# Bootstrapped Knowledge Graph Embedding based on Neighbor Expansion

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# **ABSTRACT**

Most Knowledge Graph(KG) embedding models require negative sampling to learn the representations of KG by discriminating the differences between positive and negative triples. Knowledge representation learning tasks such as link prediction are heavily influenced by the quality of negative samples. Despite many attempts, generating high-quality negative samples remains a challenge. In this paper, we propose a novel framework, Bootstrapped Knowledge graph Embedding based on Neighbor Expansion (BKENE), which learns representations of KG without using negative samples. Our model avoids using augmentation methods that can alter the semantic information when creating the two semantically similar views of KG. In particular, we generate an alternative view of KG by aggregating the information of the expanded neighbor of each node with multi-hop relation. Experimental results show that our BKENE outperforms the state-of-the-art methods for link prediction tasks.

#### **CCS CONCEPTS**

• Computing methodologies  $\rightarrow$  Knowledge representation and reasoning; Neural networks.

# **KEYWORDS**

Knowledge Graph Embedding, Graph Embedding, Self-Supervised Learning, Graph Neural Networks, Link Prediction

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# 1 INTRODUCTION

A knowledge graph(KG) is a multi-relational graph structure that represents real-world knowledge in triple forms (head entity, relation, tail entity). KGs are utilized in a variety of applications, including question answering[8, 10], link prediction[7, 15], and machine reading comprehension[10, 26]. However, KGs suffer from missing relations, and to overcome this problem, researchers have

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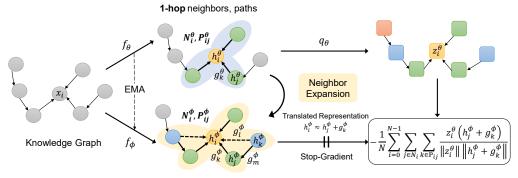
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focused on the link prediction task to predict the missing facts in KGs. The link prediction methods lead to a Knowledge Graph Embedding (KGE) that learns the representation of relations and entities to represent the inherent structure of the KG. In recent years, various knowledge graph embedding models are proposed, from conventional methods such as TransE[3], RESCAL[16] to neural network-based methods such as ConvE[7] and KBAT[15].

Most KGE models define their own scoring functions that give a score on the plausibility of a given triple. KGE learns the representations of KG by ranking the scores of observed triples (positive) higher than those of unobserved triples (negative)[17]. Therefore, KGE models require a lot of negative samples for training. The most common negative sampling method is uniform sampling[3, 14], which replaces the head or tail entity of a positive triple with uniformly selected ones from the KG entity set  $\mathcal E$ . These randomly generated negative samples often result in poor performance of KGE. Thus, generating high-quality negative samples is crucial to knowledge graph embedding. In order to obtain high-quality negative samples, other approaches such as GAN-based sampling[4, 5, 19], cache-based methods[29], and structure-aware sampling[1] have been proposed. However, generating high-quality negative samples of KGE still remains a challenge.

To deal with the difficulty of acquiring high-quality negative samples, there have been attempts to learn representations using only positive samples in many domains, such as images and graphs. In the vision domain, a self-supervised learning method, BYOL[9] shows competitive performance compared with the SOTA methods without using negative samples. BGRL[21] employs a BYOL approach to graph representation learning. It learns node presentation by minimizing cosine similarity between node presentations derived from two augmented views of the graph. Since KGs differ from general graphs in several ways, applying the BGRL method to KGEs is challenging. The BGRL and other augmentation-based contrastive models[6, 25, 30] use simple augmentation methods, such as edge dropping and node feature masking. When KGs are augmented with edge dropping, however, it becomes more difficult to capture semantic information, such as multi-hop relation. Furthermore, simply minimizing node similarity in BGRL is not sufficient to capture the relation information that is vital to KGs. Accordingly, to apply the BYOL approach to the KGs while retaining their structural attributes, 1)we require two semantically similar views of KGs that are not augmented using current methods, and also 2) we need to include relation information within KGs.

