

## UML- a Brief Look

UML grew out of great variety of ways

Design and develop object-oriented models and designs

By mid 1990s

Number of credible approaches reduced to three

Work further developed and refined

By 1997 version 1.1 of UML

Submitted and accepted by Object Management Group - OMG

OMG body that defines standards in many areas of computer science

Current version is 2.1.2

## UML and the Process

UML designed to be independent of any sw development process

Its designers use three views that work best in conjunction with UML

- Use case driven
- Architecture centric
- Iterative and Incremental

UML views development of software system as series of cycles

The series ends with release of version of system to customers

These may be inside or outside of the company

Within the unified process

Each cycle contains four phases

- Inception

*Goal* – establish viability of proposed system

Define scope of the system

Outline candidate architecture

Identify critical risks

Determine when and how they will be addressed

Start to make case that project should be done

- Elaboration

*Goal* – establish ability to build system given all constraints

Capture majority of remaining functional requirements

Expand candidate architecture into full architectural baseline

Finalize business case for project

Elaborate on plans for next phase

- Construction

*Goal* – build a system capable of operating successfully in beta customer site

Build system iteratively and incrementally

Make certain visibility always evident in executable form

- Transition

*Goal* – roll out fully functional system to customer

Correct any defects

Modify system to correct any previous unidentified problems

### Within process

We identify five workflows that cut across all four phases

Each workflow is set of activities project people perform

- Requirements

Establish requirements for system

These are high-level functional requirements

For system being modeled

These give the *what* of the design not the *how*

Allows people to agree on

Capabilities of system

Conditions to which it must conform

- Analysis

Build analysis model

Used to refine and structure

Functional requirements captured earlier

- Design

Build design model

Describes the physical realization

From requirements and analysis models

- Implementation

Build implementation model

Describes how requirements packaged into software components

- Test
  - Build test model
  - Describes how system integration and systems test
  - Will exercise components from implementation model

## UML Diagrams

UML uses diagrams and models

As a first step towards expressing

Static and dynamic relationships amongst objects

While an important part of the standard

Authors do not see such diagrams as the main thrust of the approach

Rather a philosophy of a *Model Driven Architecture* (MDA)

In which UML is used as a programming language is more common.

### Class

Use Case  
Component  
Communication

State Chart  
Timing  
Sequence  
Activity

Object  
Package  
Composite Structure  
Interaction  
Deployment

High level goal is to create an environment in which tool vendors

Can develop models that can work with a wide variety of other MDA tools

On the user side

Designers who work with UML range from

Those who are putting together a 'back of the envelop' sketch

To those who utilize it as a formal (high level) design and programming language

Current standard recognizes thirteen different classes of drawings

As a design evolves

These different perspectives offer a rich set of tools

Whereby we can formulate and analyze potential solutions

Such tools enable one to model several different aspects of a design

It's rare that all of the types are used in a single design.

UML diagrams and models reflect

*Static* and *dynamic* relationships

Amongst classes and class instances

Static relationships

Will give us the architecture of our design

Dynamic relationships

Will give us behaviour of our system

At runtime

We will introduce and use the static aspects of UML models

## Use Case Diagrams

The first diagram that we'll look at is the *Use Case*

Use cases widely employed

As a mechanism for capturing user requirements

In a form that can be used to drive the rest of the development process

Once agreed to by the customer

Use cases become basis for all further

- Analysis
- Design
- Construction
- Testing
- Deployment

of the software system

At each phase in the process

Results are validated against the requirements

Embodied in the use cases

Use case scenarios form the basis for the functional tests

That verify the software does what it is supposed to do

## Use case

Gives outside view of the system

Describes the public interface for the module or system

Answers the questions

*What* is the behavior that the user sees?

*What* is the behavior the user expects?

Repeatedly poses the question

*What?* until the external view of the system has been satisfactorily captured

## The use case diagram

Intended to present the main components of the system

How the user interacts with those components

Like many of the diagrams we'll work with

Use case diagram can be hierarchical in nature

From top level drawing, one can expand each use case

Into sub use cases as necessary

## Components

Diagram comprises three components

The *system*

The *actor(s)*

The *use case(s)*

### System

Meaning of system is self evident

It's expressed in the diagram as a box

We'll often leave this off the diagram

### Actors

An actor represents

"A coherent set of roles users of use cases play when interacting with these use cases."

