

## MODULE 1

### System Software

- System Software consists of a variety of programs that support the operation of a computer. System software is used for operating computer hardware.
- The programs implemented in either software and (or) firmware that makes the computer hardware usable.
- The software makes it possible for the users to focus on an application or other problem to be solved, without needing to know the details of how the machine works internally
- .– Example: BIOS (Basic Input Output System)

**Application software** deals with the specific tasks for the user. These are created to solve various types of computing problems. Application software includes packaged software like word processing, programming languages such as BASIC, COBOL, C, Visual Basic, and other commercial and custom software.

Basis for Comparison	System Software	Application Software
Basic	System Software manages system resources and provides a platform for application software to run.	Application Software, when run, perform specific tasks, they are designed for.
Language	System Software is written in a low-level language, i.e. assembly language.	Application Software is written in a high-level language like Java, C++, .net, VB, etc.
Run	System Software starts running when the system is turned on, and runs till the system is shut down.	Application Software runs as and when the user requests.
Requirement	A system is unable to run without system software.	Application software is even not required to run the system; it is user specific.
Purpose	System Software is general-purpose.	Application Software is specific-purpose.
Examples	Operating system.	Microsoft Office, Photoshop, Animation Software, etc.

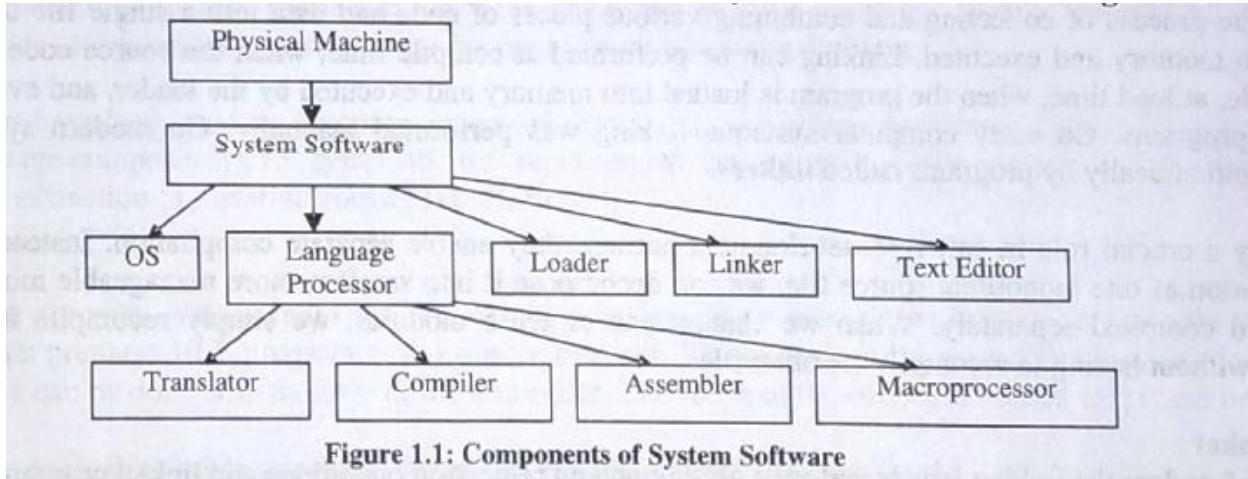
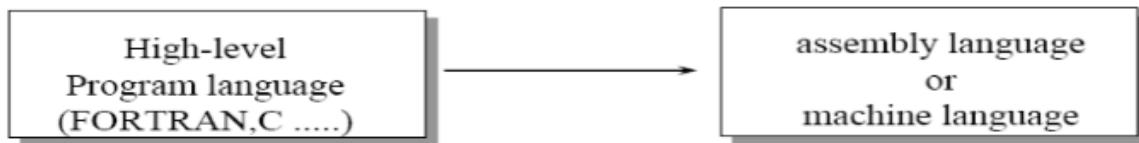


Figure 1.1: Components of System Software

### **Compiler:**

is a program whose task is to accept as input a source program written in a certain high-level language and to produce as output an object code or assembly code.



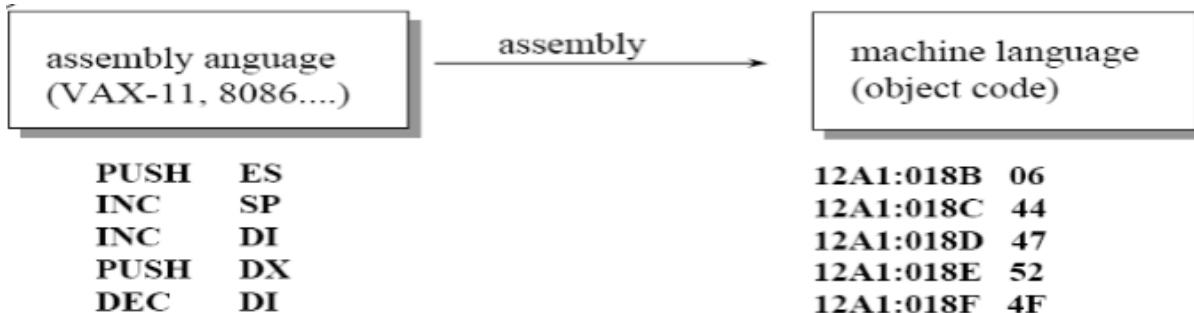
<pre>#include &lt;stdio.h&gt; main() {     printf("Compiler Design Theory\n"); }</pre>	<pre>_main      proc            push            mov            push            call            pop near ds ax,offset,GROUP:s@ ax near ptr_printf ex</pre>
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### **•Interpreter:**

is a program that ultimately performs the same function as a compiler, but in a different manner. It works by scanning through the source program instruction by instruction. As each instruction is encountered, the interpreter translates it into machine code and executes it directly.

### **•Assembler:**

is a program that automatically translates the source program written in assembly language and to produce as output an object code written in binary machine code.



- **Linker:**

The Assembler generates the object code of a source program and hands it over to the linker. The linker takes this object code and generates the **executable code** for the program, and hand it over to the Loader.

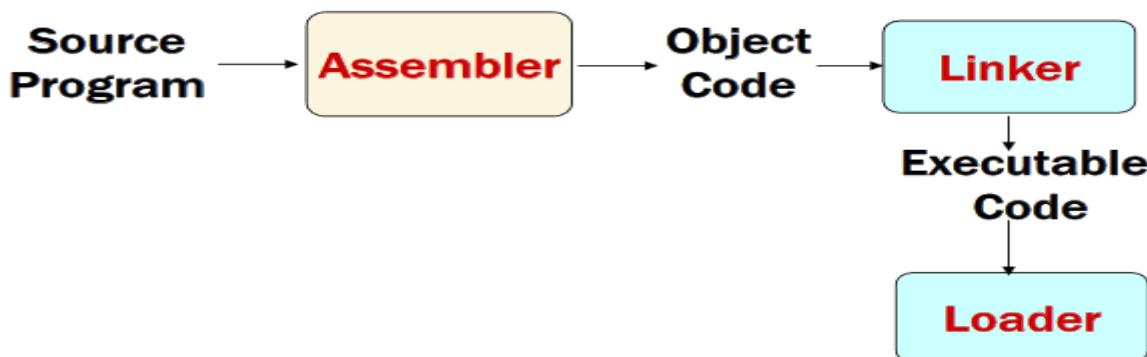
**Linking loader:** Performs all linking and relocation operations.

**Linkage editor:** Produces a linked version of the program, which is normally written to a file or library for later execution.

**Dynamic Linker :** Dynamic linker performs dynamic loading or load on call.

- **Loader:**

(is a routine that) loads an object program into memory of the processor and prepares it for execution. It calculates the size of a program (instructions and data) and create memory space for it. It initializes various registers to initiate execution.



- **Macro Processor**

A macro processor is a program that copies a stream of text from one place to another, making a systematic set of replacements as it does so. Macro processors are often embedded in other programs, such as assemblers and compilers.

#define pi 3.14

Two new assembler directives are used in macro definition

- MACRO: identify the beginning of a macro definition
- MEND: identify the end of a macro definition

- **Debuggers**

A debugger is a computer program used by programmers to test and debug a target program. Debuggers may use instruction-set simulators, rather than running a program directly on the processor to achieve a higher level of control over its execution. This allows debuggers to stop or halt the program according to specific conditions.

- **Device Driver**

A device driver is a program that controls a particular type of device that is attached to your computer. There are device drivers for printers, displays, CD-ROM readers, diskette drives, and so on.

## **The Simplified Instructional Computer (SIC)**

- SIC is a hypothetical computer that includes the hardware features most often found on real machines.
- Designed to be similar to real computers
- Is a virtual machine

### **Two versions of SIC**

- standard model (SIC)
- extension version (SIC/XE)
  - Upward compatible- Programs for SIC can run on SIC/XE

## **Characteristics of SIC -SIC Architecture**

## 1. Memory

- $2^{15}$  (32,768) bytes in the computer memory
- 3 consecutive bytes form a word
- Memory consists of 8-bit bytes
- Any 3 consecutive bytes form a word (24 bits)

## 2. Registers

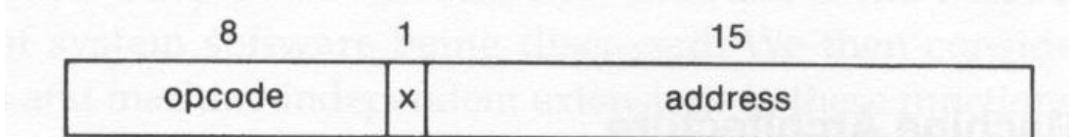
- 5 registers
- Each a full word ( 24-bits) registers

Mnemonic	Number	Special use
A	0	Accumulator; used for arithmetic operations
X	1	Index register; used for addressing
L	2	Linkage register; the Jump to Subroutine (JSUB) instruction stores the return address in this register
PC	8	Program counter; contains the address of the next instruction to be fetched for execution
SW	9	Status word; contains a variety of information, including a Condition Code (CC)

## 3. Data Formats

- Integers are stored as 24-bit binary number
- 2's complement representation for negative values
- Characters are stored using 8-bit ASCII codes
- No floating-point hardware on the standard SIC

## 4. Instruction Formats



x: indicate indexed-addressing mode

## 5. Addressing Modes

Mode	Indication	Target address calculation
Direct	$x = 0$	$TA = \text{address}$
Indexed	$x = 1$	$TA = \text{address} + (X)$

(X): the contents of register X

## 6. Instruction Set

- a) Load and store registers

**LDA, LDX, STA, STX, etc.**

- b) Integer arithmetic operations

**ADD, SUB, MUL, DIV**

All arithmetic operations involve register A and a word in memory, with the result being left in A.

- c) COMP

**COMP** – compares A with a word in memory  
 Sets the CC in the SW

- d) Jump instructions

**JLT – Jump Less Than**  
**JGT – Jump Greater Than**  
**JEQ – Jump Equal to**

These instructions test the setting of CC (as set by COMP) and jump accordingly

## 7. Subroutine linkage

- **JSUB** - jumps to the subroutine, placing the return address in register L
- **RSUB** - returns by jumping to the address contained in register L

## 8. Input/Output

- **Test Device instruction (TD)** The Test Device (TD) instruction tests whether the addressed device is ready to send or receive a byte of data.
  - CC of < means device is ready
  - CC of = means device is not ready
- Read Data (RD) – read data, when the device is ready
- Write Data (WD) write data

Input and output are performed by transferring 1 byte at a time to or from the rightmost 8 bits of register A

- i) WORD – ONE-WORD CONSTANT
- ii) RESW – ONE-WORD VARIABLE
- iii) BYTE – ONE-BYTE CONSTANT
- iv) RESB – ONE-BYTE VARIABLE

## 1. SIC Programming Examples -- Data movement

<b>LDA</b>	<b>FIVE</b>	<b>Load 5 into register A</b>
<b>STA</b>	<b>ALPHA</b>	<b>Store A into ALPHA</b>
<b>LDCH</b>	<b>CHARZ</b>	<b>Load character ‘Z’ into A</b>
<b>STCH</b>	<b>C1</b>	<b>Store into C1</b>

<b>ALPHA</b>	<b>RESW</b>	<b>1</b>	<b>one word variable</b>
<b>FIVE</b>	<b>WORD</b>	<b>5</b>	<b>one word constant</b>
<b>CHARZ</b>	<b>BYTE</b>	<b>C'Z'</b>	<b>one byte constant</b>
<b>C1</b>	<b>RESB</b>	<b>1</b>	<b>one byte variable</b>

## 2. SIC Programming Example-- Arithmetic operation

LDA	ALPHA	LOAD ALPHA INTO REGISTER A
ADD	INCR	ADD THE VALUE OF INCR
SUB	ONE	SUBTRACT 1
STA	BETA	STORE IN BETA
LDA	GAMMA	LOAD GAMMA INTO REGISTER A
ADD	INCR	ADD THE VALUE OF INCR
SUB	ONE	SUBTRACT 1
STA	DELTA	STORE IN DELTA

ONE	WORD	1	ONE-WORD CONSTANT
.			ONE-WORD VARIABLES
ALPHA	RESW	1	
BETA	RESW	1	
GAMMA	RESW	1	
DELTA	RESW	1	
INCR	RESW	1	

(a)

BETA=ALPHA+INCR-ONE

DELTA=GAMMA+INCR-ONE

All arithmetic operations are performed using register A, with the result being left in register A.

## 3. SIC Programming Example -- Looping and indexing

	<b>LDX</b>	<b>ZERO</b>	initialize index register to 0
<b>MOVECH</b>	<b>LDCH</b>	<b>STR1,X</b>	load char from STR1 to reg A
	<b>STCH</b>	<b>STR2,X</b>	
	<b>TIX</b>	<b>ELEVEN</b>	add 1 to index, compare to 11
	<b>JLT</b>	<b>MOVECH</b>	loop if “less than”
	.		
	.		
	.		
<b>STR1</b>	<b>BYTE</b>	C' TEST STRING'	
<b>STR2</b>	<b>RESB</b>	11	
<b>ZERO</b>	<b>WORD</b>	0	
<b>ELEVEN</b>	<b>WORD</b>	11	

#### 4. SIC Programming Example -Input and output

<b>INLOOP</b>	<b>TD</b>	<b>INDEV</b>	test input device
	<b>JEQ</b>	<b>INLOOP</b>	loop until device is ready (<)
	<b>RD</b>	<b>INDEV</b>	read one byte into register A
	<b>STCH</b>	<b>DATA</b>	
	.		
	.		
<b>OUTLP</b>	<b>TD</b>	<b>OUTDEV</b>	test output device
	<b>JEQ</b>	<b>OUTLP</b>	loop until device is ready (<)
	<b>LDCH</b>	<b>DATA</b>	
	<b>WD</b>	<b>OUTDEV</b>	write one byte to output device
	.		
	.		
<b>INDEV</b>	<b>BYTE</b>	X' F1'	input device number
<b>OUTDEV</b>	<b>BYTE</b>	X' 05'	output device number
<b>DATA</b>	<b>RESB</b>	1	

# SIC/XE Machine Architecture

## 1. Memory

- $2^{20}$  (1MB) in the computer memory
- 3 consecutive bytes form a word
- Byte Addressable
- Any 3 consecutive bytes form a word (24 bits)

## 2. Registers

- 5 registers of SIC + 4 additional
- Each a full word
- Each a full word ( 24-bits) registers

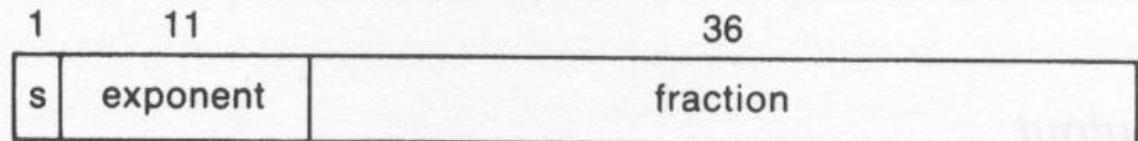
Mnemonic	Number	Special use
B	3	Base register; used for addressing
S	4	General working register—no special use
T	5	General working register—no special use
F	6	Floating-point accumulator (48 bits)

## 3. Data Formats

- Integers are stored as 24-bit binary number
- 2's complement representation for negative values
- Characters are stored using 8-bit ASCII codes
- Floating point – 48 bit floating point

### *Floating point*

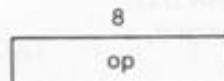
- the exponent is e and the fraction is f
- The number is  $f * 2^{(e+1024)}$
- frac: 0~1
- exp: 0~2047



## 4. Instruction Formats

No memory reference

Format 1 (1 byte):



Format 2 (2 bytes):



Relative addressing

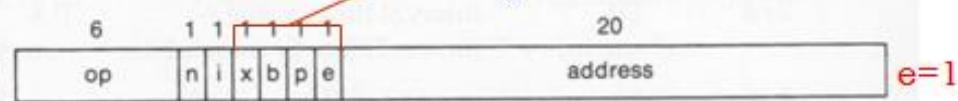
Format 3 (3 bytes):



e=0

Extended address field

Format 4 (4 bytes):



e=1

- Format 2 – 16 bit (2 bytes)

ADDR T,A

, COMPR A,S (Compare the contents of register A and S).

Opcode      A      S

1010    0000    0000    0100

Mode

Indication

Target address calculation

Direct

x = 0

TA = address

Indexed

x = 1

TA = address + (X)

- Base Relative Addressing Mode

	n	i	x	b	p	e	
opcode	1	1		1	0		disp

$n=1, i=1, b=1, p=0, TA=(B)+\text{disp}$  ( $0 \leq \text{disp} \leq 4095$ )

- Program-Counter Relative Addressing Mode

	n	i	x	b	p	e	
opcode	1	1		0	1		disp

$n=1, i=1, b=0, p=1, TA=(PC)+\text{disp}$  ( $-2048 \leq \text{disp} \leq 2047$ )

- Direct Addressing Mode

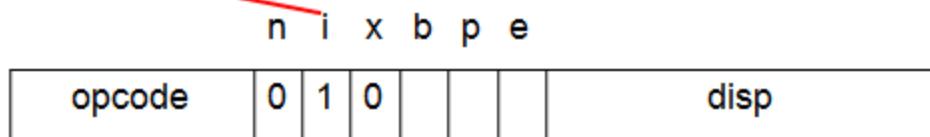
	n	i	x	b	p	e	
opcode	1	1		0	0		disp

$n=1, i=1, b=0, p=0, TA=\text{disp}$  ( $0 \leq \text{disp} \leq 4095$ )

	n	i	x	b	p	e	
opcode	1	1	1	0	0		disp

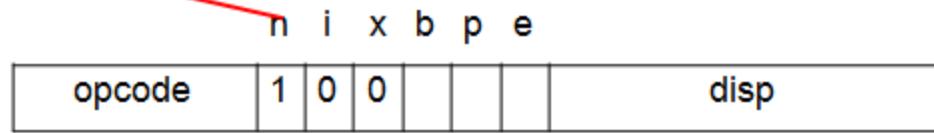
$n=1, i=1, b=0, p=0, TA=(X)+\text{disp}$   
(with index addressing mode)

### ♦ Immediate Addressing Mode



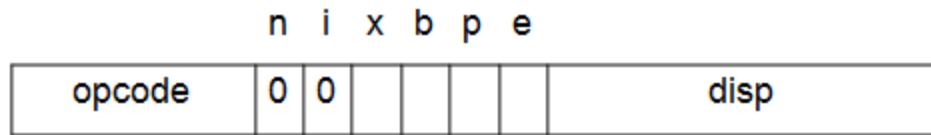
n=0, i=1, x=0, operand=disp

### ♦ Indirect Addressing Mode



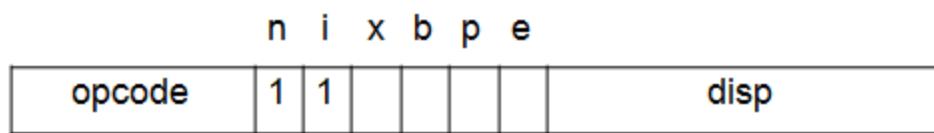
n=1, i=0, x=0, TA=(disp)

### ♦ Simple Addressing Mode



i=0, n=0, TA=bpe+disp (SIC standard)

opcode+n+i = SIC standard opcode (8-bit)



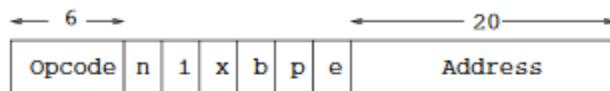
i=1, n=1, TA=disp (SIC/XE standard)

- Bits x,b,p,e: how to calculate the target address
  - relative, direct, and indexed addressing modes
- Bits i and n: how to use the target address (TA)
  - i=1, n=0: immediate addressing
    - TA is used as the operand value, no memory reference
  - i=0, n=1: indirect addressing
    - The word at the TA is fetched

- Value in this word is taken as the address of the operand value
- $i=0, n=0$  (in SIC), or
- $i=1, n=1$  (in SIC/XE): simple addressing
  - TA is taken as the address of the operand value
- Any of these addressing modes can also be combined with indexed addressing.
 

- Direct	$b=0, p=0$	$TA=disp$
- Index	$x=1$	$TA_{new} = TA_{old} + (X)$
- Index+Base relative	$x=1, b=1, p=0$	$TA=(B)+disp+(X)$
- Index+PC relative	$x=1, b=0, p=1$	$TA=(PC)+disp+(X)$
- Index+Direct	$x=1, b=0, p=0$	
- Format 4	$e=1$	

#### 4-Byte format:



#### Notes:

- Bits b and p are always 0. (Thus, 4-byte format does not allow relative addressing.)
- Bit e is always 1 (to distinguish between 3-byte and 4-byte instructions).

