Algebraic Geometry and Riemann Surfaces

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1 Introduction

The purpose of this document is to provide a central, searchable, version of the sum of my knowledge on algebraic geometry and Riemann surfaces. I will try and include more modern perspectives using category theory ideas as well as other more historical perspectives.

An incomplete list of the sources for this document are:

- Principles of Algebraic Geometry (Griffiths)
- Algebraic Geometry (Milne)

I will also endeavour to number equations and results, and reference them when I use them. The notes on elliptic functions and curves come from:

- Elliptic Funcations and Applications (Lawden)
- Elliptic Curves (McKean, Moll)
- often wikipedia
- nlab
- Algebraic Curves and Riemann Surfaces (Miranda)
- Arithmetic of Elliptic Curves (Silverman)
- The Digital Library of Mathematical Functions (dlmf.nist.gov)

and are available in a rearranged form in my Elliptic.pdf notes.

Part I

The Introductory Material

2 Groups, Rings, and Modules

This section will be largely stating definitions and results for concreteness.

2.1 Groups

Definition 2.1. The modular group is

$$\Gamma = PSL(2, \mathbb{Z}) = \frac{SL(2, \mathbb{Z})}{\{\pm I\}}$$

2.2 Rings

Definition 2.2. Elements of a ring R with a multiplicative inverse are called **units**. Denote the group of units as R^{\times} .

Definition 2.3. An ideal of a ring R is an additive subgroup I s.t. $\forall r \in R, x \in X, rx \in I$.

Definition 2.4. An ideal I is called **principal** if $\exists a \in R$ s.t. I = (a).

Definition 2.5. A ring R is an integral domain if it is not zero and $ab = 0 \Rightarrow a = 0$ or b = 0.

Definition 2.6. Let R be an integral domain. Then $r \in R$ is irreducible if

- $r \neq 0$
- r not a unit
- $r = st \Rightarrow s \text{ or } t \text{ a unit}$

Definition 2.7. R an integral domain is called a **Unique Factorisation Domain (UFD)** if every non-zero non-unit can be written as a finite product of irreducible elements uniquely up to units and reordering.

Definition 2.8. An integral domain is a **Principal Ideal Domain (PID)** if every ideal is principal.

Proposition 2.9. Every PID is a UFD

Proposition 2.10. $R \ a \ UFD \Rightarrow R[X] \ a \ UFD.$

Notation. We denote the ring of holomorphic functions on a neighbourhood of $z \in \mathbb{C}^n$ as $\mathcal{O}_{n,z}$. We let $\mathcal{O}_n = \mathcal{O}_{n,0}$.

2.3 Modules

Definition 2.11. Given R-modules M, N we define the **tensor product** $T = M \otimes_R N$ with R-bilinear map $\phi : M \times N \to T$ by the universal property

$$M \times N \xrightarrow{\phi} T$$

$$\downarrow \exists !$$

$$T'$$

2.4 Field extensions

Definition 2.12. A field extension if a pair of fields $K \subseteq L$ s.t. the operations of K are those of the restriction of L. It is denoted L/K

Example 2.13. The set

$$\mathbb{Q}(\sqrt{2}) = \left\{ a + b\sqrt{2} \, | \, a, b \in \mathbb{Q} \right\}$$

is a field extension of \mathbb{Q} .

Proposition 2.14. If L/K is a field extension, L is a K-vector space.

Definition 2.15. The **degree** of a field extension L/K is the dimension of L as a K-vector space. It is denoted [L:K].

Example 2.16. $[\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2 \text{ as it has basis } \{1, \sqrt{2}\}$

Definition 2.17. The transcendence degree of a field extension L/K is the maximum cardinality of an algebraic independent subset of L over K.

Example 2.18. $\mathbb{Q}(\sqrt{2}, e)/\mathbb{Q}$ has transcendence degree 1.

replace these examples with more C oriented ones.

3 Complex Analysis

This section should roughly contain the results you would prove in an undergraduate course in complex methods or complex analysis. For the most part these will be presented without proof, unless I believe that the proof adds to the knowledge somehow.

Work on this as it becomes necessary

We start by considering coordinates on \mathbb{C} . Viewing $\mathbb{C} \cong \mathbb{R}^2$ we have either

$$z = x + iy$$
, $\overline{z} = x - iy$ or $x = \frac{z + \overline{z}}{2}$, $y = \frac{z - \overline{z}}{2i}$

The corresponding derivatives are related by

$$\frac{\partial}{\partial z} = \frac{1}{2} \left(\frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right), \ \frac{\partial}{\partial \overline{z}} = \frac{1}{2} \left(\frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right)$$

3.1 Fixing definitions

I will fix some notation with a definition:

Definition 3.1. Denote the Upper Half Plane (UHP) in \mathbb{C} as

$$H = \{ z \in \mathbb{C} \,|\, \Im z > 0 \}$$

The UHP will end up being a special case of the more general **Siegel upper half space** later (ref this). It will serve as a classifying space later in conjunction with the modular group.

3.2 Mobius Transformations

Recall that for any distinct $z_1, z_2, z_3 \in \mathbb{C}$ we have a Mobius transform that sends them to $0, 1, \infty$ given by

$$f(z) = \frac{z - z_1}{z - z_3} \frac{z_2 - z_3}{z_2 - z_1}$$

Definition 3.2. Given distinct $z_0, z_1, z_2, z_3 \in \mathbb{C}$ the **cross ratio** is

$$\frac{z_0 - z_1}{z_0 - z_3} \frac{z_2 - z_3}{z_2 - z_1}$$

Proposition 3.3. Distinct $z_0, z_1, z_2, z_3 \in \mathbb{C}$ lie on a circline iff their cross-ratio is real.

3.3 Integral Theorems

A corollary to Cauchy's integral formula is the following:

Theorem 3.4 (Liouville). A bounded entire function $f : \mathbb{C} \to \mathbb{C}$ is constant.

3.4 Analytic Varieties

Definition 3.5. Given $U \subset \mathbb{C}^n$ and open subset, $V \subset U$ is an **analytic variety** if $\forall p \in U, \exists U_p$ an open neighbourhood s.t.

$$V \cap U_p = \{ f_1 = \dots = f_k = 0 \}$$

where $f_i: \mathbb{C}^n \to \mathbb{C}$ are holomorphic functions.

Definition 3.6. An analytic variety $V \subset U$ is **irreducible** if $\nexists V_1, V_2 \subset U, V_i \neq V$, s.t. $V = V_1 \cup V_2$. V is **irreducible at** $p \in V$ if $\exists U'$ a neighbourhood of p s.t. $V \cap U'$ is irreducible

Proposition 3.7. If $f \in \mathcal{O}_n$ is irreducible then the variety defined by the zero locus of f is irreducible at 0.

4 Category Theory

Here we will cover the basic category theory required to be able to provide a slightly general formalism to many of the concepts in topology.

Definition 4.1. A Category C is a collection of objects ObjC s.t $\forall A, B \in ObjC$ (for simplicity we will often write $A, B \in C$) there is a set of morphisms Hom(A, B) under the conditions

- $\forall f \in \text{Hom}(A, B), g \in \text{Hom}(B, C), \exists g \circ f \in \text{Hom}(A, C)$
- the composition \circ is associative with identity $1_A \in \text{Hom}(A, A)$

Example 4.2. The collection of sets, with morphisms given by functions, is a category Set

Example 4.3. There is a category whose objects are Euclidean spaces $\{\mathbb{R}^n\}$, and whose morphisms are smooth maps. It is denoted $CartSp_{smooth}$

Example 4.4. The category of differential commutative-graded algebras (DGCAs) with homomorphisms for morphisms exists. Recall a graded algebra A has a map deg : $A \to \mathbb{Z}$, and to be graded-commutative means

$$\forall a, b \in A, ab = (-1)^{\deg(a) \deg(b)} ba$$

The differential means we have a map $d: A \to A$ s.t.

$$d(ab) = (da)b + (-1)^{\deg(a)}a(db)$$

and d is compatible with the grading.

Definition 4.5. A covariant functor is a map between categories $F: \mathcal{C} \to \mathcal{D}$ in the sense that for $A \in \mathcal{C}$, $F(A) \in \mathcal{D}$, and $f \in \text{Hom}(A, B)$ for $A, B \in \mathcal{C}$ gives $F(f) \in \text{Hom}(F(A), F(B))$ obeying

- $F(g \circ f) = F(g) \circ F(f)$
- $F(1_A) = 1_{F(a)}$

A contravariant functor is a map $F: \mathcal{C} \to \mathcal{D}$ s.t. for $f \in \text{Hom}(A, B), F(f) \in \text{Hom}(F(B), F(A))$

Example 4.6. Given a category C and $A \in C$, the map $h^A : C \to Set$ given by

- for $X \in \mathcal{C}$, $h^A(X) = \operatorname{Hom}(A, X)$
- for $f \in \text{Hom}(X,Y)$, $h^A(f) : \text{Hom}(A,X) \to \text{Hom}(A,Y)$ as

$$h^A(f)(g) = f \circ g$$

is a covariant functor called the **hom functor**. There is a corresponding contravariant functor h_A given by $h_A(X) = \text{Hom}(X, A)$.

Definition 4.7. If F, G are functors $C \to D$ then a **natural transformation** from F to G is a family of morphisms s.t.

- $\forall X \in \mathcal{C}$ and object $\exists \eta_X \in \operatorname{Hom}_{\mathcal{D}}(F(X), G(X))$ (called a component)
- $\forall X, Y \in \mathcal{C}, f \in \text{Hom}_{\mathcal{C}}(X, Y)$

$$\eta_Y \circ F(f) = G(f) \circ \eta_X$$

i.e. the diagram

$$F(X) \xrightarrow{\eta_X} G(X)$$

$$F(Y) \downarrow \qquad \qquad \downarrow G(f)$$

$$F(Y) \xrightarrow{\eta_Y} G(Y)$$

commutes (this was drawn for a covariant functor, but a similar diagram can be drawn for contravariant ones). The set of all natural transformations $F \to G$ is notated as $\operatorname{Nat}(F,G)$. A natural transformation for which all components are isomorphisms is called a **natural** isomorphism.

Definition 4.8. A functor $F: \mathcal{C} \to Set$ is **representable** if $\exists A \in \mathcal{C}$ s.t. F is naturally isomorphic to h^A .

Lemma 4.9 (Yoneda Lemma). Given a category C and a covariant functor $F: C \to Set$ for each $A \in C$ we have an isomorphism

$$\operatorname{Nat}(h^A, F) \cong F(A)$$

A special case is when $F = h^B$ for $B \in \mathcal{C}$ and

$$\operatorname{Nat}(h^A, h^B) \cong \operatorname{Hom}(B, A)$$

The analogue exists if F is contravariant which says

$$\operatorname{Nat}(h_A, F) \cong F(A)$$

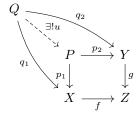
Definition 4.10. Given objects X, Y, Z and two morphisms $f: X \to Z$, $g: Y \to Z$, the **pullback** of the morphisms is an object P with morphisms $p_1: P \to X$, $p_2: P \to Y$ s.t. the diagram

$$P \xrightarrow{p_2} Y$$

$$\downarrow^{p_1} \qquad \downarrow^g$$

$$X \xrightarrow{f} Z$$

commutes, and moreover that the pullback is universal wrt to this diagram i.e.



The pullback is often denoted as $P = X \times_Z Y$

Lemma 4.11 (Five Lemma). Given a commutative diagram of abelian groups

where the rows are exact, if $\alpha, \beta, \delta, \epsilon$ are isomorphisms, then so is γ .

Definition 4.12. Given a category C, $X,Y \in C$, and $f,g \in \text{Hom}(X,Y)$, the **equalizer** is $E \in C$ with $eq \in Hom(E,X)$ s.t. the following diagram commutes

$$E \xrightarrow{eq} X \xrightarrow{f} Y$$

$$O \xrightarrow{\exists!u \mid } M$$

Proposition 4.13. Equalisers are unique up to isomorphism

Proof. If O = E' is another equaliser, then we know we have maps u, u' giving the isomorphism. \square

5 Complex Manifolds

5.1 Bundles

We recall a few necessary bundle definitions and results:

Definition 5.1. The tensor product of vector bundles $E, F \to M$ is $E \otimes F \to M$ s.t. $(E \otimes F)_m = E_m \otimes F_m$ for $m \in M$.

