

Proof Techniques

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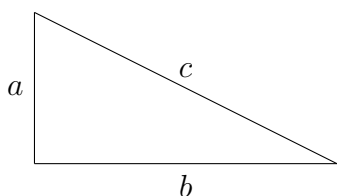
Axiomatic Proof

The notion of proof dated back to Euclid and Archimedes¹. The word axiom means something that we assume to be true. You could however argue whether the axiom applied to the problem we are trying to solve or not. If it does then that is good, but if it does not you pick the wrong set of axiom.

The axiom usually contains trivial stuff like you can commute addition ($a + b = b + a$) or if $a = b$ and $c = d$ then $a + c = b + d$ etc. Another example would be that the area is the same for every observer no matter who observe it or no matter how we move or rotate it.²

The idea of proof is to show that the statement is true/false beyond reasonable doubt. Let us work on our first proof

Theorem: Given a right triangle



Then, the length of all three sides are related by

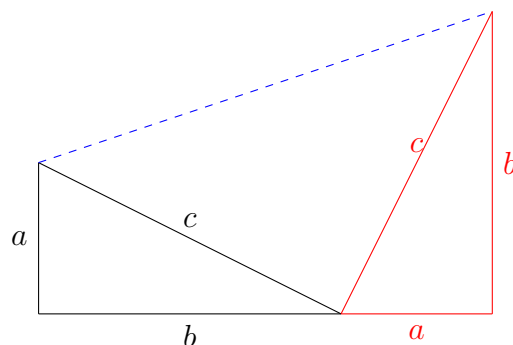
$$c^2 = a^2 + b^2$$

Proof: First, we pieces the tringle in a useful way³

¹The story of his death is quite intriguing. The city got invaded soldier comes in to his house. He refused to surrender since he is working on math.

²This is only true in flat space.

³This proof is credited to President Garfield.



We can calculate the area of this trapezoid in two ways

$$A_1 = \frac{1}{2}(b + a) \times (b + a)$$

or we can add up the area of all triangles

$$A_2 = \frac{1}{2}ab + \frac{1}{2}ab + \frac{1}{2}c^2$$

Since they are the same area,

$$A_1 = A_2 \quad (1)$$

$$\frac{1}{2}(b^2 + a^2 + 2ab) = \frac{1}{2}ab + \frac{1}{2}ab + \frac{1}{2}c^2 \quad (2)$$

$$a^2 + b^2 = c^2 \quad (3)$$

□

You can see from the above proof that the idea of the proof is that we start from knowledge which we know to be true: how to find the area. Then we build the idea in a small step making sure each step is reasonably obvious to the reader. Then after enough steps we should reach the final goal. Think of this as trying to explain to your friends in this class. Make sure that if your friends read this proof then he/she should be convinced what you are trying to say.

For a given proposition there are usually many ways to write it. Writing a good proof is a work of art. In this chapter, you will see a couple of common proof techniques.

Let us do a couple more examples.

Def: An integer a is even iff

$$\exists n \in I \text{ such that } 2n = a$$

Def: An integer a is odd iff

$$\exists n \in I \text{ such that } 2n + 1 = a$$

For example, 6 is even since if $n = 3$ then we get $2 \times 3 = 6$. 7 is odd since $n = 3$ then we get $2 \times 3 + 1 = 7$.

Let us use these definitions to show that

Theorem: Assume that x is even and y is odd then xy is even.

