# Symbiosis Institute of Digital and Telecom Management

International Conference on Bio-Neuro Informatics and Algorithms(ICBNA 2022)

Title: Performance Analysis of Deep Learning models for Detection of Pneumonia

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### Contents

- > Abstract
- > Introduction
- Literature Survey
- > Problem Definition
- Proposed Work
- Methodology & Implementation
- Results & Discussions
- Conclusion
- References





#### Abstract

- > Pneumonia is an acute lung disease in which fluid fills the alveolar sacs, causing respiratory problems. Timely detection of pneumonia is the need of the hour.
- Transfer learning is a deep learning strategy that employs pre-trained models, such as Visual Geometry Group (VGG16), Inception v3, Xception etc., to tackle new problems. These techniques necessitate a huge dataset, which makes processing time-consuming.
- ➤ Hence, a **Deep Convolutional Neural Network** (Deep CNN) based architecture is being proposed.
- The proposed model employs data augmentation, dropout regularization and various keras layers.
- > This makes the model **computationally low, cost and time effective**.





### Introduction

- Early detection of pneumonia requires careful **analysis of chest x-rays**, which requires the intelligence of highly competent and highly trained radiologists.
- Compared to traditional Machine Learning models, the newest technology that uses Deep Learning models delivers a higher level of accuracy.
- The primary motive is to experiment and find the **optimal model** for detecting pneumonia at a **minimal computational cost**.
- > A CNN-based model that is less computationally intensive is being proposed.
- The key rationale would be to make it simple to **deploy the model** on an **Android device** and allow a regular citizen to verify if he or she has pneumonia by just uploading a chest X- ray image.





# Literature Survey

SI no	Title	Methodology Results		Issues/Future work	
[1]	Pneumonia Detection Using CNN based Feature Extraction.	A combination of pre-trained CNN based feature-extraction algorithms and supervised classifier algorithms were used. Hyper-parameter optimization in the classification was employed. Chest X-Ray image dataset from Kaggle was used.	Employing DenseNet-169 for the feature extraction stage and Support Vector Machines (SVM) for the classification stage resulted in the optimal value, 0.8002, of Area under the ROC Curve (AUC).	As the model exercises a lot of convolutional layers, the model need very high computational power.	
[2]	Pneumonia detection using CNN	Keras Neural Network Library with Tensorflow backend has been used. Chest X-Ray image dataset from Kaggle(Train - 5216, Test-624, Validation-16). Data augmentation was applied.	4 models with different number of Convolutional layers were trained. One Layer-89.74% accuracy Two Layers-85.26% accuracy Three Layers-92.31% accuracy Four Layers-91.67% accuracy Learning rate = 0.0001	Neural network based on GAN(Generative Adversarial Networks), Transfer Learning Models can be trained to outperform CNN models. Larger datasets can be used.	





[3]	Pneumonia Detection from Chest X-ray Images Based on Convolutional Neural Network	A VGG based model architecture with 6 layers including ReLU activation, drop operation, and max pooling layers. It was trained on the chest X-ray images from Kaggle. Dynamic Histogram Equalization technique was used to enhance the image contrast.	The proposed model performed better with an accuracy rate of 96.07% and precision rate of 94.41% as compared to various transfer learning models such as ResNet50, MobileNet, DenceNet121.	More accurate classification architectures to diagnose two types of pneumonia, viruses, and bacteria can be explored.
[4]	Transfer Learning Based Approach for Pneumonia Detection Using Customized VGG16 Deep Learning Model.	A modified VGG16 Deep Learning network is proposed. The output layers were then replaced by fully connected layers to learn on the dataset. The X-Ray scan dataset with 4273 scans of pneumonia class and 1583 scans of normal class was used.	The model was analysed for different optimizers such as Adam, Adagrad and Stochastic gradient descent (SGD).  Accuracy using SGD = 74%  Accuracy using Adagrad= 94.5%  Accuracy using Adam = 98.5%	More advanced feature extraction methods based on several recently developed deep learning models for biomedical image segmentation could be used.



[5	] Feature Extraction and Classification Chest X-Ray Impusing CNN to Detect Pneumon	dropout layer and another without a dropout layer. Both CNN consist of convolution layer, max pooling and a classification layer. A series of convolution and max-pooling layers act as a	Early stopping and batch normalization can be used instead of dropout layer and their effect in avoiding overfitting can be analysed.



### **Problem Definition**

To analyse and build a computationally low model with the use of Deep Learning algorithms and with minimal available data.