## 5. Addressing Modes

Mode	Indication	Target address calculation
Direct	$x = 0$	$TA = \text{address}$
Indexed	$x = 1$	$TA = \text{address} + (X)$

- Base relative ( $n=1, i=1, b=1, p=0$ )
- Program-counter relative ( $n=1, i=1, b=0, p=1$ )
- Direct ( $n=1, i=1, b=0, p=0$ )
- Immediate ( $n=0, i=1, x=0$ )
- Indirect ( $n=1, i=0, x=0$ )
- Indexing (both  $n & i = 0$  or  $1, x=1$ )
- Extended ( $e=1$  for format 4,  $e=0$  for format 3)

## 6. Instruction Set

### Instruction Set

- Instructions to load and store the new registers
  - LDB, STB, etc.
- Floating-point arithmetic operations
  - ADDF, SUBF, MULF, DIVF
- Register move instruction
  - RMO
- Register-to-register arithmetic operations
  - ADDR, SUBR, MULR, DIVR
- Supervisor call instruction
  - SVC
- Input and Output
  - There are I/O channels that can be used to perform input and output while the CPU is executing other instructions
  - RMO S,B
    - Register S content is moved to Register B
  - ADDR S,B
    - Add value of S with B and store in Register B
  - IO channels
    - Perform IO while CPU is executing other instructions
    - Three instructions:

- SIO: start the operation of IO channel
- TIO: test the operation of IO channel
- HIO: halt the operation of IO channel

## SIC/XE Programming Example

SIC version	SIC/XE version
<pre> LDA    FIVE STA    ALPHA LDCH   CHARZ STCH   C1 . . . ALPHA  RESW   1 FIVE   WORD    5 CHARZ  BYTE    C'Z' C1     RESB   1 </pre>	<pre> LDA    #5 STA    ALPHA LDCH   #90 STCH   C1 . . . ALPHA  RESW   1 C1     RESB   1 </pre>

ASCI Value of 'Z' 90

Indirect addressing indicated by '@':

JEQ @RADDR

Immediate operands are indicated by '#':

LDA #50

## Addition and Subtraction in SIC\XE

```
LDS    INCR
LDA    ALPHA      BETA=ALPHA+INCR-1
ADDR   S,A
SUB    #1
STA    BETA
LDA    GAMMA     DELTA=GAMMA+INCR-1
ADDR   S,A
SUB    #1
STA    DELTA
...
...
ALPHA  RESW  1      one-word variables
BETA   RESW  1
GAMMA  RESW  1
DELTA  RESW  1
INCR   RESW  1
```

- ◆ Looping and indexing: copy one string to another

	LDT	#11	initialize register T to 11
	LDX	#0	initialize index register to 0
MOVECH	LDCH	STR1,X	load char from STR1 to <del>reg</del> A
	STCH	STR2,X	store char into STR2
	TIXR	T	add 1 to index, compare to 11
	JLT	MOVECH	loop if “less than” 11
	.		
	.		
	.		
STR1	BYTE	C' TEST STRING'	
STR2	RESB	11	

## SIC/XE Subroutine Example

	JSUB	READ	CALL READ SUBROUTINE
	.	.	
	.	.	
	.	.	
READ	LDX	#0	SUBROUTINE TO READ 100-BYTE RECORD
	LDT	#100	INITIALIZE INDEX REGISTER TO 0
RLOOP	TD	INDEV	INITIALIZE REGISTER T TO 100
	JEQ	RLOOP	TEST INPUT DEVICE
	RD	INDEV	LOOP IF DEVICE IS BUSY
	STCH	RECORD,X	READ ONE BYTE INTO REGISTER A
	TIXR	T	STORE DATA BYTE INTO RECORD
	JLT	RLOOP	ADD 1 TO INDEX AND COMPARE TO 100
	RSUB		LOOP IF INDEX IS LESS THAN 100
	.	.	EXIT FROM SUBROUTINE
	.	.	
	.	.	
INDEV	BYTE	X'F1'	INPUT DEVICE NUMBER
RECORD	RESB	100	100-BYTE BUFFER FOR INPUT RECORD

## SIC/XE Input and Output Operation

INLOOP	TD	INDEV	TEST INPUT DEVICE
	JEQ	INLOOP	LOOP UNTIL DEVICE IS READY
	RD	INDEV	READ ONE BYTE INTO REGISTER A
	STCH	DATA	STORE BYTE THAT WAS READ
	.	.	
	.	.	
OUTLP	TD	OUTDEV	TEST OUTPUT DEVICE
	JEQ	OUTLP	LOOP UNTIL DEVICE IS READY
	LDCH	DATA	LOAD DATA BYTE INTO REGISTER A
	WD	OUTDEV	WRITE ONE BYTE TO OUTPUT DEVICE
	.	.	
	.	.	
INDEV	BYTE	X'F1'	INPUT DEVICE NUMBER
OUTDEV	BYTE	X'05'	OUTPUT DEVICE NUMBER
DATA	RESB	1	ONE-BYTE VARIABLE

- **Direct addressing** A
  - E.g. LDA ZERO
- **Immediate addressing** #A
  - E.g. LDA #0
- **Indexed addressing** A, X
  - E.g. STCH BUFFER, X
- **Indirect addressing** @A
  - E.g J @RETADR

## SIC Program to swap the values of ALPHA and BETA

<b>Code</b>	<b>Description</b>
LDA ALPHA	Load the value of ALPHA in Accumulator
STA GAMMA	Store the value of Accumulator to GAMMA
LDA BETA	Load the value of BETA to Accumulator
STA ALPHA	Store the value of Accumulator to ALPHA
LDA GAMMA	Load the value of GAMMA to Accumulator
STA BETA	Store the value of Accumulator to BETA
ALPHA RESW 1	Reserve 1 word for ALPHA
BETA RESW 1	Reserve 1 word for BETA
GAMMA RESW 1	Reserve 1 word for GAMMA

**Write a sequence of instructions for SIC to ALPHA equal to the product of BETA and GAMMA.**

Assembly Code:

```

LDA BETA
MUL GAMMA
STA ALPHA
:
:
ALPHA RESW 1

```

BETA RESW 1  
GAMMA RESW 1

**Write a sequence of instructions for SIC to set ALPHA equal to the integer portion of BETA ÷ GAMMA**

Assembly Code:

```
LDA BETA
DIV GAMMA
STA ALPHA
:
:
ALPHA RESW 1
BETA RESW 1
GAMMA RESW 1
```

**Write a sequence of instructions for SIC/XE to divide BETA by GAMMA, setting ALPHA to the integer portion of the quotient and DELTA to the remainder. Use register-to-register instructions to make the calculation as efficient as possible.**

Assembly Code:

```
LDA BETA
LDS GAMMA
DIVR S, A
STA ALPHA
MULR S, A
LDS BETA
SUBR A, S
STS DELTA
:
:
ALPHA      RESW    1
BETA       RESW    1
```

```
GAMMA      RESW    1  
DELTA      RESW    1
```

**Write a sequence of instructions for SIC/XE to set ALPHA equal to 4\*BETA - 9.**

```
LDA BETA  
LDS #4  
  
MULR S,A  
  
SUB #9  
  
STA ALPHA  
  
ALPHA  RESW    1
```

**Write a sequence of instructions for SIC/XE to clear a 20-byte string to all blanks.**

Assembly Code:

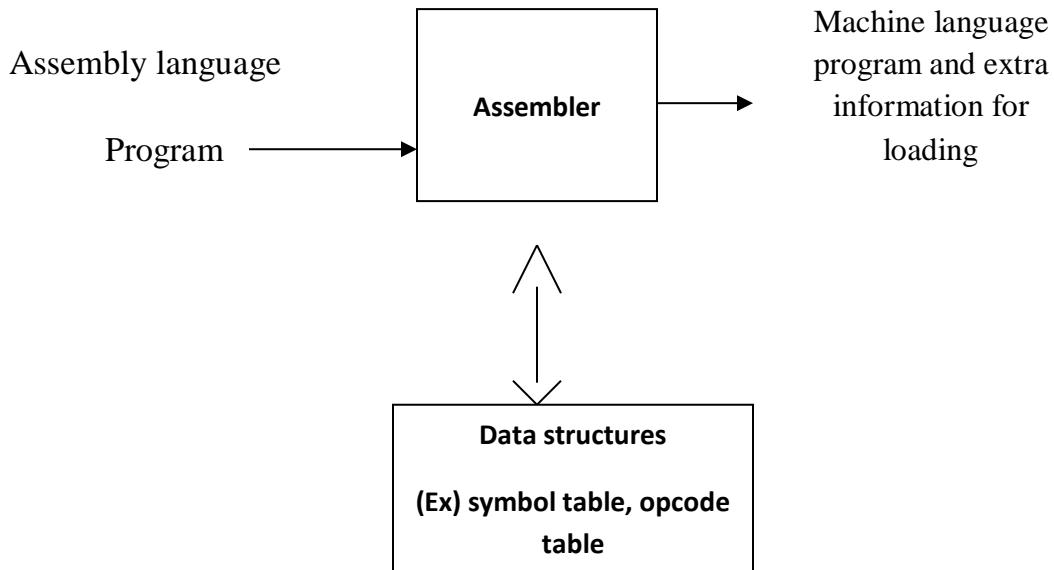
```
LOOP      LDX  ZERO  
          LDCH BLANK  
          STCH STR1,X  
          TIX   TWENTY  
          JLT   LOOP  
:  
:  
STR1    RESW  20  
BLANK   BYTE   C ''  
ZERO    WORD   0  
TWENTY  WORD   20
```

**Assembler directives**

- Assembler directives are instructions that direct the assembler to do something.
- Directives do many things; some tell the assembler to set aside space for variables, others tell the assembler to include additional source files, and others establish the start address for your program.
- SIC Assembler Directive:
  - START: Specify name & starting address.
  - END: End of the program, specify the first execution instruction.
  - BYTE, WORD, RESB, RESW
- These statements are not translated into machine instructions.
- Instead, they provide instructions to the assembler itself.

## MODULE 2

Assembly language is converted into executable machine code by a utility program referred to as an assembler. An assembler is system software that accepts an assembly language program as its input and produces its machine language equivalent along with information for the loader as its output. It is a translator that converts the assembly language program into machine language program. The structure of the assembler is given as



### Assembly language program

The sequence of instructions to the assembler is called as assembly language program that uses set of mnemonics. The format of the instruction varies from system to system based on the machine architecture. In SIC, the format of the assembly language instruction is given as

Label	Opcode or Mnemonics	Operands
-------	---------------------	----------

(Ex)	FIRST CLOOP	SLT JSUB LDA RSUB	RETADR RDREC LENGTH
------	----------------	----------------------------	---------------------------

## **Basic Assembler Directives**

- Assembler directives are pseudo instructions
- They provide instructions to the assembler itself
- They are not translated into machine operation codes

The Assembler directives are:

- START:Specify name & starting address for the program.
  - END: Indicate the end of the source program and specify the first execution instruction in the program.
  - BYTE: Generate character or hexadecimal constant, occupying as many bytes as needed to represent the constant.
  - EQU: Symbol      EQU      value
- When the assembler encounters the EQU statement, it enters “symbol” into SYMTAB with the value of “symbol”
- WORD: Generate one-word integer constant.
- RESB: Reserves the indicated number of bytes for a data area.
- RESW: Reserves the indicated number of words for a data area.
- End of record: a null char (00).
- End of file: a zero length record.

## **Assembler language program for basic SIC version**

The example program considered has a main module and two subroutines.

Purpose of example program -

- Reads records from input device (code F1)
- Copies them to output device (code 05)
- At the end of the file, writes EOF on the output device, then RSUB to the operating system

<b>Line</b>	<b>Loc</b>	<b>Source statement</b>			<b>Object code</b>
5	1000	COPY	START	1000	
10	1000	FIRST	STL	RETADR	141033
15	1003	CLOOP	JSUB	RDREC	482039
20	1006		LDA	LENGTH	001036
25	1009		COMP	ZERO	281030
30	100C		JEQ	ENDFIL	301015
35	100F		JSUB	WRREC	482061
40	1012		J	CLOOP	3C1003
45	1015	ENDFIL	LDA	EOF	00102A
50	1018		STA	BUFFER	0C1039
55	101B		LDA	THREE	00102D
60	101E		STA	LENGTH	0C1036
65	1021		JSUB	WRREC	482061
70	1024		LDL	RETADR	081033
75	1027		RSUB		4C0000
80	102A	EOF	BYTE	C' EOF'	454F46
85	102D	THREE	WORD	3	000003
90	1030	ZERO	WORD	0	000000
95	1033	RETADR	RESW	1	
100	1036	LENGTH	RESW	1	
105	1039	BUFFER	RESB	4096	

**Figure 1: Assembler language program**

- Data transfer (RD, WD)
  - A buffer is used to store record
  - Buffering is necessary for different I/O rates
  - The end of each record is marked with a null character (00) hexadecimal.
  - The end of the file is indicated by a zero-length record

The main routine calls subroutines:

- **RDREC** – To read a record into a buffer.
- **WRREC** – To write the record from the buffer to the output device.

The end of each record is marked with a null character (hexadecimal 00).

110					
115				SUBROUTINE TO READ RECORD INTO BUFFER	
120					
125	2039	RDREC	LDX	ZERO	041030
130	203C		LDA	ZERO	001030
135	203F	RLOOP	TD	INPUT	E0205D
140	2042		JEQ	RLOOP	30203F
145	2045		RD	INPUT	D8205D
150	2048		COMP	ZERO	281030
155	204B		JEQ	EXIT	302057
160	204E		STCH	BUFFER,X	549039
165	2051		TIX	MAXLEN	2C205E
170	2054		JLT	RLOOP	38203F
175	2057	EXIT	STX	LENGTH	101036
180	205A		RSUB		4C0000
185	205D	INPJT	BYTE	X'F1'	F1
190	205E	MAXLEN	WORD	4096	001000
195					
200				SUBROUTINE TO WRITE RECORD FROM BUFFER	
205					
210	2061	WRREC	LDX	ZERO	041030
215	2064	WLOOP	TD	OUTPUT	E02079
220	2067		JEQ	WLOOP	302064
225	206A		LDCH	BUFFER,X	509039
230	206D		WD	OUTPUT	DC2079
235	2070		TIX	LENGTH	2C1036
240	2073		JLT	WLOOP	382064
245	2076		RSUB		4C0000
250	2079	OUTPUT	BYTE	X'05'	05
255			END	FIRST	

**Figure 2: Assembler language program for basic SIC version with Object code**

1. The column “Loc” in the example program(Figure 1: Assembler language program) gives the machine address in hexadecimal for each and every line of the assembled program.
2. The program starts at address 1000 (it’s only assumption – for simple SIC machine the starting address will always be assumed and the value will not be 0).
3. The translation of source program to object code requires the following functions:
  - a. Convert mnemonic operation codes to their machine language equivalents. Eg: Translate STL to 14 (line 10).

- b. Convert symbolic operands to their equivalent machine addresses. Eg:Translate RETADR to 1033 (line 10).
  - c. Build the machine instructions in the proper format.
  - d. Convert the data constants specified in the source program into their internal machine representations. Eg: Translate EOF to 454F46(line 80).
  - e. Write the object program and the assembly listing.
4. All the statements in the program except statement 2 can be established by sequential processing of source program one line at a time.

Consider the statement

10	1000	FIRST	STL	RETADR	141033
----	------	-------	-----	--------	--------

- 5. This instruction contains a **forward reference** (i.e.) - a reference to a label (RETADR) that is defined later in the program.
- 6. It is unable to process this line because the address that will be assigned to RETADR is not known.
- 7. Hence most assemblers make two passes over the source program.
- 8. The first pass does little more than scan the source program for label definitions and assign address, such as those in the Loc column,
- 9. The second pass performs most of the actual translation.
- 10. The assembler must also process statements called **assembler directives or pseudo instructions** which are not translated into machine instructions. Instead they provide instructions to the assembler itself. Examples: RESB and RESW instruct the assembler to reserve memory locations without generating data values.
- 11. The assembler must write the generated object code onto some output device. This object program will later be loaded into memory for execution.

#### **Object program format contains three types of records:**

- **Header record:** Contains the program name, starting address and length.
- **Text record:** Contains the machine code and data of the program.

- **End record:** Marks the end of the object program and specifies the address in the program where execution is to begin.

**Record format is as follows:**

**Header record:**

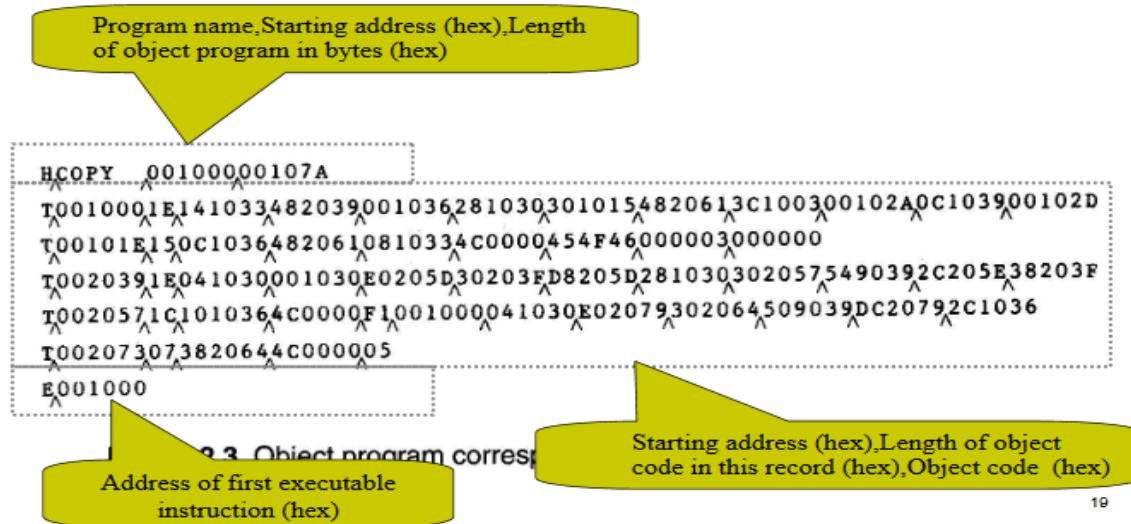
Col. 1	H
Col.2-7	Program name
Col.8-13	Starting address of object program
Col.14-19	Length of object program in bytes

**Text record:**

Col.1	T
Col.2-7	Starting address for object code in this record
Col.8-9	Length of object code in this record in bytes
Col 10-69	Object code, represented in hexadecimal (2 columns per byte of object code)

**End record:**

Col.1	E
Col.2-7	Address of first executable instruction in object program.



The assembler design can be done:

- Single pass assembler

- Multi-pass assembler

### **Single-pass Assembler:**

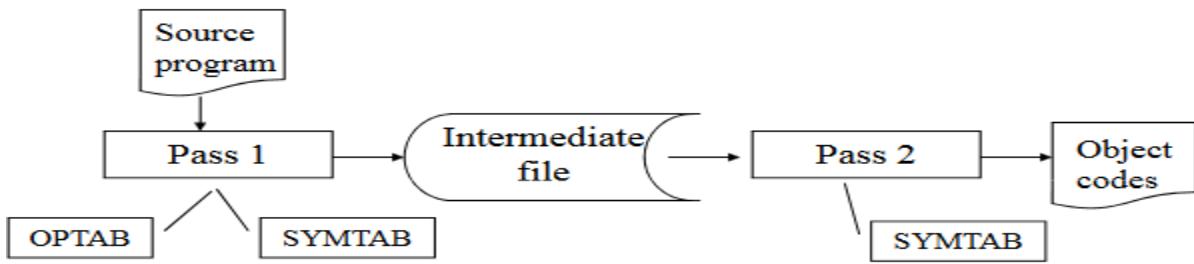
- In Single-pass assembler the whole process of scanning, parsing, and object code conversion is done in single pass.
- The only problem with this method is resolving forward reference.
- The problem of forward reference is shown with an example below:

10    1000           FIRST           STL           RETADR           141033

--

95    1033           RETADR           RESW                 1

- In the above example in line number 10 the instruction STL will store the linkage register with the contents of RETADR. But during the processing of this instruction the value of this symbol is not known as it is defined at the line number 95.
- Since in single-pass assembler the scanning, parsing and object code conversion happens simultaneously.
- The instruction is fetched; it is scanned for tokens, parsed for syntax and semantic validity. If it is valid then it has to be converted to its equivalent object code. For this the object code is generated by adding the opcode of STL and the value for the symbol RETADR, which is not available.
- Due to this reason usually the design is done in two passes.
- So a multi-pass assembler resolves the forward references and then converts into the object code. Hence the process of the multi-pass assembler can be as follows:



### Data Structures:

**Operation Code Table (OPTAB)**  
**Symbol Table (SYMTAB)**  
**Location Counter(LOCCTR)**

A one pass assembler passes over the source file exactly once, in the same pass collecting the labels, resolving future references and doing the actual assembly. The difficult part is to resolve future label references (the problem of forward referencing) and assemble code in one pass

### Assembler Design:

The most important things which need to be concentrated is the generation of **Symbol table** and **resolving *forward references***.

- **Symbol Table:**
  - This is created during pass 1
  - All the labels of the instructions are symbols
  - Table has entry for symbol name, address value.
- **Forward reference:**
  - Symbols that are defined in the later part of the program are called forward referencing.
  - There will not be any address value for such symbols in the symbol table in pass 1.
- Omits the operand address if the symbol has not yet been defined
- Enters this undefined symbol into SYMTAB and indicates that it is undefined

- Adds the address of this operand address to a list of forward references associated with the SYMTAB entry
- When the definition for the symbol is encountered, scans the reference list and inserts the address.
- At the end of the program, reports the error if there are still SYMTAB entries indicated undefined symbols.

