

# CS 610: Cache Coherence and False Sharing

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# Types of Parallelism

## Instruction-level Parallelism

Overlap instructions within a single thread of execution (e.g., pipelining, superscalar issue, and out-of-order execution)

## Data-level Parallelism

Execute an instruction in parallel on multiple data values (e.g., vector instructions)

```
for (int i = 0; i < N; i++) {  
    c[i] = a[i] + b[i];  
}
```

## Thread-level Parallelism

Concurrently execute multiple threads

# Shared Memory Multiprocessor Architecture

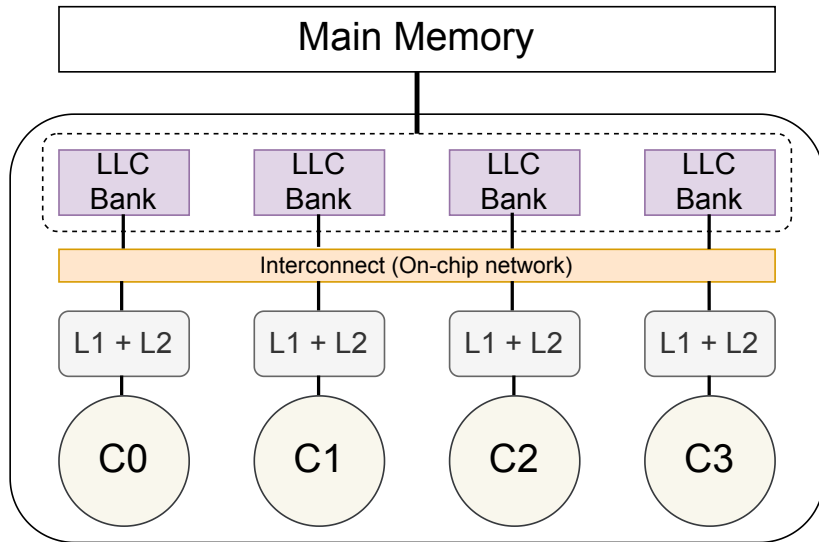
## Single address space shared by multiple cores

- + Exploits TLP by having a number of cores
- + Can share data efficiently, communication is implicit through memory instructions (i.e., loads and stores)
- Cost for accessing shared memory can be uniform or non-uniform across cores

## Processors privately cache data to improve performance

Reduces average data access time and saves interconnect bandwidth

# Block Diagram of a SMP



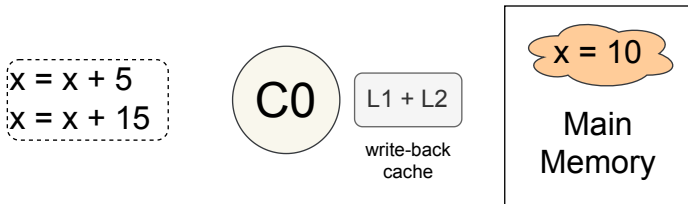
# Data Coherence

## Private caches create data coherence problem

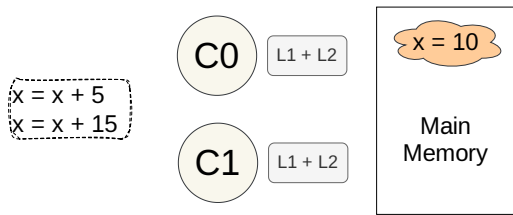
- Copies of a variable can be present in multiple caches
- Private copies of shared data must be **coherent**, i.e., all copies must have the same value (okay if the requirement holds eventually)

