

# Language as a Sequence

Mariana Romanyshyn  
*Grammarly, Inc.*

# NLP Viewpoints

\* Bag-of-words

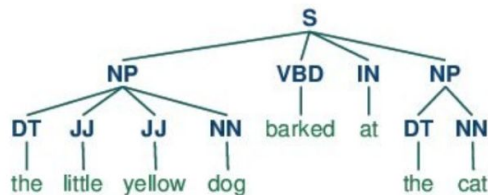


worse words warse wads weirs wyls wece

\* Sequence



\* Tree



\* Graph



# Contents

---

1. Sequence labeling
2. Hidden Markov model
3. Logistic regression
4. Feature encoding
5. Conditional random fields
6. More about ngrams

# 1. Sequence Labeling

# Bag of words vs. sequence

---

Trump beat Clinton in the election.

= or ≠

Clinton beat Trump in the election.

# Sequence Labeling

— — —

Part-of-speech tagging:

DT	NN	VBD	NNS	IN	DT	DT	NN	CC	DT	NN	.
The	pound	extended	losses	against	both	the	dollar	and	the	euro	.

# Sequence Labeling

---

Named-entity recognition:

PER	O	PER	PER	PER	O	O	O	O	ORG	O
Fred	showed	Sue	Mengzui	Huang	's	painting	in	the	Met	.

# Sequence Labeling

---

Named-entity recognition:

<b>B-PER</b>	O		<b>B-PER</b>	<b>B-PER</b>	<b>I-PER</b>	O	O		O	O	<b>B-ORG</b>	O
Fred	showed	Sue	Mengzui	Huang	's	painting	in	the	Met	.		



# Sequence Labeling

---

Error detection:

+	+	+	x		+	+	+	+	+	x	+
I	like	to	playing		the	guitar	and	sing	very	louder	.

# Sequence Labeling

---

Word segmentation:

S	S	B	E	B	M	E	S
我	有	一	台	计	算	机	。
(I)	(have)	(a)		(computer)			(.)

# Sequence Labeling

---

Semantic role labeling:

The police officer detained the suspect at the scene of the crime

Agent      Predicate      Theme      Location

# Sequence Labeling

— — —

Genome analysis:

intron exon intron exon intron  
AGCTAACGTTTCGATACGGATTACAGCCT

# Sequence Labeling

— — —

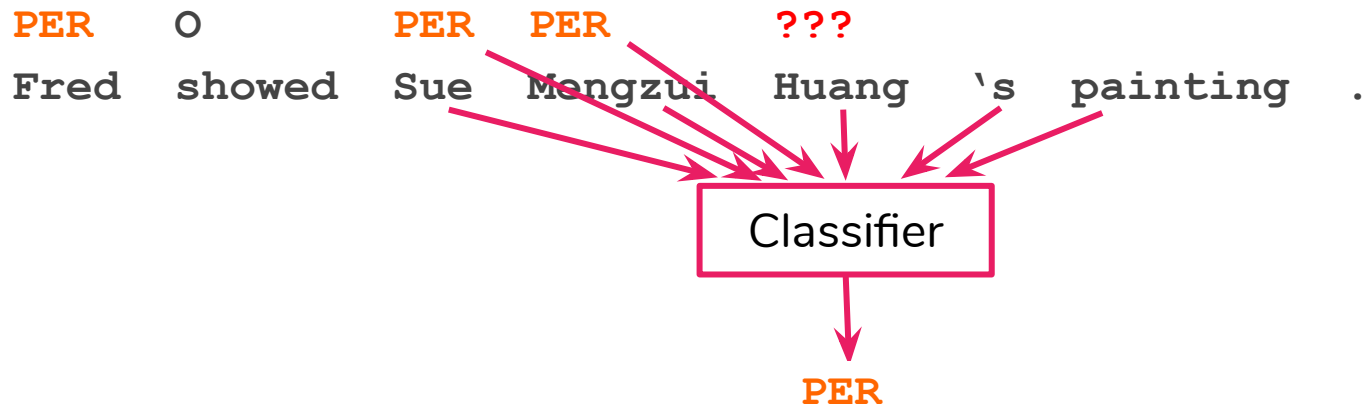
There's more:

- dialogue act tagging
- lexical stress and pitch accent detection
- sentence segmentation
- chunking
- etc.

# Sequence Labeling

---

Sequence labeling is essentially a **classification** of each incoming element taking into account left and right context.



# Models for sequence labelling

- HMM - generative, classifies the whole sequence at once
  - $p(x, y)$
- MaxEnt - discriminative, classifies elements one by one
  - $p(y_i=1/x_i)$
- CRFs - discriminative, classifies the whole sequence at once
  - $p(y/x)$

## 2. Hidden Markov Model



# Hidden Markov Model

---

HMM - a **generative** probabilistic sequence model used for:

- speech recognition
- segmentation (words, sentences, genomes)
- NER
- POS tagging

# HMM for POS tagging

---



# HMM for POS tagging: notation

---

$V$  - vocabulary

$T$  - POS tags

$x$  - sentence (observation)

$y$  - tag sequences (state)

$S$  - all sentence/tag-sequence pairs  $\{x_1 \dots x_n, y_1 \dots y_n\}$

- $n > 0$
- $x_i \in V$
- $y_i \in T$

# HMM for POS tagging: overview

---

**S** - all sentence/tag-sequence pairs  $\{\mathbf{x}_1 \dots \mathbf{x}_n, \mathbf{y}_1 \dots \mathbf{y}_n\}$

<b>x:</b>	Chewie	,	we	're	home	.
<b>y:</b>	NNP	,	PRP	VBP	RB	.
	NN	,	PRP	VBP	RB	.
	NNP	,	PRP	VBP	NN	.
	NN	,	PRP	VBP	NN	.
	...					

**Aim:** find  $\{\mathbf{x}_1 \dots \mathbf{x}_n, \mathbf{y}_1 \dots \mathbf{y}_n\}$  with the highest probability.

# Hidden Markov Model: assumptions

— — —

- Markov Assumption: "*The **future** is independent of the **past** given the **present**.*"
  - Trigram HMM: each state depends only on the previous two states in the sequence
- Independence assumption:
  - the state of  $\mathbf{x}_i$  depends only on the value of  $\mathbf{x}_i$ , independent of the previous observations and states

# Hidden Markov Model: assumptions

---

**S** - all sentence/tag-sequence pairs  $\{\mathbf{x}_1 \dots \mathbf{x}_n, \mathbf{y}_1 \dots \mathbf{y}_n\}$

**x:** Chewie , we 're home .  
**y:** NNP , PRP VBP ? .

...

