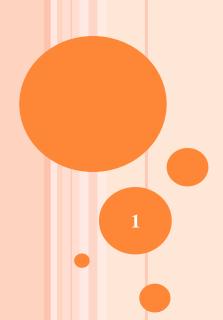
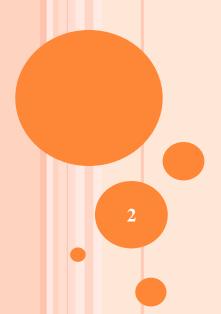
SYNTAX ANALYSIS 2ND PHASE OF COMPILER CONSTRUCTION



SECTION 2.1: CONTEXT FREE GRAMMAR



SYNTAX ANALYZER

- The syntax analyzer (parser) checks whether a given source program satisfies the rules implied by a context-free grammar or not.
 - If it satisfies, the parser creates the parse tree of that program.
 - Otherwise the parser gives the error messages.
- It creates the syntactic structure of the given source program.
- This syntactic structure is mostly a *parse tree*.
- Syntax Analyzer is also known as *parser*.
- The syntax of a programming is described by a *context-free* grammar (CFG).
- A context-free grammar
 - gives a precise syntactic specification of a programming language.
 - the design of the grammar is an initial phase of the design of a compiler.
 - a grammar can be directly converted into a parser by some tools.

PARSER

- Parser works on a stream of tokens.
- The smallest item is a token.



Parsers (cont.)

• We categorize the parsers into two groups:

1. Top-Down Parser

- Parse-trees built is build from root to leaves (top to bottom).
- Input to parser is scanned from left to right one symbol at a time

2. Bottom-Up Parser

- Start from leaves and work their way up to the root.
- Input to parser scanned from left to right one symbol at a time
- Efficient top-down and bottom-up parsers can be implemented only for sub-classes of context-free grammars.
 - LL for top-down parsing
 - LR for bottom-up parsing

WHY DO WE NEED A GRAMMAR?

Grammar defines a Language.

There are some rules which need to be followed to express or define a language.

These rules are laid down in the form of Production rules (P).

Context-free grammar (CFG) is used to generate a language called Context Free Language (L)

CONTEXT-FREE GRAMMARS (CFG)

CFG G consist of 4 symbol (T,V, S, P):

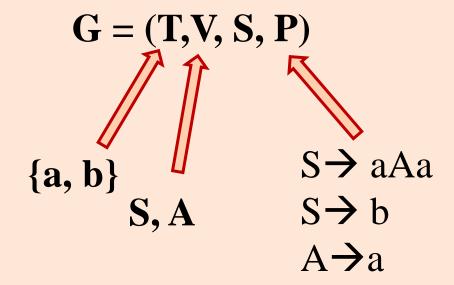
- > T: A finite set of terminals
- > V: A finite set of non-terminals (also denoted by N)
- > S: A start symbol (Non-terminal symbol with which the grammar starts)
- > **P**: A finite set of productions rules

CONTEXT-FREE GRAMMARS (CFG)

Consider the Grammar:

$$S \rightarrow aAa/b$$

 $A \rightarrow a$



TERMINALS SYMBOLS

Terminals include:

- Lower case letters early in the alphabets
- > Operator symbols, +, %
- > Punctuation symbols such as (),;
- > Digits 0,1,2, ...
- > Boldface strings id or if

Consider the Grammar:

$$S \rightarrow aAa$$

$$S \rightarrow b;c$$

$$A \rightarrow aA/\epsilon$$

Here Terminal Symbols are {a, b, c, ; , &}

NON TERMINALS SYMBOLS

Non - Terminals include:

- Uppercase letters early in the alphabet
- The letter S, start symbol
- Lower case italic names such as *expr* or *stmt*

Consider the Grammar:

Here Non- Terminal Symbols are {A, B, S}

PRODUCTION RULES

Production Rules include:

Set of Rules which define the grammar G

Consider the Grammar:

$$S \rightarrow aAa$$

$$A \rightarrow aA/a$$

Here we have three production rules

- i. S→aAa
- ii. A→aA
- iii. A→ a

DERIVATION OF A STRING

String 'w' of terminals is generated by the grammar if:

Starting with the start variable, one can apply productions and end up with 'w'.

A sequence of replacements of non-terminal symbols or a sequence of strings so obtained is a *derivation* of 'w'.

Consider the Grammar:

$$S \rightarrow aAa$$

$$A \rightarrow aA/a$$

We can derive sentence 'aaa' from this grammar.

$$S \rightarrow aaa (A \rightarrow a)$$

DERIVATION OF A STRING

In general a derivation step is:

 $\alpha A\beta \Rightarrow \alpha\gamma\beta$ if there is a production rule $A{\to}\gamma$ in a grammar where α and β are arbitrary strings of terminal and non-terminal symbols

$$\alpha_1 \Rightarrow \alpha_2 \Rightarrow ... \Rightarrow \alpha_n$$
 (α_n derives from α_1 or α_1 derives α_n)

 \Rightarrow : derives in one step

 $\stackrel{*}{\Rightarrow}$: derives in zero or more steps

 $\stackrel{+}{\Rightarrow}$: derives in one or more steps

DERIVATION OF A STRING

Consider the Grammar:

$$S \rightarrow aSa/b/aA$$

$$A \rightarrow a$$

Derived in one step



S→b

Derived in two steps



S→aSa → aba

Derived in multiple steps



S→aSa → aaSaa→aaaSaaa →aaabaaa

SENTENCE AND SENTENTIAL FORM

A sentence of L(G) is a string of terminal symbols only.

A **sentential form** is a combination of terminals and non-terminals.

Say, we have a production

$$S \Rightarrow \alpha$$

If α contains non-terminals, it is called as a *sentential* form of G.

$$S \rightarrow aSa \rightarrow aaSaa \rightarrow aaaSaaa \rightarrow aaabaaa$$

If α does not contain non-terminals, it is called as a *sentence* of G.

LEFT-MOST AND RIGHT-MOST DERIVATIONS

We can derive the grammar in two ways:

- Left-Most Derivation
- Right- Most Derivation

In Left Most Derivation, we start deriving the string 'w' from the left side and convert all non terminals into terminals.

In **Right Most Derivation**, we start deriving the string 'w' from the right side and convert all non terminals into terminals.

