

IT-Security 1

Chapter 3: Integrity

Prof. Dr.-Ing. Ulrike Meyer

WS 15/16

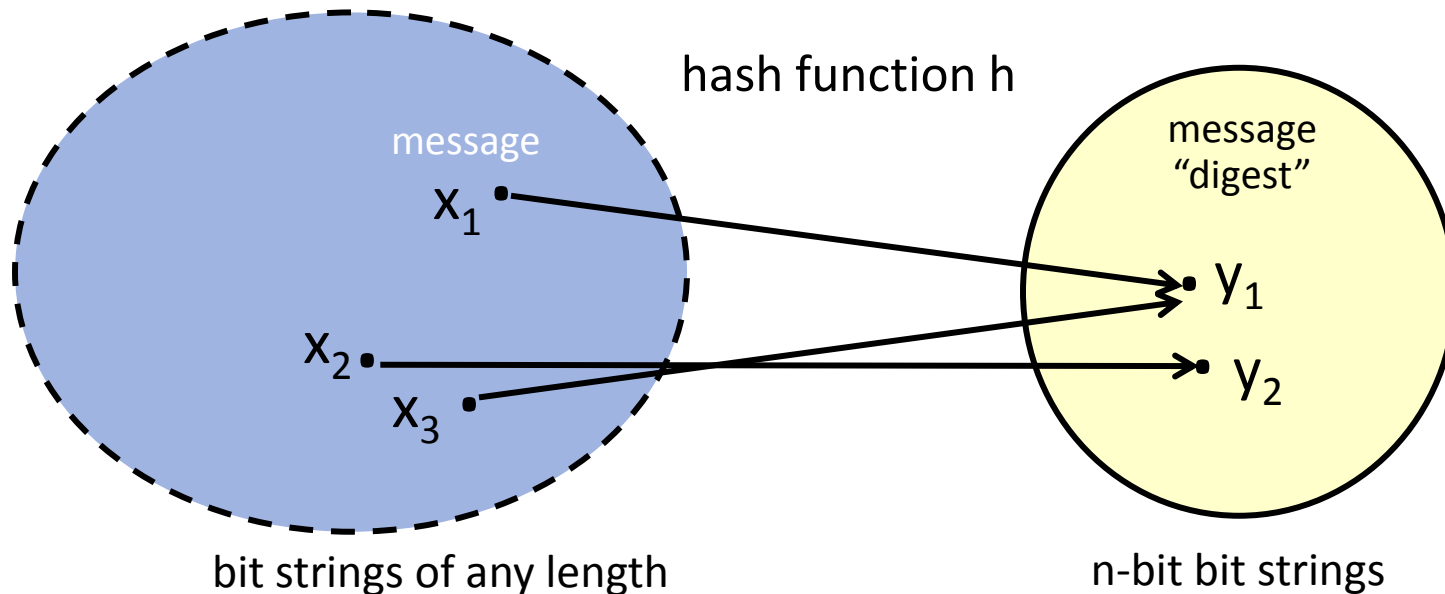
Chapter Overview

- Hash Functions in Cryptography
- Message Authentication Codes
 - From cryptographic hash functions
 - From block ciphers
- The replay problem
- Other applications of cryptographic hash functions

Definition of a Hash Function

- A **hash function** is a function h which has the following two properties
 - **compression** — h maps an input x of arbitrary finite bit-length, to an output $h(x)$ of fixed bit-length n .
 - **ease of computation** — given h and an input x , $h(x)$ is easy to compute
- A **collision** of a hash function is a pair of inputs x_1, x_2 that hash to the same value $h(x_1) = h(x_2)$

Hash Functions



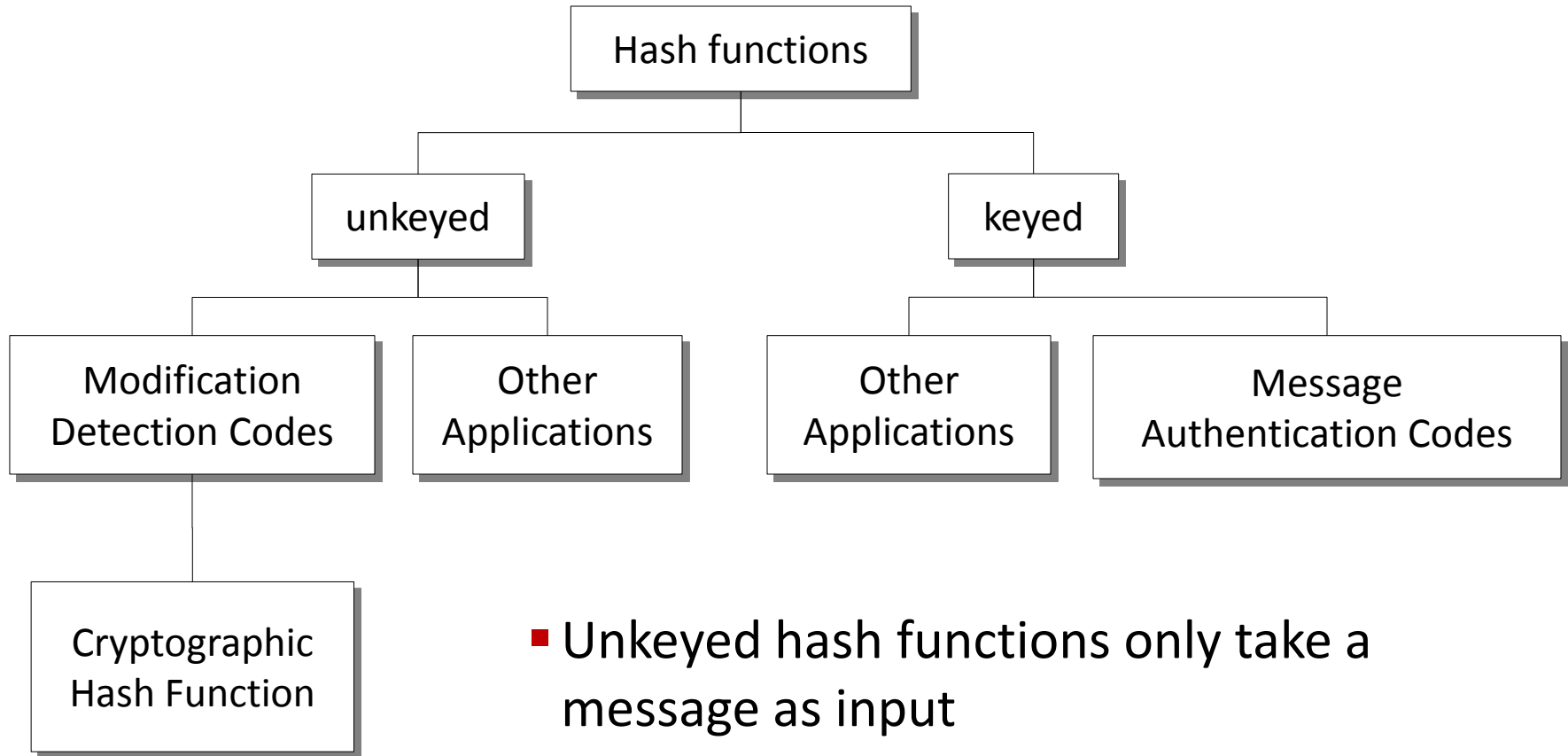
- The output of a hash function is called **hash value**, **message digest**, or **fingerprint**
- Note: a hash function cannot be injective
- **Every hash function has collisions**

Pigeonhole Principle

- Simple case:
 - If n pigeonholes are occupied by $n+1$ pigeons, then at least one hole is occupied with more than one pigeons
- Generalization:
 - If n pigeonholes are occupied by $kn+1$ pigeons, then at least one pigeonhole is occupied with more than k pigeons
- This gives a feeling for the number of collisions of a hash function
 - If a hash function maps 6-bit messages on 4-bit digests, than 64 messages are mapped on 16 possible digests
 - At least one digest corresponds to four or more messages



