

Dynamic Seat Assignment with Social Distancing

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Abstract

Social distancing has been broadly recognized and practiced as a non-pharmaceutical way to contain the spread of infectious diseases. In this study, we consider the dynamic seat assignment problem with social distancing, where groups arrive dynamically.

During a pandemic, the government may issue minimum physical distance requirements between people, which must be respected in the seating assignment. This problem becomes further complicated by the existence of groups of guests who will be seated together. To address this challenge, we provide an seat assignment policy to place arriving groups with given rows of seats.

Firstly, we develop the scenario-based method to generate a seat planning with stochastic demands of groups. When one group arrives, we decide to accept or deny it according to some certain rules.

Our results provide valuable insights for policymakers and venue managers regarding seat utilization rates and offer a guideline for policies related to social distancing measures. Overall, our proposed approach provides a practical tool for venues to implement social distancing measures while optimizing seat assignments and ensuring the safety of groups.

Keywords: Social Distancing, Stochastic Programming, Seat Assignment, Dynamic Arrival.

1 Introduction

Governments worldwide face a significant challenge in reducing the spread of the virus while minimizing the economic impact. Social distancing has been implemented by many companies and countries as the most effective non-pharmaceutical treatment to reduce the health effects of Covid-19. For instance, in March 2020, the Hong Kong government implemented restrictive measures such as banning indoor and outdoor gatherings of more than four people, requiring restaurants to operate at half capacity and set tables 1.5 meters apart. As the epidemic worsened, the government tightened measures by limiting public gatherings to two people per group (except for members of the same family) in July 2020.

As the epidemic subsided, the Hong Kong government gradually relaxed social distancing restrictions, allowing public group gatherings of up to four people in September 2020. In October 2020, pubs were allowed to serve up to four people per table, and restaurants could serve up to six people per table.

However, subsequent policy changes will be made based on the development of the Covid-19 situation, including daily confirmed cases, in Hong Kong.

The catering businesses (excluding bars and pubs, which will be closed) will have different social distancing requirements depending on their mode of operation for dine-in services. They can operate at 50%, 75%, or 100% of their normal seating capacity at any one time, with a maximum of 2, 2, or 4 people per table, respectively. Bars and pubs may open every day from 5am to 1.59am of the subsequent day with a maximum of 6 persons per table and a total number of patrons capped at 75% of their capacity.

The restrictions on the number of persons allowed in premises such as cinemas, performance venues, museums, event premises, and religious premises will remain at 85% of their capacity. Patrons may eat and drink in cinema houses. These measures mainly focus on limiting the number of people in each group and the seat occupancy rate.

Generally speaking, there are two ways to purchase tickets for concerts or movies: no seat selection when booking and seat selection when booking. We consider the following dynamic demand situations, seat assignment after booking period and seat assignment during booking period.

For seat assignment after booking period, the seat assignment will not be made immediately. Instead, the decision-maker must either accept or reject each request during the making-reservation stage. After the reservation deadline, the seller will inform the customers of the seat layout information before admission. For example, in singing concert venues with many seats and high ticket demand, organizers usually do not determine the seats when booking and then inform customers of the seat information after the overall seat layout is determined.

In contrast, for seat assignment during booking period, the specific procedure will be changed to meet the requirements of social distancing. The seat assignment will be arranged before groups book their tickets, and the groups will only need to choose seats of the corresponding size when booking. For example, in movie theaters or small concerts with relatively few seats, the attendance rate is usually low enough to allow free selection of seats directly online. Early seat planning can satisfy the requirement of social distancing and save costs without changing seat allocation. The seat assignment could remain for one day because the same film genre will attract the same feature of different group types.

Our study mainly focuses on the latter situation where customers come dynamically, and the seat assignment needs to be made immediately without knowing the number and composition of future customers. In Section 6, we also consider the situation where the seat assignment can be made after the booking period.

In this paper, we address the dynamic seating assignment problem with a given set of seats in the context of a pandemic. The government will issue a maximum of the number of people each group and a maximum of percent of the capacity, which must be implemented in the seating plan. The problem becomes further complicated by the existence of groups of guests who can sit together. To address this challenge, we develop a mechanism for seat planning, which includes a model to assess the riskiness of a seating plan and a solution approach to balance seat utilization rates and the associated risk of infection. Our proposed algorithm has the potential to help companies and governments optimize seat assignments while maintaining social distance measures and ensuring the safety of groups.

Our main contributions in this paper are summarized as follows:

First, this study presents the first attempt to consider the arrangement of seat assignments with social distancing under dynamic arrivals. While many studies in the literature highlight the importance of social distance in controlling the spread of the virus, they often focus too much on the model and do not provide much insight into the operational significance behind social distance [1, 8]. Recent studies have explored the effects of social distance on health and economics, mainly in the context of aircraft [9, 19, 20]. Our study provides a new perspective to help the government adopt a mechanism for setting seat assignments to protect people in the post-pandemic era.

Second, we establish a deterministic model to analyze the effects of social distancing when the demand is known. Due to the medium size of the problem, we can solve the IP model directly. We then consider the stochastic demand situation where the demands of different group types are random. By using two-stage stochastic programming and Benders decomposition methods, we obtain the optimal linear solution.

Third, to address the dynamic scenario problem, we first obtain a feasible seating plan using scenario-based stochastic programming. We then make a decision for each incoming group based on a nested policy, either accepting or rejecting the group. Our results demonstrate a significant improvement over a first-come first-served baseline strategy and provide guidance on how to develop attendance policies.

This study focuses on dynamic seat assignment with social distancing, where a one-seat distance must be maintained between different groups. Our goal is to obtain the final seating plan that satisfies social distancing constraints and implement the seat assignment when groups arrive. Overall, our proposed approach provides a comprehensive solution for dynamic seat assignment with social distancing, taking into account both deterministic and stochastic demand scenarios.

The rest of this paper is structured as follows. The following section reviews relevant literature. We describe the motivating problem in Section 3. In Section 4, we establish the stochastic model, analyze its properties and give the seating planning. Section 5 demonstrates the dynamic seat assignment during booking period and after booking period. Section 6 gives the results. The conclusions are shown in Section 7.

2 Literature Review

The present study is closely connected to the following research areas- seat assignment with social distancing, group seat reservation and dynamic seat assignment. The subsequent sections review literature pertaining to each perspective and highlight significant differences between the present study and previous research.

2.1 Seat assignment with social distance

While social distancing is a recognized and practiced method for containing the spread of infectious diseases [18], operational guidance for its implementation is still lacking, particularly in social distance measures that involve operational details. An example of such a measure is ensuring social distancing in seating plans.

Social distancing in seat assignment has attracted considerable attention from the research coterie since pandemics. [3] presents a tool for classroom layout planning that considers social distancing during the COVID-19 pandemic. The tool addresses both fixed and non-fixed position seat allocation problems, utilizing integer optimization models and circle packing techniques.

[9] proposes a model to minimize the economic impact of COVID-19 on businesses that have implemented social distancing measures, while also minimizing the health impact on customers and employees. The study applies the model to the scenario of aircraft seat layouts using the Annealing Monte Carlo technique, demonstrating the necessity of using the model to find optimal arrangements of passengers.

[11] discusses the potential implications of seat inventory management in long-distance passenger trains and proposes a novel seat assignment policy that aims to reduce virus diffusion risk among passengers by minimizing interaction. A mixed-integer linear programming problem is formulated to simultaneously maximize operator revenue and minimize virus diffusion, and tested using real-life data from Indian Railways.

[8] proposes a parallel between this problem and that of locating wind turbines in an offshore area, allowing for the application of Mathematical Optimization algorithms to produce optimized facility layouts that minimize the overall risk of infection among customers.

[12] proposes a novel seating assignment policy that minimizes virus spread risk while maximizing revenue for a train operating company. The proposed mixed-integer programming model considers several factors that govern virus spread and includes valid inequalities and preprocessing steps to strengthen the formulation. The model is evaluated using real-life data from Indian Railways, demonstrating a significant reduction in the risk of contagion and an increase in seat utilization compared to the current approach employed by operators.

However, these researchs focus on the static version of the problem. This typically involves creating an IP model with social distancing constraints, which is then solved either heuristically or directly. However, the social distancing constraint in their works- which requires individuals to maintain a certain distance from one another - means that a fixed seating layout cannot fully utilize available space and

may not be practical for commercial use.

Meanwhile, for seat assignment during booking period, once the group is accepted, the seats should be assigned and will not be changed.

As we transition into the post-pandemic era, many people may still be concerned about the risk of contracting the virus. This may lead to hesitancy in attending social events or gatherings that require close proximity to others.

Maintaining social distancing can be challenging in crowded public spaces, which can cause further anxiety for individuals. Meanwhile, social distancing measures can have a significant economic impact, especially on businesses that rely on large crowds or close contact between individuals.

However, if organizers can develop a scheme that balances the need for social distancing with economic considerations, it could help to alleviate people's concerns and protect their health. Such a scheme would go a long way in dispelling people's doubts and fears. Although social distance has reduced some seat income, people have less potential concerns and are more willing to buy tickets.

2.2 Group seat reservation

The group seat reservation policy, which ensures members of the same family or group are seated together, has various applications in industries such as hotels [16], working spaces [8], public transport [6], sports arenas [14], and large-scale events [15]. This policy has significant impacts on passenger satisfaction and revenue, with the study [21] showing that passenger groups increase revenue by filling seats that would otherwise be empty. Traditional works [4, 6] in transportation focus on maximizing capacity utilization or reducing total capacity needed for passenger rail, typically modeling these problems as knapsack or binpacking problems.

In contrast, there is a lack of research on group seat reservations for booking tickets for cinemas, where available seats are typically displayed for customers to choose from for low-demand movie tickets. For concerts with high demand, it is usually not possible to choose seats independently, and the organizer will inform seat information after confirming the order. However, the COVID-19 pandemic has shed new light on the potential benefits of group reservations, as they can improve revenue without increasing the risk of infection.