# Trigram Hidden Markov Model: parameters

- 
- $q(s|u, v)$  - the probability of tag  $s$  after the tags  $(u, v)$

$$q(s|u, v) = \frac{c(u, v, s)}{c(u, v)}$$

- $e(x|s)$  - the probability of observation  $x$  paired with state  $s$

$$e(x|s) = \frac{c(s \rightsquigarrow x)}{c(s)}$$

# Trigram Hidden Markov Model: parameters

---

$$p(x_1 \dots x_n, y_1 \dots y_{n+1}) = \prod_{i=1}^{n+1} q(y_i | y_{i-2}, y_{i-1}) \prod_{i=1}^n e(x_i | y_i)$$



# For example

---

x: Chewie , we 're home .

y: *NNP* , *PRP* *VBP* *RB* .

$p(x, y) = ?$

# For example

---

x: Chewie , we 're home .

y: NNP , PRP VBP RB .

$$p(\mathbf{x}, \mathbf{y}) = c(\text{NNP}, |, |, \text{PRP}) / c(\text{NNP}, |, |) * c(|, |, \text{PRP}, \text{VBP}) / c(|, |, \text{PRP}) * \\ c(\text{PRP}, \text{VBP}, \text{RB}) / c(\text{PRP}, \text{VBP}) * c(\text{VBP}, \text{RB}, |, |) / c(\text{VBP}, \text{RB}) * \dots$$

# For example

---

x: Chewie , we 're home .

y: NNP , PRP VBP RB .

$$\begin{aligned} p(\mathbf{x}, \mathbf{y}) = & c(\text{NNP}, |, |, \text{PRP}) / c(\text{NNP}, |, |) * c(|, |, \text{PRP}, \text{VBP}) / c(|, |, \text{PRP}) * \\ & c(\text{PRP}, \text{VBP}, \text{RB}) / c(\text{PRP}, \text{VBP}) * c(\text{VBP}, \text{RB}, |, |) / c(\text{VBP}, \text{RB}) * \\ & c(\text{NNP} \rightarrow \text{Chewie}) / c(\text{NNP}) * c(|, | \rightarrow ,) / c(|, |) * \\ & c(\text{PRP} \rightarrow \text{we}) / c(\text{PRP}) * c(\text{VBP} \rightarrow \text{'re}) / c(\text{VBP}) * \\ & c(\text{RB} \rightarrow \text{home}) / c(\text{RB}) * c(|, | \rightarrow .) / c(|, |) \end{aligned}$$

# One thing missing

---

x:                    Chewie       ,       we       're       home       .

y:   <S>   <S>   NNP               ,       PRP       VBP               RB               .   </S>

$$\begin{aligned} p(\mathbf{x}, \mathbf{y}) = & c(\text{NNP}, |, |, \text{PRP}) / c(\text{NNP}, |, |) * c(|, |, \text{PRP}, \text{VBP}) / c(|, |, \text{PRP}) * \\ & c(\text{PRP}, \text{VBP}, \text{RB}) / c(\text{PRP}, \text{VBP}) * c(\text{VBP}, \text{RB}, |, |) / c(\text{VBP}, \text{RB}) * \\ & c(<\text{S}>, <\text{S}>, \text{NNP}) / c(<\text{S}>, <\text{S}>) * c(<\text{S}>, \text{NNP}, |, |) / c(<\text{S}>, \text{NNP}) * \\ & c(\text{RB}, |, |, </\text{S}>) / c(\text{RB}, |, |) * c(\text{NNP} \rightarrow \text{Chewie}) / c(\text{NNP}) * c(|, | \rightarrow ,) / c(|, |) * \\ & c(\text{PRP} \rightarrow \text{we}) / c(\text{PRP}) * c(\text{VBP} \rightarrow \text{'re}) / c(\text{VBP}) * \\ & c(\text{RB} \rightarrow \text{home}) / c(\text{RB}) * c(|, | \rightarrow .) / c(|, |) \end{aligned}$$

# HMM: problem 1

---

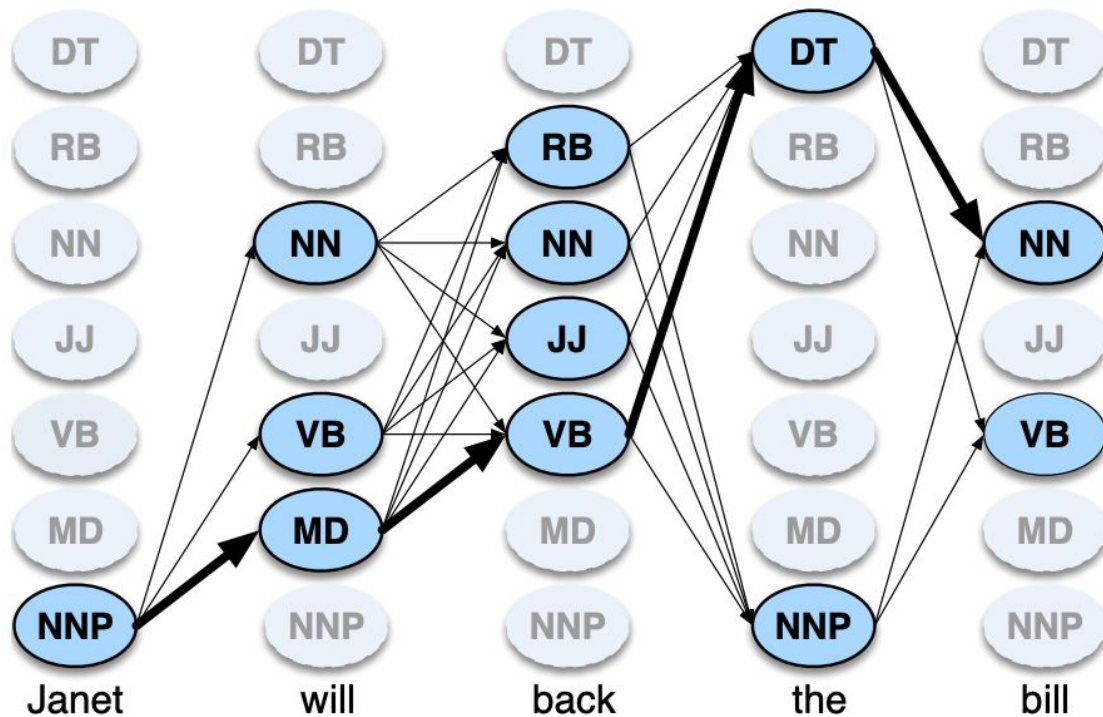
Enumerating all possible tag sequences is not feasible —  $T^n$ .

