```
MODULE UniversalPaxosStore
    Specification of the consensus protocol in PaxosStore.
    See \ [PaxosStore@VLDB2017] (https://www.vldb.org/pvldb/vol10/p1730-lin.pdf) \ by \ Tencent.
    In this version (adopted from "PaxosStore.tla"):
     - Client-restricted config (Ballot)
     - Message types (i.e., "Prepare", "Accept", "ACK") are deleted. No state flags (such as "Pre-
     pare", "Wait-Prepare", "Accept", "Wait-Accept" are needed.
    EXTENDS Integers, FiniteSets
     Max(m, n) \stackrel{\triangle}{=} \text{ if } m > n \text{ THEN } m \text{ ELSE } n
     Injective(f) \stackrel{\Delta}{=} \forall a, b \in DOMAIN \ f : (a \neq b) \Rightarrow (f[a] \neq f[b])
     CONSTANTS
         Participant,
                            the set of partipants
20
          Value
                            the set of possible input values for Participant to propose
21
     None \stackrel{\triangle}{=} CHOOSE \ b: b \notin Value
    NP \triangleq Cardinality(Participant) number of p \in Participants
     Quorum \triangleq \{Q \in SUBSET \ Participant : Cardinality(Q) * 2 = NP + 1\}
26
     Assume QuorumAssumption \triangleq
          \land \quad \forall \ Q \in Quorum : Q \subseteq Participant
28
          \land \quad \forall Q1, Q2 \in Quorum : Q1 \cap Q2 \neq \{\}
29
    Ballot \triangleq Nat
31
     PIndex \stackrel{\triangle}{=} CHOOSE f \in [Participant \rightarrow 1 ... NP] : Injective(f)
     Bals(p) \triangleq \{b \in Ballot : b\%NP = PIndex[p] - 1\} allocate ballots for p \in Participant
34
35
    State \triangleq [maxBal : Ballot \cup \{-1\}, \\ maxVBal : Ballot \cup \{-1\}, maxVVal : Value \cup \{None\}]
36
37
    InitState \stackrel{\Delta}{=} [maxBal \mapsto -1, maxVBal \mapsto -1, maxVVal \mapsto None]
    For simplicity, in this specification, we choose to send the complete state of a participant each
    time. When receiving such a message, the participant processes only the "partial" state it needs.
    Message \triangleq [from : Participant, to : SUBSET Participant, state : [Participant \rightarrow State]]
46
    VARIABLES
         state.
                    state[p][q]: the state of q \in Participant from the view of p \in Participant
48
         msqs
                    the set of messages that have been sent
    vars \triangleq \langle state, msgs \rangle
51
     TypeOK \triangleq
53
               state \in [Participant \rightarrow [Participant \rightarrow State]]
54
               msgs \subseteq Message
55
```

```
Send(m) \stackrel{\triangle}{=} msgs' = msgs \cup \{m\}
 58
     Init \triangleq
 59
          \land state = [p \in Participant \mapsto [q \in Participant \mapsto InitState]]
 60
          \land msqs = \{\}
 61
     p \in Participant starts the prepare phase by issuing a ballot b \in Ballot.
     Prepare(p, b) \triangleq
 65
          \land state[p][p].maxBal < b
 66
          \land b \in Bals(p)
 67
          \land state' = [state \ EXCEPT \ ![p][p].maxBal = b]
 68
          \land Send([from \mapsto p, to \mapsto Participant, state \mapsto state'[p]])
 69
     q \in Participant updates its own state state[q] according to the actual state pp of p \in Participant
     extracted from a message m \in Message it receives. This is called by OnMessage(q).
     Note: pp is m.state[p]; it may not be equal to state[p][p] at the time UpdateState is called.
     UpdateState(q, p, pp) \triangleq
 78
          state' = [state \ EXCEPT]
 79
                       ![q][p].maxBal = Max(@, pp.maxBal),
 80
                       ![q][p].maxVBal = Max(@, pp.maxVBal),
 81
                       ![q][p].maxVVal = IF state[q][p].maxVBal < pp.maxVBal
 82
                                               THEN pp.maxVVal ELSE @,
 83
                       ![q][q].maxBal = Max(@, pp.maxBal),
 84
                       ![q][q].maxVBal = IF state[q][q].maxBal \leq pp.maxVBal
 85
                                               THEN pp.maxVBal ELSE @,
                                                                                    make promise
 86
                       ![q][q].maxVVal = IF state[q][q].maxBal \leq pp.maxVBal
 87
                                               THEN pp.maxVVal ELSE @ accept
 88
     q \in Participant receives and processes a message in Message.
     OnMessage(q) \stackrel{\Delta}{=}
 92
          \exists m \in msgs:
 93
             \land q \in m.to
 94
             \wedge LET p \triangleq m.from
 95
                    UpdateState(q, p, m.state[p])
 96
             \land IF \lor m.state[q].maxBal < state'[q][q].maxBal
 97
                    \lor m.state[q].maxVBal < state'[q][q].maxVBal
 98
                 THEN Send([from \mapsto q, to \mapsto \{m.from\}, state \mapsto state'[q]])
 99
                 ELSE UNCHANGED msqs
100
     p \in Participant starts the accept phase by issuing the ballot b \in Ballot with value v \in Value.
     Accept(p, b, v) \triangleq
105
          \land b \in Bals(p)
106
          \land \exists Q \in Quorum : \forall q \in Q : state[p][q].maxBal = b
107
          \land \lor \forall q \in Participant : state[p][q].maxVBal = -1 free to pick its own value
108
             \lor \exists q \in Participant : v \text{ is the value with the highest } maxVBal
109
                  \wedge state[p][q].maxVVal = v
110
                  \land \forall r \in Participant : state[p][q].maxVBal \ge state[p][r].maxVBal
111
```

```
 \land state' = [state \ \texttt{EXCEPT} \ ![p][p].maxVBal = b, \ ![p][p].maxVVal = v] \ \ \texttt{accept} 
112
          \land Send([from \mapsto p, to \mapsto Participant, state \mapsto state'[p]])
113
114 |
     Next \triangleq \exists p \in Participant : \lor OnMessage(p)
115
116
                                        \vee \exists b \in Ballot : \vee Prepare(p, b)
                                                           \forall \exists v \in Value : Accept(p, b, v)
117
     Spec \stackrel{\triangle}{=} Init \wedge \Box [Next]_{vars}
118
119 ⊦
     UniversalPaxosStore satisfies the Consistency property.
     ChosenP(p) \stackrel{\Delta}{=} the set of values chosen by p \in Participant
123
         \{v \in Value : \exists b \in Ballot : \}
124
                            \exists Q \in Quorum : \forall q \in Q : \land state[p][q].maxVBal = b
125
                                                             \land state[p][q].maxVVal = v
126
     chosen \stackrel{\triangle}{=} UNION \{ChosenP(p) : p \in Participant\}
127
     Consistency \triangleq Cardinality(chosen) \leq 1
129
     THEOREM Spec \Rightarrow \Box Consistency
130
131 L
     \ * Last modified Wed Aug 14 16:09:53 CST 2019 by hengxin
     \ * Last modified Mon Jul 22 13:59:15 CST 2019 by pure_
     \ * Last modified Mon Jun 03 21:26:09 CST 2019 by stary
     \ * Last modified Wed May 09 21:39:31 CST 2018 by dell
```