Types of Hash Functions



- Unkeyed hash functions only take a message as input
- Keyed hash functions take a message and a secret key as input

Potential Properties of Hash Functions

- **Preimage resistant**

- Given $y = h(x)$ but not x it is computationally infeasible to find any pre-image x' with $h(x') = y$

- **Second preimage resistant**

- Given x , $h(x)$ it is computationally infeasible to find a second pre-image x' different from x with $h(x') = h(x)$

- **Collision resistant**

- It is computationally infeasible to find any two different inputs x , x' that hash to the same value, i.e. such that $h(x) = h(x')$

- A hash function with these three properties is also called **cryptographic hash function**

Relations Between the Terms

- Collision resistance \Rightarrow 2nd pre-image resistance
- 2nd pre-image resistance $\not\Rightarrow$ collision resistance
- Collision resistance $\not\Rightarrow$ pre-image resistance
- 2nd pre-image resistance $\not\Rightarrow$ pre-image resistance
- Pre-image resistance $\not\Rightarrow$ 2nd pre-image resistance
- Pre-image resistance $\not\Rightarrow$ collision resistance

Example proofs

- Collision resistance \Rightarrow 2nd pre-image resistance
 - Proof by contradiction
 - Assume there is a hash function h that is collision resistant but not 2nd pre-image resistant.
 - Then for some x , $h(x)$ you can find a second pre-image x' . The pair (x, x') is a collision. This contradicts the assumption
- Collision resistance $\not\Rightarrow$ pre-image resistance
 - Constructive proof
 - Assume g is a collision resistant n -bit hash function
 - Define
$$h(x) = \begin{cases} 1 || x & \text{if the bitlength of } x \text{ is } \leq n \\ 0 || g(x) & \text{if the bitlength of } x \text{ is } > n \end{cases}$$
 - Then $h(x)$ is collision resistant but not pre-image resistant
 - This also proves 2nd pre-image resistance $\not\Rightarrow$ pre-image resistance

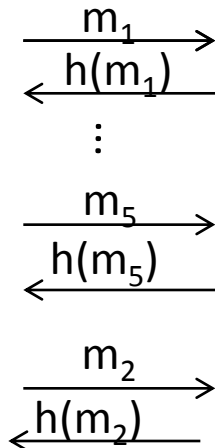
Clarification of Terms

- One-way hash function:
 - = preimage resistant hash function
- Cryptographic hash function:
 - = preimage resistant + (second preimage resistant) + collision resistant
- Secure hash function:
 - = cryptographic hash function
- Second preimage resistant:
 - = weak collision resistant
- Collision resistant:
 - = sometimes called strong collision resistant



Random Oracle Model

- Mathematical model for an ideal cryptographic hash function
 - Upon receipt of a new message of any length the oracle randomly chooses a fixed-length message digest, records the message and the digest, and returns the digest
 - Upon receipt of a message for which a digest has already been recorded by the oracle the oracle returns the digest in the record
 - The digest for a new message is chosen independently at random from any previously chosen digest



Oracle chooses hash values randomly and independently

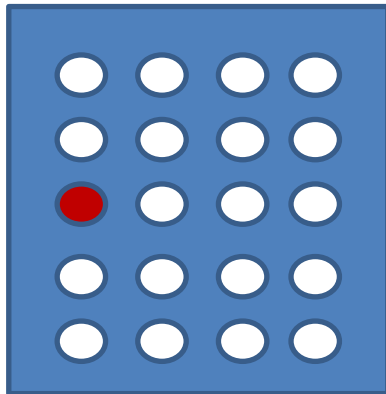
Use of Random Oracle Model

- The ideal hash function generated by the oracle is as...
 - preimage resistant
 - 2nd-preimage resistant
 - collision resistant
- ... as possible
- So we can use it to determine how resistant a hash function can be at most

The Birthday Problems

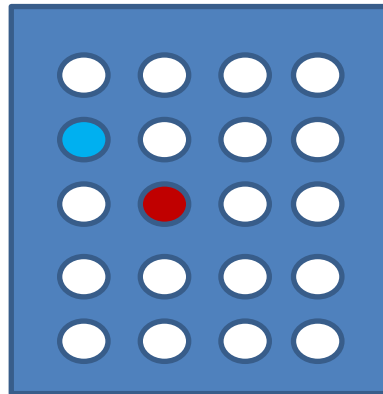
- Question: what is the minimal number k of students we need to have in a room to solve the following problems with a probability P

1st problem



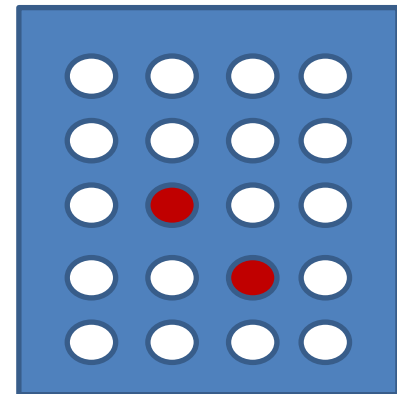
Fix a birthday ●
find a student
that is born
on this day

2nd problem



Select a student
and find a second
one with the same
birthday

3rd problem



Find any two
students with the
same birthday

1st Birthday Problem

Problem:

- What is the minimum number **k** of students in a classroom such that with probability **P** at least one student has a predefined day as his/her birthday
 - $N=365$ uniformly distributed random values

Solution:

Probability	k	k for $P = 1/2$	# of students $N = 365, P=1/2$
$P \sim 1 - e^{-k/N}$	$k \sim \ln[1/(1-P)] N$	$k \sim 0.69 N$	253

1st Birthday Problem - Proof

Problem:

- What is the minimum number **k** of students in a classroom such that with probability **P** at least one student has a predefined day as his/her birthday
 - $N=365$ uniformly distributed random values

Proof:

- If we chose one student randomly, then the probability that he does not have the fixed birthday is $1 - 1/N$
- The probability that NO student has the fixed birthday is $(1-1/N)^k$
- The probability that at least one student has the fixed birthday is $1 - (1-1/N)^k$
- Using the approximation $1-x \sim e^{-x}$ (for $x \ll 1$) gives us the probability
 - $P \sim 1 - e^{-k/N}$

2nd and 3rd Birthday Problem

Problem 2:

What is the minimum number k of students such that with probability P at least one student has the same birthday as one particular pre-selected student selected by the professor?

Probability	k	k for $P = 1/2$	# of students $N = 365$, $P=1/2$
$P \sim 1 - e^{-(k-1)/N}$	$k \sim \ln[1/(1-P)] N + 1$	$k \sim 0.69 N + 1$	254

Problem 3: What is the minimum number k of students in a classroom such that with a probability of P at least two students have the same birthday?

Probability	k	k for $P = 1/2$	# of students $N = 365$, $P=1/2$
$P \sim 1 - e^{-(k-1)/2N}$	$k \sim (2 \ln[1/(1-P)] N)^{1/2}$	$k \sim 1.18 N^{1/2}$	23

How Preimage Resistant Can a Hash Function be?

- Brute force attack to find pre-image to a given digest y
 - Attacker randomly selects x' , hashes it and compares the result to y until he finds a pre-image
- How many hashes does the attacker have to compute to be successful with probability $\frac{1}{2}$?
- Using the first birthday problem
 - For an n -bit hash function the attacker has to calculate $0.69 * 2^n$ hashes
- $O(2^n)$ hash computations necessary to find a pre-image with probability $\frac{1}{2}$ on an ideal hash function



How 2nd preimage Resistant Can a Hash Function Be

- Brute force attack to find a 2nd preimage for a pair $x, h(x)$
 - Attacker randomly selects message x' , hashes it and compares the result to $h(x)$
 - Attacker returns x' if $h(x') = h(x)$
 - How many hashes does the attacker have to compute to find a 2nd preimage with probability of $\frac{1}{2}$
 - Using the 2nd birthday problem
 - $0.69 * 2^n + 1$ hashes need to be calculated to brute force a 2nd preimage of an n -bit hash function
- $O(2^n)$ hash computations required to find a 2nd preimage on an ideal hash function with probability $\frac{1}{2}$

How Collision Resistant Can a Hash Function be?