Proof: Since x is even this means, by definition above, that

$$\exists n \in I \text{ such that } 2n = x$$

Let us call the number $n_x \in I$

$$2n_x = x$$

Similarly, for y since it is odd, by definition, we can find an integer n_y such that

$$2n_y + 1 = y$$

Consider the product of the two

$$\begin{aligned} xy &= 2n_x \times (2n_y + 1) \\ &= 2(n_x \times (2n_y + 1)) \\ &= 2m \end{aligned}$$

Since m is a product of integers, m is an integer. Thus,

$$\exists m \in I \text{ such that } 2m = xy.$$

Therefore, xy is even. \square

It is very useful if you know exactly where exactly you are going. Otherwise you will get lost. The above prove illustrates this point. If you read the theorem for the first time, you will definitely have the question in your mind “What

exactly do I need to do?”. As you can see, we need to show that given all these assumptions, the result must be even. So, we really need to show that the product is even. Then you will ask yourself: what exactly do I need to show to show that something is even. To do that you will need to refer to the definiton. The definition says an integer even iff there is an integer n such that $2n$ =that number. So, our goal is to show that the product is really 2 times some integer.

Let us do the next example:

Theorem: If x is odd and y is odd then xy is odd.

Proof: Before we go on, remember that our goal is to show that xy is odd that is

$$xy = 2m + 1$$

for some integer m .

Since x and y are odd then there exists integer n_x and n_y such that

$$x = 2n_x + 1$$

$$y = 2n_y + 1$$

Let us consider the product

$$\begin{aligned} xy &= (2n_x + 1)(2n_y + 1) \\ &= 4n_x n_y + 2n_x + 2n_y + 1 \\ &= 2(2n_x n_y + n_x + n_y) + 1 \end{aligned}$$

Since ther term in the parenthesis is just a sum of integers, it is an integer. Therefore

$$xy = 2m + 1$$

where $m \in I$ and $m = (2n_x n_y + n_x + n_y)$. Thus, xy is odd. \square

As an exercise, to write proof to show that odd+odd = even, even+odd = odd etc.

Proof By Cases

This is the brute force method for proof. It works quite well. We kind of did it before when we show the logical identity that

$$(P \rightarrow Q) \wedge (Q \rightarrow P) = P \iff Q$$

. We showed that by building an exhaustive list of possible P and Q then we show that the left hand side and the right hand side are equal for all possibility of P and Q .

Let us consider a less trivial example of proof by case. Let us define a couple things first.

Def: A group of n strangers means a set of n people where none of them are friend on facebook.

Def: A club of n people means a set of n people where

$\forall a, b \in \text{club}, a \neq b; a \text{ and } b \text{ are friends on facebook.}$

In other words, it just means that everyone in the club knows everyone else in the club.

Now here comes our theorem.

Theorem: Every collection of 6 people includes either a club of 3 people *or* a group of 3 strangers.



Proof: The goal here is to show that you can form a club or a group of strangers for all the possibilities of friendship among these six people. You will learn later in the course that there are 2^{15} cases (assuming people are distinct).⁴ But that is a lot. So we need to be a little bit smart in doing cases analysis.

Let us consider A . So we have two cases:

- 1) At least 3 people are friend with A . [A has 3-5 friends]
- 2) At least 3 people are NOT friend with A . [A has 0-2 friends]

Let us consider case 1). If we consider the three people, who are friend with A there are two cases.

Case 1.1: *None* of the people who knows A know each other. Then these three people form a group of Strangers. The theorem is satisfied.

Case 1.2: *Some* pair of people who knows A know each other. Then the pair of people and A form a club of 3 people.

Now consider case 2). If we consider the 3 people who doesn't know A , there are 2 cases:

Case 2.1 *All* 3 people know each other. Then these 3 people form a club.

Case 2.2 *At least one* pair of these 3 people don't know each other. Then this pair of people and A form a group of 3 strangers.

Since we have covered every case, we are done with the proof. \square

Proof By Contradiction

Suppose we want to show that blackhole doesn't exist on the earth surface, we can use the following argument: if blackhole exists on earth then the earth would be gone. But the earth is still here therefore blackhole doesn't exist.

This kind of argument is called proof by contradiction. It goes along the line of assuming that the proposition is not true then it will lead to some contradiction/break something that we know to be true.

Theorem: An integer cannot be both odd and even.

Proof: Proof by contradiction is perfect for this kind of things. We do not have much handles to prove that something doesn't exist. Proof by contradiction gives us a handle.

