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I pledge my honor that I have abided by the Stevens Honor System.

**Problem 1** If  $G$  is a CFG in Chomsky Normal Form, show that a string of terminal symbols of length  $n \geq 1$  is generated by the application of exactly  $2n - 1$  rules of  $G$ .

Answer:

A CFG in CNF has every rule in the form

- $A \rightarrow BC$  where  $B, C$  are variables in  $V - \{S\}$ , or
- $A \rightarrow a$  where  $a$  is a terminal in  $\Sigma$ , or
- $S \rightarrow \epsilon$  where  $S$  is the start variable in  $V$

Let  $n$  be the length of the string, note  $n \geq 1$ .

Start constructing the string by using the start symbol, which has a length of  $n=1$ .

Next, using  $n-1$  rules of form  $A \rightarrow BC$ , you can construct a string of length  $n$ .

For each non-terminal symbol in the constructed string, apply the rules of form  $A \rightarrow a$ , meaning the rules of form  $A \rightarrow$  were applied  $n$  times.

In the process of constructing the string of length  $n$ ,  $n-1+n$  rules of the CFG were applied.

$n-1+n = 2n-1$ .

When constructing a string of length  $n$  from a CFG in CNF, the string is generated by applying exactly  $2n-1$  rules of  $G$

**Problem 2** Let  $G = (V, \Sigma, R, S)$  be a CFG where  $V = \{S, T, U\}$ ,  $\Sigma = \{0, \#\}$ , and  $R$  is the set of rules:

$S \rightarrow TT \mid U$

$T \rightarrow 0T \mid T0 \mid \#$

$U \rightarrow 0U00 \mid \#$

Describe the language  $L(G)$  in English and prove that it is not regular.

Notes:

Possible strings:

$S \rightarrow U \rightarrow (0)^i \# 0^{2i}$

$S \rightarrow TT \rightarrow \#\# \mid$  string with two  $\#$ 's and an even number of 0s, where  $\#$ 's can be anywhere within the string, but if one  $\#$  is at the start of the string then the other  $\#$  must be at the end of the string or there must be at least 1 0 between them, and the same goes of the string terminates in a  $\#$ . If the string starts and terminates in a zero then the  $\#$ 's can either be next to each other somewhere in the string or be separated by a number of zeroes.

Note any string made by  $U$  can't be in  $TT$  and vice-versa

Answer:

Let  $G$  be the CFG for CFL  $L(G)$ .

$L(G)$  is able to produce strings of two different forms

- Form 1:
  - A string with a certain number of 0s followed by a # and twice as many 0s that preceded the # symbol
  - The number of 0s before and after the # can be any number greater than or equal to 0
  - This string is derived from  $S \rightarrow U$
- Form 2:
  - A string with an even number of 0s, which includes no zeroes, and two # symbols
  - The #'s can be anywhere within the string
    - If one # is at the start of the string then the other # must be at the end of the string or there must be at least 1 0 between them
      - The same goes of the string terminates in a #
    - If the string has no zeroes then it is just the two #'s next to each other
    - If the string starts and terminates in a zero then the #'s can either be next to each other somewhere in the string or be separated by a number of zeroes
  - This string is derived from  $S \rightarrow TT$ .
- Note that  $L(G)$  can produce a string that is derived from  $U$  or that is derived from  $TT$ , and both strings have different forms, but both strings are in the language.

Proof:

Let  $p$  be the pumping length for  $G$  that is guaranteed to exist by the pumping lemma.

Select the string  $s = 0^p \# 0^{2p}$

$s$  is a member of  $G$  and of length at least  $p$ .

By the pumping lemma  $s$  can be divided into a string  $s = uv^i xy^i z$ .

Note that # cannot be pumped, therefore # cannot be contained within  $v$  or  $y$ .

The string will always have 0s in either the  $v$  or the  $y$ , resulting in three cases.

Case 1:

- $vxy$  contains  $p$  0s and  $z = \# 0^{2p}$ .
  - When pumped down to the string  $uxz$ , there are no zeroes proceeding the # yet there are  $2p$  zeroes after the #, thus creating a string out of the language, creating a contradiction
  - When pumped up, for example  $uv^2xy^2z$ , creates a string where the number of 0s after the # does not have twice the amount of 0s that proceed the #, creating a contradiction

Case 2:

- $vxy$  contains  $p$  0s,  $u = 0^p \#$ ,  $z = 0^p$ 
  - When pumped down, the string  $uxz$ , the number of 0s before and after the # are equal, creating a string out of the language, creating a contradiction
  - When pumped up, for example  $uv^2xy^2z$ , creates a string where there are more than  $2p$  0s after the #. Since the number of 0s before the # is still  $p$ , as  $u$  is not the portion of the string that can be pumped, this string is out of the language, creating a contradiction

Case 3:

