

# Neural Network Optimization for Efficient Inference

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### **Neural Network Applications**

#### Self-Driving Car



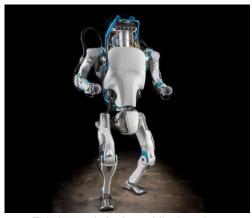
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#### **Machine Translation**



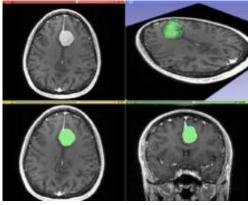
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#### Robots



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#### Medical imaging



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#### Image processing





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#### 3D scanning



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### Where does Inference of Neural Networks Compute?

#### Standalone



#### Client-Server

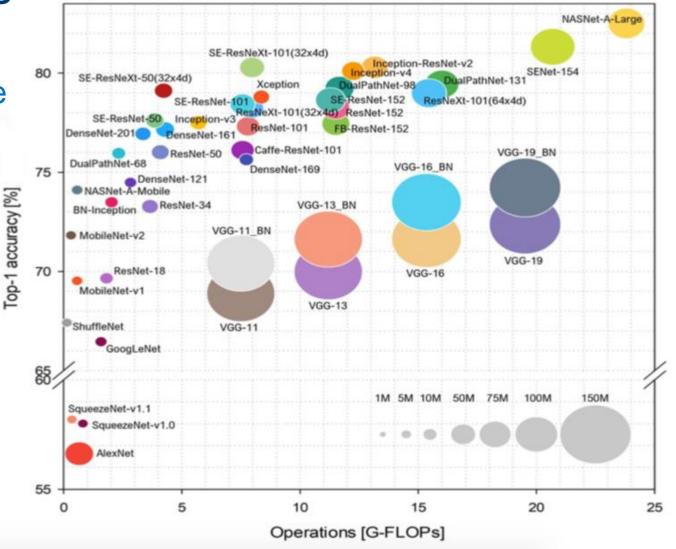


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Models are Getting Larger

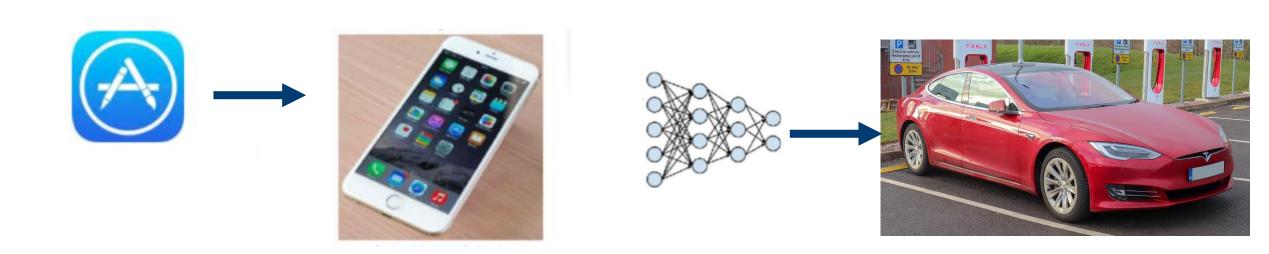
 While models are becoming more efficient, high accuracy still implies high complexity

From: Benchmark Analysis of Representative Deep Neural Network Architectures, Simone Bianco et al,



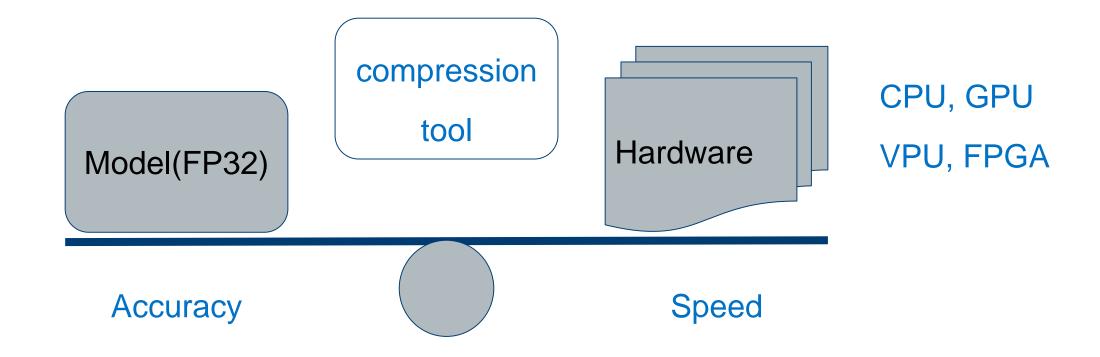
### The First Challenge: Model Size

- Hard to distribute large models through over-the-air update
- The first run is slow due to loading weights.



All images are in the public domain

#### The Second Challenge: Speed

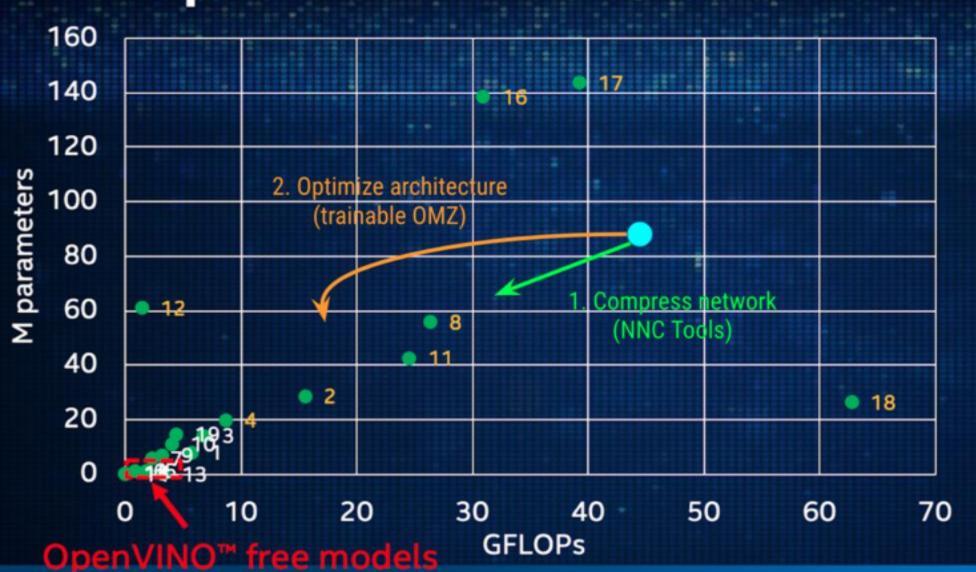


Trade off between accuracy and performance

#### Algorithms for Efficient Inference

- 1. Pruning
- 2. Weight Sharing
- 3. Quantization
- 4. Binary / Ternary Net
- 5. Distillation
- 6. Low Rank Approximation
- 7. Winograd Transformation

