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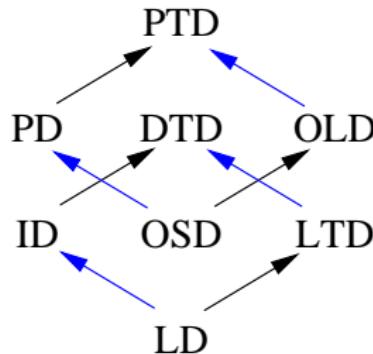
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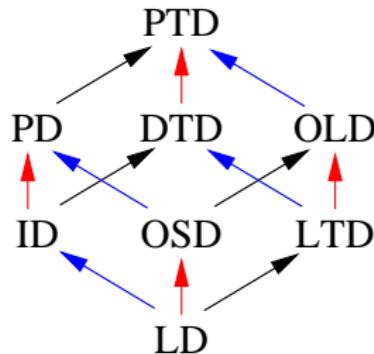
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# Outline

- 1 Identification problems in graphs
- 2 Properties of open-separating dominating codes
- 3 Open-separating dominating codes in several graph families
- 4 Benefit of reformulations as covering in hypergraphs
  - Analyzing the X-hypergraphs
  - **From hypergraphs to clutters**
  - About lower bounds on X-numbers
  - Pushing up lower bounds by polyhedral methods
- 5 Summary

# From X-hypergraphs to X-clutters

To calculate the X-numbers of the studied graphs  $G$ , we construct the X-hypergraphs  $\mathcal{H}_X(G)$  and determine their covering numbers  $\tau(\mathcal{H}_X(G))$ .

## Redundant hyperedges

Consider a hypergraph  $\mathcal{H} = (V, \mathcal{F})$ .

- If there are two hyperedges  $F, F' \in \mathcal{F}$  with  $F \subseteq F'$ , then  $F \cap C \neq \emptyset$  also implies  $F' \cap C \neq \emptyset$  for every  $C \subseteq V$ .
- $F'$  is **redundant** as  $(V, \mathcal{F} - \{F'\})$  suffices to encode the covers of  $\mathcal{H}$ .

Hence, only non-redundant hyperedges of  $\mathcal{H}_X(G)$  need to be considered in order to determine  $\tau(\mathcal{H}_X(G))$  and thus  $\gamma^X(G)$ .

## X-clutters $\mathcal{C}_X(G)$

The **X-clutter**  $\mathcal{C}_X(G)$  of the graph  $G$  is obtained from  $\mathcal{H}_X(G)$  by removing all redundant hyperedges. For any graph  $G = (V, E)$  and  $X \in \text{CODES}$ , we have

$$\gamma^X(G) = \tau(\mathcal{C}_X(G)) = \tau(\mathcal{H}_X(G))$$

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# About X-clutters

The X-clutter  $\mathcal{C}_X(G)$  of a graph  $G$  may turn out to be a (hyper)graph already studied in the literature concerning its covering number.

## Stable sets and covers in graphs

- A subset of non-adjacent nodes of a graph is a **stable set**.
- The size of a maximum stable set of  $G$  is denoted by  $\alpha(G)$ .
- For any graph  $G$  with  $n$  nodes, we have  $\tau(G) = n - \alpha(G)$ .

**Example:** For a complete graph  $K_n$ , we have  $\alpha(K_n) = 1$  and  $\tau(K_n) = n - 1$ .

## Complete $q$ -roses of order $n$

- The hypergraph  $\mathcal{R}_n^q = (V, \mathcal{E})$  is a **complete  $q$ -rose of order  $n$** , when
  - $V = \{1, \dots, n\}$  and
  - $\mathcal{E}$  contains all  $q$ -element subsets of  $V$  for  $2 \leq q < n$ .
- For a complete  $q$ -rose of order  $n$ , we have  $\tau(\mathcal{R}_n^q) = n - q + 1$ .

**Example:** For  $q = 2$ ,  $\mathcal{R}_n^q$  is the complete graph  $K_n$  and  $\tau(K_n) = n - 2 + 1 = n - 1$ .

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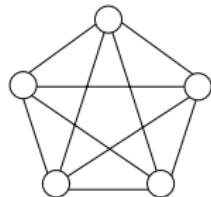
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## Examples of X-clutters: Cliques

A **clique** is a graph  $K_n = (V, E)$  with

- $n$  nodes in  $V = \{1, \dots, n\}$ ,
- all possible edges.



