

<p>MODULE <i>EagerVoting</i></p> <p>EXTENDS <i>Sets</i></p> <p>CONSTANT <i>Value</i>, <i>Acceptor</i>, <i>Quorum</i></p> <p>ASSUME <i>QuorumAssumption</i> \triangleq</p> <p style="padding-left: 20px;">$\wedge \forall Q \in \text{Quorum} : Q \subseteq \text{Acceptor}$</p> <p style="padding-left: 20px;">$\wedge \forall Q1, Q2 \in \text{Quorum} : Q1 \cap Q2 \neq \{\}$</p> <p>THEOREM <i>QuorumNonEmpty</i> $\triangleq \forall Q \in \text{Quorum} : Q \neq \{\}$</p> <p>BY <i>QuorumAssumption</i></p> <p><i>Ballot</i> $\triangleq \text{Nat}$</p> <p>VARIABLES <i>votes</i>, <i>maxBal</i></p> <p><i>TypeOK</i> $\triangleq \wedge \text{votes} \in [\text{Acceptor} \rightarrow \text{SUBSET}(\text{Ballot} \times \text{Value})]$</p> <p style="padding-left: 40px;">$\wedge \text{maxBal} \in [\text{Acceptor} \rightarrow \text{Ballot} \cup \{-1\}]$</p> <p><i>VotedFor</i>(<i>a</i>, <i>b</i>, <i>v</i>) $\triangleq \langle b, v \rangle \in \text{votes}[a]$</p> <p><i>DidNotVoteAt</i>(<i>a</i>, <i>b</i>) $\triangleq \forall v \in \text{Value} : \neg \text{VotedFor}(a, b, v)$</p> <p><i>ShowsSafeAt</i>(<i>Q</i>, <i>b</i>, <i>v</i>) \triangleq</p> <p style="padding-left: 20px;">$\wedge \forall a \in Q : \text{maxBal}[a] \geq b$ have promised</p> <p style="padding-left: 20px;">$\wedge \exists c \in -1 \dots (b-1) :$</p> <p style="padding-left: 40px;">$\wedge (c \neq -1) \Rightarrow \exists a \in Q : \text{VotedFor}(a, c, v)$</p> <p style="padding-left: 40px;">$\wedge \forall d \in (c+1) \dots (b-1), a \in Q : \text{DidNotVoteAt}(a, d)$</p> <p><i>Init</i> \triangleq</p> <p style="padding-left: 20px;">$\wedge \text{votes} = [a \in \text{Acceptor} \mapsto \{\}]$</p> <p style="padding-left: 20px;">$\wedge \text{maxBal} = [a \in \text{Acceptor} \mapsto -1]$</p> <p><i>IncreaseMaxBal</i>(<i>a</i>, <i>b</i>) \triangleq</p> <p style="padding-left: 20px;">$\wedge \text{maxBal}[a] < b$</p> <p style="padding-left: 20px;">$\wedge \text{maxBal}' = [\text{maxBal} \text{ EXCEPT } ![a] = b]$ make promise</p> <p style="padding-left: 20px;">$\wedge \text{UNCHANGED votes}$</p> <p>The only difference between <i>EagerVoting</i> and <i>Voting</i> is:</p> <p>In <i>Voting</i>, we have $\text{maxBal}' = [\text{maxBal} \text{ EXCEPT } ![a] = b]$.</p> <p><i>VoteFor</i>(<i>a</i>, <i>b</i>, <i>v</i>) \triangleq</p> <p style="padding-left: 20px;">$\wedge \text{maxBal}[a] \leq b$ keep promise</p> <p style="padding-left: 20px;">$\wedge \forall vt \in \text{votes}[a] : vt[1] \neq b$</p> <p style="padding-left: 20px;">$\wedge \forall c \in \text{Acceptor} \setminus \{a\} :$</p> <p style="padding-left: 40px;">$\forall vt \in \text{votes}[c] : (vt[1] = b) \Rightarrow (vt[2] = v)$</p> <p style="padding-left: 20px;">$\wedge \exists Q \in \text{Quorum} : \text{ShowsSafeAt}(Q, b, v)$ safe to vote</p> <p style="padding-left: 20px;">$\wedge \text{votes}' = [\text{votes} \text{ EXCEPT } ![a] = \text{votes}[a] \cup \{\langle b, v \rangle\}]$ vote</p> <p style="padding-left: 20px;">$\wedge \exists c \in \text{Ballot} :$</p> <p style="padding-left: 40px;">$\wedge c \geq b$</p> <p style="padding-left: 40px;">$\wedge \text{maxBal}' = [\text{maxBal} \text{ EXCEPT } ![a] = c]$ make promise</p>