So, first we will assume for the sake of contradiction that the proposition is false. That means

$\exists x \in I$ where x is both odd and even

Then, we hope that x we assume to exist will lead to some contradiction.

⁴There are actually 156 if you discount all the homomorphism. <https://oeis.org/A000088>

168 Since x is even. This means

$$x = 2n_E$$

169 for some integer n_E .

170 And since x is also even. This means

$$x = 2n_O + 1$$

171 for some integer n_O .

172 However, since

$$x = 2n_E = 2n_O + 1,$$

173 we have

$$n_E = n_O + \frac{1}{2}.$$

174 This is a contradiction since this means that
175 n_E and n_O cannot be both integer since one is a
176 half more than the other.

177 Therefore, an integer cannot be both odd and
178 even. \square

179
180 Let us consider a more challenging one

181 **Theorem:** If $a, b, c \in \mathbb{O}$ where \mathbb{O} means set of
182 all odd number then

$$ax^2 + bx + c = 0$$

183 has no integer solution.

184 **Proof:** We will prove this by contradiction. Let
185 assume for the sake of contradiction that there
186 exists an integer solution x . Then, x must be
187 odd

188 If x is even then

189 $ax^2 + bx + c = \text{even} + \text{even} + \text{odd} = \text{odd}$

190 . But, 0 is not an odd number. Therefore, even
191 x cannot be a solution.

192 If x is odd then

193 $ax^2 + bx + c = \text{odd} + \text{odd} + \text{odd} = \text{odd}$

194 . But, 0 is not an odd number. Therefore, odd x
195 cannot be a solution.

196 Thus, $ax^2 + bx + c = 0$ has no integer solu-
197 tion. \square

198
199 Let us consider a classic one.

⁵This proof has a very interesting history. <https://en.wikipedia.org/wiki/Hippasus>

200 **Def:** A number x is **rational** if $\exists p, q \in I$ such
201 that

$$x = \frac{p}{q}$$

202 **Def:** A number x is **irrational** if $\forall p, q \in I$

$$x \neq \frac{p}{q}$$

203 **Theorem:** $\sqrt{2}$ is irrational.

204 **Proof:** Let us assume for the sake of contradic-
205 tion that $\sqrt{2}$ is *rational*.

206 This means that $\exists p, q \in I$ such that

$$\sqrt{2} = \frac{p}{q}$$

207 Furthermore, we require that p and q has *no*
208 *common factor*. If it does then, we will cancel
209 them in the division.

210 Squaring both sides we have

$$p^2 = 2q^2 \quad (4)$$

211 This imply that p must be even by definition
212 of even. Thus

$$p = 2m$$

213 Plugging this back in to Equation 4 we got

$$\begin{aligned} 4m^2 &= 2q^2 \\ 2m^2 &= q. \end{aligned}$$

214 The last line tells us that q must also be even.
215 However, from above, we know that p and q
216 has no common factor. Thus, p and q cannot be
217 both even. This leads to a contraction.

218 Therefore, $\sqrt{2}$ is irrational.⁵ \square

219
220 Let us consider another classic one credited
221 to Euclid from 300BC but it still stands today as
222 an example of clever reasoning.

223 **Theorem:** There are infinitely many prime num-
224 bers

225 **Proof:** Let us assume for the sake of contradic-
226 tion that there are finite number of prime num-
227 ber. Let us call the set of *all* prime nubmer \mathbb{P} .