Fischetti et al. [8] proposed a seating assignment policy for groups of customers entering restaurants or amphitheaters, with the objective of minimizing transmission risk by minimizing interactions. Dunder and Karakose [7] proposed a two-stage algorithm for classroom seat assignment during the pandemic, with the first phase maximizing total allocations and the second phase maximizing the minimum interpersonal distance between students. Salari et al. [19] performed group seat assignment in airplanes during the pandemic and found that increasing passenger groups can yield greater social distance than single passengers. Similarly, Moore et al. [17] suggest that relaxing social distancing norms within a family may increase occupancy without an significant increasing in virus transmission risk.

2.3 Dynamic seat assignment

Dynamic seat assignment is a process of assigning seats to passengers on a transportation vehicle, such as an airplane, train, or bus, in a way that maximizes the efficiency and convenience of the seating arrangements [2, 10].

[13] proposes a revenue management approach for high-speed rail (HSR) passenger ticket assignment with dynamic adjustments. The approach integrates short-term demand forecasting, ticket assignment, and dynamic ticket adjustment mechanisms to allocate passenger tickets during presale periods and avoid situations where tickets are insufficient at some stations while seats remain empty.

[22] addresses a revenue management problem arising from the selling of high-speed train tickets in China, where each request must be assigned to a single seat throughout the entire journey. The authors propose a modified network revenue management model and introduce a bid-price control policy based on a novel maximal sequence principle. They also propose a "re-solving a dynamic primal" policy that achieves uniformly bounded revenue loss. The study reveals connections between this problem and traditional network revenue management problems and shows that the impact of the assign-to-seat restriction can be limited with the proposed methods.

Dynamic seat assignment is also used in cinemas and concert venues to optimize the seating arrangements for the audience, with the goal of providing the best possible experience for each audience member while maximizing ticket sales and revenue for the venue.

However, implementing dynamic seat assignment with social distance in cinemas and concert venues presents several challenges. The primary challenge is to balance the need for safety with the desire to maximize revenue for the venue. This requires careful consideration of various factors, such as the layout of the venue, the number of available seats, and the preferences of the audience. To implement dynamic seat assignment with social distance, venues may need to adjust their seating plans to accommodate the recommended distance between audience members. This may involve reducing the overall number of seats or reconfiguring the seating arrangements.

Implementing dynamic seat assignment with social distance can be done manually by the staff or through automated systems that use algorithms to optimize the seat assignments based on various factors, such as ticket sales, seat availability, and customer preferences. However, the implementation of social distance measures poses unique challenges that require careful planning and consideration of various factors to balance safety with revenue generation.

Despite these challenges, dynamic seat assignment with social distance is a promising approach to help ensure the safety of audience members in cinemas, concert venues, and other public spaces during the COVID-19 pandemic.

Dynamic Knapsack Problem? Revenue management?

3 Problem Description

In this section, we first consider the seat planning problem with social distance. Then we introduce the dynamic seat assignment problem with social distance.

3.1 Seat Planning Problem with Social Distance

We consider a set of groups, each of which consists of no more than m people, to be assigned to a set of seats. There are m different group types, with group type i having a size of s_i , where $1 \leq i \leq m$. These groups can be represented by a demand vector, denoted by $\mathbf{d} = (d_1, \dots, d_m)$. Each element d_i , where $1 \leq i \leq m$, indicates the number of group type i containing i people. For illustration, we consider a layout consisting of N rows, each containing S_j seats, where $j = 1, \dots, N$.

In accordance with epidemic prevention requirements, customers from the same group are allowed to sit together, while different groups must maintain social distancing which can be one seat or more seats. We consider the social distance of one empty seat throughout the rest of this paper, which is more practical and reasonable in the seat planning. However, our methods are still applicable to the social distance of two or more seats.

Specifically, each group must leave one seat empty to maintain social distancing from adjacent groups. Additionally, different rows do not affect each other, meaning that a person from one group can sit directly behind a person from another group.

To achieve the social distancing requirements in the seat planning process, we add one to the original size of each group to create the new size of the group. We also add one dummy seat to each row. Let $s_i = i + 1$ denote the new size of group type i , and let $L_j = S_j + 1$ denote the length of row j , where S_j represents the number of seats in row j .

Then we can illustrate the seat planning for one row below.



Figure 1: Problem Conversion

On the left side of the diagram, the blue squares represent the empty seats required for social distancing, while the orange squares represent the seats occupied by groups. On the right side, we have added one dummy seat at the end of each row. The orange squares surrounded by the red line represent the seats taken by groups in this row, which includes two groups of 1, one group of 2, and one group of 3.

By incorporating the additional seat and designating certain seats for social distancing, we can integrate social distancing measures into the seat planning problem.

Now, we analyse the effect of introducing social distancing for each row. At first, we consider the types of pattern, which refers to the seat planning for each row. For each pattern k , we use α_k, β_k to indicate the number of groups and the left seats, respectively. Denote by $l(k) = \alpha_k + \beta_k - 1$ the loss

for pattern k . The loss represents the number of people lost compared to the situation without social distancing.

Let I_1 be the set of patterns with the minimal loss. Then we call the patterns from I_1 are largest. Similarly, the patterns from I_2 are the second largest, so forth and so on. The patterns with zero left seat are called full patterns. We use the descending form (t_1, t_2, \dots, t_k) to represent a pattern, where t_i is the new group size.

Example 1. *The length of one row is $L = 21$ and the new size of groups be $L = [2, 3, 4, 5]$. Then these patterns, $(5, 5, 5, 5, 1)$, $(5, 4, 4, 4, 4)$, $(5, 5, 5, 3, 3)$, belong to I_1 . The demand is $[10, 12, 9, 8]_d$.*

To represent a pattern with a fixed length of form, we can use a $(m+1)$ -dimensional vector with m group types. The aggregated form can be expressed as $[n_0, n_1, \dots, n_m]$, where n_i is the number of i -th group type, $i = 1, \dots, m$. n_0 is the number of left seat, its value can only be 0, 1 because two or more left seats will be assigned to groups. Thus the pattern, $[1, 0, 0, 0, 4]$, is not full because there is one left seat.

Suppose u is the size of the largest group allowed, all possible seats can be assigned are the consecutive integers from 2 to u , i.e., $[2, 3, \dots, u]$. Then we can use the following greedy way to generate the largest pattern. Select the maximal group size, u , as many as possible and the left space is assigned to the group with the corresponding size. Let $L = u \cdot q + r$, where q is the number of times u selected. When $r > 0$, there are $d[0][u-r][q+1]$ largest patterns with the same loss of q . When $r = 0$, there is only one possible largest pattern.

Use dynamic programming to solve. $d[k][i][j]$ indicates the number of assignment of using i capacity to allocate j units, k is the number of capacity allocated on the last unit. In our case, $u-r$ is the capacity need to be allocated, $q+1$ is the number of units which corresponds to the groups. Notice that we only consider the number of combinations, so we fix the allocation in ascending order, which means the allocation in current unit should be no less than the last unit.

The number of largest patterns equals the number of different schemes that allocate $u-r$ on $q+1$ units, i.e., $d[0][u-r][q+1]$.

The recurrence relation is $d[k][i][j] = \sum_{t=k}^{i-k} d[t][i-k][j-1]$. When $i < k$, $d[k][i][j] = 0$; when $i \geq k$, $d[k][i][1] = 1$.

Lemma 1. *When given the length of row, L , and the size of the largest group allowed, u . Let $L = u \cdot q + r$, then the loss of the largest pattern is $q - f(r)$, where $f(r) = 1$ if $r = 0$; $f(r) = 0$ if $r \neq 0$.*

Lemma 2. *The seat assignment made up of the largest patterns is optimal.*

This lemma holds because we cannot find a better solution occupying more seats. When the demand can meet that the largest patterns can be generated in all rows, an optimal seat assignment can be obtained.

Proposition 1. *For a seat layout, $\{S_1, S_2, \dots, S_N\}$, the total loss is $\sum_j (\lfloor \frac{S_j+1}{u} \rfloor - f((S_j+1) \bmod u))$. The maximal number of people assigned is $\sum_j (S_j - \lfloor \frac{S_j+1}{u} \rfloor + f((S_j+1) \bmod u))$.*

3.2 Dynamic Seat Assignment with Social Distance

Now consider the scenario where groups arrive dynamically, the decision-maker must determine whether to accept or reject each group and assign them to empty seats while ensuring that the social distancing constraint is met. Once the seats are confirmed and assigned to a group, they cannot be changed.

We use a vector $\mathbf{S} = (S_1^r, S_2^r, \dots, S_N^r)$ to record the remaining capacity of rows, where S_j^r represents the number of remaining seats in row j . Let $V_t(\mathbf{S})$ denote the maximal expected value to go at period t with capacity of rows. Let $\mathbf{w} = (s_1 + 1, \dots, s_m + 1)$ be the number of seats occupied by each group type. There are T periods and the arrival probability of the group type i in each period is p_i . In every period, the group can decide which row to sit.

The dynamic programming formulation for this problem is

$$V_t(\mathbf{S}) = E_{p_i} \left[\max_{k \in N: S_k \geq s_i + 1} \{ [V_{t-1}(\mathbf{S} - (\mathbf{w} \circ \mathbf{e}_i)U_k) + s_i], V_{t-1}(\mathbf{S}) \} \right], \mathbf{S} \geq \mathbf{0}, V_{T+1}(\mathbf{S}) = 0,$$

where \mathbf{e}_i is the unit vector whose i -th element is 1. U_k is a matrix of m by n with all elements in column k being 1. \circ is the element-wise product. Initially, we have $\mathbf{S}_T = (S_1, S_2, \dots, S_N)$.

As we can observe, the dynamic programming algorithm has to make a decision on which row to assign group type i . This leads to the curse of dimensionality due to the numerous seating plan combinations. To avoid this complexity, we propose an approach that directly targets the final seating plan and then formulates a policy for assigning groups.

To obtain the final seat planning firstly, we develop the scenario-based stochastic programming.