- Brute forcing a collision
 - Attacker tries to find two messages x, x' that hash to the same value by randomly create messages x
 - Compute the hash of x and store the hashes in a list
 - Compare a new hash with each hash already stored in the list
 - Return the messages if the hashes coincide
 - How many hashes does the attacker have to compute to find a collision with probability $\frac{1}{2}$?
 - Using the third birthday problem the number of hashes needs to be $1.18 * 2^{n/2}$
- $O(2^{n/2})$ hash computations required to find a collision for the ideal hash function with probability $\frac{1}{2}$

Examples for Hash functions

- MD5

- Designed by Ronald Rivest (the R in RSA) in 1991
- Described in RFC 1321
- Produces a hash of 128 bit

- SHA / SHA-1

- Designed by the NSA in 1993
- Updated to SHA-1 in 1995
- Defined in FIPS PUB 180-2
- SHA-1 produces a hash of 160 bit

- SHA-2

- Computations of longer hashes possible with SHA-256, SHA-384, SHA-512

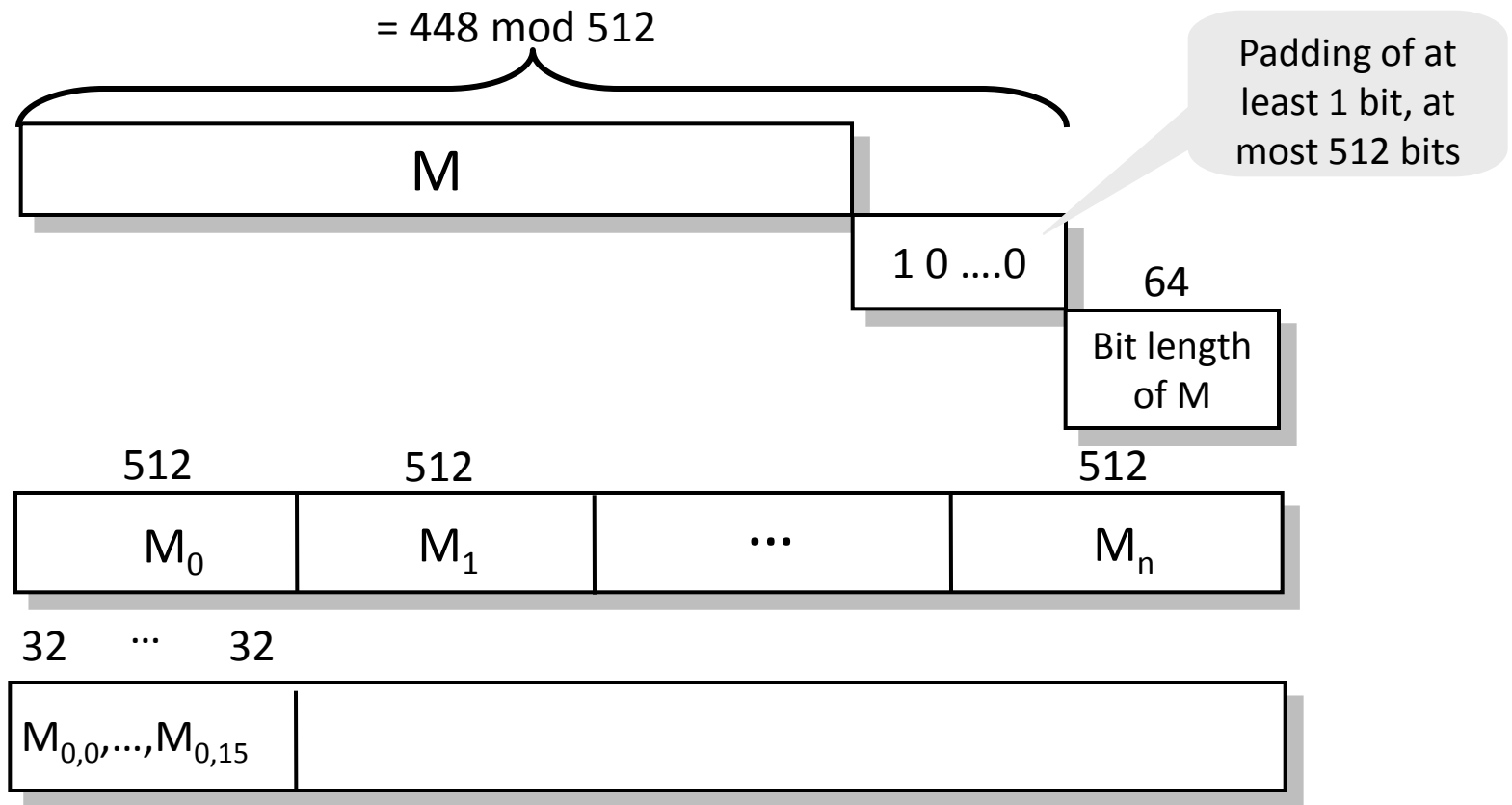
- SHA3

MD-5 Overview

- Pad the message such that bit-length is a multiple of 512
- Initialize four word buffers
- Initialize a 64 elements table $T[1], \dots, T[64]$
- Shuffle each 512 bit block in 4 rounds and use the output as input to the next round

Padding and Splitting into Blocks

- Let M be the message to be hashed



Initialization and Auxiliary Functions

Initialization of four word buffers

- Word A: 01 23 45 67
- Word B: 89 ab cd ef
- Word C: fe dc ba 98
- Word D: 76 54 32 10

Auxiliary functions operating on 32 bit words

- $F(X,Y,Z) = XY \vee \text{not}(X) Z$ (bitwise “if X then Y else Z”)
- $G(X,Y,Z) = XZ \vee Y \text{not}(Z)$
- $H(X,Y,Z) = X \oplus Y \oplus Z$
- $I(X,Y,Z) = Y \oplus (X \vee \text{not}(Z))$

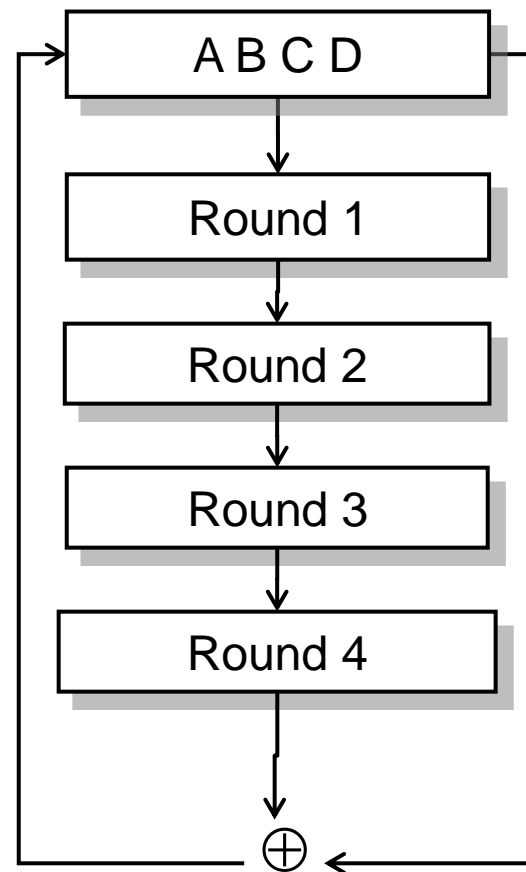
Initialisation of T-table

- For $i = 1, \dots, 64$: $T[i] = \text{integer part of } (2^{32} \lfloor \sin(i) \rfloor)$,
where $\lfloor \sin(i) \rfloor$ is the absolute value of $\sin(i)$

MD 5 – Word Processing Rounds

- The word procession takes place for each message block M_1, \dots, M_n
- In each round **all sub blocks** of the current message block are used
- The sub blocks are denoted by $X[i]$