44 tags \*\* 6-token sentence = 7,256,313,856 tag sequences

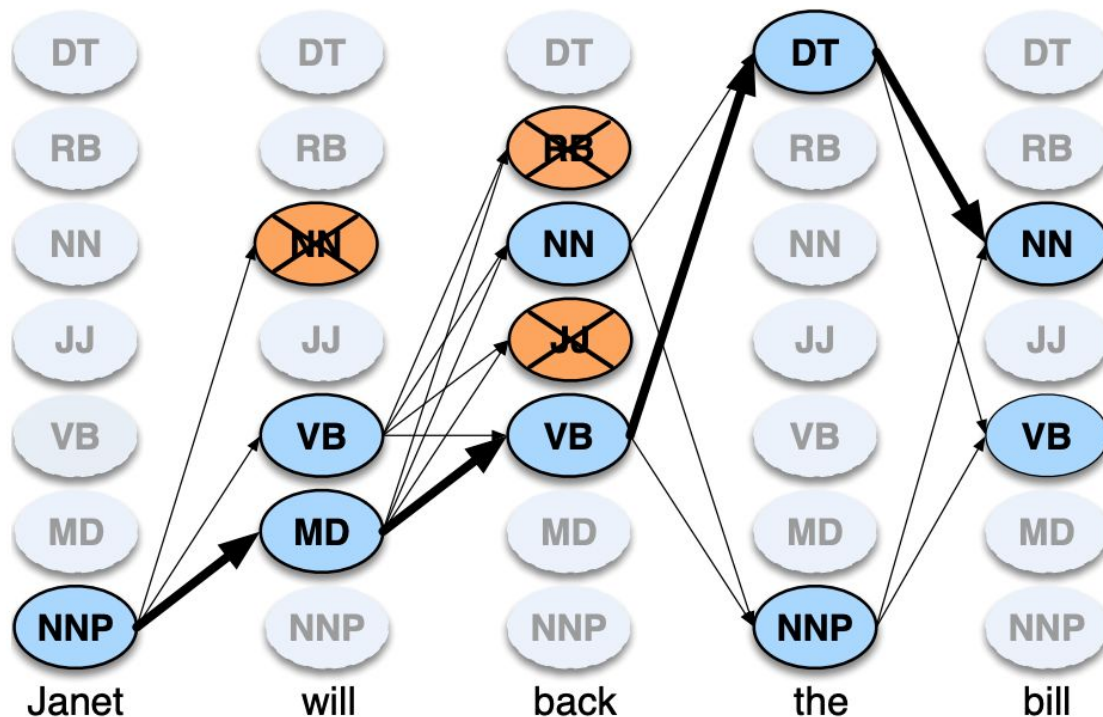
Solutions:

- use dynamic programming (the Viterbi algorithm) -  $n * T^3$
- limit the number of candidates with a dictionary -  $n * 8^3$
- use beam search

# Viterbi algorithm



# Viterbi algorithm



# HMM: problem 2

---

Zero probabilities can occur because of OOV or rare words.

Solution: use *smoothing*

- **add-1**: pretend you saw each word (or each new word) one more time (also: **add-k**)
- **Good-Turing**: when the trigram count is near 0, rely on bigram
- **Kneser-Ney**: reallocate the probability of ngrams that occur  $r+1$  times to the ngrams that occur  $r$  times



# HMM: problem 3

— — —

Limited features taken into account:

- $p(\text{tag}/\text{word})$  could be informative
- incorporating lemmas, grammatical properties, spelling properties, etc. is hard

# HMM accuracy

— — —

- 96.7% for POS tagging of English
  - [TnT tagger](#) as tested on English and German
  - same quality as MaxEnt taggers but faster

# 3. Logistic Regression

# Logistic Regression

---

Logistic regression - a **discriminative** linear model used for binary classification.

- like Perceptron, it's linear
- like NB, it extracts a set of weighted features, takes logs, and combines them linearly
- unlike NB, it's discriminative

$$z = \left( \sum_{i=1}^n w_i x_i \right) .$$

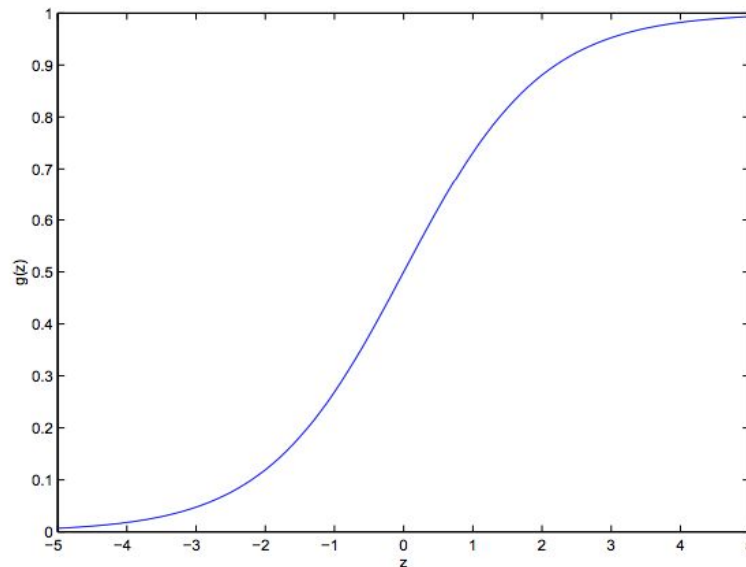
# Logistic Regression

---

A  $[0; 1]$  function would be handy:  $y = 1$  if  $p(y=1|x) > 0.5$ .

Sigmoid function:

$$\begin{aligned} P(y=1) &= \sigma(w \cdot x + b) \\ &= \frac{1}{1 + e^{-(w \cdot x + b)}} \end{aligned}$$



# Logistic Regression

---

For multinomial logistic regression, use softmax:

$$p(c|x) = \frac{\exp\left(\sum_{i=1}^N w_i f_i(c, x)\right)}{\sum_{c' \in C} \exp\left(\sum_{i=1}^N w_i f_i(c', x)\right)}$$

# Logistic Regression: example

---

Welcome to St . *Paul* 's Cathedral !



[Is this period a sentence end?]

# Logistic Regression: example

---

Welcome to St . Paul 's Cathedral !



[Is this period a sentence end?]

$y$ : {is-end, is-not-end}

$x$ : {"w+1\_is\_cap", "w+1=the", "w-1=St", "w-1=because", "w-1\_is\_digit"}



# Logistic Regression: example

---

Welcome to St . Paul 's Cathedral !



[Is this period a sentence end?]

$y$ : {is-end, is-not-end}

$x$ : {"w+1\_is\_cap", "w+1=the", "w-1=St", "w-1=because", "w-1\_is\_digit"}

$x_j$ : [1, 0, 1, 0, 0]

# Logistic Regression: example

---

Welcome to St . Paul 's Cathedral !

 [Is this period a sentence end?]

$y$ : {is-end, is-not-end}

$x$ : {"w+1\_is\_cap", "w+1=the", "w-1=St", "w-1=because", "w-1\_is\_digit"}


$x_j$ : [1, 0, 1, 0, 0]

$w_{\text{is-end}}$ : [2.9, 0.6, -0.9, -1.3, 0]

$w_{\text{is-not-end}}$ : [0.5, 0.3, 2.9, 2.5, 1.7]

# Logistic Regression: example

---  
Welcome to St . Paul 's Cathedral !

 [Is this period a sentence end?]