3.3 Timeline

19.01.2023 The Hong Kong Government announced the end of isolation requirements for COVID-19 patients

18.10.2022 Starting from this day, Hong Kong loosened the restrictions further, increasing the number of diners per table from 8 to 12. The circuit breaker mechanism for cruises has also been revoked

19.05.2022 Starting from today, the second stage of relaxation in Hong Kong social distancing measures will be implemented as scheduled. That includes extension of the dinnertime dine-in service hours in catering premises, allowing bars/pubs as well as other scheduled premises regulated under the Prevention and Control of Disease (Requirements and Directions) (Business and Premises) Regulation (Cap. 599F) which are currently closed, and allowing eating and drinking in cinemas etc

07.01.2022 Starting from this day, Hong Kong tightened their social distancing measures, including the closure of pubs & bars, amusement game centres, bathhouses, fitness centres, places of amusement and party rooms. All events and dine-in services at catering businesses are instructed to end at 6 pm.

The Hong Kong government issued a statement, stating that the banquet on 3 January with several officials attended, may involve another preliminary positive case who attended the event from 6 pm to 8 pm. If the case is confirmed eventually, all participants will be required to undergo quarantine. Some

reports revealed there were more than 200 participants in the banquet and some of them didn't wear masks

05.01.2022 HK new guidelines and rules:

The Hong Kong Government announced new guidelines starting from January 7th, 2022: Dine-in services are banned from 6 PM to 5 AM. Fifteen types of venues such as bars, gyms, theme parks and swimming pools will be shut. Starting from February 15th, Italy also tightened its workplace vaccine rules that anyone aged over 50 who are working must present a health pass proving they have been vaccinated or currently recovering from COVID-19

28.09.2021

The Hong Kong government announced most of the social distancing measures will remain unchanged for two weeks. Meanwhile, the maximum number of people allowed per banquet in catering businesses adopting the Type D mode of operation will be relaxed to 240

18.02.2021 Hong Kong government begins to ease its social distancing measures:

Dine-in services at restaurant can be extended by four hours until 10 pm The public group gatherings limit rises from two people to four people Gyms and theme parks can now reopen, but bars, clubs, and karaoke lounges will remain closed

10.12.2020 The Hong Kong Government continues to tighten social distancing restrictions to cope with the fourth wave of COVID-19 infections: Social gathering that includes more than a group of two people is banned. No dine-in services are allowed after 6:00 pm. Gym, beauty salons, amusement parks, swimming pools and other premises are also closed.

23.11.2020 Hong Kong entered a fourth wave of COVID-19 outbreak with over 600 cases linked to the dance club cluster: Hong Kong closed all kindergartens and primary schools as a response to COVID-19 cases increasing. Plans for the Hong Kong-Singapore travel bubble has been put on hold. Hong Kong government launched a contact tracing initiative in a mobile app format to track untraceable infections.

30.10.2020 Hong Kong government continues to ease social distance rules as COVID-19 cases decline: Restaurants can now offer dine-in services to 2 AM. Pubs are allowed to serve up to 4 people per table and restaurants can serve up to 6 people per table. The maximum of public gathering remains unchanged at 4 people. France imposed a second national lockdown in the country due to the surge of COVID-19 cases. People are required to stay at home, no social gathering is allowed

18.09.2020 Hong Kong government continues to ease social distancing restrictions as cases decline: Dine-in services at catering premises are extended to 12 pm. Some indoor premises can reopen, includes bars, karaoke, theme parks.

11.09.2020 Hong Kong government further relaxes social distancing restrictions: Public group gathering limit rises from two to four people. Wearing a mask is no longer mandatory for outdoor exercise.

04.09.2020 Hong Kong government further relaxes social distancing restrictions: Restaurants can now offer dine-in services until 10 pm. Some indoor premises are allowed to reopen, including gyms, and massage parlours.

28.08.2020 Hong Kong government lifts the social distancing restrictions gradually: Restaurants can

now offer dine-in services to 9 pm. Beauty salons, cinemas, and some outdoor sports venues can now reopen.

27.07.2020 As a result, the Hong Kong government announces a series of new measures that will come into effect from July 29th: Gathering in public will be limited to only two people per group. Members of the same family are exempted. Restaurants are unable to offer dine-in services for the whole day. Certain public establishments are exempted, such as eateries in public hospitals. Masks are now required outdoors as well. There are no exemptions for exercising or smoking.

19.07.2020 The Hong Kong government announced a series of new measures, including: Restaurants are unable to offer eat-in services from 6 pm to 5 am. Only takeaway will be available during this time. Mask-wearing is now mandatory in all indoor public places. Gyms, beauty salons and 15 other venues are also temporarily closed.

27.03.2020 The Hong Kong government announces further restrictions, banning any indoor or outdoor gathering of more than four people. Restaurants were also required to operate at half their capacity and to set their tables at least 1.5 metres apart.

25.03.2020 Hong Kong announces the closure of its border to all incoming non-residents arriving from overseas. Transiting through Hong Kong was also no longer allowed. In addition, all returning residents were subject to compulsory quarantine for 14 days. Returning residents from high-risk countries were required to go through enhanced screening procedures and submit a saliva sample for testing.

link: <https://www.otandp.com/covid-19-timeline>

4 Scenario-based Stochastic Programming

Firstly, we give the formulation of the scenario-based stochastic programming. Then we develop the benders decomposition to obtain the optimal linear solution. Finally, we obtain a feasible seat planning.

4.1 Formulation

Now suppose the demand of groups is stochastic, the stochastic information can be obtained from scenarios through historical data. Use ω to index the different scenarios, each scenario $\omega \in \Omega$ corresponds to a particular realization of the demand vector, $\mathbf{D}_\omega = (d_{1\omega}, d_{2\omega}, \dots, d_{m,\omega})$. Let p_ω denote the probability of any scenario ω , which we assume to be positive. To maximize the expected value of people over all the scenarios, we propose a scenario-based stochastic programming.

Consider the decision makers who give the seat assignment based on the scenarios then assign the groups to seats according to the realized true demand.

The seat assignment can be denoted by decision variables $\mathbf{x} \in \mathbb{Z}_+^{m \times N}$. Let $x_{i,j}$ stand for the number of group type i in row j . The supply for group type i can be represented by $\sum_{j=1}^N x_{ij}$. Regarding the nature of the obtained information, we assume that there are $S = |\Omega|$ possible scenarios. There is a scenario-dependent decision variable, \mathbf{y} , to be chosen. It includes two vectors of decisions, $\mathbf{y}^+ \in \mathbb{Z}_+^{m \times S}$ and $\mathbf{y}^- \in \mathbb{Z}_+^{m \times S}$. Each component of \mathbf{y}^+ , $y_{i\omega}^+$, represents the number of surplus seats for group type i . Similarly, $y_{i\omega}^-$ represents the number of inadequate seats for group type i . Considering that the group

can take the seats assigned to the larger group type, we assume that the surplus group type i can be occupied by smaller group type $j < i$ in the descending order of the group size. That is, for any ω , $i \leq m-1$, $y_{i\omega}^+ = \left(\sum_{j=1}^N x_{ij} - d_{i\omega} + y_{i+1,\omega}^+\right)^+$ and $y_{i\omega}^- = \left(d_{i\omega} - \sum_{j=1}^N x_{ij} - y_{i+1,\omega}^+\right)^+$, where $(x)^+$ equals x if $x > 0$, 0 otherwise. Specially, for the largest group type m , we have $y_{m\omega}^+ = (\sum_{j=1}^N x_{mj} - d_{m\omega})^+$, $y_{m\omega}^- = (d_{m\omega} - \sum_{j=1}^N x_{mj})^+$.

Then we have the deterministic equivalent form of the scenario-based stochastic programming:

$$\begin{aligned}
\max \quad & E_\omega \left[\sum_{i=1}^{m-1} (s_i - 1) \left(\sum_{j=1}^N x_{ij} + y_{i+1,\omega}^+ - y_{i\omega}^+ \right) + (s_m - 1) \left(\sum_{j=1}^N x_{mj} - y_{m\omega}^+ \right) \right] \\
\text{s.t.} \quad & \sum_{j=1}^N x_{ij} - y_{i\omega}^+ + y_{i+1,\omega}^+ + y_{i\omega}^- = d_{i\omega}, \quad i = 1, \dots, m-1, \omega \in \Omega \\
& \sum_{j=1}^N x_{ij} - y_{i\omega}^+ + y_{i\omega}^- = d_{i\omega}, \quad i = m, \omega \in \Omega \\
& \sum_{i=1}^m s_i x_{ij} \leq L_j, j = 1, \dots, N \\
& y_{i\omega}^+, y_{i\omega}^- \in \mathbb{Z}_+, \quad i \in I, \omega \in \Omega \\
& x_{ij} \in \mathbb{Z}_+, \quad i = 1, \dots, m, j = 1, \dots, N.
\end{aligned} \tag{1}$$

The objective function contains two parts, the number of the largest group type that can be accommodated is $\sum_{j=1}^N x_{mj} - y_{m\omega}^+$. The number of group type i that can be accommodated is $\sum_{j=1}^N x_{ij} + y_{i+1,\omega}^+ - y_{i\omega}^+$.

Reformulate the objective function,

$$\begin{aligned}
& E_\omega \left[\sum_{i=1}^{m-1} (s_i - 1) \left(\sum_{j=1}^N x_{ij} + y_{i+1,\omega}^+ - y_{i\omega}^+ \right) + (s_m - 1) \left(\sum_{j=1}^N x_{mj} - y_{m\omega}^+ \right) \right] \\
&= \sum_{j=1}^N \sum_{i=1}^m (s_i - 1) x_{ij} - \sum_{\omega=1}^S p_\omega \left(\sum_{i=1}^m (s_i - 1) y_{i\omega}^+ - \sum_{i=1}^{m-1} (s_i - 1) y_{i+1,\omega}^+ \right) \\
&= \sum_{j=1}^N \sum_{i=1}^m (s_i - 1) x_{ij} - \sum_{\omega=1}^S p_\omega \left((s_1 - 1) y_{1\omega}^+ + \sum_{i=2}^m (s_i - s_{i-1}) y_{i\omega}^+ \right)
\end{aligned}$$

Let $\mathbf{s} = (s_1, \dots, s_m)$, $\mathbf{L} = (L_1, \dots, L_N)$ where s_i is the size of seats taken by group type i and L_j is the length of row j as we defined above. Then the row length constraint can be expressed as $\mathbf{s}\mathbf{x} \leq \mathbf{L}$.