In this paper, we propose a novel framework, Bootstrapped Knowledge graph Embedding based on Neighbor Expansion (BKENE), which learns knowledge graph representations without using negative samples. Since simple augmentation may lead to information



n-hop (n≤2) neighbors, paths

Figure 1: The architecture of BKENE framework. Two encoders  $f_{\theta}$ ,  $f_{\phi}$  generate embeddings for all nodes of KG. To obtain two different representations  $h_i^{\theta}$ ,  $h_i^{\phi}$  of node  $x_i$ , we expand the set of original neighbors  $(N_i^{\theta})$  to n-hop neighbors  $(N_i^{\phi})$ . Dashed arrows represent newly aggregated paths as a result of neighbor expansion. A predictor  $q_{\theta}$  only projects the online representation. Loss function is computed for  $z_i^{\theta}$  along with the  $\|h_i^{\phi} + g_k^{\phi}\|$ , updating only  $f_{\theta}$  with gradients, while  $f_{\phi}$  is updated by an EMA of  $f_{\theta}$ .

loss and semantic changes in KGs[12], we generate two different views of KGs by defining the neighbors of each node differently in two encoders. One encoder aggregates the information of only directly connected nodes and relations, and another aggregates the information of expanded neighbors which include multi-hop neighbors. Then given the two semantic related views, we train the node representation of the first encoder to predict the translated representation of the second encoder by using the newly proposed objective function. According to our experimental findings, BKENE is effective for link prediction tasks without negative sampling, and it outperforms existing state-of-the-art KGE models. The following are our contributions:

- We propose BKENE, a self-supervised knowledge graph embedding method that does not require negative sampling.
- To obtain alternative views of a knowledge graph by adopting the BYOL framework, we aggregate different neighbor information by neighbor expansion with relation-aware objective functions.
- On link prediction tasks, our proposed method outperforms existing SOTA KGE models.

# 2 OUR APPROACH

# 2.1 Preliminaries

**Knowledge Graph** A knowledge graph is represented by  $\mathcal{G} = \{(h,r,t)|h\in\mathcal{E},r\in\mathcal{R},t\in\mathcal{E}\}$ , where  $\mathcal{E}$  and  $\mathcal{R}$  indicate entities and relations, respectively. Each triple (h,r,t) indicates that the head entity h and the tail entity t have a relation t between them.

**BYOL**: **Bootstrap Your Own Latent** We apply the BYOL[9] approach to KG to overcome the challenge of getting high-quality negative samples. By bootstrapping the delayed latent representation, the BYOL provides an effective way to learn the representations of images without using negative samples.

There are two encoders in BYOL: the online encoder  $f_{\theta}$  and the target encoder  $f_{\phi}$ . Both encoders have the same structure, but with different parameters. Given the image x, BYOL produces the two

augmented views of images (v,v') by applying each augmentation method, and these augmented images are fed into each encoder, respectively. Online and target encoders generate their representations  $y_{\theta} = f_{\theta}(v)$ ,  $y'_{\phi} = f_{\phi}(v')$  and projections  $z_{\theta} = g_{\theta}(y_{\theta})$ ,  $z'_{\phi} = g_{\phi}(y'_{\phi})$ , successively. However, only the online encoder applies a prediction  $q_{\theta}(z_{\theta})$ , which makes an asymmetric between the online and the target network. Finally,  $\mathcal{L}_{\theta,\phi} = \|\overline{q_{\theta}}(z_{\theta}) - \overline{z'}_{\phi}\|_2^2$  is an loss function, where  $\overline{q_{\theta}}(z_{\theta})$  and  $\overline{z'}_{\phi}$  is the l2-normalized term of  $q_{\theta}(z_{\theta})$  and  $z'_{\phi}$ . To symmetrize the loss, it computes the  $\tilde{\mathcal{L}}_{\theta,\phi}$  by exchanging the augmented input images of each encoder, i.e., v' to online encoder  $f_{\theta}$  and v to the target encoder  $f_{\phi}$ . The final objective function is defined as  $\mathcal{L}_{\theta,\phi}^{BYOL} = \mathcal{L}_{\theta,\phi} + \tilde{\mathcal{L}}_{\theta,\phi}$  and it is minimized by a stochastic optimization at each iteration steps, with respect to parameter  $\theta$ , while the  $\phi$  is updated by an exponential moving average of  $\theta$ .

# 2.2 Bootstrapped Knowledge graph Embedding based on Neighbor Expansion(BKENE)

We propose a novel self-supervised framework, Bootstrapped Knowledge graph Embedding based on Neighbor Expansion(BKENE). The overall BKENE framework is shown in Figure 1.

#### 2.2.1 Encoder with Bootstrapped Embeddings.

Instead of using augmentation, to get the two semantically similar views, we define a Graph Neural Network (GNN)-based encoders :  $f_{\theta}(\cdot), f_{\phi}(\cdot)$ , which have same architecture with different sets of parameters. Each encoder gets the original graph  $\mathcal G$  as input and computes the online and target representation of each node  $h_i^{\theta}$   $h_i^{\phi}$ , respectively, for  $v_i \in \mathcal E$ . We differently define the set of neighbors in each encoder to obtain two different representations for each node. Encoder  $f_{\theta}$  aggregates only the information from neighbor nodes directly connected to a given node. While, the other encoder  $f_{\phi}$  includes not only directly connected nodes but also multi-hop neighbor nodes and their associated relations, using multi-hop relation representation. We also assign importance to the triples associated with a given node by using an attention mechanism.