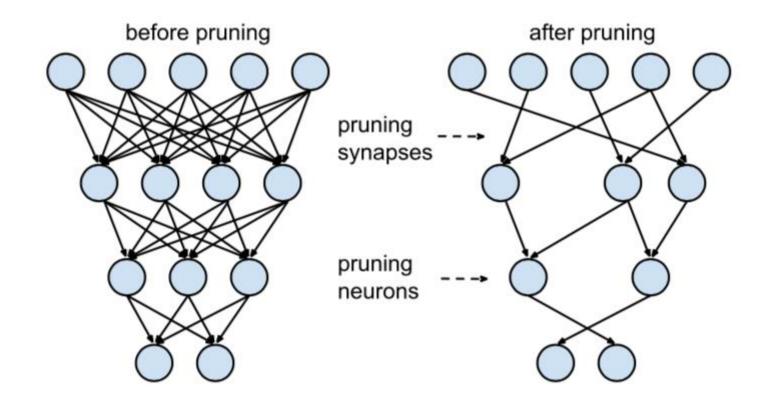
## OpenVINO PUBLIC AND FREE MODELS



- 1 DenseNet-121
- 2 DenseNet-161
- 3 DenseNet-169
- 4 DenseNet-201
- 5 SqueezeNet1.0
- 6 SqueezeNet1.1
- 7 MobileNet-SSD
- 8 Inception-ResNet-v2
- 9 GoogLeNet-v1
- 10 GoogLeNet-v2
- 11 GoogLeNet-v4
- 12 AlexNet
- 13 MTCNN-p
- 14 MTCNN-r
- 15 MTCNN-o
- 16 VGG16
- 17 VGG19
- 18 SSD300
- 19 MobileNetv2-SSD

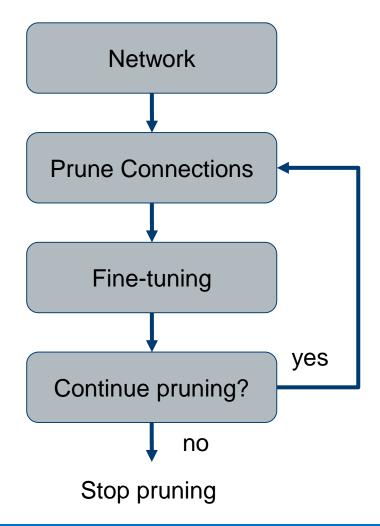
#### Algorithms for Efficient Inference

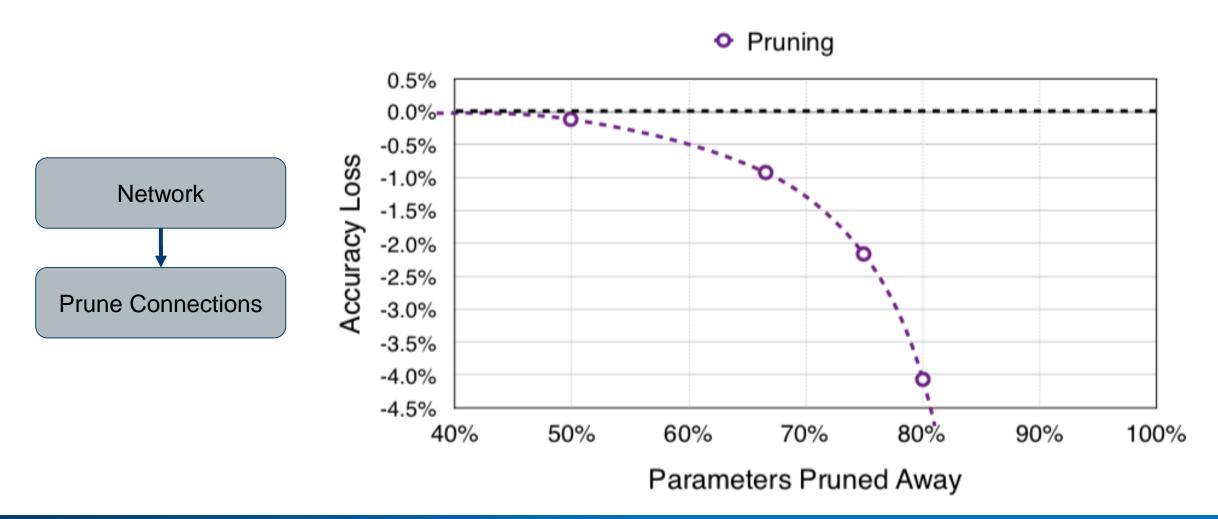
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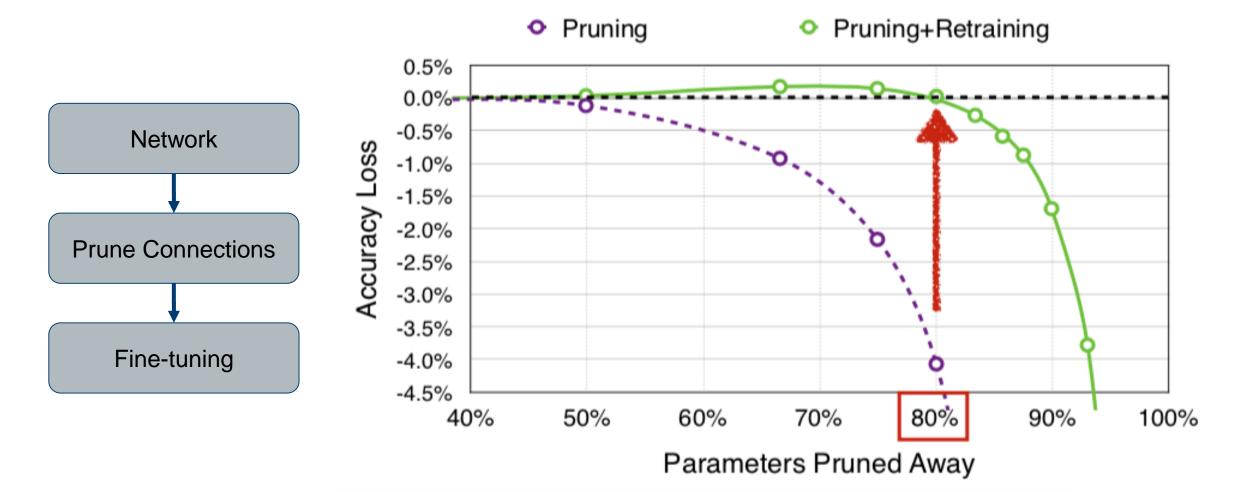
- Neural network pruning benefits
  - Reducing the binary model size
  - Smaller models reduce memory bandwidth bottlenecks
  - Faster kernels (depends on hardware support)

- Criteria for pruning
  - Connections with low weights
  - Neurons or filters with low impact
- External limitations
  - Spatial structure of pruned weights