Consider the following sequence of operations on a single core system

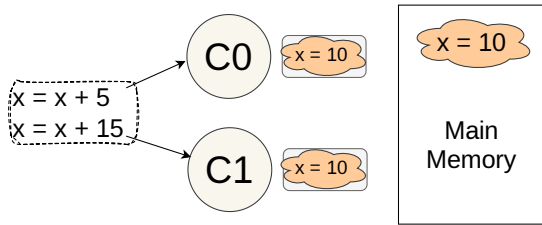
Final value of  $x$  will be 30



# Coherence Challenge with Multicores

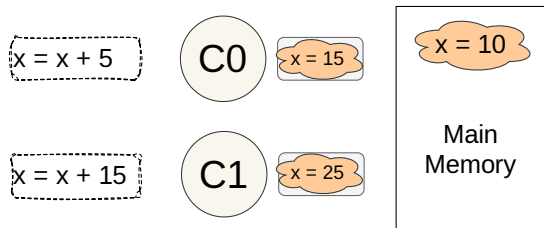


(i) Multicore system setup

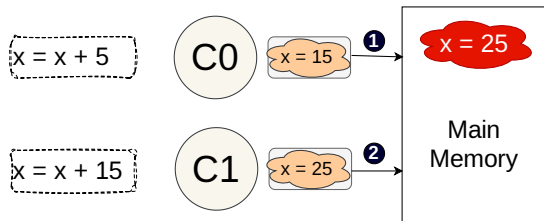


(ii) Each core reads x

# Coherence Challenge with Multicores



(iii) Each core updates  $x$  in its private cache



(iv) Cores write back  $x$ , a store is lost depending on the order of write backs

# Can Write-through Caches Avoid the Coherence Problem?

## Assume 3 cores with write-through caches

- (i) Core C0 reads  $x$  from memory, caches it, and gets the value 10
- (ii) Core C1 reads  $x$  from memory, caches it, and gets the value 10
- (iii) C1 writes  $x=20$ , and updates its cached and memory values
- (iv) C0 reads  $x$  from its cache and gets the value 10
- (v) C2 reads  $x$  from memory, caches it, and gets the value 20
- (vi) C2 writes  $x=30$ , and updates its cached and memory value



# Sources of Errors in the Previous Examples

## Write-back cache

- Stores are not visible to memory immediately
- Order of write backs are important
- **Lesson learned:** do not allow more than one copy of a cache line in dirty state

## Write-through cache

- The value in memory may be correct if the writes are correctly ordered
- Our example system allowed a store to proceed when there is already a cached copy
- **Lesson learned:** must invalidate all cached copies before allowing a store to proceed

# Understanding Coherence

A memory system is coherent if the following hold:

- (i) A read from a location  $X$  by a core  $C$  that follows a write by  $C$  to  $X$  always returns the value written by  $C$  provided there are no writes of  $X$  by another processor between the two accesses by  $C$ .
- (ii) A read from a location  $X$  by a core  $C$  that follows a write to  $X$  by another core returns the written value if the read and write are sufficiently separated in time and no other writes to  $X$  occur between the two accesses.
- (iii) Writes to the same location are serialized. That is, two writes to the same location by any two cores are seen in the same order by all processors.

# Correctness Requirement

For sequential programs, there is only one correct output

A read from a memory location must return the “latest” value written to it

For parallel programs, there can be multiple correct outputs

- Defining “latest” precisely is crucial
- Assume that the latest value of a location is the latest value “committed” by any thread/process

# Cache Coherence

# Cache Coherence Protocol

Multicore processors implement a cache coherence protocol to keep private caches in sync

A “cache coherence protocol” is a set of actions that ensure that a load to address A returns the “last committed” value to A

- Essentially, makes one core's write visible to other cores by propagating the write to other caches
- Aims to make the presence of private caches functionally invisible
- Coherence protocols can operate on granularities from 1-64 bytes, usually operate on whole cache blocks (e.g., 64 bytes)

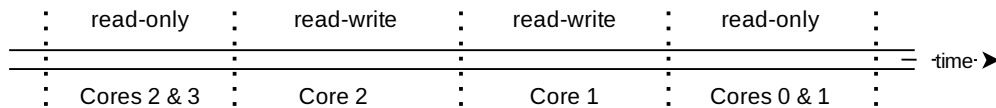
# Cache Coherence Protocol Invariants

## 1. Enforces the Single-Writer-Multiple-Reader (SWMR) invariant

For any given memory location, at any given moment in time, there is either a single core that may write it (including read) or some number of cores that may read it