$y$ : {is-end, is-not-end}

$x$ : {"w+1\_is\_cap", "w+1=the", "w-1=St", "w-1=because", "w-1\_is\_digit"}

$x_j$ : [1, 0, 1, 0, 0]

$w_{is-end}$ : [2.9, 0.6, -0.9, -1.3, 0]     $P(is-end|x_j) = e^{2.9-0.9} / (e^{2.9-0.9} + e^{0.5+2.9}) = 0.2$   
 $w_{is-not-end}$ : [0.5, 0.3, 2.9, 2.5, 1.7]     $P(is-not-end|x_j) = e^{0.5+2.9} / (e^{2.9-0.9} + e^{0.5+2.9}) = 0.8$

# Logistic Regression: weights

---

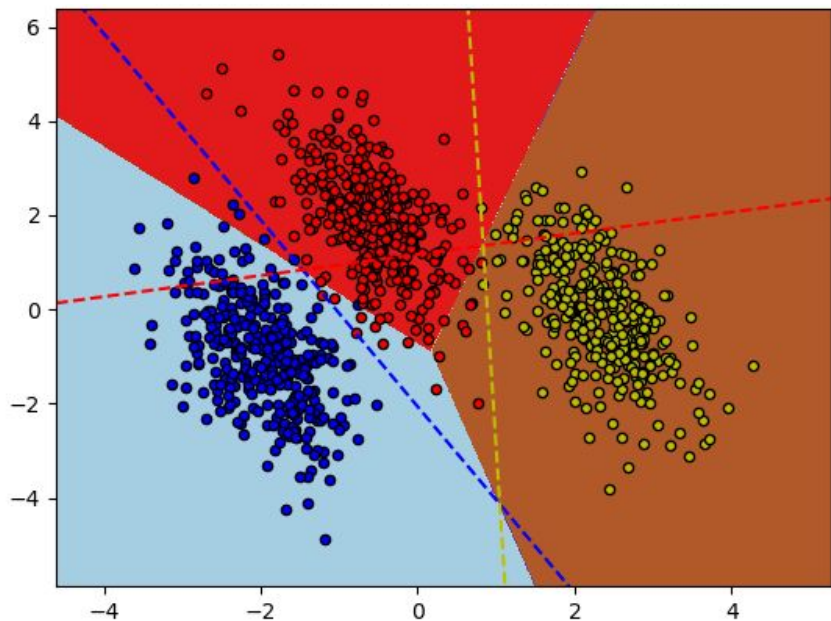
Learn weights:

- start with a vector of zeros or a random vector
- move towards the gradient
- to maximize the probability / minimize the loss function

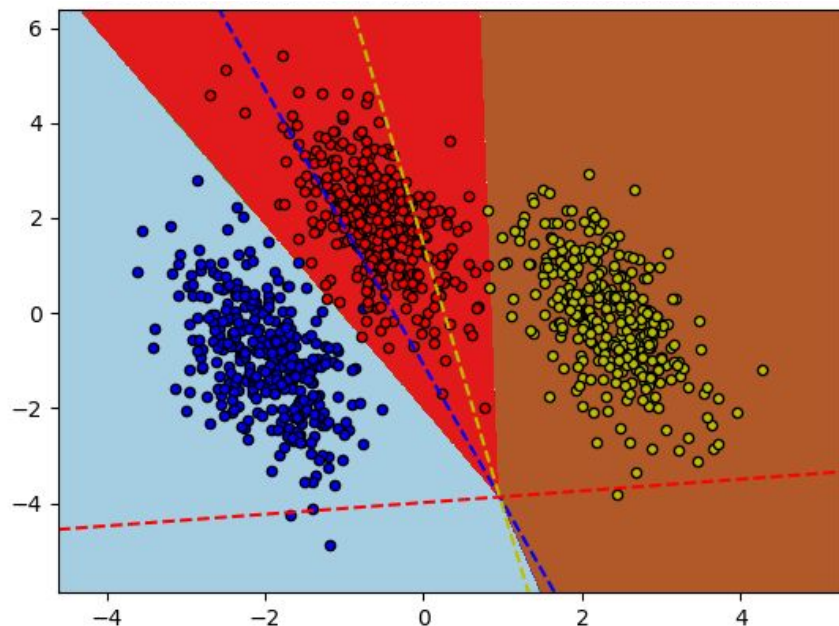
$$\hat{w} = \operatorname{argmax}_w \sum_j \log P(y^{(j)} | x^{(j)})$$

# Logistic Regression: multiclass and multilabel

one vs. rest



multinomial (MaxEnt)



# Multilabel classification

— — —

- Toxic Comment Classification
  - toxic
  - severe\_toxic
  - obscene
  - threat
  - insult
  - identity\_hate

# Multilabel classification

— — —

- [Predicting movie genres](#)
- [Assignment of ICD-9-CM codes to radiology reports](#)
- Sentiment or emotion analysis
- [User reaction](#) analysis
- Prediction of tags for blogs or news
- Any text classification by topic

## 4. Feature Encoding



# Encode neighbors: feature template

— — —

DT NN VBD NNS IN DT DT NN CC DT NN .  
The pound extended losses against both the dollar and the euro .

**x: “losses”, y: NNS**

{“word-2”: “pound”,

“word-1”:

“extended”,

“word+1”: “against”,

“word+2”: “both”,

“tag-2”: “NN”,

“tag-1”: “VBD”}

# Encode neighbors: feature template

— — —

DT NN VBD NNS IN DT DT NN CC DT NN .  
The pound extended losses against both the dollar and the euro .

[ 1, 1, 0, ...]  
word-2=pound word-1=extended word+1=hello

# Encode neighbors: feature template

— — —

DT NN VBD NNS IN DT DT NN CC DT NN .  
The pound extended losses against both the dollar and the euro .

**x: “losses”, y: NNS**

{“wt-2”: “pound\_NN”,  
“wt-1”: “extended\_VBD”,  
“w+1”: “against”,  
“w+2”: “both”}

# What tag to use?

— — —

DT NN VBD NNS IN DT DT NN CC DT NN .

The pound extended losses against both the dollar and the euro .

- *gold or predicted?*

# Encode context (ngrams)

— — —

DT NN VBD NNS IN DT DT NN CC DT NN .  
The pound extended losses against both the dollar and the euro .

