# 8. Syntactic Parsing

Mariana Romanyshyn Grammarly, Inc.

### **Contents**

- 1. Syntactic trees in use
- 2. Constituency parsing
  - a. algorithms
  - b. metrics
- 3. Dependency parsing
  - a. algorithms
  - b. metrics
- 4. Parsing errors



# 1. Syntactic trees in use

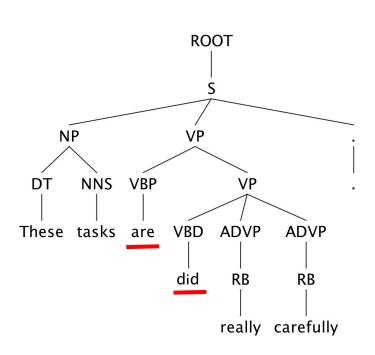
### **Error correction**

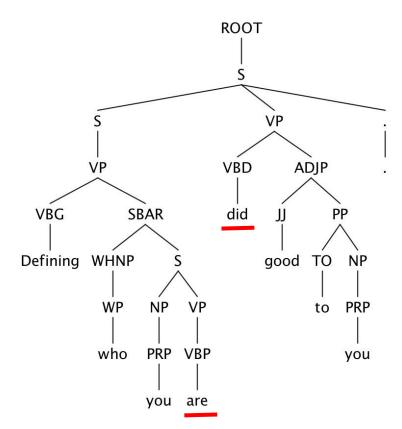
These tasks are did really carefully.

 $\frac{\text{are did}}{\text{are done}} \rightarrow \text{are done}$ 

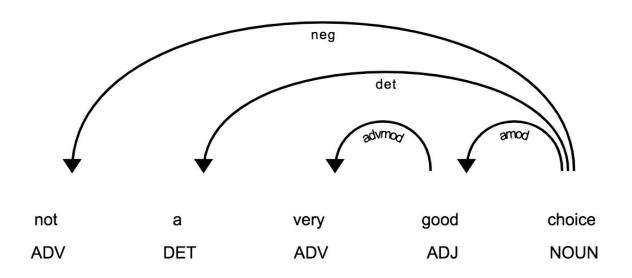
Defining who you are did good to you.

### **Error correction**





# **Sentiment Analysis**

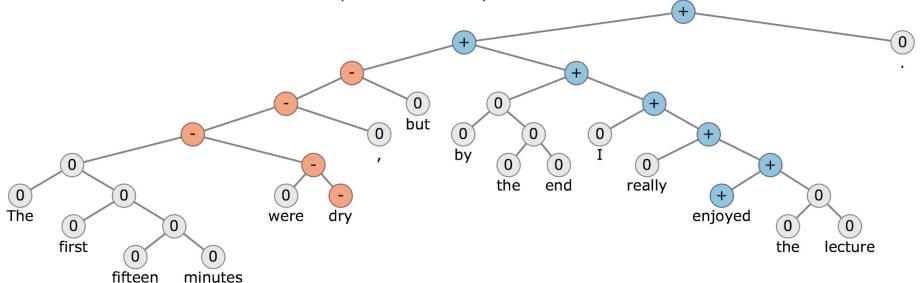


Negation spans all children of the parent.

## **Sentiment Analysis**

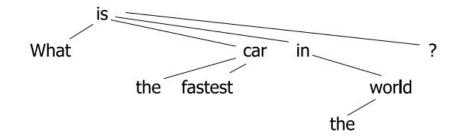
- rules at each node
- classifier at each node

neuron at each node (Tree-LSTM)

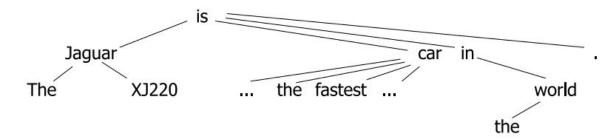


# **Question Answering**

What is the fastest car in the world?



The Jaguar XJ220 is the dearest, fastest and the most sought after car in the world.



#### **Fact Extraction**

#### **Bloomberg**



Cantor Fitzgerald Sued by Partners Who Moved to Reorient

#### **China Lawsuit**

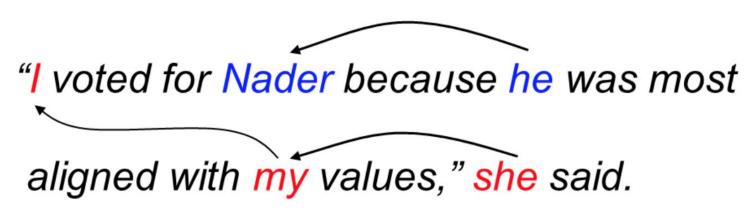
In 2011 Cantor filed a lawsuit in China against Boyer, Ainslie and other traders who left its Hong Kong office, accusing them of breaching their employment agreements and causing a 29 percent drop in average monthly revenue at the branch. Two years later, Cantor officials settled their claims against the former executives, according to filings with the Hong Kong Stock Exchange. The terms weren't made public.

Sheryl Lee, a Cantor spokeswoman, said today by phone that the company has a policy of not commenting on litigation.

### **Coreference Resolution**

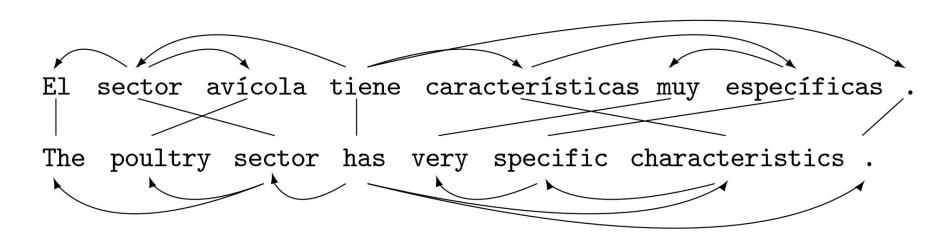
#### Needed for

- entity linking
- question answering
- fact extraction
- sentiment analysis...

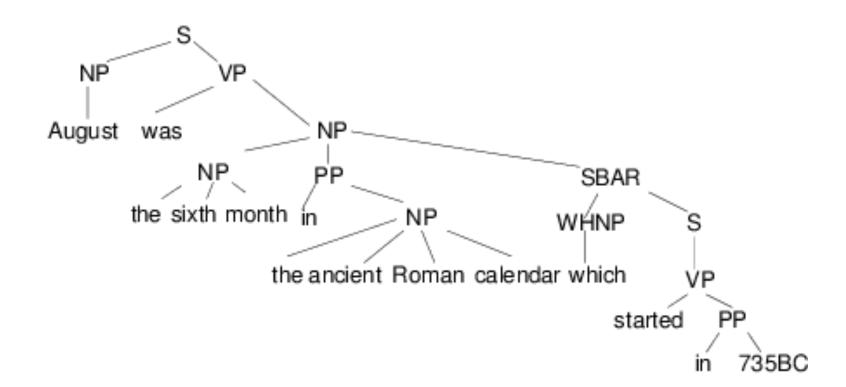


### **Machine Translation**

- parallel treebanks
- tree alignment models for reordering words
- syntactic language models for reranking