Booch 1999, pp. 221

Represent any one or any thing that might be using the system

Human

Hardware device

Another system

Drawn as simple stick figures

Viewed as being outside of the system

## Use Cases – Graphical View

Use cases represented as a solid oval

Identify the various behaviors of the system or ways it might be used

They encapsulate the events or actions

That must occur to implement the intended behavior of the system

Are stated or expressed from the point of view of the user

Accompanying each use case

Is a textual component fully describing it

Use case diagrams can be a very powerful tool

During the early stages of a project

When trying to identify, define, and capture the requirements for system

As we construct the diagram

We place the actor that executes the use case on the left hand side

Supporting actors appear on the right hand side

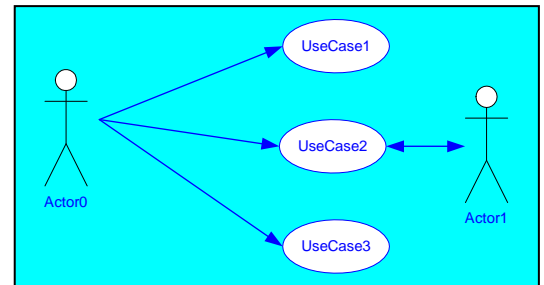
Not restricted to human users

Actor can be a computer or other system as well

Set of use cases appears in the center of the drawing

With arrows indicating the actors involved in the use case

A generic use case diagram given as



System comprises three use cases

Actor0 is using the system

Appears on the left hand side

Actor1 is supporting UseCase2

Placed on the right hand side

It's important to remember to keep things simple

When putting the use case diagram together

If system being designed shows twenty five to fifty use cases

On the top level drawing

Time to rethink the design

## Use Cases – Textual View

Use case diagram

Captures a graphical representation of the public interface

To the module or system

Useful to be able to visualize the relationships between

Use cases in a requirement

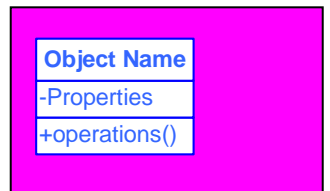
Show the static relationship between use cases

Equally important to analyze what a use case means  
In terms of the functionality the system must deliver  
Associated with each use case is a textual description  
Called the *use case specification*  
Such a description can be decomposed into two pieces  
*Normal activity* of the use case  
How *exceptional conditions* are to be handled

Use case specification describes  
What actions the actor is to perform  
How the system is expected to respond  
Gives set of sequences of actions, including variants  
System performs  
That yields an observable result  
Of value to an actor  
When OO analyst-designers talk about the use cases related to a system  
Mean the combination  
UML use case diagrams  
Use case specifications

## Class Diagram – Objects and Tasks

Class diagram presents the various kinds of objects in the system  
Permits capturing the relationships amongst them  
Called *associations*.



Notation for a class is a rectangle  
Simple version with just name  
Often used during exploratory phases of modeling  
When primary concern is  
Structural relationships between classes  
Rather than with their attributes and operations

Later when more detail needed  
Rectangle subdivided into three areas

- Top area gives the *name* of the class or object
- Middle section identifies all of the *properties* of the object

Will generally be declared inside the module implementation

Thereby hidden from the casual user

- Third pane identifies the *operations* object is intended to perform

These establish the external behavior of the object

Provide the public interface to the object.

For us

Object diagram or class diagram

Reflects exactly the characteristics we need

To express a task - we have

Function which implements the task

Data which task utilizes

### Intertask Relationships

We can define number of different relationships

Among tasks

Such relationships can be

Static

Dynamic

Both

Static relationships

Will give us the architecture of our design

Dynamic relationships

Will give us behaviour of our system

At runtime

### Static Relationships

We'll start with static relationships

Relationships

Containment

*Containment* conveys the idea



One object is made up of several others

Implements a whole – part relationship

Under UML we can express two different forms of containment

- Aggregation
- Composition.