LD/OSD-hypergraph	LTD/OLD-hypergraph
$N[i] = V$	$V \setminus \{i\} = N(0)$
$N(i)\Delta N(j) = \{i, j\}$	$\{i, j\} = N(i)\Delta N(j)$
—	—

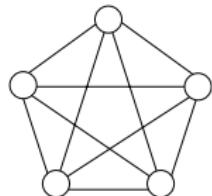
LD/OSD-codes of $K_n$	LTD/OLD-codes of $K_n$
$V \setminus \{i\}$ for $1 \leq i \leq n$ $V = \{1, \dots, n\}$	$V \setminus \{i\}$ for $1 \leq i \leq n$ $V = \{1, \dots, n\}$

- In all cases, the neighborhoods are **redundant** for  $n \geq 3$ .
- $\mathcal{C}_X(K_n) = \mathcal{R}_n^2 = K_n$  for all  $X \in \{LD, LTD, OSD, OLD\}$ .
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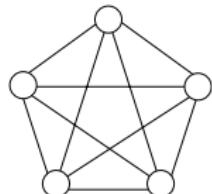
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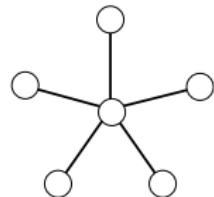
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# Examples of X-clutters: Stars

A **star** is a graph  $K_{1,n} = (V, E)$  with

- $n + 1$  nodes in  $V = \{0, 1, \dots, n\}$ ,
- edges  $0i$  for  $1 \leq i \leq n$ .



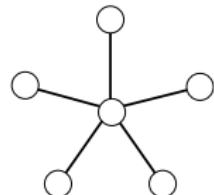
ID-hypergraph	LTD-hypergraph
$N[0] = V$	$\{1, \dots, n\} = N(0)$
$N[i] = \{0, i\}$	$\{0\} = N(i)$
$N[0]\Delta N[i] = \{1, \dots, n\} \setminus \{i\}$	$V = N(0)\Delta N(i)$
$N[j]\Delta N[i] = \{i, j\}$	$\{i, j\} = N[j]\Delta N[i]$

ID-clutter of $K_{1,n}$	LTD-clutter of $K_{1,n}$
$\mathcal{C}_{ID}(K_{1,n}) = \mathcal{R}_{1+n}^2 = K_{1+n}$	$\mathcal{C}_{LTD}(K_{1,n}) = K_1 \cup K_n$
$\gamma^{ID}(K_{1,n}) = \tau(K_{1+n}) = n$	$\gamma^{LTD}(K_{1,n}) = 1 + \tau(K_n) = n$

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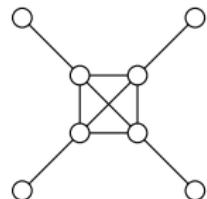
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ID-clutter of $K_{1,n}$	LTD-clutter of $K_{1,n}$
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# Examples of X-clutters: Thin spiders

A **thin spider** is a graph  $H_k = (S \cup Q, E)$  where

- $|S| = |Q| = k$  with  $k \geq 4$ ,
- $S$  induces a stable set,  $Q$  induces a clique,
- $s_i$  is adjacent to  $q_j$  if and only if  $i = j$ .

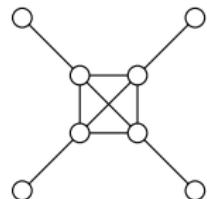


LD-hypergraph	OSD-hypergraph
$N[s_i] = \{s_i, q_i\}$	$\{s_i, q_i\} = N[s_i]$
$N[q_i] = Q \cup \{s_i\}$	$Q \cup \{s_i\} = N[q_i]$
$N(s_i) \Delta N(q_i) = Q \cup \{s_i\}$	$Q \cup \{s_i\} = N(s_i) \Delta N(q_i)$
$N(q_i) \Delta N(q_j) = \{q_i, q_j\} \cup \{s_i, s_j\}$	$\{q_i, q_j\} \cup \{s_i, s_j\} = N(q_i) \Delta N(q_j)$
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LD-clutter of $H_k$	OSD-clutter of $H_k$
$\mathcal{C}_{LD}(H_k) = k \cdot K_2$	$\mathcal{C}_{OSD}(H_k) = H_k$
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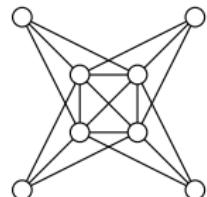


