

# DATA STRUCTURE AND ALGORITHM

## CLASS 11

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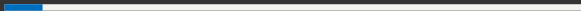
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<http://resourceful.github.io>  
Systems Research Lab.  
GNU



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# SORTING



# Sorting

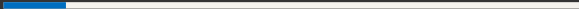
## Types of Sorting

- Internal Sorting - The list is small enough to sort entirely in main memory
- External Sorting - The list is too large to sort in main memory

## Internal sorting algorithms

- Bubble sort
- Selection sort
- Insertion sort
- Quick sort
- Shell sort
- Heap sort
- Radix sort

# BUBBLE SORT



# Bubble sort

```
1 repeat until no swaps
2     for i from 0 to n-2
3         if ith and i+1th elements out of order
4             swap them
```

# Bubble sort: example

initial	15	4	8	3	50	9	20	unsorted array
1 <sup>st</sup> run	4	15	8	3	50	9	20	cmp 1 and 2, swap

# Bubble sort: example

initial	15	4	8	3	50	9	20	unsorted array
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1 <sup>st</sup> run	4	8	15	3	50	9	20	cmp 2 and 3, swap



# Bubble sort: example

initial	15	4	8	3	50	9	20	unsorted array
1 <sup>st</sup> run	4	15	8	3	50	9	20	cmp 1 and 2, swap
1 <sup>st</sup> run	4	8	15	3	50	9	20	cmp 2 and 3, swap
1 <sup>st</sup> run	4	8	3	15	50	9	20	cmp 3 and 4, swap

# Bubble sort: example

initial	15	4	8	3	50	9	20	unsorted array
1 <sup>st</sup> run	4	15	8	3	50	9	20	cmp 1 and 2, swap
1 <sup>st</sup> run	4	8	15	3	50	9	20	cmp 2 and 3, swap
1 <sup>st</sup> run	4	8	3	15	50	9	20	cmp 3 and 4, swap
1 <sup>st</sup> run	4	8	3	15	50	9	20	cmp 4 and 5, no swap

# Bubble sort: example

initial	15	4	8	3	50	9	20	unsorted array
1 <sup>st</sup> run	4	15	8	3	50	9	20	cmp 1 and 2, swap
1 <sup>st</sup> run	4	8	15	3	50	9	20	cmp 2 and 3, swap
1 <sup>st</sup> run	4	8	3	15	50	9	20	cmp 3 and 4, swap
1 <sup>st</sup> run	4	8	3	15	50	9	20	cmp 4 and 5, no swap
1 <sup>st</sup> run	4	8	3	15	9	50	20	cmp 5 and 6, swap

# Bubble sort: example

initial	15	4	8	3	50	9	20	unsorted array
1 <sup>st</sup> run	4	15	8	3	50	9	20	cmp 1 and 2, swap
1 <sup>st</sup> run	4	8	15	3	50	9	20	cmp 2 and 3, swap
1 <sup>st</sup> run	4	8	3	15	50	9	20	cmp 3 and 4, swap
1 <sup>st</sup> run	4	8	3	15	50	9	20	cmp 4 and 5, no swap
1 <sup>st</sup> run	4	8	3	15	9	50	20	cmp 5 and 6, swap
1 <sup>st</sup> run	4	8	3	15	9	20	50	cmp 6 and 7, swap

# Bubble sort: example

initial	15	4	8	3	50	9	20	unsorted array
1 <sup>st</sup> run	4	15	8	3	50	9	20	cmp 1 and 2, swap
1 <sup>st</sup> run	4	8	15	3	50	9	20	cmp 2 and 3, swap
1 <sup>st</sup> run	4	8	3	15	50	9	20	cmp 3 and 4, swap
1 <sup>st</sup> run	4	8	3	15	50	9	20	cmp 4 and 5, no swap
1 <sup>st</sup> run	4	8	3	15	9	50	20	cmp 5 and 6, swap
1 <sup>st</sup> run	4	8	3	15	9	20	50	cmp 6 and 7, swap
2 <sup>nd</sup> run	4	8	3	15	9	20	50	cmp 1 and 2, no swap
2 <sup>nd</sup> run	4	3	8	15	9	20	50	cmp 2 and 3, swap
2 <sup>nd</sup> run	4	3	8	15	9	20	50	cmp 3 and 4, no swap
2 <sup>nd</sup> run	4	3	8	15	9	20	50	cmp 4 and 5, no swap
2 <sup>nd</sup> run	4	3	8	9	15	20	50	cmp 5 and 6, swap
3 <sup>rd</sup> run	3	4	8	9	15	20	50	1, 2 swap
4 <sup>th</sup> run	3	4	8	9	15	20	50	no swap
5 <sup>th</sup> run	3	4	8	9	15	20	50	no swap
6 <sup>th</sup> run	3	4	8	9	15	20	50	no swap

