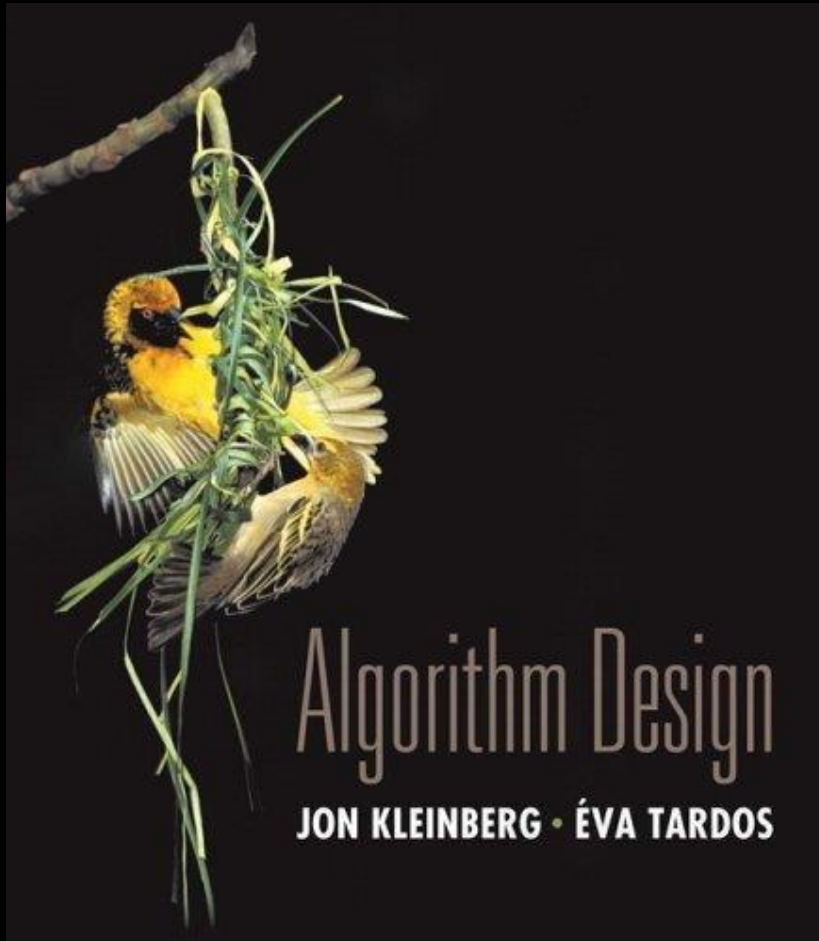


# Chapter 1.3

## Basics of Algorithm Analysis



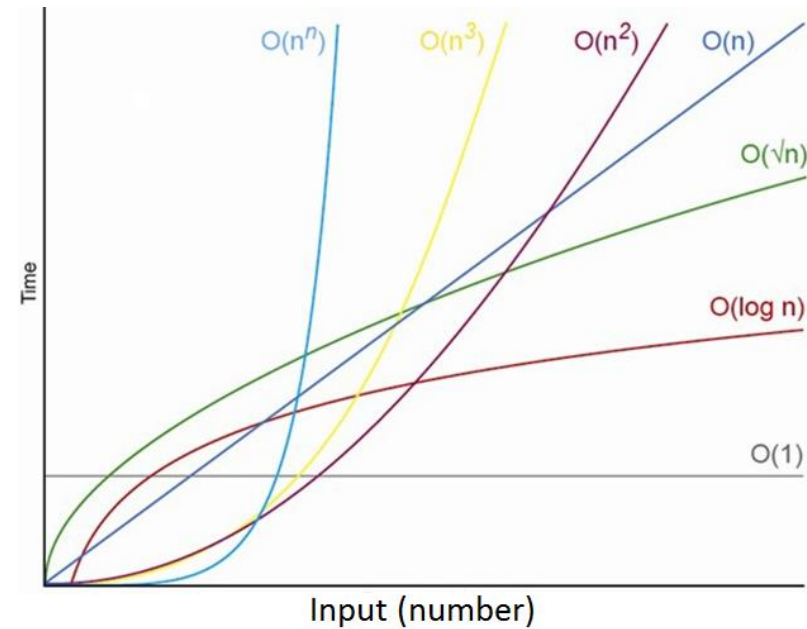
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## S1.3: Basics of Algorithm Analysis

### Análisis y Diseño de Algoritmos (Algorítmica III)

Prof. Herminio Paucar



O

## 1.3.1 Time Complexity of an Algorithm

# Purpose

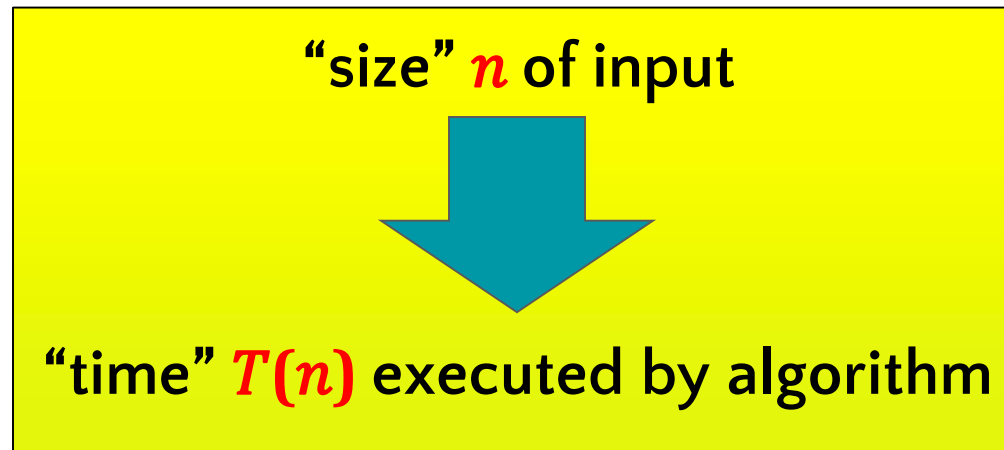
- To estimate how long a program will run
- To estimate the largest input that can reasonably be given to the program
- To **compare** the efficiency of **different algorithms**
- To choose an algorithm for an application

# Time complexity is a function

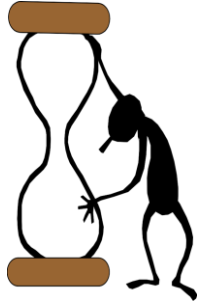
Time for a sorting algorithm is different for sorting 10 numbers and sorting 1,000 numbers

**Time complexity is a function:** Specifies how the running time depends on the size of the input.

Function mapping



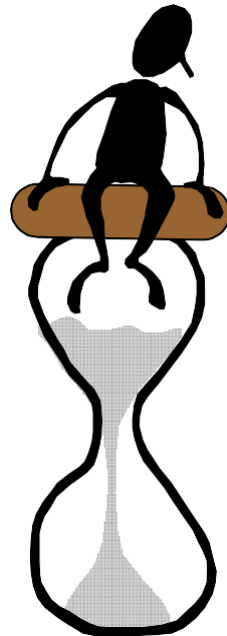
# Definition of **time**?



# Definition of **time**?

- A. # of seconds
- B. # lines of code executed
- C. # of simple operations performed

- A. Problem: machine dependent
- B. Problem: lines of diff. complexity
- C. This is what we will use



# Size of input instance?

**Formally:** Size  $n$  is number of bits to represent instance

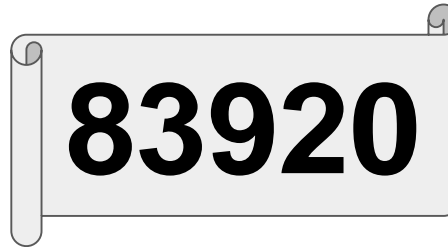
But we can work with anything **reasonable**

**reasonable = within a constant factor of number of bits**



# Size of input instance

Ex 1:



83920

- # of bits  $\rightarrow n = 17 \text{ bits}$
- # of digits  $\rightarrow n = 5 \text{ digits}$
- Value: 83920  $\rightarrow n = 83920$

Which are reasonable?



# Size of input instance

Ex 1:



- # of bits: 17 bits – Formal
  - # of digits: 5 digits – Reasonable
- #bits and #digits are always within constant factor ( $\approx \log_2 10 \approx 3.32$ ),
- # of bits =  $3.32 \times$  # of digits

# Size of input instance

Ex 1:



- # of bits: 17 bits – Formal
  - # of digits: 5 digits – Reasonable
  - Value: 83920 – Not reasonable
- # of bits =  $\log_2(\text{value})$   
Value =  $2^{\text{\#bits}}$  , much bigger

# Size of input instance

Ex 2:

10

14,23,25,30,31,52,62,79,88,98

- # of elements  $\rightarrow n = 10$

Is this reasonable?