- $x = \#$ ,  $|vy| \leq p-1$ ,  $v$  and  $y$  contain only 0s

- Note that the number of 0s in  $v$  cannot be equal to the number of 0s in  $y$  when  $p$  is an equal number
  - This is because if  $p$  is even then  $p-1$  is odd, so the amount of 0s in  $v$  and  $y$  cannot be split evenly
- When pumped up to where  $i$  is an even number, for example  $uv^2xy^2z$ , the amount of 0s before the  $\#$  do not increase in a way that allows for there to be twice as many 0s after the  $\#$  as there are 0s before the  $\#$ . This creates a string that is out of the language, creating a contradiction.
  - The same occurs when pumped down, string  $uxz$

Note that because the strings derived from  $U$  are in  $L(G)$ , but not in  $TT$  and vice-versa. This also creates a contradiction.

In all cases,  $\exists i: uv^i xy^i z$  that violates the pumping lemma by creating a string that does not belong to  $L(G)$ , therefore  $L(G)$  is not context free.

**Problem 3** Give a CFG for  $\{a^i b^k c^k d^k : i, k \geq 0\} \cup \{a^i b^k c^k d^i : i, k \geq 0\}$ . Is your grammar ambiguous?

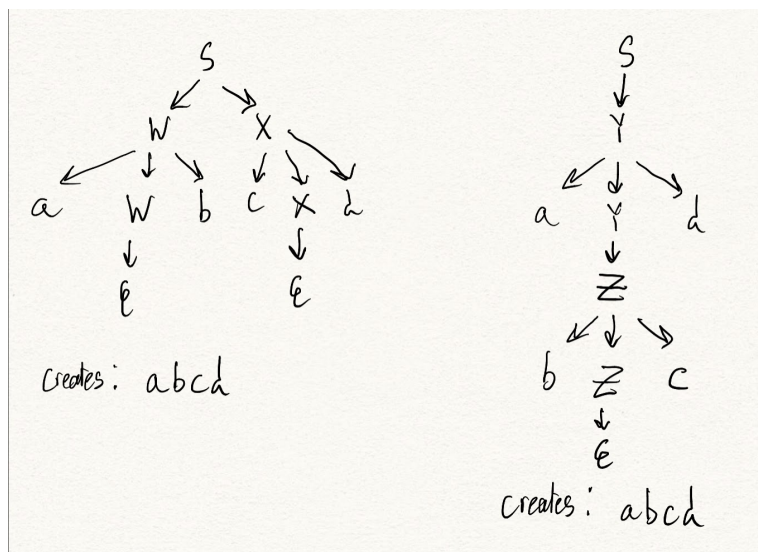
Answer:

Let  $G = (V, \Sigma, R, S)$  be the CFG for the language given in the problem, where  $V = \{S, W, X, Y, Z\}$  and  $R$  is the set of rules:

$S \rightarrow WX \mid Y$   
 $W \rightarrow aWb \mid \epsilon$   
 $X \rightarrow cXd \mid \epsilon$   
 $Y \rightarrow aYd \mid Z \mid \epsilon$   
 $Z \rightarrow bZc \mid \epsilon$

Check to see if ambiguous:

Parse trees for string  $abcd$  in grammar  $G$



Grammar G is ambiguous as it has two different leftmost derivations for strings where  $a=b=c=d$ , as in the number of each is the same. This is done by building the parts that are equal in length, and then moving on to build the next equal length segment of the string, since there are two ways to do this when  $a=b=c=d$  there are two different leftmost derivations.

**Problem 4** Let  $L_{\text{add}} = \{a^i b^{i+j} c^j : i, j \geq 0\}$  and  $L_{\text{mult}} = \{a^i b^{ij} c^j : i, j \geq 0\}$ . For each language, either give a CFG for it, or prove that it is not a CFL

Answer:

$$L_{\text{add}} = \{a^i b^{i+j} c^j : i, j \geq 0\}$$

Let  $ADD = (V, \Sigma, R, S)$  be a CFG for  $L_{\text{add}}$  where  $V = \{S, F, H\}$ ,  $\Sigma = \{a, b, c\}$ , and  $R$  is the set of rules::

$$S \rightarrow aFbH \mid FbHc \mid \epsilon$$

$$F \rightarrow aFb \mid \epsilon$$

$$H \rightarrow bHc \mid \epsilon$$

$$L_{\text{mult}} = \{a^i b^{ij} c^j : i, j \geq 0\}$$

Not a CFL

Proof:

Assume  $L_{\text{mult}}$  is a CFL

Let  $p$  be the pumping length, guaranteed to exist by the pumping lemma

$$\text{Let } s = \{a^p b^{p^2} c^p\}$$

By the pumping lemma, we can break  $s$  into five parts,  $uv^i xy^j z$ , where:

1. For all  $i \geq 0$ ,  $uv^i xy^j z \in L_{\text{mult}}$
2.  $|vy| > 0$
3.  $|vxy| \leq p$

For 5 different ways to break up the string:

1.  $vxy = a^t$  for some  $t \leq p$ ,  $z = b^{p^2} c^p$ . When only pumping down, creating the string  $uxz$ ,  $|b| \neq |a|*|c|$ , which exits the language.
2.  $vxy = a^t b^k$  for some  $t$  and  $k$  where  $t+k \leq p$ ,  $z = c^p$ . When pumping down creating the string  $uxz$ ,  $|b| \neq |a|*|c|$ , which exits the language.