The linear constraints associated with scenarios can be written in a matrix form as

$$\mathbf{x}\mathbf{1} + \mathbf{V}\mathbf{y}_\omega = \mathbf{d}_\omega, \omega \in \Omega,$$

where $\mathbf{1}$ is the 1-vector of size N , $\mathbf{V} = [\mathbf{W}, \mathbf{I}]$.

$$\mathbf{W} = \begin{bmatrix} -1 & 1 & \dots & 0 \\ & \ddots & \ddots & \vdots \\ & & & 1 \\ 0 & & & -1 \end{bmatrix}_{m \times m}$$

and \mathbf{I} is the identity matrix. For each scenario $\omega \in \Omega$,

$$\mathbf{y}_\omega = \begin{bmatrix} \mathbf{y}_\omega^+ \\ \mathbf{y}_\omega^- \end{bmatrix}, \mathbf{y}_\omega^+ = \begin{bmatrix} y_{1\omega}^+ & y_{2\omega}^+ & \dots & y_{m\omega}^+ \end{bmatrix}^T, \mathbf{y}_\omega^- = \begin{bmatrix} y_{1\omega}^- & y_{2\omega}^- & \dots & y_{m\omega}^- \end{bmatrix}^T.$$

As we can find, this deterministic equivalent form is a large-scale problem even if the number of possible scenarios Ω is moderate. However, the structured constraints allow us to simplify the problem by applying Benders decomposition approach. Before using this approach, let us write this problem in the form of the two-stage stochastic programming.

Let $\mathbf{c}'\mathbf{x} = \sum_{j=1}^N \sum_{i=1}^m ix_{ij}$, $\mathbf{f}'\mathbf{y}_\omega = -\sum_{i=1}^m y_{i\omega}^+$. Then the formulation (1) can be expressed as below,

$$\begin{aligned} \max \quad & \mathbf{c}'\mathbf{x} + z(\mathbf{x}) \\ \text{s.t.} \quad & \mathbf{S}\mathbf{x} \leq \mathbf{L} \\ & \mathbf{x} \in \mathbb{Z}_+^{m \times N}, \end{aligned} \tag{2}$$

where $z(\mathbf{x})$ is the recourse function defined as

$$z(\mathbf{x}) := E(z_\omega(\mathbf{x})) = \sum_{\omega \in \Omega} p_\omega z_\omega(\mathbf{x}),$$

and for each scenario $\omega \in \Omega$,

$$\begin{aligned} z_\omega(\mathbf{x}) := \max \quad & \mathbf{f}'\mathbf{y}_\omega \\ \text{s.t.} \quad & \mathbf{x}\mathbf{1} + \mathbf{V}\mathbf{y}_\omega = \mathbf{d}_\omega \\ & \mathbf{y}_\omega \geq 0. \end{aligned} \tag{3}$$

Here E is the expectation with respect to the scenario set. Problem (3) stands for the second-stage problem and $z_\omega(\mathbf{x})$ is the optimal value of problem (3), together with the convention $z_\omega(\mathbf{x}) = \infty$ if the problem is infeasible.

It is difficult to solve the above problem directly, we can relax problem (2) to stochastic linear programming firstly. In section 4.2, we obtain an optimal linear solution by decomposition approach and generate a near-optimal seat assignment.

4.2 Solve The Scenario-based Two-stage Problem

At first, we generate a closed-form solution to the second-stage problem in section 4.2.1. Then we obtain the solution to the linear relaxation of problem (2) by the delayed constraint generation. Finally, we obtain a near-optimal seat assignment from the linear solution.

4.2.1 Solve The Second Stage Problem

Consider a \mathbf{x} such that $\mathbf{s}\mathbf{x} \leq \mathbf{L}$ and $\mathbf{x} \geq 0$ and suppose that this represents our seat assignment for the first stage decisions. Once \mathbf{x} is fixed, the optimal second stage decisions \mathbf{y}_ω can be determined by solving problem (3) for each ω .

To solve this problem, we should only consider that \mathbf{x} for which $z_\omega(\mathbf{x})$ are all finite. Notice that the feasible region of the dual of problem (3) does not depend on \mathbf{x} . We can form its dual problem, which is

$$\begin{aligned} \min \quad & \alpha'_\omega(\mathbf{d}_\omega - \mathbf{x}\mathbf{1}) \\ \text{s.t.} \quad & \alpha'_\omega \mathbf{V} \geq \mathbf{f}' \end{aligned} \tag{4}$$

Let $P = \{\alpha | \alpha'V \geq \mathbf{f}'\}$. We assume that P is nonempty and has at least one extreme point. Then, either the dual problem (4) has an optimal solution and $z_\omega(\mathbf{x})$ is finite, or the primal problem (3) is infeasible and $z_\omega(\mathbf{x}) = \infty$.

Let \mathcal{O} be the set of all extreme points of P and \mathcal{F} be the set of all extreme rays of P . Then $z_\omega > -\infty$ if and only if $(\alpha^k)'(\mathbf{d}_\omega - \mathbf{x}\mathbf{1}) \geq 0, \alpha^k \in \mathcal{F}$, which stands for the feasibility cut.

Lemma 3. *The feasible region of problem (4), P , is bounded. In addition, all the extreme points of P are integral.*

(Proof of lemma 3). Notice that $V = [W, I]$, W is a totally unimodular matrix. Then, we have $\alpha'W \geq -\bar{s}, \alpha'I \geq 0$. Thus, the feasible region is bounded. Further more, $\bar{s}_i = s_i - s_{i-1}, s_0 = 1$ are integral, so the extreme points are all integral. \square

Because the feasible region is bounded, then feasibility cuts are not needed. Let z_ω be the lower bound of $z_\omega(x)$ such that $(\alpha^k)'(\mathbf{d}_\omega - \mathbf{x}\mathbf{1}) \geq z_\omega, \alpha^k \in \mathcal{O}$, which is the optimality cut.

Corollary 1. *Only the optimality cuts, $\alpha'(\mathbf{d}_\omega - \mathbf{x}\mathbf{1}) \geq z_\omega$, will be included in the decomposition approach.*

Corollary 2. *When $s_i = i + 1, f' = [-1, 0], V = [W, I]$, we have $\alpha'W \geq -1, \alpha'I \geq 0$. Thus, it is easy to find that the feasible region is bounded, i.e., P does not contain any extreme rays. Furthermore, let $\alpha_0 = 0$, then we have $0 \leq \alpha_i \leq \alpha_{i-1} + 1, i = 1, \dots, m$.*

Corollary 3. *The optimal value of the problem (3), $z_\omega(x)$, is finite and will be attained at extreme points of the set P . Thus, we have $z_\omega(x) = \min_{\alpha^j \in \mathcal{O}} (\alpha^j)'(\mathbf{d}_\omega - \mathbf{x}\mathbf{1})$.*

When we are given x^* , the demand that can be satisfied by the assignment is $\mathbf{x}^*\mathbf{1} = \mathbf{d}_0 = (d_{1,0}, \dots, d_{m,0})$. Then plug them in the subproblem (3), we can obtain the value of $y_{i\omega}$ recursively:

$$\begin{aligned} y_{m\omega}^- &= (d_{m\omega} - d_{m0})^+ \\ y_{m\omega}^+ &= (d_{m0} - d_{m\omega})^+ \\ y_{i\omega}^- &= (d_{i\omega} - d_{i0} - y_{i+1,\omega}^+)^+, i = 1, \dots, m-1 \\ y_{i\omega}^+ &= (d_{i0} - d_{i\omega} + y_{i+1,\omega}^+)^+, i = 1, \dots, m-1 \end{aligned} \tag{5}$$

The optimal value for scenario ω can be obtained by $f'y_\omega$, then we need to find the dual optimal solution.

Theorem 1. *The optimal solutions to problem (4) are given by*

$$\begin{aligned}\alpha_{i\omega} &= 0, i = 1, \dots, m \quad \text{if } y_{i\omega}^- > 0 \\ \alpha_{i\omega} &= \alpha_{i-1,\omega} + 1, i = 1, \dots, m \quad \text{if } y_{i\omega}^+ > 0\end{aligned}\tag{6}$$

For some i , when $y_{i\omega}^+ = 0$ and $y_{i\omega}^- = 0$, $(d_{i0} - d_{i\omega} + y_{i+1,\omega}^+) = 0$, $d_{i\omega} - d_{i0} = y_{i+1,\omega}^+ \geq 0$. If $y_{i+1,\omega}^+ > 0$, $\alpha_{i\omega} = 0$; if $y_{i+1,\omega}^+ = 0$, $0 \leq \alpha_{i\omega} \leq \alpha_{i-1,\omega} + 1$.

(Proof of Theorem 1). According to the complementary relaxation property, when $d_{i\omega} > d_{i0} \Rightarrow y_{i\omega}^- > 0$, then $\alpha_{i\omega} = 0$ for all i ; when $d_{i\omega} < d_{i0} \Rightarrow y_{i\omega}^+ > 0$, then $\alpha_{i\omega} = \alpha_{i-1,\omega} + 1, i = 1, \dots, m$.

When $d_{i\omega} = d_{i0}$, we can find that $\alpha_{i\omega} = \alpha_{i-1,\omega} + 1$ will minimize the objective function.

Let $\Delta d = d_\omega - d_0$, then the elements in Δd will be a negative integer, positive integer and zero. Only the negative element will affect the objective function. The larger the value of α associated with a negative integer is, the smaller the objective function will be. Thus, let $\alpha_{i\omega} = \alpha_{i-1,\omega} + 1$ when $d_{i\omega} = d_{i0}$ can obtain the minimized objective function. \square

We can use the forward method, calculating from $\alpha_{1\omega}$ to $\alpha_{m\omega}$, to obtain the value of α_ω instead of solving linear programming.