LEFT-MOST DERIVATIONS

Consider the Grammar:

$$E \rightarrow E + E/E - E/E * E/E/(E)/id$$

Derive the string 'id+id *id'

$$E \rightarrow E + E$$
 (E \rightarrow E + E)

$$E \rightarrow id + E$$
 (E $\rightarrow id$)

$$E \rightarrow id + E * E \quad (E \rightarrow E * E)$$

$$E \rightarrow id + id *E \quad (E \rightarrow id)$$

$$E \rightarrow id + id*id (E \rightarrow id)$$

$$E \rightarrow E + E$$
 (E $\rightarrow E + E$)

$$E \rightarrow E + E \times E$$
 (E \rightarrow E \rightarrow E)

$$E \rightarrow id + E * E (E \rightarrow id)$$

$$E \rightarrow id + id *E \quad (E \rightarrow id)$$

$$E \rightarrow id + id*id$$
 (E $\rightarrow id$)

Parse tree for Left-Most Derivations

Consider the Grammar:

 $E \rightarrow E + E/E - E/E * E/E/(E)/id$

Derive the string 'id+id *id'

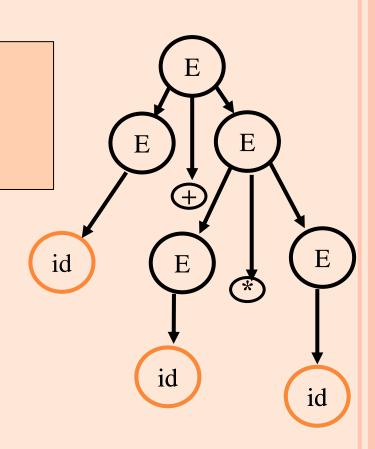
$$E \rightarrow E + E$$
 (E \rightarrow E + E)

$$E \rightarrow id + E$$
 (E $\rightarrow id$)

$$E \rightarrow id + E*E$$
 ($E \rightarrow E*E$)

$$E \rightarrow id + id *E \quad (E \rightarrow id)$$

$$E \rightarrow id + id*id$$
 (E $\rightarrow id$)



RIGHT-MOST DERIVATIONS

Consider the Grammar:

$$E \rightarrow E + E/E - E/E * E/E/(E)/id$$

Derive the string 'id+id *id'

$$E \rightarrow E * E$$
 ($E \rightarrow E * E$)
 $E \rightarrow E * id$ ($E \rightarrow id$)
 $E \rightarrow E + E * id$ ($E \rightarrow E + E$)
 $E \rightarrow E + id * id$ ($E \rightarrow id$)
 $E \rightarrow id + id * id$ ($E \rightarrow id$)

$$E \rightarrow E *E$$
 ($E \rightarrow E + E$)

 $E \rightarrow E + E *E$ ($E \rightarrow E + E$)

 $E \rightarrow E \times E * id$ ($E \rightarrow id$)

 $E \rightarrow E + id * id$ ($E \rightarrow id$)

 $E \rightarrow id + id * id$ ($E \rightarrow id$)

RIGHT-MOST DERIVATIONS

Consider the Grammar:

 $E \rightarrow E + E/E - E/E * E/E/(E)/id$

Derive the string 'id+id *id'

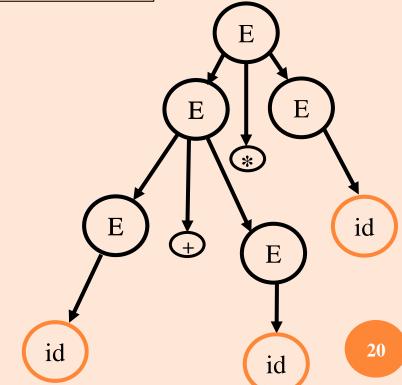
 $E \rightarrow E * E$ (E \rightarrow E+E)

 $E \rightarrow E*id$ (E $\rightarrow id$)

 $E \rightarrow E + E * id \quad (E \rightarrow E + E)$

 $E \rightarrow E + id*id$ ($E \rightarrow id$)

 $E \rightarrow id + id*id$ (E $\rightarrow id$)



SECTION 2.2: AMBIGUOUS GRAMMAR



AMBIGUOUS GRAMMAR

A grammar is Ambiguous if it has:

More than one left most or more than one right most derivation for a given sentence *i.e.* it can be derived by more then one ways from LMD or RMD.

Consider the Grammar:

 $E \rightarrow E + E/E - E/E * E/E/(E)/id$

Derive the string 'id+id *id'

$$E \rightarrow E + E$$
 $(E \rightarrow E + E)$
 $E \rightarrow id + E$ $(E \rightarrow id)$
 $E \rightarrow id + E + E$ $(E \rightarrow E + E)$
 $E \rightarrow id + id + E$ $(E \rightarrow id)$
 $E \rightarrow id + id + id$ $(E \rightarrow id)$

$$E \rightarrow E \times E$$
 (E $\rightarrow E \times E$)

 $E \rightarrow id \times E$ (E $\rightarrow id$)

 $E \rightarrow id + E \times E \times E + E$)

 $E \rightarrow id + id \times E$ (E $\rightarrow id$)

 $E \rightarrow id + id \times id$ (E $\rightarrow id$)

More than one leftmost derivations

Ambiguous Grammar

AMBIGUOUS GRAMMAR

A grammar is Ambiguous if it has:

More than one left most or more than one right most derivation for a given sentence *i.e.* it can be derived by more then one ways from LMD or RMD.

Consider the Grammar:

E→ E+E/E-E/E*E/E/(E)/id
Derive the string 'id+id *id'

$$E \rightarrow E^*E$$
 (E \rightarrow E*E)
 $E \rightarrow E^*id$ (E \rightarrow id)
 $E \rightarrow E+E^*id$ (E \rightarrow E+E)
 $E \rightarrow E+id^*id$ (E \rightarrow id)
 $E \rightarrow id+id^*id$ (E \rightarrow id)

$$E \rightarrow E + E$$
 $(E \rightarrow E + E)$
 $E \rightarrow E + E * E$ $(E \rightarrow E * E)$
 $E \rightarrow E + E * id$ $(E \rightarrow id)$
 $E \rightarrow E + id * id$ $(E \rightarrow id)$
 $E \rightarrow id + id * id$ $(E \rightarrow id)$

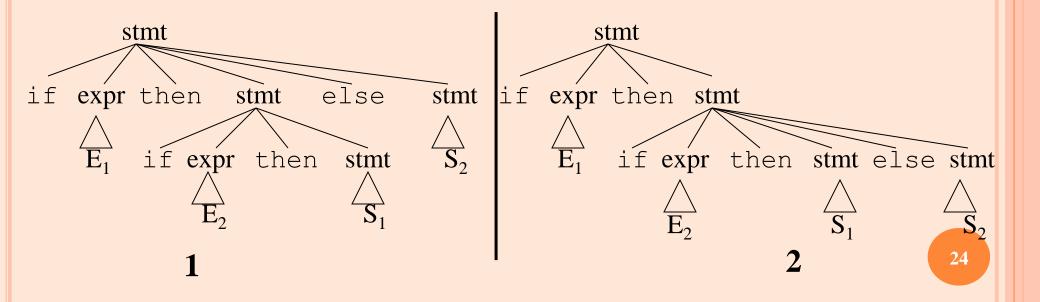
More than one rightmost derivations

Ambiguous Grammar

AMBIGUITY (CONT.)

```
stmt → if expr then stmt |
    if expr then stmt else stmt | otherstmts
```

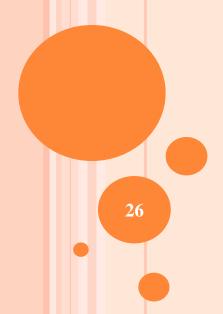
if E_1 then if E_2 then S_1 else S_2



AMBIGUITY (CONT.)