228 Since there are finite number of prime we can
229 write \mathbb{P} as

$$\mathbb{P} = \{p_1, p_2, p_3, \dots, p_n\}$$

230 where p_n is the *biggest* prime number.
 231 However, let us consider the number

$$q = p_1 p_2 p_3 \dots p_n + 1$$

232 This number is bigger than p_n so it cannot be
 233 a prime. Thus, $\exists p_k$ that divides q . Thus $\exists c \in I$

$$q = cp_k = p_1 p_2 p_3 \dots p_{k-1} p_k p_{k+1} \dots p_n + 1$$

234 Dividing both sides by p_k we have

$$c = p_1 p_2 p_3 \dots p_{k-1} p_{k+1} \dots p_n + \frac{1}{p_k}$$

235 This is not possible since the left hand side is
 236 integer but the right hand side is not an integer.

237 Thus, we have a contradiction since q must
 238 be either prime or non prime.

239 Therefore, there are infinitely many prime
 240 numbers. \square

241

242 Contrapositive Proof

243 Supposed you are trying to show that:

244 Dry Grass \implies Not Raining.

245 That is everytime your lawn is dry that means it
 246 didn't rain.

247 Supposed you can show the contrapositive
 248 that

249 Raining \implies Wet Grass

250 That is everytime it rains you lawn will be wet.
 251 Then your job is done.

252 Why is this true? If you think about it if we
 253 know for a fact that everytime it rains your grass
 254 is wet. Then, you know for a fact that on a rain-
 255 ing day, dry grass is not possible since it would
 256 violate what we know about Rains \implies Wet.
 257 Therefore, the only possibility left for having dry
 258 grass is that it didn't rain.

259 In a formal logic sense, this is the same thing
 260 as using

$$P \rightarrow Q = \sim Q \rightarrow \sim P.$$

261 We worked out earlier that the two state-
 262 ments are equivalent. Thus, if we can show that

263 the right hand side is true then the left hand side
 264 is automatically true.

265 In our grass and rain example, P is Dry Grass
 266 and Q is Not Raining and we are trying to show
 267 that

268 Dry Grass(P) \implies Not Raining(Q)

269 by using the proving the fact that

270 Raining($\sim Q$) \implies Wet Grass($\sim P$)

271 This sometimes make the proof much easier
 272 to construct. Let us look at an example:

273 **Theorem:** $\forall x \in I$, If $7x + 9$ is even then x is
 274 odd.

275 **Proof:** Let us do this first by doing a direct
 276 proof.

277 Since $7x + 9$ is even that means $\exists n \in I$

$$7x + 9 = 2n$$

$$7x = 2n - 9$$

$$x = 2n - 6x - 9$$

$$x = 2(n - 4x - 5) + 1$$

278 Therefore n is odd. \square

279

280 **Proof:** We will now do this by contrapositive.
 281 So we want to prove the contrapositive of the propo-
 282 sition which is

283 If x is even, then $7x + 9$ is odd.

284 x is even. Thus, $7x$ is even. Therefore $7x + 9$
 285 is odd. \square

286

287 You can see that for this proposition contra-
 288 positive make our lives much easier.

289 **Theorem:** If $x^2 - 6x + 5$ is even then x is odd.

290 **Proof:** I am not even sure how to do direct
 291 proof for this one. But the contrapositive is easy
 292 to prove. The contrapositive of this proposition
 293 that we want to prove is

294 x is even then $x^2 - 6x + 5$ is odd.

295 This is easy since

$$\begin{array}{ccccccc} x^2 & - & 6x & + & 5 & = & \text{odd} \\ \uparrow & & \uparrow & & \uparrow & & \\ \text{even} & & \text{even} & & \text{odd} & & \end{array}$$

296

297

298 Let us look at another example how this is
299 helpful.

300 **Def:** Let $n, x \in I$, $n|x$ reads n divides x . This
301 means that $\exists c \in I$ such that $x = cn$.

302 **Def:** $n \nmid x$ reads n does not divide x . This means
303 that $\forall c \in I$, $x \neq cn$. Or in plain text it means x
304 does not contain n as a factor.