### Aggregation

*Aggregation* which expresses a *whole – part relationship*

In which one object or module

Contains another module

Key characteristic of an aggregation

One or more smaller functions are parts of whole

More complex function decomposed

Into number of smaller functions or modules

Owned module(s) may be *shared* with other modules

Outside of the aggregation

Under such conditions

Rules must be established

To ensure proper management of the shared module

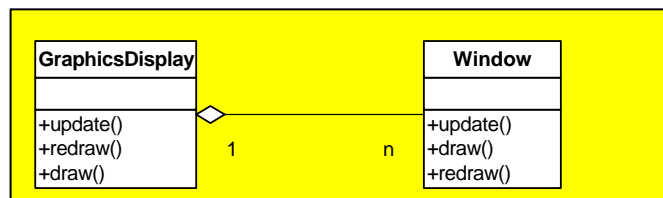


Diagram illustrates design in which

Graphics display implemented as

Aggregate of windows

Windows can exist

Outside of display

UML diagram for the aggregation relationship

Presents both the whole and its parts

Connected via a solid line

Originates at an open diamond on the end associated with the whole

Terminates on the end associated with the part

## Composition

The *composition* relationship is similar to aggregation

Notion of ownership of the parts by the whole is much stronger

Elements of the composition

Cannot

Be part of another object

Exist outside of the whole object

Idea is loosely analogous to local variables in a function

Once one leaves the scope of the function

Local variables disappear

Consider a schedule

Made up of a number of intervals

Without the schedule

Intervals have no meaning

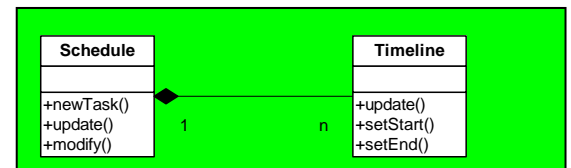
We express such a relationship as given

The schedule is composed of 1 to n intervals

Diagram is similar to that for the aggregation

The connecting line now originates in a solid rather than open diamond

We annotate the relationship as a 1 to n composition



## Dynamic Relationships

Dynamic relationships

Provide information about behaviour of system

While performing intended task

Provide information about interaction among tasks

While performing task

As we discussed earlier important considerations include

Concurrence

Persistence

## Interaction Diagrams

For our work

Understanding and modeling

Dynamic behaviour of our system is essential

Dynamic behaviour

Gives us information about the lifetime of a task

Tells us when that task is active

Models interactions amongst tasks

Such interaction takes form of messages

We've seen message is communication

Between two or more tasks

Can take several forms

Event

Rendezvous

Message – bad choice of words

Generally message results in

One or more actions

Such actions are executable functions within the task

Result in change in values of one or more attributes

UML explicitly supports five kinds of actions

- Call and Return

Call action invokes method on object

Return returns value in response to call

- Create and Destroy

Create action creates object

Destroy does opposite

- Send  
Sends signal to object

These actions shown in following diagrams