$$Next \triangleq$$

$$\begin{aligned} &\exists a \in \text{Acceptor}, b \in \text{Ballot} : \\ &\quad \vee \text{IncreaseMaxBal}(a, b) \\ &\quad \vee \exists v \in \text{Value} : \text{VoteFor}(a, b, v) \end{aligned}$$

$$Spec \triangleq Init \wedge \Box[Next]_{\langle votes, maxBal \rangle}$$

$$\text{ChosenAt}(b, v) \triangleq$$

$$\exists Q \in \text{Quorum} : \forall a \in Q : \text{VotedFor}(a, b, v)$$

$$\text{chosen} \triangleq \{v \in \text{Value} : \exists b \in \text{Ballot} : \text{ChosenAt}(b, v)\}$$

$$\text{Consistency} \triangleq \text{chosen} = \{\} \vee \exists v \in \text{Value} : \text{chosen} = \{v\} \quad \text{Cardinality}(\text{chosen}) \leq 1$$

$$\text{CannotVoteAt}(a, b) \triangleq$$

$$\wedge \text{maxBal}[a] > b$$

$$\wedge \text{DidNotVoteAt}(a, b)$$

$$\text{NoneOtherChoosableAt}(b, v) \triangleq$$

$$\exists Q \in \text{Quorum} :$$

$$\forall a \in Q : \text{VotedFor}(a, b, v) \vee \text{CannotVoteAt}(a, b)$$

$$\text{SafeAt}(b, v) \triangleq$$

$$\forall c \in 0 \dots (b - 1) : \text{NoneOtherChoosableAt}(c, v)$$

$$\text{VotesSafe} \triangleq$$

$$\forall a \in \text{Acceptor}, b \in \text{Ballot}, v \in \text{Value} :$$

$$\text{VotedFor}(a, b, v) \Rightarrow \text{SafeAt}(b, v)$$

$$\text{OneVote} \triangleq$$

$$\forall a \in \text{Acceptor}, b \in \text{Ballot}, v, w \in \text{Value} :$$

$$\text{VotedFor}(a, b, v) \wedge \text{VotedFor}(a, b, w) \Rightarrow (v = w)$$

$$\text{OneValuePerBallot} \triangleq$$

$$\forall a1, a2 \in \text{Acceptor}, b \in \text{Ballot}, v1, v2 \in \text{Value} :$$

$$\text{VotedFor}(a1, b, v1) \wedge \text{VotedFor}(a2, b, v2) \Rightarrow (v1 = v2)$$

$$Inv \triangleq \text{TypeOK} \wedge \text{VotesSafe} \wedge \text{OneValuePerBallot}$$

$$\text{THEOREM } \text{AllSafeAtZero} \triangleq \forall v \in \text{Value} : \text{SafeAt}(0, v)$$

BY DEF *SafeAt*

$$\text{THEOREM } \text{ChoosableThm} \triangleq$$

$$\forall b \in \text{Ballot}, v \in \text{Value} :$$

$$\text{ChosenAt}(b, v) \Rightarrow \text{NoneOtherChoosableAt}(b, v)$$

BY DEF *ChosenAt*, *NoneOtherChoosableAt*

$$\text{THEOREM } \text{OneVoteThm} \triangleq \text{OneValuePerBallot} \Rightarrow \text{OneVote}$$