$X[i] = M_{i,j}$, where $j = 0, \dots, 15$



Round 1

- [abcd **k s i**] is the operation
 - $a = b + ((a + F(b,c,d) + X[k] + T[i]) \lll s)$
- Do the following 16 operations.
 - [ABCD **0 7 1**] [DABC **1 12 2**] [CDAB **2 17 3**]
 - [BCDA **3 22 4**] [ABCD **4 7 5**] [DABC **5 12 6**]
 - [CDAB **6 17 7**] [BCDA **7 22 8**] [ABCD **8 7 9**]
 - [DABC **9 12 10**] [CDAB **10 17 11**] [BCDA **11 22 12**]
 - [ABCD **12 7 13**] [DABC **13 12 14**] [CDAB **14 17 15**]
 - [BCDA **15 22 16**]

Round 2

- Let $[abcd \text{ k s i}]$ denote the operation
 - $a = b + ((a + \mathbf{G(b,c,d)} + X[\mathbf{k}] + T[\mathbf{i}]) \lll \mathbf{s})$
- Do the following 16 operations
 - $[ABCD \ 1 \ 5 \ 17] \ [DABC \ 6 \ 9 \ 18] \ [CDAB \ 11 \ 14 \ 19]$
 - $[BCDA \ 0 \ 20 \ 20] \ [ABCD \ 5 \ 5 \ 21] \ [DABC \ 10 \ 9 \ 22]$
 - $[CDAB \ 15 \ 14 \ 23] \ [BCDA \ 4 \ 20 \ 24] \ [ABCD \ 9 \ 5 \ 25]$
 - $[DABC \ 14 \ 9 \ 26] \ [CDAB \ 3 \ 14 \ 27] \ [BCDA \ 8 \ 20 \ 28]$
 - $[ABCD \ 13 \ 5 \ 29] \ [DABC \ 2 \ 9 \ 30] \ [CDAB \ 7 \ 14 \ 31]$
 - $[BCDA \ 12 \ 20 \ 32]$

Round 3

- Let $[abcd \text{ k s t}]$ denote the operation
 - $a = b + ((a + H(b,c,d) + X[k] + T[i]) \lll s)$
- Do the following 16 operations
 - $[ABCD \ 5 \ 4 \ 33] \ [DABC \ 8 \ 11 \ 34] \ [CDAB \ 11 \ 16 \ 35]$
 - $[BCDA \ 14 \ 23 \ 36] \ [ABCD \ 1 \ 4 \ 37] \ [DABC \ 4 \ 11 \ 38]$
 - $[CDAB \ 7 \ 16 \ 39] \ [BCDA \ 10 \ 23 \ 40] \ [ABCD \ 13 \ 4 \ 41]$
 - $[DABC \ 0 \ 11 \ 42] \ [CDAB \ 3 \ 16 \ 43] \ [BCDA \ 6 \ 23 \ 44]$
 - $[ABCD \ 9 \ 4 \ 45] \ [DABC \ 12 \ 11 \ 46] \ [CDAB \ 15 \ 16 \ 47]$
 - $[BCDA \ 2 \ 23 \ 48]$

Round 4

- Let $[abcd \text{ k s i}]$ denote the operation
 - $a = b + ((a + l(b,c,d) + X[k] + T[i]) \lll s)$
- Do the following 16 operations
 - $[ABCD \ 0 \ 6 \ 49] \ [DABC \ 7 \ 10 \ 50] \ [CDAB \ 14 \ 15 \ 51]$
 - $[BCDA \ 5 \ 21 \ 52] \ [ABCD \ 12 \ 6 \ 53] \ [DABC \ 3 \ 10 \ 54]$
 - $[CDAB \ 10 \ 15 \ 55] \ [BCDA \ 1 \ 21 \ 56] \ [ABCD \ 8 \ 6 \ 57]$
 - $[DABC \ 15 \ 10 \ 58] \ [CDAB \ 6 \ 15 \ 59] \ [BCDA \ 13 \ 21 \ 60]$
 - $[ABCD \ 4 \ 6 \ 61] \ [DABC \ 11 \ 10 \ 62] \ [CDAB \ 2 \ 15 \ 63]$
 - $[BCDA \ 9 \ 21 \ 64]$

How Secure is MD5?

- 1993: Collision found by Boer and Bosselaers
- 1996: Attack published that found a collision in a modified version of MD5 in which the words A, B, C, and D were initialized differently
- 2004: Wang et al. found collisions in MD5 and other hash functions
- 2005: Further enhanced to make collision finding feasible on a notebook (8 hours to find a collision)
- 2006: Black et al. implemented a toolkit for collisions in MD5
 - <http://www.cs.colorado.edu/~jrblack/md5toolkit.tar.gz>
- 2007: Stevens et al. find collisions in less than 10 seconds on a on a 2.6Ghz Pentium 4
- 2007, 2009: MD5 attacks successfully used to fake certificates
- IETF recommendation of March 2011: **MD5 should not be used any more where collision resistance is needed**

Security of SHA-1

- 2004: 2nd preimage attack on SHA-1 in 2^{106}
- 2005: Attack found by Wang et al. that finds a collision with 2^{69} hash operations
- 2013: Attack by Stevens et al. finds identical prefix collision in 2^{61} and chosen prefix collision in $2^{77.1}$
- 2015: Attack by Stevens et al. that finds a Free-Start Collision on 76-step SHA-1 in 2^{50} hash operations
- Very serious situation, SHA-1 will be phased out in 2016 by all major browsers
- However:
 - No feasible preimage attack or 2nd preimage attack yet
 - No feasible attacks on the other SHA versions yet

How Bad is That?

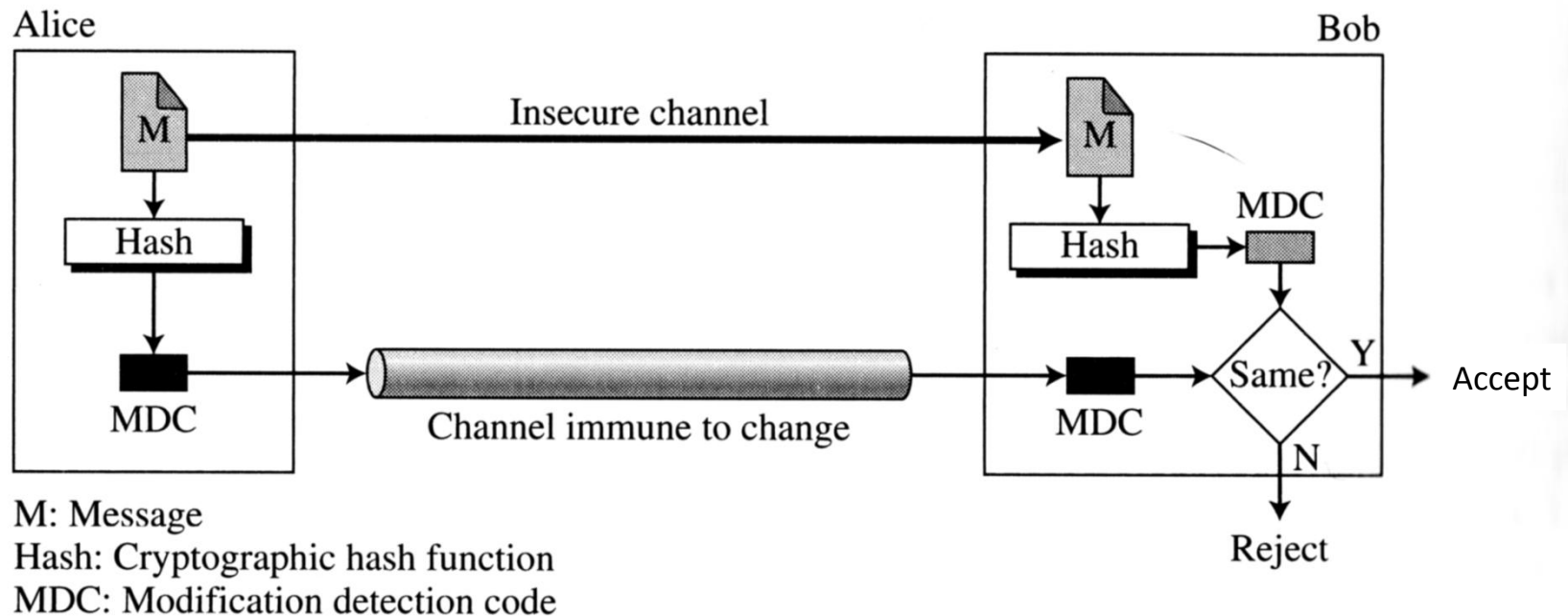
- As we will see later on hash functions are used for
 - Digital signatures on messages and certificates
 - Messages are hashed before they are signed
 - Constructing message authentication codes
 - Constructing modification detection codes
 - Key derivation functions
- Only in the context of digital signatures collision resistance is of importance
 - For the other applications preimage and 2nd preimage resistance are more interesting
 - “Its time to walk, but not run, to the fire exit” Jon Callas PGP's CTO