4.2.2 Delayed Constraint Generation

Benders decomposition works with only a subset of those exponentially many constraints and adds more constraints iteratively until the optimal solution of Benders Master Problem(BMP) is attained. This procedure is known as delayed constraint generation.

Use the characterization of $z_\omega(x)$ in the problem (2) and take into account the optimality cut, we can conclude the BMP will have the form:

$$\begin{aligned}\max \quad & c'x + \sum_{\omega \in \Omega} p_\omega z_\omega \\ \text{s.t.} \quad & \sum_{i=1}^m s_i x_{ij} \leq L_j, j = 1, \dots, N \\ & (\alpha^k)'(\mathbf{d}_\omega - \mathbf{x}\mathbf{1}) \geq z_\omega, \alpha^k \in \mathcal{O}, \forall \omega \\ & \mathbf{x} \geq 0\end{aligned}\tag{7}$$

When substituting \mathcal{O} with its subset, \mathcal{O}^t , the problem (7) becomes the Restricted Benders Master Problem(RBMP).

To determine the initial \mathcal{O}^t , we have the following lemma.

Lemma 4. *RBMP is always bounded with at least any one optimality cut for each scenario.*

(Proof of lemma 4). Suppose we have one extreme point α^ω for each scenario. Then we have the

following problem.

$$\begin{aligned}
\max \quad & c'x + \sum_{\omega \in \Omega} p_{\omega} z_{\omega} \\
s.t. \quad & \sum_{i=1}^m s_i x_{ij} \leq L_j, j = 1, \dots, N \\
& (\alpha^{\omega})' \mathbf{d}_{\omega} \geq (\alpha^{\omega})' \mathbf{x} \mathbf{1} + z_{\omega}, \forall \omega \\
& \mathbf{x} \geq 0
\end{aligned} \tag{8}$$

Problem (8) reaches its maximum when $(\alpha^{\omega})' \mathbf{d}_{\omega} = (\alpha^{\omega})' \mathbf{x} \mathbf{1} + z_{\omega}, \forall \omega$. Substitute z_{ω} with these equations, we have

$$\begin{aligned}
\max \quad & c'x - \sum_{\omega} p_{\omega} (\alpha^{\omega})' \mathbf{x} \mathbf{1} + \sum_{\omega} p_{\omega} (\alpha^{\omega})' \mathbf{d}_{\omega} \\
s.t. \quad & \sum_{i=1}^m s_i x_{ij} \leq L_j, j = 1, \dots, N \\
& \mathbf{x} \geq 0
\end{aligned} \tag{9}$$

Notice that \mathbf{x} is bounded by \mathbf{L} , then the problem (8) is bounded. Adding more optimality cuts will not make the optimal value larger. Thus, RBMP is bounded. \square

Given the initial \mathcal{O}^t , we can have the solution \mathbf{x}_0 and $\mathbf{z}^0 = (z_1^0, \dots, z_S^0)$. Then $c' \mathbf{x}_0 + \sum_{\omega \in \Omega} p_{\omega} z_{\omega}^0$ is an upper bound of problem (7).

When \mathbf{x}_0 is given, the optimal solution, α_{ω}^1 , to problem (4) can be obtained according to Theorem 1. $z_{\omega}^{(0)} = \alpha_{\omega}^1 (d_{\omega} - \mathbf{x}_0 \mathbf{1})$ and $(\mathbf{x}_0, \mathbf{z}^{(0)})$ is a feasible solution to problem (7) because it satisfies all the constraints. Thus, $c' \mathbf{x}_0 + \sum_{\omega \in \Omega} p_{\omega} z_{\omega}^{(0)}$ is a lower bound of problem (7).

If for every scenario, the optimal value of the corresponding problem (4) is larger than or equal to z_{ω}^0 , all constraints are satisfied, we have an optimal solution, (x_0, z_{ω}^0) , to the BMP. Otherwise, add one new constraint, $(\alpha_{\omega}^1)' (\mathbf{d}_{\omega} - \mathbf{x} \mathbf{1}) \geq z_{\omega}$, to RBMP.

The steps of the algorithm are described as below,

Algorithm 1 The benders decomposition algorithm

Step 1. Solve LP (8) with all $\alpha_{\omega}^0 = \mathbf{0}$ for each scenario. Then, obtain the solution $(\mathbf{x}_0, \mathbf{z}^0)$.

Step 2. Set the upper bound $UB = c' \mathbf{x}_0 + \sum_{\omega \in \Omega} p_{\omega} z_{\omega}^0$.

Step 3. For x_0 , we can obtain α_{ω}^1 and $z_{\omega}^{(0)}$ for each scenario, set the lower bound $LB = c' x_0 + \sum_{\omega \in \Omega} p_{\omega} z_{\omega}^{(0)}$

Step 4. For each ω , if $(\alpha_{\omega}^1)' (\mathbf{d}_{\omega} - \mathbf{x}_0 \mathbf{1}) < z_{\omega}^0$, add one new constraint, $(\alpha_{\omega}^1)' (\mathbf{d}_{\omega} - \mathbf{x} \mathbf{1}) \geq z_{\omega}$, to RBMP.

Step 5. Solve the updated RBMP, obtain a new solution (x_1, z^1) and update UB.

Step 6. Repeat step 3 until $UB - LB < \epsilon$. (In our case, UB converges.)

Remark 1. From the Lemma 4, we can set $\alpha_{\omega}^0 = \mathbf{0}$ initially in Step 1.

Remark 2. Notice that only constraints are added in each iteration, thus LB and UB are both

monotone. Then we can use $UB - LB < \epsilon$ to terminate the algorithm in Step 6.

After the algorithm terminates, we obtain the optimal \mathbf{x}^* . The demand that can be satisfied by the arrangement is $\mathbf{x}^* \mathbf{1} = d_0 = (d_{1,0}, \dots, d_{m,0})$. Then we can obtain the value of $y_{i\omega}$ from equation (5).

We show the results of Benders and IP in the section 6.1.

4.3 Obtain The Feasible Seat Planning

The decomposition method only gives a fractional solution and the stochastic model does not provide an appropriate seat planning when the number of people in scenario demands is way smaller than the number of the seats. Thus, we change the linear solution from the decomposition method to obtain a feasible seat planning. Before that, we will discuss the deterministic model that can help achieve the goal.

When $|\Omega| = 1$ in problem (1), the stochastic programming will be

$$\begin{aligned}
\max \quad & \sum_{i=1}^m \sum_{j=1}^N (s_i - 1)x_{ij} - \sum_{i=1}^m y_i^+ \\
\text{s.t.} \quad & \sum_{j=1}^N x_{ij} - y_i^+ + y_{i+1}^+ + y_i^- = d_i, \quad i = 1, \dots, m-1, \\
& \sum_{j=1}^N x_{ij} - y_i^+ + y_i^- = d_i, \quad i = m, \\
& \sum_{i=1}^m s_i x_{ij} \leq L_j, j = 1, \dots, N \\
& y_i^+, y_i^- \in \mathbb{Z}_+, \quad i = 1, \dots, m \\
& x_{ij} \in \mathbb{Z}_+, \quad i = 1, \dots, m, j = 1, \dots, N.
\end{aligned} \tag{10}$$

To maximize the objective function, we can take $y_i^+ = 0$. Notice that $y_i^- \geq 0$, thus the constraints $\sum_{j=1}^N x_{ij} + y_i^- = d_i, i = 1, \dots, m$ can be rewritten as $\sum_{j=1}^N x_{ij} \leq d_i, i = 1, \dots, m$, then we have

$$\begin{aligned}
\max \quad & \sum_{i=1}^m \sum_{j=1}^N (s_i - 1)x_{ij} \\
\text{s.t.} \quad & \sum_{j=1}^N x_{ij} + y_i^- \leq d_i, \quad i = 1, \dots, m, \\
& \sum_{i=1}^m s_i x_{ij} \leq L_j, j = 1, \dots, N \\
& y_i^+, y_i^- \in \mathbb{Z}_+, \quad i = 1, \dots, m \\
& x_{ij} \in \mathbb{Z}_+, \quad i = 1, \dots, m, j = 1, \dots, N.
\end{aligned} \tag{11}$$

Problem (11) represents the deterministic model. Demand, $d_i, i = 1, \dots, m$ is known in advance, our goal is to accommodate as many as people possible in the fixed rows.

Treat the groups as the items, the rows as the knapsacks. There are m types of items, the total number of which is $K = \sum_i d_i$, each item k has a profit p_k and weight w_k .

Then this Integer Programming is a special case of the Multiple Knapsack Problem(MKP).

Consider the solution to the linear relaxation of this MKP. Sort these items according to profit-to-weight ratios $\frac{p_1}{w_1} \geq \frac{p_2}{w_2} \geq \dots \geq \frac{p_K}{w_K}$. Let the break item b be given by $b = \min\{j : \sum_{k=1}^j w_k \geq L\}$, where $L = \sum_{j=1}^N L_j$ is the total size of all knapsacks. Then the Dantzig upper bound [5] becomes $u_{\text{MKP}} = \sum_{j=1}^{b-1} p_j + \left(L - \sum_{j=1}^{b-1} w_j\right) \frac{p_b}{w_b}$.

Let $\sum_{j=1}^N x_{ij}$ indicate the supply for group type i . Denote by $(\sum_{j=1}^N x_{1j}, \dots, \sum_{j=1}^N x_{mj})$ the integrated solution to the linear relaxation of MKP. Suppose item b is in type h , then the integrated solution is $(0, \dots, x, d_{h+1}, \dots, d_m)$, where $x = (L - \sum_{i=h+1}^m d_i s_i) / s_h$. That is, we will place as large groups as possible when the capacity allows.