305 **Theorem:** Let $a, b, n \in I$. If $n \nmid ab$ then $n \nmid a$
306 and $n \nmid b$

307 **Proof:** Let us prove the contrapositive of the
308 proposition which is

309
$$\text{If } n|a \text{ or } n|b \text{ then } n|ab.$$

310 Notice how and is changed to or. If you think
311 about the negation of logic this is what you want.

312 So, first, if $n|a$ then

$$a = cn.$$

313 Therefore, multiplying b on both sides gives

$$ab = cnb = n(cb).$$

314 Therefore, $n|ab$.

315 The proof for the case $n|b$ is similar to the
316 case where $n|a$. This is left for the reader as an
317 exercise.

318 Therefore, the contrapositive is true. Thus,

319
$$\text{If } n \nmid ab \text{ then } n \nmid a \text{ and } n \nmid b$$

320 □

321 Iff

322 This section is a little sidenote for how to show
323 if-and-only-if. This is best illustrated by an ex-
324 ample.

325 **Theorem:** Let $a, b \in I$, then ab is even if and
326 only if a is even or b is even.

327 **Proof:** To prove that P iff Q we need to show
328 that P implies Q and Q implies P . Specifically,
329 we need to show two things:

330 a.) $P \rightarrow Q$. ab is even $\rightarrow a$ is even or b is even.

331 b.) $Q \rightarrow P$. a is even or b is even $\rightarrow ab$ is even.

332 To make this prove we are going to use
333 lemma. You can think about lemma as mini the-
334 orem or helper function.

335 **Lemma 1:** ab is even $\rightarrow a$ is even or b is even.

336 **Proof:** The first direction we can use contraposi-
337 tive of the proposition above which is

338
$$a \text{ is odd and } b \text{ is odd} \rightarrow ab \text{ is odd.}$$

339 We have proved this before □

340 .

341 **Lemma 2:** a is even or b is even then ab is even.

342 **Proof:**

343 If a is even then $a = 2n$ and $ab = 2(nb)$.
344 Therefore, ab is even.

345 If b is even then $b = 2n$ and $ab = 2(nb)$.
346 Therefore, ab is even. □

347 Back to our main theorem. By Lemma 1 and
348 Lemma 2,

350
$$ab \text{ is even if and only if } a \text{ is even or } b \text{ is even.}$$

351 □

352

353 Pigeon Hole Principle

354 This is a simple statement saying that

355 **Theorem:** If there are more pigeons than the
356 hole, then at least one hole has more than one
357 pigeon.

358 **Proof:** Left for the reader as an exercise. Use
359 contrapositive. □

360

361 Eventhough, it seems like a trivial statement.
362 It crops up everytime in the place you never ex-
363 pect. For example,

364 **Theorem:** At least two people in Bangkok have
365 the same number of hair.

366 **Proof:** For the area of our sculp it can accomodate at most 300,000 hair but there are 10 million people in bangkok. If we think about the hair as holes and people as pigeons. Then, pigeon hold principle guarantees that there is at least one hair hole that has more than one people

371

in it. □

Theorem: A group of N people will have at least two people who have the same amount of friend(mutual) within the group.

Proof: We can't exactly apply pigeon hole principle here since the number of friends in the group is from 0 to $N - 1$ which is N slot yet we have N people.

But, if we consider two cases:

- a.) If at least one person have zero friend, then no one can have $N - 1$ friend. Then the number of friends possibility goes from 0 to $N - 2$ which means there are $N - 1$ slots and there are N people. The pigeon hole principle tells us that at least two people have the same amount of friend.
- b.) If no one has zero friend, then the possibility of number of friend then go from 1 to $N - 1$ which means there are $N - 1$ possibilities. Since we have N people, the pigeon hole principle tells us that at least two people have the same amount of friends.

□

Bonus Card Tricks

Spoiler Alert!!. To get the full experience don't read this before the class. Get fooled first and use this as reference.

Klondike Shuffle

It's a self-working magic trick. So here is the effect:

- a.) Have a volunteer(victim) pick any card. Take a peek and place it back face down on the top of the pile.
- b.) Now you will need to place the top 26 cards down on the table one by one(important). This means the picked card will be at the very bottom. The left over 26 cards form another pile.