▪ **Forward reference: reference to a label that is defined later in the program.**

<u>Loc</u>	<u>Label</u>	<u>Operator</u>	<u>Operand</u>
1000	FIRST	STL	RETADR
1003	CLOOP	JSUB	RDREC
...	...	...	...
1012		J	CLOOP
...	...	...	...
1033	RETADR	RESW	1



**Functions of the two passes of assembler:**

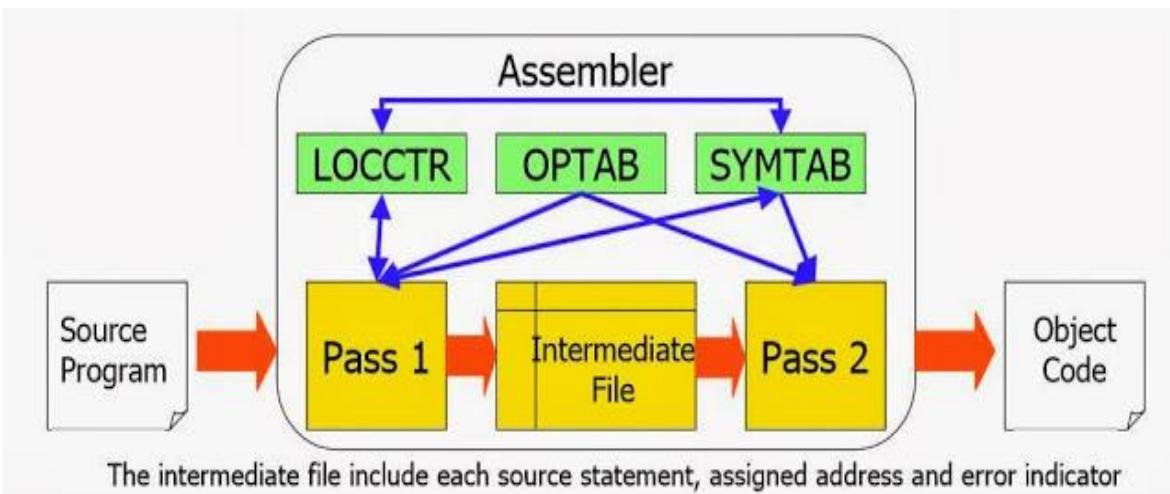
**Pass 1 (Define symbols)**

1. Assign addresses to all statements in the program.
2. Save the addresses assigned to all labels for use in Pass 2.
3. Perform some processing of assembler directives such as RESW, RESB to find the length of data areas for assigning the address values.
4. Defines the symbols in the symbol table(generate the symbol table)

**Pass 2 (Assemble instructions and generate object programs)**

1. Assemble instructions (translating operation codes and looking up addresses).
2. Generate data values defined by BYTE,WORD etc.
3. Perform processing of assembler directives not done in Pass 1.
4. Write the object program and the assembly listing.

## Assembler Algorithm and Data Structures



Assembler uses two major internal data structures:

1. **Operation Code Table (OPTAB)** : Used to lookup mnemonic operation codes and translate them into their machine language equivalents.
2. **Symbol Table (SYMTAB)** : Used to store values(Addresses) assigned to labels.

### Location Counter (LOCCTR) :

- It is a variable used to help in the assignment of addresses.
- It is initialized to the beginning address specified in the START statement.
- After each source statement is processed, the length of the assembled instruction or data area to be generated is added to LOCCTR.
- Whenever a label is reached in the source program, the current value of LOCCTR gives the address to be associated with that label.

## **Operation Code Table (OPTAB) :**

Looked up for the translation of mnemonic code

- key: mnemonic code
- result: bits

Hashing is usually used

- once prepared, the table is not changed
- efficient lookup is desired
- since mnemonic code is predefined, the hashing function can be tuned *a priori*

The table may have the instruction format and length

- to decide where to put op code bits, operands bits, offset bits
- for variable instruction size
- used to calculate the address
- In Pass 1, OPTAB is used to lookup and validate operation codes in the source program.
- In Pass 2, it is used to translate the operation codes to machine language program.
- During Pass 2, the information in OPTAB tells which instruction format to use in assembling the instruction and any peculiarities of the object code instruction.
- In most cases, OPTAB is a static table – i.e entries are not added or deleted from it.

## **Symbol Table (SYMTAB) :**

Stored and looked up to assign address to labels

- efficient insertion and retrieval is needed
- deletion does not occur

Difficulties in hashing

- non random keys

Problem

- the size varies widely
- Includes the name and value(address) for each label in the source program and flags to indicate error conditions(ex – symbol defined in two different places).
- During Pass 1 of the assembler, labels are entered into SYMTAB as they are encountered in the source program along with their assigned addresses.
- During Pass 2, symbols used as operands are looked up in SYMTAB to obtain the addresses to be inserted in the assembled instructions.

Pass 1 usually writes an intermediate file that contains each source statement together with its assigned address, error indicators. This file is used as the input to Pass 2. This copy of the source program can also be used to retain the results of certain operations that may be performed during Pass 1 such as scanning the operand field for symbols and addressing flags, so these need not be performed again during Pass 2.

### **The Algorithm for Pass 1:**

Begin

    read first input line

    if OPCODE = ‘START’ then

        begin

            save #[Operand] as starting address

            initialize LOCCTR to starting address

            write line to intermediate file

        read next input line



```
        add 3 * #[OPERAND] to LOCCTR

    else if OPCODE = 'RESB' then

        add #[OPERAND] to LOCCTR

    else if OPCODE = 'BYTE' then

        begin

            find length of constant in bytes

            add length to LOCCTR

        end {if BYTE}

        else

            set error flag (invalid operation code)

        end {if not a comment}

        write line to intermediate file

        read next input line

    end { while not END}

    write last line to intermediate file

    Save (LOCCTR – starting address) as program length

End {pass 1}
```

### **Explanation – PASS I Algorithm**

1. Read in a line of assembly code
  2. Assign an address to this line
    - increment N (word addressing or byte addressing)
  3. Save address values assigned to labels
    - in symbol tables
  4. Process assembler directives
    - constant declaration
    - space reservation
- 
- The algorithm scans the first statement START and saves the operand field (the address) as the starting address of the program. Initializes the LOCCTR value to this address. This line is written to the intermediate line.
  - If no operand is mentioned the LOCCTR is initialized to zero.
  - If a label is encountered, the symbol has to be entered in the symbol table along with its associated address value. If the symbol already exists that indicates an entry of the same symbol already exists. So an error flag is set indicating a duplication of the symbol.
  - It next checks for the mnemonic code, it searches for this code in the OPTAB. If found then the length of the instruction is added to the LOCCTR to make it point to the next instruction.
  - If the opcode is the directive WORD it adds a value 3 to the LOCCTR.
  - If it is RESW, it needs to add  $3 * \text{the number of data word}$  to the LOCCTR.
  - If it is BYTE it adds a value one to the LOCCTR, if RESB it adds number of bytes.
  - If it is END directive then it is the end of the program it finds the length of the program by evaluating current LOCCTR – the starting address mentioned in the operand field of the END directive.
  - Each processed line is written to the intermediate file.

### **The Algorithm for Pass 2:**

Begin

    read 1st input line from intermediate file

    if OPCODE = ‘START’ then

        begin

            write listing line

            read next input line

        end {if START}

    write Header record to object program

    initialize 1st Text record

    while OPCODE != ‘END’ do

        begin

            if this is not comment line then

                begin

                    search OPTAB for OPCODE

                    if found then

                        begin

                            if there is a symbol in OPERAND field then

```
begin

    search SYMTAB for OPERAND

    if found then

        store symbol value as operand address

    else

        begin

            store 0 as operand address

            set error flag (undefined symbol)

        end

    end {if symbol}

    else

        store 0 as operand address

        assemble the object code instruction

    end {if opcode found}

    else if OPCODE = ‘BYTE’ or ‘WORD’ then

        convert constant to object code

        if object code doesn’t fit into current Text record then

            begin

                Write text record to object code

                initialize new Text record
```

```
end

add object code to Text record

end {if not comment}

write listing line

read next input line

end {while not END}

Write last Text record to object program

Write End record to object program

Write last listing line.

End {Pass 2}
```

### **Explanation – PASS II Algorithm**

- Here the first input line is read from the intermediate file.
- If the opcode is START, then this line is directly written to the list file.
- A header record is written in the object program which gives the starting address and the length of the program (which is calculated during pass 1).
- Then the first text record is initialized. Comment lines are ignored.
- In the instruction, for the opcode the OPTAB is searched to find the object code.
- If a symbol is there in the operand field, the symbol table is searched to get the address value for this which gets added to the object code of the opcode.
- If the address not found then zero value is stored as operands address. An error flag is set indicating it as undefined.

- If symbol itself is not found then store 0 as operand address and the object code instruction is assembled.
- If the opcode is BYTE or WORD, then the constant value is converted to its equivalent object code( for example, for character EOF, its equivalent hexadecimal value ‘454f46’ is stored).
- If the object code cannot fit into the current text record, a new text record is created and the rest of the instructions object code is listed.
- The text records are written to the object program. Once the whole program is assembled and when the END directive is encountered, the End record is written.

### **Design and Implementation Issues**

Some of the features in the program depend on the architecture of the machine. If the program is for SIC machine, then we have only limited instruction formats and hence limited addressing modes. We have only single operand instructions. The operand is always a memory reference. Anything to be fetched from memory requires more time. Hence the improved version of SIC/XE machine provides more instruction formats and hence more addressing modes. The moment we change the machine architecture the availability of number of instruction formats and the addressing modes changes. Therefore the design usually requires considering two things: Machine-dependent features and Machine-independent features.

### **MACHINE DEPENDENT ASSEMBLER FEATURES**

- Instruction formats and addressing modes
- Program relocation

#### **Program Relocation**

The need for program relocation

- It is desirable to load and run several programs at the same time.
- The system must be able to load programs into memory wherever there is room.
- The exact starting address of the program is not known until load time.

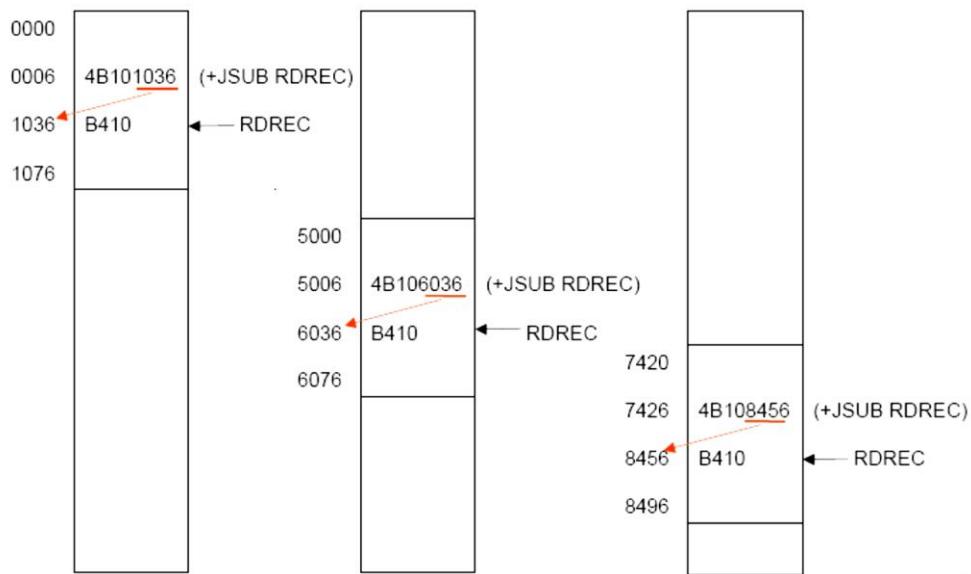
## Absolute Address

- Program with starting address specified at assembly time
- The address may be invalid if the program is loaded into somewhere else.
- Example:

55	101B	LDA	THREE	00102D
Calculate based on the starting address 1000				
Reload the program starting at 3000				
55	101B	LDA	THREE	00302D
The absolute address should be modified				

- ❖ The above statement says that the register A is loaded with the value stored at location 102D.
- ❖ Suppose it is decided to load and execute the program at location 3000 instead of location 1000. Then at address 102D the required value which needs to be loaded in the register A is no more available.
- ❖ The address also gets changed relative to the displacement of the program. Hence we need to make some changes in the address portion of the instruction so that we can load and execute the program at location 3000.
- ❖ Apart from the instruction which will undergo a change in their operand address value as the program load address changes. There exist some parts in the program which will remain same regardless of where the program is being loaded.
- ❖ Since assembler will not know actual location where the program will get loaded, it cannot make the necessary changes in the addresses used in the program. However, the assembler identifies for the loader those parts of the program which need modification.
  - ❖ An object program that has the information necessary to perform this kind of modification is called the relocatable program.

## Example: Program Relocation



- 1) The above diagram shows the concept of relocation.
  - a) Initially the program is loaded at location 0000.
  - b) The instruction JSUB is loaded at location 0006.
  - c) The address field of this instruction contains 01036, which is the address of the instruction labeled RDREC.
- 2) The second figure shows that if the program is to be loaded at new location 5000. The address of the instruction JSUB gets modified to new location 6036.
- 3) Likewise the third figure shows that if the program is relocated at location 7420, the JSUB instruction would need to be changed to 4B108456 that correspond to the new address of RDREC.
  - The only parts of the program that require modification at load time are those that specify direct addresses.
  - The rest of the instructions need not be modified.
    - Not a memory address (immediate addressing)

- PC-relative, Base-relative
- From the object program, it is not possible to distinguish the address and constant.
  - The assembler must keep some information to tell the loader.
  - The object program that contains the modification record is called a relocatable program.

The way to solve the relocation problem

- For an address label, its address is assigned relative to the start of the program(START 0)
- Produce a Modification record to store the starting location and the length of the address field to be modified.
- The command for the loader must also be a part of the object program.

### **Modification record**

- One modification record for each address to be modified
- The length is stored in half-bytes (4 bits)
- The starting location is the location of the byte containing the leftmost bits of the address field to be modified.
- If the field contains an odd number of half-bytes, the starting location begins in the middle of the first byte.

#### **Modification record**

Col. 1	M
Col. 2-7	Starting location of the address field to be modified, relative to the beginning of the program (Hex)
Col. 8-9	Length of the address field to be modified, in half-bytes (Hex)

### **Relocatable Object Program**

```

HCOPY  000000001077
T0000001D17202D69202D4B1010360320262900003320074B10105D3F2FEC032010
T00001D130F20160100030F200D4B10105D3E2003454F46
T0010361DB410B400844075101000E3201932FFADE2013A00433200857C003B850
T0010531D3B2FEA1340004F0000F1B410774000E32011332FFA53C003DF2008B850
T001070073B2FEF4F000005
M00000705
M00001405
M00002705
E000000

```

**5 half-bytes**

In the above object code the red boxes indicate the addresses that need modifications. The object code lines at the end are the descriptions of the modification records for those instructions which need change if relocation occurs. M00000705 is the modification suggested for the statement at location 0007 and requires modification 5-half bytes.

## Module 3

### Assembler Features and Design Options

#### Machine Dependent Assembler Features

##### 1. Instruction format and Addressing modes

- PC-relative or Base-relative addressing: op m
- Indirect addressing: op @m
- Immediate addressing: op #c
- Extended format: +op m
- Index addressing: op m,x
- register-to-register instructions

##### 2. Program Relocation

Moving program from one memory location to another memory location is known as Program Relocation. The only parts of the program that require modification at load time are those that specify **direct addresses**. Except for **absolute address**, the rest of the instructions need not be modified.

The below given diagram is an example for program relocation.

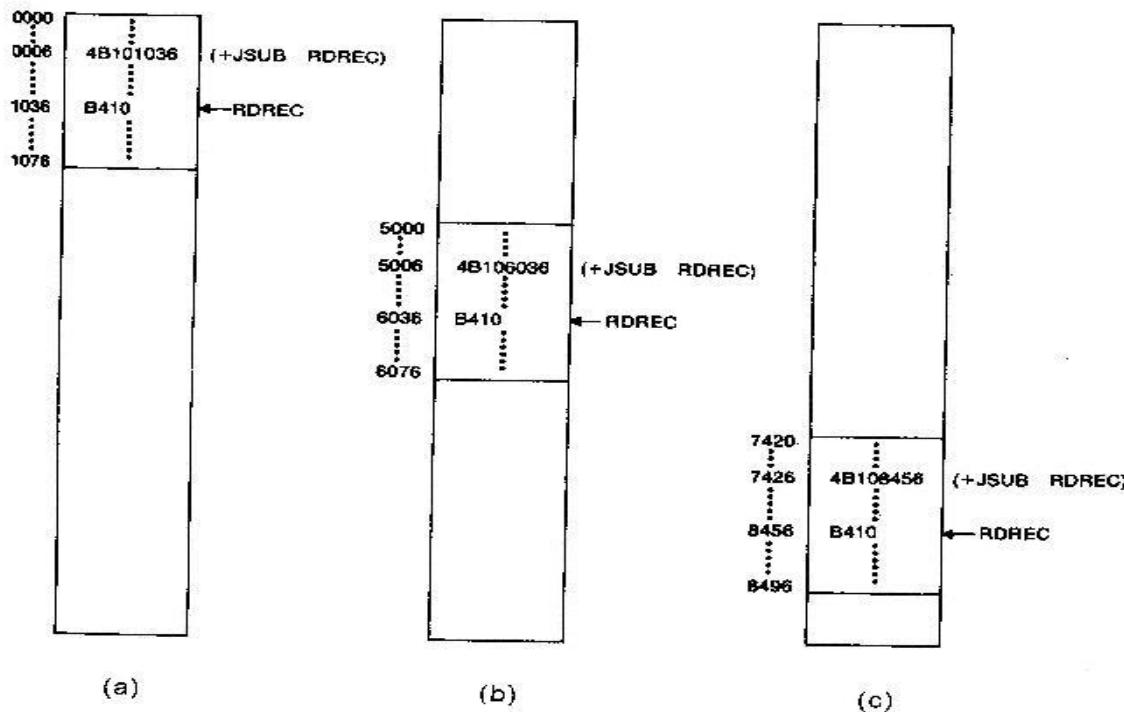


圖 2.7 程式重定位範例

## Machine-Independent Assembler Features

Machine Independent Assembler features are some common assembler features that are not closely related to machine architecture. The main such features are

- Literals
- Symbol Defining Statement
- Expressions
- Program Blocks
- Control Sections and Program Linking

### **1. Literals**

- One feature is Literal which is an operand and is part of an instruction.
- In Literal the value is stated literally in the instruction
- In an assembler language, a literal is identified with the prefix = which is followed by a specification of the literal value similar to that in a BYTE statement.
- Literal pool is a combination of literal operands.

#### ***Design idea***

- Let programmers to be able to write the value of a constant operand as a part of the instruction that uses it. This avoids having to define the constant elsewhere in the program and make up a label for it.

#### **Consider the following example**

```

        :
        LDA      FIVE
        :
FIVE      WORD      5
        :
    
```

**It is convenient to write the value of a constant operand as a part of instruction**



```

        :
        LDA      =X'05'
        :
    
```

#### **Literals vs. Immediate Operands**

- **Immediate addressing**

- The operand value is assembled as part of the machine instruction.

e.g. 55 0020 LDA #3 010003

### Literal

- The assembler generates the specified value as a constant at some other memory location.
- The address of this generated constant is used as the target address for the machine instruction.
- The effect of using a literal is exactly the same as if the programming had defined the constant explicitly and used the label assigned to the constant as the instruction operand.

e.g. 45 001A ENDFIL LDA =C'EOF' 032010

### Literal Pool

- All of the literal operands used in the program are gathered together into one or more literal pools. Normally literals are placed into a pool at the end of the program. Sometimes, it is desirable to place literals into a pool at some other location in the object program.
  - **LTORG** directive is introduced for this purpose.
  - When the assembler encounters a **LTORG**, it creates a pool that contains all of the literals used since the previous **LTORG**.

35		+JSUB	WRREC	WRITE OUTPUT RECORD
40		J	CLOOP	LOOP
45	ENDFIL	LDA	=C'EOF'	INSERT END OF FILE MARKER
50		STA	BUFFER	SET LENGTH = 3
55		LDA	#3	
60		STA	LENGTH	
65		+JSUB	WRREC	WRITE EOF
70		J	@RETADR	RETURN TO CALLER
93		LTORG		
95	RETADR	RESW	1	
100	LENGTH	RESW	1	LENGTH OF RECORD
105	BUFFER	RESB	4096	4096-BYTE BUFFER AREA
106	BUFEND	EQU	*	

Use = to represent a literal

If we do not use a LTORG on line 93, the literal =C'EOF' will be placed at the end of the program. This operand will then begin at address 1073 and be too far away from the instruction that uses it. PC-relative addressing mode cannot be used. LTORG thus is used when we want to keep the literal operands close to the instruction that uses it.

**Duplicate literals** – the same literal used in more than one place in the program. For duplicate literals, we should store only one copy of the specified data value to save space. Most assembler can recognize duplicate literals.

- E.g., There are two uses of =X'05' on lines 215 and 230 respectively.
- However, just one copy is generated on line 1076.

210	105D	WRREC	CLEAR	X	B410
212	105F		LDT	LENGTH	774000
215	1062	WLOOP	TD	=X'05'	E32011
220	1065		JEQ	WLOOP	332FFA
225	1068		LDCH	BUFFER,X	53C003
230	106B		WD	=X'05'	DF2008
235	106E		TIXR	T	B850
240	1070		JLT	WLOOP	3B2FEF
245	1073		RSUB		4F0000
255			END	FIRST	
	1076	*		=X'05'	05

**A literal table LITTAB is needed for literal processing. For each literal used, the table contains:**

- Literal name.
- The operand value and length.
- The address assigned to the operand when it is placed in a literal pool.
- LITTAB is often organized as a hash table, using the literal name or value as the key

### LITTAB

Literal	Hex Value	Length	Address
C' EOF'	454F46	3	002D
X' 05'	05	1	1076

### Implementation of Literals

#### Pass 1

- Build LITTAB with literal name, operand value and length, leaving the address unassigned
- When LTORG or END statement is encountered, assign an address to each literal not yet assigned an address
- The location counter is updated to reflect the number of bytes occupied by each literal

Pass 2

- Search LITTAB for each literal operand encountered
- Generate data values using BYTE or WORD statements
- Generate Modification record for literals that represent an address in the program

## 2. Symbol Defining Statements

**EQU** directive is used to define a symbol's value. The value assigned to a symbol may be a constant, or any expression involving constants and previously defined symbols.