Suppose we obtain the optimal linear solution x_{ij}^* from the stochastic model, set the supply $\mathbf{s}^0 = \sum_j x_{ij}^*$ as the upper bound of demand in problem (11). We can get a feasible integer solution by solving this problem, denote by \mathbf{s}^1 the corresponding supply. As we mentioned above, this solution does not utilize the empty seats when the scenario demands are smaller than supply. Thus, we should set the supply \mathbf{s}^1 as the lower bound of demand, then re-solve a seat assignment problem. We substitute the constraint $\sum_{j=1}^N x_{ij} \leq d_i, i = 1, \dots, m$ with the new constraint $\sum_{j=1}^N x_{ij} \geq s_i^1, i = 1, \dots, m$ in problem (11), s_i^1 represents the number of group type i we must allocate seats.

$$\{\max \sum_{j=1}^N \sum_{i=1}^m (s_i - 1)x_{ij} : \sum_{i=1}^m s_i x_{ij} \leq L_j, j = 1, \dots, N; \sum_{j=1}^N x_{ij} \geq s_i^1, i = 1, \dots, m; x_{ij} \in Z^+\} \quad (12)$$

The optimal solution to this problem with the lower bound will give a better seat assignment. The numerical results show that this seat assignment has good performances under any stochastic demands, and also shows good results when dealing with the dynamic demands.

Algorithm 2 Feasible seat planning algorithm

- Step 1.** Obtain the solution, \mathbf{x}^* , from stochastic linear programming by benders decomposition.
- Step 2.** Aggregate the solution to the supply, $s_i^0 = \sum_j x_{ij}^*$.
- Step 3.** Obtain the optimal solution, \mathbf{x}^1 , from problem (11) by setting the supply \mathbf{s}^0 as the upper bound.
- Step 4.** Aggregate the solution to the supply, $s_i^1 = \sum_j x_{ij}^1$.
- Step 5.** Obtain the optimal solution, \mathbf{x}^2 , from problem (12) by setting the supply \mathbf{s}^1 as the lower bound.
- Step 6.** Aggregate the solution to the supply, $s_i^2 = \sum_j x_{ij}^2$, which is the feasible seat planning.
-

Remark 3. Step 3 can give a feasible integer supply. In Step 5, problem (11) with this supply as the lower bound can always give an integer solution. Thus, we can obtain the near-optimal seat assignment by solving stochastic programming once and deterministic programming twice.

5 Dynamic Seat Assignment(DSA)

In this section, we will present the methods to assign seats with stochastic information. We can estimate the arrival rate from the historical data, $p_i = \frac{N_i}{N_0}, i = 1, \dots, m$, where N_0 is the number of total groups, N_i is the number of group type i .

Suppose there are T independent periods; at most, one group will arrive in each period. There are J different group types. Let \mathbf{y} be a discrete random variable indicating the number of people in the group. Let \mathbf{p} be the vector probability, where $p(y = i) = p_i, i = 1, \dots, m$ and $\sum_i p_i = 1$.

5.1 Assign-to-Seat Rules

Once we obtain a feasible seat planning, we must follow some basic rules to assign seats.

- When the supply of one arriving group is enough, we will accept the group directly.
- When the supply of one arriving group is 0, the demand can be satisfied by only one larger-size supply.
- When one group is accepted to occupy the larger-size seats, the rest empty seat(s) can be reserved for future demand.

We can assign the seats to the corresponding-size group. But when a group comes while the corresponding supply is 0, should we give this group to the larger-size seats? Now we demonstrate the nested policy for this problem.

Suppose we accept a group of i to take over j -size seats. In that case, the expected served people is $i + (j - i - 1)P(D_{j-i-1} \geq x_{j-i-1} + 1)$, where $i < j$, $P(D_i \geq x_i)$ is the probability of that the expected demand of group type i in the following periods is no less than x_i , the remaining supply of group type i .

When a group of i occupies j -size seats, $(j - i - 1)$ seats can be provided for one group of $j - i - 1$ with one seat of social distancing. Thus, the term, $P(D_{j-i-1} \geq x_{j-i-1} + 1)$, indicates the probability that the demand of group type $(j - i - 1)$ in the future is no less than its current remaining supply plus 1. If $j - i - 1 = 0$, then this term equals 0.

Similarly, when the expected demand of a group of j in the future is no less than its remaining supply currently, we would reject a group of i , the expected served people is $jP(D_j \geq x_j)$.

Let $d(i, j)$ be the difference of expected served people between acceptance and rejection on group i occupying j -size seats. Then $d(i, j) = i + (j - i - 1)P(D_{j-i-1} \geq x_{j-i-1} + 1) - jP(D_j \geq x_j), j > i$.

One intuitive decision is to choose the largest difference. We can obtain $d(i, j) = jP(D_j \leq x_j - 1) - (j - i - 1)P(D_{j-i-1} \leq x_{j-i-1}) - 1$ after reformulating. Let $F_j(x; T)$ be the cumulative distribution function of the number of arrival groups D_j in T periods. Then $F_j(x; T_r) = P(D_j \leq x)$, and D_j follows a binomial distribution $B(T_r, p_j)$, where T_r is the numebr of remaining periods.

Thus, $d(i, j) = jF_j(x_j - 1; T) - (j - i - 1)F_{j-i-1}(x_{j-i-1}; T) - 1$. For all $j > i$, find the largest $d(i, j)$, denoted as $d(i, j^*)$. If $d(i, j^*) > 0$, we will place the group i in j^* -size seats. Otherwise, reject the group.

The algorithm is shown below:

Algorithm 3 Dynamic seat assignment(DSA) method

Step 1. Obtain the supply, $\mathbf{X}^0 = [x_1, \dots, x_m]$, from the feasible seat planning algorithm.

Step 2. For the arrival group type i at period T' , if $x_i > 0$, accept it. Let $x_i = x_i - 1$. Go to step 4.

Step 3. If $x_i = 0$, find $d(i, j^*)$. If $d(i, j^*) > 0$, accept group type i . Set $x_{j^*} = x_{j^*} - 1$. Let $x_{j-i-1} = x_{j-i-1} + 1$ when $j - i - 1 > 0$. If $d(i, j^*) \leq 0$, reject group type i .

Step 4. If $T' \leq T$, move to next period, set $T' = T' + 1$, go to step 2. Otherwise, terminate this algorithm.

We show the results of Benders and IP under nested policy in section 6.1.

5.2 Seat assignment during booking period

The dynamic seat assignment method can give the seat planning before the group arrivals. The specific procedures are demonstrated in the above sections. The first step is to obtain the feasible seat planning from Algorithm 2. Then accept or reject group arrivals according to the nested policy in section 5.1.

5.3 Seat assignment after booking period

We have developed a dynamic programming(DP)-based method by relaxing all rows to one row with the same capacity. Assuming that there always exists a seat assignment under the total capacity of seats, we can use DP to make decisions in each period.

$$V_t(S) = \sum_{i \in m} p_i \max\{[V_{t-1}(S - s_i - 1) + s_i], V_{t-1}(S)\}$$

After obtaining the pre-accepted sequence, we still need to check whether this sequence is feasible for the seat assignment. In most cases, the pre-accepted sequence is feasible, which is why we use relaxation. However, in cases where the sequence is not feasible, we must delete groups one by one from the last arrival of the sequence until it becomes feasible. In practice, we begin to reject the arrival until we cannot find a feasible seat assignment.

5.4 Benchmark

5.4.1 FCFS

For seat assignment after booking period, the intuitive but trivial method will be on a first-come-first-served basis. Relax all rows to one row with the total number of seats. For the arrival sequence, find the target arrival when the number of seats taken by the preceding arrivals does not exceed the capacity. Then we obtain a new sub-sequence, including the arrivals from the first to the target and a possible arrival. For the convenience of calculation, we check the feasibility of constructing a seat assignment

from the end of the sub-sequence. When it is not feasible for the seat assignment, we should delete the group one by one from this sub-sequence until a feasible seat assignment is found. In reality, we need to check the feasibility one group by one.

5.4.2 Largest Patterns Planning

For seat assignment during booking period, we don't have a good benchmark.

For each row, we choose the patterns from I_1 . Accept the group such that the largest pattern can be maintained. When the arrival cannot be assigned in the planning patterns from I_1 , we can change the largest pattern to a second largest pattern according to the coming arrival.

Algorithm 4 Method by using the largest patterns

Step 1. Generate the largest pattern by the greedy way for each row.

Step 2. Denote the minimal and maximal size of group in the pattern of row i as \min_i and \max_i .

Step 3. For the arrival with the size of a in period t , if there exists i such that $\min_i + \max_i \geq a$ and $a > \min_i$, accept this arrival at row i , go to step 5; otherwise, go to step 4.

Step 4. Find a maximal group of seats to accept this arrival, otherwise, reject this arrival.

Step 5. Move to the next period. Repeat step 3.

Step 2: the pattern will have the same loss by these procedures. (\min_i can be 0.)

6 Results

We carried out several experiments, including comparing the running time of decomposition and Integer programming, comparing the number of people served using the feasible seat planning and Integer programming methods, analyzing different policies under the two booking situations, investigating the impact of seat layout on the number of served people, evaluating the results under varying demands, and finally assessing the results for different numbers of people in each period.

6.1 Running time of Benders Decomposition and IP

The running times of solving IP directly and using Benders decomposition are shown in Table 1.

The parameters in the columns of the table are the number of scenarios, the range of demands, running time of integer programming, running time of Benders decomposition method, the number of rows, the number of group types and the number of seats for each row, respectively.

Take the first experiment as an example, the scenarios of demands are generated from (150, 350) randomly, the number of seats for each row is generated from (21, 50) randomly.