# Size of input instance

Ex 2:

10

14,23,25,30,31,52,62,79,88,98

- # of elements  $\rightarrow n = 10$  Reasonable

if each element has  $c$  bits, total number of bits is:

$$\text{\#bits} = c * \text{\#elements}$$

# Time complexity is a function

Specifies how the running time depends on the size of the input

Function mapping

# of bits  $n$  to represent input



# of basic operations  $T(n)$  executed by the algorithm

# Which input of size $n$ ?

Q: There are  $2^n$  inputs of size  $n$ .

Which do we consider for the time complexity  $T(n)$ ?

## Worst instance

**Worst-case running time.** Consider the instance where the algorithm uses **largest number** of basic operations

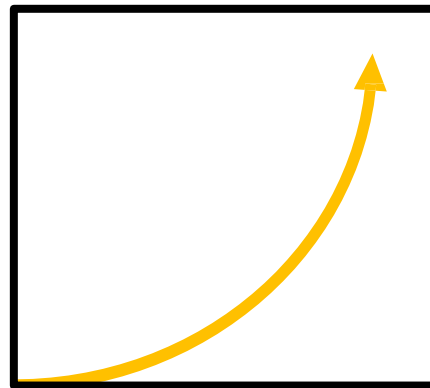
- Generally captures efficiency in practice
- Pessimistic view, but hard to find better measure

# Time complexity

We reach our final definition of time complexity:

$T(n)$  = number of **basic operations** the algorithm takes over the **worst** instance of **bit-size  $n$**

complexity  
 $T(n)$



input size  $n$



# Example

```
Func Find10(A)
```

*#A is array of 32-bit numbers*

```
  For i=1 to length(A)
```

```
    If A[i]==10
```

```
      Return i
```

**Q:** What is the time complexity  $T(n)$  of this algorithm?

**A:**  $T(n) \approx (n/32) + 1$

- Worst instance: the only “10” is in the last position
- A of bit-size  $n$  has  $n/32$  numbers
- $\approx 1$  simple operations per step (if), +1 for Return

## 1.3.2 Asymptotic Order of Growth

# Asymptotic Order of Growth

**Motivation:** Determining the **exact** time complexity  $T(n)$  of an algorithm is very hard and often does not make much sense.

## Algorithm 1:

```
x ← 100
For i = 1...N
    j ← j + 1
    If x > 50 then
        x ← x + 3
    End If
End For
```

**Time complexity:**

- .  $2N + 1$  assignments
- .  $N$  comparisons
- .  $2N$  additions

**Total:  $5N + 1$  basic operations**

**$T(N) = 5N + 1$**

# Asymptotic Order of Growth

## Algorithm 2:

$x \leftarrow 20$

**For**  $i=1\dots N$

$x \leftarrow 3x$

**End For**

**Time complexity**

- $N + 1$  assignments
- $N$  multiplications

**Total:  $2N+1$  basic operations**

Can we say Algorithm 2 is going to run faster than Algorithm 1?

Not clear, depends on the time it takes for addition, assignment, multiplication

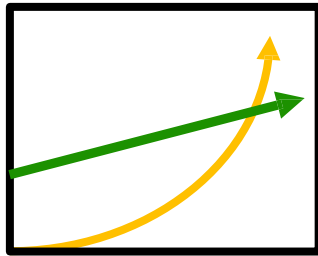
# Asymptotic Order of Growth

- No vale mucho la pena complicar la metodología estimando las constantes.
- En lugar de calcular  $T(n)$  exactamente, queremos sólo **cotas superiores (e inferiores)** para  $T(n)$  ignorando factores constantes.

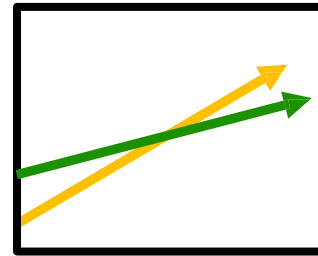
# Asymptotic Order of Growth

## Upper bounds $O$

Informal:  $T(n)$  is  $O(f(n))$  if  $T(n)$  grows with **at most the same order of magnitude** as  $f(n)$  grows



$T(n)$  is  
 $O(f(n))$



$T(n)$  is  $O(f(n))$   
both grow at same  
**order** of magnitude

# Asymptotic Order of Growth

## Upper bounds – *Cota Superior* $O$

**Formal:**  $T(n)$  is  $O(g(n))$  if there exist **constants**  $c \geq 0$  such that for all  $n \geq n_0$  we have.

$$T(n) \leq c \cdot g(n).$$

**Equivalent:**  $T(n)$  is  $O(g(n))$  if there exists  $k \geq 0$  such that

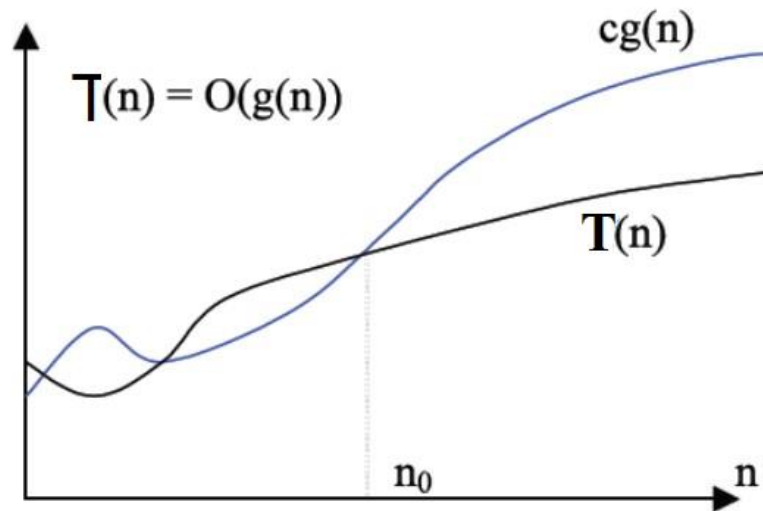
$$\lim_{n \rightarrow \infty} \frac{T(n)}{g(n)} \leq k$$

# Asymptotic Order of Growth

## Upper bounds - *Cota Superior* $O$

**Definición Formal:** Sea  $g: \mathbb{N} \rightarrow [0, \infty)$ . Se define el conjunto de funciones de orden  $O(g(n))$  como:

$$T(n) = \{O(g(n)) : \exists c, n_0 > 0 \setminus T(n) \leq c * g(n), \forall n \geq n_0\}$$



Diremos que una función  $t: \mathbb{N} \rightarrow [0, \infty)$  es de orden  $O$  de  $g$  si  $t \in O(g)$ .

Intuitivamente,  $t \in O(g)$  indica que  $t$  está acotada superiormente por algún múltiplo de  $g$ .

Normalmente estaremos interesados en la menor función  $g$  tal que  $t$  pertenezca a  $O(g)$



# Upper bounds – *Cota Superior* $O$

## Propiedades de $O$

Veamos las propiedades de la cota superior. La demostración de todas ellas se obtiene aplicando la definición formal.

1. Para cualquier función  $T$  se tiene que  $T \in O(T)$ .
2.  $T \in O(g) \Rightarrow O(T) \subset O(g)$ .
3.  $O(T) = O(g) \Leftrightarrow T \in O(g) \text{ y } g \in O(T)$ .
4. Si  $T \in O(g)$  y  $g \in O(h) \Rightarrow T \in O(h)$ .
5. Si  $T \in O(g)$  y  $T \in O(h) \Rightarrow T \in O(\min(g,h))$ .
6. Regla de la suma: Si  $T1 \in O(g)$  y  $T2 \in O(h) \Rightarrow T1 + T2 \in O(\max(g,h))$ .
7. Regla del producto: Si  $T1 \in O(g)$  y  $T2 \in O(h) \Rightarrow T1 \cdot T2 \in O(g \cdot h)$ .

## Upper bounds – *Cota Superior* $O$

8. Si existe  $\lim_{n \rightarrow \infty} \frac{T(n)}{g(n)} = k$  dependiendo de los valores que tome  $k$  obtenemos:

A. Si  $k \neq 0$  y  $k < \infty$  entonces  $O(T) = O(g)$ .

B. Si  $k = 0$  entonces  $T \in O(g)$ , es decir,  $O(T) \subset O(g)$ , pero sin embargo se verifica que  $g \notin O(T)$ .

Obsérvese la importancia que tiene el que exista tal límite, pues si no existiese (o fuera infinito) no podría realizarse tal afirmación.



# Asymptotic Order of Growth

**Exercise 1:**  $T(n) = 32n^2 + 17n + 32$ .

Say if  $T(n)$  is:

- $O(n^2)$  ?
- $O(n^3)$  ?
- $O(n)$  ?

# Asymptotic Order of Growth

**Exercise 1:**  $T(n) = 32n^2 + 17n + 32$

Say if  $T(n)$  is:

- $O(n^2)$  ?      Yes
- $O(n^3)$  ?      Yes
- $O(n)$  ?      No

**Solution:** To show that  $T(n)$  is  $O(n^2)$  we can:

- Use the first definition with  $c = 1000$
- Use limits:

$$\lim_{n \rightarrow \infty} \frac{T(n)}{n^2} = 32, \text{ which is a constant}$$

# Asymptotic Order of Growth

## Exercise 2:

- $T(n) = 2^{n+1}$ , is it  $O(2^n)$  ?
- $T(n) = 2^{2n}$ , is it  $O(2^n)$  ?