- We prefer the second parse tree (else matches with closest if).
- So, we have to disambiguate our grammar to reflect this choice.
- The unambiguous grammar will be:

SECTION 2.3: LEFT RECURSION AND LEFT FACTORING



LEFT RECURSION

• A grammar is *left recursive* if it has a non-terminal A such that there is a derivation.

$$A \stackrel{+}{\Rightarrow} A\alpha$$
 for some string α

- Top-down parsing techniques **cannot** handle left-recursive grammars.
- So, we have to convert our left-recursive grammar into an equivalent grammar which is not left-recursive.
- The left-recursion may appear in a single step of the derivation (*immediate left-recursion*), or may appear in more than one step of the derivation.

IMMEDIATE LEFT-RECURSION

$$A \rightarrow A \alpha \mid \beta$$

where β does not start with A



$$\begin{array}{c} A \rightarrow \beta \ A' \\ A' \rightarrow \alpha \ A' \quad | \quad \epsilon \end{array}$$

An equivalent grammar

In general,

$$A \rightarrow A \alpha_1 \mid ... \mid A \alpha_m \mid \beta_1 \mid ... \mid \beta_n \text{ where } \beta_1 ... \beta_n \text{ do not start with } A$$



| Eliminate immediate left recursion

an equivalent grammar

REMOVING IMMEDIATE LEFT-RECURSION

 $A \rightarrow A \alpha | \beta \longrightarrow \begin{cases} A \rightarrow \beta A' \\ A' \rightarrow \alpha A' | \epsilon \end{cases}$

$$E \rightarrow E+T \mid T$$

$$T \rightarrow T^*F \mid F$$

$$F \rightarrow id \mid (E)$$

 $F \rightarrow id \mid (E)$

E
$$\rightarrow$$
E+T|T (A \rightarrow A α | β)
A is E; α is +T and β is T
Applying Rule we get
E \rightarrow TE' (A \rightarrow β A')
E' \rightarrow +TE' | ϵ (A' \rightarrow α A'| ϵ)

$$T \rightarrow T^*F \mid F$$
 $(A \rightarrow A \alpha \mid \beta)$
A is T; α is *F and β is F
Applying Rule we get
 $T \rightarrow F T'$ $(A \rightarrow \beta A')$
 $T' \rightarrow F T' \mid \epsilon$ $(A' \rightarrow \alpha A' \mid \epsilon)$

$$E \rightarrow T E'$$

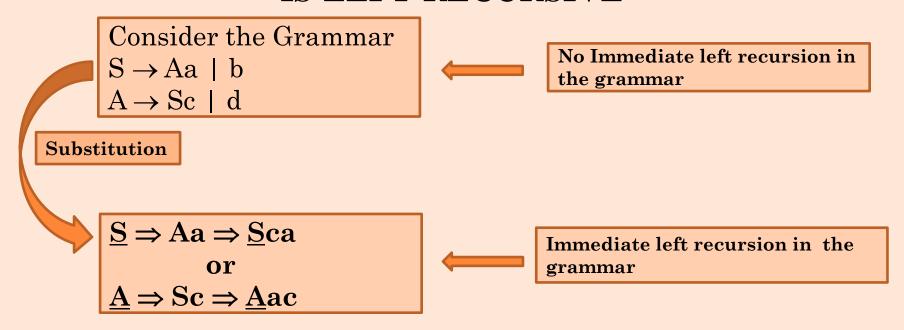
$$E' \rightarrow +T E' \mid \epsilon$$

$$T \rightarrow F T'$$

$$T' \rightarrow *F T' \mid \epsilon$$

$$F \rightarrow id \mid (E)$$

NO IMMEDIATE LEFT-RECURSION BUT GRAMMAR IS LEFT RECURSIVE



We need to check and eliminate both Immediate left recursion and Left recursion

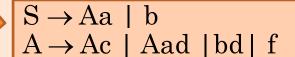
NO IMMEDIATE LEFT-RECURSION BUT GRAMMAR IS LEFT RECURSIVE

Consider the Grammar

$$S \rightarrow Aa \mid b$$

$$A \rightarrow Ac \mid Sd \mid f$$

Substitute A→Sd with Aad | bd



 α_1 is c; α_2 is ad; β_1 is bd and β_2 is f

Applying Rule

$$A \rightarrow A \alpha | \beta \longrightarrow \begin{cases} A \rightarrow \beta A' \\ A' \rightarrow \alpha A' | \epsilon \end{cases}$$

We get:

$$A \rightarrow bdA' \mid fA'$$

$$A' \rightarrow cA' \mid adA' \mid \epsilon$$

No Immediate left recursion in S

Order of non-terminals: S, A for S:

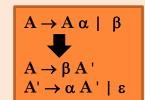
- there is no immediate left recursion in S.

Immediate left recursion in A

 $S \rightarrow Aa \mid b$ $A \rightarrow bdA' \mid fA'$ $A' \rightarrow cA' \mid adA' \mid \epsilon$

Final Output

NO IMMEDIATE LEFT-RECURSION BUT GRAMMAR IS LEFT RECURSIVE



Consider the Grammar

$$S \rightarrow Aa \mid b$$

$$A \rightarrow Ac \mid Sd \mid f$$

Order of non-terminals: A, S

for A:

Eliminate the immediate left-recursion in A

$$A \rightarrow SdA' \mid fA'$$

 $A' \rightarrow cA' \mid \varepsilon$



 $A \rightarrow Ac \mid Sd \mid f$ $\alpha \text{ is } c; \beta_1 \text{ is } Sd \text{ and } \beta_2 \text{ is } f$

for S:

- Replace $S \rightarrow Aa$ with $S \rightarrow SdA' a|fA'a$ So, we will have $S \rightarrow SdA' a | fA'a | b$