**Relation Path-based Triple Representation** To represent the semantics of a multi-hop relation in KG, we define a path  $p = (r_1, r_2, ..., r_n)$  that is composed of multiple relations. We compute the path embedding using 3 different semantic composition operations proposed by [13]: Addition(Add):  $p = r_1 + ... + r_n$ , Multiplication(Mult):  $p = r_1 \cdot ... \cdot r_n$ , Recurrent Neural Network(RNN):  $p = c_n, c_n = f(W[c_{n-1}; r_n]), (c_1 = r_1)$ 

To learn the new embedding of entity  $e_i$  that aggregates the information from neighbors, representations of triples related to  $e_i$  are also learned. To obtain the vector representation of triples, we concatenate the initialized entity and relation embedding of each triple. We define a path-based triple  $t_{ij}^k$ , and its embedding  $c_{ijk}$ .

$$t_{ij}^{k} = (e_i, p_k, e_j), p_k = (r_1, r_2, ..., r_k)$$
 (1)

$$c_{ijk} = W_1 \left[ h_i \parallel h_j \parallel g_k \right] \tag{2}$$

, where  $c_{ijk}, h_i, h_j, g_k$  represent the vector embedding of  $t_{ij}^k, e_i, e_j, p_k$ , respectively, and  $W_1$  denotes the linear transformation.

**Expanded Neighbor Aggregation with Attention** In KGs, there can be various relation paths between  $e_i$  and  $e_j$ . Thus, we adapt the graph attention layer[15] so that we can assign a different attention score  $\alpha_{ijk}$  to each path-based triple  $c_{ijk}$ . To calculate the attention values we use the softmax function on the output of equation 2 and applied a linear transformation  $W_2$  and the LeakyRelu function.

$$\alpha_{ijk} = \frac{exp(LeakyReLU(c_{ijk}W_2))}{\sum_{j \in N_i} \sum_{k \in P_{ij}} exp(LeakyReLU(c_{ijk}W_2))}$$
 (3)

, where  $N_i$  represents the set of neighbors of an entity  $e_i$  and  $P_{ij}$  denotes the set of relation paths between an entity  $e_i$  and  $e_j$ .

Based on our encoder structure, each encoder  $f_{\theta}(\cdot)$ ,  $f_{\phi}(\cdot)$ , has different  $N_i$  and  $P_{ij}$  represented as  $N_i^{\theta}$ ,  $N_i^{\phi}$ ,  $P_{ij}^{\theta}$ ,  $P_{ij}^{\phi}$ , respectively. For encoder  $f_{\theta}(\cdot)$ , we define  $N_i^{\theta}$  as a set of nodes directly connected to  $e_i$ . By the definition of the  $N_i^{\theta}$ ,  $P_{ij}^{\theta}$  can be defined as a set of 1-hop relations between node  $e_i$  and  $e_j$ . While, in the encoder  $f_{\phi}(\cdot)$ , we define the  $N_i^{\phi}$  as a set of nodes in an n-hop relationship with node  $e_i$ . Following by  $N_i^{\phi}$ ,  $P_{ij}^{\phi}$  is denoted as an set of relation paths consisting of one or more connected relations.

$$h_{i}^{\theta'} = \sigma \left( \sum_{j \in N_{i}^{\theta}} \sum_{k \in P_{ij}^{\theta}} \alpha_{ijk} c_{ijk} \right), h_{i}^{\phi'} = \sigma \left( \sum_{j \in N_{i}^{\phi}} \sum_{k \in P_{ij}^{\phi}} \alpha_{ijk} c_{ijk} \right)$$
(4)

Finally, to get node representation, we aggregate the neighbor information according to their attention scores and apply the non-linearity activation as equation 4. Instead of using arbitrary graph augmentation, we obtain two different representations for each node created by two different encoders, one of which uses neighbor expansion.