# Asymptotic Order of Growth

## Exercise 2:

- $T(n) = 2^{n+1}$ , is it  $O(2^n)$  ? Yes
- $T(n) = 2^{2n}$ , is it  $O(2^n)$  ? No

**Solution (second item):**  $\lim_{n \rightarrow \infty} \frac{T(n)}{2^n} = \lim_{n \rightarrow \infty} 2^n = \infty$   
is **not constant**

**Solution 2 (second item):** To have  $2^{2n} < c \cdot 2^n$  we need  $c > 2^n$ . So  $c$  is not.

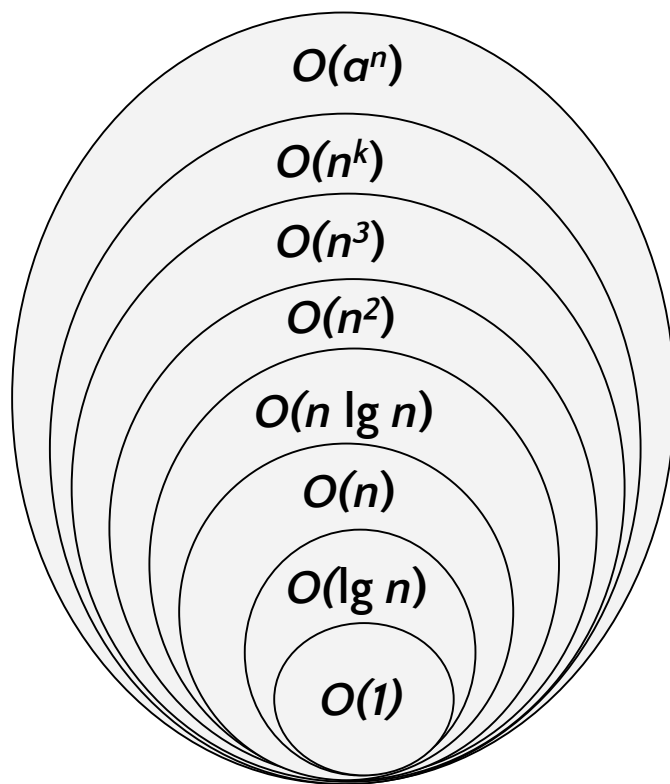
# Asymptotic Bounds for Some Common Functions

**Logarithms.**  $\log_a n$  is  $O(\log_b n)$  for any constants  $a, b > 0$   
can avoid specifying the base

**Logarithms.** For every  $x > 0$ ,  $\log n$  is  $O(n^x)$   
 $\log$  grows slower than every polynomial

**Exponentials.** For every  $r > 1$  and every  $d > 0$ ,  $n^d$  is  $O(r^n)$   
every exponential grows faster than every polynomial

# Asymptotic Bounds for Some Common Functions



Donde:  $k \geq 4$ ,  $a > 1$

classe	nome
$O(1)$	constante
$O(\lg n)$	logarítmica
$O(n)$	linear
$O(n \lg n)$	$n \log n$
$O(n^2)$	quadrática
$O(n^3)$	cúbica
$O(n^k)$ com $k \geq 1$	polinomial
$O(a^n)$ com $a > 1$	exponencial



# Asymptotic Bounds for Some Common Functions

**Exercise:** is  $T(n) = 21 \cdot n \cdot \log n$

- $O(n^2)$  ?
- $O(n^{1.1})$  ?
- $O(n)$  ?

# Asymptotic Bounds for Some Common Functions

**Exercise:** is  $T(n) = 21 \cdot n \cdot \log n$

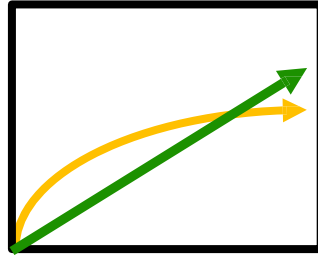
- $O(n^2)$  ? Yes
- $O(n^{1.1})$  ? Yes
- $O(n)$  ? No

**Solution (first item):** Comparing  $21 \cdot n \cdot \log n$  vs  $n^2$  is the same as comparing  $21 \cdot \log n$  vs  $n$ , and we know  $\log n$  grows slower than  $n$ .

**Solution 2 (first item):**  $\lim_{n \rightarrow \infty} \frac{T(n)}{n^2} = \lim_{n \rightarrow \infty} \frac{21 \log(n)}{n}$ , which is at most a constant since  $\log n$  grows slower than  $n$

# Lower Bounds – Cota Inferior $\Omega$

**Informal:**  $T(n)$  is  $\Omega(g(n))$  if  $T(n)$  grows with at least the same order of magnitude as  $g(n)$  grows.



**Formal:**  $T(n)$  is  $\Omega(g(n))$  if there exist constants  $c > 0$  such that for all  $n$  we have  $T(n) \geq c \cdot g(n)$ .

**Equivalent:**  $T(n)$  is  $\Omega(g(n))$  if there exist constant  $k > 0$

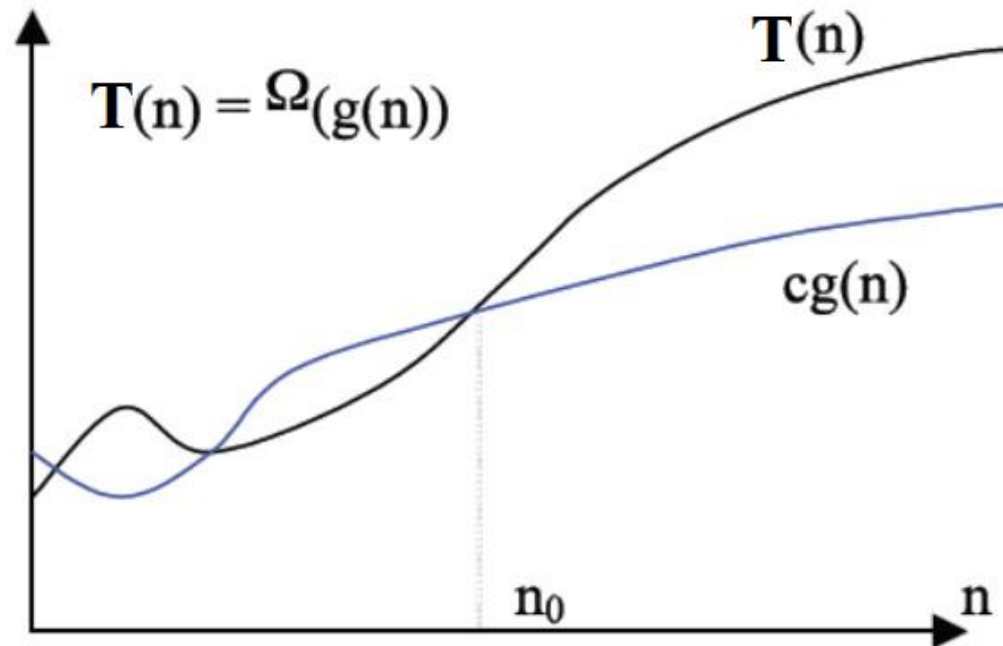
$$\lim_{n \rightarrow \infty} \frac{T(n)}{g(n)} \geq k$$

## Lower Bounds – Cota Inferior $\Omega$

**Definición Formal:** Sea  $g: N \rightarrow [0, \infty)$ . Se define el conjunto de funciones de orden  $\Omega$  (Omega) de  $g$  como:

$$T(n) = \{\Omega(g(n)) : \exists c, n_0 > 0 \setminus T(n) \geq c * g(n), \forall n \geq n_0\}$$

Diremos que una función  $t: N \rightarrow [0, \infty)$  es de orden  $\Omega$  de  $g$  si  $t \in \Omega(g)$ .



# Lower Bounds - *Cota Inferior* $\Omega$

**Propiedades de  $\Omega$ :** Veamos las propiedades de la cota inferior  $\Omega$ . La demostración de todas ellas se obtiene de forma simple aplicando la definición formal.

1. Para cualquier función  $T$  se tiene que  $T \in \Omega(T)$ .
2.  $T \in \Omega(g) \Rightarrow \Omega(T) \subset \Omega(g)$ .
3.  $\Omega(T) = \Omega(g) \Leftrightarrow T \in \Omega(g) \text{ y } g \in \Omega(T)$ .
4. Si  $T \in \Omega(g)$  y  $g \in \Omega(h) \Rightarrow T \in \Omega(h)$ .
5. Si  $T \in \Omega(g)$  y  $T \in \Omega(h) \Rightarrow T \in \Omega(\max(g, h))$ .
6. Regla de la suma: Si  $T_1 \in \Omega(g)$  y  $T_2 \in \Omega(h) \Rightarrow T_1 + T_2 \in \Omega(g + h)$ .
7. Regla del producto: Si  $T_1 \in \Omega(g)$  y  $T_2 \in \Omega(h) \Rightarrow T_1 \cdot T_2 \in \Omega(g \cdot h)$ .