## 2. Data values must be propagated correctly (data invariant)

The value of a memory location at the start of a read-only time period is the same as the value of the location at the end of its last read-write time period



# Alternate Definitions of Coherence

## Definition 2

A coherent system must appear to execute all threads' loads and stores to a **single** memory location in a total order that respects the program order of each thread

## Definition 3

A coherent system satisfies two invariants:

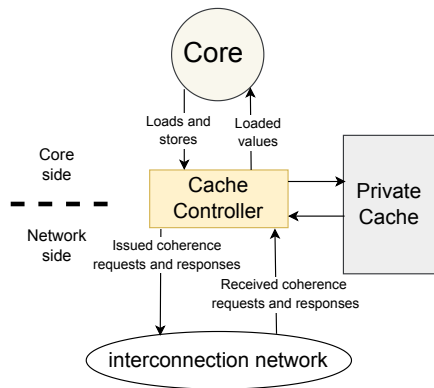
**write propagation** every store is eventually made visible to all cores, and

**write serialization** writes to the same memory location are serialized (i.e., observed in the same order by all cores)

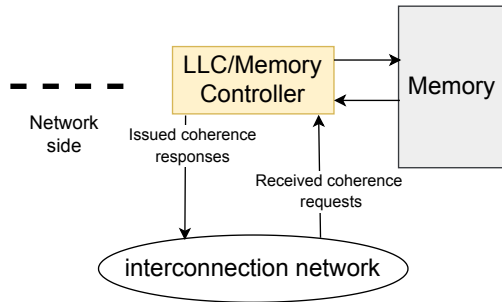
# Implementing Coherence Protocols

Protocols are implemented as finite state machines called coherence controllers

A protocol formalizes the interactions between the different coherence controllers



Cache controller



Memory controller



# Important Characteristics of a Cache Block

Coherence protocols are implemented by associating states with each cache block

**Validity** A valid block has the most up-to-date value for this block. The block may be read. It can be written if it is also exclusive.

**Dirtyness** A cache block is dirty if its value is the most up-to-date, and this value differs from the value in the LLC/memory.

**Exclusivity** A cache block is exclusive if it is the only privately cached copy of that block (i.e., the block is not cached anywhere else except perhaps in the shared LLC).

**Ownership** A cache or memory controller is the owner of a block if it is responsible for responding to coherence requests for that block. In most protocols, there is exactly one owner of a given block at all times.

# Stable States

M, S, and I are commonly-used states

- Modified (M)** The block is valid, exclusive, owned, and potentially dirty. The cache has the only valid copy of the block, the cache must respond to requests for the block, and the copy of the block at the LLC/memory is potentially stale.
- Shared (S)** The block is valid but not exclusive, not dirty, and not owned. The cache has a read-only copy of the block. There may be multiple processors caching a line in S state.
- Invalid (I)** The cache either does not contain the block (not present) or it contains a potentially stale copy that it may not read or write.

Different protocol extensions add additional states (e.g., E, O, and F) to optimize for certain sharing patterns

# Common Coherence Transactions

Transaction	Goal of Requestor
GetS	Obtain block in Shared (read-only) state
GetM	Obtain block in Modified (read-write) state
Upg	Upgrade block state from read-only (Shared or Owned) to read-write (Modified); Upg (unlike GetM) does not require data to be sent to requestor
PutS	Evict block in Shared state
PutE	Evict block in Exclusive state
PutO	Evict block in Owned state
PutM	Evict block in Modified state

# Communication between Core and Cache Controller

Event	Response from Cache Controller
Load	If cache hit, respond with data from cache; else initiate GetS transaction
Store	If cache hit in state E or M, write data into cache; else initiate GetM or Upg transaction
Atomic RMW	If cache hit in state E or M, atomically execute RMW semantics; else initiate GetM or Upg transaction
Instruction fetch	If I-cache hit, respond with instruction from cache; else initiate GetS transaction
Read-only prefetch	If cache hit, ignore; else (optionally) initiate GetS transaction
Read-write prefetch	If cache hit in state M, ignore; else (optionally) initiate GetM or Upg transaction
Replacement	Depending on state of block, initiate PutS, PutE, PutO, or PutM transaction