LD-hypergraph	OSD-hypergraph
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# Examples of X-clutters: Thick spiders

A **thick spider** is a graph  $\overline{H}_k = (S \cup Q, E)$  where

- $|S| = |Q| = k$  with  $k \geq 4$ ,
- $S$  induces a stable set,  $Q$  induces a clique,
- $s_i$  is adjacent to  $q_j$  if and only if  $i \neq j$ .



LD-hypergraph	DTD-hypergraph
$N[s_i] = \{s_i\} \cup (Q \setminus \{q_i\})$	$Q \setminus \{q_i\} = N(s_i)$
$N[q_i] = Q \cup (S \setminus \{s_i\})$	$(Q \setminus \{q_i\}) \cup (S \setminus \{s_i\}) = N(q_i)$
$N(s_i) \Delta N(q_j) = \{q_i, q_j\} \cup (S \setminus \{s_j\})$	$\{q_i\} \cup (S \setminus \{s_i, s_j\}) = N[s_i] \Delta N[q_j]$
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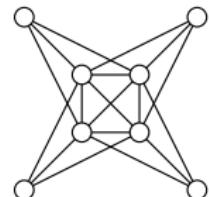
LD-clutter of $\overline{H}_k$
$\mathcal{C}_{LD}(\overline{H}_k) = \text{rather involved!}$
$\gamma^{LD}(\overline{H}_k) = ???$

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# Examples of X-clutters: Thick spiders

A **thick spider** is a graph  $\overline{H}_k = (S \cup Q, E)$  where

- $|S| = |Q| = k$  with  $k \geq 4$ ,
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LD-hypergraph	DTD-hypergraph
$N[s_i] = \{s_i\} \cup (Q \setminus \{q_i\})$	$Q \setminus \{q_i\} = N(s_i)$
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$\gamma^{LD}(\overline{H}_k) = ???$	$\gamma^{DTD}(\overline{H}_k) = 2 + (k-1) = k+1$

# Outline

- 1 Identification problems in graphs
- 2 Properties of open-separating dominating codes
- 3 Open-separating dominating codes in several graph families
- 4 Benefit of reformulations as covering in hypergraphs
  - Analyzing the X-hypergraphs
  - From hypergraphs to clutters
  - **About lower bounds on X-numbers**
  - Pushing up lower bounds by polyhedral methods
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# Lower bounds from (total-)domination

## Observation

For each X-problem involving

- **domination**, the dominating number  $\gamma(G)$
- **total-domination**, the total-dominating number  $\gamma^t(G)$

is a lower bound on  $\gamma^X(G)$ .

How good can these bounds be?

Clique  $K_n$

$\gamma(K_n)$	=	1
$\gamma^t(K_n)$	=	2
$\gamma^{\text{LD}}(K_n)$	=	$n-1$

Star  $K_{1,n}$

$\gamma(K_{1,n})$	=	1
$\gamma^t(K_{1,n})$	=	2
$\gamma^{\text{LD}}(K_{n1},)$	=	$n-1$

# Lower bounds from (total-)domination

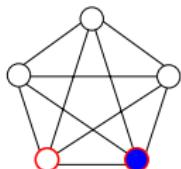
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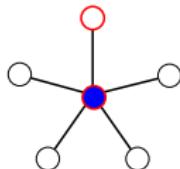
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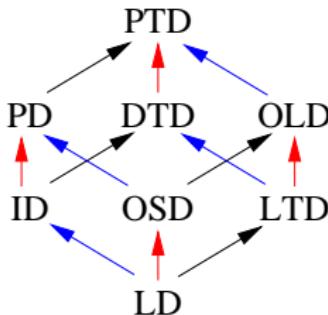
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$\gamma(K_{1,n})$	=	1
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$\gamma^{\text{LTD}}(K_{1,n})$	=	$n-1$

# Lower bounds from other X-numbers



How large can the gaps be?