## **Text Simplification**

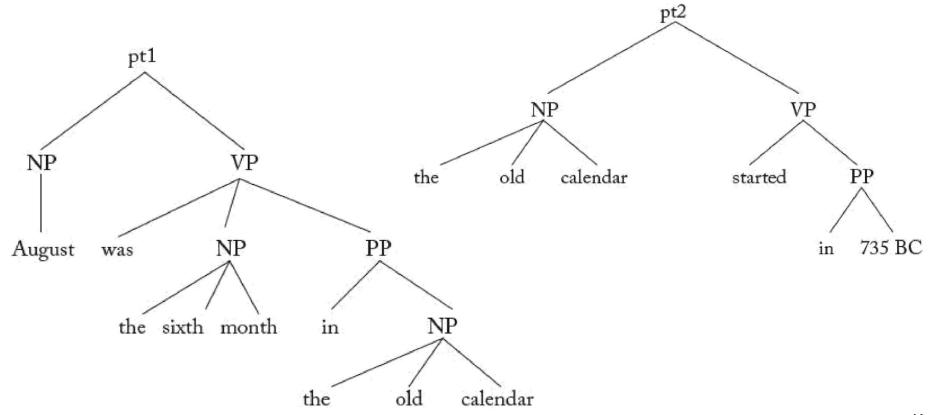


# **Text Simplification**

Operations on the parse tree:

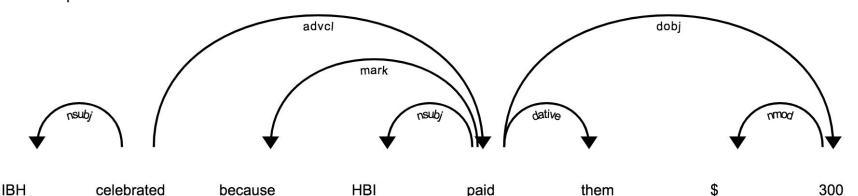
- split
- drop
- reorder
- substitute

# **Text Simplification**



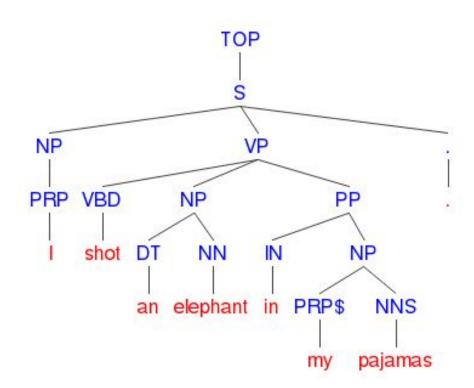
#### **Features**

- dependency label
- parent features
- paths to NEs
  - HBI: dative\_nsubj
  - IBH: dative\_advcl\_nsubj
- path to the root: dative\_advcl\_root
- closest common parent
- depth in the tree...



#### **Features**

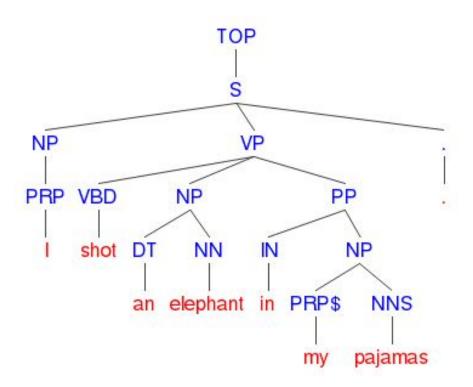
- constituency label
- head node features
- closest common parent
- spans
- path to the root
  - NP\_VP\_S\_TOP
- paths to other elements
  - NP<-VP->PP->NP
  - NP<-VP<-S->NP
- depth in the tree...



# 2. Constituency parsing

# **Constituency parsing**

- appeared in 1900s, was formalized in 1950s
- breaks a sentence into independent constituents
- operates at the phrase/clause level
- the tree ends with a TOP or ROOT



### **Constituency parsing - bracketed format**

```
(TOP (S (PP (IN With)
            (NP (NP (NNS celebrations))
                 (PP (IN for)
                     (NP (NP (DT the)
                              (JJ long-anticipated)
                              (NN start))
                         (PP (IN of)
                              (NP (DT the) (NN year) (CD 2000)))))
                 (ADVP (RB barely) (RB over))))
        (,,)
        (NP-TMP (NN today))
        (NP-SBJ-1 (JJ Chinese)
                   (NNS people))
        (VP (VBD began)
            (ADVP (RB busily))
            (VP (VBG preparing)
                 (S (NP-SBJ (-NONE-*PRO*-1))
                    (VP (TO to)
                        (VP (VB mark)
                            (NP (DT another) (JJ new) (NN year))))))
        (\ldots))
```

### **Treebanks**

- Benefits:
  - Good for testing linguistic hypotheses
  - Great training data
  - Good evaluation set
- Problems:
  - Costly
  - May contain errors
  - May use different notations



#### **Treebanks**

Popular treebanks for the English language:

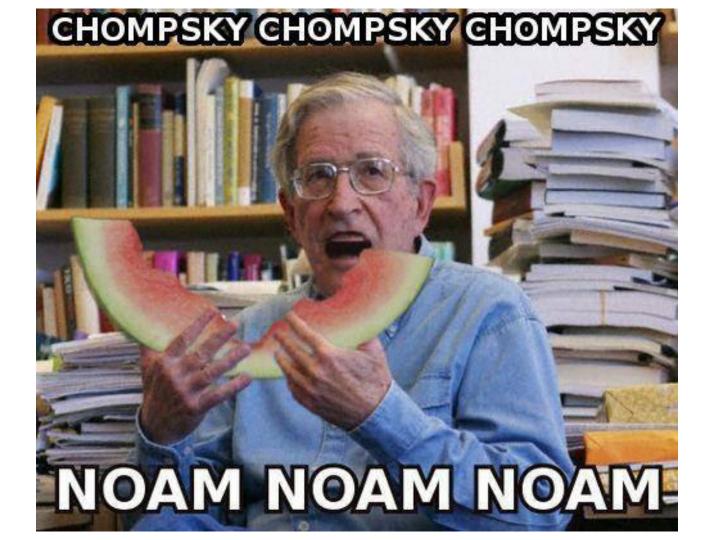
- Penn Treebank (Brown, Switchboard, ATIS, WSJ)
- Ontonotes 5.0
- English Web Treebank
- QuestionBank
- BNC

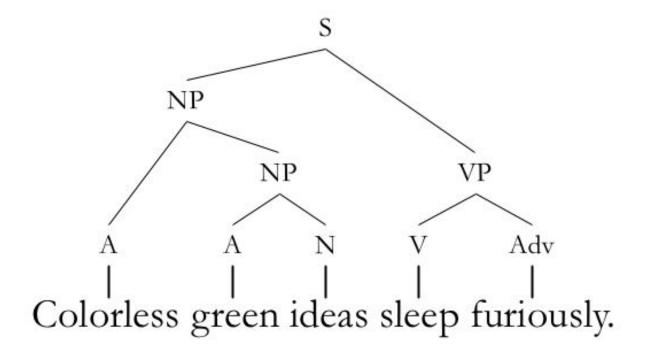
Also: Negra treebank for German.