# Types of Coherence Protocols

## Protocols differ in **when** and **how** writes are propagated

- The writes can be propagated synchronously or asynchronously
- Synchronous propagation means a write is made visible to other cores *before* returning

## Two main axes to classify synchronous protocols

- (i) Invalidation-based protocol and Update-based protocol
- (ii) Snoopy protocol and Directory protocol

# Invalidation-Based Protocols

**Invalidate** all cached copies before allowing a store to proceed

Need to know the location of cached copies

**Solution 1** : Broadcast that a core is going to do a store and sharers invalidate themselves

**Solution 2** : Keep track of the sharers and invalidate them when needed

- + Only store misses go on bus and subsequent stores to the same line are cache hits
- Sharers will miss next time they try to access the line

# Update-Based Protocols

**Update** all cached copies with the new value of the store

- + Sharers continue to hit in the cache, do not need to initiate and wait for a GetS transaction to complete
- Prevalence of spatial and temporal locality can lead to unnecessary updates, leading to increased bandwidth requirements
- Complicates implementing many memory consistency models

# Snoopy Protocol

Cache controller initiates a request for a block by broadcasting a request message to all other coherence controllers

- Each cache controller snoops (i.e., continuously monitors) the shared medium (e.g., bus or switch) for write activity concerned with its cached data addresses
- Assumes a global bus structure where communication can be seen by all
- Relies on the interconnection network to deliver the broadcast messages in a consistent order to all cores

How do you prevent simultaneous writes from different controllers?



# Snoopy Protocol

## Invalidate on a write

- Core that wants to write to an address grabs a bus cycle and broadcasts a “write invalidate” message
- All snooping caches invalidate their private copy of the appropriate cache line
- Core writes to its cached copy
- Any future read in other cores will now miss in cache and refetch new data

## Update on a write

- Core that wants to write to an address grabs a bus cycle and broadcasts new data as it updates its own copy
- All snooping caches update their copy

# Directory Protocol

Cache controller initiates a request for a block by unicasting it to the block's home memory controller

- Memory controller maintains a directory that holds state about each block in the LLC/memory (e.g., coherence state, the current owner ID, and a bitvector for the list of current sharers)
- If the LLC/memory is the owner, the memory controller completes the transaction by sending a data response to the requestor
- If a cache controller is the owner, the memory controller forwards the request to the owner cache
- When the owner cache receives the forwarded request, it completes the transaction by sending a data response to the requestor

# Snoopy vs Directory Protocol

## Snoopy Protocol

- Does not scale to large core counts because of broadcast messages
- Requires some ordering guarantees on messages which limits network optimizations

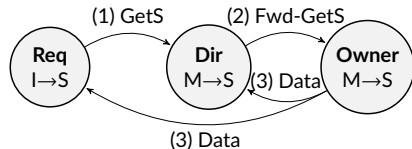
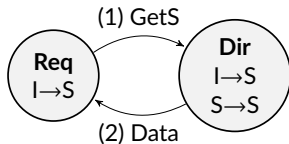
## Directory Protocol

- + Scalable because messages are unicast
- + The directory can be distributed to improve scalability
- Few transactions take more cycles when the home is not the owner
- Memory requirement increases with core count

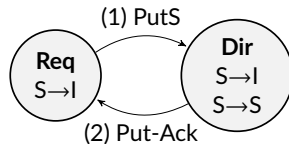
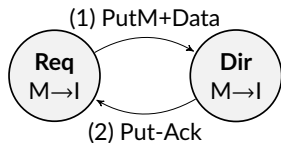
# Coherence Protocols