When pumped up,  $\exists i$ :  $uv^i xy^j z$  does not belong to  $L_{\text{mult}}$ , as  $|b|/|a| \neq |c|$

3.  $vxy = b^t$  for some  $t \leq p$ . When pumping down, creating the string  $uxz$ ,  $|b| \neq |a|*|c|$ , which exits the language.
  4.  $vxy = b^t c^k$  for some  $t$  and  $k$  where  $t+k \leq p$ ,  $u = a^p$ . When pumping down, creating the string  $uxz$ ,  $|b| \neq |a|*|c|$ , which exits the language.
- When pumped up,  $\exists i$ :  $uv^i xy^j z$  does not belong to  $L_{\text{mult}}$ , as  $|b|/|c| \neq |a|$
5.  $vxy = c^t$  for some  $t \leq p$ ,  $u = a^p b^{p^2}$ . When pumping down, creating the string  $uxz$ ,  $|b| \neq |a|*|c|$ , which exits the language.

In all cases,  $\exists i$ :  $uv^i xy^j z$  does not belong to  $L_{\text{mult}}$ , therefore,  $L_{\text{mult}}$  is not a CFL.

**Optional Problem 5** Let  $\Sigma = \{a, b\}$ . Give a CFG to generate all and only strings which contain twice as many  $a$ 's as  $b$ 's. Give a proof that your grammar is correct.

Answer:

Let  $G = (V, \Sigma, R, S)$  be the CFG for CFL A, where  $V = \{S\}$ , and R is the set of rules:

$$S \rightarrow bSaSa \mid aSbSa \mid aSaSb \mid SS \mid \epsilon$$

Proof:

Let G represent the CFG for CFL A. Then  $L(G) = L$ .

For G to be correct  $L(G) \subseteq L$  and  $L \subseteq L(G)$ .

$L(G) \subseteq L$

- The application of the rules in G always produces a string that has twice as many a's as b's
- In order for this to be true each string will have to produce 2 a's every time it produces a b, which it does ( $S \rightarrow bSaSa \mid aSbSa \mid aSaSb$ ), or produces nothing ( $S \rightarrow SS \mid \epsilon$ )
- Therefore any string generated by G must have twice as many a's as b's.
- Then  $L(G) \subseteq L$

$L \subseteq L(G)$

- The smallest possible strings are  $x_0 \in \{\epsilon, aab, aba, baa\}$  all of which have  $Na(x_0) = 2 * Nb(x_0)$ , where  $Na(x)$  represents the number of a's in string x and  $Nb(x)$  represents the number of b's in the string x.
- Assume  $Na(x_n) = 2 * Nb(x_n)$  holds for all values of n.
- Basis:
  - $x = x_0$
  - $Na(x_0) = 2 * Nb(x_0)$  is true because  $x_0 \in \{\epsilon, aab, aba, baa\}$ .
- Inductive Hypothesis:
  - $Na(x_n) = 2 * Nb(x_n)$  holds for all values of n
- Inductive Step:
  - Let s represent the string that is inserted to the string every time a rule is carried out
    - $s \in \{\epsilon, aab, aba, baa\}$
  - $x(n+1) = x_n + s$
  - Every insertion of s into the strings either adds 0 a's and b's or 2 a's and 1 b.
  - Case of adding 0 a's and b's
    - $Na(x(n+1)) = Na(x(n))$
    - $Nb(x(n+1)) = Nb(x(n))$
    - $Na(x(n)) = 2 * Nb(x(n))$
    - $Na(x(n+1)) = 2 * Nb(x(n+1))$
  - Case when 2 a's and 1 b are inserted
    - $Na(x(n+1)) = Na(x(n)) + 2$
    - $Nb(x(n+1)) = Nb(x(n)) + 1$
    - $Na(x(n)) + 2 = 2 * (Nb(x(n)) + 1)$
    - $Na(x(n+1)) = 2 * Nb(x(n+1))$
- Therefore, by induction, all strings generated using the grammar contain twice as many a's as b's.

Since  $L(G) \subseteq L$  and  $L \subseteq L(G)$ , the grammar  $G$  correctly generates the strings in language  $L$ , meaning the grammar  $G$  is the correct CFG for CFL  $A$ .