Table 1: Running time of Decomposition and IP

# of scenarios	demands	running time of IP(s)	Benders (s)	# of rows	# of groups	# of seats
1000	(150, 350)	5.1	0.13	30	8	(21, 50)
5000		28.73	0.47	30	8	
10000		66.81	0.91	30	8	
50000		925.17	4.3	30	8	
1000	(1000, 2000)	5.88	0.29	200	8	(21, 50)
5000		30.0	0.62	200	8	
10000		64.41	1.09	200	8	
50000		365.57	4.56	200	8	
1000	(150, 250)	17.15	0.18	30	16	(41, 60)
5000		105.2	0.67	30	16	
10000		260.88	1.28	30	16	
50000		3873.16	6.18	30	16	

6.2 Feasible Seat Planning versus IP Solution

A arrival sequence can be expressed as $\{y_1, y_2, \dots, y_T\}$. Let $N_j = \sum_t I(y_t = j)$, i.e., the count number of times group type j arrives during T periods. Then the scenarios, (N_1, \dots, N_J) , follow a multinomial distribution,

$$p(N_1, \dots, N_J | \mathbf{p}) = \frac{T!}{N_1! \dots N_J!} \prod_{j=1}^J p_j^{N_j}, T = \sum_{j=1}^J N_j.$$

It is clear that the number of different sequences is J^T . The number of different scenarios is $O(T^{J-1})$ which can be obtained by the following DP.

Use $D(T, J)$ to denote the number of scenarios, which equals the number of different solutions to $x_1 + \dots + x_J = T, \mathbf{x} \geq 0$. Then, we know the recurrence relation $D(T, J) = \sum_{i=0}^T D(i, J-1)$ and boundary condition, $D(i, 1) = 1$. So we have $D(T, 2) = T + 1$, $D(T, 3) = \frac{(T+2)(T+1)}{2}$, $D(T, J) = O(T^{J-1})$.

The number of scenarios is too large to enumerate all possible cases. Thus, we choose to sample some sequences from the multinomial distribution.

Then, we will show the near-optimal seat assignment has a close performance with IP when considering nested policy.

Each entry of people served is the average of 50 instances. IP will spend more than 2 hours in some instances, as 'NA' showed in the table. The number of seats is 20 when the number of rows is 8, the number of seats is 40 when the number of rows is 30.

We can find that the people served by Benders decomposition and IP under nested policy are close. But obtaining the near-optimal seat assignment will be faster.

6.3 Results of Different Policies

We present the results of policies compared to the optimal policy.

For seat assignment after booking period, we have DSA.

DP and FCFS-based are for seat assignment during booking period.

Table 2: Results of Decomposition and IP under nested policy

# samples	T	probabilities	# rows	people served by decomposition	people served by IP
1000	45	[0.4,0.4,0.1,0.1]	8	85.30	85.3
1000	50	[0.4,0.4,0.1,0.1]	8	97.32	97.32
1000	55	[0.4,0.4,0.1,0.1]	8	102.40	102.40
1000	60	[0.4,0.4,0.1,0.1]	8	106.70	NA
1000	65	[0.4,0.4,0.1,0.1]	8	108.84	108.84
1000	35	[0.25,0.25,0.25,0.25]	8	87.16	87.08
1000	40	[0.25,0.25,0.25,0.25]	8	101.32	101.24
1000	45	[0.25,0.25,0.25,0.25]	8	110.62	110.52
1000	50	[0.25,0.25,0.25,0.25]	8	115.46	NA
1000	55	[0.25,0.25,0.25,0.25]	8	117.06	117.26
5000	300	[0.25,0.25,0.25,0.25]	30	749.76	749.76
5000	350	[0.25,0.25,0.25,0.25]	30	866.02	866.42
5000	400	[0.25,0.25,0.25,0.25]	30	889.02	889.44
5000	450	[0.25,0.25,0.25,0.25]	30	916.16	916.66

Table 3: Results of Policies

T	probabilities	# of rows	DSA(%)	Row by Row(%)	DP(%)	FCFS-based(%)
60	[0.45 0.05 0.05 0.45]	10	98.24	97.39	99.49	99.08
60	[0.35 0.05 0.35 0.25]	10	98.12	97.93	99.62	99.25
60	[0.25 0.05 0.65 0.05]	10	98.55	97.91	99.39	99.28
60	[0.35 0.15 0.15 0.35]	10	97.28	98.14	99.29	99.12
60	[0.25 0.15 0.45 0.15]	10	96.30	97.59	99.46	99.09

6.4 Result of Different Demands

In this subsection, we discuss the effect of the number of periods on the results of our experiments. Specifically, we consider two situations: $c = 2.5$ and $c = 1.9$.

When $c = 2.5$, we set the parameters as follows: $T = 10-100$, step size = 1, expected number of periods = 60, expected number of demand (people) = 150, number of rows = 10, and number of seats per row = 21.

When $c = 1.9$, we set the parameters as follows: $T = 30-120$, step size = 1, expected number of periods = 72, expected number of demand (people) = 137, number of rows = 10, and number of seats per row = 21.

For each c , we give several probabilities in the table. The gap point represents the first period where the number of people without social distancing is larger than that with social distancing and the gap percentage is the corresponding percentage of total seats.

We provide the results of our experiments for people accepted when applying social distance and not applying social distance over different periods. The different probabilities with the same c share the similar pattern of the figure, so we only provide one case to show the detailed figure.

There are three stages, the first stage is when the capacity is sufficient. The measure of social distancing will not cause any effect. The gap is becoming larger as T increases at the second stage. At the third stage, as T continues to increase, the gap will converge when the capacity is limited.

We can estimate the attendance rate from $\frac{c}{c+1}$ * the number of seats. The number of periods will be $E(D)/c$. Thus, the government can make the policy on how much attendance rate can be established.

Table 4: Gap points of different probabilities

c	probabilities	gap point	gap percentage
2.5	[0.25,0.25,0.25,0.25]	56	65.21
2.5	[0.1,0.4,0.4,0.1]	55	65.59
2.5	[0.1, 0.5, 0.2, 0.2]	55	65.45
2.5	[0.2, 0.3, 0.3, 0.2]	54	64.56
2.5	[0.3, 0.2, 0.2, 0.3]	55	65.51
2.5	[0.2, 0.4, 0.1, 0.3]	55	65.41
1.9	[0.4, 0.4, 0.1, 0.1]	67	60.35
1.9	[0.5, 0.2, 0.2, 0.1]	67	58.9
1.9	[0.3, 0.5, 0.2, 0]	68	61.7
1.9	[0.6, 0.1, 0.1, 0.2]	66	58.31

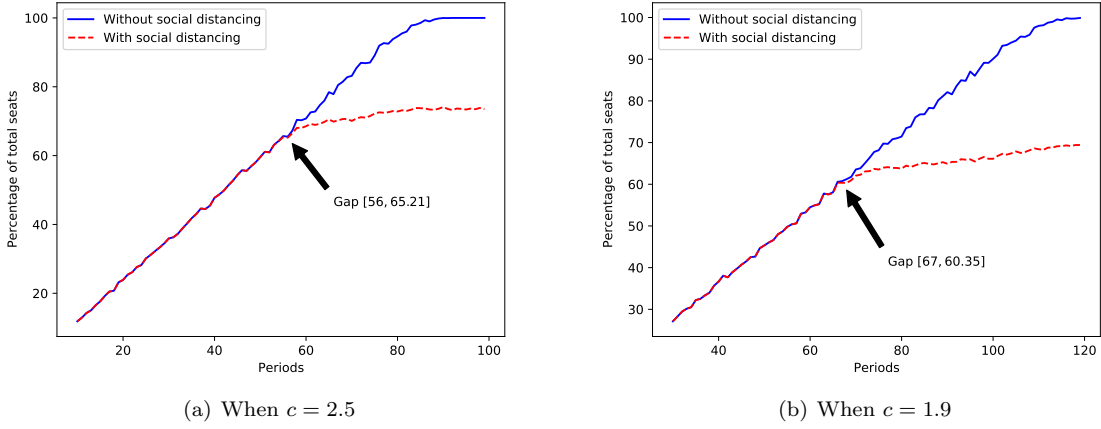


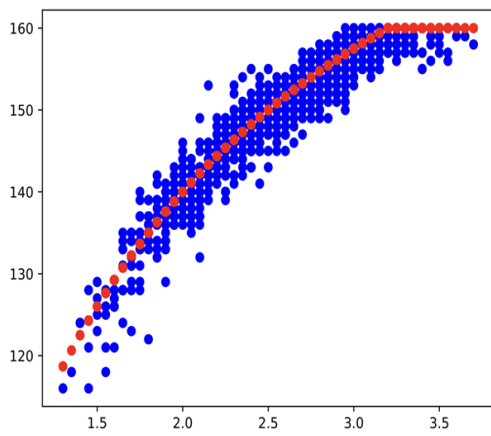
Figure 2: The number of people served versus periods

6.5 Results of Different Arriving People Per Period

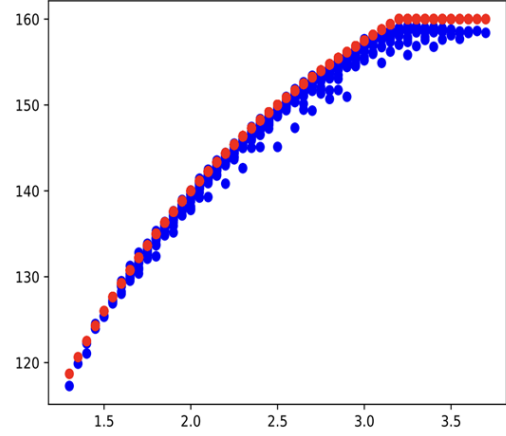
In this subsection, we discuss the effect of different probabilities on our experimental results. Assuming that groups of up to four people can sit together, we calculate the expected number of demands as $E(D) = (p_1 * 1 + p_2 * 2 + p_3 * 3 + p_4 * 4)T$, where p_1, p_2, p_3 , and p_4 represent the probabilities of groups with one, two, three, and four people, respectively. We also define $c = p_1 * 1 + p_2 * 2 + p_3 * 3 + p_4 * 4$ and choose $T(E(D)/c)$ such that the supply is near the demand. We then compare the number of people served under different values of c .

We sample p_1, p_2 , and p_3 from 0.05 to 0.95 with an increment of 0.05. We call each realization (p_1, p_2, p_3, p_4) the probability combination when $p_1 + p_2 + p_3 + p_4 = 1$. The number of all sampled probability combinations is n_p . The number of rows is set at 10, and the number of seats per row is 21 (including one dummy seat). We simulate 200 periods, and the number of people served with respect to the value of c is shown in the figure below. For each probability combination, the blue point represents the average number of people served from 50 instances and the red point represents the expected number of people served.