# 2.2.2 Relation-Aware Objective Function.

We propose an objective function that incorporates the relation information of KG by representing the relation as a translation between two entities in the vector space. Our objective function aims to minimize the cosine similarity between the query entity  $e_i$  and its translated representation  $||e_j + p_k||$  based on path-based triple  $t_{ij}^k = (e_i, p_k, e_j)$  as we define on section 2.2.1.

$$\mathcal{L}_{\theta,\phi} = -\frac{1}{N} \sum_{i=0}^{N-1} \sum_{j \in N_i} \sum_{k \in P_{ij}} \frac{z_i^{\theta}(h_j^{\phi} + g_k^{\phi})}{\|z_i^{\theta}\| \|h_i^{\phi} + g_k^{\phi}\|}$$
 (5)

,where  $q_{\theta}(\cdot)$  is a predictor network and  $z_i^{\theta} = q_{\theta}(h_i^{\theta})$  is the prediction of the online embedding  $h_i^{\theta}$ . Also,  $N_i$ ,  $P_{ij}$  denote the set of neighbors for an entity  $e_i$  and the set of relation paths between head  $e_i$  and tail  $e_j$  entities, respectively. To symmetrize this loss function, we also calculate the  $\tilde{\mathcal{L}}_{\theta,\phi}$ , by swapping the definition of neighbor of the two encoders. Therefore, the final object of our model is to minimize the  $\mathcal{L}_{\theta,\phi}^{BKENE} = \mathcal{L}_{\theta,\phi} + \tilde{\mathcal{L}}_{\theta,\phi}$ .

**Updating Parameters** In our method, the online network is updated based on the gradients of the objective function(Equation 5) with respect only to online parameters  $\theta$ . While the target network, with a set of parameters  $\phi$ , is updated as an exponential moving average of  $\theta$ , where  $\eta$ ,  $\tau$  is the learning rate of the online network and the decaying rate, respectively.

$$\theta \leftarrow optimizer\left(\theta, \nabla_{\theta} \mathcal{L}_{\theta, \phi}^{BKENE}, \eta\right), \phi \leftarrow \tau \phi + (1 - \tau)\theta \quad \ (6)$$

#### 2.2.3 Decoder.

Among many existing knowledge graph completion methods, we adapt the ConvE[7] as a decoder, which is considered robust under the different evaluation protocols[20]. ConvE[7] uses 2D convolutions on KGE based on the head and relation pair query. The triple (h,r,t) is scored as:

$$f(e_h, e_t) = f(vec(f([\bar{e_h} : \bar{r_r}] * \omega))W)e_t \tag{7}$$

,where  $r_r \in \mathbb{R}^k$  is a relation parameter related to  $\mathbf{r}$ ,  $\bar{e_h}$ ,  $\bar{e_t}$  denote a 2D shaping of  $e_h$ ,  $e_t$ , respectively, with  $\omega$  that denotes the convolution filter and linear transformation matrix  $W \in R^{cmn \times k}$ , where c denote the number of 2D feature maps with dimension m and n, and k denotes the entity embedding dimension.

### 3 EXPERIMENTS

# 3.1 Datasets

We conducted experiments for the KG link prediction task on the two most popular public datasets: FB15K-237 and WN18RR. Table 1 summarizes the statistical information of the two datasets.

**Table 1: Dataset Statistics** 

Datasets	#Entities	#Relations	#Training	#Validation	# Test	Mean in-degree
FB15K-237	14,541	237	272,115	17,535	20,466	18.71
WN18RR	40,943	11	86,835	3,034	3,134	2.12

# 3.2 Experimental Setup

**3.2.1 Implement Details.** The embeddings of the entities and relations in KG are initialized with 100 dimensions of pre-trained embeddings used in [20]. The model is trained by a 2 layer graph attention-based encoder with 2 multi-head. After performing a grid search for hyperparameters, we use PReLu activation on each layer and set the hidden dim of embeddings as 200, and the learning rate and decaying rate are set as  $\eta = 0.001$ ,  $\tau = 0.99$ , respectively. We adopt Adam optimization to our models with a full-batch setting.

	FB15K-237				WN18RR					
	MR	MRR	Hits@1	Hits@3	Hits@10	MR	MRR	Hits@1	Hits@3	Hits@10
TransE[3]	323	0.279	0.198	0.376	0.441	2300	0.243	0.044	0.441	0.532
DistMult[28]	512	0.281	0.199	0.301	0.446	7000	0.444	0.412	0.470	0.504
ComplEx[22]	339	0.247	0.158	0.257	0.428	5261	0.440	0.440	0.460	0.510
ConvE[7]	244	0.325	0.237	0.356	0.501	4187	0.430	0.400	0.440	0.520
SACN[18]	_	0.350	0.260	0.390	0.540	_	0.470	0.430	0.480	0.540
ConvR[11]	_	0.350	0.261	0.385	0.528	_	0.475	0.443	0.489	0.537
MuRE[2]	_	0.336	0.245	0.370	0.521	_	0.475	0.436	0.487	0.554
RotaE[19]	<u>177</u>	0.338	0.241	0.375	0.533	3340	0.476	0.428	0.492	0.571
InteracE[24]	172	0.354	0.263	_	0.535	3340	0.476	0.428	_	0.528
ReInceptionE[27]	173	0.349	_	_	0.528	1894	0.483	_	_	0.582
CompGCN[23]	197	0.355	0.254	0.390	0.535	3533	0.479	0.443	0.493	0.546
KBGAN[5]*	_	0.293	_	_	0.466	_	0.181	_	_	0.432
NSCaching[29]*	_	0.299	_	_	0.476	_	0.200	_	_	0.478
SANS[1]*	_	0.298	_	_	0.485	_	0.231	_	_	0.534
BKENE(ours)	240	0.381	0.298	0.429	0.570	3746	0.484	0.445	0.512	0.584