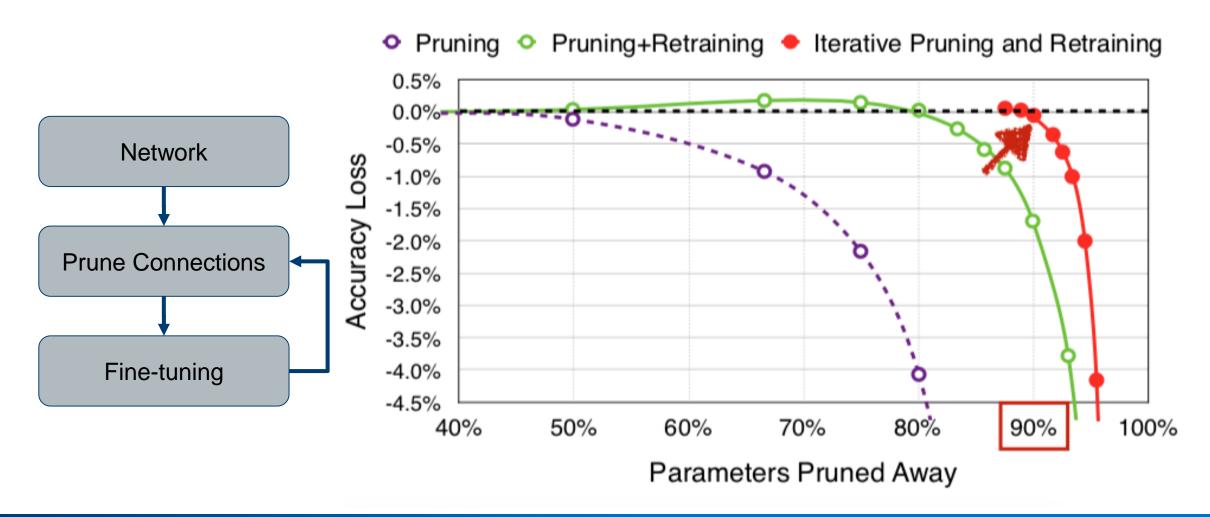




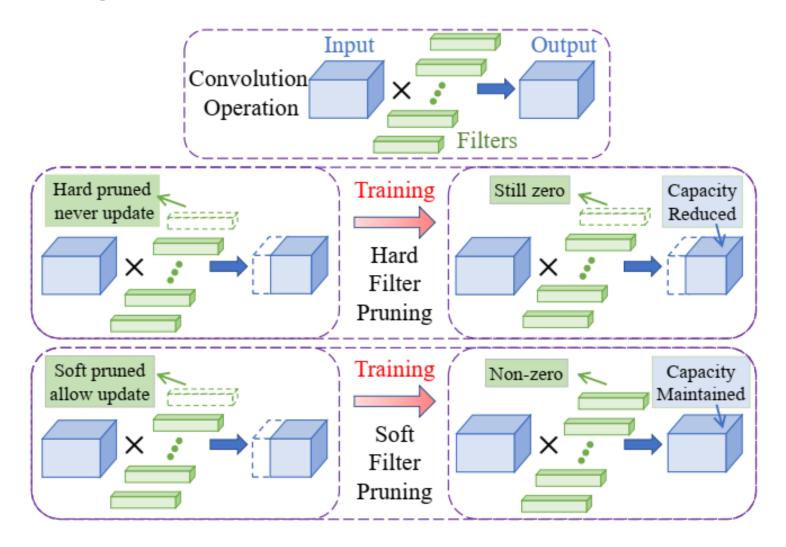
#### Retrain to Recover Accuracy



#### Iteratively Retrain to Recover Accuracy



### Filter Pruning: Main Approaches



### Filter Pruning: Filter Importance Criterions

• L1, L2

$$||F||_p = \sqrt[p]{\sum_{c,k_1,k_2=1}^{C,K,K} |F(c,k_1,k_2)|^p}$$

"Geometric Median"

$$G(F_i) = \sum_{F_i \in \{F_1, \dots F_m\}, j \neq i} ||F_i - F_j||_2$$

### Filter Pruning: Results

Models	Compression algorithm	Dataset	Top-1 Accuracy FP32 model (%)	Top-1 Accuracy Pruned model (%)
ResNet-18	Filter pruning, 30%, magnitude criterion	ImageNet	69.76	68.69
ResNet-18	Filter pruning, 30%, geometric median criterion	ImageNet	69.76	68.97
ResNet-34	Filter pruning, 30%, magnitude criterion	ImageNet	73.31	72.54
ResNet-34	Filter pruning, 30%, geometric median criterion	ImageNet	73.31	72.60
ResNet-50	Filter pruning, 30%, magnitude criterion	ImageNet	76.13	75.7
ResNet-50	Filter pruning, 30%, geometric median criterion	ImageNet	76.13	75.7

https://github.com/openvinotoolkit/nncf\_pytorch

#### Sparsification: Main Approaches

- Magnitude Sparsity
  - After each training epoch the method calculates a threshold based on the current sparsity ratio and uses it to zero weights which are lower than this threshold.

- Regularization-Based (RB) Sparsity
  - o The sparsification algorithm based on probabilistic approach and loss regularization.

Ordinary convolution

$$output = conv(x, w)$$

Sparsifing weights we reparametrize weights as:

$$\overline{w} = w * z$$

Where:

$$w - weights, w \in R$$
  
 $z - binary mask, z \in [0, 1]$ 

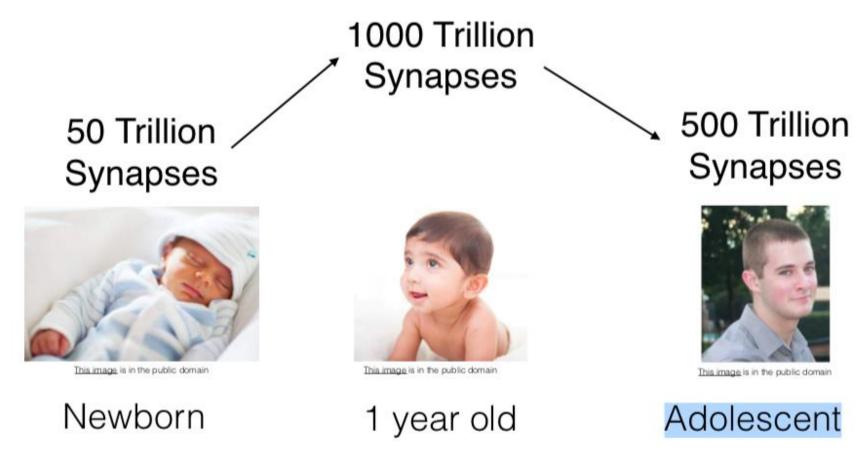
We train these masks using modificated loss

$$Loss = Loss_{task} + \alpha \left( \sum_{l}^{Layers} ||z|| - target \right)^{2}$$

### Sparsification: Results

Models	Compression algorithm	Dataset	Top-1 Accuracy FP32 model (%)	Top-1 Accuracy Pruned model (%)
inception-v3	RB-sparsity, 50% sparsity rate	ImageNet	77.46	77.25
inception-v3	Magnitude sparsity, 50% sparsity rate	ImageNet	77.46	77.24
inception-v3	RB-sparsity, 92% sparsity rate	ImageNet	77.46	76.6
mobilenet-v2	RB-sparsity, 50% sparsity rate	ImageNet	71.8	71.2
mobilenet-v2	Magnitude sparsity, 50% sparsity rate	ImageNet	71.8	70.8
mobilenet-v2	RB-sparsity, 78% sparsity rate	ImageNet	71.8	69.98

### Pruning Happens in Human Brain



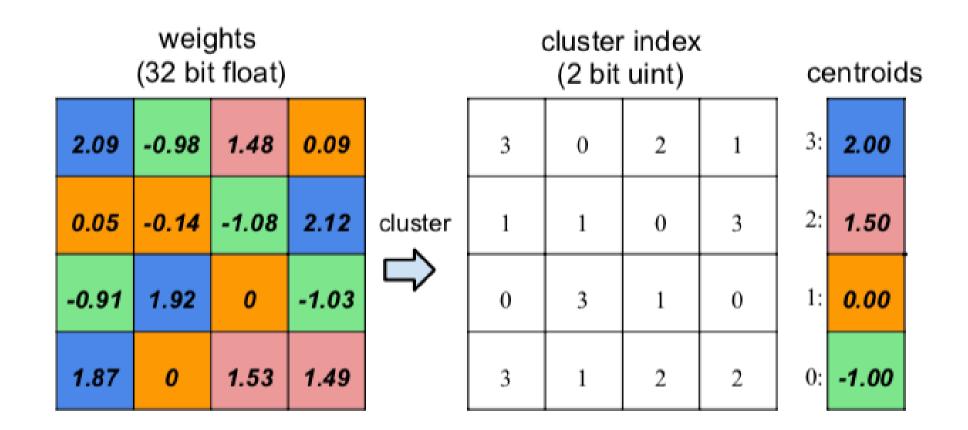
Christopher A Walsh. Peter Huttenlocher (1931-2013). Nature, 502(7470):172–172, 2013.