Eliminate the immediate left-recursion in S

$$S \rightarrow fA'aS' \mid bS'$$

 $S' \rightarrow dA'aS' \mid \varepsilon$



 $S \rightarrow SdA'| fA'a | b$ α is dA' a; β_1 is fA'a and β_2 is b

$$S \rightarrow fA'aS' \mid bS'$$

$$S' \rightarrow dA' aS' \mid \epsilon$$

$$A \rightarrow SdA' \mid fA'$$

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Final Output

 $A' \rightarrow cA' \mid \epsilon$

PRACTICE QUESTION: LEFT RECURSION

Remove the left recursion from the grammar given below

$$A \rightarrow B \times y \mid x$$
 $B \rightarrow C D$
 $C \rightarrow A \mid c$
 $D \rightarrow d$

ELIMINATE LEFT-RECURSION -- ALGORITHM

```
- Arrange non-terminals in some order: A_1 \dots A_n
- for i from 1 to n do {
      - for j from 1 to i-1 do {
          replace each production
                   A_i \rightarrow A_i \gamma
                        by
                    A_i \rightarrow \alpha_1 \gamma \mid ... \mid \alpha_k \gamma
                    where A_i \rightarrow \alpha_1 \mid ... \mid \alpha_k
     - eliminate immediate left-recursions among A<sub>i</sub>
     productions
```

LEFT-FACTORING

Consider the Grammar $S \rightarrow Aa \mid Ab$

OR

 $stmt \rightarrow if expr then stmt else stmt$ if expr then stmt

When we see A or if, we cannot determine which production rule to choose to expand **S** or **stmt** since both productions have same left most symbol at the starting of the production.

(A in first example and *if* in second example)

LEFT-FACTORING (CONT.)

If there is a grammar

$$A \rightarrow \alpha \beta_1 | \alpha \beta_2$$

where α is non-empty and the first symbols of β_1 and β_2 (if they have one)are different.

Re-write the grammar as follows:

$$A \rightarrow \alpha A'$$

$$A' \rightarrow \beta_1 \mid \beta_2$$

Now, we can immediately expand A to $\alpha A'$

This rewriting of the grammar is called LEFT FACTORING

LEFT-FACTORING -- ALGORITHM

• For each non-terminal A with two or more alternatives (production rules) with a common non-empty prefix, let say

$$A \rightarrow \alpha \beta_1 \mid \dots \mid \alpha \beta_n \mid \gamma_1 \mid \dots \mid \gamma_m$$

convert it into

$$A \rightarrow \alpha A' \mid \gamma_1 \mid \dots \mid \gamma_m$$

$$A' \rightarrow \beta_1 \mid \dots \mid \beta_n$$

LEFT-FACTORING – EXAMPLE1

$$A \to \alpha \beta_1 \mid \alpha \beta_2 \longrightarrow \left\{ \begin{array}{c} A \to \alpha A' \\ A' \to \beta_1 \mid \beta_2 \end{array} \right.$$

$$\begin{array}{l} A \to \underline{a}bB \mid \underline{a}B \mid cdg \mid cdeB \mid cdfB \\ & \downarrow \downarrow \quad \alpha is \ a; \beta_1 is \ bB; \beta_2 is \ B \\ \\ A \to aA' \mid \underline{cdg} \mid \underline{cdeB} \mid \underline{cdfB} \\ A' \to bB \mid B \\ & \downarrow \downarrow \quad \alpha is \ cd; \beta_1 is \ g; \beta_2 is \ eB; \beta_3 is \ fB \\ \\ A \to aA' \mid cdA'' \\ A' \to bB \mid B \\ A'' \to g \mid eB \mid fB \end{array}$$

LEFT-FACTORING – EXAMPLE 2

$$A \to \alpha \beta_1 \mid \alpha \beta_2 \longrightarrow \left\{ \begin{array}{c} A \to \alpha A' \\ A' \to \beta 1 \mid \beta_2 \end{array} \right.$$

NON-CONTEXT FREE LANGUAGE CONSTRUCTS

- There are some language constructions in the programming languages which are not context-free. This means that, we cannot write a context-free grammar for these constructions.
- L1 = { $\omega c \omega \mid \omega \text{ is in } (a \mid b)^*$ } is not context-free
 - Declaring an identifier and checking whether it is declared or not later. We cannot do this with a context-free language. We need semantic analyzer (which is not context-free).
- o L2 = $\{a^nb^mc^nd^m \mid n≥1 \text{ and } m≥1\}$ is not context-free
 - → Declaring two functions (one with n parameters, the other one with m parameters), and then calling them with actual parameters.

ERRORS

- Lexical errors include misspellings of identifiers, keywords, or operators -e.g., the use of an identifier elipsesize instead of ellipsesize and missing quotes around text intended as a string.
- Syntactic errors include misplaced semicolons or extra or missing braces; that is, "{" or "}". As another example, in C or Java, the appearance of a case statement without an enclosing switch is a syntactic error (however, this situation is usually allowed by the parser and caught later in the processing, as the compiler attempts to generate code).

ERRORS -CONTD.

- Semantic errors include type mismatches between operators and operands. An example is a return statement in a Java method with result type void.
- Logical errors can be anything from incorrect reasoning on the part of the programmer to the use in a C program of the assignment operator = instead of the comparison operator ==. The program containing = may be well formed; however, it may not reflect the programmer's intent.

CHALLENGES OF ERROR HANDLER

- The error handler in a parser has goals that are simple to state but challenging to realize:
 - Report the presence of errors clearly and accurately.
 - Recover from each error quickly enough to detect subsequent errors.
 - Add minimal overhead to the processing of correct programs.

ERROR RECOVERY STRATEGIES

• Panic Mode

• Parser discards input symbols one at a time until one of a designated set of synchronizing(e.g. ';') token is found.

• Phrase Level

- Parser performs local correction on the remaining input.
- Replacement can correct any input string, but has drawback

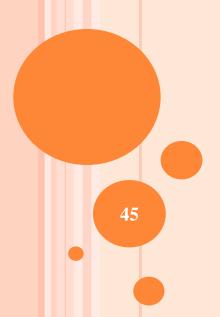
Error Productions

- Augmenting the error productions to construct a parser
- Error diagnostics can be generated to indicate the erroneous construct.