## Theorem

- $\gamma^{\text{OLD}}(G) - 1 \leq \gamma^{\text{OSD}}(G) \leq \gamma^{\text{OLD}}(G)$
- $\gamma^{\text{PTD}}(G) - 1 \leq \gamma^{\text{PD}}(G) \leq \gamma^{\text{PTD}}(G)$

The other gaps between related X-numbers can be arbitrarily large, e.g.

- $\gamma^{\text{LTD}}(H_k) = k < 2k - 1 = \gamma^{\text{DTD}}(H_k)$
- $\gamma^{\text{ID}}(\overline{H}_k) = k < 2k - 2 = \gamma^{\text{PD}}(\overline{H}_k)$

# Lower bounds from the dual problems

For the studied X-problems,

- there is no straightforward “direct” dual problem,
- but from the covering in the X-hypergraphs!

## Matchings in hypergraphs

Consider a hypergraph  $\mathcal{H} = (V, \mathcal{E})$ .

- A subset  $\mathcal{F} \subseteq \mathcal{E}$  is a **matching** of  $\mathcal{H}$  if  $F \cap F' = \emptyset$  for all  $F, F' \in \mathcal{F}$ .
- The **matching number**  $\nu(\mathcal{H})$  is the cardinality of a maximum matching.

Matchings and covers in hypergraphs are dual objects:

## Matchings and covers

- For every hypergraph  $\mathcal{H}$ , we have  $\nu(\mathcal{H}) \leq \tau(\mathcal{H})$ .
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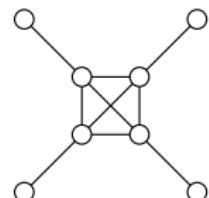
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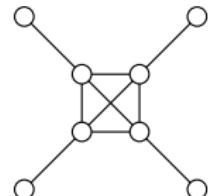


LD-hypergraph	OSD-hypergraph
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—	—
—	$\{q_i, q_j\} = N(s_i) \Delta N(s_j)$

LD-clutter of  $H_k$  is  $\mathcal{C}_{LD}(H_k) = k \cdot K_2$

OSD-clutter of  $H_k$  is  $\mathcal{C}_{OSD}(H_k) = H_k$

$N[s_i] = \{s_i, q_i\}$  induce a matching:  
 $v(\mathcal{C}_{LD}(H_k)) = k$

$N[s_i] = \{s_i, q_i\}$  induce a matching:  
 $v(\mathcal{C}_{OSD}(H_k)) \geq k$

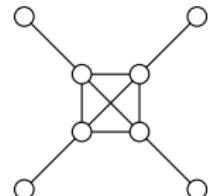
$S$  and  $Q$  are LD-codes:  
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# Covering in X-clutters as integer program

Consider an X-clutter  $\mathcal{C}_X(G) = (V, \mathcal{F})$ . We know that

$$\tau(\mathcal{C}_X(G)) = \min\{|C| : C \subseteq V, C \cap F \neq \emptyset \forall F \in \mathcal{F}\}$$

## Integer program to determine $\tau(\mathcal{C}_X(G))$

Using variables  $x_i \in \{0, 1\}$  for all  $i \in V$  to encode covers  $C \subseteq V$ , we get

$$\begin{aligned} \tau(\mathcal{C}_X(G)) &= \min \mathbf{x}(V) &= \sum_{i \in V} x_i \\ \text{s.t. } \mathbf{x}(F) &= \sum_{i \in F} x_i &\geq 1 \quad \forall F \in \mathcal{F} \\ x_i &\in \{0, 1\} \end{aligned}$$

**Example.** The LD-clutter of thin spiders  $H_k = (S \cup Q, E)$  is composed of  $\{s_i, q_i\}$  for  $1 \leq i \leq k$ .