BY DEF *OneValuePerBallot*, *OneVote*

$$\text{THEOREM } \text{VotesSafeImpliesConsistency} \triangleq$$

ASSUME *VotesSafe*, *OneVote*, *chosen* $\neq \{\}$

PROVE $\exists v \in \text{Value} : \text{chosen} = \{v\}$
 <1>1. PICK $v \in \text{Value} : v \in \text{chosen}$
 BY DEF *chosen*
 <1>2. SUFFICES ASSUME NEW $w \in \text{chosen}$
 PROVE $w = v$
 BY <1>1, <1>2
 <1>3. ASSUME NEW $b1 \in \text{Ballot}$, NEW $b2 \in \text{Ballot}$, $b1 < b2$,
 NEW $v1 \in \text{Value}$, NEW $v2 \in \text{Value}$,
 $\text{ChosenAt}(b1, v1) \wedge \text{ChosenAt}(b2, v2)$
 PROVE $v1 = v2$
 <2>1. *SafeAt*($b2, v2$)
 BY <1>3, *QuorumAssumption*, SMT DEF *ChosenAt*, *VotesSafe*
 <2>2. QED
 BY <1>3, <2>1, *QuorumAssumption*, Z3
 DEFS *CannotVoteAt*, *DidNotVoteAt*, *OneVote*,
ChosenAt, *NoneOtherChoosableAt*, *Ballot*, *SafeAt*
 <1>4. QED
 BY *QuorumAssumption*, <1>1, <1>2, <1>3, Z3
 DEFS *Ballot*, *ChosenAt*, *OneVote*, *chosen*
 THEOREM *ShowsSafety* \triangleq
 $\text{TypeOK} \wedge \text{VotesSafe} \wedge \text{OneValuePerBallot} \Rightarrow$
 $\forall Q \in \text{Quorum}, b \in \text{Ballot}, v \in \text{Value} :$
 $\text{ShowsSafeAt}(Q, b, v) \Rightarrow \text{SafeAt}(b, v)$
 BY *QuorumAssumption*, Z3
 DEFS *Ballot*, *TypeOK*, *VotesSafe*, *OneValuePerBallot*, *SafeAt*,
ShowsSafeAt, *CannotVoteAt*, *NoneOtherChoosableAt*, *DidNotVoteAt*
 THEOREM *SafeAtStable* $\triangleq \text{Inv} \wedge \text{Next} \wedge \text{TypeOK}' \Rightarrow$
 $\forall b \in \text{Ballot}, v \in \text{Value} :$
 $\text{SafeAt}(b, v) \Rightarrow \text{SafeAt}(b, v)'$

OMITTED

THEOREM *Invariant* $\triangleq \text{Spec} \Rightarrow \Box \text{Inv}$
 <1> USE DEF *Inv*
 <1>1. *Init* $\Rightarrow \text{Inv}$
 BY DEF *Init*, *TypeOK*, *VotesSafe*, *OneValuePerBallot*, *VotedFor*
 <1>2. $\text{Inv} \wedge [\text{Next}]_{\langle \text{votes}, \text{maxBal} \rangle} \Rightarrow \text{Inv}'$
 <2> SUFFICES ASSUME *Inv*, $[\text{Next}]_{\langle \text{votes}, \text{maxBal} \rangle}$
 PROVE Inv'
 OBVIOUS
 <2>1. CASE *Next*
 <3> SUFFICES ASSUME NEW $a \in \text{Acceptor}$, NEW $b \in \text{Ballot}$,
 $\vee \text{IncreaseMaxBal}(a, b)$
 $\vee \exists v \in \text{Value} : \text{VoteFor}(a, b, v)$
 PROVE Inv'