The dashed line emanating from each object or class  
Called lifeline

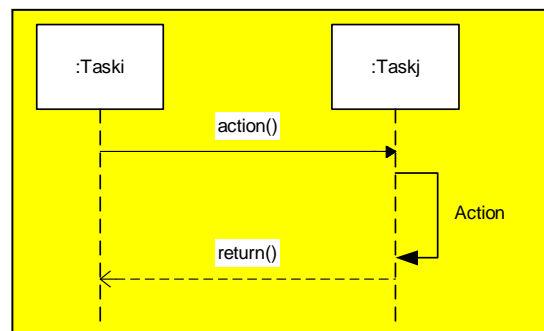
### *Call and Return*

Express call action

Solid arrow from calling object to receiving object

Express return action

Dashed arrow from receiving object to calling object



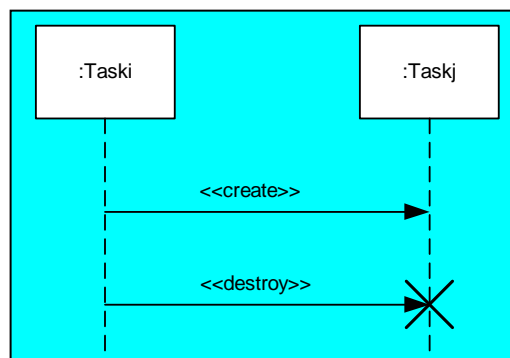
### *Create and Destroy*

Express create action

Solid arrow from creating object to created class instance

Express destroy action

Solid arrow from destroying object to destroyed class instance



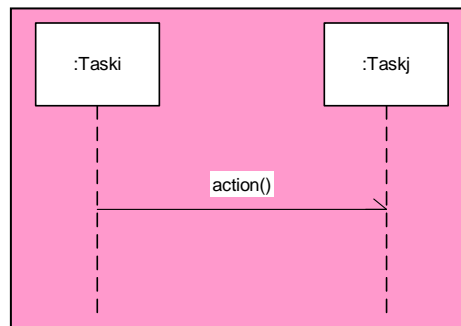
## *Send*

Express send action

Solid arrow with half arrow head

From sending task to receiving task

Sender does not expect response



## Sequence Diagrams

Purpose of sequence diagram

Express time ordering of message exchange

Between objects

Have 4 key elements

- Objects

Appear along top margin

For our implementation these will be the tasks

- Lifeline

Described earlier

- Focus of control

Thin rectangular box

Straddles task's lifeline

Indicates time during which object

In control of flow

Executing method or

Creating another task

- Messages

Show actions objects perform

Each other

Self

Diagram gives sequence diagram

Logging into system

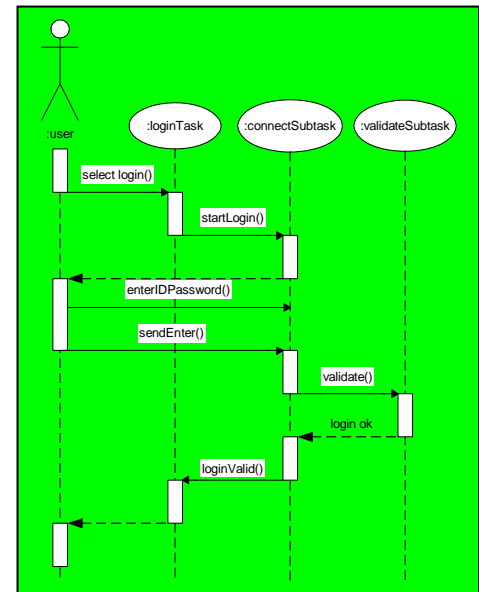
Observe that the loginTask has spawned

*loginSubtask*

As the sequence proceeds

The *validateSubtask* spawned and

Confirms login parameters



Fork and Join

When we work in multitasking system

Common sequence

Parent process or task to start

Spawn several child tasks

These do the real work

Child tasks complete

Child tasks terminate

Parent class terminates

The process of splitting flow of control into two or more flows

Called *fork*

Each flow operates independently of the others

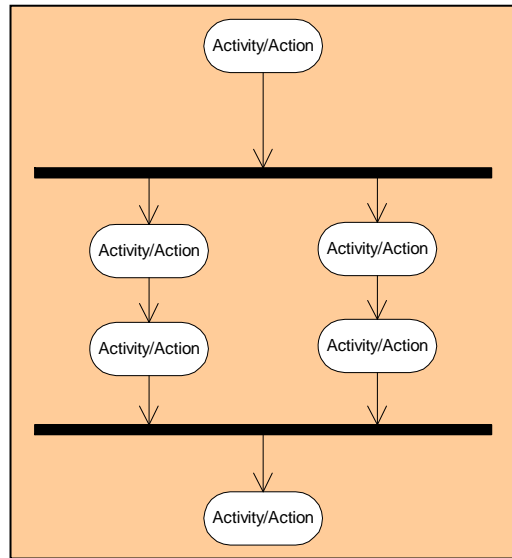
Synchronization of multiple flows into one

Called *join*

We model control flow behaviour of processes and tasks

Using Fork and Join diagram

Such diagram reflected as follows



Forks and joins represented by thick black rectangle

Called *synchronization bar*

Fork occurs after first activity or action completes

Following action

Task spawns subtasks

Suspends itself until subtasks complete

Once all subtasks have completed

Join occurs

Original task resumes its activities

### Branch and Merge

Another form of flow of control is *branch*

The thread of execution is determined

By value of some control variable

Such a structure permits one to model

Alternate threads of execution

A *merge* brings the flow back together again

Each is represented by the diamond symbol

That is commonly found in the familiar flow chart

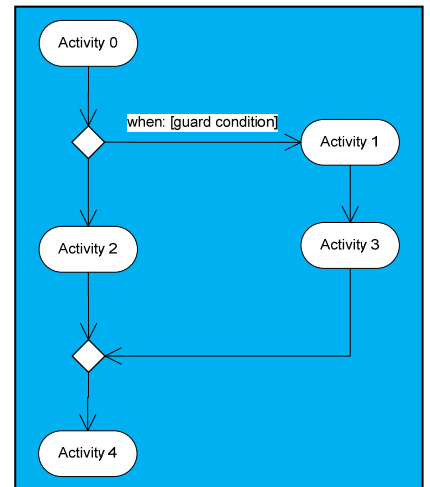
Sequential flow

Shown by a solid arrow  
Individual tasks or activities  
Shown using a rounded rectangle