$$\begin{aligned} \tau(\mathcal{C}_{LD}(G)) &= \min \sum_{i \in V} x_i \\ \text{s.t. } x_{s_i} + x_{q_i} &\geq 1 \quad \forall i \in \{1, \dots, k\} \\ x_{s_i}, \quad x_{q_i} &\in \{0, 1\} \quad \forall i \in \{1, \dots, k\} \end{aligned}$$

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# About covering polyhedra

For any hypergraph  $\mathcal{H} = (V, \mathcal{E})$ , we have

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We are particularly interested in finding the **full rank constraint**

$$\mathbf{x}(V) = \sum_{i \in V} x_i \geq \tau(\mathcal{H})$$

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# Enhancing integer programs

Consider an X-clutter  $\mathcal{C}_X(G) = (V, \mathcal{F})$  and a maximum matching  $\mathcal{F}' \subseteq \mathcal{F}$ .

Adding up the constraints

$$\mathbf{x}(F) = \sum_{i \in F} x_i \geq 1$$

for all  $F \in \mathcal{F}'$  yields  $\mathbf{x}(V) = \sum_{i \in V} x_i \geq \nu(\mathcal{C}_X(G))$ .

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For  $k = 4$ , we get

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## Chvátal-Gomory cuts for covering formulations

If  $\mathbf{a}^T \mathbf{x} \geq b$  is a linear combination of constraints from a covering formulation with  $b \notin \mathbb{Z}$ , then every cover also satisfies  $\mathbf{a}^T \mathbf{x} \geq \lceil b \rceil$ , called **Chvátal-Gomory cut**.

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## Examples of Chvátal-Gomory cuts

The LD-clutter of cliques  $K_n$  is  $\mathcal{C}_{LD}(K_n) = \mathcal{R}_n^2 = K_n$ . For  $n = 3$ , we get

$$\begin{array}{rcl} x_1 + x_2 & \geq & 1 \\ x_2 + x_3 & \geq & 1 \\ x_1 + x_3 & \geq & 1 \end{array}$$

Taking a linear combination and scaling by  $\frac{1}{2}$  yields a Chvátal-Gomory cut:

$$\begin{array}{rcl} 2x_1 + 2x_2 + 2x_3 & \geq & 3 \\ \hline x_1 + x_2 + x_3 & \geq & \lceil \frac{3}{2} \rceil = 2 \end{array}$$

### Theorem

For a complete  $q$ -rose  $\mathcal{R}_n^q = (V, \mathcal{E})$ ,

$$x(V') = \sum_{i \in V'} x_i \geq |V'| - 1$$

is a Chvátal-Gomory cut for all  $V' \subseteq V$  with  $|V'| > q$ .

In particular,  $x(V) \geq n - q + 1$  holds and implies  $\tau(\mathcal{R}_n^q) = n - q + 1$ .

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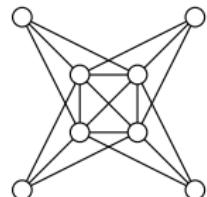
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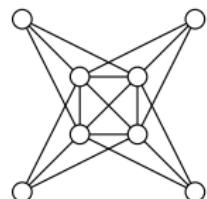


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$\mathcal{C}_{LD}(\overline{H}_k) =$ rather involved!	$\mathcal{C}_{DTD}(\overline{H}_k) = \mathcal{R}_k^{k-1} \cup \mathcal{R}_k^2$
$\gamma^{LD}(\overline{H}_k) = ???$	$\gamma^{DTD}(\overline{H}_k) = 2 + (k-1) = k+1$

# More examples of Chvátal-Gomory cuts

A **thick spider** is a graph  $\overline{H}_k = (S \cup Q, E)$  where

- $|S| = |Q| = k$  with  $k \geq 4$ ,
- $S$  induces a stable set,  $Q$  induces a clique,
- $s_i$  is adjacent to  $q_j$  if and only if  $i \neq j$ .