# MSI Protocol

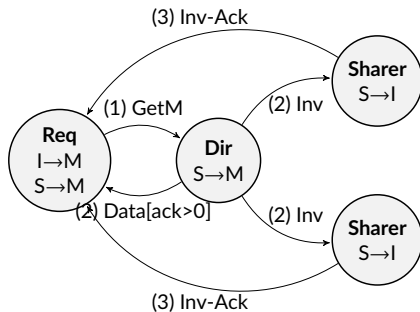
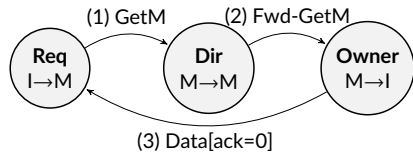
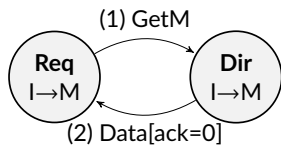


Transitions from I to S



Transitions from M or S to I

# MSI Protocol



Transitions from I or S to M

# Usefulness of E State

## Cores often read data before updating it

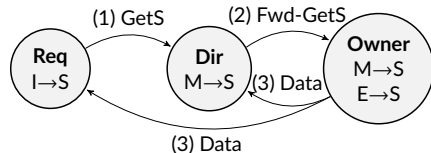
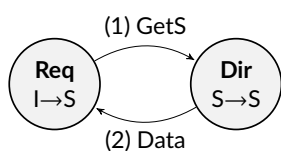
- Oftentimes, there is only one sharer in the system (also applicable for single-threaded programs)
- But with MSI, the core will issue two coherence transactions: GetS followed by an Upg

## Optimization with E state

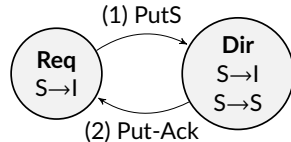
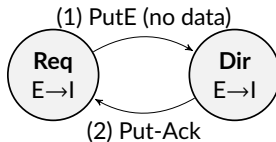
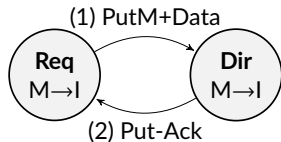
- A core issues GetS for a block
- Core gets the block in E state if there are no existing sharers
- E state indicates the cache line is clean and is the only cached copy
- The core may then silently upgrade the block from E to M without issuing another coherence request
- We will assume E is an ownership state, which implies evictions cannot be silent



# MESI Protocol

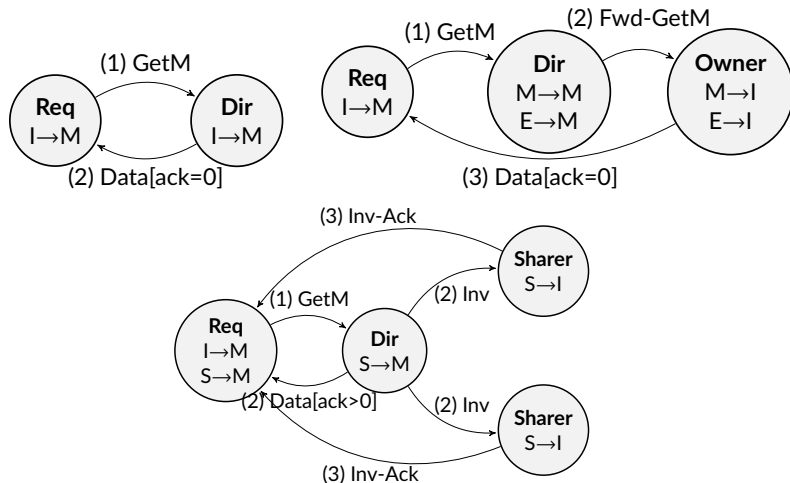


Transitions from I to S



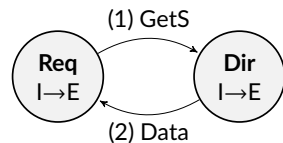
Transitions from M or E or S to I

# MESI Protocol



If the only sharer is the requestor, then no Inv messages are sent and the Data message from the Dir to Req has an Ack count of zero.