Lemma 5.2. The tensor product on vector bundles is associative and commutative.

Lemma 5.3. If O is the trivial line bundle then $E \otimes O = E$.

Definition 5.4. The dual bundle of a vector bundle $E \to M$ is $E^* \to M$ where the fibres of E^* are the dual spaces of the fibres of E, with the transition functions $g_{ij}^* = (g_{ij}^T)^{-1}$.

Remark. We can check the cocycle condition here as

$$g_{kj}^* g_{ji}^* = (g_{kj}^T)^{-1} (g_{ji}^T)^{-1} = (g_{ji}^T g_{kj}^T)^{-1} = ([g_{kj}g_{ji}]^T)^{-1} = (g_{ki}^T)^{-1} = g_{ki}^*$$

Example 5.5. The dual bundle to the tangent bundle is the cotangent bundle, i.e. $(TM)^* = T^*M$ **Lemma 5.6.** $E \otimes E^* \cong \text{End}(E)$.

Lemma 5.7. Line bundles have tensor inverses, i.e given L, $\exists L^{-1}$ s.t. $L \otimes L^{-1} \cong O$ the trivial bundle.

Proof. We will show this by showing $L^{-1} = L^*$. To trivialise $\operatorname{End}(L)$ we note here the transition maps are $g_{ij} \otimes g_{ij}^{-1} = 1 \otimes 1$ as $g_{ij}, g_{ij}^* \in \mathbb{F}$. Hence any section is globally defined.

Remark. Why is the identity section not global on any other vector bundle.

We make a few more useful definitions.

Definition 5.8. Let X be an algebraic surface and $\pi: L \to X$ a line bundle. Then the **tautological** section of π^*L as a bundle over L is given by $\sigma(l) = (l, l)$.

Remark. Not that the tautological section is indeed valid as we have

$$\pi^*L = \{(l, l') \in L \times L \,|\, \pi(l) = \pi(l')\}$$

so certainly $(l, l) \in \pi^*L$.

Definition 5.9. The canonical bundle on a space X with dim X = n is the line bundle of exterior n-forms on X. It is often denoted K, not to be confused with the canonical divisor.

Remark. Note we know the canonical bundle is a line bundle as there is only 1 basis element of n-forms on an n-dimensional space.

5.1.1 \mathbb{CP}^n

Definition 5.10. The **hyperplane bundle on** \mathbb{CP}^n is the bundle $\mathbb{C}^{n+1} \setminus 0 \to \mathbb{CP}^n$ given by the standard projection $(z_0, \ldots, z_n) \to [z_0 : \cdots : z_n]$. It is often denoted $\mathcal{O}(1)$. We denote $\mathcal{O}(n) = \mathcal{O}(1)^{\otimes n}$.

Definition 5.11. The **tautological line bundle** on projective space is $\mathcal{O}(-1) = \mathcal{O}(1)^*$. We denote $\mathcal{O}(-n) = \mathcal{O}(-1)^{\otimes n}$.

Proposition 5.12. The canonical bundle on the projective space is $K = \mathcal{O}(-n-1)$.

5.2 Tangent Spaces

Definition 5.13. Given an even-dimensional smooth manifold, an **almost complex structure** is a fibrewise operator $J: TM \to TM$ s.t. $J^2 = -1$.

Proposition 5.14. Every complex manifold has an almost complex structure

Proof. Take the map
$$J\left(\frac{\partial}{\partial x}\right) = \frac{\partial}{\partial y}, J\left(\frac{\partial}{\partial y}\right) = -\frac{\partial}{\partial x}$$

Given a complex manifold M, the complex structure extends to the complexified tangent bundle as

$$J: TM \otimes \mathbb{C} \to TM \otimes \mathbb{C}$$
$$J(X + iY) = J(X) + iJ(Y)$$

Definition 5.15. The $\pm i$ eigenspaces of the complexified tangent bundle $TM \otimes \mathbb{C}$ are denoted $T^{1,0}M$, $T^{0,1}M$ respectively, and are called the **holomorphic and antiholomorphic tangent bundles**

Lemma 5.16.
$$T_p^{0,1}(M) = \overline{T_p^{1,0}(M)}$$

5.3 Cohomology

Notation. We denote the vector space of k-forms on M as $\Omega^k(M)$.

As $\Omega^1(M) \otimes \mathbb{C}$ is dual to $TM \otimes \mathbb{C}$, we similarly get a decomposition

$$\Omega^1(M)\otimes \mathbb{C}=\Omega^{1,0}(M)\oplus \Omega^{0,1}(M)$$

Through the wedge product we then have

$$\Omega^{n}(M) = \bigoplus_{p+q=n} \Omega^{p,q}(M)$$
$$\Omega^{p,q}(M) = \bigwedge^{p} \Omega^{1,0}(M) \wedge \bigwedge^{q} \Omega^{0,1}(M)$$

Notation. We let $\pi^{p,q}:\Omega^n\to\Omega^{p,q}$ be the appropriate projection maps

Definition 5.17. The Dolbeault operators are

$$\begin{split} \partial &= \pi^{p+1,q} \circ d: \Omega^{p,q} \to \Omega^{p+1,q} \\ \partial &= \pi^{p,q+1} \circ d: \Omega^{p,q} \to \Omega^{p,q+1} \end{split}$$

Lemma 5.18. We have $d = \partial + \overline{\partial}$ and $\partial^2 = \overline{\partial}^2 = \partial \overline{\partial} + \overline{\partial} \partial = 0$.

With this lemma we can define the complex analogy of the de-Rham cohomology

Definition 5.19. The Dolbeault cohomology is

$$H^{p,q}_{\overline{\partial}}(M) = \ker \left\{ \overline{\partial} : \Omega^{p,q} \to \Omega^{p,q+1} \right\} \! /_{\overline{\partial}\Omega^{p,q-1}}$$

We can then state an analogy of the Poincare lemma:

Proposition 5.20 ($\overline{\partial}$ -Poincaré lemma). For $\Delta \subset \mathbb{C}^n$ a polycylinder,

$$H^{p,q}_{\overline{\partial}}(\Delta) = 0$$
 for $q \ge 1$

5.4 Calculus

Suppose we have a positive definite Hermitian inner-product on $T_p^{1,0}M$, $\langle \cdot, \cdot \rangle_p$, for each $p \in M$ a complex manifold. This induces a metric h by

$$h_p(X_p, Y_p) = \langle X_p, Y_p \rangle_p$$

Definition 5.21. A coframe for h is a tuple of forms ϕ_1, \ldots, ϕ_n s.t.

$$h = \sum \phi_i \otimes \overline{\phi}_i$$

Writing $\phi_i = \alpha_i + i\beta_i$ where α_i, β_i are real differential forms we get

$$h = \sum (\alpha_i \otimes \alpha_i + \beta_i \otimes \beta_i) - i(\alpha_i \otimes \beta_i - \beta_i \otimes \alpha_i)$$

Notation. The Riemannian metric induced on M is $g = \sum_{i} (\alpha_i \otimes \alpha_i + \beta_i \otimes \beta_i)$ while the associated (1,1)-form is $\omega = \frac{1}{2} \sum_{i} (\alpha_i \otimes \beta_i - \beta_i \otimes \alpha_i) = \frac{i}{2} \sum_{i} \phi_i \wedge \overline{\phi}_i$.

Lemma 5.22. ω is natural in the sense that if $f: N \to M$ is holomorphic then $\omega_N = f^*\omega_M$.

Theorem 5.23 (Wirtinger). Let $S \subset M$ be a submanifold of dimension d. Then

$$Vol(S) = \frac{1}{d!} \int_{S} \omega^{d}$$

Proof. We will show the case of S = M, but then the result follows by naturality. Note the volume element associated to the Riemannian metric g is

$$d\mu = \bigwedge^{n} (\alpha_i \wedge \beta_i)$$

whereas

$$\omega^n = \bigwedge^n \left(\sum \alpha_i \wedge \beta_i \right) = n! \cdot d\mu$$

6 Sheaves

6.1 Definitions

Definition 6.1. Given a topological space X, and category C, a **sheaf** on X is \mathcal{F} s.t.

- $\forall U \subset X \ open, \ \exists \mathcal{F}(U) \in C$
- $\forall U \subset V \text{ open subsets } \exists r_{V,U} \in \text{Hom}(\mathcal{F}(V),\mathcal{F}(U)) \text{ satisfying }$
 - 1. $\forall U \subset V \subset W, r_{W,U} = r_{V,U} \circ r_{W,V}$
 - 2. (Gluing) $\forall U, V \subset X, \ \sigma \in \mathcal{F}(U), \ \tau \in \mathcal{F}(V) \ s.t. \ \sigma|_{U \cap V} = \tau|_{U \cap V}, \ \exists \rho \in \mathcal{F}(U \cup V) \ s.t.$

$$\rho|_U = \sigma, \quad \rho|_V = \tau$$

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3. (Locality) If $\sigma, \tau \in \mathcal{F}(U \cup V)$ are s.t.

$$\sigma|_U = \tau|_U \,, \quad \sigma|_V = \tau|_V$$

then $\sigma = \tau$.

Remark. The category in the above definition is often either commutative rings or abelian groups. If we construct a category S that has open subsets of X as objects and Hom(U,V) has a unique morphism of inclusion $U \hookrightarrow V$ if $U \subset V$ then we see that $\mathcal F$ is a contravariant functor with some additional data. If C admits products of objects then that additional data can be represented as saying

$$\mathcal{F}(U \cup V) \longrightarrow \mathcal{F}(U) \times \mathcal{F}(V) \Longrightarrow \mathcal{F}(U \cap V)$$

is an equaliser.

We may do our usual homological algebra nonsense with these sheaves.

Definition 6.2. A morphism of sheaves is a natural transformation $\alpha : \mathcal{F} \to \mathcal{G}$. Hence we have $\alpha(U) \in \text{Hom}(\mathcal{F}(U), \mathcal{G}(U))$.

Definition 6.3. Given $\alpha : \mathcal{F} \to \mathcal{G}$ a morphism of sheaves, the **presheaf kernel** is $\ker_{pre} \alpha$ given by $(\ker_{pre} \alpha)(U) = \ker(\alpha(U))$.

Proposition 6.4. The presheaf kernel is a sheaf.

Example 6.5. Let $X = \mathbb{C}$, O_X be the sheaf of holomorphic functions on X, and O_X^{\times} the sheaf of invertible functions, where we consider them as sheaves of abelian groups under multiplication. We get an exact sequence

$$0 \to \mathbb{Z} \to O_X \to O_X^{\times} \to 1$$

Definition 6.6. A ringed space is a pair (X, O_X) where X is a topological space and O_X is a sheaf of rings on X. O_X is called the **structure sheaf**.

Example 6.7. Given a topological space X, if we take O_X to be \mathbb{R} -valued continuous functions on open subsets of X then (X, O_X) is a ringed space.

Example 6.8. An example that will be relevant for later discussions is that an affine variety X with sheaf O_X given by $O_X(U)$ being the regular functions on U, regular functions being those given locally by polynomials.

6.2 Cohomology

Definition 6.9. We define the sheaf cohomology group $H^0(X, O_X)$ to be the group of global sections of O_X on X.

Part II

Riemann Surfaces

Riemann surfaces are 1-dimensional complex manifolds (don't worry we'll get the proper definition later). These are relevant from algebraic geometry for the following reason:

Theorem 6.10. Every compact Riemann surface is an algebraic curve.