LD-hypergraph	DTD-hypergraph
$N[s_i] = \{s_i\} \cup (Q \setminus \{q_i\})$	$Q \setminus \{q_i\} = N(s_i)$
$N[q_i] = Q \cup (S \setminus \{s_i\})$	$(Q \setminus \{q_i\}) \cup (S \setminus \{s_i\}) = N(q_i)$
$N(s_i) \Delta N(q_j) = \{q_i, q_j\} \cup (S \setminus \{s_j\})$	$\{q_i\} \cup (S \setminus \{s_i, s_j\}) = N[s_i] \Delta N[q_j]$
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We can use  $\{q_i, q_j\} \cup \{s_i, s_j\}$  to build Chvátal-Gomory cuts:

## Theorem

For the LD-problem in thick spiders  $\bar{H}_k = (S \cup Q, E)$ , we obtain

$$\sum_{i \in I} x_{s_i} + \sum_{i \in I} x_{q_i} \geq |I| - 1$$

as Chvátal-Gomory cut for all  $I \subseteq \{1, \dots, k\}$  with  $|I| > 3$ .

- In particular,  $x(S) + x(Q) \geq k - 1$  holds and implies  $\tau(\mathcal{C}_{LD}(\bar{H}_k)) \geq k - 1$ .
- $C$  with  $C \cap S = \{s_i, s_j\}$  and  $C \cap Q = Q \setminus \{q_i, q_j, q_l\}$  is an LD-code and  $|C| = k - 1$ .

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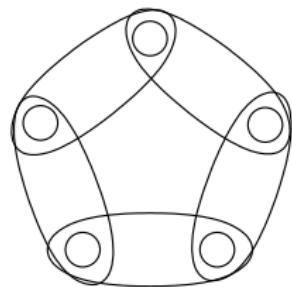
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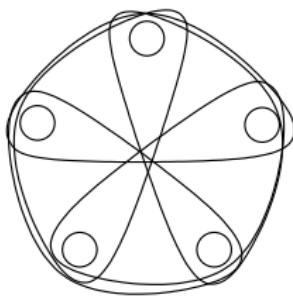
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## More interesting substructures of X-clutters

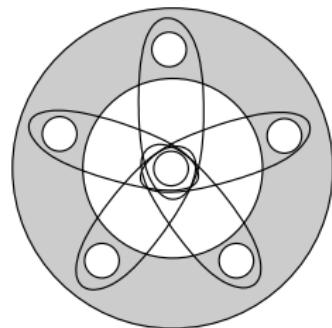
Besides complete  $q$ -roses  $\mathcal{R}_n^q$ , many other interesting substructures of hypergraphs have been studied in the reach literature about covering problems and polyhedra:



cycles



circulants



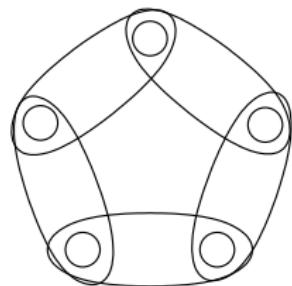
projective planes

All of them can be used

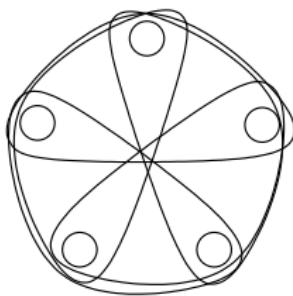
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even if the whole hypergraph is not well-structured!

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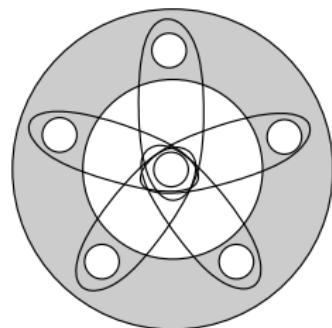
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# Outline

- 1 Identification problems in graphs
- 2 Properties of open-separating dominating codes
- 3 Open-separating dominating codes in several graph families
- 4 Benefit of reformulations as covering in hypergraphs
  - Analyzing the X-hypergraphs
  - From hypergraphs to clutters
  - About lower bounds on X-numbers
  - Pushing up lower bounds by polyhedral methods
- 5 Summary

# Concluding Remarks

The studied X-problems are all NP-hard, typical lines of attack: for special graphs,

- give a closed formula or bounds for  $\gamma^X(G)$ ;
- design algorithms to determine  $\gamma^X(G)$  in polynomial time.

## Study X-problems from a unifying point of view

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- mixing all (= combinatorial and polyhedral) techniques may enable us to solve large-scale instances issued from real applications!

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