**x: “losses”, y: NNS**

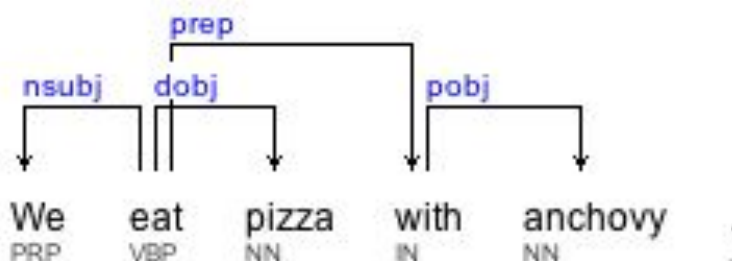
{“left-bigram”: “pound extended”,

“right-bigram”: “against both”,

“context”: “extended losses against both”}

# Encode dependencies

---

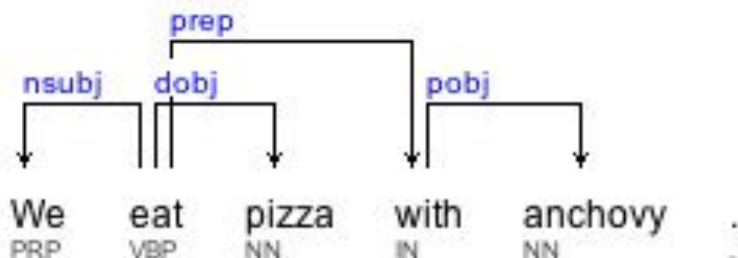


“eat”/VBP:

[ 1, 0, 0, 1, 0, 1, 0, 0, ...]  
nsubj acl relcl dobj pobj prep punct xcomp

# Encode dependencies

— — —



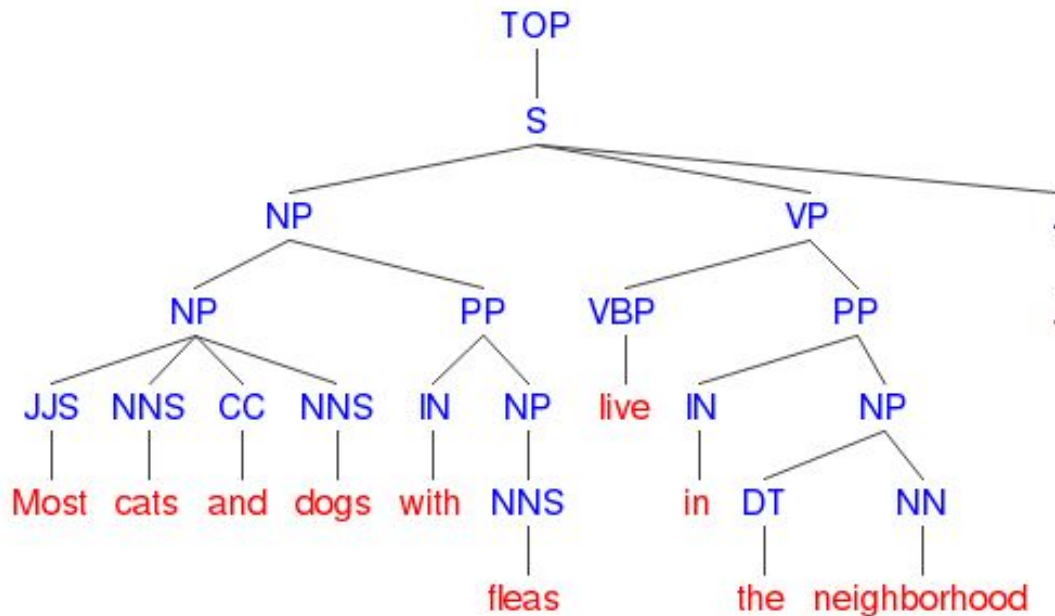
“eat”/VBP:

- *nsubj\_We, dobj\_pizza, prep\_with*
- *nsubj\_PRP, dobj\_NN, prep\_IN*
- *nsubj\_We, dobj\_pizza, prep\_with\_pobj\_anchovy*

# Encode constituents

“fleas”/NNS:

{“label”: “NP”,  
“anc-left”: “PP”,  
“anc-right”: “S”,  
“span-start”: 5,  
“span-end”: 6}





# More features

— — —

- capitalized?
- hyphenated?
- compound?
- lemma
- sense id
- number of senses in WordNet
- is in X dictionary
- has X as a synonym
- ...

# More features

— — —

- affixes
- coreference
- sentiment
- grammatical characteristics of various parts of speech:
  - countability of nouns
  - tense of verbs
  - degree of comparison of adjectives
  - pronoun type
  - conjunction type

# 5. Conditional Random Fields

# Conditional Random Fields

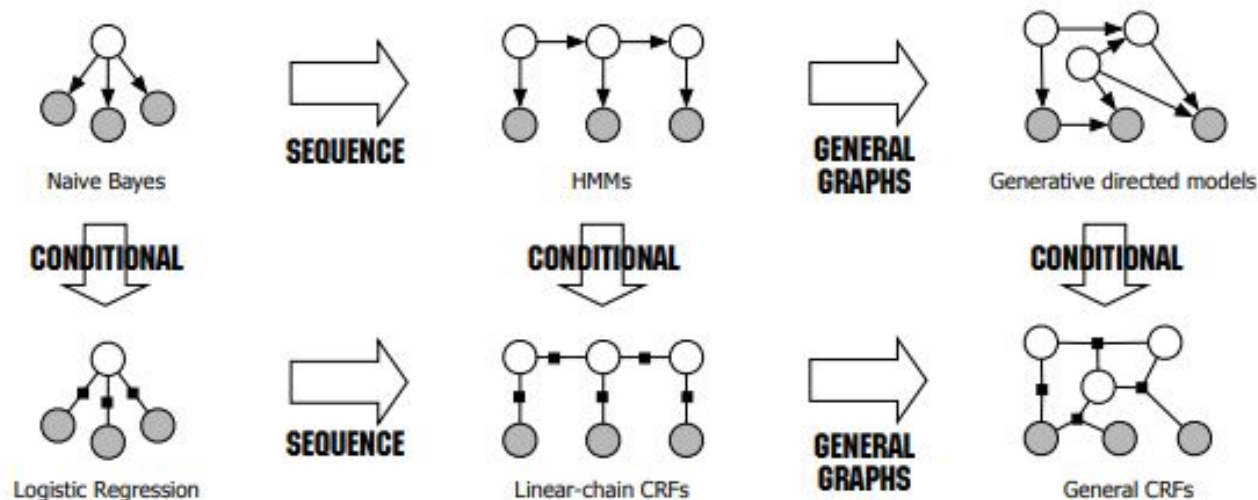


Fig. 2.3 Diagram of the relationship between naive Bayes, logistic regression, HMMs, linear-chain CRFs, generative models, and general CRFs.

# Conditional Random Fields

---

CRFs = MaxEnt + HMM

- HMM - generative, **classifies the whole sequence at once**
  - $p(x, y)$
- MaxEnt - **discriminative**, classifies elements one by one
  - $p(y_i=1/x_i)$
- CRFs - **discriminative**, **classify the whole sequence at once**
  - $p(y/x)$

# CRF Advantages

— — —

- Compared with HMM: Since CRF does not have as strict independence assumptions as HMM does, it can accommodate any context information. Its feature design is flexible (the same as ME).
- Compared with ME: CRF computes the joint probability distribution of the entire label sequence when an observation sequence intended for labeling is available, rather than defining the state distribution of the next state under the current state conditions given.