Slide credits by Song Han

### Algorithms for Efficient Inference

- 1. Pruning
- 2. Weight Sharing
- 3. Quantization
- 4. Binary / Ternary Net
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### Weight sharing



### Pruning + Weight sharing + Huffman Encoding

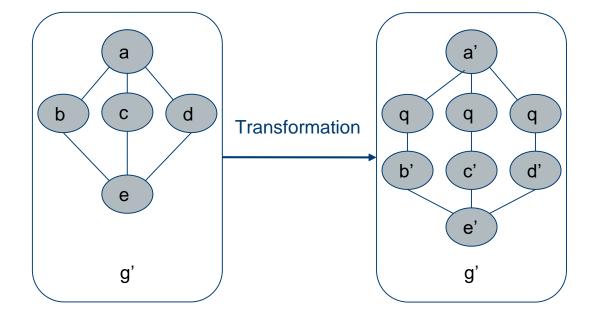
Network	Original Size	Compressed Size	Compression Ratio	Original Accuracy	Compressed Accuracy
LeNet-300	1070KB -	→ 27KB	40x	98.36% -	→ 98.42%
LeNet-5	1720KB -	→ 44KB	39x	99.20% -	→ 99.26%
AlexNet	240MB -	→ 6.9MB	35x	80.27% -	→ 80.30%
VGGNet	550MB -	→11.3MB	49x	88.68% -	→ 89.09%
GoogleNet	28MB -	→ 2.8MB	10x	88.90% -	→ 88.92%
ResNet-18	44.6MB -	→ 4.0MB	11x	89.24% -	→ 89.28%

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#### Quantization: Overview

This is the process of transforming a neural network such that it can be represented and executed at a lower precision by discretizing the original neural network weights and activations.

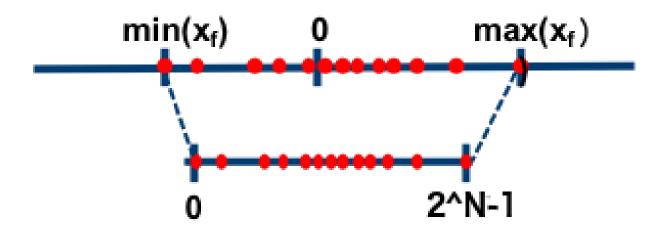


#### Quantization: Overview

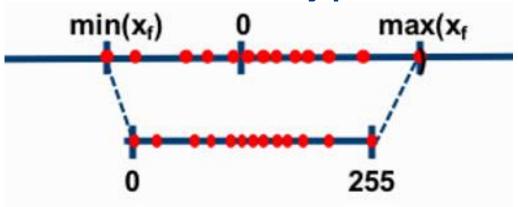
- Quantization function is a mapping of values from high to low precision
- Neural network transformation is the process of getting g' from g
- Quantization algorithm computes quantization parameters required by new neural network g' and optimizes g' via fine-tuning or post-training algorithms.
  - Post-training quantization without dataset
  - Post-training quantization with dataset
  - Quantization aware training

#### Quantization: Quantization function

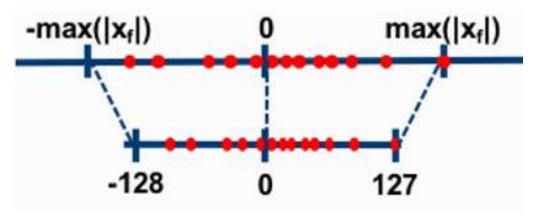
- Quantization refers to mapping values from fp32 to a lower precision format with specified parameters:
  - o Precision
  - Quantization type
  - o Granularity



#### Quantization: Quantization types



Asymmetric Mode



Symmetric Mode

#### **Asymmetric Quantization**

Quantization:

$$x_{int} = round(\frac{x}{\Delta}) + z$$
$$x_Q = clamp(0, N_{levels} - 1, x_{int})$$

- ullet  $\Delta$  specifies the step size of the quantizer and floating point zero maps to zero-point.
- z zero-point.
- $N_{levels} = 256$  for 8-bits of precision
- De-quantization:

$$x_{float} = (x_Q - z)\Delta$$

#### **Asymmetric Quantization**

2D convolution:

$$y(k, l, n) = \Delta_w \Delta_x conv(w_Q(k, l, m; n) - z_w, x_Q(k, l, m) - z_x)$$

$$y(k, l, n) = conv(w_Q(k, l, m; n), x_Q(k, l, m)) - z_w \sum_{k=0}^{K-1} \sum_{l=0}^{K-1} \sum_{m=0}^{K-1} x_Q(k, l, m)$$

$$- z_x \sum_{k=0}^{K-1} \sum_{l=0}^{K-1} \sum_{m=0}^{K-1} w_Q(k, l, m; n) + z_x z_w$$

#### Symmetric Quantization

- Quantization, zero-point = 0
  - Activations:

$$\begin{split} x_{int} &= round \left(\frac{x}{\Delta}\right) \\ x_Q &= clamp (-N_{levels}/2, N_{levels}/2-1, x_{int}) & \text{if signed} \\ x_Q &= clamp (0, N_{levels}-1, x_{int}) & \text{if un-signed} \end{split}$$

Weights

$$\begin{split} x_Q &= clamp(-(N_{levels}/2-1), N_{levels}/2-1, x_{int}) & \text{if signed} \\ x_Q &= clamp(0, N_{levels}-2, x_{int}) & \text{if un-signed} \end{split}$$

#### Symmetric Quantization

MKL DNN Int8 Workflow:

$$X_{s32} = W_{s8} \times \alpha u8 + b_{s32} \approx Q_{\alpha}Q_{\omega}X_{f32}$$
  
where  $X_{f32} = W_{f32} \times \alpha_{f32} + b_{f32}$ 

 $Q_{\alpha}=rac{255}{R_{\alpha}}$  is the quantization factor for activations with non-negative values.  $Q_{w}=rac{127}{R_{w}}$  is the quantization factor for weights.

$$\alpha_{u8} = \lceil Q_{\alpha} \alpha_{f32} \rceil \in [0, 255]$$

$$W_{s8} = \lceil Q_w W_{f32} \rceil \in [-127, 127]$$

$$b_{s32} = \lceil Q_{\alpha} Q_w b_{f32} \rceil \in [-2^{31}, 2^{31} - 1]$$

#### Quantization granularity

- We consider different granularities of quantization:
  - Per-layer quantization
    - Same mapping for all elements in a layer.
  - Per-channel quantization:
    - Choose quantizer parameters independently for each row (fc layers) or for each conv kernel (conv layers)

### Fake-quantization

- Emulate quantization by quantizing and de-quantizing:
  - Values are still in floating point, but with reduced precision