Suppose we accept D_a people with T arrivals, where $D_a = c * T$, and the sum of D_a and T is equal to the total number of seats. In this case, the estimation of the occupancy rate is $\frac{c}{c+1}$. The number of



(a) One instance for each probability combination



(b) Average of 50 instances for each probability combination

Figure 3: The number of people served versus c

people served is near $\frac{c}{c+1} * 210$ on average (as shown by the red points in the figure).

If the largest pattern is assigned for each row, the occupancy rate is $\frac{16}{21}$. The maximum number of people that can be served is $210 * \frac{16}{21} = 160$, which is the upper bound of the number of people served.

We also observe that some blue points are far from the red points in the figure. For example, when the probability is $[0.05, 0.05, 0.85, 0.05]$ ($c = 2.9$), the demands can be $[4, 1, 45, 2]$ or $[2, 2, 47, 1]$. It is not possible to construct all full patterns for every row with these demands, violating our assumption and resulting in a large gap between the blue and red points in this case.

We can give the absolute difference between the blue point and red point for each probability combination as below.

Table 5: Difference Distribution

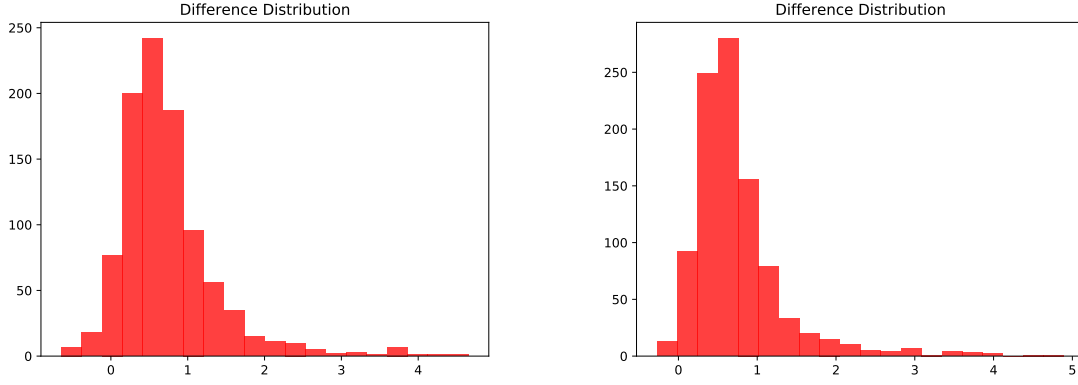
# of instances	abs_diff ≥ 1	abs_diff ≥ 2	abs_diff ≥ 3	abs_diff ≥ 4
20	32.92 %	5.13 %	1.74%	0.51 %
50	22.46 %	4.31 %	1.54 %	0.31 %
100	20.00 %	4.21 %	1.54 %	0.31 %

The results show that we can estimate attendance rate based on c for most probability combinations.

6.6 Seat Layout

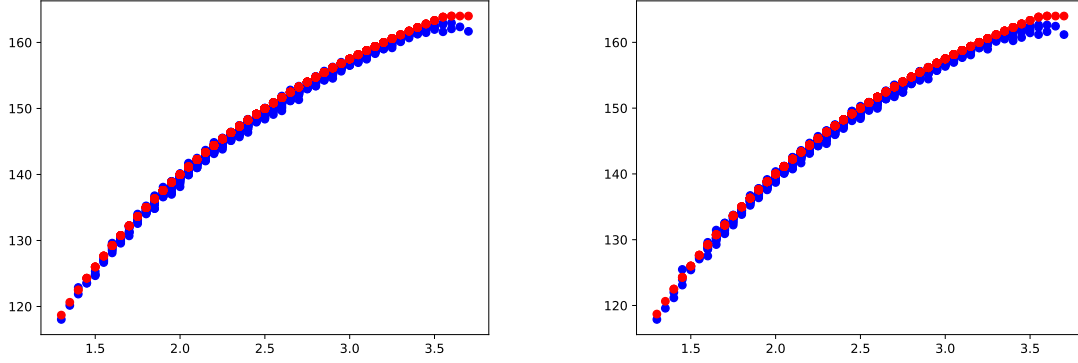
The number of seats are $[17, 18, 19, 20, 21, 21, 22, 23, 24, 25]$. The maximal number of people that can be served is 164.

Random seat layout: $[26, 21, 24, 20, 20, 17, 23, 19, 21, 19]$. The maximal number of people that can be served is 164.



(a) Average of 50 instances for each probability combination (b) Average of 100 instances for each probability combination

Figure 4: The difference distribution



(a) Average of 50 instances for step-size seat layout

(b) Average of 50 instances for random seat layout

Figure 5: The number of people served versus c

7 Extension

7.1 Online booking

The groups can select the seats arbitrarily but with some constraints.

When the customers book the tickets, they will be asked how many seats they are going to book at first. Then we give the possible row numbers for their selection. Finally we give the seat number for their choice.

The second step is based on the other choices of reserved groups, we need to check which rows in the seat assignment include the corresponding-size seats.

In third step, we need to check whether the chosen number destroys the pattern of the row. Use subset sum problem to check every position in the row.

7.2 How to give a balanced seat assignment

Notice we only give the solution of how to assign seats for each row, but the order is not fixed.

In order to obtain a balanced seat assignment, we use a greedy way to place the seats.

Sort each row by the number of people. Then place the smallest one in row 1, place the largest one in row 2, the second smallest one in row 3 and so on.

For each row, sort the groups in an ascending/descending order. In a similar way.

7.3 Property

Although the solver can solve this problem easily, the analyses on the property of the solution to this problem can help us generate a method for the dynamic situation.

At first, we consider the types of pattern, which refers to the seat assignment for each row. For each pattern k , we use α_k, β_k to indicate the number of groups and the left seat, respectively. Denote by $l(k) = \alpha_k + \beta_k - 1$ the loss for pattern k . The loss represents the number of people lost compared to the situation without social distancing.

Let I_1 be the set of patterns with the minimal loss. Then we call the patterns from I_1 are largest. Similarly, the patterns from I_2 are the second largest, so forth and so on. The patterns with zero left seat are called full patterns. We use the descending form (t_1, t_2, \dots, t_k) to represent a pattern, where t_i is the new group size.

Example 2. The length of one row is $S = 21$ and the new size of groups be $L = [2, 3, 4, 5]$. Then these patterns, $(5, 5, 5, 5, 1)$, $(5, 4, 4, 4, 4)$, $(5, 5, 5, 3, 3)$, belong to I_1 . The demand is $[10, 12, 9, 8]_d$.

To represent a pattern with a fixed length of form, we can use a $(m+1)$ -dimensional vector with m group types. The aggregated form can be expressed as $[n_0, n_1, \dots, n_m]$, where n_i is the number of i -th group type, $i = 1, \dots, m$. n_0 is the number of left seat, its value can only be 0, 1 because two or more left seats will be assigned to groups. Thus the pattern, $[1, 0, 0, 0, 4]$, is not full because there is one left seat.

Suppose u is the size of the largest group allowed, all possible seats can be assigned are the consecutive integers from 2 to u , i.e., $[2, 3, \dots, u]$. Then we can use the following greedy way to generate the largest pattern. Select the maximal group size, u , as many as possible and the left space is assigned to the group with the corresponding size. Let $S = u \cdot q + r$, where q is the number of times u selected. When $r > 0$, there are $d[0][u-r][q+1]$ largest patterns with the same loss of q . When $r = 0$, there is only one possible largest pattern.

Use dynamic programming to solve. $d[k][i][j]$ indicates the number of assignment of using i capacity to allocate j units, k is the number of capacity allocated on the last unit. In our case, $u-r$ is the capacity need to be allocated, $q+1$ is the number of units which corresponds to the groups. Notice that we only consider the number of combinations, so we fix the allocation in ascending order, which means the allocation in current unit should be no less than the last unit.

The number of largest patterns equals the number of different schemes that allocate $u-r$ on $q+1$ units, i.e., $d[0][u-r][q+1]$.

The recurrence relation is $d[k][i][j] = \sum_{t=k}^{i-k} d[t][i-k][j-1]$. When $i < k$, $d[k][i][j] = 0$; when $i \geq k$, $d[k][i][1] = 1$.

Lemma 5. *The seat assignment made up of the largest patterns is optimal.*

This lemma holds because we cannot find a better solution occupying more seats.

When the demand is so large that the largest patterns can be generated in all rows, an optimal seat assignment can be obtained.

Proposition 2. *Let $k^* = \arg \max_{k \in I_1} \min_i \{\lfloor \frac{d_i}{b_i^k} \rfloor\}$. When $N \leq \max_{k \in I_1} \min_i \{\lfloor \frac{d_i}{b_i^k} \rfloor\}$, the optimal seat assignment can be constructed by repeating pattern k^* N times. N is the number of rows, d_i is the number of i -th group type, $i = 1, 2, \dots, m$, b_i^k is the number of group type i placed in pattern k .*

Use the above example 2 to explain. Take $(5, 5, 5, 5)$, $(5, 4, 4, 4, 4)$, $(5, 5, 4, 4, 3)$ as the alternative patterns, denoted by pattern 1, 2, 3 respectively. When $k = 1, 2, 3$, $\min_i \{\lfloor \frac{d_i}{b_i^k} \rfloor\}$ will be 2, 3, 5, thus $k^* = 3$. So when $N \leq 5$, we can select the pattern $(5, 5, 4, 4, 3)$ five times to construct the optimal seat assignment.

Proposition 3. *We can construct a seat assignment in the following way. Every time we can select one pattern from I_1 , then minus the corresponding number of group types from demand and update demand. Repeat this procedure until we cannot generate a largest pattern. If the number of generated patterns is no less than the number of rows, this assignment is optimal.*

8 Conclusion

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Proof

(Theorem 1). □

(Lemma 1). □

(Lemma 2). □

(Theorem 2). □