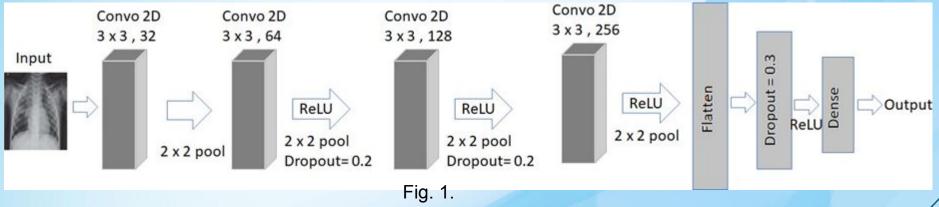
## Proposed work

The various layers in the proposed Convolutional Neural Network(CNN) model are:

- Convolutional layer: These layers act like filters for capturing features from the given input images. The proposed model consists of four Conv2D layers with 32, 64, 128 and 256 kernels (filters) with 3 x 3 dimension.
- Max Pooling layer: These layers are used to down sample the image data. 2 x 2 MaxPooling2D layer that slides over the input image and stores the maximum intensity value of the current window.
- Flatten layer: This layer is used to flatten the multi-dimensional input matrix to a one-dimensional vector so as to reduce the computational complexity of the pixel values.
- Fully Connected/Dense layer: These consist of multiple layers which are totally linked to each other. There are two dense layers in the proposed model, first with 256 neurons and the second with 1 neuron. Each neuron extracts features and makes a prediction for classification of images.



- Activation function: The Rectified Linear Unit activation function is used in all of the convolutional layers and the first dense layer. The second dense layer employs the sigmoid activation function as the outputs are probability values between 0 and 1.
- ➤ Optimizer: These are algorithms used to modify the weights, the learning rates of a neural network in order to reduce the loss during training. The Adam optimizer was employed with a learning rate of 0.001. Binary Cross Entropy is the loss function employed in the model.
- **Dropout layer:** In order to avoid overfitting of the data, dropout regularisation was used. The second and third convolution layers were followed by a dropout of 20%. The fourth convolutional layer was followed by a dropout of 30%.





## Methodology

The proposed model was trained on the chest X-Ray (Pneumonia) dataset available in Kaggle. The dataset contains 5216 training, 624 testing, and 16 validation images in JPEG format with a 300 x 300 pixel size. ImageDataGenerator class present in keras was used to perform data augmentation, in order to enlarge the dataset. This class randomly transforms the input images based on operations such as rotation, zoom, shift images horizontally and vertically, horizontal and vertical flip etc. A Convolutional Neural Network(CNN) is used to analyse input X-Ray images and to infer

Output.

CNN is a feed forward network made up of numerous layers of neurons, each with the goal of lowering the dimensionality and complexity of the input image.

The proposed CNN model was developed using Keras library and Tensorflow backend.

The model was trained for 30 epochs and various performance parameters such as the train accuracy, test accuracy, precision, recall and F1 score were computed.





#### Results and Discussions

- Increasing the amount of training data adds more information and improves model fit. As a result, employing a large dataset will result in increased training accuracy.
- Though all the models in the below table have similar train accuracies, the proposed model has higher test accuracy when compared to the other transfer learning models.
- This indicates that the proposed model works well with unseen images.

Models	Train accuracy (%)	Test accuracy (%)	Precision (%)	Recall (%)	F1 Score (%)
VGG16	96.15	83.49	86.06	83.12	82.25
Inception_V3	95.8	81.89	84.2	80.04	78.38
Xception	96.07	80.29	84.49	80.5	78.31
Proposed CNN model	95.8	92.14	97.21	97.14	97.17



- Fig.2. Indicates the plot of train and validation accuracy with respect to number of epochs.
- Confusion matrix is used to define the proposed model's performance as shown in Fig.3.
- Fig.3. indicates lower TYPE1 and TYPE2 error, thus improved recall values are obtained.

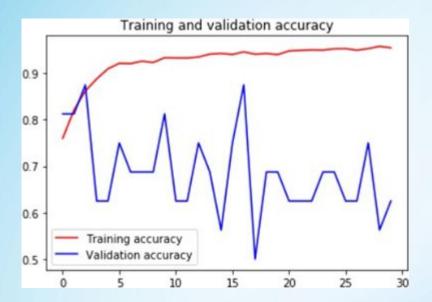


Fig.2. Plot of accuracy VS number of epochs



Fig.3. The proposed model's confusion matrix



### Conclusion

- In comparison to prior transfer learning models, the proposed deep convolutional network architecture, with data augmentation techniques and a dropout layer, extracted features from the input X-Ray images and obtained greater accuracy on unseen images.
- Decent precision, recall and F1 score is obtained.
- The proposed model can easily be deployed into an application and with the availability of chest X-ray images, any individual can detect the presence of streptococcus pneumoniae without depending on a radiologist.

#### Future work

- Large datasets could be utilised in the future to train the model and attain greater accuracy.
- Early stopping and batch regularisation could be used instead of the dropout layers to avoid the model from overfitting.
- The dataset can be trained on other neural network architectures such as Generative Adversarial Networks (GAN) and their performance can be measured.





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# **Thank You**