Transitions from I or S to M



Transitions from I to E

# Adding an Owned (O) State

Suppose a cache has a block in state M or E and receives a GetS

The cache changes the block state from M or E to S and sends the data to **both** the requestor and the memory controller

- Why is it necessary to send the data to the memory controller?

# Adding an Owned (O) State

Owned state indicates that the block is valid, dirty, and shared, and the cache is the owner

- The owner cache does **not** have permission to modify the block, and is responsible for eventually updating memory

## Advantages of MOESI protocol (used in AMD Opteron)

- + Eliminates the extra data message to update the LLC/memory when a cache receives a GetS request in the M or E state
- + Eliminates potentially unnecessary writes to the LLC if the block is written again before being written back to the LLC
- + Allows subsequent requests to be satisfied by the private cache instead of the slower LLC or far-slower memory

# Adding a Forwarding (F) State

Suppose a cache has a block in the S state and receives a GetS or GetM

- GetS is satisfied from the slow LLC/memory
- GetM is responded to by all the sharing caches, bombarding the requestor with redundant responses

# Adding a Forwarding (F) State

The cache holding a shared block  $B$  in the F state is a designated responder for any requests for  $B$

- If any cache holds  $B$  in the S state, **at most one** other cache holds it in the F state
- The most recent requestor of the shared block  $B$  is assigned the F state
- When a cache in the F state responds, it gives up the F state to the new requestor for  $B$  to minimize chances of eviction
- Memory responds in case no cache has the line in F state
  - ▶ Sharer caches may exist but the cache responsible for forwarding responses may have evicted

# Adding a Forwarding (F) State

- Unlike MESI, a read request for a shared block  $B$  always fetches  $B$  in the F state
  - ▶  $B$  switches to the S state only by responding to a read request from another cache transferring the F state to it
- Unlike the F state, the O state indicates a dirty cache line

## Advantages of MESIF protocol (used in Intel i7)

- + Allows faster responses in case of GetS and reduces messages for GetM requests

# Cache Contention



# Types of Cache Contention

Cache line contention arises from two types of read-write data sharing: true sharing and false sharing

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## True Sharing

- Same location is accessed by multiple cores
- Can be fixed only by means of algorithmic changes

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## False Sharing

- Two unrelated locations lie on the same cache line
- Can be fixed by layout modifications (manual or automated)

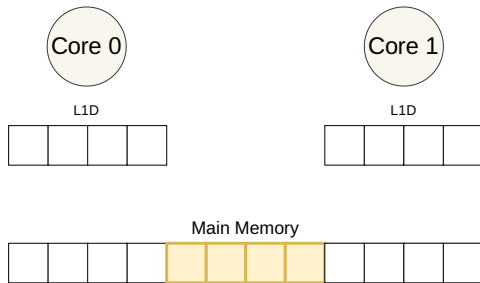
# False Sharing

False sharing is a performance problem in cache-coherent systems

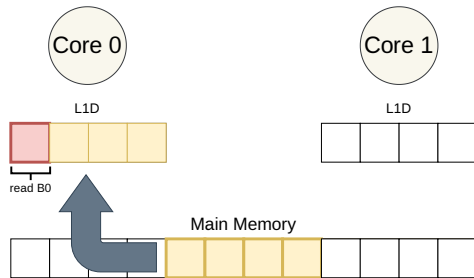
- Cores contend on cache blocks instead of data
- Can arise when threads access global or heap memory, thread-local storage, and local variables can be ignored