## Lower Bounds – *Cota Inferior* $\Omega$

8. Si existe  $\lim_{n \rightarrow \infty} \frac{T(n)}{g(n)} = k$ , dependiendo de los valores que tome  $k$  obtenemos:

a) Si  $k \neq 0$  y  $k < \infty$  entonces  $\Omega(T) = \Omega(g)$ .

b) Si  $k = 0$  se verifica que  $T \notin \Omega(g)$ , pero sin embargo se verifica que  $g \in \Omega(T)$ , es decir,  $\Omega(g) \subset \Omega(T)$ .



# Lower Bounds – *Cota Inferior* $\Omega$

**Exercise:**  $T(n) = 32n^2 + 17n + 32$ . Is  $T(n)$ :

- A)  $\Omega(n)$  ?
- B)  $\Omega(n^2)$  ?
- C)  $\Omega(n^3)$  ?

# Lower Bounds – *Cota Inferior* $\Omega$

**Exercise:**  $T(n) = 32n^2 + 17n + 32$  Is  $T(n)$ :

- $\Omega(n)$  ? Yes
- $\Omega(n^2)$  ? Yes
- $\Omega(n^3)$  ? No

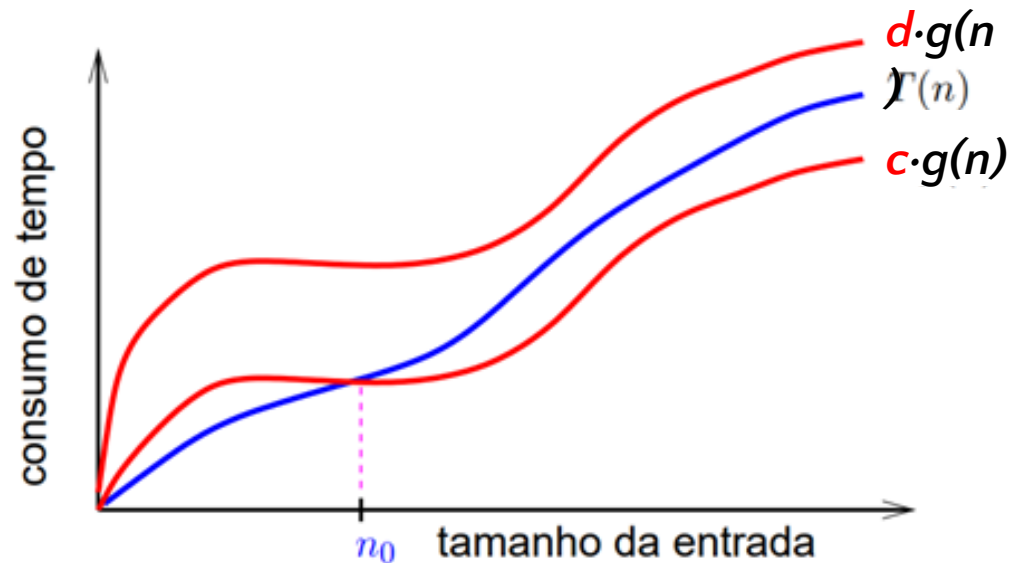


# Tight Bounds – Cota Promedio $\Theta$

**Tight bounds.**  $T(n)$  is  $\Theta(g(n))$  if  $T(n)$  is both  $O(g(n))$  and  $\Omega(g(n))$ .

**Formal:**  $T(n)$  is  $\Theta(g(n))$  if there exist constants  $c > 0$  and  $d > 0$  such that for all  $n$  we have

$$c \cdot g(n) \leq T(n) \leq d \cdot g(n)$$



# Tight Bounds – Cota Promedio $\Theta$

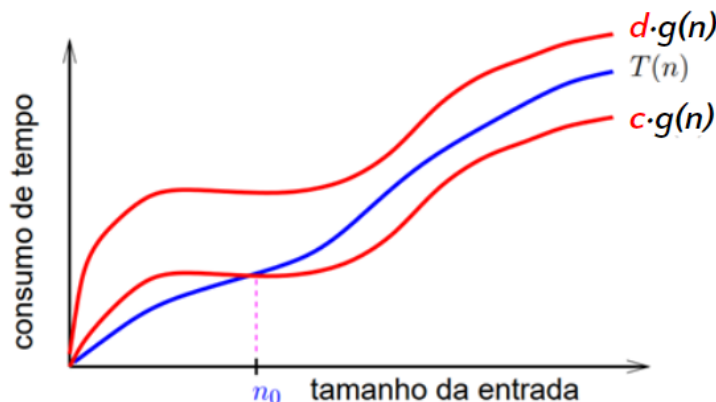
**Definição Formal.** Sea  $T: \mathbb{N} \rightarrow [0, \infty)$ . Se define el conjunto de funciones de orden  $\Theta$  (Theta) de  $g$  como:

$$\Theta(T) = O(T) \cap \Omega(T)$$

o, lo que es igual:

$$T(n) = \{ \theta(g(n)) : \exists c, d, n_0 > 0 \setminus c * g(n) \leq T(n) \leq d * g(n), \forall n \geq n_0 \}$$

Diremos que una función  $t: \mathbb{N} \rightarrow [0, \infty)$  es de orden  $\Theta$  de  $g$  si  $t \in \Theta(g)$ . Intuitivamente,  $t \in \Theta(g)$  indica que  $t$  está acotada tanto superior como inferiormente por múltiplos de  $g$ , es decir, que  $t$  y  $g$  crecen de la misma forma.



# Tight Bounds – Cota Promedio $\Theta$

**Propiedades  $\Theta$ :** Veamos las propiedades de la cota exacta. La demostración de todas ellas se obtiene también de forma simple aplicando la definición formal y las propiedades de  $O$  y  $\Omega$ .

1. Para cualquier función  $T$  se tiene que  $T \in \Theta(T)$ .
2.  $T \in \Theta(g) \Rightarrow \Theta(T) = \Theta(g)$ .
3.  $\Theta(T) = \Theta(g) \Leftrightarrow T \in \Theta(g)$  y  $g \in \Theta(T)$ .
4. Si  $T \in \Theta(g)$  y  $g \in \Theta(h) \Rightarrow T \in \Theta(h)$ .
5. Regla de la suma: Si  $T1 \in \Theta(g)$  y  $T2 \in \Theta(h) \Rightarrow T1+T2 \in \Theta(\max(g,h))$ .
6. Regla del producto: Si  $T1 \in \Theta(g)$  y  $T2 \in \Theta(h) \Rightarrow T1 \cdot T2 \in \Theta(g \cdot h)$ .

## Tight Bounds – Cota Promedio $\Theta$

7. Si existe  $\lim_{n \rightarrow \infty} \frac{T(n)}{g(n)} = k$ , dependiendo de los valores que tome  $k$  obtenemos:

- a) Si  $k \neq 0$  y  $k < \infty$  entonces  $\Theta(T) = \Theta(g)$ .
- b) Si  $k = 0$  se verifica que  $\Theta(T) \neq \Theta(g)$ , es decir, los órdenes exactos de  $T$  y  $g$  son distintos.

# Lower and Tight Bounds

**Exercise:**  $T(n) = 32n^2 + 17n + 32$ .

Is  $T(n)$ :

- A)  $\Theta(n^2)$  ?
- B)  $\Theta(n)$  ?
- C)  $\Theta(n^3)$  ?

# Lower and Tight Bounds

Exercise:

$$T(n) = 32n^2 + 17n + 32$$

Is  $T(n)$ :

- $\Theta(n^2)$  ?      Yes
- $\Theta(n)$  ?      No
- $\Theta(n^3)$  ?      No

# Lower and Tight Bounds

**Exercise:** Show that  $\log(n!)$  is  $\Theta(n \log n)$

# Lower and Tight Bounds

Answer:

- First we show that  $\log(n!) = O(n \log n)$

$\log(n!) = \log n + \log(n-1) + \dots + \log 1 < n \cdot \log n$ , since the log function is increasing

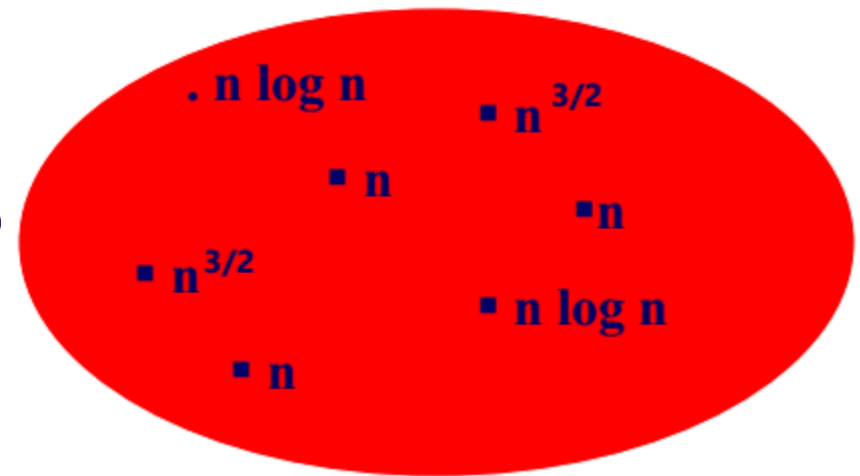
- Now we show that  $\log(n!) = \Omega(n \log n)$

$\log(n!) = \log n + \log(n-1) + \dots + \log 1 > \frac{n}{2} \cdot \log(n/2) = \frac{n}{2} (\log n - 1)$



# Upper and Lower bounds

inputs of size  $n$  for algorithm A (cartoon)



Can we say that the time complexity of A is?