Proof. See Griffiths & Harris

7 Examples of Riemann Surfaces

7.1 Smooth Projective Plane Curves

Definition 7.1. A \mathbb{C} -polynomial $F(x) = F(x_1, \dots, x_n)$ is homogeneous of degree d if

$$\forall \lambda \in \mathbb{C}, F(\lambda \boldsymbol{x}) = \lambda^d F(\boldsymbol{x})$$

Definition 7.2. The projective plane curve defined by the homogeneous polynomial $F: \mathbb{C}^3 \to \mathbb{C}$ is the closed subset

$$X = \left\{ [x:y:z] \in \mathbb{P}^2 \,|\, F(x,y,z) = 0 \right\} \subset \mathbb{P}^2$$

Remark. Note that this is well defined as $F(x,y,z) = 0 \Leftrightarrow \lambda^d F(x,y,z) = F(\lambda x, \lambda y, \lambda z) = 0$

Definition 7.3. A homogeneous polynomial $F : \mathbb{C}^n \to \mathbb{C}$ is singular at x (i.e. x is a singular point) if $\forall 1 \leq i \leq n$

$$\left. \frac{\partial F}{\partial x_i} \right|_{x} = 0$$

The polynomial is said to be non-singular if it has no singular points

Proposition 7.4. The projective plane curve corresponding to a non-singular homogeneous polynomial is a compact Riemann surface.

7.2 Complex Tori

Definition 7.5. A map between Riemann surfaces $f: X \to Y$ is called an **isomorphism** iff the induced maps on open subsets of \mathbb{C} is bijective and analytic. If there is an isomorphism between X, Y, they are said to be isomorphic.

Definition 7.6. Fix $\omega_1, \omega_2 \in \mathbb{C}$ linearly independent over \mathbb{R} and let

$$L = L(\omega_1, \omega_2) = \mathbb{Z}\omega_1 \oplus \mathbb{Z}\omega_2 \subset \mathbb{C}$$

The complex tori with lattice L is $X = \mathbb{C}/L$. The corresponding fundamental cell is

$$\mathfrak{F} = \mathfrak{F}(X) = \{\alpha\omega_1 + \beta\omega_2 \mid \alpha, \beta \in [0, 1)\}\$$

Proposition 7.7. Complex tori are Riemann surfaces.

Proposition 7.8. Two complex tori with lattices $L(\omega_1, \omega_2)$, $L(\omega_1', \omega_2')$ are isomorphic iff \exists a fractional linear transformation sending $\frac{\omega_2}{\omega_1} \mapsto \frac{\omega_2'}{\omega_1'}$

Proposition 7.9. Every complex tori can be written with a lattice the form $L(1,\tau)$ where $\Im \tau > 0$

Remark. From the above results we can view the orbits of the modular group acting on the UHP as a list of all possible complex structures on the topological torus. We will always assume $\frac{\omega_2}{\omega_1}$ has positive imaginary part.

8 Functions from Riemann Surface

8.1 Riemann Hurwitz

Definition 8.1. Let $f: \mathbb{C} \to \mathbb{C}$ be meromorphic at $z_0 \in \mathbb{C}$ about which it's Laurent series $\sum_n c_n(z-z_0)^n$. The **order** of f at z_0 is

$$\operatorname{ord}_{z_0}(f) = \min \{ n \mid c_n \neq 0 \}$$

Remark. This definition naturally extends to maps between Riemann surfaces by taking local coordinates around the points.

Lemma 8.2. Let $f, g: X \to \mathbb{C}$ be non-zero meromorphic functions and $p \in X$. Then

- $\operatorname{ord}_p(fg) = \operatorname{ord}_p(f) + \operatorname{ord}_p(g)$
- $\operatorname{ord}_p\left(\frac{1}{f}\right) = -\operatorname{ord}_p(f)$
- $\operatorname{ord}_p\left(\frac{f}{g}\right) = \operatorname{ord}_p(f) \operatorname{ord}_p(g)$

Proof. The first results follows from multiplying together the Laurent series. The second and third then follow. \Box

Lemma 8.3. Let X be a Riemann surface and $f: X \to \mathbb{C}$ a non-constant meromorphic function. The set

$$\{p \in X, \operatorname{ord}_p(f) \neq 0\} \subset X$$

is discrete.

Proof. If the set of poles of f had an accumulation point, around this point f would have no Laurent series. A similar argument gives no accumulation point for zeros.

Corollary 8.4. Let X be a compact Riemann surface and $f: X \to \mathbb{C}$ a non-constant meromorphic function. The set

$$\{p \in X, \operatorname{ord}_p(f) \neq 0\} \subset X$$

is finite.

Definition 8.5. Let $F: X \to Y$ be a map between Riemann surfaces holomorphic at $p \in X$. The multiplicity of F at p is $\operatorname{mult}_p(F) = m$ the unique integer s.t. in local coordinates around p F is represented by

$$h(z) = h(z_0) + \sum_{i>m} c_i (z - z_0)^i$$

Definition 8.6. Let $F: X \to Y$ be a non-constant holomorphic map of Riemann surfaces. $p \in X$ is called a **ramification point** if $\operatorname{mult}_p(F) \geq 2$. $y \in Y$ is called a **branch point** if y = F(p) for some ramification point p.

Remark. The thing to note here is that, generically, $\operatorname{mult}_p(F) = 1$

Proposition 8.7. Let $f: X \to \mathbb{C}$ be a meromorphic function and $F: X \to \mathbb{C}_{\infty}$ the associated holomorphic map. Then

- 1. If $p \in X$ is a zero of f, $\operatorname{mult}_p(F) = \operatorname{ord}_p(f)$
- 2. If $p \in X$ is a pole of f, $\operatorname{mult}_p(F) = -\operatorname{ord}_p(f)$
- 3. If $p \in X$ is neither a zero or a pole, $\operatorname{mult}_p(F) = \operatorname{ord}_p(f f(p))$

Proposition 8.8. Let $F: X \to Y$ be a holomorphic non-constant map of Riemann surfaces and consider the map $d.(F): Y \to \mathbb{Z}$

$$d_y(F) = \sum_{p \in F^{-1}(y)} \operatorname{mult}_p(F)$$

The map d is constant.

Definition 8.9. Let $F: X \to Y$ be a holomorphic non-constant map of Riemann surfaces. The degree of F is

$$deg(F) = d_y(F)$$
 for some $y \in Y$

Proposition 8.10. Let X be a compact Riemann surface and $f: X \to \mathbb{C}$ a non-constant meromorphic function. Then

$$\sum_{p \in X} \operatorname{ord}_p(f) = 0$$

Proof. Consider the corresponding map $F: X \to \mathbb{C}_{\infty}$. Let $\{x_i\} = F^{-1}(0), \ , \{y_i\} = F^{-1}(\infty)$. Then

$$\sum_{p} \operatorname{ord}_{p}(f) = \sum_{i} \operatorname{ord}_{x_{i}}(f) + \sum_{j} \operatorname{ord}_{y_{j}}(f)$$

$$= \sum_{i} \operatorname{mult}_{x_{i}}(F) - \sum_{j} \operatorname{mult}_{y_{j}}(F)$$

$$= d_{0}(F) - d_{\infty}(F) = 0$$

Remark. As f can only have finitely many poles and zeros, for all but finitely many $p \in X$ we have $\operatorname{ord}_n(f) = 0$ so the sum makes sense.

Theorem 8.11 (Riemann-Hurwitz Formula). Let $F: X \to Y$ be a non-constant holomorphic map between compact Riemann surfaces. Then

$$g(X) - 1 = \deg(F) [g(Y) - 1] + \frac{1}{2} \sum_{p \in X} [\text{mult}_p(F) - 1]$$

where g is the genus of the underlying topological surface.

Example 8.12. An example that will be important to example later is that of a projective plane curve X given by

$$X = \{ [x:y:z] \mid P(x,y,z) = 0 \} \subset \mathbb{P}^2$$

where $P: \mathbb{C}^3 \to \mathbb{C}$ is the homogeneous polynomial

$$P(x, y, z) = y^2 z - x^3 + xz^2 - z^3$$

We note we can write

$$X = \left\{ [x:y:1] \,|\, y^2 = x^3 - x + 1 \right\} \cup \left\{ [0:1:0] \right\}$$

We consider a map $F: X \to \mathbb{P}^1$ by taking a coordinate on the curve. On the patch $z \neq 0$ we can choose x, and around z = 0 = x we can choose 1/x. We find that a generic point has two preimages except at the roots of $x^3 - x + 1$ or at 'infinity' (z = 0 = x) as at all these points there is only one value of y. Hence

$$g(X) = 1 + 2[0 - 1] + \frac{1}{2} \times 4[2 - 1] = 1$$

so topologically this curve is a torus.

8.2 Automorphisms

We have the following corollary of Riemann-Hurwitz:

Theorem 8.13. If X is a compact Riemann surface with $g \ge 2$ then $|\operatorname{Aut}(X)| \le 84(g-1)$.

8.3 Field of Functions

Definition 8.14. Let X be a Riemann surface. The set of all meromorphic functions $X \to \mathbb{C}$ is called the **field of functions** of X and is denoted K(X).

Example 8.15. $K(\mathbb{P}^1) \cong \mathbb{C}(x)$

Proposition 8.16. K(X) is a field extension of \mathbb{C} .

Proposition 8.17. If X is a compact Riemann surface then $K(X)/\mathbb{C}$ is a field extension of transcendence degree 1.

Definition 8.18. Let X, Y be Riemann surfaces and $p: X \to Y$ a branched covering map. A **deck** transformation is a fiber preserving biholomorphic map, that is, a map f such that the diagram

$$X \xrightarrow{f} X$$

$$\downarrow p$$

$$Y$$

commutes. We denote the set of them Deck(X/Y)

Proposition 8.19. The set of deck transformations form a group under composition

Theorem 8.20. Let X, Y be compact Riemann surfaces and $p: X \to Y$ a n-fold branched covering. Then $K(X)/p^*K(Y)$ is a degree-n field extension.

Conversely let Y be a Riemann surface and L/K(Y) a degree-n field extension. Then $\exists X$ a Riemann surface, $p: X \to Y$ an n-sheeted branched covering map, and $f \in K(X)$ s.t. $L \cong K(X) = p^*K(Y)(f)$.

In both cases, $Deck(X/Y) \cong Aut(K(X)/p^*K(Y))$

Corollary 8.21. Let X, Y be compact Riemann surfaces, then $X \cong Y$ iff $K(X) \cong K(Y)$.

Remark. We can view this final remark from the point of view of category theory. We can let R be the category of compact Riemann surfaces with morphisms given by holomorphic maps. We then give the contravariant functor

$$K: R \to Sets$$

sending a compact Riemann surface X to its function field K(X), and sending morphisms to their pullback. This is a representable functor as

$$K(X) = \operatorname{Hom}(X, \mathbb{P}^1)$$

Hence that $X \cong Y \Leftrightarrow K(X) \cong K(Y)$ is a consequence of the Yoneda lemma.

9 Divisors and their applications

9.1 Divisors

Definition 9.1. A (Weil) divisor on C is a formal finite sum of points, i.e. $D = \sum_i n_i p_i$ for $n_i \in \mathbb{Z}$, $p_i \in C$. The group of divisors under addition is denoted Div(C).

Definition 9.2. The degree of a divisor $D = \sum_i n_i p_i \operatorname{deg} D = \sum_i n_i$

Definition 9.3. Given a meromorphic function $f: C \to \mathbb{C}$ we define $(f) \in \text{Div}(C)$ by

$$(f) = \sum_{p \in X} \operatorname{ord}_p(f) \cdot p$$

For $D \in Div(C)$, if $\exists f \ s.t. \ D = (f)$ we say D is a **principal divisor**.

Lemma 9.4. (fg) = (f) + (g)

Corollary 9.5. Principal divisors form a subgroup $Lin(C) \leq Div(C)$.

Lemma 9.6. If X is a compact Riemann surface and $f: X \to \mathbb{C}$ meromorphic then $\deg(f) = 0$.

Proposition 9.7. Let C be compact. Then $Lin(C) \subset \{D \in Div(C) \mid deg(D) = 0\}$.

Definition 9.8. The divisor class group of C is $Cl(C) = \frac{Div(C)}{Lin(C)}$. The equivalence class corresponding to D is often denoted as |D| and is called the **complete linear system** associated with D.

Remark. deg : Div(C) $\to \mathbb{Z}$ is a group homomorphism and as the kernel is Lin(C) we see Cl(C) \cong Im deg

Corollary 9.9. $Cl(\mathbb{CP}^n) \cong \mathbb{Z}$.

Definition 9.10. Two divisors D, E are linearly equivalent if D - E is a principal.

Lemma 9.11. Linear equivalence of divisors is an equivalence relation.