# Understanding False Sharing

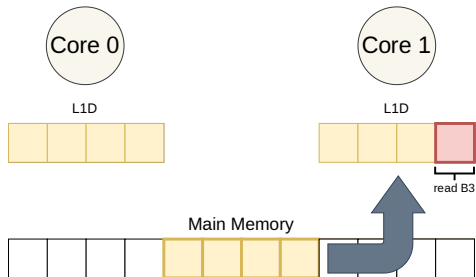


(i) Multicore system setup

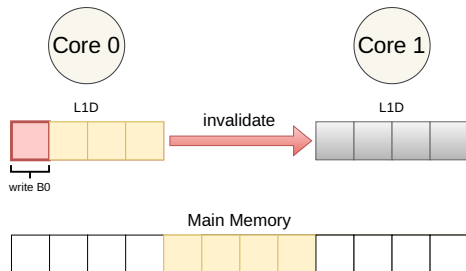


(ii) Core 0 reads block offset B0

# Understanding False Sharing

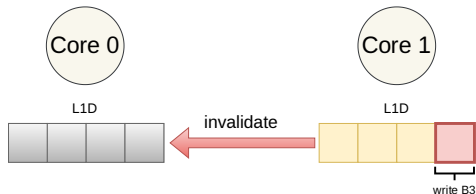


(iii) Core 1 reads block offset B3

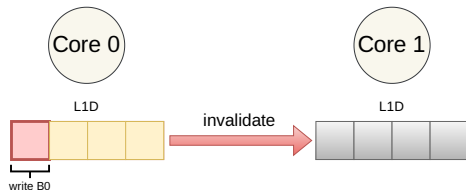


(iv) Core 0 writes block offset B0

# Understanding False Sharing



(v) Core 1 writes block offset B3



(ii) Core 0 writes block offset B0

Cache misses resulting from data sharing across cores are called **coherence** misses (e.g., the write to B3 by Core 1 in (v))

# Impact of False Sharing

```
1  int array[100];

3  void *func(void *param) {
    int index = *((int*)param);
5   for (int i = 0; i < 1000000000; i++)
        array[index]+=1;
7  }

9  int main() {
    int first_elem = 0;
11   int bad_elem = 1;
    int good_elem = 99;
13   pthread_t thread_1;
    pthread_t thread_2;

15   clock_gettime(CLOCK_REALTIME, ...);
17   func((void*)&first_elem);
    func((void*)&bad_elem);
19   clock_gettime(CLOCK_REALTIME, ...);
```

```
22   clock_gettime(CLOCK_REALTIME, ...);
    pthread_create(&thread_1, NULL, func,
                   (void*)&first_elem);
24   pthread_create(&thread_2, NULL, func,
                   (void*)&bad_elem);
26   pthread_join(thread_1, NULL);
    pthread_join(thread_2, NULL);
28   clock_gettime(CLOCK_REALTIME, ...);

30   clock_gettime(CLOCK_REALTIME, ...);
    pthread_create(&thread_1, NULL, func,
                   (void*)&first_elem);
32   pthread_create(&thread_2, NULL, func,
                   (void*)&good_elem);
34   pthread_join(thread_1, NULL);
    pthread_join(thread_2, NULL);
36   clock_gettime(CLOCK_REALTIME, ...);
38 }
```

# Impact of False Sharing

```
1 int array[100];
```

```
3 void *func(void *param) {  
    int index = *((int*)param);
```

```
22 clock_gettime(CLOCK_REALTIME, ...);  
    pthread_create(&thread_1, NULL, func,  
24         (void*)&first_elem);  
    pthread_create(&thread_2, NULL, func,
```

```
swarnendu@vindhya:~/falseSharing$ ./a.out  
array[first_element]: 300000000      array[bad_element]: 200000000      array[good_element]: 100000000
```

```
Time take with false sharing      : 330.773397 ms  
Time taken without false sharing  : 173.216272 ms  
Time taken in Sequential computing : 325.908369 ms
```

```
swarnendu@vindhya:~/falseSharing$ ./a.out  
array[first_element]: 300000000      array[bad_element]: 200000000      array[good_element]: 100000000
```

```
Time take with false sharing      : 326.360157 ms  
Time taken without false sharing  : 176.690517 ms  
Time taken in Sequential computing : 324.763425 ms
```

```
func((void*)&first_elem);  
func((void*)&bad_elem);  
19 clock_gettime(CLOCK_REALTIME, ...);
```

```
38 }
```