- $O(n^2)$  ? Yes, because largest complexity of algorithm is at most  $n^2$
- $\Omega(n^2)$  ? No, there are inputs where complexity has larger order
- $\Omega(n)$  ? Yes
- $O(n)$  ? No, there is no input where the complexity of the algorithm has order  $n$
- $\Omega(n^{3/2})$  ? No

# Implication of Asymptotic Analysis

## Hypothesis

- Basic operations (addition, comparison, shifts, etc) takes at least 10ms and at most 50ms seconds (This is time unity =  $u$  )

## Algorithms

- Algorithm A executes  $20n$  operations for the worst instance ( $O(n)$ )
- Algorithm B executes  $n^2$  operations for the worst instance ( $\Omega(n^2)$ )

## Conclusion

- For a instance of size  $n$ , A spends at most  $1000n$  ms
- For the worst instance of size  $n$ , B spends at least  $10 n^2$  ms
- For  $n > 100$ , A is faster than B in the worst case, regardless of which operations they execute.

Allows us to tell which algorithm is faster (for large instances)

# Notation

Slight abuse of notation.  $T(n) = O(f(n))$

- **Be careful:** Asymmetric:
  - $f(n) = 5n^3$ ;  $g(n) = 3n^2$
  - $f(n) = O(n^3) = g(n)$
  - but  $f(n) \neq g(n)$
- Better notation:  $T(n) \in O(f(n))$ .

# Exercícios

Exercícios Kleinberg & Tardos, cap 2 da lista de exercícios

### 1.3.3 A Survey of Common Running Times

# Linear Time: $O(n)$

**Linear time.** Running time is at most a constant factor times the size of the input.

**Computing the maximum.** Compute maximum of  $n$  numbers  $a_1, \dots, a_n$ .

```
max  $\leftarrow$   $a_1$ 
for  $i = 2$  to  $n$  {
    if ( $a_i > \text{max}$ )
        max  $\leftarrow$   $a_i$ 
}
```

**Remark.** For all instances the algorithm executes a linear number of operations

# Linear Time: $O(n)$

**Linear time.** Running time is at most a constant factor times the size of the input.

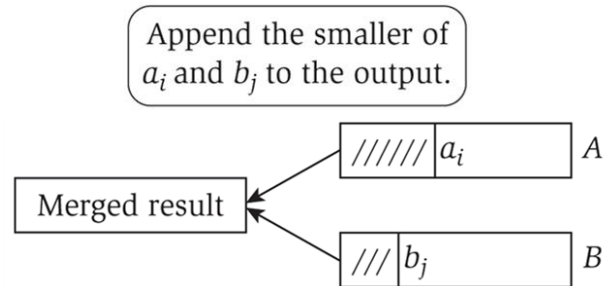
**Finding an item  $x$  in a list.** Test if  $x$  is in the list  $a_1, \dots, a_n$

```
Exist ← false
for i = 1 to n {
    if ( $a_i == x$ )
        Exist ← true
        break
}
```

**Remark.** For some instances the algorithm is sublinear (e.g.  $x$  in the first position)

# Linear Time: $O(n)$

**Merge.** Combine two sorted lists  $A = a_1, a_2, \dots, a_n$  with  $B = b_1, b_2, \dots, b_n$  into sorted whole.



```
i = 1, j = 1
while (both lists are nonempty) {
    if ( $a_i \leq b_j$ ) append  $a_i$  to output list and increment i
    else          append  $b_j$  to output list and increment j
}
append remainder of nonempty list to output list
```

**Claim.** Merging two lists of size  $k$  takes  $O(n)$  time ( $n = \text{total size} = 2k$ ).

**Pf.** After each comparison, the length of output list increases by 1.

**Claim.** Merging two lists of size  $n$  takes  $O(n)$  time.

**Pf.** After each comparison, the length of output list increases by 1.



# $O(n \log n)$ Time

$O(n \log n)$  time. Arises in divide-and-conquer algorithms.

**Sorting.** Mergesort and heapsort are sorting algorithms that perform  $O(n \log n)$  comparisons.

**Largest empty interval.** Given  $n$  time-stamps  $x_1, \dots, x_n$  on which copies of a file arrive at a server, what is largest interval of time when no copies of the file arrive?

$O(n \log n)$  solution. Sort the time-stamps. Scan the sorted list in order, identifying the maximum gap between successive time-stamps.

# Quadratic Time: $O(n^2)$

**Quadratic time.** Enumerate all pairs of elements.

**Closest pair of points.** Given a list of  $n$  points in the plane  $(x_1, y_1), \dots, (x_n, y_n)$ , find the distance of the closest pair.

**$O(n^2)$  solution.** Try all pairs of points.

```
min ←  $(x_1 - x_2)^2 + (y_1 - y_2)^2$ 
for i = 1 to n {
  for j = i+1 to n {
    d ←  $(x_i - x_j)^2 + (y_i - y_j)^2$ 
    if (d < min)
      min ← d
  }
}
```

← don't need to  
take square roots

← see chapter 5

**Remark.**  $\Omega(n^2)$  seems inevitable, but this is just an illusion.

# Cubic Time: $O(n^3)$

**Cubic time.** Enumerate all triples of elements.

**Set disjointness.** Let  $S_1, \dots, S_n$  be subsets of  $\{1, 2, \dots, n\}$ . Is there a disjoint pair of sets?

**Set Representation.** Assume that each set is represented as an incidence vector.

$n=8$  and  $S=\{2,3,6\}$ ,  $S$  is represented by

1	2	3	4	5	6	7	8
0	1	1	0	0	1	0	0

$n=8$  and  $S=\{1,4\}$ ,  $S$  is represented by

1	2	3	4	5	6	7	8
1	0	0	1	0	0	0	0

## Algorithm:

```
For i=1...n-1
  For j=i+1...n
    For k=1...n
      If ( $S_i(k)=S_j(k)=1$ )
        Return 'There are not disjoint sets'
      End If
    End For
  End For
End For
Return 'There are disjoint sets'
```

# Cubic Time: $O(n^3)$

1. A complexidade de tempo do algoritmo é  $O(n^3)$ ?
2. A complexidade de tempo do algoritmo é  $\Omega(n^3)$  ?

# Cubic Time: $O(n^3)$

1. A complexidade de tempo do algoritmo é  $O(n^3)$ ? SIM
2. A complexidade de tempo do algoritmo é  $\Omega(n^3)$  ? SIM

“Bad” instance: all sets are equal to  $\{n\} \Rightarrow$  algorithm makes  $\Omega(n^3)$  basic operations

# Exponential Time

1. **Independent set.** Given a graph, find the largest independent set?
  - a.  $O(n^2 2^n)$  solution. Enumerate all subsets.

```
S* ← ∅  
foreach subset S of nodes {  
    check whether S is an independent set  
    if (S is largest independent set seen so far) update  
        S* ← S  
}  
}
```

2. Decrypt a numeric (0...9) password of  $n$  elements

—	—	...	—	—
10	10	...	10	10

$n$ : elements

The complexity of Algorithm is  $O(10^n)$

# Polynomial Time

**Polynomial time.** Running time is  $O(n^d)$  for some constant  $d$  independent of the input size  $n$ .

**Ex:**  $T(n) = 32n^2$  and  $T(n) = n \log(n)$  are polynomial time

We consider an algorithm **efficient** if time complexity is polynomial

**Justification:** **It really works in practice!**

- . Although  $6.02 \times 10^{23} \times N^{20}$  is technically poly-time, it would be useless in practice.
- . In practice, the poly-time algorithms that people develop almost always have low constants and low exponents.
- . Breaking through the exponential barrier of brute force typically exposes some crucial structure of the problem.



# Polynomial Time

**Table 2.1** The running times (rounded up) of different algorithms on inputs of increasing size, for a processor performing a million high-level instructions per second. In cases where the running time exceeds  $10^{25}$  years, we simply record the algorithm as taking a very long time.