# CRF Disadvantage

— — —

CRF is highly computationally complex at the training stage of the algorithm. It makes it very difficult to re-train the model when newer data becomes available.

# Conditional Random Fields

- MaxEnt

$$p(c|x) = \frac{\exp\left(\sum_{i=1}^N w_i f_i(c, x)\right)}{\sum_{c' \in C} \exp\left(\sum_{i=1}^N w_i f_i(c', x)\right)}$$

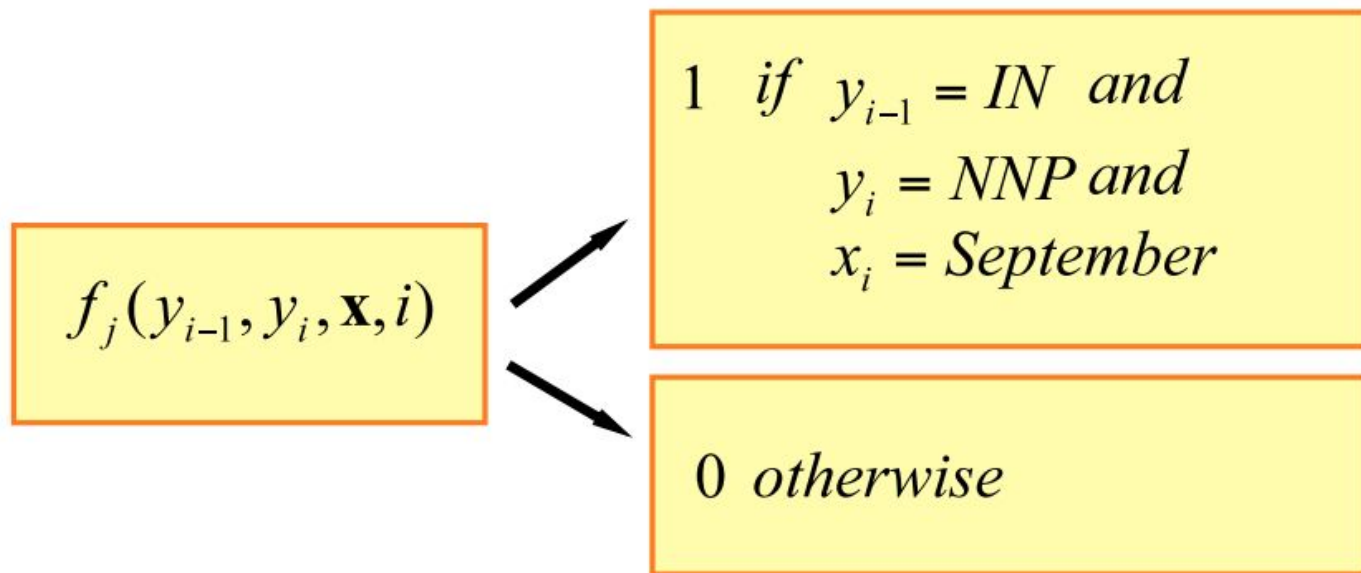
- CRF also learns transitions

$$p(l|s) = \frac{\exp(\sum_{j=1}^m \sum_{i=1}^n w_j f_j(s, i, l_i, l_{i-1}))}{\sum_{l'} \exp(\sum_{j=1}^m \sum_{i=1}^n w_j f_j(s, i, l'_i, l'_{i-1}))}$$



# Conditional Random Fields: feature function

---



# Conditional Random Fields

---

$$p(l|s) = \frac{\exp(\sum_{j=1}^m \sum_{i=1}^n w_j f_j(s, i, l_i, l_{i-1}))}{\sum_{l'} \exp(\sum_{j=1}^m \sum_{i=1}^n w_j f_j(s, i, l'_i, l'_{i-1}))}$$

$s$  - sentence (list of words)

$l$  - list of labels

$i$  - index of a word in  $s$

$l_i$  - label of the word  $i$

$l_{i-1}$  - label of the word before  $i$

# Linear Chain CRF

---

$$P(\mathbf{y} \mid \mathbf{X}) = \frac{\exp \left( \sum_{k=1}^{\ell} U(\mathbf{x}_k, y_k) + \sum_{k=1}^{\ell-1} T(y_k, y_{k+1}) \right)}{Z(\mathbf{X})}$$

In  $P(y|x;w)$ , we can ignore the denominator  $Z(x)$  and exponent function in the numerator which results in  $\hat{w} = \operatorname{argmax}_w \sum_{j=1}^n \sum_{i=1}^m w_i f_i(y_{j-1}, y_j, x, j)$

## 6. More about Ngrams

# What are ngrams

---

Ngram - a contiguous sequence of  $n$  items from a given text.


# What are ngrams

---

Ngram - a contiguous sequence of  $n$  items from a given text.

So, if  $n = 3$ :

<S> Why did n't you listen to me ? </S>




# What are ngrams

---

Ngram - a contiguous sequence of  $n$  items from a given text.

So, if  $n = 3$ :

<S> Why did n't you listen to me ? </S>



# What are ngrams

---

Ngram - a contiguous sequence of  $n$  items from a given text.

So, if  $n = 3$ :

<S> Why did n't you listen to me ? </S>



# What are ngrams

---

Ngram - a contiguous sequence of  $n$  items from a given text.

So, if  $n = 3$ :

<S> Why did n't you listen to me ? </S>

# What are ngrams

---

Ngram - a contiguous sequence of  $n$  items from a given text.

So, if  $n = 3$ :

<S> Why did n't you listen to me ? </S>

# What are ngrams

---

Ngram - a contiguous sequence of  $n$  items from a given text.

So, if  $n = 3$ :

<S> Why did n't you listen to me ? </S>


# What are ngrams

---

Ngram - a contiguous sequence of  $n$  items from a given text.

So, if  $n = 3$ :

<S> Why did n't you listen to me ? </S>




# What are ngrams

---

Ngram - a contiguous sequence of ***n*** items from a given text.

So, if ***n*** = 3:

<S> Why did n't you listen to me ? </S>



# Token ngrams

---

Usually  $1 \leq n \leq 5$ .

<S> Why did n't you listen to me ? </S>

**n = 1:** (<S>), (Why), (did), (n't), (you), (listen), (to), (me), (?)...

**n = 2:** (<S> Why), (Why did), (did n't), (n't you), (you listen), (listen to)...

**n = 3:** (<S> Why did), (Why did n't), (did n't you), (you listen to)...

...

# Character Ngrams

---

<S> Why did n't you listen to me ? </S>

For words:

$n = 3$ : (<w> W h), (W h y), (h y </w>), (<w> d i), (d i d), (i d n), (d n ')...

For sentences:

$n = 3$ : (W h y), (h y \_), (y \_ d), (\_ d i), (d i d), (i d n), (d n '), (n ' t)...