# Introducing False Sharing is Easy

```
1 // Global variables accessed by
2 // different threads me and you
3 me = 1;
4 you = 2;
```

```
1 // Heap objects can lie on the
2 // same line
3 me = new Foo();
4 you = new Bar();
```

```
1 // Class/struct fields can lie on
2 // the same line
3 class X {
4     int me;
5     float you;
6 };
```

```
1 // Array accesses by different
2 // threads me and you
3 array[me] = 12;
4 array[you] = 13;
```



# False Sharing in Real Applications

False sharing problems were reported in Linux kernel, JVM, and Boost library



## Seeing through hardware counters: a journey to threefold performance increase



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17K



18



By [Vadim Filanovsky](#) and [Harshad Sane](#)

In one of our previous blogposts, [A Microscope on Microservices](#) we outlined three broad domains of observability (or “levels of magnification,” as we referred to them) — Fleet-wide, Microservice and Instance. We described the tools and techniques we use to gain insight within each domain. There is, however, a class of problems that requires an even stronger level of magnification going deeper down the stack to introspect CPU microarchitecture. In this blogpost we describe one such problem and

# False Sharing Mitigation Techniques

- Compiler optimizations (cache block padding)
  - Inflates memory requirement, can complicate address computations
- Runtime solutions (e.g., use hardware performance counters to detect false sharing)
  - Can miss false sharing instances
- Sub-block coherence or false-sharing-aware coherence protocols
- Cache-conscious programming

# Fixing False Sharing can be Non-trivial

mikaelronstrom.blogspot.com/2012/04/

TUESDAY, APRIL 10, 2012

## MySQL team increases scalability by >50% for Sysbench OLTP RO in MySQL 5.6 labs release april 2012

A MySQL team focused on performance recently met in an internal meeting to discuss and work on MySQL scalability issues. We had gathered specialists on InnoDB and all its aspects of performance including scalability, adaptive flushing and other aspects of InnoDB, we had also participants from MySQL support to help us understand what our customers need and a number of generic specialists on computer performance and in particular performance of the MySQL software.

The fruit of this meeting can be seen in the MySQL 5.6 labs release april 2012 released today. We have a new very interesting solution to the adaptive flushing problem. We also made a significant breakthrough in MySQL scalability. On one of our lab machines we were able to increase performance of the Sysbench OLTP RO test case by more than 50% by working together to find the issues and then quickly coming up with the solution to the issues. Actually in one particular test case we were able to improve MySQL performance by 6x with these scalability fixes.

# Fixing False Sharing can be Non-trivial

Problem is often embedded inside the source code

## False sharing is sensitive to

- Application behavior (e.g., mapping of threads to cores)
- Compiler toolchain (e.g., data layout optimizations and memory allocator)
- Execution environment (e.g., object placements on the cache line, hardware platform with different cache line sizes)

**GCC unintentionally eliminates** false sharing in Phoenix linear\_regression benchmark at certain optimization levels, while LLVM does not do so at any optimization level

# Detect False Sharing With perf c2c

Idea is to check whether loads/stores frequently hit in a remote cache line that is in M state





Input  with false sharing

Compile with `gcc -O0 -g false-sharing.c -pthread`

## Using perf c2c

```
# May need to update /proc/sys/kernel/perf_event_paranoid to -1
# sudo sh -c 'echo 1 >/proc/sys/kernel/perf_event_paranoid'
perf c2c record -F 30000 -u -- ./a.out
perf c2c report -NN -i perf.data --stdio > ./perf-report.out
# Check the analysis report
```

# References

-  V. Nagarajan et al. A Primer on Memory Consistency and Cache Coherence. Chapters 1,2,6–8, 2<sup>nd</sup> edition, Morgan and Claypool.
-  J. Hennessy and D. Patterson. Computer Architecture: A Quantitative Approach. Sections 5.2, 5.4, 6<sup>th</sup> edition, Morgan Kaufmann.
-  A. Gupta et al. Parallel Computer Architecture: A Hardware/Software Approach. Morgan Kaufmann.
-  Mainak Chaudhuri. Cache Coherence. Computer Architecture Summer School, IIT Kanpur, 2018.