Simple diagram with two alternate paths of execution  
For a portion of the overall task  
Given in adjacent diagram

Following completion of activities in right hand path  
Flow of control merges back to a single path  
At each branch point

One can associate a guard condition  
To stipulate under what conditions the branch is to be taken  
The guard condition  
Shown in square brackets on the transition arrow



## Activity Diagram

An *activity diagram* permits the capture of  
All of the procedural actions or flows of control within a task  
Such actions may be

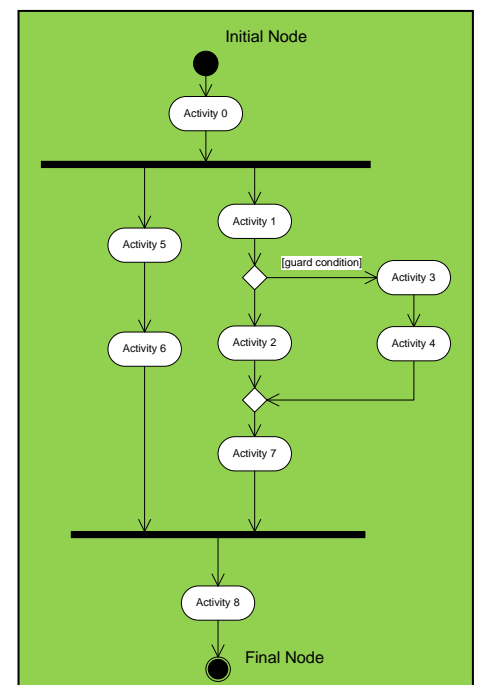
- Branch and merge
- Fork and join
- Simple transition from state to state

The initial node in the diagram  
Given by a solid black circle

The final node  
Solid black circle surrounded by a second circle

Accompanying diagram shows how we might  
combine

Earlier activities into a larger task  
Conversely, one can show how a larger task  
Decomposed into its components





## Events State Machines and State Chart Diagrams

### *Events*

Any embedded application must interact

With world around it

System will accept inputs and produce outputs

Inputs generally result in some associated action

Actions may or may not lead to an output

Such inputs outputs and actions

Referred to under various names

Under UML umbrella

Inputs and outputs collected under name *events*

Event is any occurrence of interest to the system

Generally to one of the tasks in the system

UML supports 4 kinds of events

- Signal  
Asynchronous exchange between tasks
- Call event  
Synchronous communication involving  
Sending message to another task  
Sending a message to self
- Time event  
Event occurs after specified time interval elapsed
- Change event  
Event occurs after some condition satisfied

### *State Machines and State Chart Diagrams*

We have studied and used state machines

Model and implement behaviour of system in time

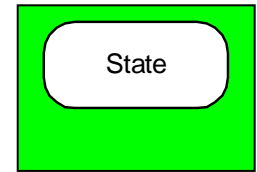
We now apply those concepts to design and implementation of

Embedded applications

UML supports and extends

Traditional notion of state machines

A *state* is written as rectangle with rounded corners



Transitions between states

Reflect change in system from one state to another

Expressed as an arrow directed from

Source to destination

Transition occurs when

- Event of interest to system occurs
- System has completed some action
  - Ready to move to next state
  - Called *triggerless* transition
- We may have an action associated with the transition
- We may have a transition to self