Lemma 9.12. $f: X \to Y$ induces a group morphism $f: Div(X) \to Div(Y)$ by

$$f\left(\sum_{i} n_{i} p_{i}\right) = \sum_{i} n_{i} f(p_{i})$$

Proposition 9.13. If $f: X \to Y$ is a map of Riemann surfaces and $D \in Div(X)$, then $\deg(f(D)) = \deg f \cdot \deg D$.

Definition 9.14. A divisor $D = \sum_{i} n_i p_i$ is **effective** if each $n_i \geq 0$.

Proposition 9.15. We have a partial ordering on Div(C) by saying $D \ge D'$ if D - D' is effective.

Definition 9.16. A Weil divisor on C defines a coherent sheaf $O_C(D)$ as meromorphic functions f s.t $(f) + D \ge 0$.

9.2 Abel-Jacobi

Suppose C has genus g, then we know that $H_1(C,\mathbb{Z}) \cong \mathbb{Z}^{2g}$ where the generators are the loops $\{\gamma_i\}_{i=1}^{2g}$. There is an alternative way to say this condition:

Proposition 9.17. If X = C is a Riemann surface of genus g then $H^0(C, K) \cong \mathbb{C}^g$.

Proof. See Farkas & Kra, III.2.7.

Corollary 9.18. We can take a basis $\{\omega_i\}_{i=1}^g$ of 1-forms on C.

Definition 9.19. The **Jacobian** of C is defined to be

$$J(C) = {\mathbb{C}}^g /_{\Lambda}$$

where Λ is the lattice generated over \mathbb{R} by the vectors

$$\Omega_j = \left(\int_{\gamma_j} \omega_1, \dots, \int_{\gamma_j} \omega_g \right), \quad 1 \le j \le 2g$$

Definition 9.20. The **Abel-Jacobi map** for $p_0 \in C$ is

$$u: C \to J(C)$$

$$p \mapsto \left(\int_{p_0}^p \omega_1, \dots, \int_{p_0}^p \omega_g\right) \mod \Lambda$$

This is independent of the path of integration as we have quotiented by Λ .

Theorem 9.21 (Abel's Theorem). Let u be the Abel-Jacobi map and D, E effective divisors. Then $u(D) = u(E) \Leftrightarrow D \sim E$.

Theorem 9.22 (Jacobi's Theorem). The map Abel-Jacobi map is surjective.

Corollary 9.23. There is an isomorphism from the space of degree-0 divisors to the Jacobian.

9.3 Picard Group

Definition 9.24. The **Picard group** of a locally ringed space X is Pic(X) the group of isomorphism classes of line bundles on X with the group operation being \otimes .

Remark. In place of line bundles we can actually say invertible sheaves

Theorem 9.25. $Cl(C) \cong Pic(C)$ naturally.

Proof. See Vakil's notes. Alternatively this is covered in more generality in "The Rising Sea" $(\S14.2)$.

Corollary 9.26. We get a group homomorphism $\deg : \operatorname{Pic}(C) \to \mathbb{Z}$ giving the degree of the corresponding divisor in $\operatorname{Cl}(C)$.

Corollary 9.27. $\operatorname{Pic}(\mathbb{CP}^1) \cong \mathbb{Z}$.

Proposition 9.28. $Pic(\mathbb{CP}^1)$ is generated by $\mathcal{O}(\pm 1)$.

Notation. We denote the isomorphism class of line bundles degree d as $Pic^d(C)$

Remark. With this new notation we may rephrase the corollary of the Abel-Jacobi theorem to say $J(C) \cong \operatorname{Pic}^0(C)$.

Proposition 9.29. There is a canonical isomorphism $Pic(X) \cong H^1(X, O_X^{\times})$.

Corollary 9.30. $T_L(\operatorname{Pic}^d(X)) \cong H^1(X, O_X)$

Proof. You need to use the exponential sheaf sequence.

With this result we can make another identity of the Picard group:

Proposition 9.31. $c_1 : \operatorname{Pic}(X) \xrightarrow{\cong} H^2(X, \mathbb{Z})$

Proof. This is a result we know that the first chern class classifies line bundle up to isomorphism. The iso comes from the cohomology LES associated with SES

$$0 \to \mathbb{Z} \to O_X \to O_X^\times \to 0$$

We can also ask about division in the Picard group:

Definition 9.32. A square root of $L \in \text{Pic}(X)$ is $\tilde{L} \in \text{Pic}(X)$ s.t $\tilde{L}^{\otimes 2} = L$. Under the isomorphism to the divisor class group this is a solution to $2D_{\tilde{L}} = D_L$.

Proposition 9.33. We have the following results about square-roots:

- L has a square-root iff $\deg L$ is even
- If L is a line bundle on X, g(X) = g, then if L has a square-root it has exactly 2^{2g} square-roots.

Proof. Find reference for the first point. If we think about the SES of sheaves

$$0 \to \{\pm 1\} \to O_X^\times \overset{\text{square}}{\to} O_X^\times \to 0$$

we get a corresponding LES of cohomology with relevant section:

$$H^0(X, O_X^\times) \to H^1(X, \{\pm 1\}) \to \operatorname{Pic}(X) \stackrel{\otimes 2}{\to} \operatorname{Pic}(X) \to H^2(X, \{\pm 1\})$$

Hence the obstruction to taking square roots comes from the cohomology $H^2(X, \{\pm 1\})$. This corresponds to whether the degree is odd or even.

For the second point, note that if we had two square roots \tilde{L}_1, \tilde{L}_2 , then $M = \tilde{L}_1 \otimes \tilde{L}_2^{-1}$ satisfies $M^{\otimes 2} = O$. These are the torsion elements of the Picard group. We know deg M = 0 so $M \in \operatorname{Pic}^0(X) \cong J(X)$. The torsion elements thus correspond to the half-period elements of the Jacobian lattice.

Example 9.34. A square root of the canonical bundle $K = \mathcal{O}(-2)$ on \mathbb{CP}^1 is $\mathcal{O}(-1)$. This example can be understood well in terms of the corresponding divisors. The canonical divisor comes from dz, which has a double pole, do K = -2P (where P is a point in \mathbb{P}^1). The square root then corresponds to the divisor -P. These solutions are visually unique, and we know that to be so from the previous prop.

Remark. It is a corollary of Riemann-Roch (9.39)that the degree of the canonical divisor is even, and so we always have square roots of the canonical bundle.

Notation. We will denote

$$S(X) = \left\{ L \in \operatorname{Pic}(X) \,|\, L^{\otimes 2} = K_X \right\}$$

Note that by the proof of 9.33 we have $S(X) \cong H^1(X, F_2)$, where we are now denoting F_2 for the field of two elements. Moreover, S(X) is an affine space over F_2 modelled on $H^1(X, F_2)$.

Definition 9.35. A theta characteristic is a characteristic class Θ s.t. $2\Theta = c_1(K)$, i.e. a characteristic class corresponding to a square-root of the canonical bundle.

Remark. It is the case (see nlab) that if a theta characteristic corresponds to a polarising line bundle, then the sections of this bundle are theta functions.

9.4 Riemann-Roch and Weierstrass Points

We now restrict to considering compact Riemann surfaces X, and denote the field of meromorphic functions on X by $H^0(X, O_X)$.

Remark. There are lots of different notations used for the field of meromorphic functions on X, for example Farkas & Kra use $\mathcal{H}(X)$. By writing it in sheaf cohomology notation, we make connections with Griffiths.

Note also in this section we will use the additive presentation of divisors. This goes against Farkas & Kra who use multiplicative notation.

Definition 9.36. Given divisor D on X we define the \mathbb{C} -vector space

$$L(D) = \left\{ f \in H^0(O_X) \, | \, (f) + D \ge 0 \right\} = H^0(X, O_X(D))$$

We denote dim L(D) = l(D).

Remark. This convention, agreeing with Wikipedia and Griffiths, differs from Farkas & Kra, who would have r(D) = l(-D).

Definition 9.37. Given $D \in Div(X)$ we define the **index of speciality** of the divisor D to be $i(D) = \dim \Omega(D)$ where

$$\Omega(D) = \{ \omega \mid \omega \text{ an abelian differential, } (\omega) \geq D \}$$

We now state some simple-to-prove results

Proposition 9.38. We have the following results about L:

- Given $C, D \in Div(X), C \leq D \Rightarrow L(C) \subseteq L(D)$
- $L(0) = \mathbb{C} \Rightarrow l(0) = 1$.
- Given $D \in \text{Div}(X)$, $\deg(D) < 0 \Rightarrow l(D) = 0$.
- i(D) = l(K D) where K is the canonical divisor.
- $\Omega(0) = H^0(X, K_X) \Rightarrow i(0) = g.$
- l(D) and i(D) depend only on the divisor class group of D

Theorem 9.39 (Riemann-Roch). $l(D) = \deg(D) - g + 1 + i(D)$

Corollary 9.40. $\deg K = 2g - 2$.

Now using the above proposition an Riemann-Roch, note that for $p \in X$ we have that the sequence $\{l(kp)\}_{k=0}^{\infty}$ goes

$$1, \underbrace{?, \dots, ?}_{1 \le k \le 2g-2}, g, g+1, \dots$$

It is known that this sequence can increase by a maximum of 1 from term to term. This observation leads to the following result:

Theorem 9.41 (Weierstrass Gap Theorem). Let X be a compact genus-g Riemanns surface, then $\forall p \in X \exists ! integers \{n_i\}_{i=1}^g s.t.$

$$1 = n_1 < n_2 < \dots < n_g < 2g$$

and that $\nexists f$ s.t. $(f) = -n_i p$

Definition 9.42. The weight of $p \in X$ is

$$w_p = \sum_{i=1}^{g} (n_i - i)$$

 $p \in X$ is a Weierstrass point if $w_p \neq 0$ (note that $w_p \geq 0$, so at a Weierstrass point it is positive). We will sometimes denote the set of Weierstrass points as W = W(X).

We have results about the abundancy of Weierstrass points:

Proposition 9.43. We have

- The set of Weierstrass points is discrete
- $\sum_{p \in X} w_p = g^3 g$
- If $g \ge 2$ the number of Weierstrass points |W| satisfies

$$2g + 2 \le |W| \le g^3 - g$$

attaining the lower bound iff X is hyperelliptic, where the Weierstrass points are the branch points. Generically a Riemann surface will have $|W| = g^3 - g$.

The next result gives a link between Weierstrass points and symmetry:

Theorem 9.44. Automorphisms of compact Riemann surfaces permute Weierstrass points, that is we get a group homomorphism $Aut(X) \to S_W$.

Proof. See Mondal's masters thesis.

10 Classifying Riemann Surfaces

10.1 Riemann Matrix

We now want to consider the pairing of homology and cohomology on X. This can lead to information about the Abel-Jacobi map, but will also lead to an invariant of a Riemann surface. Relevant references include Eynard's Lectures on Compact Riemann Surfaces, Miranda, these notes.

Definition 10.1. A homology basis c = (a, b) is canonical if $c \cdot c = J$ where we are taking the intersection number and $J = \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix}$.

Remark. Despite the term canonical, there is no unique choice of such a basis. Given any homology basis c we can make a new basis by taking Mc for $M \in GL_{2g}(\mathbb{Z})$. If the original basis was canonical then so is the transformed basis iff $MJM^T = J$, which is the condition for M^T to be a symplectic matrix, giving M symplectic.

The object we want to calculate is explicitly the period matrix, defined as follows:

Definition 10.2. Let $\{a_i, b_i\}_{i=1}^g$ be a canonical choice of homology basis, and $\{\omega_i\}_{i=1}^g$ a basis of holomorphic differentials. Then the **matrix of periods** is $\Omega = (A, B)$ where we calculate the $g \times g$ matrices A, B by

$$A_{ij} = \int_{a_j} \omega_i, \qquad B_{ij} = \int_{b_j} \omega_i$$

In order to say something about the form of Ω , we need the following result:

Proposition 10.3 (Riemann's Bilinear Identities). $\Omega J\Omega^T = 0$ and $-i\overline{\Omega}J\Omega^T > 0$

Corollary 10.4. For fixed differential basis $\{\omega_i\}$, $\exists c$ a canonical basis s.t $A_{ij} = \delta_{ij}$.