#### **Treebanks**

```
(TOP (FRAG (NP (NP (DT The) (JJS best)) (SBAR (WHNP-1 (-NONE- *0*)) (S (NP-SBJ (EX there))
(VP (VBZ is) (NP-PRD-1 (-NONE- *T*)) (PP (IN in) (NP (NN service))))))) (. .)))
(TOP (S (NP-SBJ (PRP I)) (VP (VP (VBD was) (ADVP-TMP (RB recently)) (VP (VBG traveling)
(PP-LOC (IN down) (NP (NNP I-24))) (PP-DIR (IN from) (NP (NNP Nashville))) (PP (IN with)
(NP (PRP$ my) (CD 3) (JJ young) (NNS children))))) (CC and) (VP (VBD had) (NP (DT a) (NN
blowout)) (PP-LOC (IN on) (NP (DT the) (NN southeast) (NN side))))) (...)))
(TOP (S (S (NP-SBJ (PRP It)) (VP (VBD was) (NP-PRD (CD 4:50)) (SBAR-TMP (WHADVP-9 (WRB
when)) (S (NP-SBJ (DT a) (NN friend)) (VP (VBD told) (NP-1 (PRP me)) (S (NP-SBJ-1 (-NONE-
*PRO*)) (VP (TO to) (VP (VB call) (NP (NNP Bud))))) (ADVP-TMP-9 (-NONE- *T*)))))) (, ,) (S
(NP-SBJ (PRP he)) (VP (MD would) (VP (VB take) (NP-CLR (NN care)) (PP-CLR (IN of) (NP (PRP
me))))))(...)))
(TOP (S (CONJP (RB Not) (RB only)) (SINV (VBD did) (NP-SBJ (PRP they)) (VP (VB answer) (NP
(DT the) (NN phone)) (PP-TMP (IN at) (NP (CD 4:50))) (PP-TMP (IN on) (NP (DT a) (NNP
Thursday))))) (, ,) (S (NP-SBJ-1 (PRP they)) (VP (VBD hit) (NP (DT the) (NN ground)) (S-ADV
(NP-SBJ-1 (-NONE- *PRO*)) (VP (VBG moving))))) (.!)))
```

...





#### VS.

Furiously sleep ideas green colorless.

```
G = (N, \Sigma, R, S), where
```

- N a final set of non-terminal symbols
   {NP, VP, PP, S, SQ, SBAR, ...}
- Σ a final set of terminal symbols
   {"hi", "my", "car", "kitten", "decided", ...}
- S a start symbol for each tree (TOP/ROOT/S1)

```
(TOP (S (NP (NP (DT The) (JJ average) (NN age)) (PP (IN in) (NP (NP (NNP America)) (CC and) (NP (DT some) (JJ European) (NNS countries))))) (VP (VBD increased) (NP (JJ last) (NN year))) (..)))

(TOP (S (NP (DT The) (JJ general) (NN well-being)) (VP (VBD improved) (ADVP (RB too))) (..)))
```

```
(TOP (S (NP (NP (DT The) (JJ average) (NN age)) (PP (IN in) (NP (NP (NNP America)) (CC and) (NP (DT some) (JJ European) (NNS countries))))) (VP (VBD increased) (NP (JJ last) (NN year))) (...)))
(TOP (S (NP (DT The) (JJ general) (NN well-being)) (VP (VBD improved) (ADVP (RB too))) (...)))

N = {S, NP, PP, VP, ADVP}
\[ \sum_{==}^{\text{E}} \{ DT, JJ, NN, IN, NNP, CC, NNS, VBD, RB} \} \]
S = TOP
```

```
(TOP (S (NP (NP (DT The) (JJ average) (NN age)) (PP (IN in) (NP (NP (NNP America)) (CC and) (NP (DT some) (JJ European) (NNS countries))))) (VP (VBD increased) (NP (JJ last) (NN year))) (..)))

(TOP (S (NP (DT The) (JJ general) (NN well-being)) (VP (VBD improved) (ADVP (RB too))) (..)))
```

## Probabilistic context-free grammar

```
(TOP (S (NP (NP (DT The) (JJ average) (NN age)) (PP (IN in) (NP (NP
America)) (CC and) (NP (DT some) (JJ European) (NNS countries))))) (VP
(VBD increased) (NP (JJ last) (NN year))) (...)))
(TOP (S (NP (DT The) (JJ general) (NN well-being)) (VP (VBD improved)
(ADVP (RB too))) (. .)))
TOP -> S
                        \lceil 1 \rceil
                                        NP -> JJ NN
                                                                \lceil 1/7 \rceil
S \rightarrow NP VP.
                        [1]
                                                                 [1/7]
                                        NP -> NNP
                        \lceil 1/7 \rceil
                                                                \lceil 1/2 \rceil
NP -> NP PP
                                        VP -> VBD NP
                                                                 \lceil 1/2 \rceil
                        \lceil 1/7 \rceil
                                        VP -> VBD ADVP
NP -> NP CC NP
                        [2/7]
NP -> DT JJ NN
                                        PP -> IN NP
                                                                 \lceil 1 \rceil
                        \lceil 1/7 \rceil
NP -> DT JJ NNS
                                        ADVP -> RB
```

### Probabilistic context-free grammar

- Defines the probability of the syntactic structure
  - useful for ranking the parse trees
  - useful for language modelling

- Issues:
  - poor independence assumptions
    - the probability of the rule is calculated in isolation
  - lack of lexical conditioning
    - don't model syntactic facts about specific words

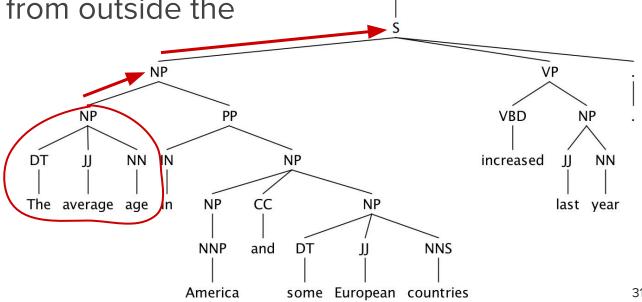
### **Vertical Markovization**

#### Idea:

encode parents/grandparents

- to add context from outside the

phrase



**ROOT** 

### **Vertical Markovization**

```
(TOP (S (NP (NP (DT The) (JJ average) (NN age)) (PP (IN in) (NP (NP
America)) (CC and) (NP (DT some) (JJ European) (NNS countries))))) (VP
(VBD increased) (NP (JJ last) (NN year))) (...)))
(TOP (S (NP (DT The) (JJ general) (NN well-being)) (VP (VBD improved)
(ADVP (RB too))) (. .)))
                         [1]
                                                                  [1/3]
TOP -> S
                                       NP^NP -> NNP
                         [1]
                                       NP^VP -> JJ NN
                                                                  [1]
S^TOP -> NP VP.
NP^S -> NP PP
                         \lceil 1/2 \rceil
                                                                  \lceil 1/2 \rceil
                                       VP^S
                                               -> VBD NP
NP^PP -> NP CC NP
                         [1]
                                                                  \lceil 1/2 \rceil
                                       VP^S
                                               -> VBD ADVP
                         \lceil 1/3 \rceil
NP^NP -> DT JJ NN
                                       PP^NP -> IN NP
                                                                  \lceil 1 \rceil
                                       ADVP^VP -> RB
NP^NP -> DT
               JJ NNS
                                                                        32
```

### **Vertical Markovization**

#### Pros:

better disambiguation

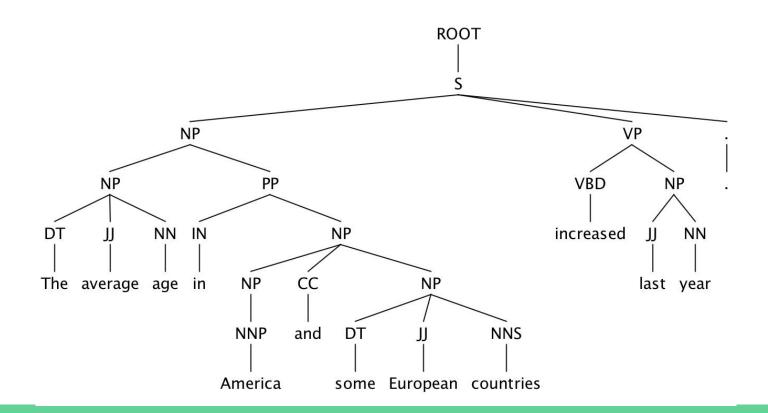
#### Cons:

- size of the grammar increases
- the amount of training data available for each grammar rule decreases

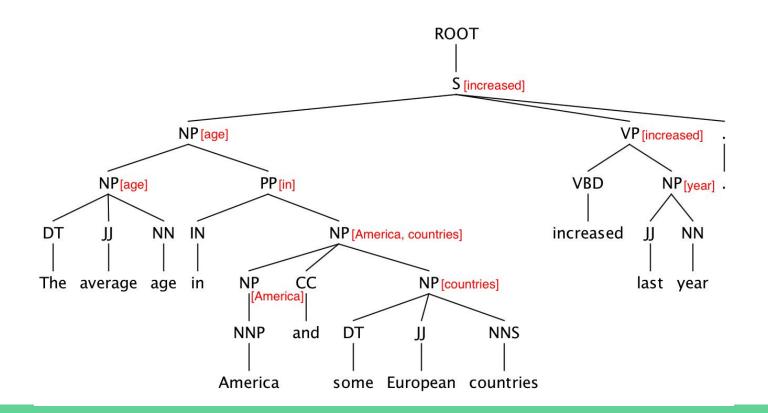
#### Conclusion:

find the right level of granularity

## Constituency parsing: head nodes



# Constituency parsing: head nodes



## Constituency parsing: head nodes

For example, let's find the head of NP:

- If the last word is tagged POS, return last-word.
- Else search from right to left for the first child which is an NN, NNP, NNPS, NX, POS, or JJR.
- Else search from left to right for the first child which is an NP.
- Else search from right to left for the first child which is a \$, ADJP, or PRN.
- Else search from right to left for the first child which is a CD.
- Else search from right to left for the first child which is a JJ, JJS, RB or QP.
- Else return the last word

#### Lexicalized PCFG

```
NP/age -> DT/the JJ/average NN/age
NP/America -> NNP/America
NP/countries -> DT/some JJ/European NNS/countries
NP/age -> NP/age PP/in
NP/year -> JJ/last NN/year
PP/in -> IN/in NP/America+countries
VP/increased -> VBD/increased NP/year
...
```

How to estimate probability? \\_(ツ)\_/

#### **Lexicalized PCFG**

Not informative at all:

```
P(NP/age -> DT/the JJ/average NN/age) = C(NP/age -> DT/the JJ/average NN/age) / C(NP/age)
```

A better alternative (Collins parser):

```
P(NP/age->DT/the JJ/average NN/age) = P(head==NN/age|NP/age)

* P(DT/the...|NP/age)

* P(JJ/average...|NP/age)
```

#### One more tiny problem

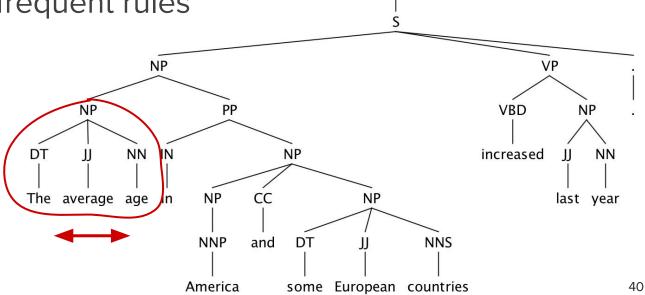
- NP → DT JJ NN
- NP → DT JJ NN NN
- NP → DT JJ JJ NN
- NP → RB DT JJ NN NN
- NP → RB DT JJ JJ NNS
- NP → DT JJ JJ NNP NNS
- NP → DT NNP NNP NNP NNP JJ NN
- NP → DT JJ NNP CC JJ JJ NN NNS
- NP → RB DT JJS NN NN SBAR
- NP → DT VBG JJ NNP NNP CC NNP
- NP → DT JJ NNS, NNS CC NN NNS NN
- NP → DT JJ JJ VBG NN NNP NNP FW NNP

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#### **Horizontal Markovization**

#### Idea:

- collapse similar rules
- to avoid too infrequent rules



**ROOT** 

#### **Horizontal Markovization**

```
(TOP (S (NP (NP (DT The) (JJ average) (NN age)) (PP (IN in) (NP (NP
America)) (CC and) (NP (DT some) (JJ European) (NNS countries))))) (VP
(VBD increased) (NP (JJ last) (NN year))) (...)))
(TOP (S (NP (DT The) (JJ general) (NN well-being)) (VP (VBD improved)
(ADVP (RB too))) (. .)))
[1/2] VP -> VBD ... [1]
VP -> VBD NP
                [1/2]
VP -> VBD ADVP
```

### Constituency parsing algorithms

- Top-down
  - start from ROOT and try to match input sentence

- Bottom-up
  - start from input sentence and try to match ROOT

- Dynamic programming
  - try all combinations and store partial results on the way
  - e.g., CKY, Earley

# Top-down constituency parsing: recursive-descent

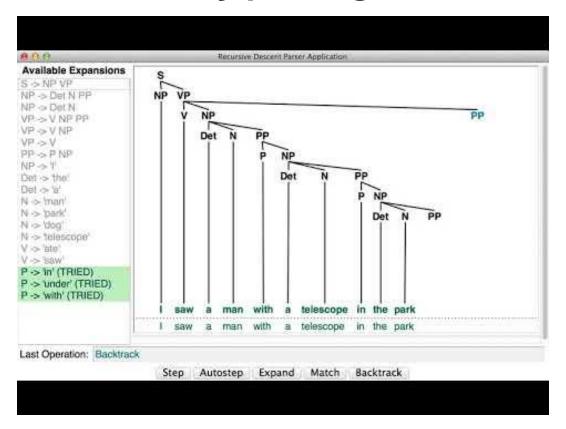
#### Pros:

can grasp long-distance relations

#### Cons

- can go into an endless cycle
- slow due to frequent backoff

### Top-down constituency parsing: recursive-descent



### Bottom-up constituency parsing: shift-reduce

#### Data

- queue the words of the sentence
- stack partially completed trees

#### Actions

- **shift** move the word from the queue onto the stack
- reduce add a new label on top of the first n constituents on the stack

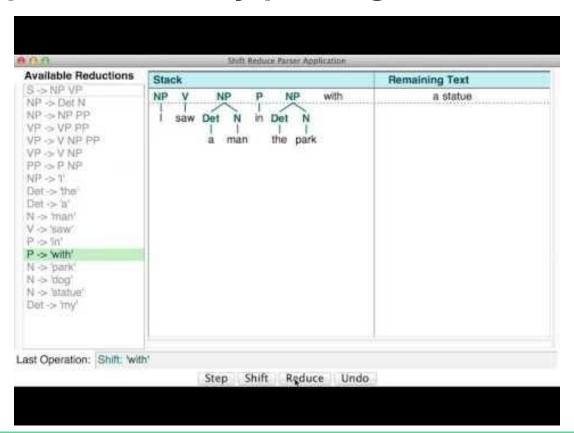
### Bottom-up constituency parsing: shift-reduce

Build a parse tree for the sentence below:

A large elephant was wearing my pyjamas

```
S -> NP VP [1]
NP -> DT JJ NN [0.6]
NP -> PRP$ NN [0.4]
VP -> VBD VP [0.7]
VP -> VBG NP [0.3]
```