We see all four types of transition in

Accompanying figure

Guard Conditions

A guard condition

Boolean expression that must evaluate to true

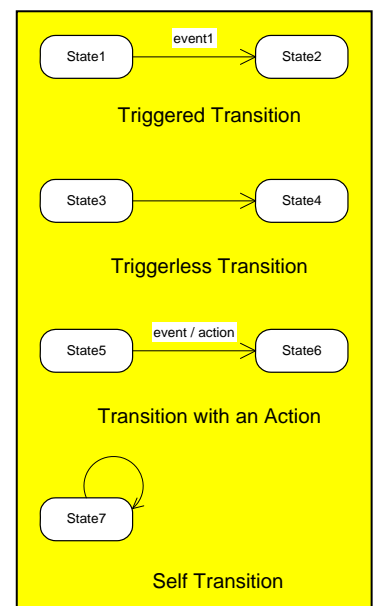
Before transition can fire

We show a guard condition in square brackets

Near transition arrow

- If guard condition associated with event  
*EventName* [*guardCondition*]

If condition evaluates to false



Transition not taken

- If event, guard condition, action  
*EventName [guardCondition] / Action*  
If condition evaluates to false  
Action not executed and transition not taken
- Guard condition by itself  
*[guardCondition]*  
Repeated self transition until met

### State Machines and State Chard Diagrams

*State machine* term that describes

- States an system can enter during life time
- Events to which system can respond
- Possible responses system can make to an event
- Transitions between possible states

*State chart diagram*

Nothing more than the state diagram we've been using

There are some extensions / modifications under UML

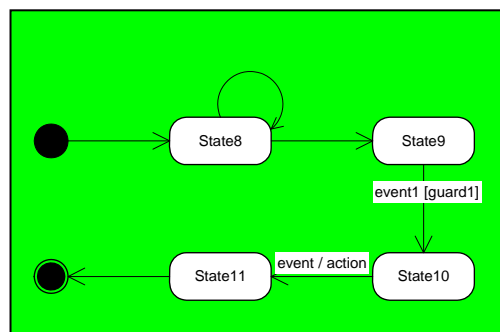
In following diagram

Solid black circle

Represents initial state

Solid circle with surrounding open circle

Represents final state



We also make the following definitions

- *Entry action*

Action system always performs  
Immediately on entering state

Appears as *entry / actionName* within state symbol

- Exit action

Action system always performs  
Immediately before leaving state

Appears as *exit / actionName* within state symbol

- Deferred event

Event that is of interest to system  
Handling deferred until system reaches another state

Appears as *eventName / defer* within state symbol

Such events get put into queue  
When system changes state

## Composite States

States we've looked at so far

Called simple states

UML extends notion of simple state to include

Multiple nested states - called *composite states*

These come in several varieties

## Sequential States

If the system exists in

A composite state and

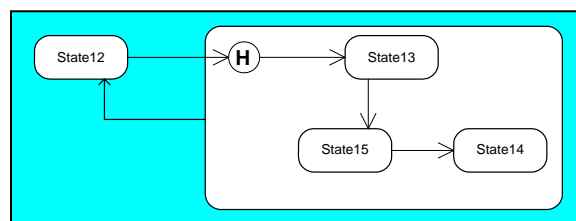
Only one of the state's substates at a time

Substates called *sequential substates*

We can have transitions between such substates  
As we've seen for full states  
Using sequential substates  
We can decompose behaviour of state into smaller pieces

### *History States*

When system makes transition into composite state  
Assumed that flow of control  
Starts in initial substate  
However  
Can use *history substate* to remember  
Last state system in before leaving composite state  
We see such a state useful when modeling interrupt behaviour  
Under interrupt we leave present state  
Return to same state following interrupt



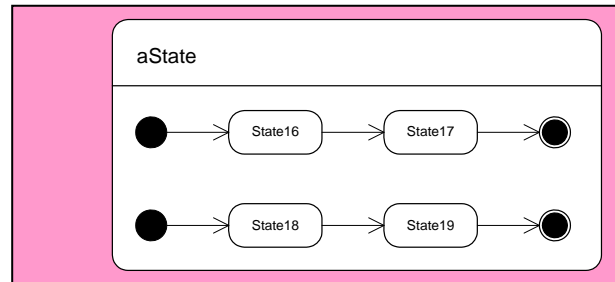
### *Concurrent Substates*

A system may be in a composite state  
Also in more than one of the substates  
  
Such is a situation in which we may have  
Two or more sets of substates  
Representing parallel flows of control

When system enters composite state with concurrent substates

Enters into initial state of both flows

We resynchronize by showing a final state for each flow



Have only touched on some of capabilities

UML diagrams

This will be sufficient for what we'll be doing

Vast amount of literature available

For those who are interested

Let's now try to put what we've learned

To work