# POS Ngrams

---

<S> Why did n't you listen to me ? </S>

<S> WDT VBD RB PRP VB TO PRP . </S>

POS:

**n = 3:** (<S>, WDT, VBD), (WDT, VBD, RB), (VBD, RB, PRP), (RB, PRP, VB)...

Token+POS:

**n = 2:** (<S>\_<S>, Why\_WDT), (Why\_WDT, did\_VBD), (did\_VBD, n't\_RB)...

Token or POS:

**n = 3:** (<S>, WDT, did), (WDT, did, RB), (did, RB, PRP), (RB, PRP, listen)...



# Tree Ngrams

---

Head+dependency:

*listen\_nsubj*

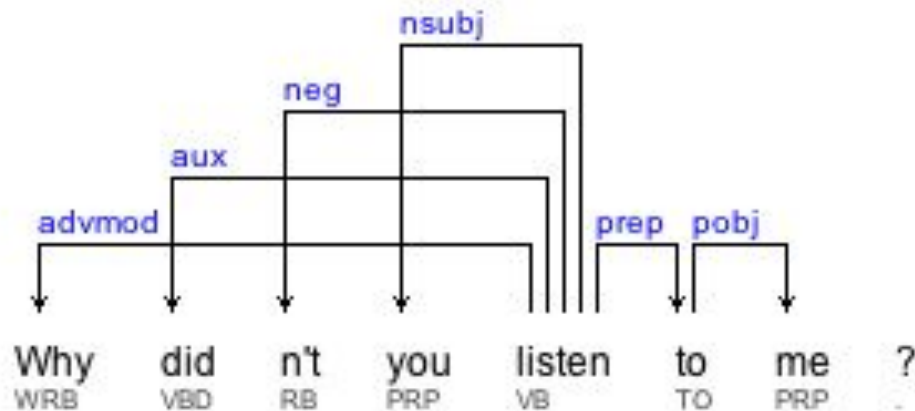
*listen\_nsubj\_you*

*listen\_prep\_to\_pobj\_me*

Head+POS+dependency:

*listen/VB\_nsubj*

*listen/VB\_nsubj\_you/PRP*



# Ngrams usage

---

1. Speech recognition
2. Autocompletion



google autocomplete is|

google autocomplete is **funny**

google autocomplete is **not working**

google autocomplete is **not working in firefox**

google autocomplete is **annoying**

google autocomplete is **slow**

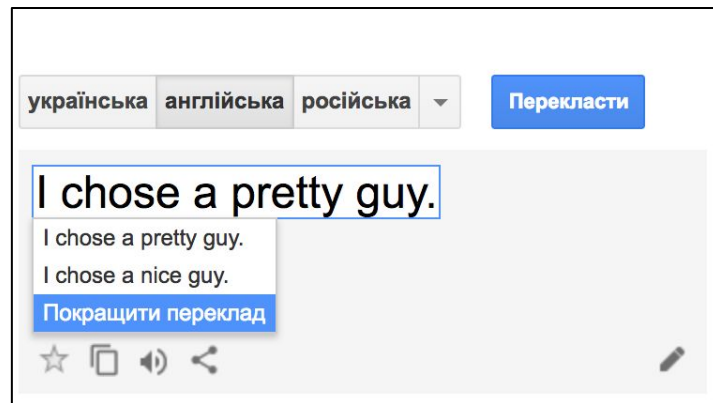
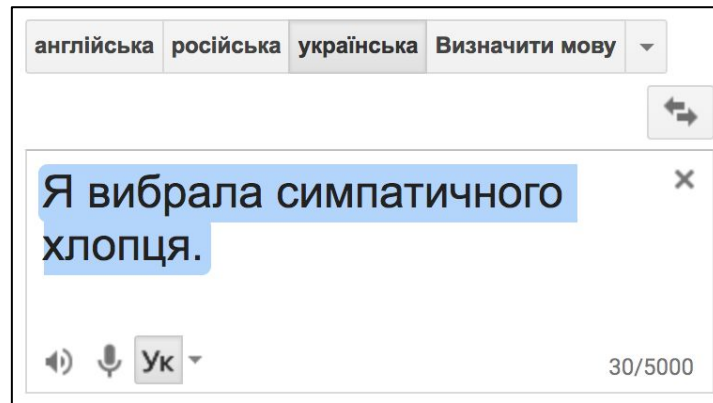
google autocomplete islam

google autocomplete isn't working

# Ngrams usage

— — —

1. Speech recognition
2. Autocompletion
3. Machine Translation



# Ngrams usage

---

1. Speech recognition
2. Autocompletion
3. Machine Translation
4. Handwriting recognition
5. Spelling correction
6. (and GEC in general)