• Global correction

• Minimal sequence of changes to obtain a globally least cost correction

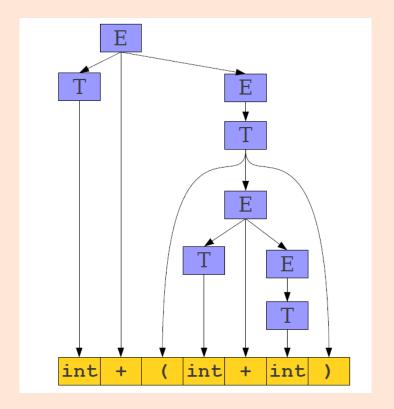
SECTION 2.4: TOP DOWN PARSING



TOP-DOWN PARSING

• Beginning with the start symbol, try to guess the productions to apply to end up at the user's program.

$$\begin{aligned} E &\rightarrow T \\ E &\rightarrow T + E \\ T &\rightarrow \text{int} \\ T &\rightarrow \text{(E)} \end{aligned}$$



CHALLENGES IN TOP-DOWN PARSING

- Top-down parsing begins with virtually no information.
- Begins with just the start symbol, which matches every program.
- How can we know which productions to apply?
- In general, we can't.
- There are some grammars for which the best we can do is guess and backtrack if we're wrong.
- If we have to guess, how do we do it?

TOP-DOWN PARSING

Top-down parser

- Recursive-Descent Parsing
 - Backtracking is needed (If a choice of a production rule does not work, we backtrack to try other alternatives.)
 - It is a general parsing technique, but not widely used.
 - Not efficient

Predictive Parsing

- No backtracking
- Efficient
- Needs a special form of grammars (LL(1) grammars).
- Recursive Predictive Parsing is a special form of Recursive Descent parsing without backtracking.
- Non-Recursive (Table Driven) Predictive Parser is also known as LL(1) parser.

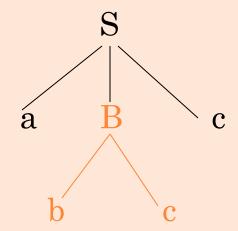
RECURSIVE-DESCENT PARSING (USES BACKTRACKING)

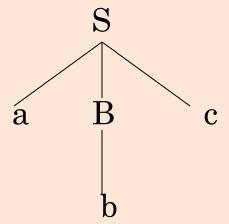
- Backtracking is needed.
- It tries to find the left-most derivation.

$$S \rightarrow aBc$$

$$B \rightarrow bc \mid b$$

Input: abc





RECURSIVE PREDICTIVE PARSING

• Each non-terminal corresponds to a procedure.

```
Ex: A \rightarrow aBb (This is only the production rule for A) 
proc A { 
 - match the current token with a, and move to the next token; 
 - call 'B'; 
 - match the current token with b, and move to the next token; 
 }
```

RECURSIVE PREDICTIVE PARSING (CONT.)

```
A \rightarrow aBb \mid bAB
proc A {
  case of the current token
        'a': - match the current token with a, and move to the next token;
             - call 'B';
             - match the current token with b, and move to the next token;
        'b': - match the current token with b, and move to the next token;
             - call 'A';
            - call 'B';
```

RECURSIVE PREDICTIVE PARSING (CONT.)

• When to apply ε -productions.

$$A \rightarrow aA \mid bB \mid \epsilon$$

- If all other productions fail, we should apply an ε-production. For example, if the current token is not a or b, we may apply the ε-production.
- Most correct choice: We should apply an ε-production for a non-terminal A when the current token is in the follow set of A (which terminals can follow A in the sentential forms).

RECURSIVE PREDICTIVE PARSING (EXAMPLE)

```
A \rightarrow aBe \mid cBd \mid C
B \rightarrow bB \mid \epsilon
C \rightarrow f
                                                    proc C { match the current token with f,
proc A {
                                                              and move to the next token; }
   case of the current token {
      a: - match the current token with a,
                                                    proc B {
            and move to the next token;
                                                       case of the current token {
          - call B;
                                                                       b:- match the current
          - match the current token with e,
   token with b,
           and move to the next token;
                                                                and move to the next token;
          - match the current token with c,
                                                                         - call B
            and move to the next token;
                                                            e,d: do nothing
          - call B;
          - match the current token with d,
           and move to the next token;
      f: - call C
```

first set of C

TOP-DOWN, PREDICTIVE PARSING: LL(1)

- L: Left-to-right scan of the tokens
- L: Leftmost derivation.
- (1): One token of lookahead
- Construct a leftmost derivation for the sequence of tokens.
- When expanding a nonterminal, we predict the production to use by looking at the next token of the input.

TOP-DOWN, PREDICTIVE PARSING: LL(1)

a grammar → a grammar suitable for predictive

eliminate left parsing (a LL(1) grammar)

left recursion factor no %100 guarantee.

• When re-writing a non-terminal in a derivation step, a predictive parser can uniquely choose a production rule by just looking the current symbol in the input string.

 $A \rightarrow \alpha_1 \ | \ \dots \ | \ \alpha_n$ input: ... a \uparrow current token

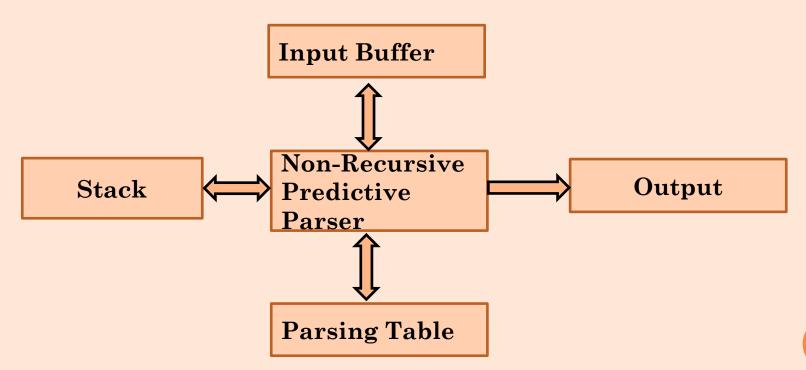
TOP-DOWN, PREDICTIVE PARSING: LL(1)

```
\operatorname{stmt} \to \operatorname{if} \dots while ..... begin .....
```

- When we are trying to write the non-terminal stmt, if the current token is if we have to choose first production rule
- When we are trying to write the non-terminal *stmt*, we can uniquely choose the production rule by just looking the current token.
- We eliminate the left recursion in the grammar, and left factor it. But it may not be suitable for predictive parsing (not LL(1) grammar).

NON-RECURSIVE PREDICTIVE PARSING - LL(1) PARSER

- Non-Recursive predictive parsing is a table-driven parser.
- It is a top-down parser.
- It is also known as LL(1) Parser.



LL(1) PARSER

Input buffer

• Contains the string to be parsed. We will assume that its end is marked with a special symbol \$.

Output

• A production rule representing a step of the derivation sequence (left-most derivation) of the string in the input buffer.

Stack

- Contains the grammar symbols
- At the bottom of the stack, there is a special end marker symbol \$.
- Initially the stack contains only the symbol \$ and the starting symbol S.
- \$S ← initial stack
- When the stack is emptied (ie. only \$ left in the stack), the parsing is completed.