### Bottom-up constituency parsing: shift-reduce demo



### **Dynamic programming: CKY**

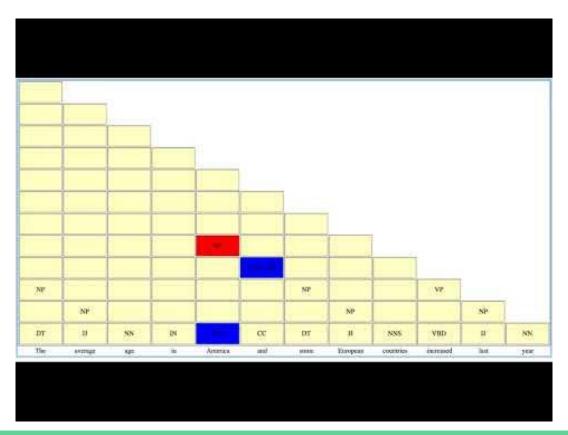
#### Idea

- build parse tree bottom-up
- combine built trees to form bigger trees using grammar
- find all valid parses with their probabilities

#### Conditions

- use binary trees only => Chomsky Normal Form
- use dynamic programming

# **Dynamic programming: CKY**



### **Dynamic programming: CKY**

Build the CKY table for the sentence and grammar below:

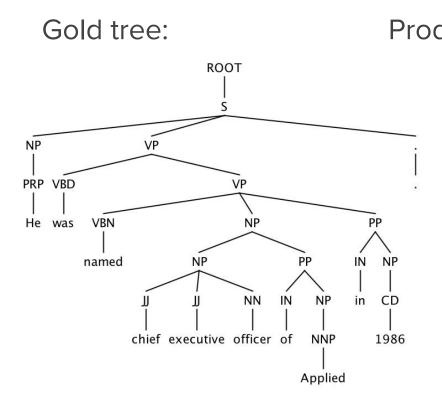
#### I saw her duck

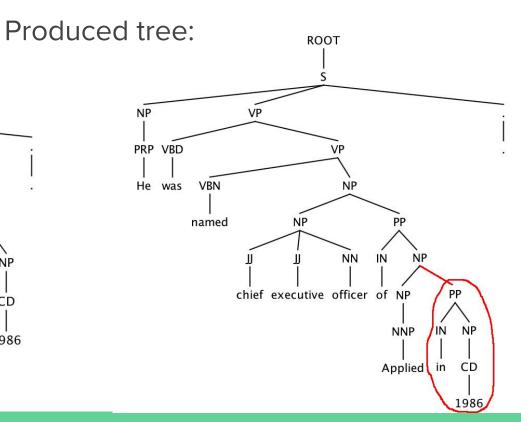
```
VP -> VBD NP [0.8]
  -> NP VP
           [1]
NP -> PRP$ NP [0.3]
                      VP -> "duck" [0.15]
NP
   -> "I"
             [0.4] PRP$ -> "her" [1]
                      VBD -> "saw" [1]
              [0.2]
NP
   -> "her"
NP -> "duck"
           [0.1]
              [0.05]
VP
   -> VBD S
```

### **Constituency parsing metrics**

- Parseval
  - percentage of correct nodes (with correct label and span)
- Leaf-Ancestor
  - minimum edit distance of the lineages of the trees
- Minimum Edit Distance
- Cross-Bracketing
  - percentage of brackets that do not coincide in aligned trees
- Recall/Precision/F-measure on separate constituent types
- Complete Match

### **Constituency parsing metrics**

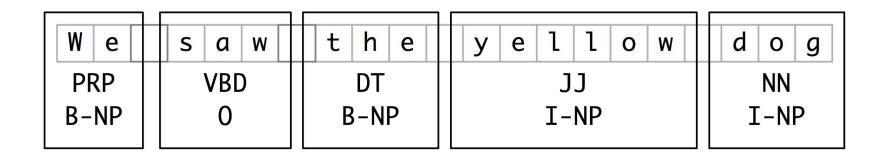




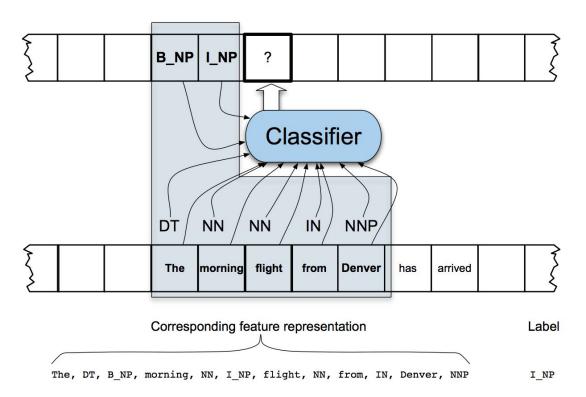
## Chunking

Idea: find and label non-overlapping constituents.

Labels: NP, PP, ADJP, ADVP. (BIO-style.)



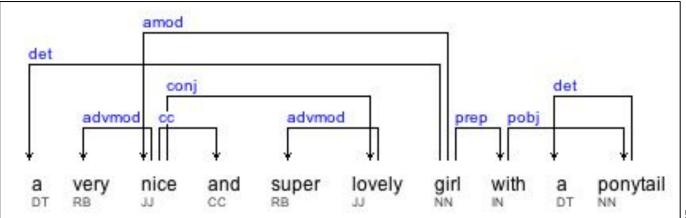
### Chunking



# 3. Dependency parsing

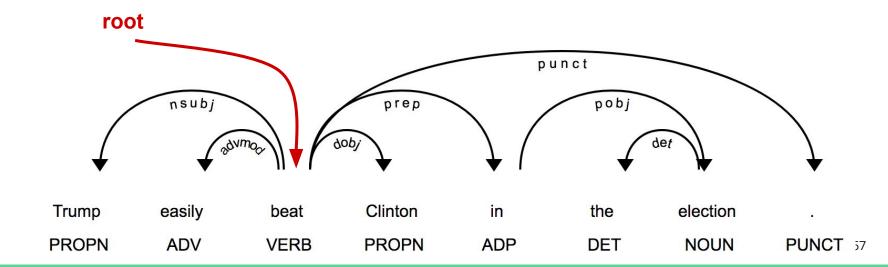
### **Dependency parsing**

- appeared in 2000s
- represents the relations between the words in the sentence
- operates at the word level
- good solution for more synthetic languages



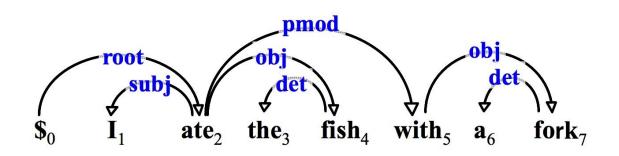
## **Dependency parsing**

- every *child* has exactly one *parent*
- dependencies must form a tree
- the tree ends with root

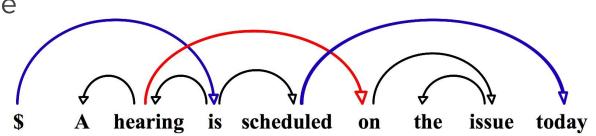


## **Projectivity**

Projective tree

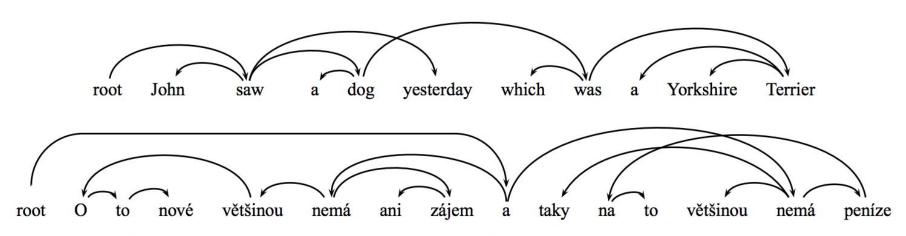


Non-projective tree



### **Projectivity**

Non-projective trees in English and Czech



He is mostly not even interested in the new things and in most cases, he has no money for it either.