	$n$	$n \log_2 n$	$n^2$	$n^3$	$1.5^n$	$2^n$	$n!$
$n = 10$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	4 sec
$n = 30$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	18 min	$10^{25}$ years
$n = 50$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	11 min	36 years	very long
$n = 100$	< 1 sec	< 1 sec	< 1 sec	1 sec	12,892 years	$10^{17}$ years	very long
$n = 1,000$	< 1 sec	< 1 sec	1 sec	18 min	very long	very long	very long
$n = 10,000$	< 1 sec	< 1 sec	2 min	12 days	very long	very long	very long
$n = 100,000$	< 1 sec	2 sec	3 hours	32 years	very long	very long	very long
$n = 1,000,000$	1 sec	20 sec	12 days	31,710 years	very long	very long	very long

# Complexity of **Algorithm** vs Complexity of **Problem**

There are many different algorithms for solving the same problem

Showing that an algorithm is  $\Omega(n^3)$  does **not** mean that we cannot find another algorithm that solves this problem faster, say in  $O(n^2)$

# Exercício

Exercício 1. Considere um algoritmo que recebe um número real  $x$  e o vetor  $(a_0, a_1, \dots, a_{n-1})$  como entrada e devolve

$$a_0 + a_1x + \dots + a_{n-1}x^{n-1}$$

A. Desenvolva um algoritmo para resolver este problema que execute em tempo quadrático. Faça a análise do algoritmo.

A. Desenvolva um algoritmo para resolver este problema que execute em tempo linear. Faça a análise do algoritmo

# Exercício – Solução

$$a_0 + a_1x + \dots + a_{n-1}x^{n-1}$$

a)

1.  $sum = 0$
2. Para  $i = 0$  até  $n-1$  faça
3.      $t \leftarrow 1$
4.     Para  $j := 1$  até  $i$
5.          $t \leftarrow t \cdot x$
6.     Fim Para
7.      $sum \leftarrow sum + t \cdot a_i$
8. Fim Para
9. Devolva  $sum$

$$a_0 + a_1x + \dots + a_{n-1}x^{n-1}$$

Donde:  $A$ ,  $x$

**Análise:** Número de operações elementares é igual a:

$$T(n) = 1 + 2 + 3 + \dots + n - 1 = n(n-1)/2 = O(n^2)$$

# Exercício – Solução

b)

```
sum =  $a_0$   
pot = 1  
Para i= 1 até n-1 faça  
    pot  $\leftarrow$  x*pot  
    sum  $\leftarrow$  sum +  $a_i$ *pot  
Fim Para  
Devolva sum
```

Análise: A cada loop são realizadas  $O(1)$  operações elementares. Logo, o tempo é linear

# Recap

$T(n)$  is  $O(f(n))$ :  $T(n)$  grows “slower” than  $f(n)$

$T(n)$  is  $\Omega(f(n))$ :  $T(n)$  grows at least as fast as  $f(n)$

$T(n)$  is  $\Theta(f(n))$ :  $T(n)$  is both  $O(f(n))$  and  $\Omega(f(n))$

Exercised design and analysis of simple algorithms, giving upper bound  $O(f(n))$

right order of growth

# Observations on $\Omega(f(n))$

```
Func Find10(A)
  For i=1 to length(A)
    If (A[i]==10)
      Return i
```

*#A is array of 32-bit numbers*

**Q:** What is the time complexity  $T(n)$  of this algorithm? Give upper bound  $O(\cdot)$  and lower bound  $\Omega(\cdot)$

**A:**  $\Theta(n)$ : In worst-case, does  $n$  iterations of the for  $\Rightarrow O(n)$  and  $\Omega(n)$ .

**Point:** We always consider worst instance,  $\Omega(n)$  does not mean that all instances take time  $\geq \sim n$

# Observations on $\Omega(f(n))$

Find closest pair of points, given input pairs  $(x_1, y_1), \dots, (x_n, y_n)$

```
min ←  $(x_1 - x_2)^2 + (y_1 - y_2)^2$ 
for i = 1 to n-1 {
  for j = i+1 to n {
    d ←  $(x_i - x_j)^2 + (y_i - y_j)^2$ 
    if (d < min)
      min ← d
  }
}
```

**Q:** Is this algo  $\Omega(n^2)$ ?

**A: Yes.** Does exactly “combinação de n itens 2 a 2” iterations of for  $\Rightarrow n*(n-1)/2$  iterations =  $n^2/2 - n/2$   $\Rightarrow$  quadratic growth



# Observations on $\Omega(f(n))$

Method 2: Write #iterations as big summation, lower bound

$n + (n-1) + (n-2) + \dots + 2 + 1 \geq ??$

```
min ← (x1 - x2)2 + (y1 - y2)2
for i = 1 to n-1 {
  for j = i+1 to n {
    d ← (xi - xj)2 + (yi - yj)2
    if (d < min)
      min ← d
  }
}
```

Trick: Just keep the highest  $n/2$  terms

Back to example  $n + (n-1) + (n-2) + \dots + 2 + 1$

$\geq n + (n-1) + (n-2) + \dots + n/2$

$\geq (n/2) * (n/2) = n^2/4$

Keep largest  $n/2$  terms

Lower bounded each  
term by minimum

Ex: Show that  $1^2 + 2^2 + \dots + n^2 = \Omega(n^3)$

# Complexity in Code Scripts

# Exercise 1

Get the complexity “ $O(n)$ ” of next pseudocode-1

Pseudocodigo-1

$soma \leftarrow 0$

**for**  $i \leftarrow 1$  to  $n$  **do**

**for**  $j \leftarrow 1$  to  $n^2$  **do**

$soma \leftarrow soma + 1$

$aux \leftarrow soma$

**while**  $aux > 1$  **do**

$aux \leftarrow aux/2$

**end while**

**end for**

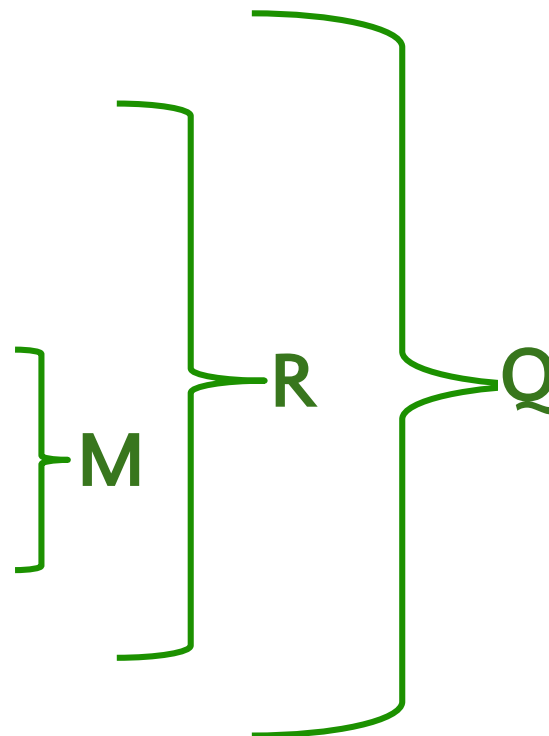
**end for**

# Exercise 1

Get the complexity “O(n)” of next pseudocode-1

Pseudocodigo-1

```
soma ← 0 }-P
for i ← 1 to n do
    for j ← 1 to n2 do
        soma ++
        aux ← soma }-N
    while aux > 1 do
        aux ← aux/2 }-M
    end while
end for
end for
```



Tenemos:  
 $T(n) = P + Q$

Donde:

$$Q = \sum_{i=1}^n R$$

$$R = \sum_{j=1}^{n^2} (N + M)$$

# Exercise 1

Get the complexity of (P, Q, R, M and N)

Pseudocodigo-1

$soma \leftarrow 0$  } **P =  $O(1)$**

for  $i \leftarrow 1$  to  $n$  do

    for  $j \leftarrow 1$  to  $n^2$  do

$soma++$   
         $aux \leftarrow soma$  } **N =  $O(1)$**

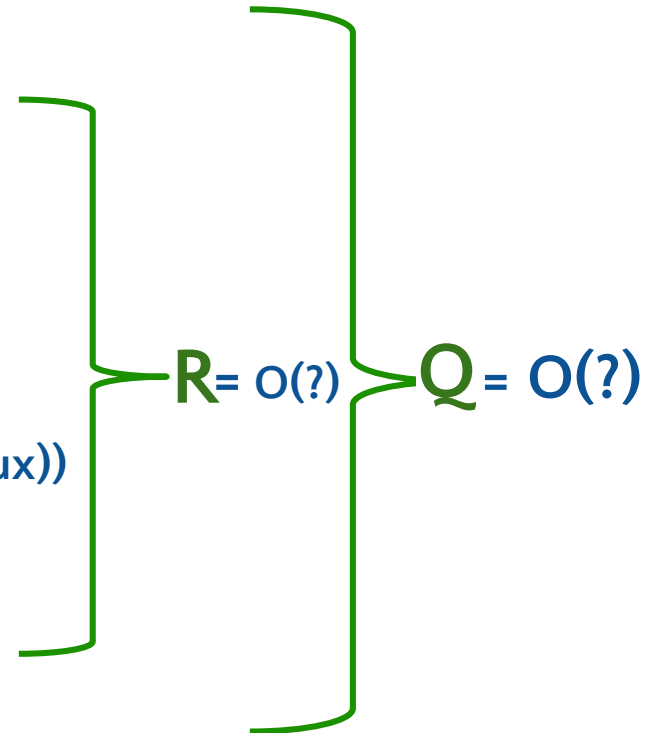
        while  $aux > 1$  do

$aux \leftarrow aux/2$  } **M =  $O(\log(aux))$**

        end while

    end for

end for



# Exercise 1

Get the complexity of (P, Q, R, M and N)