Table 2: Results of the Link Prediction task on FB15K-237 and WN18RR test datasets. \* denotes that each result is taken from [1], while the others directly come from the corresponding papers. Best results are highlighted in bold, whereas second-best results are underlined.

We train our encoder with 3200 epochs in FB15K-237 and 3600 epochs in WN18RR, while the decoder with at least 200 epochs.

**3.2.2 Evaluation Metrics.** The link prediction task aims to predict the elements that are missing from the test triples. A ranking process is used to evaluate the results from the link prediction task. Following the fair evaluation environment used in [20], we perform our model in a "filtered setting" with the random protocol. To evaluate our model, we utilize ranking metrics including Mean Rank (MR), Mean Reciprocal Rank (MRR), and the proportion of correct entities ranking in the top N, Hits@N(N=1, 3, 10). In general, a higher MRR, Hits@N, and a lower MR imply better performance.

# 3.3 Results and Analysis

We compare the performance of our BKENE on link prediction tasks with various KGE models from conventional models (TransE[3], DistMult[28], ComplEx[22], ConvE [7]) to current state-of-the-art models (SACN[18], ConvR[11], MuRE[2],InteractE[24], RoatE[19] ReInceptionE[27], and CompGCN[23]). We also compared our BKENE with the models presenting the negative sampling method applied to the TransE scoring function : KBGAN[5], NSCaching[29], SANS[1].

From Table 2, we can see that our proposed BKENE outperforms the existing SOTA KGE models. BKENE also shows the best results in FB15K-237 and WN18RR with MRR and Hits@1, 3, 10. Also, compared with negative sampling methods (KBGAN[5], NSCaching[29], SANS[1]), our model achieves significant improvements at MRR and Hits@10. This implies that our novel self-supervised framework can capture the semantics of KG effectively with only positive samples. Especially, in FB15K-237, BKENE shows 0.570 - 0.540 = 0.03improvements compared with the second-best performance model, while it shows 0.584 - 0.582 = 0.002 improvements on WN18RR. It shows that BKENE is more effective on FB15K-237, which includes more relations and is much denser than WN18RR. We attribute the excellence of our model to its ability to capture the semantics of KG, by expanding the range of neighbors, rather than using arbitrarily generated negative samples. Also, the model can learn more latent features by using a decoder.

Table 3: Performance of BKENE with using different semantic composition operators.

	FB1	5K-237	WN18RR		
	MRR	Hits@10	MRR	Hits@10	
BKENE(Add, 2-hop)	0.381	0.570	0.484	0.584	
BKENE(Mult, 2-hop)	0.379	0.563	0.471	0.558	
BKENE(RNN, 2-hop)	0.361	0.553	0.464	0.543	
BKENE(Add, 3-hop)	0.378	0.565	0.473	0.561	

The performances of each BKENE, implementing a relation path using three different semantic composition operators, are presented in Table 3. In both MRR and Hits@10, the addition operation outperforms the other two operations. Our models appear to benefit more from the addition operation. We also compare a BKENE applying 2-hop relation with a BKENE applying 3-hop relation. We found that the performance was degraded when the 3-hop relation path is applied, which indicates that a long relation path can contain unnecessary information in KGE.

# 4 CONCLUSION

We have introduced BKENE, a novel self-supervised knowledge graph embedding method. BKENE avoids the need for negative sampling, which can significantly impact the performance of KGE. Our BKENE generates another view of KG by defining the range of neighboring nodes differently by using neighbor expansion, instead of arbitrary augmentation. This model also utilizes relation information to minimize cosine similarity between representation of nodes and translated representations of these nodes. In WN18RR and FB15K-237 datasets, our model outperforms existing models on knowledge graph link prediction.

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