Definition 10.5. The **Riemann matrix** corresponding to Ω is $\tau = A^{-1}B$.

Definition 10.6. We define the Siegel upper half space to be

$$\mathbb{H}_g = \left\{ M \in M_g(\mathbb{C}) \,|\, M^T = M, \, \operatorname{Im} M > 0 \right\}$$

Lemma 10.7. $\tau \in \mathbb{H}_q$.

Example 10.8. $\mathbb{H}_1 = \mathbb{H} \subset \mathbb{C}$ is the upper half plane, explaining the name and notation convention. The case g = 1 corresponds to elliptic curves, and we are recovering the usual τ we assign which tells us about the period lattice of the torus. This is not a coincidence, and we shall later related τ to the Jacobian of the curve.

Remark. There was a choice made in arranging this matrix as $g \times 2g$. Had we chosen instead Ω to be $2g \times g$ as

$$\tilde{\Omega}_{ij} = \int_{C_i} \omega_j$$

where $\mathbf{c} = (\mathbf{a}, \mathbf{b})$ is the tuple of cycles, then we get that $\tilde{\Omega} = \Omega^T \Leftrightarrow \tilde{A} = A^T, \tilde{B} = B^T$. In order to continue this correspondence to get $\tilde{\tau} = \tau^T$ we need $\tilde{\tau} = \tilde{B}\tilde{A}^{-1}$. As τ is symmetric, we know that $\tilde{\tau} = \tau$, so our value of Riemann matrix is not dependent on convention.

Lemma 10.9. If we change canonical homology basis by $\mathbf{c}' = M\mathbf{c}$ where $M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in Sp(2g, \mathbb{Z})$, then Ω transforms as $\tilde{\Omega}' = M\tilde{\Omega}$ and hence $\tilde{\tau}' = (d\tilde{\tau} + c)(b\tilde{\tau} + a)^{-1}$. If we change cohomology basis by $\boldsymbol{\omega}' = G\boldsymbol{\omega}$ for $G \in GL_g(\mathbb{C})$, then Ω transforms as $\Omega' = G\Omega$ and hence $\tau' = \tau$.

Remark. It is for this reason that, although taking Ω to be $g \times 2g$ is seemingly a more prevalent convention, the latter is more sensible because of the corresponding actions. Moreover, if conjugate M with the outer automorphism $\begin{pmatrix} 0 & I \\ I & 0 \end{pmatrix}$ then we get $\tilde{\tau}' = (a\tilde{\tau} + b)(c\tilde{\tau} + d)^{-1}$, which recovers the fractional linear transformation action of $SL_2(\mathbb{Z}) \cong Sp_2(\mathbb{Z})$ known in the case of elliptic curves. The reason for the conjugation is as with elliptic curves, we typically normalise the bottom element to 1 instead.

10.2 Monodromy

Definition 10.10. Given a n-fold covering $f: X \to Y$ and a closed path γ based at $p \in Y$, then γ lift to a path $\hat{\gamma}$ in X s.t. $f \circ \hat{\gamma} = \gamma$. Then γ induces a bijection $\sigma_{\gamma}: f^{-1}(p) \to f^{-1}(p)$ called the **monodromy associated to** γ . By fixing an ordering of $f^{-1}(p)$ we identify $\sigma \in S_n$.

Remark. The monodromy is fixed under basepoint preserving homotopies of paths, and actually we get a functor $\Pi_1(Y) \to Set$. See nlab for more.

10.3 Invariants

- 10.3.1 j-invariant
- 10.3.2 Igusa invariants

11 Spin Structures

12 Extended example

Here we will now take a specific curve and consider all the theory we have previously covered. To this we will effectively go through Miranda [2] and apply the relevant sections. Define the degree-6 homogeneous polynomial $P: \mathbb{C}^3 \to \mathbb{C}$ by

$$P(x, y, z) = y^6 - x^6 + z^2 x^4$$

Define the corresponding projective plane curve

$$X = \{ [x : y : z] \in \mathbb{P}^2 \mid P(x, y, z) = 0 \}$$

Throughout we will want to compare our by-hand calculations with numerics in Sage, so we start by initialising X.

```
sage: x,y,z = QQ['x,y,z'].gens()
sage: X = Curve(y^6-x^6+z^2*x^4)
```

12.1 Singularities

The corresponding affine plane curve on the intersection with the open set $U_x = \{x \neq 0\}$ is $y^6 = 1 - z^2$. Hence

$$X = \{[1:y:z] \mid y^6 = 1 - z^2\} \cup \{[0:0:1]\}$$

Proposition 12.1. X is singular at [0:0:1] only.

Proof. We have

$$\frac{\partial P}{\partial x} = 2x^3(2z^2 - 3x^2),$$
 $\frac{\partial P}{\partial y} = 6y^5,$ $\frac{\partial P}{\partial z} = 2zx^4$

It can be seen that a common solution to these must have y=0 from $\partial_y P=0$, and then either z=0 or x=0 from $\partial_z P=0$. If z=0, $\partial_z P=0$ enforces x=0 which isn't in \mathbb{P}^2 . If x=0, z is arbitrary and we find the point $[0:0:1] \in \mathbb{P}^2$. This is calculated in Sage as follows:

We need to get an understanding of this singularity. If we take the neighbourhood $U_z = \{z \neq 0\}$ the corresponding affine plane curve on the intersection is

$$p(x,y) = y^6 - x^6 + x^4 = 0$$

as

$$X = \{ [x:y:1] | y^6 - x^6 + x^4 = 0 \} \cup \{ [x:y:0] | y^6 - x^6 = 0 \}$$

Proposition 12.2. The only singular point on the affine plane curve p(x,y) = 0 is (0,0) and it is 2-monomial.

Proof. $\partial_x p(x,y) = 2x^3(2-3x^2)$, $\partial_y p(x,y) = 6y^5$. It can be seen that the only simultaneous solution to these is (0,0). Here we write $p(x,y) = g(x,y)^4 - h(x,y)^6$ where

$$g(x,y) = x \left[1 - \frac{1}{4}x^2 + \dots \right] = x \left[1 - x^2 \right]^{\frac{1}{4}}$$

 $h(x,y) = iy$

We then note gcd(4,6) = 2.

As such by general theory we know that we can resolve this singularity to make X into a compact Riemann surface. This process involves removing the singularity at [0:0:1] and patching the two holes created.

12.2 Genus

We now check some topology using Riemann-Hurwitz.

Proposition 12.3. g(X) = 2.

Proof. As standard we consider the map $X \to \mathbb{P}^1$ taking the coordinate y. Then z is generically double valued so the degree of the map is 2. This is ramified at the the 6 roots of $1 - y^6 = 0$, so using $g(\mathbb{P}^1)$

$$g(X) = 1 + 2(0 - 1) + \frac{1}{2} \times 6 \times (2 - 1) = 1 - 2 + 3 = 2$$

This is calculated in Sage as follows:

Remark. This is to be expected, as here we are dealing with a hyperelliptic curve, of the form $z^2 = h(y)$ where h is a polynomial of degree 2g + 2.

12.3 Symmetries

From the above prop we have the following corollary.

Corollary 12.4. Through Hurwitz' theorem we know |Aut(X)| < 84.

This is a very lax bound, we know many groups of order ≤ 84 . We now try to calculate $\operatorname{Aut}(X)$ more systematically. Firstly we note that as a hyperelliptic curve it comes with the hyperelliptic involution $(y,z) \mapsto (y,-z)$ generating a C_2 symmetry. We also have a D_6 dihedral group of automorphisms generated by $r:(y,z)\mapsto (\zeta y,z)$ and $s:(y,z)\mapsto (1/y,iz/y^3)$ where $\zeta=e^{\frac{i\pi}{3}}$. We can check that as $\zeta^3=-1$

$$srs: (y, z) \mapsto (1/y, iz/y^3) \mapsto (\zeta/y, iz/y^3) \mapsto (\zeta^{-1}y, i^2\zeta^{-3}z) = (\zeta^{-1}y, z)$$

giving $srs = r^{-1}$ as required from the dihedral group. The hyperelliptic involution commutes with this dihedral action, so in total we have found a $Aut(X) \ge C_2 \times D_6$. As $|C_2 \times D_6| = 24$, by Hurwitz' theorem we know that we could have at most one other automorphism of order either 2 or 3. This turns out not to be the case, and to see a general classification see for example [3]. To do the calculation in Sage, not we can use a result in [1] (translated here):

Lemma 12.5. If X is a hyperelliptic curve then $\operatorname{Aut}(J(X), a) \cong \operatorname{Aut}(X)$ where a is the canonical principal polarisation of the Jacobian.

This allows us to calculate in Sage:

```
sage: A.<u,v> = QQ[]
sage: Mod = sage.schemes.riemann_surfaces.riemann_surface
sage: S = Mod.RiemannSurface(u^2-1+v^6)
sage: G = S.symplectic_automorphism_group()
sage: G.structure_description()
'(C6\u00bdx\u00bdC2)\u00bd:\u00bdC2'
```

Remark. Note that above Sage is acting as a GAP wrapper. The formatting translates to say $G \cong (C_6 \times C_2) \rtimes C_2$

12.4 Riemann's Bilinears and Period Matrices

We now view our curve X as a 6-fold covering of \mathbb{P}^1 with branch points at $z=\pm 1$. We want to first calculate the monodromy associated to encircling these points, so let γ_{\pm} be a curve in \mathbb{P}^1 that encircles ± 1 once anticlockwise (explicitly choose a circle of small radius ϵ). On γ_{\pm} take $z=\pm 1+\epsilon e^{i\theta}$.

As we recall $y^6 = 1 - z^2$, on γ_+ we see

$$y^6 = -\epsilon e^{i\theta} \left(2 + \epsilon e^{i\theta} \right)$$

and on γ_{-}

$$y^6 = \epsilon e^{i\theta} \left(2 - \epsilon e^{i\theta} \right)$$

To both of these the associated monodromy can be written as ζ the fundamental solution to $\zeta^6=1$ as before, where we mean that multiplying by ζ acts as the cycle of sheets that corresponds to the paths γ_{\pm} . Observe then that the curve $\gamma=\gamma_{+}-\gamma_{-}$ (a figure of 8 if we deform so the curves intersect at z=0) has associated monodromy 0, but is non-contractible, and so corresponds to cycles on X. Moreover, by changing the sheet the lift starts on we get actually get 6 distinct cycles, and we can choose them to have the right intersection numbers we would want of a canonical homology basis. Explicitly we take

$$a_1 = \gamma : (1 \to 2 \to 1)$$

$$b_1 = \gamma : (2 \to 3 \to 2)$$

$$a_2 = \gamma : (4 \to 5 \to 4)$$

$$b_2 = \gamma : (5 \to 6 \to 5)$$

where hopefully the notation is self-explanatory.

Remark. One might ask, how do we know these have the right orientation to get the correct intersection?

To determine what forms we need to integrate to get the period matrix we recall a statement in Miranda (pg 112)

Lemma 12.6. Suppose that X is a projective plane curve of degree d F(x, y, z) = 0 where F(x, y, 1) = f(x, y) then if p(x, y) is a polynomial of degree at most d - 3 then

$$p(x,y)\frac{dx}{\left(\frac{\partial f}{\partial y}\right)}$$

is a holomorphic differential.

This applies to tell us that we can get a basis of holomorphic on differentials on X with $\left\{\frac{dz}{y^5}, \frac{dz}{y^4}\right\}$.

Remark. The polynomials p we are taking here are actually 6,6y. We know that we only need g=2 of them so be sufficient.