Pseudocodigo-1

$soma \leftarrow 0$  } **P** =  $O(1)$  => 1

for  $i \leftarrow 1$  to  $n$  do

for  $j \leftarrow 1$  to  $n^2$  do

$soma ++$   
 $aux \leftarrow soma$  } **N** =  $O(1)$  => c

while  $aux > 1$  do

$aux \leftarrow aux/2$  } **M** =  $O(\log(aux))$   
=>  $\log(aux)$

end while

end for

end for

**R** =  $O(?)$

**Q** =  $O(?)$

# Exercise 1

Get the complexity of (P, Q, R, M and N)

Pseudocodigo-1

```
soma ← 0 } P = O(1) => 1
for i ← 1 to n do
  for j ← 1 to n2 do
    soma ++
    aux ← soma } N = O(1) => c
    while aux > 1 do
      aux ← aux/2 } M = O(log(aux))
                    => log(aux)
    end while
  end for
end for
```

Tenemos:  $T(n) = P + Q$

Donde:

$$Q = \sum_{i=1}^n R$$

$$R = \sum_{j=1}^{n^2} (N + M)$$

Reemplazando:

$$T(n) = 1 + \sum_{i=1}^n \sum_{j=1}^{n^2} (c + \log aux)$$

Además  $aux$  varia en función de  $i$  &  $j$ :

$$aux = soma = f(i, j) = (i - 1)n^2 + j$$

# Exercise 1

## Resolving

$$T(n) = 1 + \sum_{i=1}^n \sum_{j=1}^{n^2} (c + \log aux)$$

$$T(n) = 1 + \sum_{i=1}^n \sum_{j=1}^{n^2} (c + \log soma) = 1 + \sum_{i=1}^n \sum_{j=1}^{n^2} [c + \log((i-1)n^2 + j)];$$

Hacemos  $m = (i-1)n^2$ ; Reemplazando:

$$T(n) = 1 + \sum_{i=1}^n \sum_{j=1}^{n^2} [c + \log(m+j)] = 1 + \sum_{i=1}^n \sum_{j=1}^{n^2} c + \sum_{i=1}^n \sum_{j=1}^{n^2} \log(m+j)$$

$$T(n) = 1 + cn^3 + \sum_{i=1}^n [\log(m+1) + \log(m+2) + \log(m+3) + \dots + \log(m+n^2)] \leq cn^3 + \sum_{i=1}^n [\log((m+n^2)!)];$$

De la propiedad:  $O(\log(n!)) = O(n \log n)$  Aplicando, tenemos:

$$T(n) \leq cn^3 + \sum_{i=1}^n c_1(m+n^2) \log(m+n^2), \text{ Reemplazando: } m = (i-1)n^2; \text{ Tenemos:}$$

$$T(n) \leq cn^3 + \sum_{i=1}^n c_1(in^2) \log(in^2) = cn^3 + c_1n^2 \sum_{i=1}^n i(\log n^2 + \log i) = cn^3 + c_1n^2 [\log n^2 \sum_{i=1}^n i + \sum_{i=1}^n i \log i]$$

$$= cn^3 + c_1n^2 [\cancel{2} \log n (\frac{n(n+1)}{\cancel{2}}) + \sum_{i=1}^n i \log i], \text{ Hacemos: } S = \sum_{i=1}^n i \log i$$



# Exercise 1

## Resolving

$$= cn^3 + c_1 n^2 \left[ 2 \log n \left( \frac{n(n+1)}{2} \right) + \sum_{i=1}^n i \log i \right], \text{ Hacemos: } S = \sum_{i=1}^n i \log i$$

$$S = \sum_{i=1}^n i \log i = 1 \log 1 + 2 \log 2 + 3 \log 3 + \dots + n \log n \leq c_2 n^2 \log n \text{ Reemplazando: } S, \text{ tenemos:}$$

$$T(n) \leq cn^3 + c_1 n^2 [n^2 \log n + n \log n + c_2 n^2 \log n] = cn^3 + c_1 n^4 \log n + c_1 n^3 \log n + c_1 c_2 n^4 \log n$$

$$T(n) \in O(n^4 \log n)$$

## Exercise 2

Get the complexity of the pseudocode:

Pseudocódigo

$cont \leftarrow 2^n$

**for**  $i \leftarrow 1$  to  $n$  **do**

**for**  $j \leftarrow 1$  to  $n$  **do**

$cont \leftarrow cont/2$

$s \leftarrow cont$

**while**  $s \geq 1$  **do**

$i \leftarrow i + 1$

$s \leftarrow s/2$

**end while**

**end for**

**end for**

## Exercise 2

Get the complexity “O(n)” of pseudocode

### Step 01

Pseudocódigo

$cont \leftarrow 2^n$  } P

for  $i \leftarrow 1$  to  $n$  do

for  $j \leftarrow 1$  to  $n$  do

$cont \leftarrow cont/2$  } N

$s \leftarrow cont$

while  $s \geq 1$  do

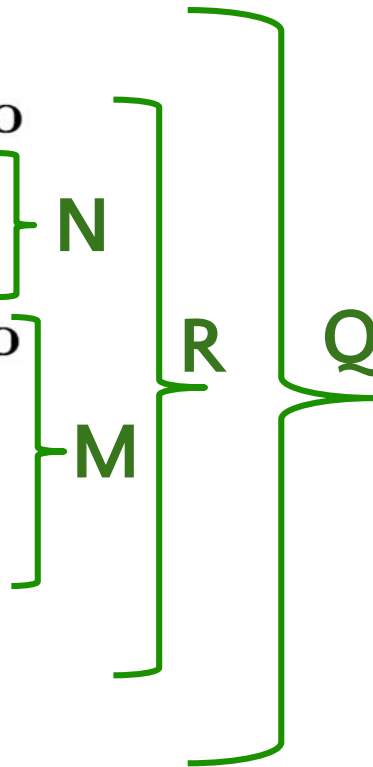
$i \leftarrow i + 1$

$s \leftarrow s/2$

end while

end for

end for



Tenemos:

$$T(n) = P + Q$$

Donde:

$$Q = \sum_{i=1}^n R$$

$$R = \sum_{j=1}^n (N + M)$$

## Exercise 2

Get the complexity “O(n)” of pseudocode

### Step 01

Pseudocódigo

$cont \leftarrow 2^n$  }  $P = O(1)$

for  $i \leftarrow 1$  to  $n$  do

for  $j \leftarrow 1$  to  $n$  do

$cont \leftarrow cont/2$   
 $s \leftarrow cont$  }  $N = O(1)$

while  $s \geq 1$  do

$i \leftarrow i + 1$

$s \leftarrow s/2$

end while

end for

end for

$N = O(1)$

$M = O(\log s)$

$R$

$Q$

Reemplazando:

$$T(n) = P + Q$$

Donde:

$$Q = \sum_{i=1}^n R$$

$$R = \sum_{j=1}^n (N + M)$$

Tenemos:

$$T(n) \leq 1 + \sum_{i=1}^n \sum_{j=1}^n (1 + \log(s))$$

Ahora debemos buscar  $s$   
en función de  $i$  y  $j$

## Exercise 2

Calculamos el valor de  $s = \text{cont}$  en función de  $i$  y  $j$

$$C_0 = 2^n$$

$$\begin{array}{l} i=1 \\ j=1 \end{array}$$

$$\text{cont}_{11} \leftarrow C_0/2 = \frac{2^n}{2}$$

$$j=2 \quad \text{cont}_{12} \leftarrow C_0/2^2 = \frac{2^n}{2^2}$$

$$j=3 \quad \text{cont}_{13} \leftarrow C_0/2^3 = \frac{2^n}{2^3} = \frac{2^n}{2^j} = C_0/2^j$$

$$\vdots$$

$$\begin{array}{l} i=2 \\ j=1 \end{array} \quad \text{cont}_{21} \leftarrow \frac{C_0/2^n}{2} = \frac{C_0/2^n}{2} \Rightarrow \text{cont}_{21} \leftarrow \frac{C_{1n}}{2^n} = \frac{C_0/2^n}{2^n}$$

$$\begin{array}{l} i=3 \\ j=1 \end{array} \quad \text{cont}_{31} \leftarrow \frac{C_{2n}}{2} = \frac{C_0}{2^{2n}} \quad \text{cont}_{3n} \leftarrow \frac{C_{2n}}{2^n} = \frac{C_0/2^{2n}}{2^n}$$

$$\forall i, j \quad \text{cont}_{ij} \leftarrow \left( \frac{C_0}{2^{(i-1)n}} \right) / 2^j, \quad C_0 = 2^n$$