NIST Call for SHA-3

- November 2007: Call for a new hash function
- October 2008: Deadline for submissions
 - 64 submissions, 51 selected for the first round
- July 2009: Fourteen second round candidates announced
- December 2010: Five candidates for the third and final round determined: BLAKE, Grøstl, JH, Keccak, and Skein
- Final decision announced on October 2nd 2012
 - Keccak was selected as SHA-3
- See <http://keccak.noekeon.org/>
- Since early 2015, Keccak is standardized in FIPS 202
 - <http://nvlpubs.nist.gov/nistpubs/FIPS/NIST.FIPS.202.pdf>

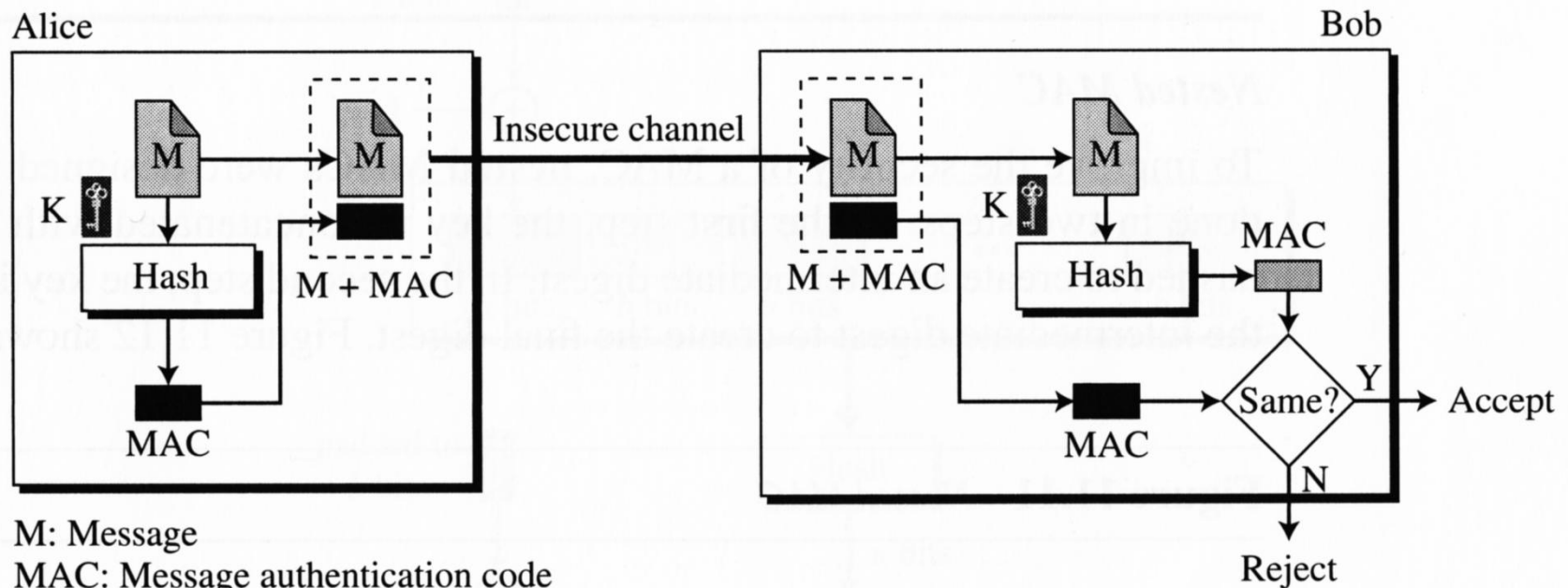
Modification Detection Code



Definition of Message Authentication Codes

- A Message Authentication Code (MAC) is a family of functions h_k parameterized by a secret key k with the following properties
 - **Ease of computation** – given k and x , $h_k(x)$ is easy to compute.
 - **Compression** – h_k maps an input x of arbitrary finite bit-length to an output $h_k(x)$ of fixed bit-length n
 - **Computation resistance** – for every k and any given amount of pairs $(x_i, h_k(x_i))$ it is computationally infeasible to compute any pair $(x, h_k(x))$ with x different from all x_i without knowledge of k

Message Authentication Codes from Hash Functions



M: Message
MAC: Message authentication code
K: A shared secret key

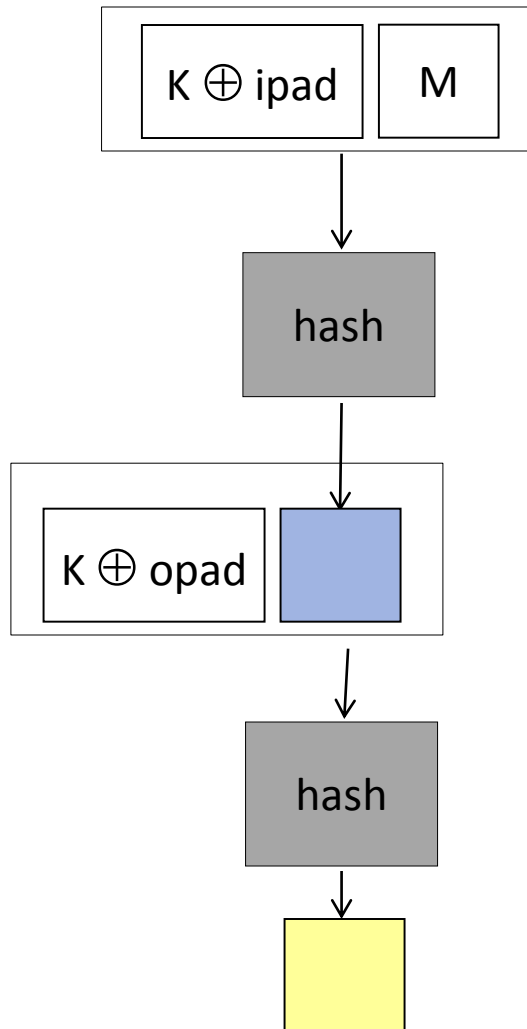
- Hash functions are not the only way to construct MACs!

How Should a Hash Function be Keyed?