BY $\langle 2 \rangle 1$ DEF *Next*
 $\langle 3 \rangle 1$. CASE *IncreaseMaxBal*(a, b)
 $\langle 4 \rangle 1$. *TypeOK'*
 BY $\langle 3 \rangle 1$ DEF *TypeOK*, *IncreaseMaxBal*
 $\langle 4 \rangle 2$. *VotesSafe'*
 $\langle 5 \rangle$ SUFFICES ASSUME NEW $a_1 \in \text{Acceptor}'$, NEW $b_1 \in \text{Ballot}'$, NEW $v \in \text{Value}'$
 PROVE $\text{VotedFor}(a_1, b_1, v)' \Rightarrow \text{SafeAt}(b_1, v)'$
 BY DEF *VotesSafe*
 $\langle 5 \rangle 1$. $\forall aa \in \text{Acceptor}, bb \in \text{Ballot}, vv \in \text{Value} :$
 $\text{VotedFor}(aa, bb, vv) \equiv \text{VotedFor}(aa, bb, vv)'$
 BY $\langle 3 \rangle 1$ DEF *IncreaseMaxBal*, *VotedFor*
 $\langle 5 \rangle 2$. $\forall aa \in \text{Acceptor}, bb \in \text{Ballot} :$
 $\text{maxBal}[aa] > bb \Rightarrow \text{maxBal}'[aa] > bb$
 BY $\langle 3 \rangle 1$ DEF *IncreaseMaxBal*, *TypeOK*, *Ballot*
 $\langle 5 \rangle 3$. $\forall aa \in \text{Acceptor}, bb \in \text{Ballot} :$
 $\text{DidNotVoteAt}(aa, bb) \Rightarrow \text{DidNotVoteAt}(aa, bb)'$
 BY $\langle 3 \rangle 1$ DEF *IncreaseMaxBal*, *DidNotVoteAt*, *VotedFor*
 $\langle 5 \rangle 4$. $\forall aa \in \text{Acceptor}, bb \in \text{Ballot} :$
 $\text{CannotVoteAt}(aa, bb) \Rightarrow \text{CannotVoteAt}(aa, bb)'$
 BY $\langle 3 \rangle 1$, $\langle 5 \rangle 2$, $\langle 5 \rangle 3$ DEF *IncreaseMaxBal*, *CannotVoteAt*
 $\langle 5 \rangle 5$. $\forall bb \in \text{Ballot}, vv \in \text{Value} :$
 $\text{NoneOtherChoosableAt}(bb, vv) \Rightarrow \text{NoneOtherChoosableAt}(bb, vv)'$
 BY $\langle 5 \rangle 1$, $\langle 5 \rangle 4$, *QuorumAssumption* DEFS *NoneOtherChoosableAt*
 $\langle 5 \rangle 6$. QED
 BY $\langle 5 \rangle 1$, $\langle 5 \rangle 5$ DEF *TypeOK*, *Ballot*, *VotesSafe*, *SafeAt*
 $\langle 4 \rangle 3$. *OneValuePerBallot'*
 BY $\langle 3 \rangle 1$ DEF *IncreaseMaxBal*, *OneValuePerBallot*, *VotedFor*
 $\langle 4 \rangle 4$. QED
 BY $\langle 4 \rangle 1$, $\langle 4 \rangle 2$, $\langle 4 \rangle 3$ DEF *Inv*
 $\langle 3 \rangle 2$. ASSUME NEW $v \in \text{Value}$,
 $\text{VoteFor}(a, b, v)$
 PROVE *Inv'*
 $\langle 4 \rangle$ SUFFICES ASSUME NEW $Q \in \text{Quorum}$,
 $\text{ShowsSafeAt}(Q, b, v)$
 PROVE *Inv'*
 BY $\langle 3 \rangle 2$ DEF *VoteFor*
 $\langle 4 \rangle 1$. *TypeOK'*
 BY $\langle 3 \rangle 2$ DEF *TypeOK*, *VoteFor*
 $\langle 4 \rangle 2$. *VotesSafe'* Using *OneValuePerBallot* in *SafeAtStable*
 $\langle 5 \rangle$ SUFFICES ASSUME NEW $aa \in \text{Acceptor}'$, NEW $bb \in \text{Ballot}'$, NEW $vv \in \text{Value}'$,
 $\text{VotedFor}(aa, bb, vv)'$
 PROVE $\text{SafeAt}(bb, vv)'$
 BY DEF *VotesSafe*
 $\langle 5 \rangle 1$. CASE $\text{VotedFor}(aa, bb, vv)$
 $\langle 6 \rangle 1$. $\text{SafeAt}(bb, vv)$

BY $\langle 5 \rangle 1$ DEF *VotesSafe*
 $\langle 6 \rangle$ QED
 BY $\langle 4 \rangle 1, \langle 6 \rangle 1, \text{SafeAtStable}$ DEF *Next*
 $\langle 5 \rangle 2$. CASE $\neg \text{VotedFor}(aa, bb, vv)$
 $\langle 6 \rangle 1$. $aa = a \wedge bb = b \wedge vv = v \wedge \text{VotedFor}(a, b, v)'$
 BY $\langle 3 \rangle 2, \langle 4 \rangle 1, \langle 5 \rangle 2$ DEF *VoteFor, VotedFor, TypeOK*
 $\langle 6 \rangle$ QED
 BY $\langle 4 \rangle 1, \langle 6 \rangle 1, \text{ShowsSafety, SafeAtStable}$ DEF *VoteFor, Next*
 $\langle 5 \rangle$ QED
 BY $\langle 5 \rangle 1, \langle 5 \rangle 2$
 $\langle 4 \rangle 3$. *OneValuePerBallot'*
 BY $\langle 3 \rangle 2$ DEF *VoteFor, OneValuePerBallot, VotedFor, TypeOK*
 $\langle 4 \rangle 4$. QED
 BY $\langle 3 \rangle 2, \langle 4 \rangle 1, \langle 4 \rangle 2, \langle 4 \rangle 3$ DEF *Inv*
 $\langle 3 \rangle 3$. QED
 BY $\langle 2 \rangle 1, \langle 3 \rangle 1, \langle 3 \rangle 2$
 $\langle 2 \rangle 2$. CASE UNCHANGED $\langle \text{votes}, \text{maxBal} \rangle$
 BY $\langle 2 \rangle 2$
 DEFS *TypeOK, Next, VotesSafe, OneValuePerBallot,*
VotedFor, SafeAt, NoneOtherChoosableAt, CannotVoteAt, DidNotVoteAt,
IncreaseMaxBal, VoteFor
 $\langle 2 \rangle 3$. QED
 BY $\langle 2 \rangle 1, \langle 2 \rangle 2$
 $\langle 1 \rangle 3$. QED
 BY $\langle 1 \rangle 1, \langle 1 \rangle 2, \text{PTL}$ DEF *Spec*