#### **Dependency treebanks**

- converted from constituency trees using head rules
- Prague Dependency Treebank for Czech
- Universal Dependencies Treebank
  - more than 150 treebanks
  - over 90 languages

# **Universal Dependency Treebank**

1	If	if	IN	3	mark
2	you	you	PRP	3	nsubj
3	want	want	VBP	14	advcl
4	to	to	TO	5	aux
5	receive	receive	VB	3	xcomp
6	e-mails	e-mail	NNS	5	dobj
7	about	about	IN	6	prep
8	my	my	PRP\$	10	poss
9	upcoming	upcoming	JJ	10	amod
10	shows	show	NNS	7	pobj
11	,	,	,	14	punct
12	then	then	RB	14	advmod
13	please	please	UH	14	intj
14	give	give	VB	0	root
15	me	me	PRP	14	dative

. . .

#### Idea:

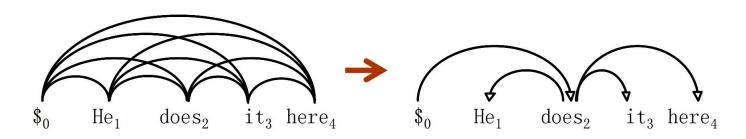
find the highest score tree from a complete graph.

#### Pros:

- performs better on long-distance dependencies
- allows non-projective trees

#### Cons:

slow



$$Y^* = \underset{Y \in \Phi(X)}{\operatorname{arg\,max}} \operatorname{score}(X, Y)$$

$$score(X,Y) = \sum_{(h,m)\in Y} score(X,h,m)$$

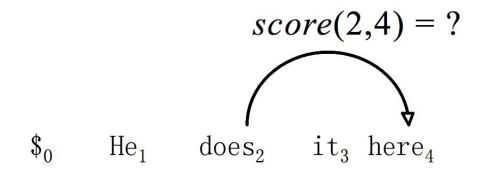
**X** – sentence

Y - candidate tree

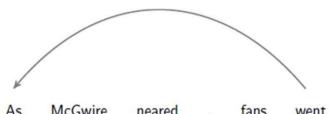
**h** - head

**m** – modifier

#### **Features**



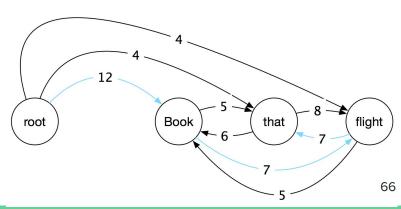
Each link is a feature vector: score(2, 4) = w\*f(2,4)

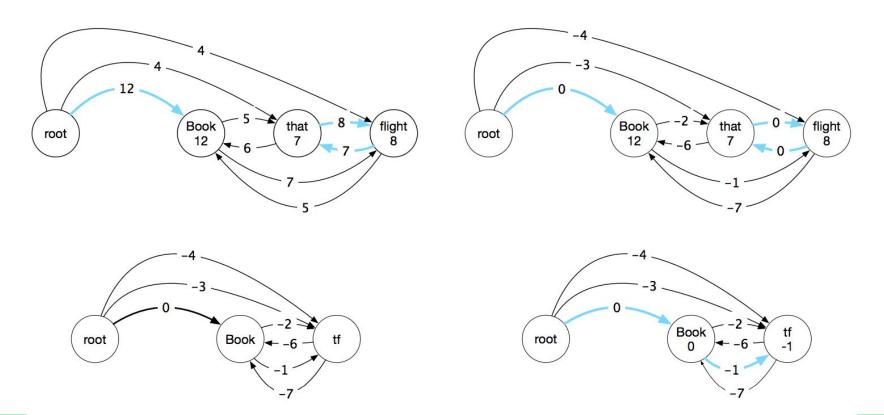


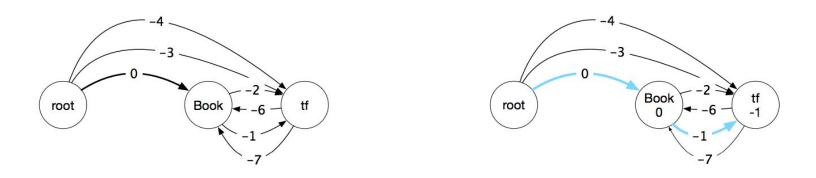
#### Example from slides of Rush and Petrov (2012)

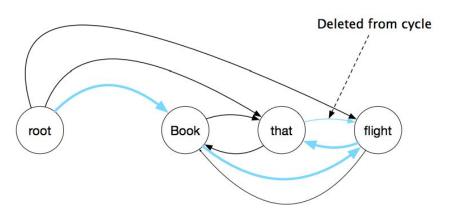
* A	s McGwire	neared ,	fans w	ent wild		
[went]		[VBD]		[As]	[ADP]	[went]
[VERB]		[As]		[IN]	[went, VBD]	[As, ADP]
[went, As]		[VBD, ADP]		[went, VERB]	[As, IN]	[went, As]
[VERB, IN]		[VBD, As, ADP]		[went, As, ADP]	[went, VBD, ADP]	[went, VBD, As]
[ADJ, *, ADP]		[VBD, *, ADP]		/BD, ADJ, ADP]	[VBD, ADJ, *]	[NNS, *, ADP]
[NNS, VBD, ADP]		[NNS, VBD, *]		ADJ, ADP, NNP]	[VBD, ADP, NNP]	[VBD, ADJ, NNP]
[NNS, ADP, NNP]		[NNS, VBD, NNP]		[went, left, 5]	[VBD, left, 5]	[As, left, 5]
[ADP, left, 5]		[VERB, As, IN]		[went, As, IN]	[went, VERB, IN]	[went, VERB, As]
	[JJ, *, IN]	[VERB, *, IN]		[VERB, JJ, IN]	[VERB, JJ, *]	[NOUN, *, IN]
[NO	UN, VERB, IN]	[NOUN, VERB, *	]	[JJ, IN, NOUN]	[VERB, IN, NOUN]	[VERB, JJ, NOUN]
[NO	UN, IN, NOUN]	[NOUN, VERB, NOU	JN]	[went, left, 5]	[VERB, left, 5]	[As, left, 5]
	[IN, left, 5]	[went, VBD, As, AD	P] [VE	BD, ADJ, *, ADP]	[NNS, VBD, *, ADP]	[VBD, ADJ, ADP, NNP]
[NNS,	VBD, ADP, NNP]	[went, VBD, left, 5	5] [	As, ADP, left, 5]	[went, As, left, 5]	[VBD, ADP, left, 5]
[went	, VERB, As, IN]	[VERB, JJ, *, IN]	[NC	OUN, VERB, *, IN]	[VERB, JJ, IN, NOUN]	[NOUN, VERB, IN, NOUN]
[went	t, VERB, left, 5]	[As, IN, left, 5]	[1	went, As, left, 5]	[VERB, IN, left, 5]	[VBD, As, ADP, left, 5]
[went,	As, ADP, left, 5]	[went, VBD, ADP, lef	t, 5] [wen	nt, VBD, As, left, 5]	[ADJ, *, ADP, left, 5]	[VBD, *, ADP, left, 5]
[VBD,	ADJ, ADP, left, 5]	[VBD, ADJ, *, left,	5] [NN	NS, *, ADP, left, 5]	[NNS, VBD, ADP, left, 5]	[NNS, VBD, *, left, 5]
[ADJ, A	ADP, NNP, left, 5]	[VBD, ADP, NNP, lef	t, 5] [VBD	, ADJ, NNP, left, 5]	[NNS, ADP, NNP, left, 5]	[NNS, VBD, NNP, left, 5]
[VER	B, As, IN, left, 5]	[went, As, IN, left,	5] [wen	t, VERB, IN, left, 5]	[went, VERB, As, left, 5]	[JJ, *, IN, left, 5]
<b>[VER</b>	B, *, IN, left, 5]	[VERB, JJ, IN, left,	5] [VE	ERB, JJ, *, left, 5]	[NOUN, *, IN, left, 5]	[NOUN, VERB, IN, left, 5]

