

Working of the universal TM  $U$ .

$U$  takes as input an encoding of a TM  $M$  and a string  $x$  and simulates  $M$  on  $x$ .

- halts and accepts if  $M$  halts and accepts  $x$
- halts and rejects if  $M$  halts and rejects  $x$ .
- loops if  $M$  loops on  $x$ .

$U$  simulates  $M$  step by step.

Question. Can we do better than blind simulation?

Eg. if  $M$  halts on  $x$  then simulate  $M$  on  $x$   
if  $M$  does not halt on  $x$  then terminate the simulation and reject.

That is: Build  $U'$  that takes as input  $M \# x$  and

- halts and accepts if  $M$  halts and accepts  $x$
- halts and rejects if  $M$  halts and rejects  $x$ .
- halts and rejects if  $M$  loops on  $x$ .

Thus  $L(U') = L(U) = \underbrace{\{M \# x \mid x \in L(M)\}}_{\text{recursive?}}$

$$HP = \{M \# x \mid M \text{ halts on } x\}$$

Question. Is HP recursive?

Does there exist a total TM  $M$  s.t.  $L(M) = HP$

Answer. No

Question. Is HP recursively enumerable (r.e.)?

Does there exist a TM  $M$  s.t.  $L(M) = HP$ ?

Answer. Yes.

$$MP = \{M \# x \mid x \in L(M)\}$$

Question. Is MP recursively enumerable (r.e.)?

Does there exist a TM  $M$  s.t.  $L(M) = MP$ ?

Answer. Yes

Question. Is MP recursive?

Does there exist a total TM  $M$  s.t.  $L(M) = MP$

Answer. No.

## Cantor's Diagonalization.

$$2^{\mathbb{N}} = \{A \mid A \subseteq \mathbb{N}\}$$

Claim. There does not exist a function  $f: \mathbb{N} \rightarrow 2^{\mathbb{N}}$  that is onto. (surjective)

Proof. Suppose there exist such an onto function  $f$ .

	0	1	2	3	4	5	6	7	8	...
$f(0)$	1	0	1	1	0	0	0	1	1	...
$f(1)$	0	0	1	1	0	1	0	0	0	...
$f(2)$	1	1	0	0	0	1	1	0	0	...
$f(3)$	0	0	1	0	1	0	0	1	1	...
$f(4)$	0	1	0	1	1	1	1	1	0	...
$\vdots$										

$i^{\text{th}}$  row describes the set  $f(i)$

$$(10001\dots) = (01110\dots) = \{1, 2, 3, \dots\}$$

The general argument for any set  $A$ .

$$f: A \rightarrow 2^A \quad ; \quad \text{Let } B = \{x \in A \mid x \notin f(x)\}$$

Then  $B \subseteq A$

Since  $f$  is onto,  $\exists y \in A$  st  $f(y) = B$ .

Question. Is  $y \in f(y)$ ?

$$y \in f(y) \quad \text{iff} \quad y \in B \quad (\text{Since } B = f(y))$$

$$\text{iff } y \notin f(y) \quad (\text{Definition of } B)$$

So no such  $f$  exists.

Theorem. HP is not recursive.

For  $x \in \{0,1\}^*$ , let  $M_x$  denote the TM with input alphabet  $\{0,1\}$  whose encoding is  $x$ .

	$\epsilon$	0	1	00	01	11	000	001	--
$M_\epsilon$	H	L	H	H	L	L	L	H	--
$M_0$	L	H	L	L	H	L	H	H	
$M_1$	H	L	H	H	L	H	L	H	
$M_{00}$	L	L	L	H	L	H	H	H	
$M_{01}$	H	H	L	H	H	L	L	L	
$M_{11}$	L	L	H	H	H	L	H	L	
$M_{000}$	L	H	L	H	L	H	H	L	
$M_{001}$	H	L	H	H	L	H	H	H	
$\vdots$									

$-x^{\text{th}}$  row describes for input  $y$  if  $M_x$  halts on  $y$ .

	$\epsilon$	0	1	00	01	11	000	001	--
$M_\epsilon$	H	L	H	H	L	L	L	H	--
$M_0$	L	H	L	L	H	L	H	H	
$M_1$	H	L	H	H	L	H	L	H	
$M_{00}$	L	L	L	H	L	H	H	H	
$M_{01}$	H	H	L	H	H	L	L	L	
$M_{11}$	L	L	H	H	H	L	H	L	
$M_{000}$	L	H	L	H	L	H	H	L	
$M_{001}$	H	L	H	H	L	H	H	H	

Suppose  $\exists$  a total TM  $K$  such that  $L(K) = HP$

For any  $x$  and  $y$ ,  $K$  can determine the entry in the  $(x,y)^{th}$  cell in the above table.

On input  $M\#x$ ,

- $K$  halts and accepts if  $M$  halts on  $x$
- $K$  halts and rejects if  $M$  loops on  $x$

Consider a TM  $N$  that on input  $x \in \{0,1\}^*$  does the following:

- 1) Constructs  $M_x$  from  $x$  and writes  $M_x\#x$  on its tape
- 2) Runs  $K$  on input  $M_x\#x$ , accepting if  $K$  rejects and going into a trivial loop if  $K$  accepts.

For any  $x \in \{0,1\}^*$ ,  $N$  halts on  $x$  iff  $K$  rejects  $M\#x$   
iff  $M_x$  loops on  $x$ .

That is,  $N$  is different from every  $M_x$  on at least one string - the string  $x$ .  
This gives a contradiction.

Theorem.  $MP$  is not recursive.

Suppose  $\exists$  a total TM  $K$  s.t.  $L(K) = MP$ .

Given a TM  $M$  and input  $x$ . To check if  $M$  halts on  $x$   
Build a new TM  $N_M$  that does the following.

- Similar to  $M$ ,  $N_M$  accepts if  $M$  accepts or rejects.

For all  $x \in \Sigma^*$ ,  $N_M$  accepts  $x$  iff  $M$  halts on  $x$ .

For any  $m$  &  $x$ , to check if  $m$  halts on  $x$

Construct  $N_m$  and run  $K$  on input  $N_m \# x$

By assumption  $K$  is a total TM. But then we

can construct a total TM  $K'$  s.t.  $L(K') = HP$

This is a contradiction.