E.g.,      +LDT #4096      can be changed to :

MAXLEN EQU 4096

+LDT #MAXLEN

- When the assembler encounters the EQU statement, it enters MAXLEN into SYMTAB (with value 4096). During assembly of the LDT instruction, the assembler searches SYMTAB for the symbol MAXLEN, using its value as the operand in the instruction.
- Define mnemonic names for registers

A	EQU	0
X	EQU	1
L	EQU	2

---

ex: RMO A,X

BASE	EQU	R1
COUNT	EQU	R2
INDEX	EQU	R3

---

### ORG Directive

This can be used to indirectly assign values to symbols. When this statement is encountered during assembly of a program, the assembler resets its location counter to the specified value. The ORG statement will thus affect the values of all labels defined until the next ORG. Normally when an ORG without specified value is encountered, the previously saved location counter value is restored. Suppose that we have the following data structure and want to access its fields:

STAB (100 entries)	SYMBOL	VALUE	FLAGS
⋮	⋮	⋮	⋮

SYMBOL: 6 bytes

VALUE: 3 bytes (one word)

FLAGS: 2 bytes

- We want to refer to every field of each entry
- If EQU statements are used

STAB	RESB 1100
SYMBOL	EQU STAB
VALUE	EQU STAB+6
FLAG	EQU STAB+9

Offset from STAB

### If ORG statements are used

STAB	RESB	1100	
	ORG	STAB	← Set LOCCTR to STAB
SYMBOL	RESB	6	
VALUE	RESW	1	← Size of each field
FLAGS	RESB	2	
	ORG	STAB+1100	← Restore LOCCTR

We can fetch the VALUE field by

LDA VALUE, X

- X = 0, 11, 22, ... for each entry

For EQU and ORG, all symbols used on the right hand side of the statement must have been defined previously in the program. This is because in the two-pass assembler, we require that all symbols must be defined in pass 1.

ALPHA	RESW	1	Allowed
BETA	EQU	ALPHA	

BETA	EQU	ALPHA	Not allowed
ALPHA	RESW	1	

### 3. Expression

The assembler evaluates the expressions and produces a single operand address or value. Expressions consist of

- Operator
  - +,-,\*,/ (division is usually defined to produce an integer result)
- Individual terms
  - Constants
  - User-defined symbols
  - Special terms, e.g., \*, the current value of LOCCTR

Regarding program relocation, a symbol's value can be classified as

### Relative

- Its value is relative to the beginning of the object program, and thus its value is dependent of program location.
- E.g., labels or reference to location counter (\*)

### Absolute

- Its value is independent of program location
- E.g., a constant. None of the relative terms may enter into a multiplication or division operation
- Errors:
  - BUFEND+BUFFER
  - 100-BUFFER
  - 3\*BUFFER

The type of an expression keep track of the types of all symbols defined in the program. Therefore, we need a flag in the symbol table to indicate type of value (absolute or relative) in addition to the value itself.

Symbol	Type	Value
RETADR	R	0030
BUFFER	R	0036
BUFEND	R	1036
MAXLEN	A	1000

- **Absolute value**  
BUFEND - BUFFER
- **Illegal**  
BUFEND + BUFFER  
100 - BUFFER  
3 \* BUFFER

## 4. Program blocks

Program blocks Refer to segments of code that are rearranged within a single object program unit. Assembler directive USE is used to denote Program blocks.

- **USE [blockname]**

If no USE statements are included, the entire program belongs to this single block. At the beginning, statements are assumed to be part of the unnamed (default) block. If no USE statements are included, the entire program belongs to this single block. Each program block may actually contain several separate segments of the source program.

- Three blocks are used
  - ❖ default: executable instructions
  - ❖ CDATA: all data areas that are less in length
  - ❖ CBLKS: all data areas that consists of larger blocks of memory

Assembler rearranges these segments to gather together the pieces of each block and assign address. Separate the program into blocks in a particular order. Large buffer area is moved to the end of the object program. Program readability is better if data areas are placed in the source program close to the statements that reference them.

		Block number				
0000	0	COPY	START	0	RETADR	172063
0000	0	FIRST	STL		RDREC	4B2021
0003	0	CLOOP	JSUB		LENGTH	032060
0006	0		LDA		#0	290000
0009	0		COMP		ENDFIL	332006
000C	0		JEQ		WRREC	4B203B
000F	0		JSUB		J	3F2FEE
0012	0				CLOOP	
0015	0	ENDFIL	LDA	=C'EOF'		032055
0018	0		STA	BUFFER		0F2056
001B	0		LDA	#3		010003
001E	0		STA	LENGTH		0F2048
0021	0		JSUB	WRREC		4B2029
0024	0		J	@RETADR		3E203F
0000	1	RETADR	USE	CDATA		CDATA block
0000	1	LENGTH	RESW	1		
0003	1		RESW	1		
0000	2	BUFFER	USE	CBLKS		CBLKS block
0000	2	BUFEND	RESB	4096		
1000	2	MAXLEN	EQU	*		
1000			EQU		BUFEND-BUFFER	

		(default) block				
0027	0	RDREC	USE			
0027	0		CLEAR	X		B410
0029	0		CLEAR	A		B400
002B	0		CLEAR	S		B440
---	-		---	---	---	---

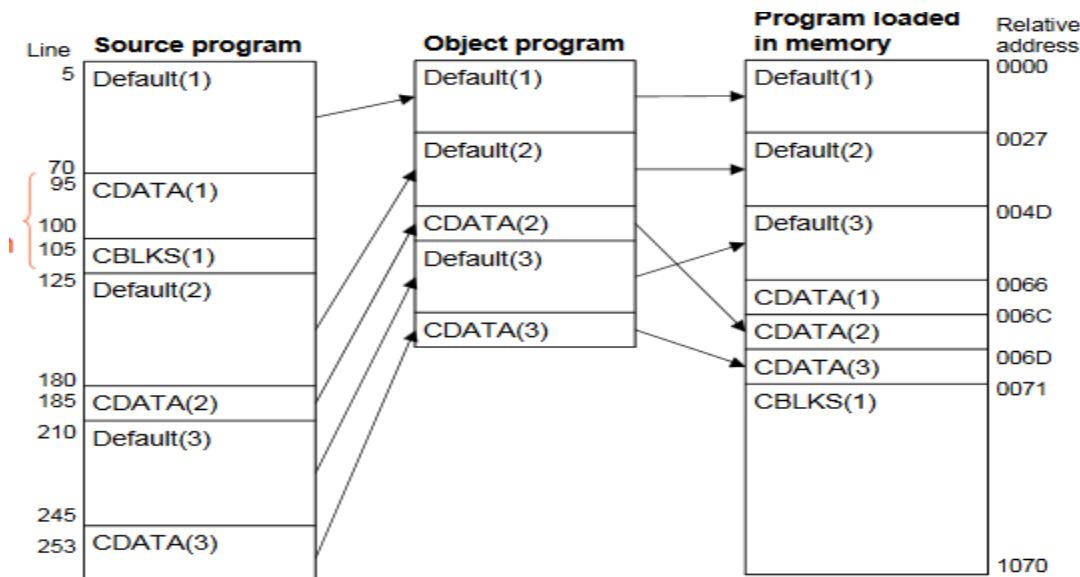
## ■ Pass 1

- A separate location counter for each program block
  - Save and restore LOCCTR when switching between blocks
  - At the beginning of a block, LOCCTR is set to 0.
- Assign each label an address relative to the start of the block
- Store the block name or number in the SYMTAB along with the assigned relative address of the label
- Indicate the block length as the latest value of LOCCTR for each block at the end of Pass1
- Assign to each block a starting address in the object program by concatenating the program blocks in a particular order

Block name	Block number	Address	Length
(default)	0	0000	0066
CDATA	1	0066	000B
CBLKS	2	0071	1000

## ■ Pass 2

- Calculate the address for each symbol relative to the start of the object program by adding
  - The location of the symbol relative to the start of its block
  - The starting address of this block



It is not necessary to physically rearrange the generated code in the object program. The assembler just simply insert the proper load address in each Text record. The loader will load these codes into correct places

```

HCOPY 000000001071
T0000001E1720634B20210320602900003320064B203B3F2FEE0320550F2056010003
Default(1) T00001E090F20484B20293E203F
T0000271DB410B400B44075101000E32038332FFADB2032A00433200857A02FB850
Default(2) T000044093B2FEA13201F4F0000
CDATA(3) T00006C01F1
Default(3) T00004D19B410772017E3201B332FFA53A016DF2012B8503B2FEF4F0000
CDATA(3) T00006D04454F4605
E000000

```

## **5. Control sections**

It can be loaded and relocated independently of the others are most often used for subroutines or other logical subdivisions of a program .The programmer can assemble, load, and manipulate each of these control sections separately because of this, there should be some means for linking control sections together. Assembler Directive is **CSECT**

**secname CSECT**

secname is the control section name. Instructions in one control section may need to refer to instructions or data located in another section.

### **1.External definition**

**EXTDEF name [, name]**

EXTDEF names symbols that are defined in this control section and may be used by other sections

**Ex: EXTDEF BUFFER, BUFEND, LENGTH**

### **2. External reference**

**EXTREF name [,name]**

EXTREF names symbols that are used in this control section and are defined elsewhere

**Ex: EXTREF RDREC, WRREC**

	<b>Implicitly defined as an external symbol first control section</b>		
COPY	START	0	COPY FILE FROM INPUT TO OUTPUT
	EXTDEF	BUFFER,BUFEND,LENGTH	
	EXTREF	RDREC,WRREC	
FIRST	STL	RETADR	SAVE RETURN ADDRESS
CLOOP	+JSUB	RDREC	READ INPUT RECORD
	LDA	LENGTH	TEST FOR EOF (LENGTH=0)
	COMP	#0	
	JEQ	ENDFIL	EXIT IF EOF FOUND
	<b>Implicitly defined as an external symbol second control section</b>		
RDREC	CSECT		
:	SUBROUTINE TO READ RECORD INTO BUFFER		
	EXTREF	BUFFER,LENGTH,BUFFEND	
	CLEAR	X	CLEAR LOOP COUNTER
	CLEAR	A	CLEAR A TO ZERO
	CLEAR	S	CLEAR S TO ZERO
15	0003	CLOOP	+JSUB RDREC 4B1 <u>00000</u>

- The operand RDREC is an external reference.
- The assembler
  - has no idea where RDREC is
  - inserts an address of zero
  - can only use *extended format* to provide enough room (that is, relative addressing for external reference is invalid)
- The assembler generates information for each external reference that will allow the *loader* to perform the required *linking*.

The assembler must include information in the object program that will cause the loader to insert proper values where they are required .The assembler communicate to loader through 3 types of records.

## 1. Define record

- Col. 1 D
- Col. 2-7 Name of external symbol defined in this control section
- Col. 8-13 Relative address within this control section (hexadecimal)
- Col.14-73 Repeat information in Col. 2-13 for other external symbols

## 2. Refer record

- Col. 1 D
- Col. 2-7 Name of external symbol referred to in this control section
- Col. 8-73 Name of other external reference symbols

## 3. Modification record

- Col. 1 M
- Col. 2-7 Starting address of the field to be modified (hexadecimal)
- Col. 8-9 Length of the field to be modified, in half-bytes (hexadecimal)
- Col.11-16 External symbol whose value is to be added to or subtracted from the indicated field
- Note: control section name is automatically an external symbol, i.e. it is available for use in Modification records.

Example

- M00000405+RDREC
- M00000705+COPY

**COPY**

```

HCOPY 000000001033
DBUFFER000033BUFEND001033LENGTH00002D
RRDREC WRREC
T0000001D1720274B10000Q0320232900003320074B1000003F2FEC0320160F2016
T00001D0D0100030F200A4B1000003E2000
T00003003454F46
M00000405+RDREC
M00001105+WRREC
M00002405+WRREC
E000000

```

## Assembler Design Options

1. One-pass assemblers
2. Multi-pass assemblers

## 1. One-pass assembler

One-pass assemblers are used when

- a. it is necessary or desirable to avoid a second pass over the source program
- b. the external storage for the intermediate file between two passes is slow or is inconvenient to use

**Main problem: forward references** to both data and instructions.

- One simple way to eliminate this problem: require that all areas be defined before they are referenced.( will be placing all storage reservation statements before they are referenced.)

Line	Loc	Source statement			Object code
0	1000	COPY	START	1000	
1	1000	EOF	BYTE	C'EOF'	454F46
2	1003	THREE	WORD	3	000003
3	1006	ZERO	WORD	0	000000
4	1009	RETADR	RESW	1	
5	100C	LENGTH	RESW	1	
6	100F	BUFFER	RESB	4096	
9		.			
10	200F	FIRST	STL	RETADR	141009
15	2012	CLOOP	JSUB	RDREC	48203D
20	2015		LDA	LENGTH	00100C
25	2018		COMP	ZERO	281006

here all storage reservations are given at the starting .

Types of one-pass assembler

- Type 1: Load-and-go
  - Produces object code directly in memory for immediate execution
- Type 2:
  - Produces usual kind of object code for later execution

## Type 1:Load-and-go

Load-and-go assembler generates their object code in memory for immediate execution. For a load-and-go assembler, the actual address must be known at assembly time. No object program is written out, no loader is needed.

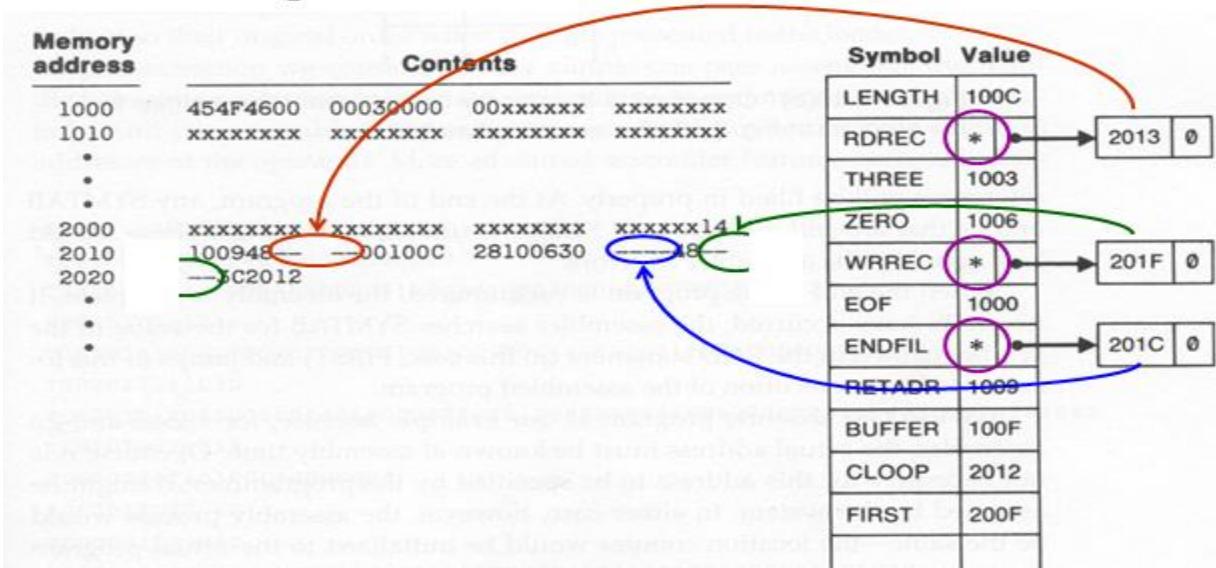
Assembler operations:

- Omits the operand address if the symbol has not yet been defined
- Enters this undefined symbol into SYMTAB and indicates that it is undefined
- Adds the address of this operand address to a list of forward references associated with the SYMTAB entry
- Scans the reference list and inserts the address when the definition for the symbol is encountered.
- Reports the error if there are still SYMTAB entries indicated undefined symbols at the end of the program
- Search SYMTAB for the symbol named in the END statement and jumps to this location to begin execution if there is no error

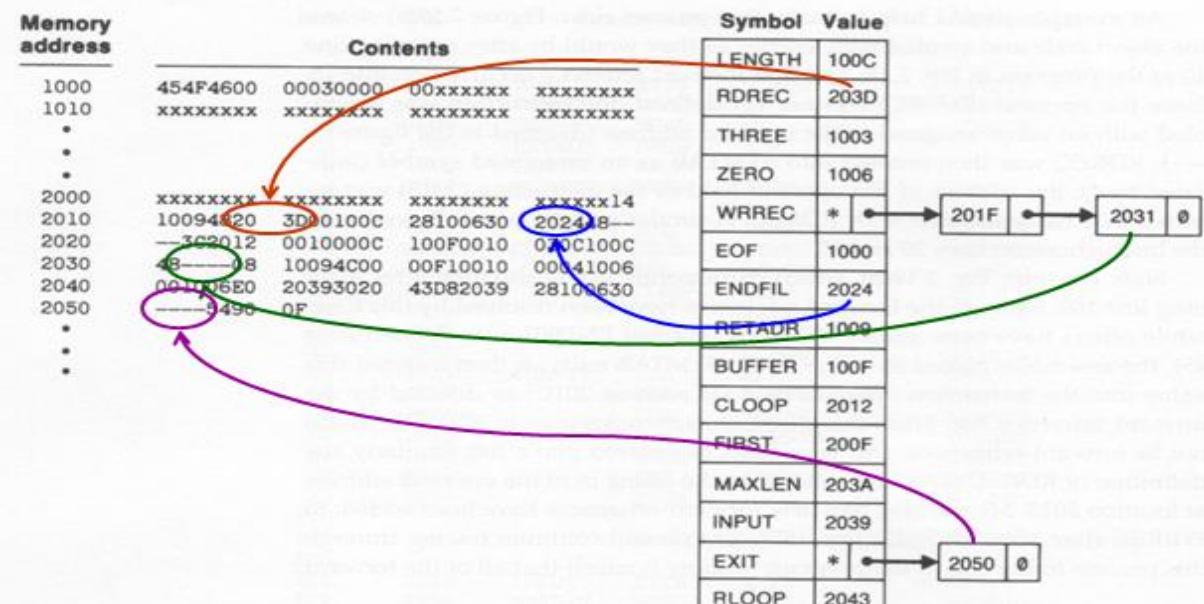
Line	Loc		Source statement		Object code
0	1000	COPY	START	1000	
1	1000	EOF	BYTE	C' EOF'	454F46
2	1003	THREE	WORD	3	000003
3	1006	ZERO	WORD	0	000000
4	1009	RETADR	RESW	1	
5	100C	LENGTH	RESW	1	
6	100F	BUFFER	RESB	4096	
10	200F	FIRST	STL	RETADR	141009
15	2012	CLOOP	JSUB	RDREC	48203D
20	2015		LDA	LENGTH	00100C
25	2018		COMP	ZERO	281006
30	201B		JEQ	ENDFIL	302024
35	201E		JSUB	WRREC	482062
40	2021		J	CLOOP	3C2012
45	2024	ENDFIL	LDA	EOF	001000

Figure 2.18

After scanning line 40



After scanning line 160



## Type 2: Assembler

It will produce object code.

### Assembler operations:

Instruction referencing are written into object file as a Text record, even with incorrect addresses. If the operand contains an undefined symbol, use 0 as the address and write the Text record to the object program. Forward references are entered into lists as in

the load-and-go assembler. When the definition of a symbol is encountered, the assembler generates another Text record with the correct operand address of each entry in the reference list. When loaded, the incorrect address 0 will be updated by the latter Text record containing the symbol definition.

```

HCOPY 00100000107A
T00100009454F46000003000000
T00200F1514100948000000100C2810063000004800003C2012
T00201C022024
T002024190010000C10
T00201302203D
T00203D1E041006001006E02039302043D8203928100630000054900F2C203A382043
T00205002205B

```

## 2. Multi-pass assemblers

A multi-pass assembler that can make as many passes as are needed to process the definitions of symbols. Only the portions of the program that involve forward references in symbol definition are saved for multi-pass reading.