- Maximum directed spanning tree (MST)
  - trace edges with maximum score
  - if a cycle appears (recursively):
    - adjust scores subtract max incoming score from all incoming scores of each node
    - collapse cycling nodes
    - apply MST to new graph
    - clean up









## Transition-based dependency parsing

#### Idea:

apply transition actions one by one from left to right

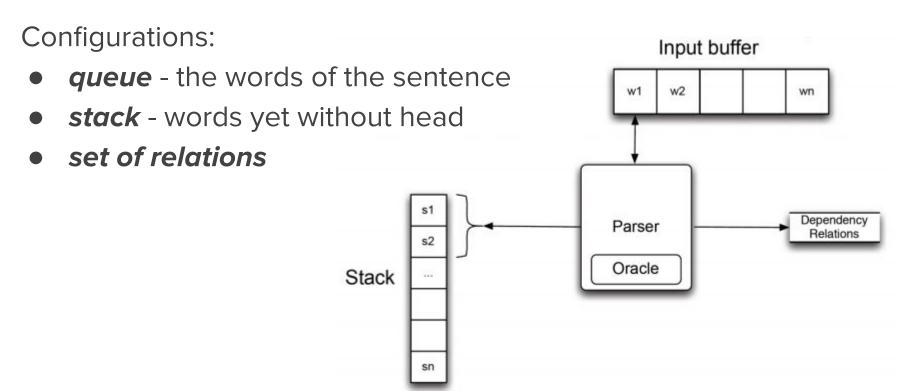
#### Pros:

fast

#### Cons:

- performs worse on long-distance dependencies
- allows only projective trees

## **Transition-based parsing**



### Transition-based parsing (Arc-Eager)

#### **Actions:**

- **shift** move the top of the queue onto the stack
- right-arc create a right dependency arc between the top of the stack and top of the queue; move the top of the queue onto the stack
- left-arc create a left dependency arc between the top of the stack and top of the queue; pop the stack
- **reduce** pop the stack

### **Transition-based parsing**

#### Now:

- do a sequence of actions through the space of possible configurations
- apply an action to a configuration and produce a new configuration

function DEPENDENCYPARSE(words) returns dependency tree

```
state \leftarrow {[root], [words], [] } ; initial configuration

while state not final

t \leftarrow ORACLE(state) ; choose a transition operator to apply

state \leftarrow APPLY(t, state) ; apply it, creating a new state

return state
```

### **Transition-based parsing**

Build a parse tree for the sentence below:

A large elephant was wearing my pyjamas

# Transition-based parsing: demo



# Transition-based parsing (Arc-Eager with Reordering)

### **Actions:**

- shift move the top of the queue onto the stack
- right-arc create a right dependency arc between the top of the stack and top of the queue; move the top of the queue onto the stack
- *left-arc* create a left dependency arc between the top of the stack and top of the queue; pop the stack
- **reduce** pop the stack
- swap exchange the top of the stack and the top of the queue

## **Transition-based parsing**

$$Y^* = \underset{Y \in \Phi(X)}{\operatorname{arg max}} \operatorname{score}(X, Y)$$
$$= \underset{a_0 \dots a_m \to Y}{\operatorname{arg max}} \sum_{i=0}^m \operatorname{score}(X, h_i, a_i)$$

X – sentence

**Y** – candidate tree

**a** – action

h – partial result built so far

m – number of actions needed to build a tree

## Training a transition-based parser

```
training set \leftarrow []
for sentence, tree pair in corpus do
   sequence ← oracle(sentence, tree)
   configuration \leftarrow initialize(sentence)
   while not configuration.lsFinal() do
       action ← sequence.next()
       features \leftarrow \phi(configuration)
       training set.add(features, action)
       configuration ← configuration.apply(action)
train a classifier on training set
```

## Transition-based parsing variants

- Arc-Standard: shift, left-arc, right-arc
  - arc-inducing transitions happen on stack
  - the node gets a head only after all of its dependents (so it's hard to predict all right dependents)
- Arc-Eager: shift, left-arc, right-arc, reduce
  - operates between stack and queue
- **Arc-Hybrid**: shift, left-arc, right-arc
  - left-arc as in Arc-Eager and right-arc as in Arc-Standard
- Arc-Swift: shift, left-arc-N, right-arc-N
  - reduce is part of arc-inducing transitions

### **Oracles: Static**

Oracle - a function that retrieves the transition at each point in tree.

- static oracle
  - checks: left/right arc => reduce => shift
  - returns the first satisfactory transition

```
s: top of stack, b: top of buffer if there's a link b -> s then return LEFT-ARC else if there's a link s -> b then return RIGHT-ARC else if there's a link x -> b or b -> x, x < s then return REDUCE else return SHIFT
```

### **Oracles: Non-Deterministic**

Oracle - a function that retrieves the transition at each point in tree.

- static oracle
  - checks: left/right arc => reduce => shift
  - returns the first satisfactory transition
- non-deterministic oracle
  - checks: left/right arc, reduce, shift
  - returns all *valid* transitions

### **Oracles: Dynamic**

### https://www.aclweb.org/anthology/C12-1059.pdf

The dynamic oracle answers queries of the form: is transition Z valid in configuration X for producing the best possible tree Y? (like a non-deterministic oracle) It no longer forces a unique transition sequence in situations where multiple sequences derive the gold tree. In this case, the dynamic oracle permits all valid transition sequences by answering "yes" on more than one transition Z in a given configuration.

## **Oracles: Dynamic**

The second crucial difference to a static oracle is that the dynamic oracle is well-defined and correct for all configurations, including configurations which are not a part of a gold derivation. For configurations which are not part of a gold derivation (and from which the gold tree is not reachable), the dynamic oracle permits all transitions that can lead to a tree with minimum loss compared to the gold tree.

## **Oracles: Dynamic**

- dynamic
  - allows all valid transitions
  - select a golden transition based on some cost function
  - allow exploration: apply predicted transition instead of the golden one
  - reordering a problem

- C(LEFT; c, T): Adding the arc (b, s<sub>0</sub>) and popping s<sub>0</sub> from the stack means that s<sub>0</sub> will not be able to acquire heads from H = {s<sub>1</sub>} ∪ β and will not be able to acquire dependents from D = {b} ∪ β. The cost is therefore the number of arcs in T of the form (s<sub>0</sub>, d) and (h, s<sub>0</sub>) for h ∈ H and d ∈ D.
- C(RIGHT; c, T): Adding the arc (s<sub>1</sub>, s<sub>0</sub>) and popping s<sub>0</sub> from the stack means that s<sub>0</sub> will not be able to acquire heads or dependents from B = {b} ∪ β. The cost is therefore the number of arcs in T of the form (s<sub>0</sub>, d) and (h, s<sub>0</sub>) for h, d ∈ B.
- C(SHIFT; c, T): Pushing b onto the stack means that b will not be able to acquire heads from H = {s<sub>1</sub>} ∪ σ, and will not be able to acquire dependents from D = {s<sub>0</sub>, s<sub>1</sub>} ∪ σ. The cost is therefore the number of arcs in T of the form (b, d) and (h, b) for h ∈ H and d ∈ D.