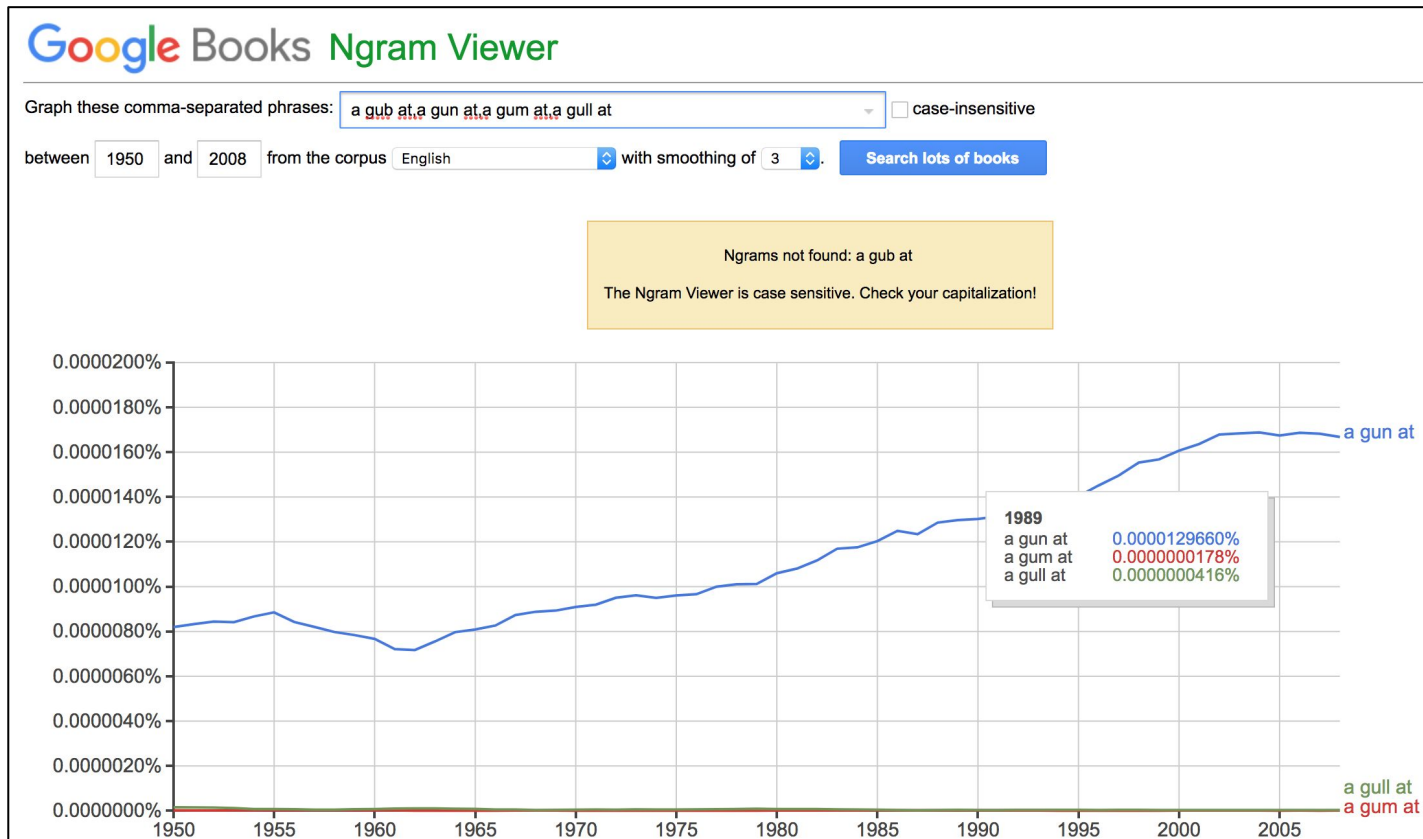


# Ngrams as a feature

---

Frequency or  
probability:

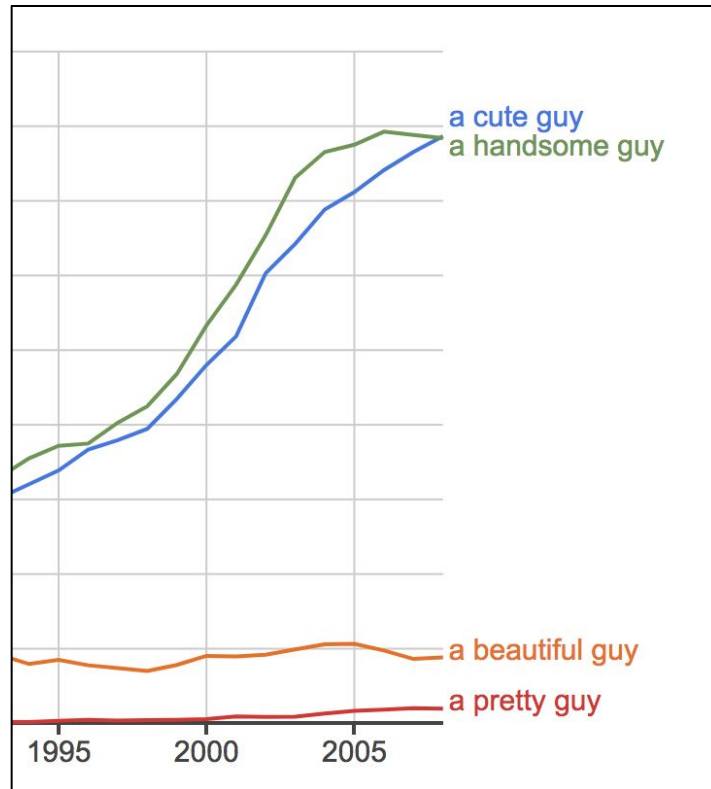
a gub at  
a gun at  
a gum at  
a gull at



# Ngrams as a feature

---

Frequency / probability



# Ngrams as a feature

---

Frequency / probability

at	0.1
by	0.2
for	0.1
He will take our place <b>in</b> the line. →	<b>0.3</b>
from	0.0
to	0.1
with	0.1

# Ngrams as a feature

---

Conditional probability

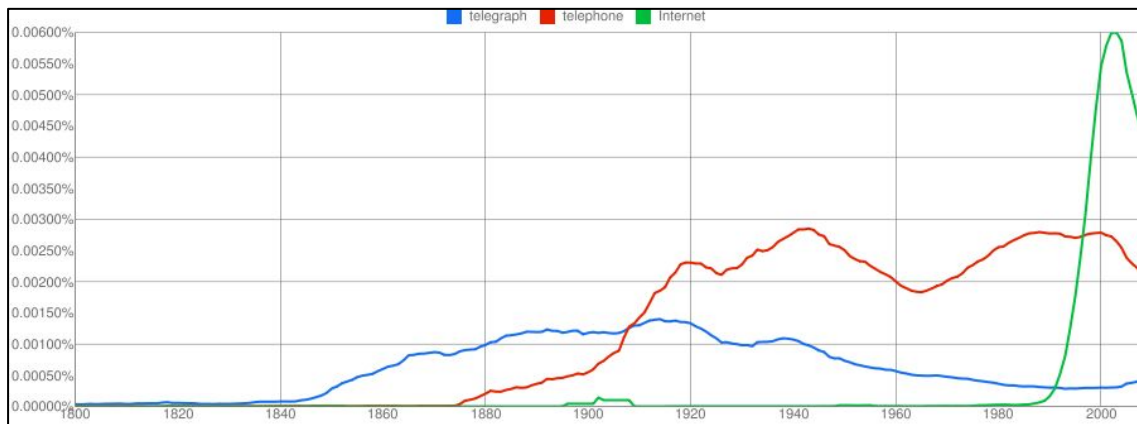
$$P(w_n | w_{n-1}) = \frac{C(w_{n-1} w_n)}{C(w_{n-1})}$$

*To be continued on the lecture about language modeling...*



# Where to get ngrams

- [1 mln of 2/3/4/5-ngrams from COCA](#) for free
- [Google ngrams](#) (and [how to download](#))
- [Google syntactic ngrams](#)
- collect on your own



# How to encode ngram frequencies

---

Ngrams:

“met a cute”: 3250, “a cute guy”: 25289, “met a cute guy”: 600, ...

“met a pretty”: 2925, “a pretty guy”: 1159, “met a pretty guy”: 0, ...

- As additional vector to concatenate:
  - [3250, 25289, 600, 2925, 1159, 0, ...]
- As part of the feature dictionary:
  - {“left-3gr”: 3250, “right-3gr”: 25289, “middle-4gr”: 600, “left-3gr-2”: 2925, “right-3gr-2”: 1159, “middle-4gr-2”: 0, ...}

# References

— — —

1. [Logistic Regression](#) in Speech and Language Processing by D. Jurafsky and J. H. Martin (2019)
2. [Hidden Markov Models](#) in Speech and Language Processing by D. Jurafsky and J. H. Martin (2019)
3. [Introduction to Conditional Random Fields](#) by Edwin Chen (2012)
4. [Conditional Random Fields: An Introduction](#) by Hanna M. Wallach (2004)
5. [A Comparative Analysis of Sequence Modeling Methods \(HMM, CRF\)](#)
6. [Sequence labeling](#) by Raymond J. Mooney (2016)
7. [Multiclass and Multi-label Classification](#) by Prof. Michael Paul (2017)