THEOREM *Consistent* $\triangleq \text{Spec} \Rightarrow \Box \text{Consistency}$
 $\langle 1 \rangle$ USE DEF *Ballot*
 $\langle 1 \rangle 1$. *Inv* \Rightarrow *Consistency*
 $\langle 2 \rangle$ SUFFICES ASSUME *Inv*
 PROVE *Consistency*
 OBVIOUS
 $\langle 2 \rangle$ QED
 BY *VotesSafeImpliesConsistency, OneVoteThm* DEF *Inv, Consistency*
 $\langle 1 \rangle 2$. QED
 BY *Invariant, \langle 1 \rangle 1, PTL*

$C \triangleq \text{INSTANCE Consensus WITH } \text{chosen} \leftarrow \text{chosen}$
 THEOREM *Refinement* $\triangleq \text{Spec} \Rightarrow C! \text{Spec}$
 $\langle 1 \rangle 1$. *Init* $\Rightarrow C! \text{Init}$
 BY *QuorumAssumption, SetExtensionality, IsaM* ("force")
 DEF *Init, C!Init, chosen, ChosenAt, VotedFor*
 $\langle 1 \rangle 2$. $\text{TypeOK}' \wedge \text{Consistency}' \wedge [\text{Next}]_{\langle \text{votes}, \text{maxBal} \rangle} \Rightarrow [C! \text{Next}]_{\text{chosen}}$
 $\langle 2 \rangle 1$. UNCHANGED $\langle \text{votes}, \text{maxBal} \rangle \Rightarrow$ UNCHANGED *chosen*
 BY DEF *chosen, ChosenAt, VotedFor*

$\langle 2 \rangle 2. \text{TypeOK}' \wedge \text{Consistency}' \wedge \text{Next} \Rightarrow C! \text{Next} \vee \text{UNCHANGED } \text{chosen}$

$\langle 3 \rangle 1. \text{SUFFICES ASSUME } \text{TypeOK}', \text{Consistency}', \text{Next}$

$\text{PROVE } C! \text{Next} \vee \text{UNCHANGED } \text{chosen}$

OBVIOUS

$\langle 3 \rangle 2. \text{chosen} \subseteq \text{chosen}'$

BY $\langle 3 \rangle 1, \text{QuorumAssumption}, Z3$

DEFS $\text{Next}, \text{IncreaseMaxBal}, \text{VoteFor}, \text{Inv}, \text{TypeOK}, \text{chosen}, \text{ChosenAt}, \text{VotedFor}, \text{Ballot}$

$\langle 3 \rangle 3. \text{chosen}' = \{\} \vee \exists v \in \text{Value} : \text{chosen}' = \{v\}$

BY $\langle 3 \rangle 1 \text{ DEF } \text{Consistency}$

$\langle 3 \rangle 4. \text{QED}$

BY $\langle 3 \rangle 1, \langle 3 \rangle 2, \langle 3 \rangle 3 \text{ DEF } C! \text{Next}$

$\langle 2 \rangle 3. \text{QED}$

BY $\langle 2 \rangle 1, \langle 2 \rangle 2$

$\langle 1 \rangle 3. \text{QED}$

BY $\langle 1 \rangle 1, \langle 1 \rangle 2, \text{Invariant}, \text{Consistent}, \text{PTL} \text{ DEF } \text{Spec}, \text{Inv}, C! \text{Spec}$