MODULE *PaxosStore*

Specification of the consensus protocol in *PaxosStore*.

See [*PaxosStore@VLDB2017*](*https://www.vldb.org/pvldb/vol10/p1730-lin.pdf*) by *Tencent*.

EXTENDS *Integers*, *FiniteSets*

$Max(m, n) \triangleq$ IF $m > n$ THEN m ELSE n

$Injective(f) \triangleq \forall\, a, b \in$ DOMAIN $f : (a \neq b) \Rightarrow (f[a] \neq f[b])$

CONSTANTS

Participant, the set of partipants

Value the set of possible input values for *Participant* to propose

$None \triangleq$ CHOOSE $b : b \notin Value$

$NP \triangleq Cardinality(Participant)$ number of $p \in$ Participants

$Quorum \triangleq \{Q \in$ SUBSET $Participant : Cardinality(Q) * 2 = NP + 1\}$

ASSUME $QuorumAssumption \triangleq$

$\quad \wedge \quad \forall\, Q \in Quorum : Q \subseteq Participant$

$\quad \wedge \quad \forall\, Q1, Q2 \in Quorum : Q1 \cap Q2 \neq \{\}$

$Ballot \triangleq Nat$

$PIndex \triangleq$ CHOOSE $f \in [Participant \to 1 \,..\, NP] : Injective(f)$ *TODO*: (1) symmetry set? (2) model

$Bals(p) \triangleq \{b \in Ballot : b\%NP = PIndex[p] - 1\}$ allocate ballots for each $p \in Participant$

$State \triangleq [maxBal \ : Ballot \cup \{-1\},$

$\quad maxVBal : Ballot \cup \{-1\},\ maxVVal : Value \cup \{None\}]$

$InitState \triangleq [maxBal \mapsto -1,\ maxVBal \mapsto -1,\ maxVVal \mapsto None]$

For simplicity, in this specification, we choose to send the complete state of a participant each time. When receiving such a message, the participant processes only the "partial" state it needs.

$Message \triangleq [type \ : \{$"Prepare", "Accept", "ACK"$\},$

$\quad from : Participant,\ to :$ SUBSET $Participant,$ *TODO*: remove "to"

$\quad state \ : [Participant \to State]]$

VARIABLES

state, *state*[*p*][*q*]: the state of $q \in Participant$ from the view of $p \in Participant$

msgs the set of messages that have been sent

$vars \triangleq \langle state, msgs \rangle$

$TypeOK \triangleq$

$\quad \wedge \quad state \in [Participant \to [Participant \to State]]$

$\quad \wedge \quad msgs \subseteq Message$

$Send(m) \triangleq msgs' = msgs \cup \{m\}$

```
55  Init ≜
56      ∧ state = [p ∈ Participant ↦ [q ∈ Participant ↦ InitState]]
57      ∧ msgs = {}
```

p ∈ *Participant* starts the prepare phase by issuing a ballot $b \in Ballot$.

```
61  Prepare(p, b) ≜
62      ∧  state[p][p].maxBal < b
63      ∧  b ∈ Bals(p)
64      ∧  state′ = [state EXCEPT ![p][p].maxBal = b]
65      ∧  Send([type ↦ "Prepare", from ↦ p, to ↦ Participant, state ↦ state′[p]])
```

q ∈ *Participant* updates its own state *state*[*q*] according to the actual state *pp* of p ∈ *Participant* extracted from a message $m \in Message$ it receives. This is called by *OnMessage*(*q*).

Note: *pp* is *m.state*[*p*]; it may not be equal to *state*[*p*][*p*] at the time *UpdateState* is called.

```
74  UpdateState(q, p, pp) ≜
75      state′ = [state EXCEPT
76                  ![q][p].maxBal = Max(@, pp.maxBal),
77                  ![q][p].maxVBal = Max(@, pp.maxVBal),
78                  ![q][p].maxVVal = IF state[q][p].maxVBal < pp.maxVBal
79                                    THEN pp.maxVVal ELSE @,
80                  ![q][q].maxBal = Max(@, pp.maxBal),
81                  ![q][q].maxVBal = IF state[q][q].maxBal ≤ pp.maxVBal
82                                    THEN pp.maxVBal ELSE @,    make promise
83                  ![q][q].maxVVal = IF state[q][q].maxBal ≤ pp.maxVBal  TODO: write-once?
84                                    THEN pp.maxVVal ELSE @]   accept
```

q ∈ *Participant* receives and processes a message in *Message*.

```
88  OnMessage(q) ≜
89      ∃ m ∈ msgs :
90          ∧ m.type = "ACK" ⇒ m.to = {q}
91          ∧ LET p ≜ m.from
92            IN  UpdateState(q, p, m.state[p])
93          ∧ IF  ∨ m.state[q].maxBal < state′[q][q].maxBal   TODO: delete "if"?
94                ∨ m.state[q].maxVBal < state′[q][q].maxVBal
95            THEN Send([type ↦ "ACK", from ↦ q, to ↦ {m.from}, state ↦ state′[q]])
96            ELSE UNCHANGED msgs
```

p ∈ *Participant* starts the accept phase by issuing the ballot $b \in Ballot$ with value $v \in Value$.

```
101 Accept(p, b, v) ≜
102     ∧ ¬∃ m ∈ msgs :   TODO: delete it? to allow repeating Phase2a?
103           m.type = "Accept" ∧ m.state[p].maxBal = b
104     ∧ b ∈ Bals(p)      TODO: delete it? to break "client-restricted config"?
105     ∧ ∃ Q ∈ Quorum : ∀ q ∈ Q : state[p][q].maxBal = b
106     ∧ ∨ ∀ q ∈ Participant : state[p][q].maxVBal = −1   free to pick its own value
107       ∨ ∃ q ∈ Participant :   v is the value with the highest maxVBal
108             ∧ state[p][q].maxVVal = v
109             ∧ ∀ r ∈ Participant : state[p][q].maxVBal ≥ state[p][r].maxVBal
```

```
110     ∧ state' = [state EXCEPT ![p][p].maxVBal = b,
111                              ![p][p].maxVVal = v]
112     ∧ Send([type ↦ "Accept", from ↦ p, to ↦ Participant, state ↦ state'[p]])
113 ----------------------------------------------------------------------------
114 Next ≜ ∃ p ∈ Participant : ∨ OnMessage(p)
115                            ∨ ∃ b ∈ Ballot : ∨ Prepare(p, b)
116                                             ∨ ∃ v ∈ Value : Accept(p, b, v)
117 Spec ≜ Init ∧ □[Next]_vars
118 ----------------------------------------------------------------------------
119 ChosenP(p) ≜  the set of values chosen by p ∈ Participant
120     {v ∈ Value : ∃ b ∈ Ballot :
121                  ∃ Q ∈ Quorum : ∀ q ∈ Q : ∧ state[p][q].maxVBal = b
122                                           ∧ state[p][q].maxVVal = v}

124 chosen ≜ UNION {ChosenP(p) : p ∈ Participant}

126 Consistency ≜ Cardinality(chosen) ≤ 1

128 THEOREM Spec ⇒ □Consistency
129 ============================================================================
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    \ * Last modified Tue Jul 30 13:09:11 CST 2019 by hengxin
    \ * Last modified Mon Jul 22 13:59:15 CST 2019 by pure_
    \ * Last modified Mon Jun 03 21:26:09 CST 2019 by stary
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```