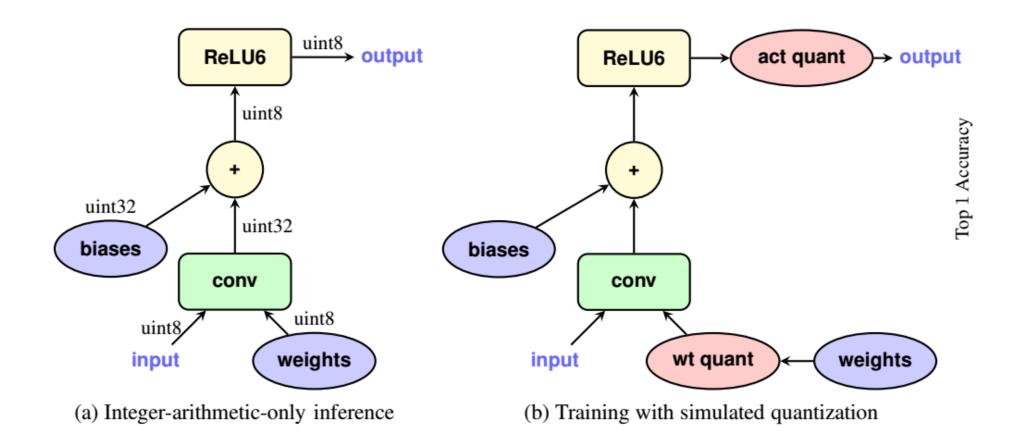
$$\operatorname{clamp}(r; a, b) \coloneqq \min \left( \max(x, a), b \right)$$

$$s(a, b, n) \coloneqq \frac{b - a}{n - 1}$$

$$q(r; a, b, n) \coloneqq \left\lfloor \frac{\operatorname{clamp}(r; a, b) - a}{s(a, b, n)} \right\rfloor s(a, b, n) + a,$$

$$(12)$$

#### Quantization: Neural Network Transformation



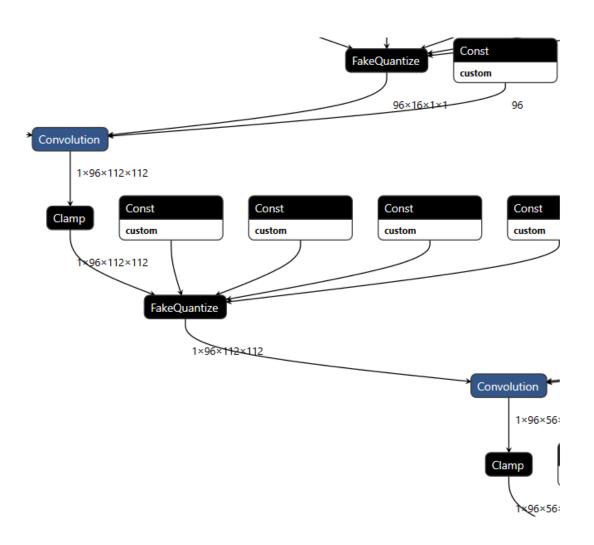
## Quantization: Workflow

Step1: Insert Fake Quantization Layers

Step2: Choose optimal quantization parameters (precision, type, granularity)

Step3: Initialize fake quantization layer parameters

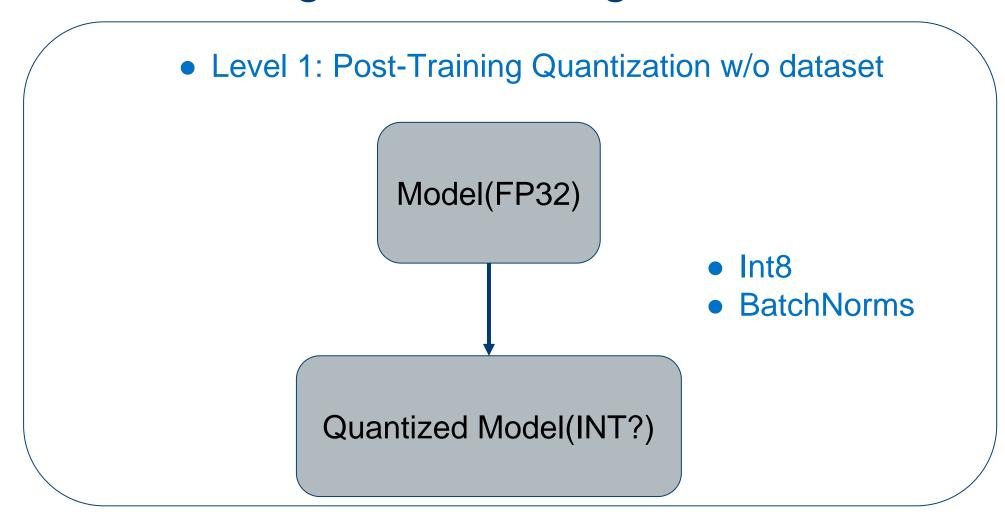
Step4: Optimization



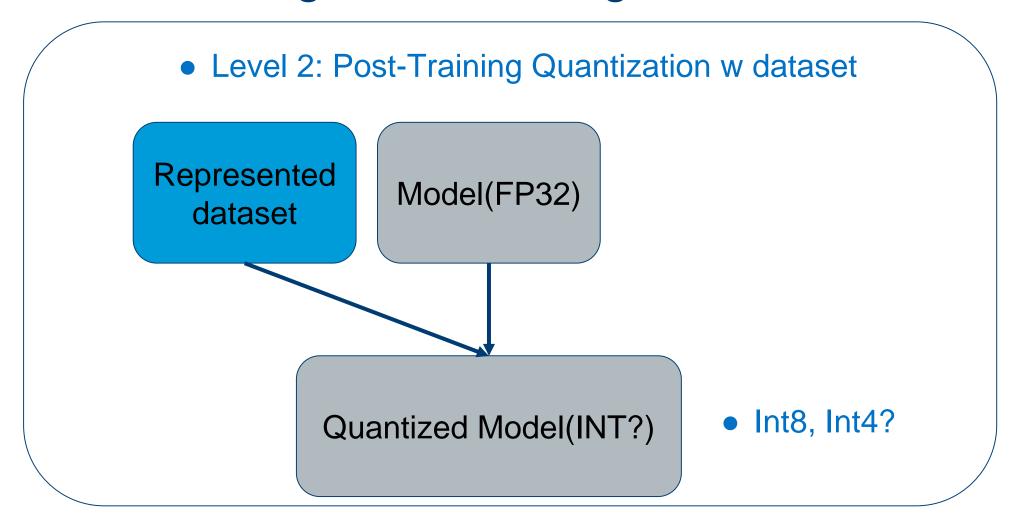
## Quantization algorithms

- Post-Training Quantization without dataset (Data Free Quantization)
- Post-Training Quantization with dataset
- Quantization Aware Training