#### Figure: Cost function

## **Dependency parsing metrics**

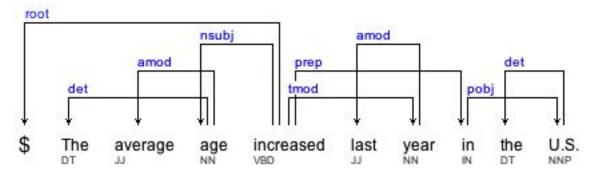
- Unlabeled Attachment Score
  - percentage of words that have correct heads

- Labeled Attachment Score
  - percentage of words that have correct heads and labels

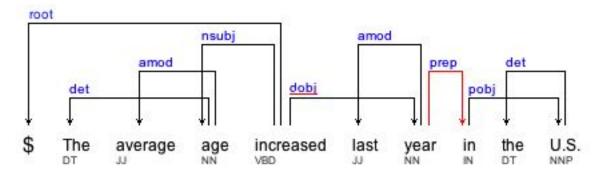
- Recall/Precision/F-measure on separate labels
- Root Accuracy
- Complete Match

## **Dependency parsing metrics**

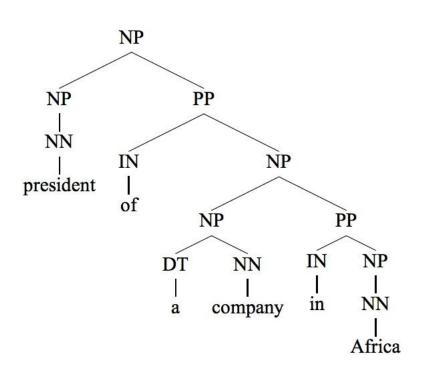
Gold tree

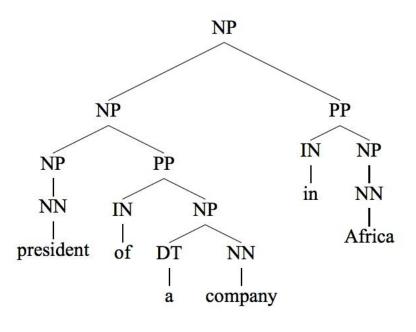


Produced tree

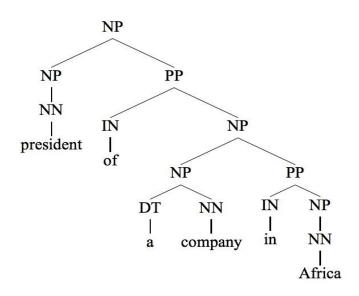


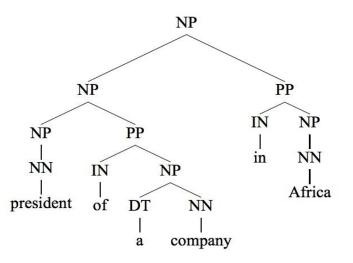
# 4. Parsing errors





PP attachment





- PP attachment
- NP attachment
  - We [decided to [build a museum this week]].
  - We [decided to [build a museum] this week].

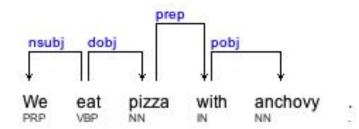
- PP attachment
- NP attachment
- Modifier attachment
  - [[old women] and men]
  - [old [women and men]]

- PP attachment
- NP attachment
- Modifier attachment
- Clause attachment
  - [[I'm glad I'm a man], and [so is Lola]].
  - [I'm glad [[I'm a man], and [so is Lola]]].

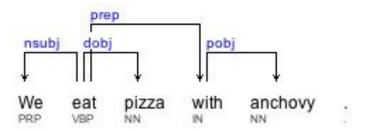
- PP attachment
- NP attachment
- Modifier attachment
- Clause attachment
  - Іхтіандр врятував дівчину від акули, з якою потім познайомився.

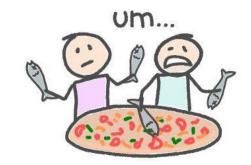
12

- PP attachment
- NP attachment
- Modifier attachment
- Clause attachment
- VP attachment (esp. in catenative coordinate structures)
  - We have [to pay Tom [[to do the job] and [to manage everything]]].
  - We have [[to pay Tom [to do the job]] and [to manage everything]].









### The PP attachment problem: solutions

- Majority class (noun attachment) wins
- Most likely class for each preposition wins
- Binary classification using maximum likelihood estimation

1. If f(v, n1, p, n2) > 0

$$\hat{p}(1|v, n1, p, n2) = \frac{f(1, v, n1, p, n2)}{f(v, n1, p, n2)}$$

2. Else if f(v, n1, p) + f(v, p, n2) + f(n1, p, n2) > 0

$$\hat{p}(1|v, n1, p, n2) = \frac{f(1, v, n1, p) + f(1, v, p, n2) + f(1, n1, p, n2)}{f(v, n1, p) + f(v, p, n2) + f(n1, p, n2)}$$

3. Else if f(v,p) + f(n1,p) + f(p,n2) > 0

$$\hat{p}(1|v, n1, p, n2) = \frac{f(1, v, p) + f(1, n1, p) + f(1, p, n2)}{f(v, p) + f(n1, p) + f(p, n2)}$$

4. Else if f(p) > 0

$$\hat{p}(1|v, n1, p, n2) = \frac{f(1, p)}{f(p)}$$

5. Else  $\hat{p}(1|v, n1, p, n2) = 1.0$  (default is noun attachment).

## The PP attachment problem: solutions

- Majority class (noun attachment) wins
- Most likely class for each preposition wins
- Binary classification using maximum likelihood estimation:
  - P(eat, pizza, with, anchovy)
  - P(eat, pizza, with), P(eat, with, anchovy), P(pizza, with, anchovy)
  - P(eat, with), P(with, anchovy), P(pizza, with)
  - P(with)

## The coordination attachment problem: solutions

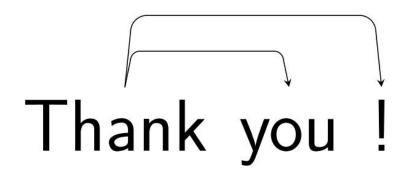
- The closer relation wins
- Similarity of head nodes in coordination
  - <u>books</u> about musical <u>instruments</u> and other <u>literature</u>
  - dogs in houses and cats
  - cats with <u>fleas</u> and <u>dogs</u>
  - men who like <u>shopping</u> and <u>women</u>

### More things to improve

- Fixing POS errors while building trees
- Exploring richer features
  - o e.g., mark coordination, grandparents, siblings
- Reranking of n-best parse trees
  - lexicalization, ancestors, functional/lexical heads
  - tree ngrams, rightmost-branch bias
  - coordination parallelism
- Ensembles of parsers
- Semi-supervised learning
- Beam search

### References

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   Francesco Sartorio (2015)
- <u>Arc-swift: A Novel Transition System for Dependency Parsing</u>, Peng Qi and Christopher D. Manning (2017)
- Parsing English in 500 Lines of Python, Matthew Honnibal (2013)
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Any questions?