Parsing table

- A two-dimensional array M[A,a]
- Each row is a non-terminal symbol
- Each column is a terminal symbol or the special symbol \$
- Each entry holds a production rule.

LL(1) Parser – Parser Actions

- The symbol at the top of the stack (say X) and the current symbol in the input string (say a) determine the parser action.
- There are four possible parser actions.
- 1. If X and a are \$ \rightarrow parser halts (successful completion)
- 2. If X and a are the same terminal symbol (different from \$)
 - → parser pops X from the stack, and moves the next symbol in the input buffer.
- 3. If X is a non-terminal
 - → parser looks at the parsing table entry M[X,a]. If M[X,a] holds a production rule $X \rightarrow Y_1 Y_2 ... Y_k$, it pops X from the stack and pushes $Y_k, Y_{k-1}, ..., Y_1$ into the stack. The parser also outputs the production rule $X \rightarrow Y_1 Y_2 ... Y_k$ to represent a step of the derivation.
- 4. none of the above \rightarrow error
 - all empty entries in the parsing table are errors.
 - If X is a terminal symbol different from a, this is also an error case.

CONSTRUCTING LL(1) PARSING TABLES

- Two functions are used in the construction of LL(1) parsing tables:
 - FIRST FOLLOW
- FIRST(α) is a set of the terminal symbols which occur as first symbols in strings derived from α where α is any string of grammar symbols.
- **FOLLOW(A)** is the set of the terminals which occur immediately after (follow) the *non-terminal A* in the strings derived from the starting symbol.
 - a terminal a is in FOLLOW(A) if $S \Rightarrow \alpha A a \beta$

COMPUTE FIRST FOR ANY STRING X

- We want to tell if a particular nonterminal **A** derives a string starting with a particular terminal **t**.
- Intuitively, FIRST(A) is the set of terminals that can be at the start of a string produced by A.
- If we can compute FIRST sets for all non terminals in a grammar, we can efficiently construct the LL(1) parsing table.

COMPUTE FIRST FOR ANY STRING X

• Initially, for all non-terminals A, set

```
FIRST(A) = { \mathbf{t} \mid \mathbf{A} \rightarrow \mathbf{t} \beta for some \beta }

Consider the grammar:

S\(\text{\text{\text{a}}} \text{C/bB}\)

B\(\text{\text{\text{\text{b}}}}\)

C\(\text{\text{\text{\text{c}}}}\)

FIRST(S) = {a,b}; FIRST (B) = {b} and FIRST(C) = {c}
```

• For each nonterminal **A**, for each production $\mathbf{A} \to \mathbf{B}\beta$, set $FIRST(\mathbf{A}) = FIRST(\mathbf{A}) \cup FIRST(\mathbf{B})$

Consider the grammar:

 $S \rightarrow aC/bB/C$ $B \rightarrow b$ $C \rightarrow c$ $FIRST(S) = \{a,b,c\};$ $FIRST(B) = \{b\}$ $FIRST(C) = \{c\}$

Consider the grammar:

S→Ab A→a FIRST(S)=FIRST (A)={a}

FIRST COMPUTATION WITH EPSILON

• For all NT A where $A \rightarrow \varepsilon$ is a production, add ε to FIRST(A).

For eg.

S)a|ε

 $FIRST(S) \rightarrow \{a, \epsilon\}$

For each production $A \to \alpha$, where α is a string of NT whose FIRST sets contain ε, set

 $FIRST(A) = FIRST(A) \cup \{ \epsilon \}.$

For eg.

 $S \rightarrow AB \mid c$; $A \rightarrow a \mid \epsilon ; B \rightarrow b \mid \epsilon$

FIRST(S) \rightarrow {a, b,c, ε }; FIRST(A) \rightarrow {a, ε }; FIRST(B) \rightarrow {b, ε };

• For each production $A \to \alpha t \beta$, where α is a string of NT whose FIRST sets contain ε , set

 $FIRST(A) = FIRST(A) \cup \{t\}$

For eg.

S \rightarrow ABcD; A \rightarrow a| ϵ ; B \rightarrow b| ϵ ; D \rightarrow d

FIRST(S) \rightarrow {a,b,c}; FIRST(A) \rightarrow {a, ε }; FIRST(B) \rightarrow {b, ε }; FIRST(D) \rightarrow {d}

• For each production $A \to \alpha B\beta$, where α is string of NT whose FIRST sets contain ε , set

 $FIRST(\mathbf{A}) = FIRST(\mathbf{A}) \cup (FIRST(\mathbf{B}) - \{ \mathbf{\epsilon} \}).$

For eg.

 $S \rightarrow ABDc|f;$ $A \rightarrow a|\epsilon; B \rightarrow b|\epsilon; D \rightarrow d$

FIRST(S) \rightarrow {a,b,d,f}; FIRST(A) \rightarrow {a, ε }; FIRST(B) \rightarrow {b, ε }; FIRST(D) \rightarrow {d}

FOLLOW SET

- The **FOLLOW set** represents the set of terminals that might come after a given nonterminal
- Formally:
 - FOLLOW(A) = { $\mathbf{t} \mid \mathbf{S} \Rightarrow^* \alpha A t \beta$ for some $\boldsymbol{\alpha}, \boldsymbol{\beta}$ } where **S** is the start symbol of the grammar.
- Informally, every nonterminal that can ever come after **A** in a derivation.

COMPUTE FOLLOW FOR ANY STRING X

RULE 1: If S is the start symbol → \$ is in FOLLOW(S)

RULE 2: if $A \rightarrow \alpha B\beta$ is a production rule everything in FIRST(β) is FOLLOW(B) except ϵ

RULE 3(i) If $(A \rightarrow \alpha B \text{ is a production rule})$ or RULE 3(ii) $(A \rightarrow \alpha B\beta \text{ is a production rule and } \epsilon \text{ is in FIRST}(\beta))$ \rightarrow everything in FOLLOW(A) is in FOLLOW(B).

We apply these rules until nothing more can be added to any follow set.