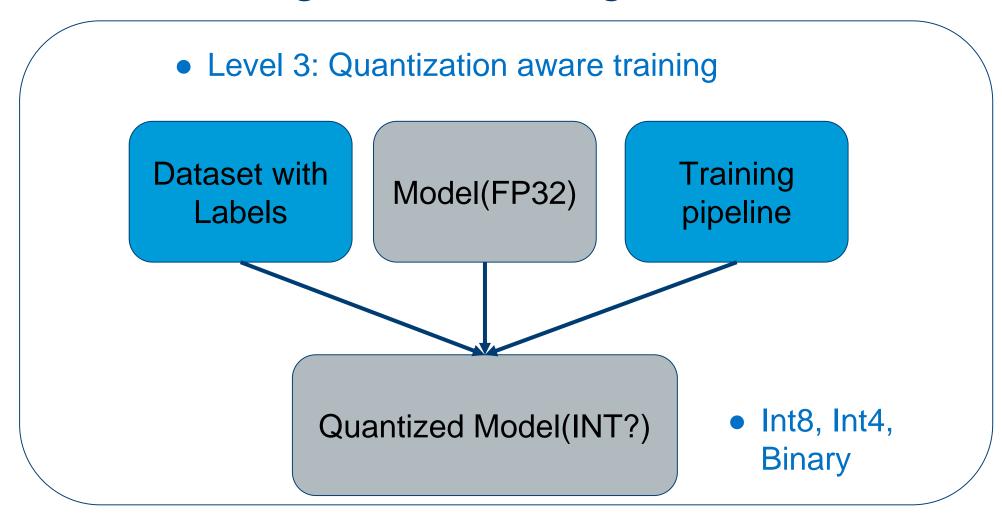
## Quantization algorithms: Usage scenario



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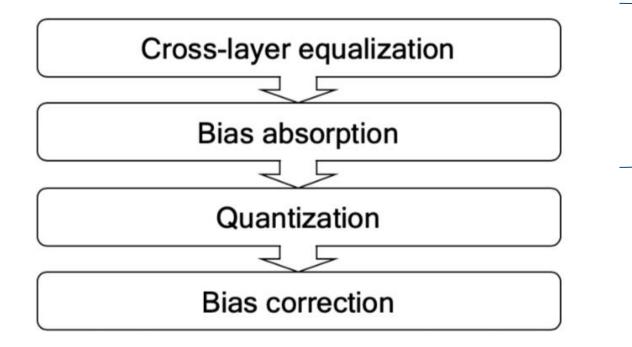


## Quantization algorithms: Usage scenario



## Data-Free Quantization (Level 1)

- The basic idea is to use BatchNorm statistics to estimate the range of activations.
- Algorithm Flow:



Weight tensor channel ranges alignment

## Quantization with represented dataset (Level 2)

#### Weights:

- input\_low = min(W)
- Input\_high = max(W)

#### Activations:

- Run N examples through the FP32 model and collect for each layer the perchannel pre-activation statistics:
  - moving average of the minimum and maximum values across batches to determine the quantizer parameters for activations.
  - Our observations show that the use of robust statistic boosts accuracy metric (Hodges-Lehmann estimator)

#### Bias correction

It is iterative procedure. This procedure is ran on a network with quantized weights only:

- 1. Run N examples through the FP32 model and collect for each layer the perchannel pre-activation means E[y].
- 2. For each layer L in the quantized model:
  - Collect the per-channel pre-activation means E[ȳ] of layer L for the same N examples as in step 1.
  - Compute the per-channel biased quantization error  $E[\epsilon] = E[\bar{y}] E[y]$
  - Subtract  $E[\epsilon]$  from the layer's bias parameter

## Quantization via training (Level 3)

#### Algorithm:

- 1. Create a training graph of the floating-point model.
- 2. Insert fake quantization operations in locations where tensors will be downcasted to fewer bits during inference.
- 3. Train in simulated quantized mode until convergence.
- 4. Create and optimize the inference graph for running in a low bit inference engine.
- 5. Run inference using the quantized inference graph.

## Summary: Quantization approaches

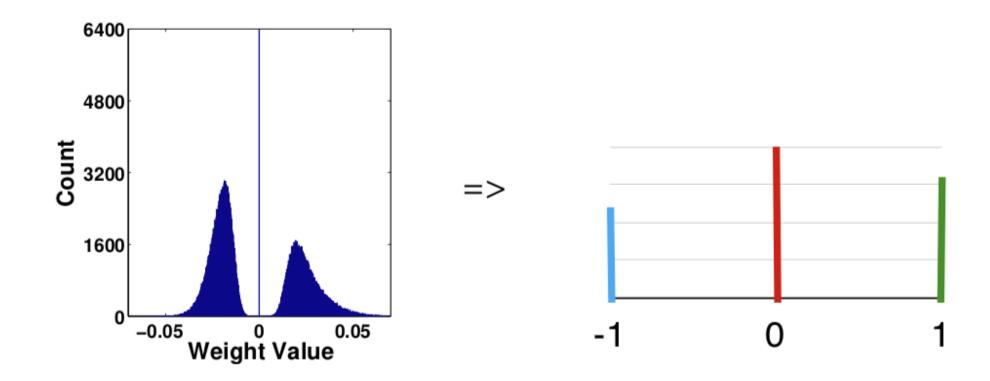
	$\sim$ D	$\sim$ BP	~AC	Mobile	eNetV2	Mobile	eNetV1		ResNet18	3
				FP32	INT8	FP32	INT8	FP32	INT8	INT6
DFQ (ours)	✓	✓	✓	71.7%	71.2%	70.8%	70.5%	69.7%	69.7%	66.3%
Per-layer [18]	✓	✓	✓	71.9%	0.1%	70.9%	0.1%	69.7%	69.2%*	63.8%*
Per-channel [18]	✓	✓	✓	71.9%	69.7%	70.9%	70.3%	69.7%	69.6%*	67.5%*
QT [16] ^	Х	Х	✓	71.9%	70.9%	70.9%	70.0%	-	70.3% <sup>†</sup>	67.3% <sup>†</sup>
$SR+DR^{\dagger}$	Х	X	✓	-	_	-	71.3%	-	68.2%	59.3%
QMN [31]	X	X	X	-	-	70.8%	68.0%	-	-	-
RQ [21]	Х	Х	Х	-	-	-	70.4%	-	69.9%	68.6%

Table 5. Top1 ImageNet validation results for different models and quantization approaches. The top half compares level 1 approaches (~D: data free, ~BP: backpropagation-free, ~AC: Architecture change free) whereas in the second half we also compare to higher level approaches in literature. Results with \* indicates our own implementation since results are not provided, ^ results provided by [18] and † results from table 2 in [21].

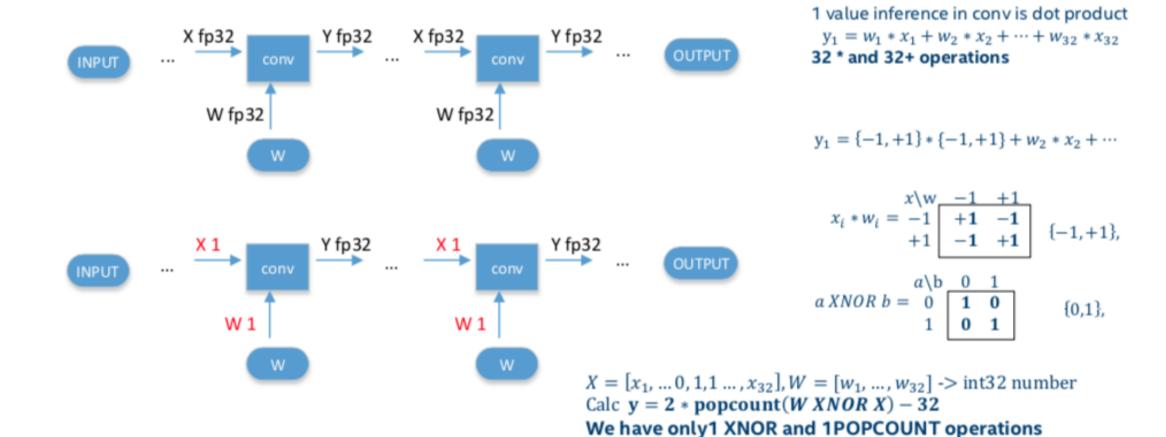
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# Binary / Ternary Net