Now we can explicitly calculate. We fix the 1st sheet by asking that y(z=0)=1. We then set a normalisation our basis called ω_1, ω_2 respectively by asking that

$$\int_{a_1} \omega_i = 1$$

Remark. This can be done as over our cycles the forms will not integrate to zero, as can be seen for example with

$$\int_{a_1} \frac{dz}{y^5} = (1 - \zeta^{-5}) \int_{-1}^1 \frac{dz}{(1 - z^2)^{5/6}} = (1 - \zeta) B\left(\frac{1}{2}, \frac{1}{6}\right)$$

$$\int_{a_1} \frac{dz}{y^4} = (1 - \zeta^{-4}) \int_{-1}^1 \frac{dz}{(1 - z^2)^{4/6}} = (1 - \zeta^2) B\left(\frac{1}{2}, \frac{1}{3}\right)$$

where to do the above calculation we have used

$$\int_{-1}^{1} \frac{dx}{(1-x^2)^{\alpha}} = 2 \int_{0}^{1} \frac{dx}{(1-x^2)^{\alpha}} = \int_{0}^{1} t^{-\frac{1}{2}} (1-t)^{-\alpha} dt = B\left(\frac{1}{2}, 1-\alpha\right)$$

One might wonder is there a way to use the Pochhammer contour as part of the homology basis to recreate this result slightly more easily?

We can get onto other sheets just by multiplying y by powers of ζ , so for example we can calculate that

$$\int_{b_1} \omega_1 = \zeta^{2\times -5} \left[(0 \mapsto 1) + \zeta^{-1\times -5} (1 \mapsto -1) + (-1 \mapsto 0) \right]$$
$$= \zeta^{-10} (1 - \zeta^5) (-1 \mapsto 1)$$
$$= -\zeta^{-5} (1 - \zeta^{-5}) (-1 \mapsto 1)$$

and following this through we get that the period matrix is

$$\Omega = \begin{pmatrix} 1 & \zeta^{-5(4-1)} & \zeta^{-5(2-1)} & \zeta^{-5(5-1)} \\ 1 & \zeta^{-4(4-1)} & \zeta^{-4(2-1)} & -\zeta^{-4(5-1)} \end{pmatrix} = \begin{pmatrix} 1 & -1 & \zeta & -\zeta \\ 1 & 1 & \zeta^2 & \zeta^2 \end{pmatrix}$$

and so

$$\tau = \frac{\zeta}{2} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & -1 \\ \zeta & -\zeta \end{pmatrix} = \frac{\zeta}{2} \begin{pmatrix} 1+\zeta & -(1-\zeta) \\ -(1-\zeta) & 1+\zeta \end{pmatrix} = \frac{1}{2} \begin{pmatrix} \sqrt{3}i & -1 \\ -1 & \sqrt{3}i \end{pmatrix}$$

This can be calculated numerically in Sage as below (output formatted to fit in the document):

```
sage: S.period_matrix()
[ -0.6-1.1*I   0.6+1.1*I   1.2+0.0*I   -1.2+0.0*I]
[ -1.1-0.6*I   -1.1-0.6*I   0.0-1.2*I   0.0-1.2*I]
sage: S.riemann_matrix()
[ 0.000+0.866*I   0.500+0.000*I]
[ 0.500+0.000*I   0.000+0.866*I]
```

Remark. These numerical results seem to suggest the analytic answer is wrong, and that I should really be finding

$$\Omega \sim \begin{pmatrix} 1 & -1 & \zeta^2 & -\zeta^2 \\ 1 & 1 & \zeta & \zeta \end{pmatrix}$$

giving

$$\tau = \frac{1}{2} \begin{pmatrix} 1 & 1 \\ -1 & 1 \end{pmatrix} \begin{pmatrix} \zeta^2 & -\zeta^2 \\ \zeta & \zeta \end{pmatrix} = \frac{\zeta}{2} \begin{pmatrix} 1+\zeta & 1-\zeta \\ 1-\zeta & 1+\zeta \end{pmatrix} = \frac{1}{2} \begin{pmatrix} \sqrt{3}i & 1 \\ 1 & \sqrt{3}i \end{pmatrix}$$

Remark. Because of the action of both the modular group and the general linear group on Ω , it is difficult to inspect the computed answer to see if it is correct. This is less true of τ , for which the induced action of the general linear group is trivial, but we retain a the action of the modular group now through matrix-valued fractional linear transforms.

Remark. We could also try this calculation viewing X as a standard hyperelliptic. General theory says that we have a basis of $\Omega^1(X)$ given by

$$\left\{\omega_j = \frac{y^j dy}{z}\right\}_{j=0}^{g-1}$$

and a generating set of $H_1(X,\mathbb{Z})$ given by a suitable choice of independent closed paths $c = \gamma_1 - \gamma_2$ where γ_i are the two lifts of a path in \mathbb{P}^1 between branch points of the map $X \to \mathbb{P}^1$. In order to find the period matrices explicitly we need to calculate

$$\int_{\mathcal{C}} \omega_j$$

for these loops c. To be explicit for the paths in the base that we choose we take

$$a_{1} = \left\{ e^{\frac{it\pi}{3}} \mid t \in [0, 1] \right\}$$

$$b_{1} = \left\{ e^{\frac{i(t+1)\pi}{3}} \mid t \in [0, 1] \right\}$$

$$a_{2} = \left\{ e^{\frac{i(t+3)\pi}{3}} \mid t \in [0, 1] \right\}$$

$$b_{2} = \left\{ e^{\frac{i(t+5)\pi}{3}} \mid t \in [0, 1] \right\}$$

Part III

Elliptic Curves

An important class of Riemann surfaces are those with genus 1. It turns out (ref?) that these are all a special class of curve called elliptic curve. These come with their own interesting properties which we introduce here.

13 Periodic Functions

13.1 Generic results

We will start with some general results about periodic complex functions. We fix $f: \mathbb{C} \to \mathbb{C}$ to be a non-constant meromorphic single valued function.

Lemma 13.1. The periods of f form a \mathbb{Z} -module L

Proposition 13.2. L is either

- trivial, (=0)
- rank 1, $(= \omega \mathbb{Z})$
- rank 2, $(=\omega_1\mathbb{Z}\oplus\omega_2\mathbb{Z})$

Definition 13.3. A function with rank-2 L is called an **elliptic function** and L is called the **period lattice**.

Definition 13.4. Given a period lattice L, a choice of ω_1, ω_2 s.t. $L = \omega_1 \mathbb{Z} \oplus \omega_2 \mathbb{Z}$ is called a **primitive pair**. For a primitive pair we call $\tau = \frac{\omega_2}{\omega_1}$ the **period ratio**.

It is common, as we will do now, to restrict the period ratio to have real imaginary part

Proposition 13.5. Any pairs of primitive pairs are related by a fractional linear transform $\in SL(2,\mathbb{R})$. The corresponding period ratios are related by a map in the modular group

Definition 13.6. An elliptic function field is the class K = K(X) of functions of rational character on the complex torus X

Remark. Idefintifying X with \mathbb{C}_L is equivalent to identifying K(X) with double periodic functions on the universal cover of X, \mathbb{C} .

Proposition 13.7. K(X) is a differential field with differential $\frac{d}{dz}$ inherited from the coordinate z on the universal cover.

Proposition 13.8. deg f = 0 iff f is constant

Proof. deg(f) = 0 iff f has no poles. Pulling back to a doubly periodic function on \mathbb{C} , it is a bounded entire function, so constant by Liouville's theorem.

Lemma 13.9. Let $f \in K(X)$. Then

$$\oint_{\partial \mathfrak{F}(X)} f(z) \, dz = 0$$

Proof. Use periodicity of the function.

Remark. This can be used to show $\sum_{p \in X} \operatorname{ord}_p(f) = 0$ for X a complex torus, by considering the integral of $\frac{1}{2\pi i} \frac{f'}{f} \in K(X)$

This result has some powerful corollaries, which we will see here now:

Proposition 13.10. $\forall f \in K(X), \deg f \neq 1.$

Proof. If $\deg f = 1$ then the residue at the pole is

$$\frac{1}{2\pi i} \oint_{\partial \mathfrak{F}(X)} f(z) \, dz = 0$$

so there is no pole.

Proposition 13.11. Take $f \in K(X)$ and let p_1, \ldots, p_d be the zeros, q_1, \ldots, q_d the poles. Then

$$\sum p_i - q_i \in L$$

Proof. Let $L = L(\omega_1, \omega_2)$

$$\sum p_i - q_i = \frac{1}{2\pi i} \oint z d(\log f(z))$$

$$= \frac{1}{2\pi i} \left\{ \int_0^{\omega_1} \left[z - (z + \omega_2) \right] d(\log f(z)) - \int_0^{\omega_2} \left[z - (z + \omega_1) \right] d(\log f(z)) \right\}$$

$$= \omega_1 \underbrace{\left[\frac{1}{2\pi i} \int_0^{\omega_2} d(\log f(z)) \right]}_{\in \mathbb{Z}} - \omega_2 \underbrace{\left[\frac{1}{2\pi i} \int_0^{\omega_1} d(\log f(z)) \right]}_{\in \mathbb{Z}}$$

where we know the integrals are integer values as f takes the same values at the corners, so the argument must differ by an element of $2\pi i\mathbb{Z}$

13.2 Automorphisms

Proposition 13.12. Complex torus X always have bijective automorphisms $z \mapsto z + c$, $z \mapsto -z$. The only involutions are the reflection $z \mapsto -z$ and addition of half periods.

Remark. Note that every complex torus X admits the bijective automorphism descending from the $map \ \mathbb{C} \to \mathbb{C}, \ , z \mapsto nz \ for \ n \in \mathbb{Z} \setminus 0$

Definition 13.13. The complex torus X with primitive periods ω_1, ω_2 is said to **admit complex** multiplication if $\exists f$ an automorphism f(z) = cz for some $c \in \mathbb{C} \setminus \mathbb{Z}$. In this we have $i, j, k, l \in \mathbb{Z}$ s.t.

$$c\omega_2 = i\omega_2 + j\omega_1$$
$$c\omega_1 = k\omega_2 + l\omega_1$$

i.e. $\frac{\omega_2}{\omega_1}$ is a fixed points of the fractional linear transform $\begin{pmatrix} i & j \\ k & l \end{pmatrix}$.

Lemma 13.14. The period ratio is a quadratic irrationality from the field $\mathbb{Q}[\sqrt{(i+l)^2-4(il-jk)}]$

Proposition 13.15. Suppose X is a complex torus admitting complex multiplication via a bijective automorphisms, then the period ratio is either i or $e^{\frac{\pi i}{3}}$.

13.3 Theta Functions

We start this section with a warning. There are *many* different ways of writing theta functions, and so you will need to be on your toes to connect those in these notes with those in other documents. These will start with the definition in

Definition 13.16. For $\tau \in \mathbb{C}$, $\text{Im}(\tau) > 0$, define the **Riemann theta function**

$$\theta(z) = \theta(z|\tau) = \sum_{n \in \mathbb{Z}} e^{\pi i \left[n^2 \tau + 2nz\right]}$$

Remark. This is the definition given in Miranda and on Wikipedia (as of June 2020).

Proposition 13.17. The series converges absolutely and uniformly on compact subset of \mathbb{C} . Hence θ is an analytic function on \mathbb{C} .

Proposition 13.18. We have

$$\theta(z+1) = \theta(z)$$

$$\theta(z+\tau) = e^{-\pi i[\tau+2z]}\theta(z)$$

Corollary 13.19. $\theta(z_0) \Leftrightarrow \forall m, n \in \mathbb{Z}, \ \theta(z_0 + m + n\tau) = 0$ and the order of the zero is the same

Proposition 13.20. The only zeros of θ are

$$\frac{1+\tau}{2} + L(1,\tau)$$

and all these zeros are simple.

Definition 13.21. The translated theta function is

$$\theta^{(x)}(z) = \theta (z - (1/2) - (\tau/2) - x)$$

Definition 13.22. The Jacobi theta functions are

$$\theta_1(z|\tau) =$$

13.4 Jacobi Elliptic Functions

Definition 13.23. The sinus amplitudinus function is $sn : \mathbb{C} \to \mathbb{C}$ given by

$$x = \int_0^{sn(x,k)} \frac{dy}{\sqrt{(1-y^2)(1-k^2y^2)}}$$

13.5 The Weierstrass \wp Function

From our discussion of doubly-periodic functions, we know the minimal degree of a non-constand function is 2, so the simplest possible cases are

- two simple poles
- one double pole

We will construct the latter case.

Definition 13.24. Pick a complex torus X with lattice L. The corresponding Weierstrass function is $\wp : \mathbb{C} \to \mathbb{C}$

$$\wp(z) = \wp(z|L) = \frac{1}{z^2} + \sum_{\omega \in L \setminus 0} \left[\frac{1}{(z-\omega)^2} - \frac{1}{\omega^2} \right]$$

Proposition 13.25. The infinite sum is convergent and hence the \wp function is well defined.