- For some hash functions simple constructions lead to insecure MACs, e.g.
 - $h(k || m)$ leads to insecure MACs for certain hash functions
 - E.g. insecure with MD5!
- For other constructions it is unclear whether they are secure or not
- Idea: find a construction such that the security of the MAC entirely depends on the properties of the hash function
 - Regardless of the hash function used
- HMAC meets this goal

- Construct MAC by applying a cryptographic hash function to message and key
 - Can also use encryption to construct MACs (see CMAC below) but...
 - Hashing is faster than encryption in software
 - Library code for hash functions widely available
 - Can easily replace one hash function with another
 - There used to be US export restrictions on encryption
- Invented by Bellare, Canetti, and Krawczyk (1996)
- E.g. mandatory for IP security, also used in SSL/TLS

HMAC: High-level view



- HMAC is constructed from a cryptographic hash function h
- HMAC can be proven to be as secure as the underlying hash function, i.e. HMAC is computation resistant if the underlying hash function is a cryptographic hash function
- $\text{HMAC}(M) = h(K \oplus \text{opad} || h(K \oplus \text{ipad} || M))$ with constant values for opad and ipad
- Rational for applying the hash twice
 - Attacker cannot control input to the outer hash function
- Rational for the constants
 - Ensure that different keys are used for the inner and outer hash computation, **ipad and opad chosen such that their Hamming distance is maximal**

CMAC: Computing MAC from Block Cipher

- CMAC uses a block cipher E_K of block length $b = 64$ or $b = 128$
- A message M is split into n blocks of length b :

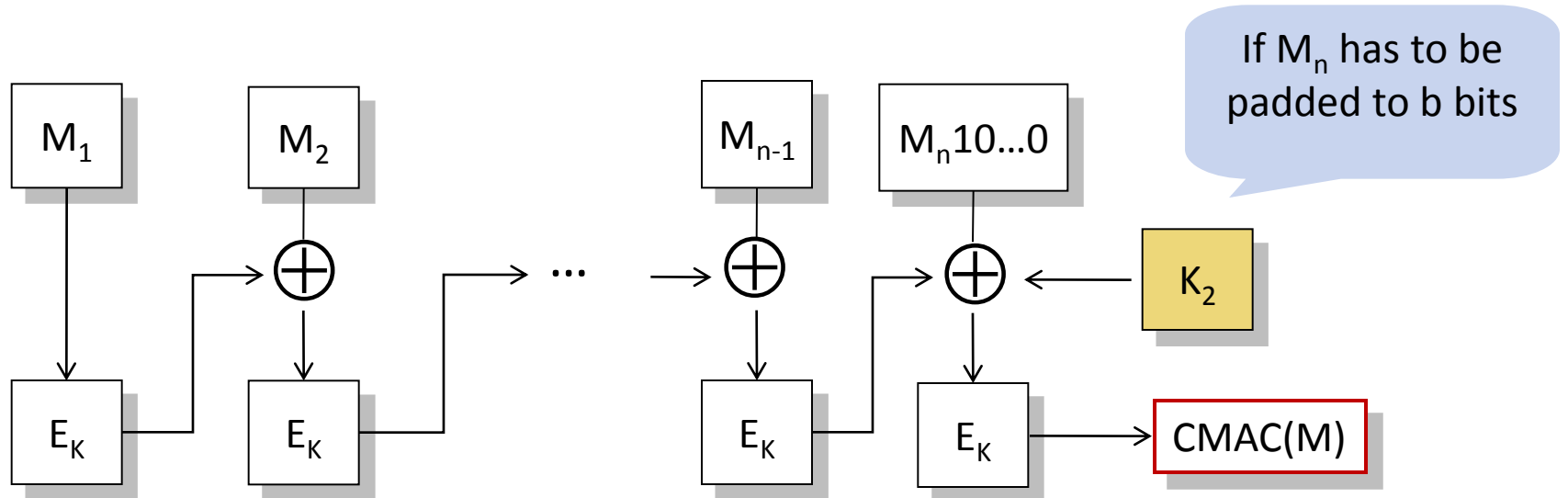
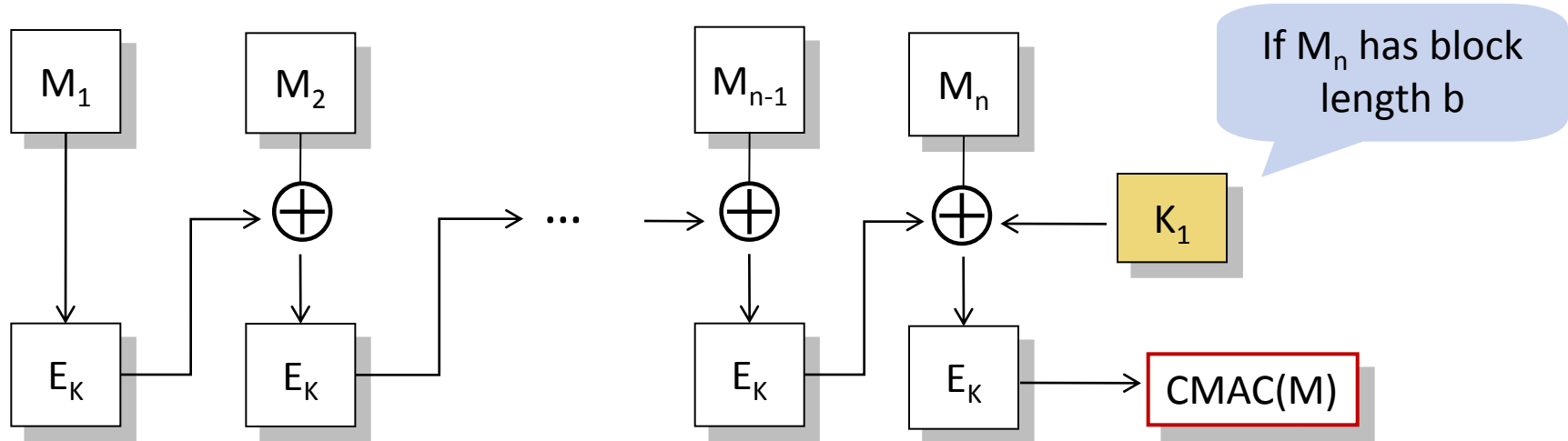
$$M = M_1 || M_2 || \dots || M_n$$

- If the last block M_n is not of length b it is padded with $10\dots0$ until it is b bits long
- CMAC computation is equivalent to applying CBC Mode to the message except that the last block is additionally masked with a sub-key K_1 if M_n is of bit length b and with a sub-key K_2 if M_n was padded to be of full bit length b

CMAC Sub-key Computation

- Let $L = E_K(0^b)$, where 0^b is the bit string of b zeros
- Let $R_{128} = 0^{120}10000111$, $R_{64} = 0^{59}11011$
- Then $K1$ is computed by
 - If $MSB_1(L) = 0$, $K1 = L \ll 1$
 - Else $K1 = L \oplus R_b$
- $K2$ is computed by
 - If $MSB_1(K1) = 0$, $K2 = K1 \ll 1$
 - Else $K2 = (K1 \ll 1) \oplus R_b$

CMAC computation



Why the Masking?

- Using a “pure” CBC-MAC allows for forgery in some specific settings
 - i.e. without the masking by K1 or K2 in the last step and an IV of 0^b
- For example, let M and P be two one-block messages, then a pure CBC-MAC would lead to:
 - $\text{MAC}(M) = E_K(M)$
 - $\text{MAC}(P) = E_K(P)$
 - $\text{MAC}(M || (P \oplus \text{MAC}(M))) = E_K(E_K(M \oplus 0^b) \oplus P \oplus \text{MAC}(M)) = E_K(P \oplus \text{MAC}(M) \oplus \text{MAC}(M)) = E_K(P)$
- I.e. it is possible to forge MACs on longer messages from MACs of shorter messages observed
- The masking solves this problem

The Replay Problem

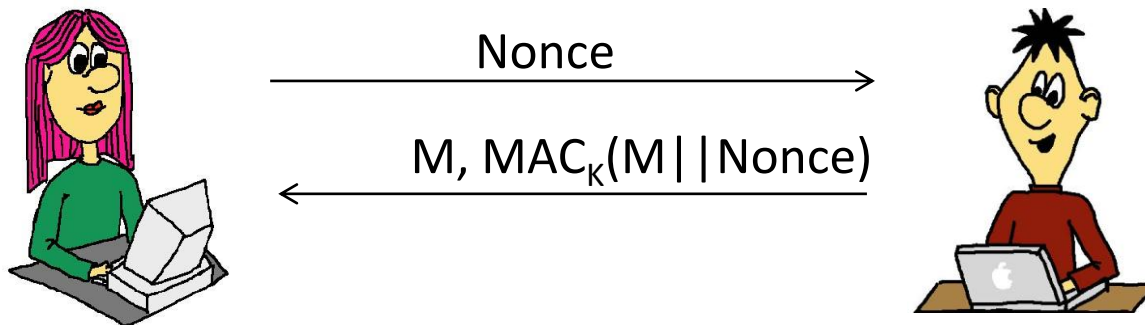
- Message authentication codes alone do not protect against a protected message being recorded and replayed at a later time
- Reply protection can be added to MACs with the help of additional input to the MAC:
 - Time stamps or other counters
 - Nonces
 - Nonce = Number used once