For a two pass assembler, forward references in symbol definition are not allowed:

```

ALPHA EQU BETA
BETA EQU DELTA
DELTA RESW 1

```

**Reason: symbol definition must be completed in pass 1.**

Motivation for using a multi-pass assembler

- DELTA can be defined in pass 1
- BETA can be defined in pass 2
- ALPHA can be defined in pass 3

A symbol table is used

- to store symbol definitions that involve forward references
- to indicate which symbols are dependant on the values of others
- to facilitate symbol evaluation

For a forward reference in symbol definition, we store in the SYMTAB:

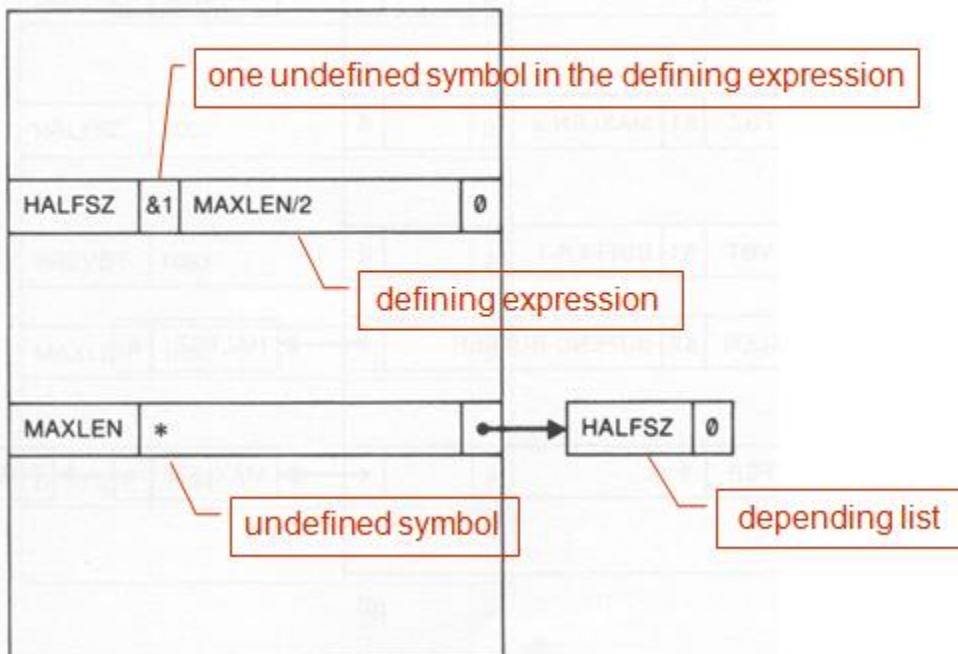
- the symbol name
- the defining expression
- the number of undefined symbols in the defining expression
- the undefined symbol (marked with a flag \*) associated with a list of symbols depend on this undefined symbol.

When a symbol is defined, we can recursively evaluate the symbol expressions depending on the newly defined symbol.

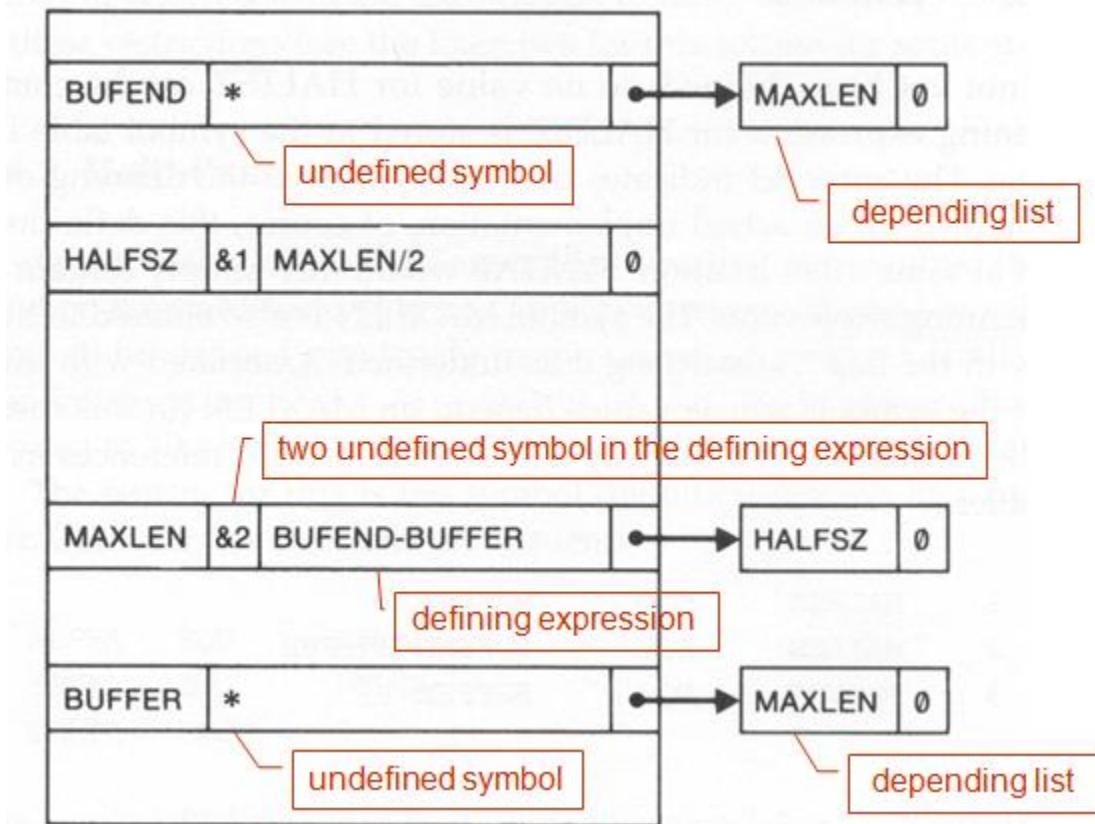
1	HALFSZ	EQU	MAXLEN/2
2	MAXLEN	EQU	BUFEND-BUFFER
3	PREVBT	EQU	BUFFER-1
			.
			.
			.
4	BUFFER	RESB	4096
5	BUFEND	EQU	*

After Pass 1

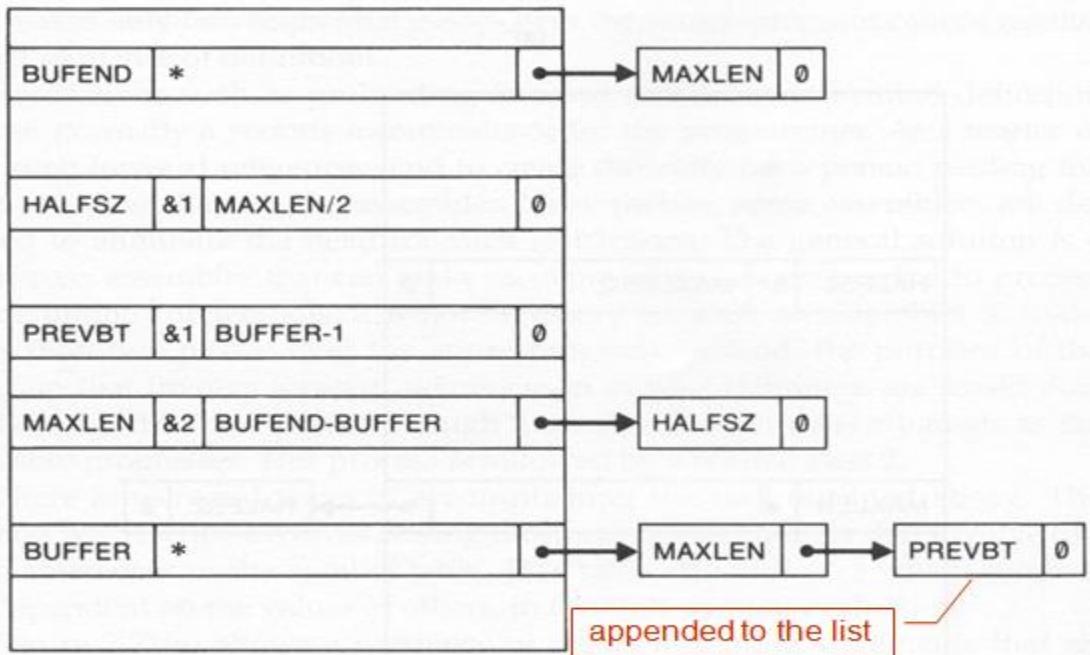
1 HALFSZ EQU MAXLEN/2



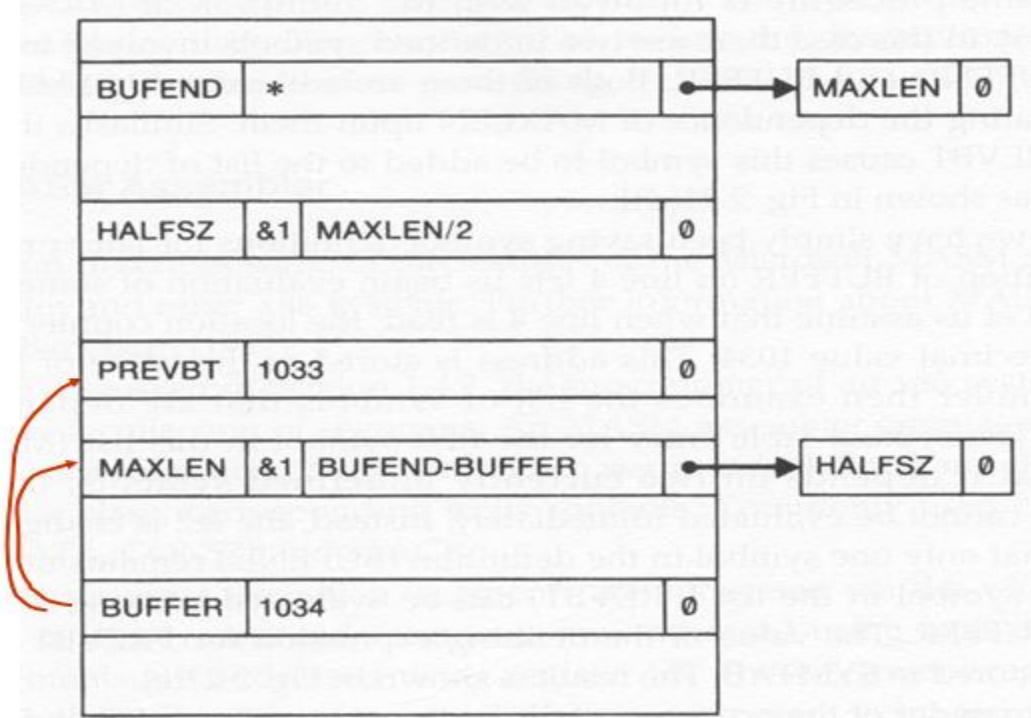
```
2      MAXLEN    EQU    BUFEND-BUFFER
```



3 PREVBT EQU BUFFER-1



4 BUFFER RESB 4096

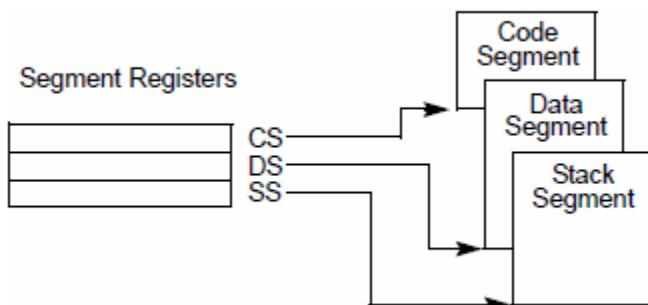


5	BUFEND	EQU	*
	BUFEND	2034	0
	HALFSZ	800	0
	PREVBT	1033	0
	MAXLEN	1000	0
	BUFFER	1034	0

### Implementation Examples: MASM Assembler

The Microsoft Macro Assembler (**MASM**) is an **assembler** for the x86 family of microprocessors, originally produced Microsoft MS-DOS operating **system**. The 8086 family of processors have a set of 16-bit registers. They are AX,BX,CX,DX (General purpose registers) segment registers like ,SS.CS.DS.ES and pointer registers like SP,BP and Index registers like DI and SI etc...**MASM** assembler language program is written as a collection of segments. Each segment is defined as belonging to a particular class .Common segments are

- **CODE Segment**
- **DATA Segment**
- **CONST Segment**
- **STACK Segment**



Every program written only in MASM has **one** main module, where program execution begins. Main module can contain code, data, or stack segments defined with all of the simplified segment directives. Any additional modules should contain only code and data segments. Every module that uses simplified segments must begin with the .MODEL directive. When you specify a segment in your program, not only must you tell the CPU that a segment is a data segment, but you must also tell the assembler where and when that segment is a data (or code/stack/extra/F/G) segment. The **assume** directive provides this information to the assembler.

The **assume** directive takes the following form:

### **ASSUME ES:DATASEG2**

It tells the assembler to assume that register ES indicates the segment DATASEG2. ASSUME tells MASM the contents of a segment register, the programmer must provide instructions to load this register when the program is executed.

### **ASSUME DS : DATA**

This tells the assembler that for any program instruction which refers to the data segment ,it should use the logical segment called DATA.

### **ENDS-End Segment:**

This directive is used with the name of the segment to indicate the end of that logical segment.

Ex: **CODE SEGMENT :** Start of logical segment containing code  
**CODE ENDS :** End of the segment named CODE.

### **Near jump**

A jump to an instruction within the current code segment (the segment currently pointed to by the CS register), sometimes referred to as an intrasegment jump.Near jump occupies 2 or 3 bytes.

### **Short jump**

A near jump where the jump range is limited to -128 to +127 from the current EIP value.

### **Far jump**

A jump to an instruction located in a different segment than the current code segment, sometimes referred to as an intersegment jump. Far jump occupies 5 bytes.

Consider a jump instruction

### **JMP TARGET**

If the definition of the label TARGET occurs in the program before the JMP instruction, the assembler can tell whether this is a near jump or a far jump. If this is a forward reference to TARGET the assembler does not know how many bytes to reserve for instruction. By default MASM assumes that a forward jump is a near jump. If the jump address is within 128 bytes of the current instruction, the programmer can specify the shorter (2 byte) near jump by writing

### **JMP SHORT TARGET**

If JMP to TARGET is a far jump and the programmer does not specify FAR PTR a problem occurs. Segments in an MASM source program can be written in more than one part. If a SEGMENT directive specifies the same name as a previously defined segment it is considered to be a continuation of that segment. External References and External Definition in MASM is handled using the directive **EXTRN** and **PUBLIC**. PUBLIC has same function as EXTDEF in SIC/XE and EXTRN has same function as EXTREF in SIC/XE.

## Module 4- Loaders

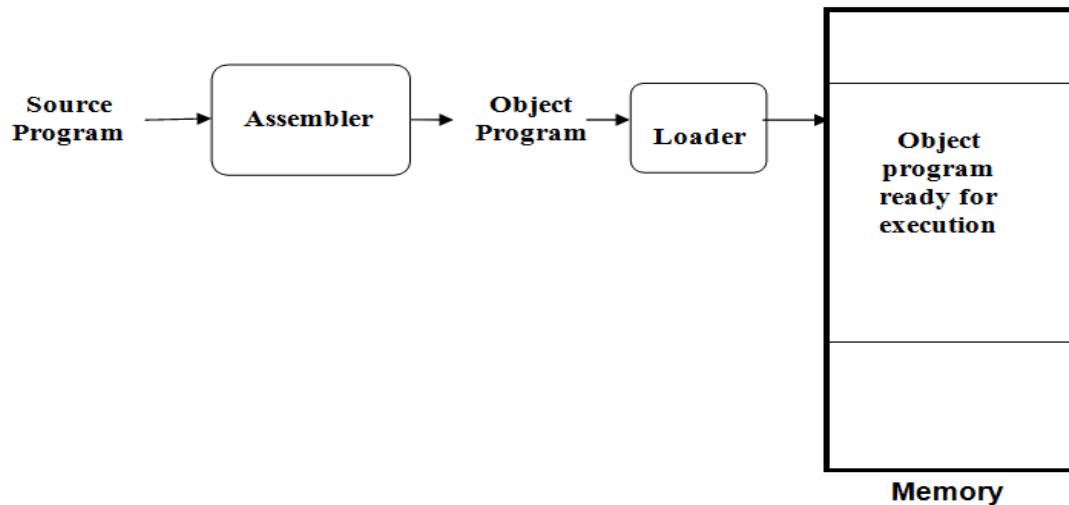
The Source Program written in assembly language or high level language will be converted to object program, which is in the machine language form for execution. This conversion either from assembler or from compiler, contains translated instructions and data values from the source program, or specifies addresses in primary memory where these items are to be loaded for execution.

This contains the following three processes, and they are,

**Loading** - which allocates memory location and brings the object program into memory for execution - (Loader)

**Linking**- which combines two or more separate object programs and supplies the information needed to allow references between them - (Linker)

**Relocation** - which modifies the object program so that it can be loaded at an address different from the location originally specified - (Linking Loader)



## Absolute Loader

The operation of absolute loader is very simple. The object code is loaded to specified locations in the memory. At the end the loader jumps to the specified address to begin execution of the loaded program. The algorithm for this type of loader is given here. The object program and, the object program loaded into memory by the absolute loader are also shown. Each byte of assembled code is given using its hexadecimal representation in character form. Easy to read by human beings. Each byte of object code is stored as a single byte. Most machine store object programs in a binary form, and we must be sure that our file and device conventions do not cause some of the program bytes to be interpreted as control characters.

```
begin
    read Header record
    verify program name and length
    read first Text record
    while record type ≠ 'E' do
        begin
            {if object code is in character form, convert into
             internal representation}
            move object code to specified location in memory
            read next object program record
        end
        jump to address specified in End record
    end
```

```

HCOPY 00100000107A
T0010001E1410334820390010362810303010154820613C100300102A0C103900102D
T00101E150C10364820610810334C0000454F46000003000000
T0020391E041030001030E0205D30203FD8205D2810303020575490392C205E38203F
T0020571C1010364C0000F1001000041030E02079302064509039DC20792C1036
T002073073820644C000005
E001000

```

(a) Object program

Memory address	Contents			
0000	xxxxxx	xxxxxx	xxxxxx	xxxxxx
0010	xxxxxx	xxxxxx	xxxxxx	xxxxxx
:	:	:	:	:
OFF0	xxxxxx	xxxxxx	xxxxxx	xxxxxx
1000	14103348	20390010	36281030	30101548
1010	20613C10	0300102A	0C103900	102D0C10
1020	36482061	0810334C	0000454F	46000003
1030	000000xx	xxxxxx	xxxxxx	xxxxxx
2030	xxxxxx	xxxxxx	xx041030	001030E0
2040	205D3020	3FD8205D	28103030	20575490
2050	392C205E	38203F10	10364C00	00F10010
2060	00041030	E0207930	20645090	39DC2079
2070	2C103638	20644C00	0005xxxx	xxxxxx
2080	xxxxxx	xxxxxx	xxxxxx	xxxxxx
:	:	:	:	:

COPY

## Machine-Dependent Loader Features

1. Relocation
2. Program Linking
3. Algorithm and Data structures

Absolute loader is simple and efficient, but the scheme has potential disadvantages. One of the most disadvantage is the programmer has to specify the actual starting address, from where the program to be loaded. This does not create difficulty, if one program to run, but not for several programs. Further it is difficult to use subroutine libraries efficiently. This needs the design and implementation of a more complex loader. The loader must provide program relocation and linking, as well as simple loading functions.

### 1. Relocation

The concept of program relocation is, the execution of the object program using

any part of the available and sufficient memory. The object program is loaded into memory wherever there is room for it. The actual starting address of the object program is not known until load time. Relocation provides the efficient sharing of the machine with larger memory and when several independent programs are to be run together. It also supports the use of subroutine libraries efficiently. Loaders that allow for program relocation are called relocating loaders or relative loaders.

### **Methods for specifying relocation**

Two methods for specifying relocation as part of the object program.

Modification Record(for SIC/XE)

Relocation Bit(for SIC)

#### **1. Modification Record(for SIC/XE)**

In the case of modification record, a modification record M is used in the object program to specify any relocation. In the case of use of relocation bit, each instruction is associated with one relocation bit and, these relocation bits in a Text record is gathered into bit masks. Modification records are used in complex machines and is also called Relocation and Linkage Directory (RLD) specification. The format of the modification record (M) is as follows. The object program with relocation by Modification records is also shown here.

#### **Modification record**

col 1: M

col 2-7: relocation address

col 8-9: length (halfbyte)

col 10: flag (+/-)

col 11-17: segment name

Line	Loc		Source statement		Object code
5	0000	COPY	START 0		
10	0000	FIRST	STL RETADR		17202D
12	0003		LDB #LENGTH		69202D
13			BASE LENGTH		
15	0006	CLOOP	+JSUB RDREC		4B101036
20	000A		LDA LENGTH		032026
25	000D		COMP #0		290000
30	0010		JEQ ENDFIL		332007
35	0013		+JSUB WRREC		4B10105D
40	0017		J CLOOP		3F2FEC
45	001A	ENDFIL	LDA EOF		032010
50	001D		STA BUFFER		0F2016
55	0020		LDA #3		010003
60	0023		STA LENGTH		0F200D
65	0026		+JSUB WRREC		4B10105D
70	002A		J @RETADR		3E2003

Chapter 3 Loaders and Linkers

Add the starting address  
of the program

```

HCOPY 000000001077
T0000001D17202D69202D4B1010360320262900003320074B10105D3F2FEC032010
T00001D130F20160100030F200D4B10105D3E2003454F46
T0010361DB410B400B44075101000E32019332FFADB2013A00433200857C003B850
T0010531D3B2FEA13400010000F1B410774000E32011332FFA53C003DF2008B850
T001070073B2FEF4F00005

M00000705+COPY
M00001405+COPY
M00002705+COPY
E000000
  
```

{ There is one modification record  
for each address need to be relocated.

The Modification record is not well suited for use with all machine architectures. **Too many modification records will be needed in certain situations.**(usually in SIC machine instructions)  
Hence we use relocation bit mask.

## 2. Relocation Bit(for SIC)

The relocation bit method is used for simple machines. This is specified in the columns 10 -12 of text record (T), the format of text record, along with relocation bits is as follows

**Relocation bit is either 0 nor 1**

**0: no modification is necessary**

**1: modification is needed**

**Twelve-bit mask is used in each Text record since each text record contains less than 12 words unused words are set to 0**

### Text record

col 1: T

col 2-7: starting address

col 8-9: length (byte)

col 10-12: relocation bits

col 13-72: object code

```
HCOPY 00000000107A
T0000001EFFC1400334810390000362800303000154810613C000300002A0C003900002D
T00001E15E000C00364810610800334C0000454F46000003000000
T0010391EFFC040030000030E0105D30103FD8105D2800303010575480392C105E38103F
T0010570A8001000364C0000F1001000
T00106119FE0040030E01079301064508039DC10792C00363810644C000005
E000000
```

## 2.Program Linking

The Goal of program linking is to resolve the problems with external references (EXTREF) and external definitions (EXTDEF) from different control sections.