## **Binary Net**

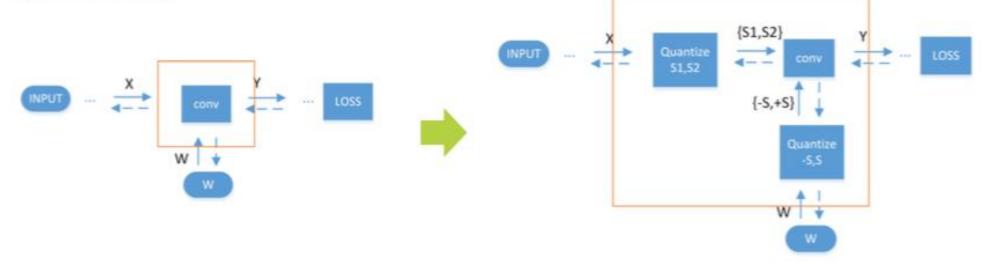


# **Binary Net**

During binarization process selected convolutional layers of the original CNN are replaced with binary convolution alternatives.

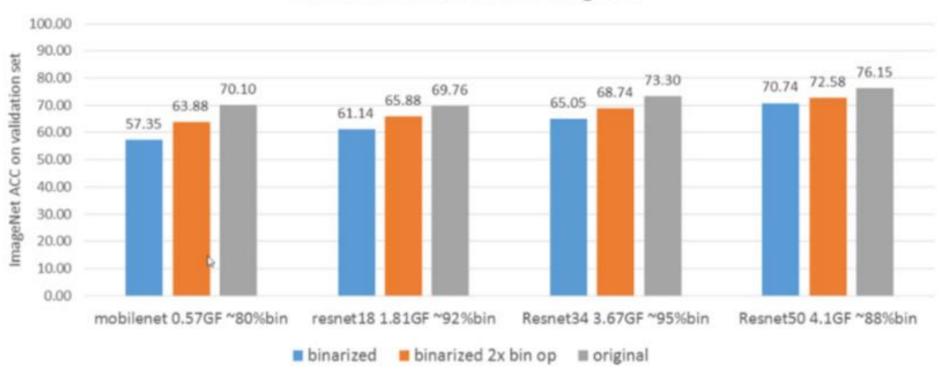
Network is modified by inserting special quantization layer for input activations and weights that converts any full-precision value into two pretrained values (so-called "fake"

quantization)



# **Binary Net**





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## Distillation

- L2 Ba [14]
  - L2 loss between teacher and student logits
  - No labels required

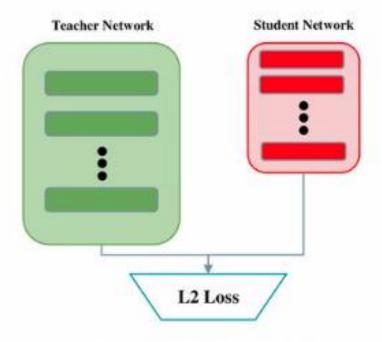


Figure 6. Teacher-Student model, L2

<sup>[14]</sup> J. Ba and R. Caruana, "Do deep nets really need to be deep?" In Advances in neural information processing systems, 2014, pp. 2654-2662.

<sup>[15]</sup> G. Hinton, O. Vinyals, and J. Dean, "Distilling the knowledge in a neural network," ArXiv preprint arXiv:1503.02531, 2015.

<sup>[16]</sup> A. Romero, N. Ballas, S. E. Kahou, A. Chassang, C. Gatta, and Y. Bengio, "Fitnets: Hints for thin deep nets," ArXiv preprint arXiv:1412.6550, 2015.

## Distillation

- L2 Ba [14]
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#### Knowledge Distillation [15]

- Soft target: softmax cross entropy with teacher logits
- Hard target: softmax cross entropy with correct labels

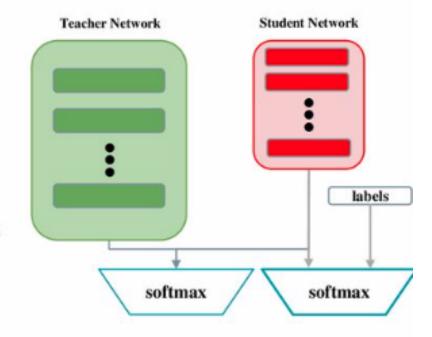


Figure 7. Teacher-Student model, KD

<sup>[14]</sup> J. Ba and R. Caruana, "Do deep nets really need to be deep?" In Advances in neural information processing systems, 2014, pp. 2654-2662.

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## Distillation

- L2 Ba [14]
  - L2 loss between teacher and student logits
  - No labels required
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#### FitNets [16]

- Knowledge Distillation with hints in the middle points of the network
- Student is deeper than the teacher

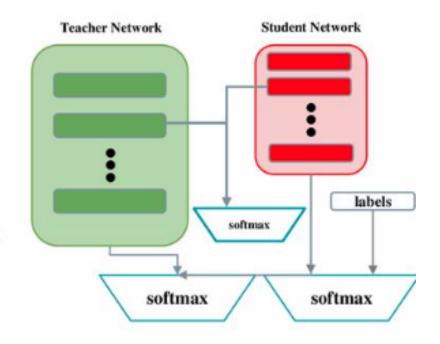


Figure 8. Teacher-Student model, FitNets

<sup>[14]</sup> J. Ba and R. Caruana, "Do deep nets really need to be deep?" In Advances in neural information processing systems, 2014, pp. 2654–2662.

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## Low Rank Approximation

- Basis filter set => Basis feature maps
- Final feature map = linear combination of basis feature maps
- Rank-1 basis filter => decomposed into a sequence of horizontal and vertical filters
- ~2.4x speedup, no performance drop

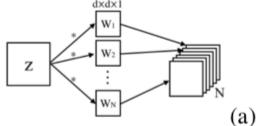
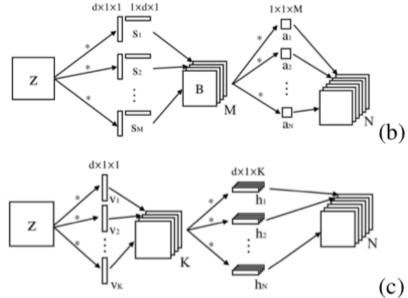


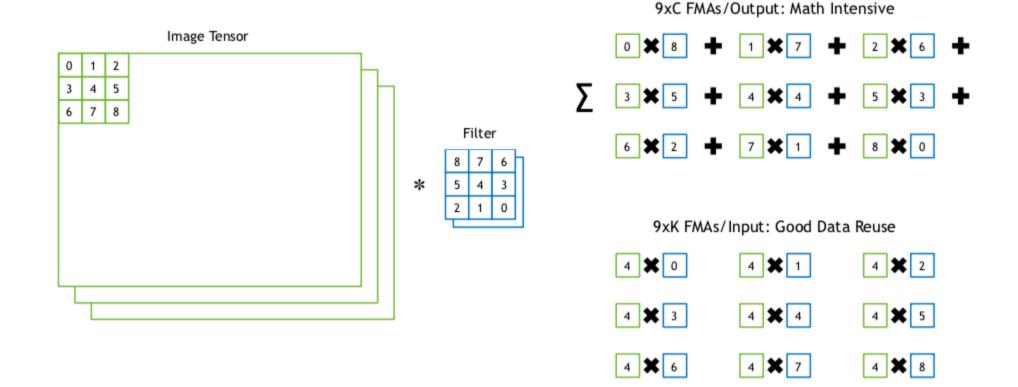
Figure 1: (a) The original convolutional layer acting on a single-channel input *i.e.* C=1. (b) The approximation to that layer using the method of Scheme 1. (c) The approximation to that layer using the method of Scheme 2. Individual filter dimensions are given above the filter layers.