Replay Protection with Counters

- Examples for counters used in replay protection
 - time stamps
 - per session packet / frame counters
- Typical use
 - Sender increments counter on every packet he sends
 - Receiver accepts packet only if counter in packet received is larger than the counter in the last received packet
- Problem with counters
 - Require counter synchronization between sender and receiver
 - e.g. synchronized clocks, resetting the counters to some initial value for each new session
 - Using per-packet counters difficult if packets cannot be guaranteed to arrive in order

Replay Protection with Random Nonces

- Nonces are “numbers used once”
 - E.g. counters are actually nonces
- Random Nonces are randomly picked nonces
 - E.g. counters are *not* random nonces
- Typical use
 - Alice wants a guarantee that Bobs message is going to be fresh
 - She sends Bob a random nonce
 - Bob answers with the message concatenated with the nonce and a MAC on both



Integrity vs. Encryption

- Note: Although CMAC provides integrity protection, encryption alone does typically not provide integrity protection
 - Intuition: attacker may be able to modify message under encryption without learning what the message is
 - Example: if a stream cipher is used for encryption
 - Given a key stream K , encrypt M as $M \oplus K$
 - Attacker can replace M by $M \oplus M'$ for any M'
 - This is recognized by industry standards (e.g., PKCS)
 - “RSA encryption is intended primarily to provide confidentiality... It is not intended to provide integrity”
- If the plaintext is not recognizable none of the encryption modes can detect e.g. appending additional random ciphertext
- It is good practice ALWAYS to **use different keys** for integrity protection and encryption unless a special mode of operation is used, that provides both at once

Combining Integrity Protection and Encryption

- Encrypt, then MAC:
 - Encrypt the plaintext with K1, compute a MAC of the resulting ciphertext with K2, append MAC to ciphertext
- Encrypt and MAC:
 - Compute MAC of plaintext data with K2, encrypt only plaintext with K1, append MAC of plaintext to the resulting ciphertext
- MAC, then encrypt:
 - Compute MAC of plaintext with K2, encrypt plaintext || MAC with K1
- Use a mode of encryption that provides integrity protection as well, e.g.
 - Galois Counter Mode (GCM) standardized by NIST
 - Counter mode with CBC MAC (CCM)

- Block-cipher-based MACs such as CBC-MAC or CMAC cannot be parallelized
- Counter mode makes any block cipher parallelizable and is therefore very efficient
- GCM is a mode of operation provides authentication and encryption while being parallelizable
- GCM can be used as MAC if no encryption is needed: GMAC
- GCM can use IVs of arbitrary length
- Easy to implement very efficiently in hardware
- Very good software performance

GCM Authenticated Encryption Operation (1)

- Takes 4 Input Values:
 - secret key **K**
 - Initialization vector **IV** of 1 to 2^{64} bit, recommended length: 96 bit
 - Plaintext **P** of length 0 to $2^{39} - 256$ bit
 - Additional authenticated data **A** of 0 to 2^{64} bit (will be authenticated but not encrypted)

- Outputs
 - A ciphertext **C** of the same length as the **P**
 - An authentication tag **T** of **t** bit where **t** is 0 – 128

GCM Authenticated Encryption Operation (2)

$$H = E(K, 0^{128})$$

$$Y_0 = \begin{cases} IV \parallel 0^{31} 1 & \text{if } \text{len}(IV) = 96 \\ \text{GHASH}(H, \{\}, IV) & \text{otherwise.} \end{cases}$$

$$Y_i = \text{incr}(Y_{i-1}) \text{ for } i = 1, \dots, n$$

$$C_i = P_i \oplus E(K, Y_i) \text{ for } i = 1, \dots, n - 1$$

$$C_n^* = P_n^* \oplus \text{MSB}_u(E(K, Y_n))$$

$$T = \text{MSB}_t(\text{GHASH}(H, A, C) \oplus E(K, Y_0))$$

- IV is used as initial value for the counter value $\text{Counter}_i = Y_i$
- Y_i is used as input to the block cipher and resulting key block is xored with plaintextblock P_i to produce a ciphertext block C_i
- GHASH is defined on the next slide

$$\text{GHASH}(H, A, C) = X_{m+n+1}$$

$$X_i = \begin{cases} 0 & \text{for } i = 0 \\ (X_{i-1} \oplus A_i) \cdot H & \text{for } i = 1, \dots, m-1 \\ (X_{m-1} \oplus (A_m^* \| 0^{128-v})) \cdot H & \text{for } i = m \\ (X_{i-1} \oplus C_i) \cdot H & \text{for } i = m+1, \dots, m+n-1 \\ (X_{m+n-1} \oplus (C_m^* \| 0^{128-u})) \cdot H & \text{for } i = m+n \\ (X_{m+n} \oplus (\text{len}(A) \| \text{len}(C))) \cdot H & \text{for } i = m+n+1. \end{cases}$$