**EXTDEF (external definition)** - The EXTDEF statement in a control section names symbols, called external symbols, that are defined in this (present) control section and may be used by other sections.

ex: **EXTDEF** BUFFER, BUFFEND, LENGTH  
**EXTDEF** LISTA, ENDA

**EXTREF (external reference)** - The EXTREF statement names symbols used in this (present) control section and are defined elsewhere.

ex: **EXTREF** RDREC, WRREC  
**EXTREF** LISTB, ENDB, LISTC, ENDC

## How to implement EXTDEF and EXTREF

The assembler must include information in the object program that will cause the loader to insert proper values where they are required – in the form of **Define record (D)** and, **Refer record(R)**.

### Define record

The format of the Define record (D) along with examples is as shown here.

Col. 1	D
Col. 2-7	Name of external symbol defined in this control section
Col. 8-13	Relative address within this control section (hexadecimal)
Col.14-73	Repeat information in Col. 2-13 for other external symbols

Example records

D LISTA 000040 ENDA 000054

D LISTB 000060 ENDB 000070

### Refer record

The format of the Refer record (R) along with examples is as shown here.

Col. 1	R
Col. 2-7	Name of external symbol referred to in this control section
Col. 8-73	Name of other external reference symbols

### Example records

```
R LISTB ENDB LISTC ENDC R
LISTA ENDA LISTC ENDC R LISTA
ENDA LISTB ENDB
```

Here are the three programs named as PROGA, PROGB and PROGC, which are separately assembled and each of which consists of a single control section. LISTA, ENDA in PROGA, LISTB, ENDB in PROGB and LISTC, ENDC in PROGC are external definitions in each of the control sections. Similarly LISTB, ENDB, LISTC, ENDC in PROGA, LISTA, ENDA, LISTC, ENDC in PROGB, and LISTA, ENDA, LISTB, ENDB in PROGC, are external references. These sample programs given here are used to illustrate linking and relocation.

0000	<b>PROGA</b>	START	0	
		EXTDEF	LISTA, ENDA	
		EXTREF	LISTB, ENDB, LISTC, ENDC	
		.....		
		.....		
0020	REF1	LDA	LISTA	03201D
0023	REF2	+LDT	LISTB+4	77100004
0027	REF3	LDX	#ENDA-LISTA	050014
		..		
0040	LISTA	EQU	*	
0054	ENDA	EQU	*	
0054	REF4	WORD	ENDA-LISTA+LISTC	000014
0057	REF5	WORD	ENDC-LISTC-10	FFFFF6
005A	REF6	WORD	ENDC-LISTC+LISTA-1	00003F
005D	REF7	WORD	ENDA-LISTA-(ENDB-LISTB)	000014
0060	REF8	WORD	LISTB-LISTA	FFFFC0
		END	REF1	

### CONTROL SECTION- PROGB

0000	<b>PROGB</b>	START	0	 <b>External definition and References</b>
		EXTDEF	LISTB, ENDB	
		EXTREF	LISTA, ENDA, LISTC, ENDC	
		.....	.....	
		.....	.....	
0036	REF1	+LDA	LISTA	03100000
003A	REF2	LDT	LISTB+4	772027
003D	REF3	+LDX	#ENDA-LISTA	05100000
.	.	.	.	.
0060	LISTB	EQU	*	
0070	ENDB	EQU	*	
0070	REF4	WORD	ENDA-LISTA+LISTC	000000
0073	REF5	WORD	ENDC-LISTC-10	FFFFF6
0076	REF6	WORD	ENDC-LISTC+LISTA-1	FFFFFF
0079	REF7	WORD	ENDA-LISTA-(ENDB-LISTB)FFFFF0	
007C	REF8	WORD	LISTB-LISTA	000060
		END		

0000	<b>PROGC</b>	START	0	 <b>External definition and References</b>
		EXTDEF	LISTC, ENDC	
		EXTREF	LISTA, ENDA, LISTB, ENDB	
		.....	.....	
		.....	.....	

.....

0018	REF1	+LDA	LISTA	03100000
001C	REF2	+LDT	LISTB+4	77100004
0020	REF3	+LDX	#ENDA-LISTA	05100000
0030	LISTC	EQU	*	
0042	ENDC	EQU	*	
0042	REF4	WORD	ENDA-LISTA+LISTC	000030
0045	REF5	WORD	ENDC-LISTC-10	000008
0045	REF6	WORD	ENDC-LISTC+LISTA-1	000011
004B	REF7	WORD	ENDA-LISTA-(ENDB-LISTB)	000000
004E	REF8	WORD	LISTB-LISTA	000000
		END		

**H PROGA 000000 000063**  
**D LISTA 000040 ENDA 000054**  
**R LISTB ENDB LISTC ENDC**

.

T 000020 0A 03201D 77100004 050014

.

T 000054 OF 000014 FFFF6 00003F 000014 FFFFC0  
M000024 05+LISTB  
M000054 06+LISTC  
M000057 06+ENDC  
M000057 06 -LISTC  
M00005A06+ENDC  
M00005A06 -LISTC  
M00005A06+PROGA  
M00005D06-ENDB  
M00005D06+LISTB  
M00006006+LISTB  
M00006006-PROGA  
E000020

**H PROGB 000000 00007F**  
**D LISTB 000060 ENDB 000070**  
**R LISTA ENDA LISTC ENDC**

.

T 000036 0B 03100000 772027 05100000

.

T 000007 OF 000000 FFFFF6 FFFFFF FFFFF0 000060  
M000037 05+LISTA  
M00003E 06+ENDA  
M00003E 06 -LISTA  
M000070 06 +ENDA  
M000070 06 -LISTA  
M000070 06 +LISTC  
M000073 06 +ENDC  
M000073 06 -LISTC  
M000073 06 +ENDC  
M000076 06 -LISTC  
M000076 06+LISTA  
M000079 06+ENDA

```

H PROGC 000000 000051
D LISTC 000030 ENDC 000042
R LISTA ENDA LISTB ENDB

.
T 000018 0C 03100000 77100004 05100000

.
T 000042 0F 000030 000008 000011 000000 000000
M000019 05+LISTA
M00001D 06+LISTB
M000021 06+ENDA
M000021 06 -LISTA
M000042 06+ENDA
M000042 06 -LISTA
M000042 06+PROGC
M000048 06+LISTA
M00004B 06+ENDA
M00004B 006-LISTA
M00004B 06-ENDB
M00004B 06+LISTB
M00004E 06+LISTB
M00004E 06-LISTA

```

## Two Passes Logic

**Pass 1: assign addresses to all external symbols**

**Pass 2: perform the actual loading, relocation, and linking**

Consider the case in which the program is loaded in the memory location 4000 . Then the control section PROGA is loaded in 4000 and PROGB is loaded at 4063(4000+ 63(length of program A))

Load address for control sections

» PROGA	004000	63
» PROGB	004063	7F
» PROGC	0040E2	51

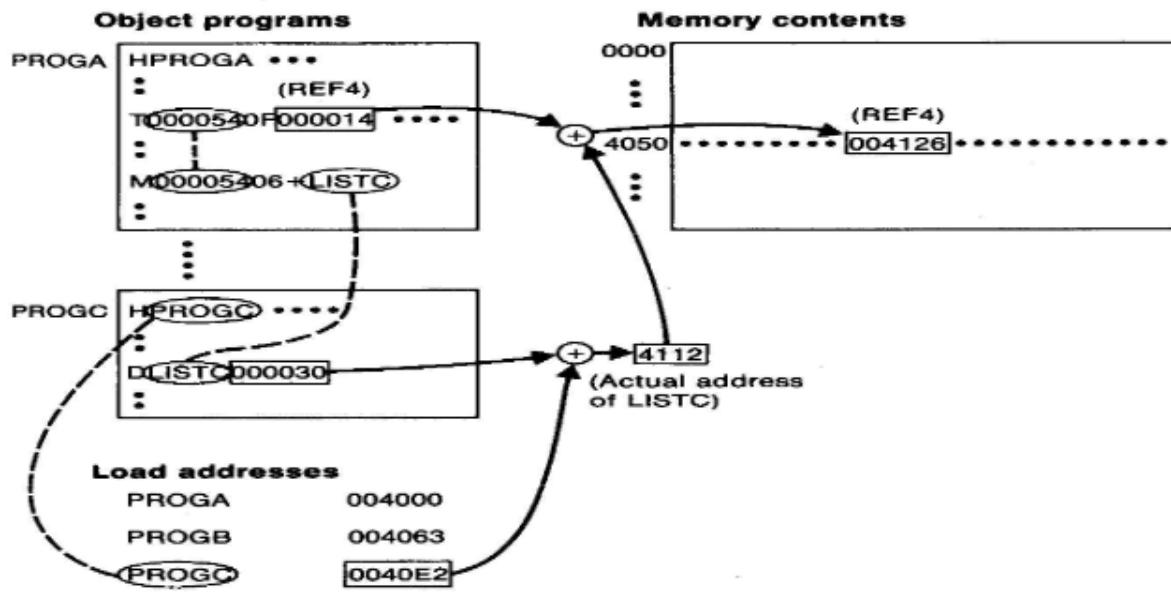
<b>Control section</b>	<b>Symbol name</b>	<b>Address</b>	<b>Length</b>
PROGA		4000	0063
	LISTA	4040	
	ENDA	4054	
PROGB	<b>4000+0063=</b>	4063	007F
	LISTB	40C3	
	ENDB	40D3	
PROGC	<b>4063+007F=</b>	40E2	0051
	LISTC	4112	
	ENDC	4124	

Load address for symbols

- » LISTA: PROGA+0040=4040
- » LISTB: PROGB+0060=40C3
- » LISTC: PROGC+0030=4112

REF4 in PROGA

- » ENDA-LISTA+LISTC=14+4112=4126
- » T0000540F000014FFFFF600003F000014FFFC0
- » M00005406+LISTC
- »



**Figure 3.10(b)** Relocation and linking operations performed on REF4 from PROGA.

After these control sections are linked, relocated, and loaded

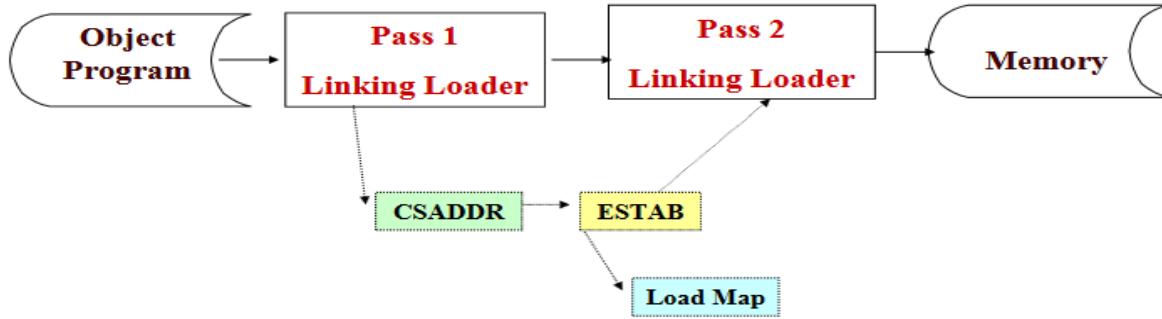
### 3. Algorithm and Data structures for a Linking Loader

The algorithm for a linking loader is considerably more complicated than the absolute loader program, which is already given. The concept given in the program linking section is used for developing the algorithm for linking loader. The modification records are used for relocation so that the linking and relocation functions are performed using the same mechanism. Linking Loader uses two-passes logic. ESTAB (external symbol table) is the main data structure for a linking loader. Input is a set of object programs, i.e., control sections. A linking loader usually makes two passes over its input, just as an assembler does

Pass 1: Assign addresses to all external symbols

Pass 2: Perform the actual loading, relocation, and linking

ESTAB - ESTAB for the example (refer three programs PROGA PROGB and PROGC) given is as shown below. The ESTAB has four entries in it; they are name of the control section, the symbol appearing in the control section, its address and length of the control section.



## Data Structures

- External Symbol Table (ESTAB)
  - For each external symbol, ESTAB stores
    - its ***name***
    - its ***address***
    - in which ***control section*** the symbol is defined
  - ***Hashed organization***
- Program Load Address (PROGADDR)
  - PROGADDR is the ***beginning address*** in memory where the ***linked program*** is to be loaded (supplied by ***OS***).
- Control Section Address (CSADDR)
  - CSADDR is the ***starting address*** assigned to the ***control section*** currently being scanned by the ***loader***.
- Control section length (CSLTH)

Control section	Symbol	Address	Length
Program A		4000	63
	LISTA	4040	
	ENDA	4054	
Program B		4063	7F
	LISTB	40C3	
Program C	ENDB	40D3	
		40E2	51
	LISTC	4112	
	ENDC	4124	

## Pass 1 Program Logic

### Assign addresses to all external symbols

- Loader is concerned only with Header and Define records in the control sections

### To build up ESTAB

- Add control section name into ESTAB
- Add all external symbols in the Define record into ESTAB

Pass 1:

```

begin
  get PROGADDR from operating system
  set CSADDR to PROGADDR {for first control section}
  while not end of input do
    begin
      read next input record {Header record for control section}
      set CSLTH to control section length
      search ESTAB for control section name
      if found then
        set error flag {duplicate external symbol}
      else
        enter control section name into ESTAB with value CSADDR
    while record type ≠ 'E' do
      begin
        read next input record
        if record type = 'D' then
          for each symbol in the record do
            begin
              search ESTAB for symbol name
              if found then
                set error flag {duplicate external symbol}
              else
                enter symbol into ESTAB with value
                  (CSADDR + indicated address)
            end {for}
        end {while ≠ 'E'}
        add CSLTH to CSADDR {starting address for next control section}
      end {while not EOF}
    end {Pass 1}
  
```

## Pass 2 Program Logic

- Perform the actual loading, relocation, and linking
- When Text record is encountered read into the specified address (+CSADDR)
- When Modification record is encountered
  - Lookup the symbol in ESTAB
  - This value is then added to or subtracted from the indicated location in memory
- When the End record is encountered
  - Transfer control to the loaded program to begin execution

Pass 2:

```

begin
set CSADDR to PROGADDR
set EXECADDR to PROGADDR
while not end of input do
begin
    read next input record {Header record}
    set CSLTH to control section length
    while record type ≠ 'E' do
        begin
            read next input record
            if record type = 'T' then
                begin
                    {if object code is in character form, convert
                     into internal representation}
                    move object code from record to location
                    (CSADDR + specified address)
                end {if 'T'}
            else if record type = 'M' then
                begin
                    search ESTAB for modifying symbol name
                    if found then
                        add or subtract symbol value at location
                        (CSADDR + specified address)
                    else
                        set error flag (undefined external symbol)
                end {if 'M'}
            end {while ≠ 'E'}
        if an address is specified {in End record} then
            set EXECADDR to (CSADDR + specified address)
        add CSLTH to CSADDR
    end {while not EOF}
jump to location given by EXECADDR {to start execution of loaded program}
end {Pass 2}

```

## A Simple Bootstrap Loader

The bootstrap itself begins at address 0 in the memory of the machine

It loads the OS (or some other program) starting address 0x80

- The object code from device F1 is always loaded into consecutive bytes of memory, starting at address 80.

After all the object code from device F1 has been loaded, the bootstraps jumps to address 80

- Begin the execution of the program that was loaded.
- 

```

BOOT      START      0          BOOTSTRAP LOADER FOR SIC/XE

. THIS BOOTSTRAP READS OBJECT CODE FROM DEVICE F1 AND ENTERS IT
. INTO MEMORY STARTING AT ADDRESS 80 (HEXADECIMAL). AFTER ALL OF
. THE CODE FROM DEVF1 HAS BEEN SEEN ENTERED INTO MEMORY, THE
. BOOTSTRAP EXECUTES A JUMP TO ADDRESS 80 TO BEGIN EXECUTION OF
. THE PROGRAM JUST LOADED. REGISTER X CONTAINS THE NEXT ADDRESS
. TO BE LOADED.

.           CLEAR     A          CLEAR REGISTER A TO ZERO
.           LDX      #128      INITIALIZE REGISTER X TO HEX 80
LOOP      JSUB     GETC      READ HEX DIGIT FROM PROGRAM BEING LOADE
.           RMO      A,S       SAVE IN REGISTER S
.           SHIFTL   S,4       MOVE TO HIGH-ORDER 4 BITS OF BYTE
.           JSUB     GETC      GET NEXT HEX DIGIT
.           ADDR     S,A       COMBINE DIGITS TO FORM ONE BYTE
.           STCH     0,X       STORE AT ADDRESS IN REGISTER X
.           TIXR     X,X       ADD 1 TO MEMORY ADDRESS BEING LOADED
.           J        LOOP      LOOP UNTIL END OF INPUT IS REACHED

```

---

- . SUBROUTINE TO READ ONE CHARACTER FROM INPUT DEVICE AND
- . CONVERT IT FROM ASCII CODE TO HEXADECIMAL DIGIT VALUE. THE
- . CONVERTED DIGIT VALUE IS RETURNED IN REGISTER A. WHEN AN
- . END-OF-FILE IS READ, CONTROL IS TRANSFERRED TO THE STARTING
- . ADDRESS (HEX 80).

GETC	TD	INPUT	TEST INPUT DEVICE
	JEQ	GETC	LOOP UNTIL READY
	RD	INPUT	READ CHARACTER
	COMP	#4	IF CHARACTER IS HEX 04 (END OF FILE),
	JEQ	80	JUMP TO START OF PROGRAM JUST LOADED
	COMP	#48	COMPARE TO HEX 30 (CHARACTER '0')
	JLT	GETC	SKIP CHARACTERS LESS THAN '0'
	SUB	#48	SUBTRACT HEX 30 FROM ASCII CODE
	COMP	#10	IF RESULT IS LESS THAN 10, CONVERSION IS
	JLT	RETURN	COMPLETE. OTHERWISE, SUBTRACT 7 MORE
	SUB	#7	(FOR HEX DIGITS 'A' THROUGH 'F')
RETURN	RSUB		RETURN TO CALLER
INPUT	BYTE	X'F1'	CODE FOR INPUT DEVICE
	END	LOOP	

---

## MACHINE-INDEPENDENT LOADER FEATURES

Loading and linking are often thought of as OS service functions. Therefore, most loaders include fewer different features than are found in a typical assembler. They include the use of an automatic library search process for handling external reference and some common options that can be selected at the time of loading and linking.

### 1. Automatic Library Search

Many linking loaders can automatically incorporate routines from a subprogram library into the program being loaded. Linking loaders that support *automatic library search* must keep track of external symbols that are referred to, but not defined, in the primary input to the loader. At the end of Pass 1, the symbols in ESTAB that remain undefined represent unresolved external references. The loader searches the library or libraries specified for routines that contain the definitions of these symbols, and processes the subroutines found by this search exactly as if they had been part of the primary input stream. The subroutines fetched from a library in this way may

themselves contain external references. It is therefore necessary to repeat the library search process until all references are resolved. If unresolved external references remain after the library search is completed, these must be treated as errors.

## 2. Loader Options

Many loaders allow the user to specify options that modify the standard processing.

- **Typical loader option 1:** Allows the selection of alternative sources of input.

Ex : INCLUDE program-name (library-name) might direct the loader to read the designated object program from a library and treat it as if it were part of the primary loader input.

- **Loader option 2:** Allows the user to delete external symbols or entire control sections.

Ex : DELETE csect-name might instruct the loader to delete the named control section(s) from the set of programs being loaded.

CHANGE name1, name2 might cause the external symbol name1 to be changed to name2 wherever it appears in the object programs.

- **Loader option 3:** Involves the automatic inclusion of library routines to satisfy external references.

Ex. : LIBRARY MYLIB

Such user-specified libraries are normally searched before the standard system libraries. This allows the user to use special versions of the standard routines.

NOCALL STDDEV, PLOT, CORREL

To instruct the loader that these external references are to remain unresolved. This avoids the overhead of loading and linking the unneeded routines, and saves the memory space that would otherwise be required.

### LOADER DESIGN OPTIONS

Linking loaders perform all linking and relocation at load time.

- There are two alternatives:

1. **Linkage editors**, which perform linking prior to load time.
  2. **Dynamic linking**, in which the linking function is performed at execution time.
- Precondition: The source program is first assembled or compiled, producing an object program.
  - A **linking loader** performs all linking and relocation operations, including automatic library search if specified, and loads the linked program directly into memory for execution.
  - A **linkage editor** produces a linked version of the program (load module or executable image), which is written to a file or library for later execution.