Proposition 13.26. \wp has the following properties:

• Even, i.e. $\wp(z) = \wp(-z)$.

- Double periodic with period lattice L
- deg $\wp = 2$ as a map $\mathbb{C}/L \to \mathbb{P}^1$, with only one double pole at z = 0
- $\forall c \in \mathbb{C}^{\times}, \ \wp(cz|cL) = \wp(z|L)$
- $\wp'(z) = -2 \sum_{\omega \in L} (z \omega)^{-3}$
- \(\rho' \) is odd
- $\forall \omega \in L$, $\wp'(\omega/2) = 0$ and the half periods are the only roots.
- Let ω_1, ω_2 be the primitive roots and $e_1 = \wp(\omega_1/2)$, $e_2 = \wp(\omega_1/2 + \omega_2/2)$, $e_3 = \wp(\omega_2/2)$. Then e_1, e_2, e_3 are distinct.

Proposition 13.27. \wp satisfies the differential equations

$$(\wp')^2 = 4(\wp - e_1)(\wp - e_2)(\wp - e_3)$$

= $4\wp^3 - g_2\wp - g_3$

where

$$g_2 = 60 \sum_{\omega \in L \setminus 0} \omega^{-4}$$
$$g_3 = 140 \sum_{\omega \in L \setminus 0} \omega^{-6}$$

are the invariant of the cubic

Remark. It is shown in the proof of the above proposition that

$$g_2 = -4(e_1e_2 + e_2e_3 + e_3e_1)$$

$$g_3 = 4e_1e_2e_3$$

and

$$e_1 + e_2 + e_3 = 0$$

Remark. We will sometimes use the notation $\wp(z) = \wp(z|g_2,g_3)$

Lemma 13.28.
$$g_2^3 - 27g_3^2 = 16(e_1 - e_2)^2(e_2 - e_3)^2(e_3 - e_1)^2$$

Proof. Consider the polynomial $y^2 = x^3 - (g_2/4)x - (g_3/4) = (x - e_1)(x - e_2)(x - e_3)$. The discriminant of this cubic is

$$\Delta = 4 (g_2/4)^3 - 27 (g_3/4)^2$$
$$= \frac{1}{16} (g_2^3 - 27g_3^2)$$

However, we also know

$$\Delta = \prod_{i < j} (e_i - e_j)^2$$

Corollary 13.29. $\wp'' = 6\wp^2 - \frac{1}{2}g_2$

13.5.1 Addition Theorems

Proposition 13.30.
$$\wp(z+w) = \frac{1}{4} \left[\frac{\wp'(z) - \wp'(w)}{\wp(z) - \wp(w)} \right]^2 - \wp(z) - \wp(w)$$

Corollary 13.31. $\wp(z+\omega_1/2)=e_1+\frac{(e_1-e_2)(e_1-e_3)}{\wp(z)-e_1}$ and similar equations for cycles

Proof. Start by noting

$$\wp(z + \omega_1/2) + \wp(z) + e_1 = \frac{1}{4} \left[\frac{\wp'(z)}{\wp(z) - e_1} \right]^2$$

Corollary 13.32 (Duplication Formula). $\wp(2z) = \frac{1}{4} \left[\frac{\wp''(z)}{\wp'(z)} \right]^2 - 2\wp(z)$

14 Elliptic integrals

14.1 Elliptic Integral of the First Kind

Let us start by stating our assumptions of this section, namely:

- $u \in \mathbb{R}$
- $k \in (0,1)$.

We make the following definition:

Definition 14.1. Jacobi's incomplete elliptic integral of the first kind is the map $H \to \mathbb{C}$

$$x \mapsto \int_0^x \frac{1}{\sqrt{(1-t^2)(1-k^2t^2)}} dt$$

where the integrand is taken to be real and positive for $t \in (-1,1)$, and at the branch points $\pm 1, \pm \frac{1}{k}$ the contour should take an infinitesimal circle above the point (i.e remaining in the UHP).

Definition 14.2. The complete elliptic integral of the first kind is

$$K = K(k) = \int_0^1 \frac{dt}{\sqrt{(1-t^2)(1-k^2t^2)}} \in \mathbb{R}$$

and the complementary integral of the first kind is

$$K' = K'(k) = \int_1^{\frac{1}{k}} \frac{dt}{\sqrt{(t^2 - 1)(1 - k^2 t^2)}} \in \mathbb{R}$$

Proposition 14.3. We have the following results about K(k):

- $1. \ K(-k) = K(k)$
- 2. $\lim_{k\to 0^+} K(k) = \frac{\pi}{2}$

3.
$$K(ik) = \frac{1}{\sqrt{1+k^2}} K\left(\frac{k}{\sqrt{1+k^2}}\right)$$

4.
$$K(i) = \frac{1}{4}B\left(\frac{1}{4}, \frac{1}{2}\right)$$
 (B the beta function)

5.
$$K'(k) = K(k')$$
 where $k' = \sqrt{1 - k^2}$

6.
$$K(k) = (1 + k_1)K(k_1)$$
 for $k_1 = \frac{1 - k'}{1 + k'}$

7.
$$K(k) = \frac{\pi}{2} \prod_{n=1}^{\infty} (1 + k_n)$$
 for $k_{n+1} = \frac{1 - k'_n}{1 + k'_n}$

8.
$$K(k) = \frac{1}{1+k}K\left(\frac{2\sqrt{k}}{1+k}\right)$$
 (Landen's Transformation)

Proof. We will complete the proof in parts:

- 1. Trivial
- 2. say something about convergence, and then $\int_0^1 \frac{dt}{\sqrt{1-t^2}} = \frac{\pi}{2}$ is a trig integral.
- 3. consider the transform $t \mapsto \frac{t}{\sqrt{1+k^3(1-t^2)}}$
- 4. $K(i) = \int_0^1 \frac{dt}{\sqrt{1-t^4}} = \frac{1}{4} \int_0^1 t^{-\frac{3}{4}} (1-t)^{-\frac{1}{2}} dt$ making the substitution $t \mapsto t^{\frac{1}{4}}$.
- 5. Use the substitution $s = \left[1 (k')^2 t^2\right]^{-\frac{1}{2}}$ to transform K(k') to K'(k).
- 6. do the calculation
- 7. Iterate, observe $k_{n+1} < k_n$ (one can show $k_{n+1} < k_n^2$), and argue about convergence.
- 8. (Legendre 1811) Using the substitution $t \mapsto \frac{(1+k')x\sqrt{1-t^2}}{1-k^2t^2}$ find $K(k_1) = \frac{1+k'}{2}K(k)$ and the use Landen's transform.

These definitions of the complete integral make sense for the following result:

Proposition 14.4. The incomplete elliptic integral of the first kind has the following straight line segements for ranges:

$$[0,1] \mapsto [0,K] \\ [1,1/k] \mapsto [K,K+iK'] \\ [1/k,\infty) \mapsto [K+iK',iK')$$

$$[-1/k,1] \mapsto [-K+iK',-K] \\ [-1/k,1] \mapsto [-K+iK',-K] \\ (-\infty,-1/k] \mapsto (iK',-K+iK')$$

Corollary 14.5. The image of the UHP under the elliptic integral of the first kind is the interior of the rectangle with corners $\{K, -K, K + iK', -K + iK'\}$, with the boundary of the rectangle being the image of the boundary of the UHP.

Definition 14.6. The incomplete elliptic integral of the second kind is the map $H \to \mathbb{C}$

$$x \mapsto \int_0^x \sqrt{\frac{1 - k^2 t^2}{1 - t^2}} dt$$

using the contour above branch points.

Definition 14.7. The complete elliptic integral of the first kind is

$$E = E(k) = \int_0^1 \sqrt{\frac{1 - k^2 t^2}{1 - t^2}} \, dt \in \mathbb{R}$$

and the complementary integral of the first kind is

$$E' = E'(k) = \int_1^{\frac{1}{k}} \sqrt{\frac{1 - k^2 t^2}{t^2 - 1}} dt \in \mathbb{R}$$

Proposition 14.8. We have the following results about E(k):

1.
$$E'(k) = K(k') - E(k')$$

2. (Legendre 1825)
$$K(k)E(k') + E(k)K(k') - K(k)K(k') = K'(k)E(k) - K(k)E'(k) = \frac{\pi}{2}$$

Proof. The prove the first result use the substitution $x \mapsto k^{-1} \sqrt{1 - (k')^2 x^2}$. The second result is is more involved.

We can also get identities between the complete integrals by considering the derivative wrt k.

Proposition 14.9. We have

•
$$k^{-1} \frac{d}{dk} \left[k(1-k^2) \frac{d}{dk} K(k) \right] = K(k)$$

•
$$k \frac{d}{dk} E(k) = E(k) - K(k)$$

•
$$k(1-k^2)\frac{d}{dk}K(k) = E(k) - (1-k^2)K(k)$$
.

Proof. We will prove just the final one. We start by noting

$$k^{-1}\frac{d}{dk}K(k) = \int_0^1 \frac{x^2 dx}{\sqrt{(1-x^2)(1-k^2x^2)^3}}$$

by simple differentiation under the integral. Then

$$0 = \int_0^1 \left[\frac{d}{dx} \frac{x\sqrt{1-x^2}}{\sqrt{1-k^2x^2}} \right] dx$$

$$= \int_0^1 \left[\frac{1-x^2}{\sqrt{(1-x^2)(1-k^2x^2)}} - \frac{(1-k^2)x^2}{\sqrt{(1-x^2)(1-k^2x^2)^3}} \right] dx$$

$$\Rightarrow k^{-1}(1-k^2) \frac{d}{dk} K(k) = k^{-2} \left[\int_0^1 \frac{1-k^2x^2}{\sqrt{(1-x^2)(1-k^2x^2)}} dx + (k^2-1) \int_0^1 \frac{1}{\sqrt{(1-x^2)(1-k^2x^2)}} dx \right]$$

$$= k^{-2} \left[E(k) - (1-k^2)K(k) \right]$$

Definition 14.10. The incomplete elliptic integral of the third kind is the map $H \to \mathbb{C}$

$$x \mapsto \int_{-\infty}^{\infty} \frac{1}{(t^2 - c^2)\sqrt{(1 - t^2)(1 - k^2 t^2)}} dt$$

using the contour above branch points. The corresponding complete integrals is

$$\Pi(\alpha^2, k) = \int_0^1 \frac{1}{(1 - \alpha^2 t^2)\sqrt{(1 - t^2)(1 - k^2 t^2)}} dt$$

for $\alpha \in (-1,1)$.

Proposition 14.11. $\Pi(k^2, k) = \frac{E(k)}{1-k^2}$

Proof. This result requires differential identities. Note

$$0 = \int_0^1 \left[\frac{d}{dx} \frac{x\sqrt{1 - x^2}}{\sqrt{1 - k^2 x^2}} \right] dx$$

$$= \int_0^1 \left[\frac{1 - 2x^2}{\sqrt{(1 - x^2)(1 - k^2 x^2)}} + \frac{k^2 x^2 \sqrt{1 - x^2}}{\sqrt{(1 - k^2 x^2)^3}} \right] dx$$

$$\Rightarrow K(k) - \frac{2(K(k) - E(k))}{k^2} = -k \frac{d}{dk} \left[\Pi(k^2, k) - k^{-1} \frac{d}{dk} K(k) \right]$$

rearranging this to get a DE for Π finds the result.

14.2 Reduction of Elliptic Integrals

Definition 14.12. If R = R(x,y) is a rational function in x,y and $y^2 = Q(x)$ is a polynomial of degree d = 3, 4 with distinct roots then the integral

$$\int R(x,y)\,dx$$

is called a general elliptic integral.