- c.) At this stage we have two piles of 26 each. Where one of them has the selected card at the bottom. We will call this pile A and the other pile B .
- d.) Have the volunteer pick his/her favorite number, p between 1 to 26. Then remove that many cards from pile B .
- e.) Put pile A on top of pile B .
- f.) Then, we do the Klondike Shuffle. Klondike is ice-cream sandwich. This is done by removing the top and the bottom card as a pair and put them into another pile. Do this for the whole deck. For example, if the card order is (top)1,2,3,4,5,6(bottom) after Klondike shuffle, we should end up with 3,4,2,5,1,6.
- g.) Give the volunteer the klondike-shuffled deck. Ask the volunteer again what was his/her favorite number p . The chosen card will be at the p -th position from the top.

For this kind of trick, to understand how it works, all we need to do is just to keep track of where the chosen card is.

Theorem: Given two pile of n card each one with the chosen card at the bottom. The card trick works.

Proof:

- Let the number the volunteer picked to be p . Since we remove p card from the pile without the chosen card. We end up with two pile.
 - Pile A : n -card pile with the chosen card at the bottom.
 - Pile B : $n - p$ -card pile.
- Since we put pile A on top of pile B . That means the deck consists of $n - 1$ card on the top, then our chosen card, then $n - p$ cards. The total nubmer of cards is $2n - p$.
- After $n - p$ Klondike shuffle, the chosen card will be the at the bottom of the leftover deck in our hand.

453	• Since the chosen card will be place down	486	• If it's black, place the red card face up
454	next, all we need to compute now is the	487	on the right. Then place the next card
455	total number of cards left in our hand.	488	face down next to the face up black
		489	card.
456	• We did $n - p$ Klondike shuffle, which means		
457	we have placed $2(n - p)$.	490	c.) Continue with the earlier procedure for the
		491	rest of the deck. We should end up with 4
458	• The total number of cards was $2n - p$ that	492	pile.
459	means we have $(2n - p) - 2(n - p) = p$ cards		
460	left in our hand.	493	• Face up red card pile
		494	• Face down cards that were after red
461	• Since we continue to klondike-shuffle the	495	cards. (R pile)
462	rest of the deck. This means that the cho-		
463	sen card will appear at the p position from	496	• Face up black card pile
464	the top of the klondiked-shuffle deck.		
		497	• Face down cards that were after black
465	□	498	cards. (B pile)
466			
467	Trolling people	499	d.) Give the R pile to one spectator S_r and the
		500	B pile to another spectator S_b .
468	As a follow up to this trick after we learn how it		
469	works. This will throw a lot of people off guard.	501	e.) Have S_r pick a number p_r .
470	The idea is instead of putting pile A on top of		
471	pile B . We do the opposite: putting B on top	502	f.) Then told S_r to give p_r cards to S_b .
472	of A . This means that the chosen card would be		
473	at the very bottom of the klondike-shuffled deck	503	g.) Then have S_b give p_r cards back to S_r .
474	and not at the the p position from the top. Then	504	They both end up with the same number
475	we can pretend the oh wait the trick does work.	505	of cards each of them had at the begin-
476	Then, show the bottom card aka the chosen on	506	ning. They do not necessarily have the
477	as dramatic as you like.	507	same number of cards.
478	Red Pile and Black Pile	508	h.) Have them exchange equal number of cards
		509	as many times as they like.
479	Here is a variant of the red pair, black pair trick		
480	we proof earlier. Here is the effect.	510	i.) Ask S_r to count number of red cards and
481	a.) Have the spectator shuffle the deck.	511	ask S_b count the number of black cards.
482	b.) Open one card at the top.	512	j.) The two numbers will be the same.
483	• If it's red, place the red card face up	513	Proof: The proof is left to the reader as exer-
484	on the left. Then place the next card	514	cise. This is a euphimism for the fact that I'm
485	face down next to the face up red card.	515	too lazy to write it down.