$$= \frac{2^{(2-i)n}}{2^j} = \frac{2^{(2-i)n-j}}{2^j}$$

Reemplazando  $s = \text{cont}_{i,j}$

$$T(n) \leq 1 + \sum_{i=1}^n \sum_{j=1}^n (1 + \log(s))$$

## Exercise 2

Reemplazando  $s = \text{cont}_{i,j} = 2^{(2-i)n-j}$

Tenemos: 
$$T(n) \leq 1 + \sum_{i=1}^n \sum_{j=1}^n (1 + \log(s))$$

$$T(n) \leq 1 + \sum_{i=1}^n \sum_{j=1}^n (1 + \log[2^{(2-i)n-j}])$$

$$T(n) \leq 1 + \sum_{i=1}^n \left( n + \sum_{j=1}^n [(2-i)n-j] \log 2 \right)$$

$$T(n) \leq 1 + n^2 + \underbrace{\sum_{i=1}^n \sum_{j=1}^n ((2-i)n-j)}_B \dots (I)$$

$$B = \sum_{j=1}^n ((2-i)n-j) = n^2(2-i) - \sum_{j=1}^n j = n^2(2-i) - \frac{(n)(n+1)}{2}$$

$$B = \frac{n(3n-1)}{2} - n^2 i \dots (II)$$

(II) en (I)

$$T(n) \leq 1 + n^2 + \sum_{i=1}^n \left( \frac{n(3n-1)}{2} - n^2 i \right) \leq 1 + \frac{n^2(3n-1)}{2} - n^2 \sum_{i=1}^n i$$

$$T(n) \leq 1 + \frac{n^2(3n-1)}{2} - \frac{n^2(n)(n+1)}{2} \leq \frac{-n^4 + 2n^3 - n^2 + 2}{2}$$

$$T(n) \leq (-n^4 + 2n^3 - n^2 + 2)/2$$

para un  $n \rightarrow \infty$

$$T(n) < 0$$



Volvamos a revisar el pseudocódigo, pero ahora .....

## Exercise-2

Get the complexity “O(n)” of pseudocode

### Step 01

Pseudocódigo

$cont \leftarrow 2^n$  } = O(1)

**R** {  
  for  $i \leftarrow 1$  to  $n$  do  
    for  $j \leftarrow 1$  to  $n$  do  
       $cont \leftarrow cont/2$   
       $s \leftarrow cont$   
      while  $s \geq 1$  do  
         $i \leftarrow i + 1$   
         $s \leftarrow s/2$   
      end while  
    end for  
  end for  
end for

} = O(1)  
} = **M**  
} = **N**

Antes de realizar cualquier operación matemática, debemos primero hacer el **análisis visual**, i.e. “Traza del Algoritmo”. Se observa que el algoritmo sólo funciona para un  $i=1$ , puesto que el segundo valor de  $i$  será un valor muy grande igual a  $n$ , debido a que se incrementa dentro del while **M**. Se observa que **R** se realiza sólo una vez para un  $i=1$ , entonces sólo queda evaluar el número de operaciones elementales dentro de **N** y **M**.

$$T(n) = 1 + R = 1 + N$$

$$N = \sum_{j=1}^n (1 + M)$$

## Exercise 02

Get the complexity “O(n)” of pseudocode

$$T(n) = 1 + N; \quad N = \sum_{j=1}^n (1 + M) = \sum_{j=1}^n (1 + \log(s))$$

$$N = \sum_{j=1}^n (1 + M); \quad M = c \cdot \log(s), \quad c = 1$$

$$s \leftarrow \text{cont}, \quad \text{cont} \leftarrow \text{cont} / 2^j = 2^n / 2^j = 2^{n-j}$$

$$N = \sum_{j=1}^n [1 + \log(2^{n-j})] = n + \sum_{j=1}^n ((n-j) \log 2)$$

$$N = 3n + n^2 - \sum_{j=1}^n j = 3n + n^2 - \frac{(n)(n+1)}{2}$$

$$N \approx \frac{1}{2}n^2, \quad \therefore T(n) \approx 1 + \frac{1}{2}n^2$$

$\therefore$  tenemos que el  $T(n) \in O(n^2)$





## 1.3.4 A First Analysis of a Recursive Algorithm

# Binary Search

**Problem:** Given a sorted list of numbers (increasing order)  $a_1, a_2, a_3, \dots, a_n$ , decide if number  $x$  is in the list

```
Function bin_search(i, j, x)
  if i = j
    if  $a_i = x$  return TRUE
    else return FALSE
  end if
  mid = floor((i+j)/2)
  if  $x = a_{mid}$ 
    return x
  else if  $x < a_{mid}$ 
    return bin_search(i, mid-1, x)
  else if  $x > a_{mid}$ 
    return bin_search(mid+1, j, x)
  end if
```

```
Function bin_search_main(x)
  bin_search(1, n, x)
```

Ex:  $x=14$

1	2	3	5	7	10	14	17
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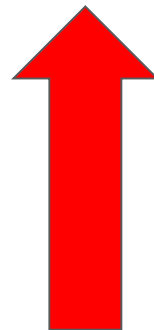
7	10	14	17
---	----	----	----

14	17
----	----

# Binary Search Analysis

Binary search recurrence:

$$T(n) \leq c + T\left(\left\lceil \frac{n}{2} \right\rceil\right)$$



we will always ignore floor/ceiling

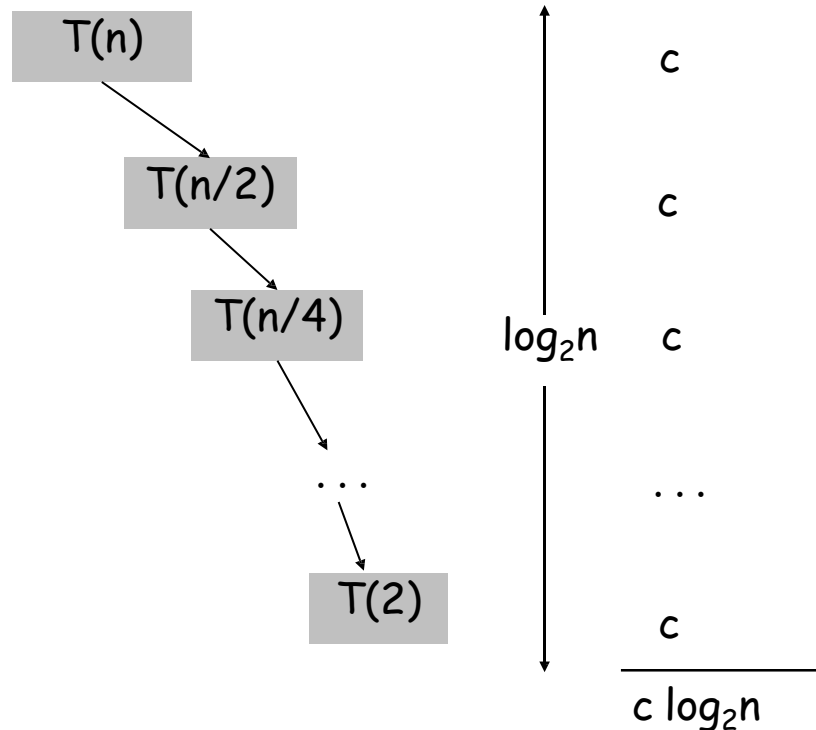
(the “sorting” slides has one slide that keeps the ceiling,  
so you can see that it works)

# Binary Search Analysis

**Binary search recurrence:**  $T(n) \leq c + T(\lceil \frac{n}{2} \rceil)$

**Claim:** The time complexity  $T(n)$  of binary search is at most  $c \cdot \log n$

**Proof 1:**  $T(n) \leq c + T(n/2) \leq c + c + T(n/4) \leq \underbrace{\dots}_{\log n \text{ terms}} \leq c + c + \dots + c$



# Binary Search Analysis

Binary search recurrence:

**Claim:** The time complexity  $T(n)$  of binary search is at most  $c * \log n$

$$T(n) \leq c + T\left(\left\lfloor \frac{n}{2} \right\rfloor\right)$$

**Proof 2:** (induction) Base case:  $n=1$

Now suppose that for  $n' \leq n - 1$ ,  $T(n') \leq c * \log(n')$

Then  $T(n) \leq c + T(n/2) \leq c + c * \log(n/2) = c + c * (\log n - 1) = c * \log n$

# Recursive Algorithms

Exercício 2. Projete um algoritmo (recursivo) que recebe como entrada um número real  $x$  e um inteiro positivo  $n$  e devolva  $x^n$ . O algoritmo deve executar  $O(\log n)$  somas e multiplicações

# Recursive Algorithms

```
Proc Pot(x,n)
  if n=0 return 1
  if n=1 return x
  if n é par
    tmp←Pot(x,n/2)
    return tmp*tmp
  else n é ímpar
    tmp←Pot(x,(n-1)/2)
    return x*tmp*tmp
Fim_if
Fim_proc
```

Análise:

$$T(n) = c + T(n/2) \Rightarrow T(n) \text{ é } O(\log n)$$