Remark. Dealing with integrals such as the above when d = 1, 2 or there are repeated roots are treated in your earlier calculus classes, for example:

• d = 1: write $y^2 = ax + b$ and make the substitution

$$x \mapsto \frac{1}{a}(x^2 - b)$$

• d=2: write $y^2=c(x-a)(x-b)$ and make the substitution

$$x \mapsto a = \frac{1}{4}(b-a)\left(x - \frac{1}{x}\right)^2$$

Remark. Depending on how the layout of this document ends up being, I may have a the section on Jacobi elliptic functions first. In this case, one would see that the incomplete integral of the first kind corresponds to the inverse of the function sn. We could also generate integrals from the inverses of cn, dn. However from theory of elliptic functions, we know we can write the inverses of these in terms of sn⁻¹, so transforms must exists for their corresponding integrals to reduce them to "known" forms. The same idea applies to the reduction of general elliptic integrals and we will see that now.

Proposition 14.13. A general elliptic integral can be written as

$$\int \left[y^{-1}R_1(x) + R_2(x) \right] dx$$

As a results of this, because any rational integral can be solved through partial fractions, in order to study general elliptic integrals we need know only how to deal with integrals of the form

$$\int \frac{R(x)}{y} dx$$

Let us start to deal with these:

14.2.1 d = 3

Write

$$Q(x) = (x - e_0)(x - e_1)(x - e_2)$$

with e_0, e_1, e_2 distinct. Make the substitution

$$x \mapsto x^2 + e_0$$

sending

$$\frac{dx}{y} \mapsto \frac{2dx}{\sqrt{(x^2 + e_0 - e_1)(x^2 + e_0 - e_2)}}$$

The new Q has roots $\pm \sqrt{e_1 - e_0}$, $\pm \sqrt{e_2 - e_0}$, so wlog we may take d = 4.

14.2.2 d = 4

We make now start procedurally:

- 1. Write $Q(x) = (x e_0)(x e_1)(x e_2)(x e_3)$
- 2. Send e_0, \ldots, e_3 to $\pm 1, \pm \frac{1}{k}$ using a fractional linear transform. This sends $Q(x) \mapsto (1-x^2)(1-k^2x^2)$. This is possible if the cross ratio is

$$\frac{e_0 - e_1}{e_0 - e_3} \frac{e_2 - e_3}{e_2 - e_1} = \frac{4k}{(1+k)^2}$$

The distinctness of the e means that the cross ratio is not $0, 1, \infty$ and so $k \neq 0, \pm 1$. There is clearly a choice involved in k here, can it be shown that this choice does not matter, for example in simple cases?

Remark. This value of the cross ratio can be calculated from the map that sends $-1, \frac{1}{k}, -\frac{1}{k} \mapsto 0, 1, \infty$. This is the map

$$z \mapsto \frac{z+1}{z+1/k} \frac{2/k}{1+1/k}$$

This corresponds to mapping the roots as said above. We could consider a different way of mapping the roots, for example $e_0, e_1, e_2, e_3 \mapsto \frac{1}{k'}, -1, 1, -\frac{1}{k'}$. The symbol k' is used as the cross ratio for this change is now found from the map

$$z\mapsto \frac{z+1}{z+{}^1\!/{}^{k'}}\frac{1+{}^1\!/{}^{k'}}{2}$$

i.e. the cross ratio is

$$\frac{(1+k')^2}{4k'}$$

We can relate k' to k as

3. Write $R(x) = R_1(x^2) + xR_2(x^2)$ and note

$$\int \frac{xR_2(x^2)}{\sqrt{(1-x^2)(1-k^2x^2)}} dx = \frac{1}{2} \int \frac{R_2(x^2)}{\sqrt{(1-x^2)(1-k^2x^2)}} d(x^2)$$

Hence this term reduces to previously solved cases (d = 2). As such we are reduced to the case of

$$\int \frac{R(x^2)}{y} dx$$

for
$$y^2 = (1 - x^2)(1 - k^2x^2)$$
.

4. Use the identities

$$\frac{x^2 - a}{x^2 - b} = 1 + \frac{b - a}{x^2 - b}$$
$$\frac{1}{(x^2 - a)(x^2 - b)} = (a - b)^{-1} \left(\frac{1}{x^2 - a} - \frac{1}{x^2 - b}\right)$$

to reduce to the classes of integrals

$$I_n = \int \frac{x^{2n}}{y} dx \quad (n \ge 0)$$

$$I'_n = \int \frac{(x^2 - c^2)^n}{y} dx \quad (n < 0)$$

for
$$y^2 = (1 - x^2)(1 - k^2x^2)$$
.

5. Use relation

$$nI_{n-1} - \left(n + \frac{1}{2}\right)(1+k^2)I_n + (n+1)k^2I_{n+1} = x^{2n}y + \text{cst}$$

to reduce I_n to I_0 and I_1 . A similar technique can be applied to reduce I'_n to I'_{-1} and $I'_0 = I_0$. **Idea.** The irreducible incomplete integrals are those of the first, second, and third kind:

$$I_0 = \int \frac{1}{\sqrt{(1-x^2)(1-k^2x^2)}} dx$$

$$I_0 - k^2 I_1 = \int \sqrt{\frac{1-k^2x^2}{1-x^2}} dx$$

$$I'_{-1} = \int \frac{1}{(x^2 - c^2)\sqrt{(1-x^2)(1-k^2x^2)}} dx$$

A lemma useful in the reduction is the following:

Proposition 14.14. Suppose the map sending $e_0, \ldots, e_3 \mapsto \pm 1, \pm \frac{1}{k}$ is

$$f(z) = \frac{az+b}{cz+d}$$

Then if we make the substitution $t = \frac{ax+b}{cx+d}$ we have

$$Q(x) = \prod (x - e_i) = \frac{(1 - t^2)(1 - k^2 t^2)}{k^2 (a - ct)^4 \prod (ce_i + d)^{-1}}$$

and

$$dx = \frac{ad - bc}{(a - ct)^2}dt$$

Proof. The inverse transform is

$$x = \frac{dt - b}{a - ct}$$

SO

$$x - e_i = \frac{(dt - b) - e_i(a - ct)}{a - ct}$$

$$= \frac{(ce_i + d)t - (ae_i + b)}{a - ct}$$

$$= \frac{t - f(e_i)}{(a - ct)(ce_i + d)^{-1}}$$

The first part then follows by taking the product and knowing that the $f(e_i)$ are the roots of $(1-x^2)(1-k^2x^2)$, and mathching up the coefficient of t^4 . For the second part we directly calculate.

$$dx = \frac{d}{a - ct}(dt) + \frac{dt - b}{(a - ct)^2}c(dt)$$
$$= \frac{ad - bc}{(a - ct)^2}(dt)$$

Corollary 14.15. We have

$$\int \frac{dx}{\sqrt{(x-e_0)(x-e_1)(x-e_2)(x-e_3)}} = \pm \frac{k(ad-bc)}{\prod \sqrt{ce_i+d}} \int \frac{dt}{\sqrt{(1-t^2)(1-k^2t^2)}}$$

where the \pm fixes the correct choice of sign.

Lemma 14.16. The map sending $-1, \frac{1}{k}, -\frac{1}{k} \mapsto 0, 1, \infty$ is

$$z \mapsto \frac{2k}{1+k} \frac{1+z}{1+kz}$$

and the inverse is

$$z \mapsto \frac{1}{k} \frac{(1+k)z - 2k}{-(1+k)z + 2}$$

Remark. The above map isn't normalised yet, so fix it

Example 14.17. Consider the integral

$$I = \int_0^2 \frac{1}{\sqrt{(2x - x^2)(4x^2 + 9)}} dx$$

We start by making Q monic by pulling out the factor of 2. This gives

$$I = \frac{1}{2} \int_0^2 \frac{1}{\sqrt{(2x - x^2)(x^2 + 9/4)}} dx$$

As such we have Q(x) = -x(x-2)(x-3i/2)(x+3i/2). We can calculate the cross ratio for sending $0 \mapsto C, 2 \mapsto 0, \frac{3i}{2} \mapsto 1, \frac{-3i}{2} \mapsto \infty$:

$$C = \frac{0-2}{0+\frac{3i/2}{3i/2-2}} = \frac{-4}{\frac{3i/2-2}{3i/2-2}} = \frac{2i}{\frac{3/4+i}{3i/2-2}} = \frac{2i}{\frac{3$$

This corresponds to a value of $k = \frac{i}{2}$. We want to construct a map sending $0, 2, \frac{3i}{2}, -\frac{3i}{2} \mapsto 1, -1, \frac{1}{k}, -\frac{1}{k}$ so we consider

$$z \mapsto \frac{z+b}{cz+d}$$

Imposing the conditions finds $b=d=-(1+c)=-\frac{3}{4}$. We can, without changing our answer, rescale all of these to integers (namely a=4,b=-3,c=-1,d=-3) to make the calculations easier. For this transform we find ad-bc=-15. Further

$$-0 - 3 = -3$$

$$-2 - 3 = -5$$

$$-\frac{3i}{2} - 3 = -\left(3 + \frac{3i}{2}\right)$$

$$-\frac{-3i}{2} - 3 = -\left(3 - \frac{3i}{2}\right)$$

giving

$$\prod (ce_i + d)^{-\frac{1}{2}} = \frac{2}{15\sqrt{3}}$$

so after the transform

$$I = \frac{-15i}{4} \times \frac{2}{15\sqrt{3}} \int_{1}^{-1} \frac{dt}{\sqrt{-(1-t^2)(1-k^2t^2)}} = \frac{1}{\sqrt{3}} K\left(\frac{i}{2}\right)$$

for $k = \frac{i}{2}$. Using

$$\begin{split} K(ik) &= \frac{1}{\sqrt{1+k^2}} K\left(\frac{k}{\sqrt{1+k^2}}\right) \\ \Rightarrow K\left(\frac{i}{2}\right) &= \frac{2}{\sqrt{5}} K\left(\frac{1}{\sqrt{5}}\right) \end{split}$$

we get

$$I = \int_0^2 \frac{1}{\sqrt{(2x - x^2)(4x^2 + 9)}} dx = \frac{2}{\sqrt{15}} K\left(\frac{1}{\sqrt{5}}\right)$$

14.3 Connection to Elliptic Functions

Recall that when we defined the incomplete elliptic integrals, Because of the differential equation for \wp we have the following result:

Proposition 14.18. We have

$$z - z_0 = \frac{1}{2} \int_{\wp(z_0)}^{\wp(z)} \frac{dt}{\sqrt{(t - e_1)(t - e_2)(t - e_3)}} \mod L$$

where the each value of the integral depends on the contour chosen.

Example 14.19. Choosing the correct sign of the radicals, we have

$$\omega_1 = \int_{\infty}^{e_1} \frac{dt}{\sqrt{(t - e_1)(t - e_2)(t - e_3)}}$$

$$\omega_2 = \int_{e_1}^{e_2} \frac{dt}{\sqrt{(t - e_1)(t - e_2)(t - e_3)}}$$

We can also use the Weierstrass function to solve elliptic integrals.

Example 14.20. Consider the integral

$$I(x) = \int_{\infty}^{x} \frac{dt}{\sqrt{t^4 + 6at^2 + b^2}}$$

for $a, b \in \mathbb{R}$. Make the substitution $s = t^2$ to get

$$I(x) = \frac{1}{2} \int_{\infty}^{x^2} \frac{ds}{\sqrt{s^3 + 6as^2 + b^2s}}$$

To put this bottom cubic in Weierstrass form we need to remove the s^2 term, which can be achieved by making the translation u = s + 2a. Then

$$I(x) = \frac{1}{2} \int_{\infty}^{x^2 + 2a} \frac{du}{\sqrt{u^3 - (12a^2 - b^2)u - 2a(b^2 - 8a^2)}}$$
$$= \int_{\infty}^{x^2 + 2a} \frac{du}{\sqrt{4u^3 - 4(12a^2 - b^2)u - 8a(b^2 - 8a^2)}}$$

This is in Weierstrass form with $g_2 = 4(12a^2 - b^2)$, $g_3 = 8a(b^2 - 8a^2)$. The final result is

$$I(x) = \wp^{-1}(x^2 + 2a \mid 4(12a^2 - b^2), 8a(b^2 - 8a^2))$$

15 Abel's Inversion Theorem

We start by noting to following connection, a complex torus $X = \mathbb{C}_L$ with $e_1, e_2.e_3$ given, has the behaviour

$$[\wp']^2 = 4(\wp - e_1)(\wp - e_2)(\wp - e_3)$$

in it's function field.

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