FIRST AND FOLLOW SET EXAMPLE

Consider the grammar:

```
S \rightarrow A a
A \rightarrow B D
B \rightarrow b \mid \epsilon
D \rightarrow d \mid \epsilon
```

```
FIRST(S) = {b, d, a}

FIRST(A) = {b, d, \varepsilon}

FIRST(B) = {b, \varepsilon}

FIRST(D) = {d, \varepsilon}
```

```
FOLLOW(S) = { $ } (Rule 1)

FOLLOW(A) = { a } (Rule 2)

FOLLOW(B) = { d, a } (Rule 2; Rule 3(ii))

FOLLOW(D) = { a } Rule 3
```

FIRST AND FOLLOW SET EXAMPLE

Consider the grammar

```
C \rightarrow P F \text{ class id } X Y
P \rightarrow \text{public } | \epsilon
F \rightarrow \text{final } | \epsilon
X \rightarrow \text{ extends id } | \epsilon
Y \rightarrow \text{ implements } I | \epsilon
I \rightarrow \text{ id } J
J \rightarrow I | \epsilon
```

```
FIRST(C) = \{public, final, class\}
FIRST(P) = \{public, \epsilon\}
FIRST(F) = \{final, \epsilon\}
FIRST(X) = \{extends, \epsilon\}
FIRST(Y) = \{inplements, \epsilon\}
FIRST(I) = \{id\}
FIRST(J) = \{',', \epsilon\}
```

```
FOLLOW(C)={$} (Rule 1)
FOLLOW(P)={final, class} (Rule 2; Rule 3 (ii))
FOLLOW(F) ={class} (Rule 2)
FOLLOW(X)={implements,$}(Rule 2; Rule 3 (ii))
FOLLOW(Y)={$} (Rule 3 (i))
FOLLOW(I)={$} (Rule 3 (i))
FOLLOW(J)={$} (Rule 3 (i))
```

LL(1) PARSING

Consider the grammar:

$$E \rightarrow E+T \mid T$$

$$T \rightarrow T*F \mid F$$

$$F \rightarrow (E) \mid id$$

Remove Immediate Left Recursion: (Ref: Slide no. 29)

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid id$$

FIRST EXAMPLE

Consider the grammar:

$$\begin{array}{l} E \rightarrow TE' \\ E' \rightarrow +TE' \mid \epsilon \\ T \rightarrow FT' \\ T' \rightarrow *FT' \mid \epsilon \\ F \rightarrow (E) \mid id \end{array}$$

```
FIRST(F) = \{(,id)\}

FIRST(T') = \{*, \epsilon\}

FIRST(T) = \{(,id)\}

FIRST(E') = \{+, \epsilon\}

FIRST(E) = \{(,id)\}
```

```
FIRST(F) = \{(id)\}
FIRST(T') = \{*, \epsilon\}
FIRST(T) = \{(id)\}
FIRST(E') = \{+, \epsilon\}
FIRST(E) = \{(id)\}
```

FOLLOW EXAMPLE

has ϵ)

has ϵ)

 $F \rightarrow (E) \mid id$

{(Rule 2: A $\rightarrow \alpha B\beta$: α is '('; B is E and ')' is β)}

Consider the following grammar:

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \varepsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \varepsilon$$

$$F \rightarrow (E) \mid id$$

```
FOLLOW(E) = \{ \$, \}
FOLLOW(E') = \{ \$, \}
FOLLOW(T) = \{ +, \}, \}
FOLLOW(T') = \{ +, ), \$ \}
FOLLOW(F) = \{+, *, \}, \}
```

```
2(i) If A \rightarrow \alpha B\beta is a production rule
                             \rightarrow everything in FIRST(\beta) is FOLLOW(B) except \epsilon
                             3(i) If (A \rightarrow \alpha B \text{ is a production rule}) or
                             3(ii) (A \rightarrow \alpha B\beta is a production rule and \epsilon is in FIRST(\beta))
                             → everything in FOLLOW(A) is in FOLLOW(B).
E \rightarrow TE' {(Rule 1: $ in FOLLOW(E);
(Rule 2: A \rightarrow \alpha B\beta: \alpha is \epsilon; B is T and \beta is E');
(Rule3(i): A\rightarrow \alphaB : \alpha is T; B is E');
Rule 3 (ii): A \rightarrow \alpha B\beta: \alpha is \epsilon; B is T and E' is \beta; FIRST of \beta
E \rightarrow +TE' \mid \varepsilon \text{ (Rule 2: A} \rightarrow \alpha B\beta : \alpha \text{ is +; B is } T \text{ and } \beta \text{ is } E' \text{ );}
(Rule3(i): A\rightarrow \alphaB: \alpha is +T; B is E';
(Rule3(ii): A \rightarrow \alpha B\beta: \alpha is +; B is T; \beta is E'; FIRST of \beta has \epsilon)
T\rightarrowFT' {Rule 2: A\rightarrow \alphaB\beta : \alpha is \epsilon; B is F and \beta is T');
(Rule3(i): A\rightarrow \alphaB : \alpha is F; B is T');
(Rule3(ii): A\rightarrow \alphaB\beta: \alpha is \epsilon; B is \mathbf{F} and \beta is T' FIRST of \beta
T' \rightarrow *FT' \mid \epsilon \{ \text{Rule 2: } A \rightarrow \alpha B\beta : \alpha \text{ is *; B is } F \text{ and } \beta \text{ is } T' \};
(Rule3(i): A\rightarrow \alphaB : \alpha is *; B is F; \beta is T');
Rule3(ii): A \rightarrow \alpha B\beta: \alpha is *; B is F; \beta is T'; FIRST of \beta has \epsilon)
```

1. If S is the start symbol → \$ is in FOLLOW(S)

CONSTRUCTING LL(1) PARSING TABLE -ALGORITHM

- for each production rule $A \rightarrow \alpha$ of a grammar G
 - for each terminal a in FIRST(α)
 - \rightarrow add $A \rightarrow \alpha$ to M[A,a]
 - If ε in FIRST(α)
 - igoplus for each terminal a in FOLLOW(A) add $A \to \alpha$ to M[A,a]
 - If ε in FIRST(α) and φ in FOLLOW(A)
 - \rightarrow add $A \rightarrow \alpha$ to M[A,\$]
- All other undefined entries of the parsing table are error entries.

CONSTRUCTING LL(1) PARSING TABLE

 $E \rightarrow TE'$ FIRST(TE'id)

 \rightarrow E \rightarrow TE' into M[E,(] and M[E,id]

 $E' \rightarrow +TE'$ FIRST(+TE')={+}

 \rightarrow E' \rightarrow +TE' into M[E',+]

 $E' \rightarrow \epsilon$

 $FIRST(\varepsilon) = \{\varepsilon\}$

→ none

but since ε in FIRST(ε)

and FOLLOW(E')= $\{\$,\}$ \rightarrow E' $\rightarrow \epsilon$ into M[E' and M[E',)]

 $T \rightarrow FT'$

FIRST(FT')={(,id}

 \rightarrow T \rightarrow FT' into M[T,(] and M[T,id]

 $T' \rightarrow *FT'$

FIRST(*FT')={*}

 \rightarrow T' \rightarrow *F' into M[T',*]

 $T' \rightarrow \epsilon$

 $\mathrm{FIRST}(\epsilon) {=} \{\epsilon\}$

→ none

but since ε in FIRST(ε)

and

FOLLOW(T')= $\{\$,\}$ + $\}$ \rightarrow T' \rightarrow ϵ into M[T',\$], M[T' and M[T',+]