### **Linkage Editors**

The linkage editor performs relocation of all control sections relative to the start of the linked program. Thus, all items that need to be modified at load time have values that are relative to the start of the linked program. This means that the loading can be accomplished in one pass with no external symbol table required. If a program is to be executed many times without being reassembled, the use of a linkage editor substantially reduces the overhead required. Linkage editors can perform many useful functions besides simply preparing an object program for execution. Ex., a typical sequence of linkage editor commands used:

```

INCLUDE PLANNER (PROGLIB)

DELETE PROJECT {delete from existing PLANNER}

INCLUDE PROJECT (NEWLIB) {include new version}

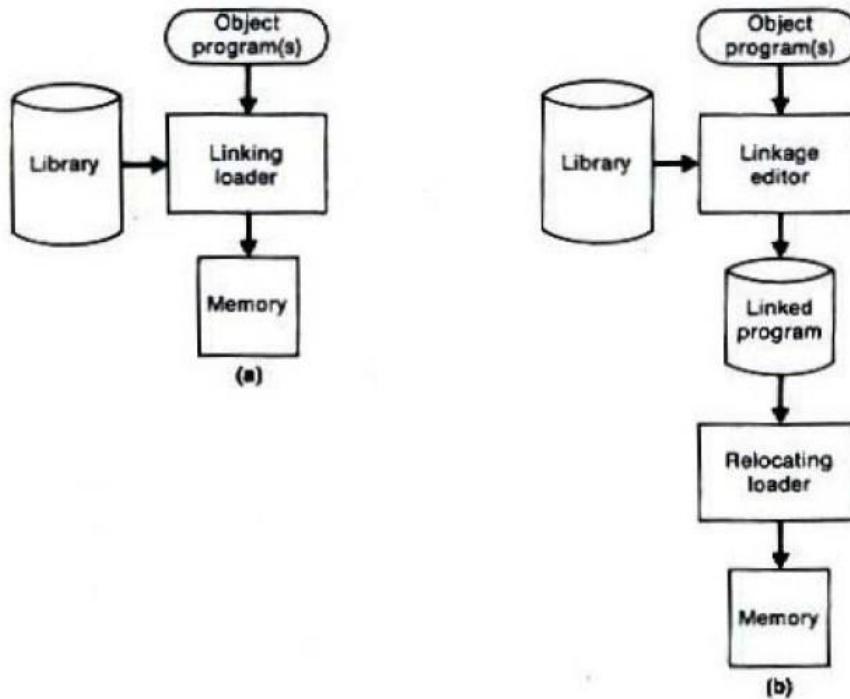
REPLACE PLANNER (PROGLIB)

```

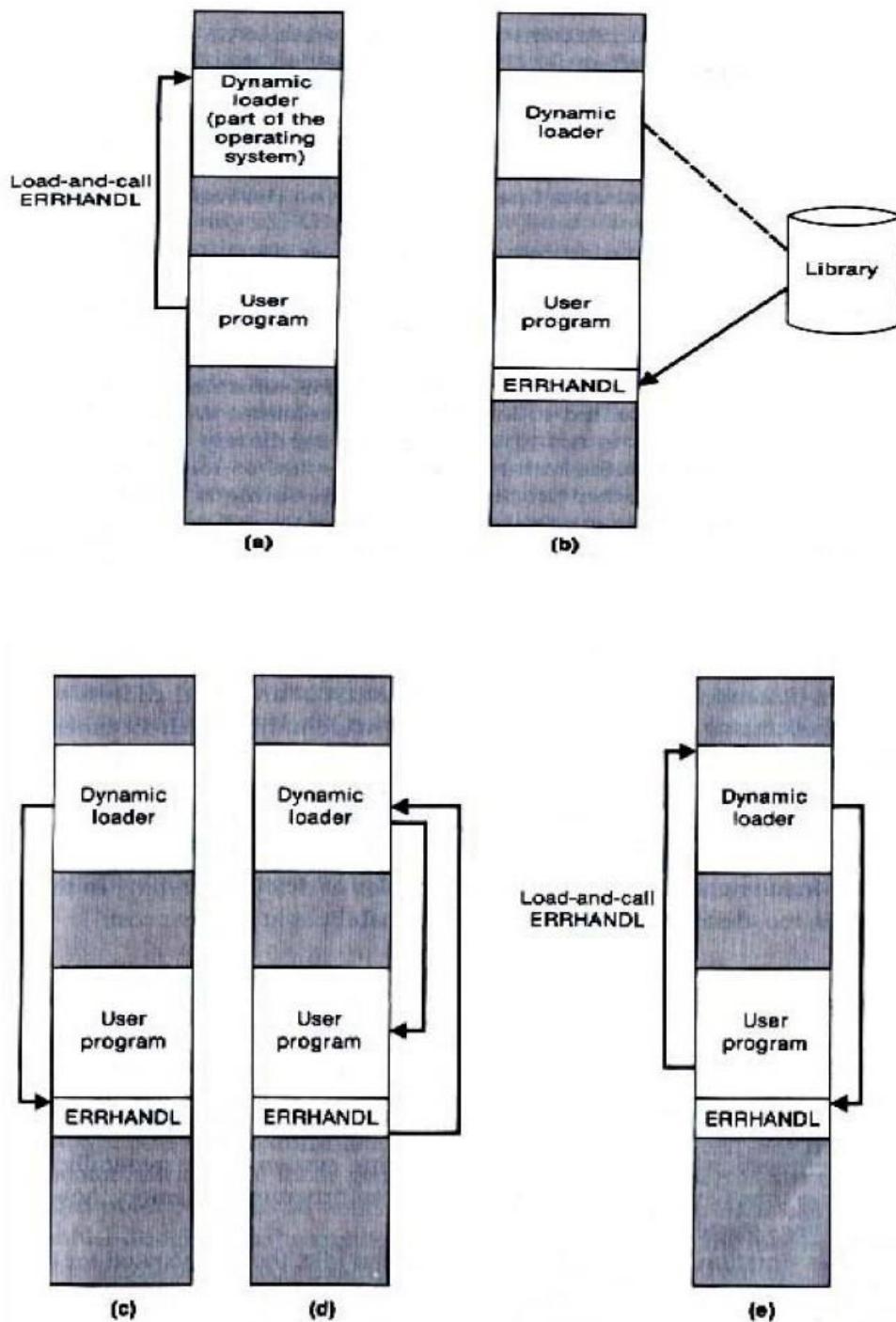
Linkage editors can also be used to build packages of subroutines or other control sections that are generally used together. This can be useful when dealing with subroutine libraries that support high-level programming languages. Linkage editors often include a variety of other options and commands like those discussed for linking loaders. Compared to linking loaders, linkage editors in general tend to offer more flexibility and control.

Processing of an object program using (a) Linking loader and (b) Linkage editor

### Dynamic Linking



Linkage editors perform linking operations before the program is loaded for execution. Linking loaders perform these same operations at load time. Dynamic linking, dynamic loading, or load on call postpones the linking function until execution time: a subroutine is loaded and linked to the rest of the program when it is first called. Dynamic linking is often used to allow several executing programs to share one copy of a subroutine or library, ex. run-time support routines for a high-level language like C. With a program that allows its user to interactively call any of the subroutines of a large mathematical and statistical library, all of the library subroutines could potentially be needed, but only a few will actually be used in any one execution. Dynamic linking can avoid the necessity of loading the entire library for each execution except those necessary subroutines.



**Fig (a):** Instead of executing a JSUB instruction referring to an external symbol, the

program makes a load-and-call service request to OS. The parameter of this request is the symbolic name of the routine to be called.

**Fig (b):** OS examines its internal tables to determine whether or not the routine is already loaded. If necessary, the routine is loaded from the specified user or system libraries.

**Fig (c):** Control is then passed from OS to the routine being called

**Fig (d):** When the called subroutine completes its processing, it returns to its caller (i.e., OS). OS then returns control to the program that issued the request.

**Fig (e):** If a subroutine is still in memory, a second call to it may not require another load operation. Control may simply be passed from the dynamic loader to the called routine

## Module 5

### MACRO PROCESSOR

A *Macro* represents a commonly used group of statements in the source programming language. A macro instruction (macro) is a notational convenience for the programmer. It allows the programmer to write shorthand version of a program (module programming).

The macro processor replaces each macro instruction with the corresponding group of source language statements (*expanding*). Normally, it performs no analysis of the text it handles. It does not concern the meaning of the involved statements during macro expansion. The design of a macro processor generally is *machine independent!*

Two new assembler directives are used in macro definition

**MACRO:** identify the beginning of a macro definition

**MEND:** identify the end of a macro definition

**Prototype for the macro** each parameter begins with ‘&’  
macroname MACRO parameters

:

body (the statements that will be generated as the expansion of the macro).

:

MEND

### Basic Macro Processor Functions:

1. Macro Definition and Expansion
2. Macro Processor Algorithms and Data structures

### Macro Definition and Expansion:

In Figure the left block shows the MACRO definition and the right block shows the expanded macro replacing the MACRO call with its block of executable instruction. M1 is a macro with two parameters D1 and D2. The MACRO stores the contents of register A in D1 and the contents of register B in D2. Later M1 is invoked with the

parameters DATA1 and DATA2, Second time with DATA4 and DATA3. Every call of MACRO is expended with the executable statements.

<b>Source</b>	<b>Expanded source</b>
M1 MACRO &D1, &D2	.
STA &D1	.
STB &D2	.
MEND	{ STA DATA1 STB DATA2
M1 DATA1, DATA2	.
M1 DATA4, DATA3	{ STA DATA4 STB DATA3

Fig 6.1: macro call

The statement M1 DATA1, DATA2 is a macro invocation statements that gives the name of the macro instruction being invoked and the arguments (M1 and M2) to be used in expanding. A macro invocation is referred as a Macro Call or Invocation.

## 2 . *Macro Expansion:*

The program with macros is supplied to the macro processor. Each macro invocation statement will be expanded into the statements that form the body of the macro, with the arguments from the macro invocation substituted for the parameters in the macro prototype. During the expansion, the macro definition statements are deleted since they are no longer needed. The arguments and the parameters are associated with one another according to their positions. The first argument in the macro matches with the first parameter in the macro prototype and so on.

After *macro processing* the expanded file can become the input for the *Assembler*. The *Macro Invocation* statement is considered as comments and the statement generated

from expansion is treated exactly as though they had been written directly by the programmer. The difference between *Macros* and *Subroutines* is that the statement s from the body of the Macro is expanded the number of times the macro invocation is encountered, whereas the statement of the subroutine appears only once no matter how many times the subroutine is called. Macro instructions will be written so that the body of the macro contains no labels.

### **Problem of the label in the body of macro:**

If the same macro is expanded multiple times at different places in the program ...

There will be *duplicate labels*, which will be treated as errors by the assembler.

### **Solutions:**

Do not use labels in the body of macro.

Explicitly use PC-relative addressing instead.

The following program shows the concept of Macro Invocation and Macro Expansion.

I/O .		MAIN PROGRAM		
175	.			
180	FIRST	STL	RETADR	SAVE RETURN ADDRESS
190	CLOOP	RDBUFF	F1,BUFFER,LENGTH	READ RECORD INTO BUFFER
195		LDA	LENGTH	TEST FOR END OF FILE
200		COMP	#0	
205		JEQ	ENDFIL	EXIT IF EOF FOUND
210		WRBUFF	05,BUFFER,LENGTH	WRITE OUTPUT RECORD
215		J	CLOOP	LOOP
220	ENDFIL	WRBUFF	05,EOF,THREE	INSERT EOF MARKER
225		J	@RETADR	
230	EOF	BYTE	C'EOF'	
235	THREE	WORD	3	
240	RETADR	RESW	1	
245	LENGTH	RESW	1	LENGTH OF RECORD
250	BUFFER	RESB	4096	4096-BYTE BUFFER AREA
255		END	FIRST	

0	CLOP	STAKI	M	LOAD FILE FROM INPUT TO OUTPUT
180	FIRST	STL	RETADR	SAVE RETURN ADDRESS
190	.CLOOP	RDBUFF	F1,BUFFER,LENGTH	READ RECORD INTO BUFFER
190a	CLOOP	CLEAR	X	CLEAR LOOP COUNTER
190b		CLEAR	A	
190c		CLEAR	S	
190d		+LDT	#4096	SET MAXIMUM RECORD LENGTH
190e		TD	=X'F1'	TEST INPUT DEVICE
190f		JEQ	*-3	LOOP UNTIL READY
190g		RD	=X'F1'	TEST FOR END OF RECORD
190h		COMPR	A, S	TEST FOR END OF RECORD
190i		JEQ	*+11	EXIT LOOP IF EOR
190j		STCH	BUFFER, X	STORE CHARACTER IN BUFFER
190k		TIXR	T	LOOP UNLESS MAXIMUM LENGTH
190l		JLT	*-19	HAS BEEN REACHED
190M		STX	LENGTH	SAVE RECORD LENGTH

Fig 6.2:

concept of Macro Invocation and Macro Expansion.

### Macro Processor Algorithm and Data Structure:

Design can be done as two-pass or a one-pass macro. In case of two-pass assembler.

#### Two-pass macro processor

- You may design a two-pass macro processor

Pass 1: Process all macro definitions

Pass 2: Expand all macro invocation statements

However, one-pass may be enough because all macros would have to be defined during the first pass before any macro invocations were expanded. The definition of a macro must appear before any statements that invoke that macro.

Moreover, the body of one macro can contain definitions of the other macro · Consider the example of a Macro defining another Macro.

In the below given example the body of the first Macro (MACROS) contains statement that define RDBUFF, WRBUFF and other macro instructions for SIC machine. · The body of the second Macro (MACROX) defines the same macros for SIC/XE machine.

- A proper invocation would make the same program to perform macro invocation to run on either SIC or SIC/XEmachine.

### MACROS for SIC machine

{ 1      MACROS 2      RDBUFF    MACRO  3      WRBUFF    MACRO  5      MEND  6      MEND	MACOR    MACRO . . MEND    MACRO . MEND . . MEND	{Defines SIC standard version macros} &INDEV,&BUFADR,&RECLTH  {SIC standard version}  {End of RDBUFF} &OUTDEV,&BUFADR,&RECLTH  {SIC standard version} {End of WRBUFF}  {End of MACROS}
------------------------------------------------------------------------------------------------------------------	--------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

**Fig 4.3(a)**

### MACROX for SIC/XE Machine

{ 1      MACROX 2      RDBUFF    MACRO  3      WRBUFF    MACRO  5      MEND  6      MEND	MACRO    MACRO . . MEND    MACRO . MEND . . MEND	{Defines SIC/XE macros} &INDEV,&BUFADR,&RECLTH  {SIC/XE version}  {End of RDBUFF} &OUTDEV,&BUFADR,&RECLTH  {SIC/XE version} {End of WRBUFF}  {End of MACROX}
------------------------------------------------------------------------------------------------------------------	--------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

**Fig 4.3(b)**

A program that is to be run on SIC system could invoke MACROS whereas a program to be run on SIC/XE can invoke MACROX. However, defining MACROS or MACROX does not define RDBUFF and WRBUFF. These definitions are processed only when an invocation of MACROS or MACROX is expanded.

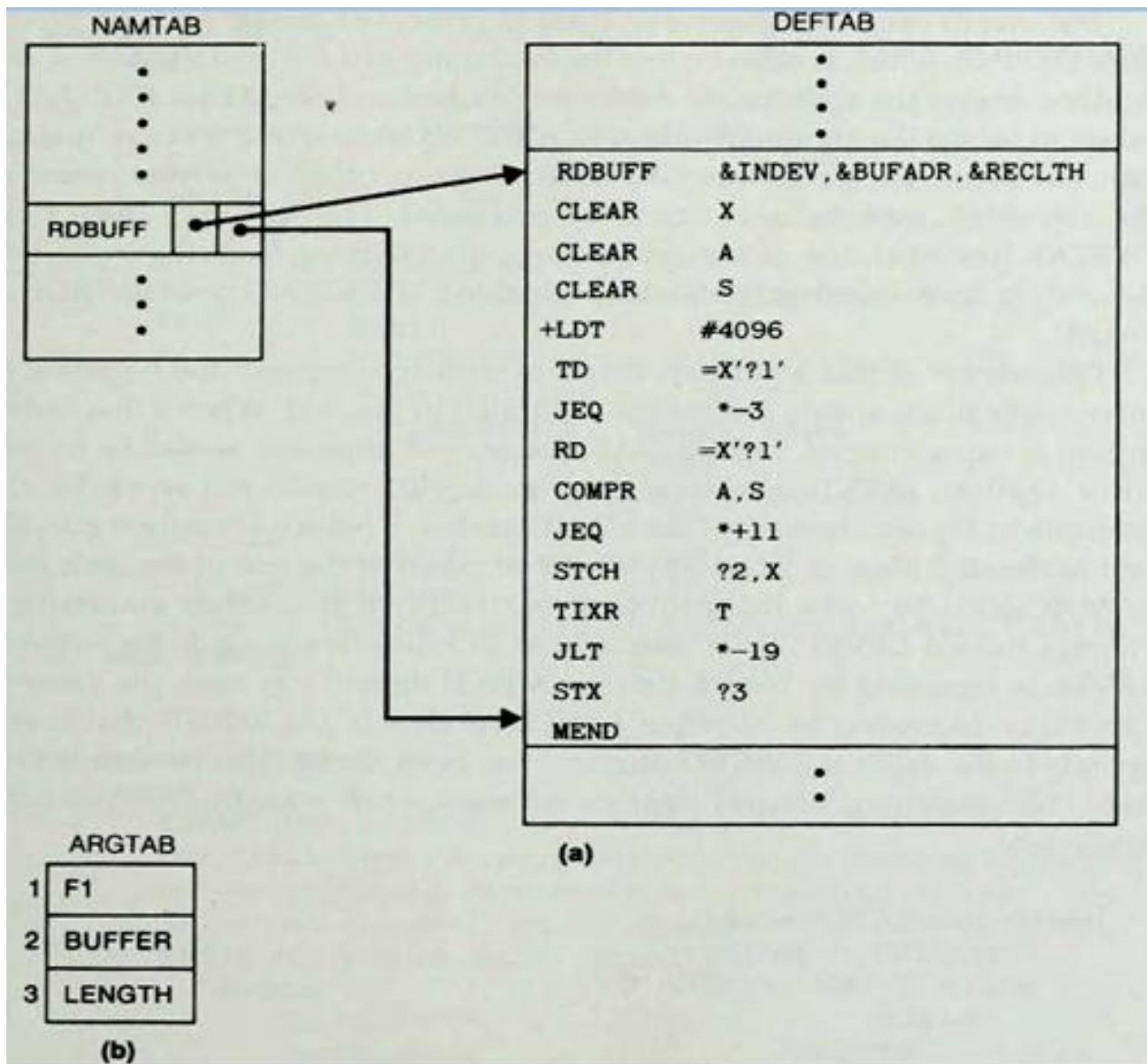
**One-Pass Macro Processor:** A one-pass macro processor that alternate between *macro definition* and *macro expansion* in a recursive way is able to handle recursive macro definition.

Restriction -The definition of a macro must appear in the source program before any statements that invoke that macro. This restriction does not create any real inconvenience.The design considered is for one-pass assembler.

### **The data structures required are:**

1. DEFTAB (Definition Table) -Stores the macro definition including macro prototype and macro body o Comment lines are omitted. References to the macro instruction parameters are converted to a positional notation for efficiency in substituting arguments.
2. NAMTAB (Name Table) - Stores macro names and Serves as an index to DEFTAB . Pointers to the beginning and the end of the macro definition (DEFTAB)
3. ARGTAB (Argument Table) -Stores the arguments according to their positions in the argument list. o As the macro is expanded the arguments from the Argument table are substituted for the corresponding parameters in the macro body.

The figure below shows the different data structures described and their relationship.



**Fig 6.4:** data structures and their relationship.

The above figure shows the portion of the contents of the table during the processing of the program in page no. 3. In fig 4.4(a) definition of RDBUFF is stored in DEFTAB, with an entry in NAMTAB having the pointers to the beginning and the end of the definition. The arguments referred by the instructions are denoted by their positional notations.

For example,

TD =X'?1'

The above instruction is to test the availability of the device whose number is given by the parameter & INDEV. In the instruction this is replaced by its positional value? 1. Figure 4.4(b) shows the ARTAB as it would appear during expansion of the RDBUFF statement as given below:

CLOOP RDBUFF F1, BUFFER, LENGTH

For the invocation of the macro RDBUFF, the first parameter is F1 (input device code), second is BUFFER (indicating the address where the characters read are stored), and the third is LENGTH (which indicates total length of the record to be read). When the ?n notation is encountered in a line from DEFTAB, a simple indexing operation supplies the proper argument from ARGTAB.

The algorithm of the Macro processor is given below. This has the procedure DEFINE to make the entry of *macro name* in the NAMTAB, *Macro Prototype* in DEFTAB. EXPAND is called to set up the argument values in ARGTAB and expand a *Macro Invocation* statement. Procedure GETLINE is called to get the next line to be processed either from the DEFTAB or from the file itself.

When a macro definition is encountered it is entered in the DEFTAB. The normal approach is to continue entering till MEND is encountered. If there is a program having a Macro defined within another Macro.

While defining in the DEFTAB the very first MEND is taken as the end of the Macro definition. This does not complete the definition as there is another outer Macro which completes the definition of Macro as a whole. Therefore the DEFINE procedure keeps a counter variable LEVEL.

Every time a Macro directive is encountered this counter is incremented by 1. The moment the innermost Macro ends indicated by the directive MEND it starts decreasing the value of the counter variable by one. The last MEND should make the counter value set to zero. So when LEVEL becomes zero, the MEND corresponds to the original MACRO directive.

Most macro processors allow the definitions of the commonly used instructions to appear in a standard system library, rather than in the source program. This makes the use of macros convenient; definitions are retrieved from the library as they are needed during macro processing.