\oplus is the regular Xor operation

\cdot is the multiplication in $\text{GF}(2^{128})$

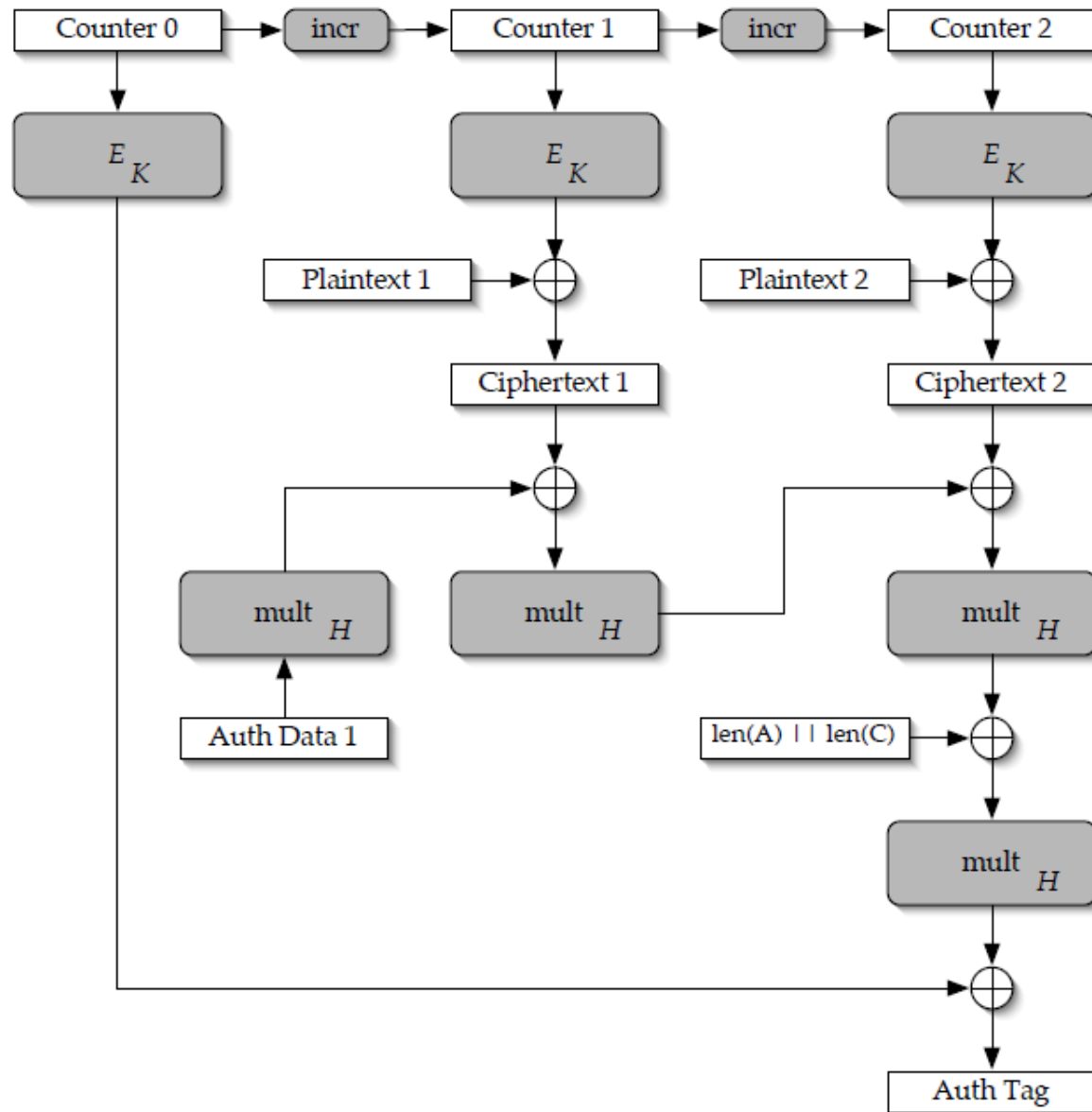
$H = E(K, 0^{128})$

Multiplication in $GF(2^{128})$

- $GF(2^{128})$ is the finite field with 2^{128} elements
- It is unique up to isomorphism
- GCM uses the irreducible polynomial $f(x) = 1 + x + x^2 + x^7 + x^{128}$
- Identify each 128 bit string $a = a_0 \dots a_{127}$ with the polynomial $a(x) = \sum_{i=0, \dots, 127} a_i x^i$
- The addition of a and b in $GF(2^{128})$ is defined as coefficient-wise addition of the two polynomials which corresponds to bitwise xor of the bit representation
- Multiplication of a and b in $GF(2^{128})$ is then defined as bit string representation of $a(x) b(x) \bmod f$:

$$(\sum_{i=0, \dots, 127} a_i x^i) (\sum_{i=0, \dots, 127} b_i x^i) \bmod f$$

Example Authenticated Encryption of GCM



GCM Authenticated Decryption Operation

$$H = E(K, 0^{128})$$

$$Y_0 = \begin{cases} IV \parallel 0^{31} 1 & \text{if } \text{len}(IV) = 96 \\ \text{GHASH}(H, \{\}, IV) & \text{otherwise.} \end{cases}$$

$$T' = \text{MSB}_t(\text{GHASH}(H, A, C) \oplus E(K, Y_0))$$

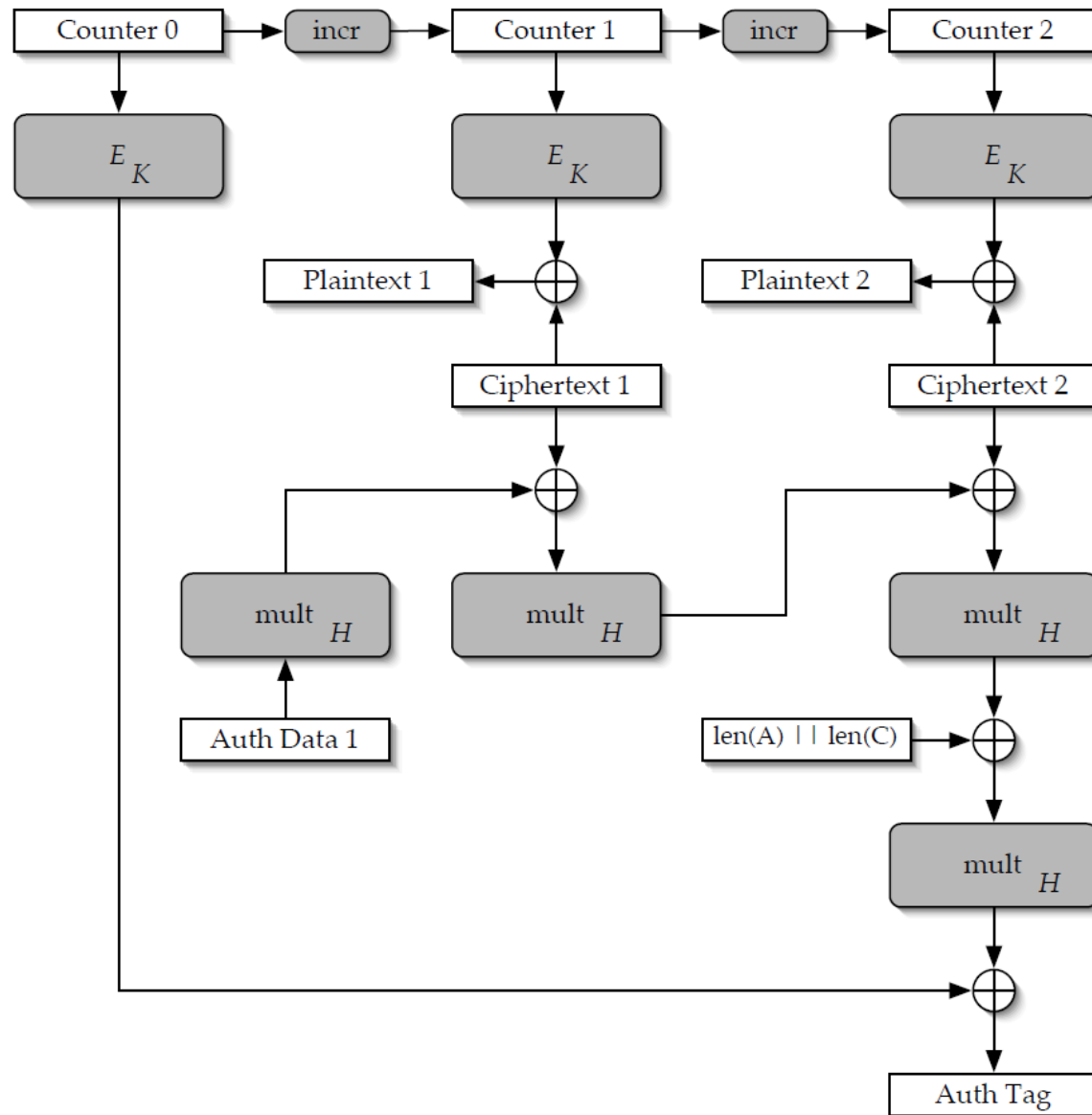
$$Y_i = \text{incr}(Y_{i-1}) \text{ for } i = 1, \dots, n$$

$$P_i = C_i \oplus E(K, Y_i) \text{ for } i = 1, \dots, n$$

$$P_n^* = C_n^* \oplus \text{MSB}_u(E(K, Y_n))$$

- Similar to encryption, but order of hash step and encryption step reversed
- T' is compared to T (sent along with the ciphertext) to check integrity, if $T' \neq T$

GCM Example Authenticated Decryption Operation



Reading

- Basic Reading
 - Forouzan, Introduction to cryptography and network security, Chapter 11
- Details on the mentioned algorithms
 - MD5: specified in RFC 1321 <http://tools.ietf.org/html/rfc1321>
 - SHA-1: specified in FIPS Pub 198-1
 - <http://csrc.nist.gov/publications/PubsFIPS.html>
 - CMAC: NIST Special Publication 800-38B
 - HMAC: specified in FIPS Pub 198-1
- Security Considerations for SHA-1 and MD5
 - SHA-1: <http://tools.ietf.org/html/rfc6194>
 - MD-5: <http://tools.ietf.org/html/rfc6151>
- NIST SHA-3 competition: <http://csrc.nist.gov/groups/ST/hash/sha-3/index.html>
- Specification of GCM mode
<http://csrc.nist.gov/groups/ST/toolkit/BCM/documents/proposedmodes/gcm/gcm-spec.pdf>
- Specification of CCM mode http://csrc.nist.gov/publications/nistpubs/800-38C/SP800-38C_updated-July20_2007.pdf