## Algorithms for Efficient Inference

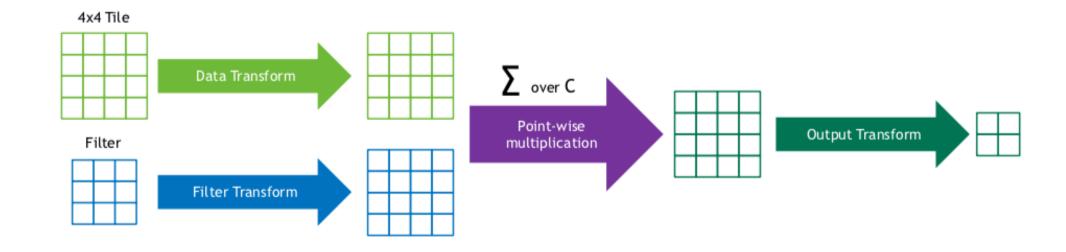
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## Winograd Transformation



Direct convolution: we need 9xCx4 = 36xC FMAs for 4 outputs

## Winograd Transformation



Direct convolution: we need 9xCx4 = 36xC FMAs for 4 outputs

Winograd convolution: we need 16xC FMAs for 4 outputs: 2.25x fewer FMAs

# Summary

Method	Advantages	Disadvantages
Binarization & Quantization	Low latency and memory usage	High loss of accuracy
Pruning	Prevents overfitting, the accuracy can increase	Slow
Factorization	Can achieve state of the art results while decreasing the computation cost	Dependent on framework
Distillation	Applicable to all architectures Doesn't change the network	only applicable to classification task

# Thank you for your attention!

## **Cross-Layer Equalization**

Given two layers,  $\mathbf{x_1} = f(\mathbf{W_1x_0} + \mathbf{b_1})$  and  $\mathbf{x_2} = f(\mathbf{W_2x_1} + \mathbf{b_2})$  through scaling invariance we have that:

$$\mathbf{x_2} = f(\mathbf{W_2}f(\mathbf{W_1}\mathbf{x_0} + \mathbf{b_1}) + \mathbf{b_2})$$

$$= f(\mathbf{W_2}\mathbf{S}\hat{f}(\mathbf{S^{-1}}\mathbf{W_1}\mathbf{x_0} + \mathbf{S^{-1}}\mathbf{b_1}) + \mathbf{b_2})$$

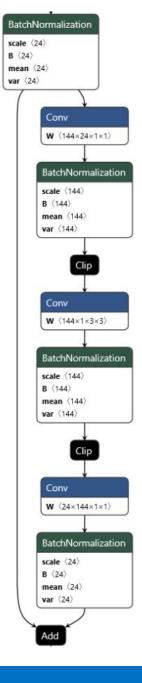
$$= f(\widehat{\mathbf{W_2}}\hat{f}(\widehat{\mathbf{W_1}}\mathbf{x_0} + \widehat{\mathbf{b_1}}) + \mathbf{b_2})$$

where:

$$s_i = \frac{1}{r_i^{(1)}} \sqrt{r_i^{(1)} r_i^{(2)}} \qquad \qquad ; r_i^{(1)} = \underbrace{\mathbf{2} \cdot \max_j |\widehat{\mathbf{W}}_{ij}^{(1)}|}_{ij}$$

## **Cross-Layer Equalization**

Cross-Layer Equalization procedure is applied to a convolution sequence without branching



## **Bias Absorption**

Running the equalization procedure on the weights potentially increases the biases of the layers.

$$\mathbf{x_i} = \mathbf{W_i} \mathbf{x_{i-1}} + \mathbf{b_i}$$
(9)
$$= \mathbf{W_i} (r(\mathbf{W_{i-1}} \mathbf{x_{i-2}} + \mathbf{b_{i-1}}) + \mathbf{c} - \mathbf{c}) + \mathbf{b_i}$$
(10)
$$= \mathbf{W_i} (r(\mathbf{W_{i-1}} \mathbf{x_{i-2}} + \hat{\mathbf{b}_{i-1}}) + \mathbf{c}) + \mathbf{b_i}$$
(11)
$$= \mathbf{W_i} \hat{\mathbf{x}_{i-1}} + \hat{\mathbf{b}_i}$$
(12)
where  $\hat{\mathbf{b}_i} = \mathbf{W_i} \mathbf{c} + \mathbf{b_i}$ ,  $\hat{\mathbf{x}_{i-1}} = \mathbf{x_{i-1}} - \mathbf{c}$ , and  $\hat{\mathbf{b}_{i-1}} = \mathbf{b_{i-1}} - \mathbf{c}$ .

## Quantization

- Asymmetric per-channel quantization is used for weights and per-tensor for activations
- Weights:
  - input\_low = min(W)
  - Input\_high = max(W)
- Activations:
  - input\_low = mean 6 \* var
  - input\_high = mean + 6 \* var
  - relu, add, pooling, concat and etc propagate distribution parameters

#### Bias correction

$$\mathbb{E}[\mathbf{y}] = \mathbb{E}[\mathbf{y}] + \mathbb{E}[\epsilon \mathbf{x}] - \mathbb{E}[\epsilon \mathbf{x}]$$

$$= \mathbb{E}[\widetilde{\mathbf{y}}] - \mathbb{E}[\epsilon \mathbf{x}]$$

$$\epsilon = \widetilde{\mathbf{W}} - \mathbf{W}$$

$$\widetilde{\mathbf{y}} = \mathbf{y} + \epsilon \mathbf{x}$$

- x input FP32
- w- weights FP32
- w̄- quantized weights

## Bias correction

Computing the expected input:

$$\mathbb{E}[\mathbf{x}_c] = \mathbb{E}\left[\text{ReLU}\left(\mathbf{x}_c^{pre}\right)\right]$$

$$= \gamma_c \mathcal{N}\left(\frac{-\beta_c}{\gamma_c}\right) + \beta_c \left[1 - \Phi\left(\frac{-\beta_c}{\gamma_c}\right)\right]$$

Quantization bias:

$$egin{aligned} \left[ oldsymbol{\epsilon} * \mathbb{E}[\mathbf{x}] 
ight]_{c_o ij} &= \sum_{c_i mn} \mathbb{E}[\mathbf{x}_{c_i,i-m,j-n}] oldsymbol{\epsilon}_{c_o c_i mn} \ &= \sum_{c_i} \left[ \mathbb{E}[\mathbf{x}_{c_i}] \sum_{mn} oldsymbol{\epsilon}_{c_o c_i mn} 
ight] \end{aligned}$$