 $F \rightarrow (E)$

FIRST((E))={(}

 \rightarrow F \rightarrow (E) into M[F,(]

 $F \rightarrow id$

 $FIRST(id) = \{id\}$

 \rightarrow F \rightarrow id into M[F,id]

LL(1) PARSER – EXAMPLE 1

$$E \rightarrow TE'$$

 $E' \rightarrow +TE' \mid \varepsilon$
 $T \rightarrow FT'$
 $T' \rightarrow *FT' \mid \varepsilon$
 $F \rightarrow (E) \mid id$

```
FIRST(F) = \{(,id)\}
FIRST(T') = \{*, \epsilon\}
FIRST(T) = \{(,id)\}
FIRST(E') = \{+, \epsilon\}
FIRST(E) = \{(,id)\}
```

```
FOLLOW(E) = { $, ) }
FOLLOW(E') = { $, ) }
FOLLOW(T) = { +, ), $ }
FOLLOW(T') = { +, ), $ }
FOLLOW(F) = { +, *, ), $ }
```

FIRST (E') has ε , so add E' $\rightarrow \varepsilon$ in FOLLOW (E') FIRST (T') has ε , so add T' $\rightarrow \varepsilon$ in FOLLOW (T')

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E '		$E' \rightarrow +TE'$			$E' \rightarrow \varepsilon$	$E' \rightarrow \varepsilon$
T	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \varepsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

LL(1) PARSER – EXAMPLE 1

Stack	Input	Output
\$E	id+id\$	E→TE'
\$E'T	id+id\$	T → FT'
\$E'T'F	id+id\$	F→id
\$E'T'id	id+id\$	
\$E'T'	+id\$	T' → ε
\$E'	+id\$	E' → +TE'
\$E'T+	+id\$	
\$E'T	id\$	T → FT'
\$E'T'F	id\$	F→id
\$E'T'id	id\$	
\$ET'	\$	T' → ε
\$E'	\$	E' → ε
\$	\$	Accept

	id	+	*	()	\$
E	$E \rightarrow TE'$			$E \rightarrow TE'$		
E'		$E' \rightarrow +TE'$			$E' \rightarrow \epsilon$	$E' \rightarrow \epsilon$
Т	$T \rightarrow FT'$			$T \rightarrow FT'$		
T'		$T' \rightarrow \epsilon$	$T' \rightarrow *FT'$		$T' \rightarrow \epsilon$	$T' \rightarrow \epsilon$
F	$F \rightarrow id$			$F \rightarrow (E)$		

LL(1) PARSER – EXAMPLE 2

$$\begin{split} S &\to aBa \\ B &\to bB \mid \epsilon \end{split}$$

	a	b	\$
$oldsymbol{S}$	$S \rightarrow aBa$		
В	$B \to \epsilon$	$B \to bB$	

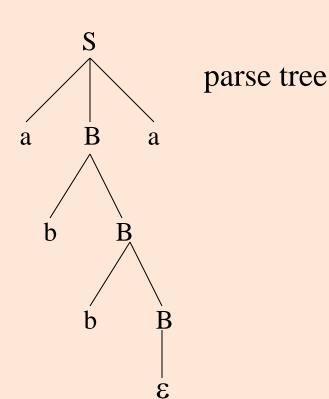
LL(1) Parsing Table

Stack	Input	Output
\$S	abba\$	S→aBa
\$aBa	abba\$	
\$aB	bba\$	B→bB
\$aBb	bba\$	
\$aB	ba\$	B→bB
\$aBb	ba\$	
\$aB	a\$	Β→ε
\$a	a\$	
\$	\$	Accept, Successful Completion

LL(1) PARSER – EXAMPLE2 (CONT.)

Outputs: $S \to aBa$ $B \to bB$ $B \to \epsilon$

Derivation(left-most): S⇒aBa⇒abBa⇒abbBa⇒abba



A GRAMMAR WHICH IS NOT LL(1)

$$S \rightarrow i C t S E \mid a$$

 $E \rightarrow e S \mid \epsilon$
 $C \rightarrow b$

$FIRST(iCtSE) = \{i\}$
$FIRST(a) = \{a\}$
$FIRST(eS) = \{e\}$
$FIRST(\varepsilon) = \{\varepsilon\}$
$FIRST(b) = \{b\}$

	a	b	e	i	t	\$
S	$S \rightarrow a$			$S \rightarrow iCtSE$		
E			$ \begin{array}{c} E \to e S \\ E \to \varepsilon \end{array} $			$E \rightarrow \epsilon$
C		$C \rightarrow b$				

two production rules for M[E,e]

A GRAMMAR WHICH IS NOT LL(1) (CONT.)

- What do we have to do it if the resulting parsing table contains multiply defined entries?
 - If we didn't eliminate left recursion, eliminate the left recursion in the grammar.
 - If the grammar is not left factored, we have to left factor the grammar.
 - If its (new grammar's) parsing table still contains multiply defined entries, that grammar is ambiguous or it is inherently not a LL(1) grammar.
- A left recursive grammar cannot be a LL(1) grammar.
 - $A \rightarrow A\alpha \mid \beta$
 - → any terminal that appears in FIRST(β) also appears FIRST(Aα) because $Aα \Rightarrow βα$.
 - If β is ϵ , any terminal that appears in FIRST(α) also appears in FIRST($A\alpha$) and FOLLOW(A).
- A grammar is not left factored, it cannot be a LL(1) grammar
 - $A \rightarrow \alpha \beta_1 \mid \alpha \beta_2$
 - \rightarrow any terminal that appears in FIRST($\alpha\beta_1$) also appears in FIRST($\alpha\beta_2$).
 - An ambiguous grammar cannot be a LL(1) grammar.

PROPERTIES OF LL(1) GRAMMARS

- A grammar G is LL(1) if and only if the following conditions hold for two distinctive production rules $A \rightarrow \alpha$ and $A \rightarrow \beta$
 - 1. Both α and β cannot derive strings starting with same terminals.
 - 2. At most one of α and β can derive to ϵ .
 - 3. If β can derive to ϵ , then α cannot derive to any string starting with a terminal in FOLLOW(A).
- In other word we can say that a grammar G is LL(1) iff for any productions

 $\mathbf{A} \rightarrow \boldsymbol{\omega}_1$ and $\mathbf{A} \rightarrow \boldsymbol{\omega}_2$, the sets

FIRST(ω₁ FOLLOW(A)) and FIRST(ω₂ FOLLOW(A)) are disjoint.

• This condition is equivalent to saying that there are no conflicts in the table.