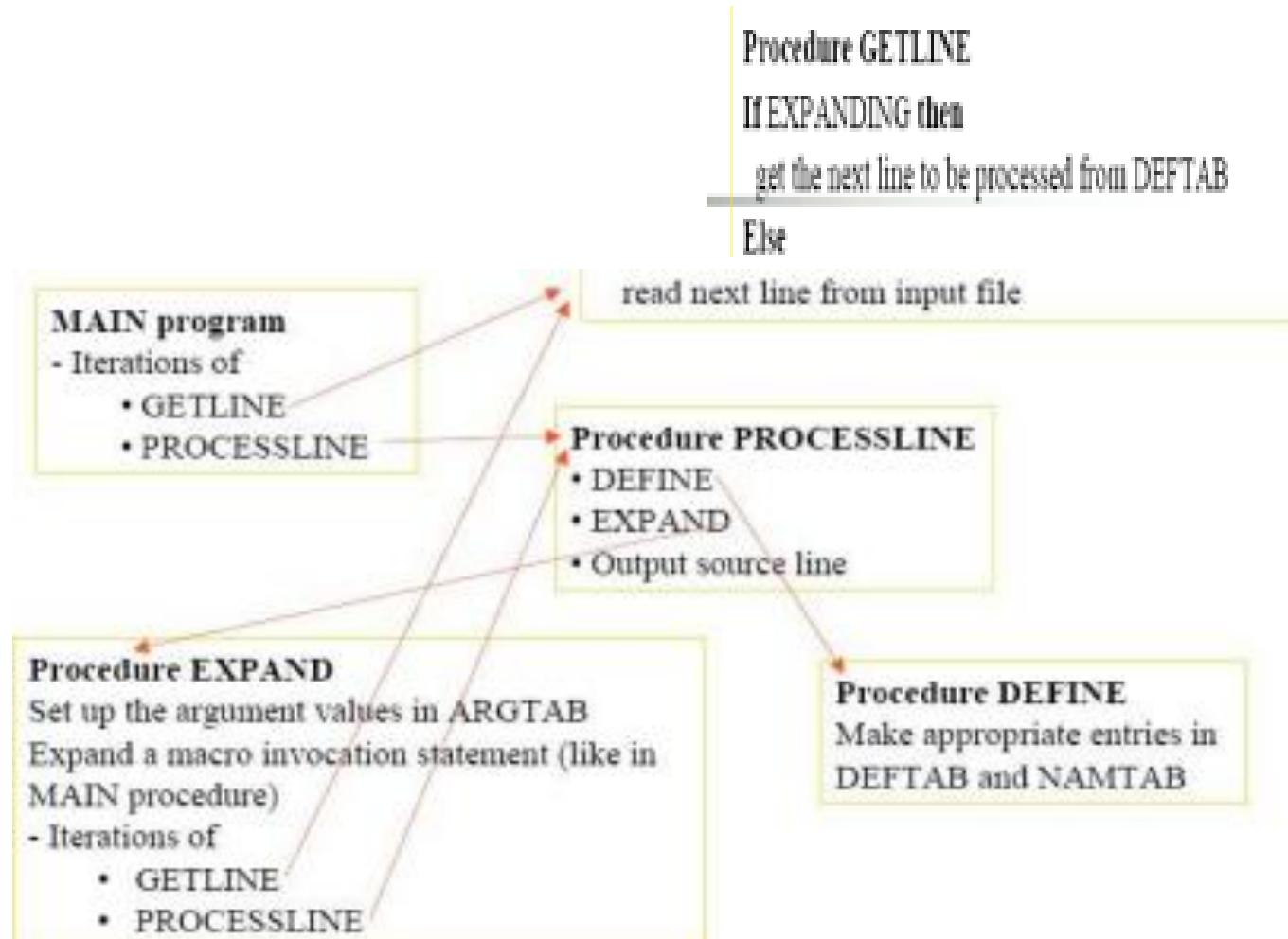


Fig 6.5: Macro library

## Algorithms

```

begin {macro processor}
    EXPANDINF := FALSE
    while OPCODE ≠ 'END' do
        begin
            GETLINE
            PROCESSLINE
        end {while}
    end {macro processor}

```

```

Procedure PROCESSLINE
    begin
        search MAMTAB for OPCODE
        if found then
            EXPAND
        else If OPCODE = 'MACRO' then
            DEFINE
        else write source line to expanded file
    end {PROCESSOR}
Procedure DEFINE
    begin
        enter macro name into NAMTAB
        enter macro prototype into DEFTAB
        LEVEL :- 1
        while LEVEL > do
            begin
                GETLINE
                if this is not a comment line then
                    begin
                        substitute positional notation for parameters
                        enter line into DEFTAB
                        if OPCODE = 'MACRO' then
                            LEVEL := LEVEL +1
                        else if OPCODE = 'MEND' then
                            LEVEL := LEVEL - 1
                        end {if not comment}
                    end {while}
                    store in NAMTAB pointers to beginning and end of definition
    end {DEFINE}

```

```

Procedure EXPAND
begin
    EXPANDING := TRUE
    get first line of macro definition {prototype} from DEFTAB
    set up arguments from macro invocation in ARGTAB
    while macro invocation to expanded file as a comment
        while not end of macro definition do
            begin
                GETLINE
                PROCESSLINE
            end {while}
            EXPANDING := FALSE
    end {EXPAND}

```

```

Procedure GETLINE
begin
    if EXPANDING then
        begin
            get next line of macro definition from DEFTAB
            substitute arguments from ARGTAB for positional notation
        end {if}
    else
        read next line from input file
    end {GETLINE}

```

## Comparison of Macro Processor Design

### One-pass algorithm

- Every macro must be defined before it is called
- One-pass processor can alternate between macro definition and macro expansion
- Nested macro definitions are allowed but nested calls are not allowed.

### Two-pass algorithm

- Pass1: Recognize macro definitions
- Pass2: Recognize macro calls
- Nested macro definitions are not allowed

## Machine-independent Macro-Processor Features.

The design of macro processor doesn't depend on the architecture of the machine. We will be studying some extended feature for this macro processor. These features are:

- **Concatenation of Macro Parameters**
- **Generation of unique labels**
- **Conditional Macro Expansion**
- **Keyword Macro Parameters**

### **Concatenation of unique labels:**

Most macro processor allows parameters to be concatenated with other character strings. Suppose that a program contains a series of variables named by the symbols XA1, XA2, XA3,..., another series of variables named XB1, XB2, XB3,..., etc. If similar processing is to be performed on each series of labels, the programmer might put this as a macro instruction.

The parameter to such a macro instruction could specify the series of variables to be operated on (A, B, etc.). The macro processor would use this parameter to construct the symbols required in the macro expansion (XA1, Xb1, etc.).

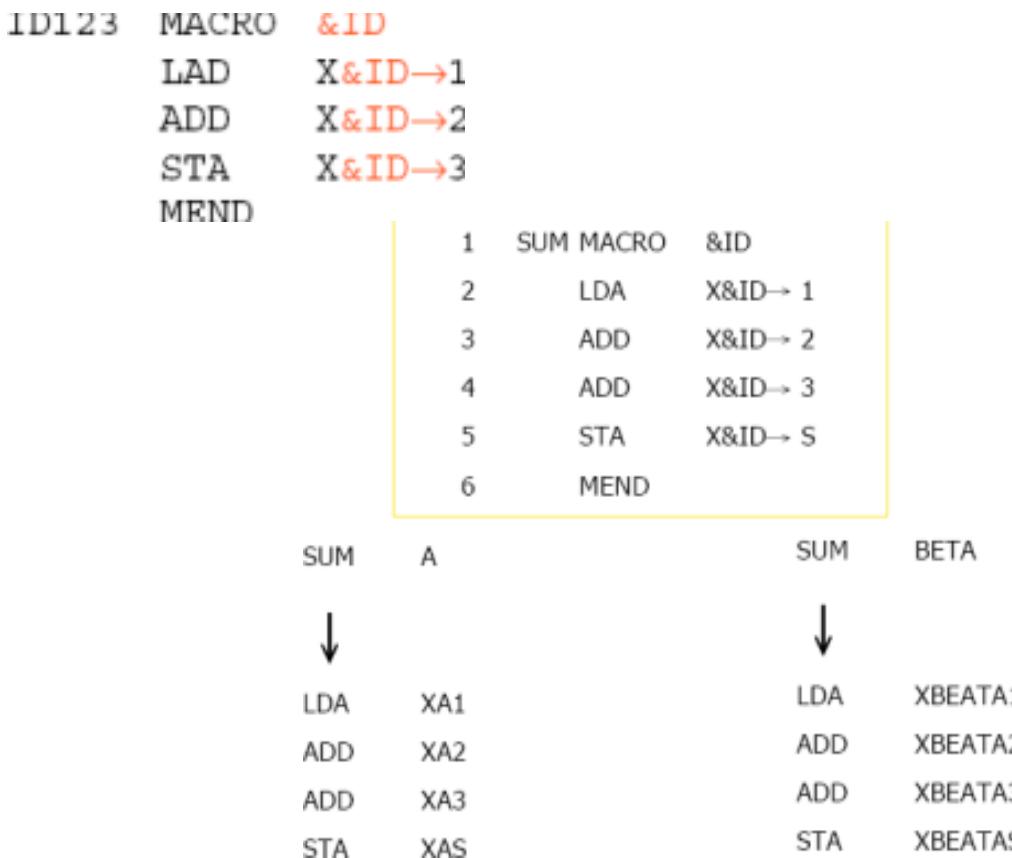
Suppose that the parameter to such a macro instruction is named &ID. The body of the macro definition might contain a statement like LDA X&ID1

TOTAL	MACRO	&ID	
LAD		X&ID1	
ADD		X&ID2	
STA		X&ID3	
MEND			

& is the starting character of the macro instruction; but the end of the parameter is not

marked. So in the case of &ID1, the macro processor could deduce the meaning that was intended. If the macro definition contains contain &ID and &ID1 as parameters, the situation would be unavoidably ambiguous.

Most of the macro processors deal with this problem by providing a special concatenation operator. In the SIC macro language, this operator is the character →. Thus the statement LDA X&ID1 can be written as LDA X&ID→



The above figure shows a macro definition that uses the concatenation operator as previously described. The statement SUM A and SUM BETA shows the invocation statements and the corresponding macro expansion.

### **Generation of Unique Labels**

It is not possible to use labels for the instructions in the macro definition, since every expansion of macro would include the label repeatedly which is not allowed by the

assembler. This in turn forces us to use relative addressing in the jump instructions. Instead we can use the technique of generating unique labels for every macro invocation and expansion. During macro expansion each \$ will be replaced with \$XX, where xx is a two character alphanumeric counter of the number of macro instructions expansion. For example, XX = AA, AB, AC...

This allows 1296 macro expansions in a single program.

The following program shows the macro definition with labels to the instruction.

25	RDBUFF	MACRO	&INDEV, &BUFADR, &RECLTH	
30		CLEAR	X	CLEAR LOOP COUNTER
35		CLEAR	A	
40		CLEAR	S	
45		+LDT	#4096	SET MAXIMUM RECORD LENGTH
50	<u>\$LOOP</u>	TD	=X'&INDEV'	TEST INPUT DEVICE
55		JEQ	<u>\$LOOP</u>	LOOP UNTIL READY
60		RD	=X'&INDEV'	READ CHARACTER INTI REG A
65		COMPR	A, S	TEST FOR END OF RECORD
70		JEQ	<u>\$EXIT</u>	EXIT LOOP IF EOR
75		STCH	&BUFADR, X	STORE CHARACTER IN BUFFER
80		TIXR	<u>\$LOOP</u>	HAS BEEN REACHED
90	<u>\$EXIT</u>	STX	&RECLTH	SAVE RECORD LENGTH
			MFND	

The following figure shows the macro invocation and expansion first time. If the macro is invoked second time the labels may be expanded as

\$AB LOOP , \$AB EXIT.

	RDBUFF	F1,BUFFER,LENGTH		
30	CLEAR	X	CLEAR LOOP COUNTER	
35	CLEAR	A		
40	CLEAR	S		
45	+LDT	#4096	SET MAXIMUM RECORD LENGTH	
50	<u>\$AALOOP</u>	TD	=X'F1'	TEST INPUT DEVICE
55	JEQ	<u>\$AALOOP</u>		LOOP UNTIL READY
60	RD	<u>=X'F1'</u>		READ CHARACTER INTO REG A
65	COMPR	A,S		TEST FOR END OF RECORD
70	JEQ	<u>\$AAEXIT</u>		EXIT LOOP IF EOR
75	STCH	BUFFER,X		STORE CHARACTER IN BUFFER
80	TIXR	T		LOOP UNLESS MAXIMUM LENGTH
85	JLT	<u>\$AALOOP</u>		HAS BEEN REACHED
90	<u>\$AAEXIT</u>	STX	LENGTH	SAVE RECORD LENGTH

Unique labels are generated within macro expansion. Each symbol beginning with \$ has been modified by replacing \$ with \$AA. The character \$ will be replaced by \$xx, where xx is a two-character alphanumeric counter of the number of macro instructions expanded. For the first macro expansion in a program, xx will have the value AA. For succeeding macro expansions, xx will be set to AB, AC etc

## Conditional Macro Expansion

There are applications of macro processors that are not related to assemblers or assembler programming.

Conditional assembly depends on parameters provides  
**MACRO &COND**

.....  
**IF (&COND NE '')**  
 part I  
**ELSE**  
 part II  
**ENDIF**

.....  
**ENDM**

Part I is expanded if condition part is true, otherwise part II is expanded. Compare operators: NE, EQ, LE, GT.

*Macro-Time Variables:*

Macro-time variables (often called as SET Symbol) can be used to store working values during the macro expansion. Any symbol that begins with symbol & and not a macro instruction parameter is considered as *macro-time variable*. All such variables are initialized to zero.

<pre> 25      RDBUFF      MACRO    &amp;INDEV, &amp;BUFADR, &amp;RECLTH, &amp;EOR, &amp;MAXLTH 26 27      &amp;EORCK      IF       (&amp;EOR NE '') 28 29 30      Macro        SET      1 31      Time 32      variable 33 34 35      CLEAR        CLEAR   X          CLEAR LOOP COUNTER 36      CLEAR        CLEAR   A 37 38      IF           (&amp;EORCK EQ 1) 39      LDCH        =X'&amp;EOR'   SET EOR CHARACTER 40      RMO         A,S 41 42      ENDIF 43 44      IF           (&amp;MAXLTH EQ '') 45      +LDT        #4096     SET MAX LENGTH = 4096 46 47      ELSE 48      +LDT        #&amp;MAXLTH  SET MAXIMUM RECORD LENGTH 49      ENDIF 50 51      SLOOP 52 53      TD          =X'&amp;INDEV' TEST INPUT DEVICE 54      JEQ         \$LOOP     LOOP UNTIL READY 55 56      RD          =X'&amp;INDEV' READ CHARACTER INTO REG A 57 58      IF           (&amp;EORCK EQ 1) 59      COMPR       A,S 60 61      JEQ         \$EXIT    EXIT LOOP IF EOR 62 63      ENDIF 64 65      STCH        &amp;BUFADR, X STORE CHARACTER IN BUFFER 66      TIXR        T 67 68      JLT         \$LOOP     LOOP UNLESS MAXIMUM LENGTH 69      HAS BEEN REACHED 70 71      STX         &amp;RECLTH  SAVE RECORD LENGTH 72 73      MEND 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90      \$EXIT 91 92 93 94 95 </pre>	<pre> MACRO    &amp;INDEV, &amp;BUFADR, &amp;RECLTH, &amp;EOR, &amp;MAXLTH IF       (&amp;EOR NE '') SET      1 ENDIF CLEAR   X          CLEAR LOOP COUNTER CLEAR   A IF       (&amp;EORCK EQ 1) LDCH   =X'&amp;EOR'   SET EOR CHARACTER RMO    A,S ENDIF IF       (&amp;MAXLTH EQ '') +LDT   #4096     SET MAX LENGTH = 4096 ELSE +LDT   #&amp;MAXLTH  SET MAXIMUM RECORD LENGTH ENDIF SLOOP TD     =X'&amp;INDEV' TEST INPUT DEVICE JEQ   \$LOOP     LOOP UNTIL READY RD    =X'&amp;INDEV' READ CHARACTER INTO REG A IF     (&amp;EORCK EQ 1) COMPR A,S JEQ   \$EXIT    EXIT LOOP IF EOR ENDIF STCH   &amp;BUFADR, X STORE CHARACTER IN BUFFER TIXR   T JLT    \$LOOP     LOOP UNLESS MAXIMUM LENGTH HAS BEEN REACHED STX    &amp;RECLTH  SAVE RECORD LENGTH MEND </pre>
---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Figure gives the definition of the macro RDBUFF with the parameters &INDEV, &BUFADR, &RECLTH, &EOR, &MAXLTH. According to the above program if &EOR has any value, then &EORCK is set to 1 by using the directive SET, otherwise

it retains its default value 0.

The above programs show the expansion of Macro invocation statements with different values for the time variables. In figure 6.9(b) the &EOF value is NULL. When the macro invocation is done, IF statement is executed, if it is true EORCK is set to 1, otherwise normal execution of the other part of the program is continued.

The macro processor must maintain a symbol table that contains the value of all macro-time variables used. Entries in this table are modified when SET statements are processed. The table is used to look up the current value of the macro-time variable whenever it is required. When an IF statement is encountered during the expansion of a macro, the specified Boolean expression is evaluated.

### **If the value of this expression TRUE,**

The macro processor continues to process lines from the DEFTAB until it encounters the ELSE or ENDIF statement. If an ELSE is found, macro processor skips lines in DEFTAB until the next ENDIF. Once it reaches ENDIF, it resumes expanding the macro in the usual way.

### **If the value of the expression is FALSE,**

The macro processor skips ahead in DEFTAB until it encounters next ELSE or ENDIF statement. The macro processor then resumes normal macro expansion.

The *macro-time* IF-ELSE-ENDIF structure provides a mechanism for either generating(once) or skipping selected statements in the macro body. There is another construct WHILE statement which specifies that the following line until the next ENDW statement, are to be generated repeatedly as long as a particular condition is true. The testing of this condition, and the looping are done during the macro is under expansion. The example shown below shows the usage of Macro-Time Looping statement.

## **WHILE-ENDW structure**

When an WHILE statement is encountered during the expansion of a macro, the specified Boolean expression is evaluated .

If True,

The macro processor continues to process lines from DEFTAB until it encounters the next ENDW statement.

When ENDW is encountered, the macro processor returns to the preceding WHILE, re-evaluates the Boolean expression, and takes action [based on the new value](#).

If false ,

The macro processor skips ahead in DEFTAB until it finds the next ENDW statement and then resumes normal macro expansion.

## **Keyword Macro Parameters**

All the macro instruction definitions used positional parameters. Parameters and arguments are matched according to their positions in the macro prototype and the macro invocation statement. The programmer needs to be careful while specifying the arguments. If an argument is to be omitted the macro invocation statement must contain a null argument mentioned with two commas. Positional parameters are suitable for the macro invocation. But if the macro invocation has large number of parameters, and if only few of the values need to be used in a typical invocation, a different type of parameter specification is required

Ex: XXX MACRO &P1, &P2, ...., &P20, ....

XXX A1, A2, , , , , , , , , , , A20, ....

### **Null arguments**

### **Keyword parameters**

Each argument value is written with a keyword that names the corresponding parameter. Arguments may appear in any order. Null arguments no longer need to be used.

- Ex: XXX P1=A1, P2=A2, P20=A20.

It is easier to read and much less error-prone than the positional method.

## Macro Processor Design Options

### 1. Recursive Macro Expansion

We have seen an example of the *definition* of one macro instruction by another. But we have not dealt with the *invocation* of one macro by another. The following example shows the invocation of one macro by another macro.

#### Problem of Recursive Expansion

Previous macro processor design cannot handle such kind of recursive macro invocation and expansion

The procedure EXPAND would be called recursively, thus the invocation arguments in the ARGTAB will be overwritten.

o The Boolean variable EXPANDING would be set to FALSE when the “inner” macro expansion is finished, *i.e.*, the macro process would **forget** that it had been in the middle of expanding an “outer” macro.

- Solutions

- o Write the macro processor in a programming language that allows recursive calls, thus local variables will be retained.

- o If you are writing in a language without recursion support, use a stack to take care of pushing and popping local variables and return addresses.

The procedure EXPAND would be called when the macro was recognized. The arguments from the macro invocation would be entered into ARGTAB as follows:

	Value
1	BU FF ER
2	LE NG TH
3	F1
4	(un use d)
	-

The Boolean variable EXPANDING would be set to TRUE, and expansion of the macro invocation statement would begin. The processing would proceed normally until statement invoking RDCHAR is processed. This time, ARGTAB would look like

Parameter	Value
1	F1
2	(Unused)
--	--

At the expansion, when the end of RDCHAR is recognized, EXPANDING would be set to FALSE. Thus the macro processor would ‘forget’ that it had been in the middle of expanding a macro when it encountered the RDCHAR statement. In addition, the arguments from the original macro invocation (RDBUFF) would be lost because the value in ARGTAB was overwritten with the arguments from the invocation of RDCHAR.

## General-Purpose Macro Processors

- Macro processors that do not dependent on any particular programming language, but can be used with a variety of different languages
- **Pros**
  - o Programmers do not need to learn many macro languages.
  - o Although its development costs are somewhat greater than those for a language specific macro processor, this expense does not need to be repeated for each language,

thus save substantial overall cost.

- **Cons**

- o Large number of details must be dealt with in a real programming language ▪ Situations in which normal macro parameter substitution should not occur, e.g., comments.
  - Facilities for grouping together terms, expressions, or statements
    - Tokens, e.g., identifiers, constants, operators, keywords
  - Syntax had better be consistent with the source programming language

## **Macro Processing within Language Translators**

- The macro processors we discussed are called “Preprocessors”.
- o Process macro definitions
- o Expand macro invocations
- o Produce an expanded version of the source program, which is then used as input to an assembler or compiler
- You may also combine the macro processing functions with the language translator:
  - o Line-by-line macro processor
  - o Integrated macro processor

## **Line-by-Line Macro Processor**

- Used as a sort of input routine for the assembler or compiler
- o Read source program
- o Process macro definitions and expand macro invocations

- o Pass output lines to the assembler or compiler
- Benefits
  - o Avoid making an extra pass over the source program.
  - o Data structures required by the macro processor and the language translator can be combined (e.g., OPTAB and NAMTAB)
  - o Utility subroutines can be used by both macro processor and the language translator.
    - Scanning input lines
    - Searching tables
    - Data format conversion
      - o It is easier to give diagnostic messages related to the source statements

### **Integrated Macro Processor**

- An integrated macro processor can potentially make use of any information about the source program that is extracted by the language translator.
- o Ex (blanks are not significant in FORTRAN)
  - DO 100 I = 1,20
  - a DO statement
  - DO 100 I = 1
    - An assignment statement
    - DO100I: variable (blanks are not significant in FORTRAN)
- An integrated macro processor can support macro instructions that depend upon the context in which they occur.