# Bubble sort: the code (codes/bubble.c) I

```
1  // Unoptimized and Optimized implementation of bubble sort
2  #include <stdio.h>
3
4  void swap(int *x, int *y);
5  void bubble_unoptimized(int list[], int size);
6  void bubble(int list[], int size);
7  void printArray(int list[], int size);
8
9  int main(){
10     int list[] = {30, 28, 18, 29, 48, 40};
11     // get the number of items in the list
12     int n = sizeof(list)/sizeof(list[0]);
13
14     printf("initial list: \t");
15     printArray(list, n);
```

# Bubble sort: the code (codes/bubble.c) II

```
16
17 // bubble_unoptimized(list, n);
18     bubble(list, n);
19
20     printf("sorted list: \t");
21     printArray(list, n);
22
23     return 0;
24 }
25
26 void swap(int *x, int *y){ // swap the two value
27     int temp = *x;
28     *x = *y;
29     *y = temp;
30 }
31
```

# Bubble sort: the code (codes/bubble.c) III

32

```
33 void printArray(int list[], int size){  
34     for ( int i = 0; i < size; i++)  
35         printf("%d ", list[i]);  
36     printf("\n");  
37 }
```

38

39

40 *// unoptimized bubble sort with worst case of  $O(n^2)$*

```
41 void bubble_unoptimized(int list[], int size){  
42     int i, j;  
43     for (i = 0; i < size-1; i++)  
44         for (j = 0; j < size-i-1; j++) // skip sorted items in the list  
45             if (list[j] > list[j+1])  
46                 swap(&list[j], &list[j+1]);  
47 }
```



# Bubble sort: the code (codes/bubble.c) IV

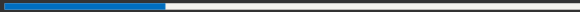
```
48
49 // optimized bubble sort
50 void bubble(int list[], int size){
51     int i, j;
52     int swapped = 1;
53     // loop until no two elements were swapped by inner loop
54     for (i = size-1; swapped > 0; i--){
55         swapped = 0;
56         for (j = 0; j < i; j++){
57             if (list[j] > list[j+1]){
58                 swap(&list[j], &list[j+1]);
59                 swapped = 1;
60             }
61         }
62     }
```

# Bubble sort: Time complexity

loop	number of comparison
1	n-1
2	n-2
3	n-3
.	.
.	.
.	.
n-1	1

Time complexity: Worst case  $O(n^2)$

# SELECTION SORT



# Selection sort

```
1 for i from 0 to n-1
2     find smallest element between ith and n-1th
3     swap smallest with ith element
```

# Selection sort

initial	15	4	8	3	50	9	20	unsorted array
1 <sup>st</sup> run	3	4	8	15	50	9	20	midx 15, min 3, swap

# Selection sort

initial	15	4	8	3	50	9	20	unsorted array
1 <sup>st</sup> run	3	4	8	15	50	9	20	midx 15, min 3, swap
2 <sup>nd</sup> run	3	4	8	15	50	9	20	midx 4, min 4

# Selection sort

initial	15	4	8	3	50	9	20	unsorted array
1 <sup>st</sup> run	3	4	8	15	50	9	20	midx 15, min 3, swap
2 <sup>nd</sup> run	3	4	8	15	50	9	20	midx 4, min 4
3 <sup>rd</sup> run	3	4	8	15	50	9	20	midx 8, min 8

# Selection sort

initial	15	4	8	3	50	9	20	unsorted array
1 <sup>st</sup> run	3	4	8	15	50	9	20	midx 15, min 3, swap
2 <sup>nd</sup> run	3	4	8	15	50	9	20	midx 4, min 4
3 <sup>rd</sup> run	3	4	8	15	50	9	20	midx 8, min 8
4 <sup>th</sup> run	3	4	8	9	50	15	20	midx 15, min 9, swap



# Selection sort

initial	15	4	8	3	50	9	20	unsorted array
1 <sup>st</sup> run	3	4	8	15	50	9	20	midx 15, min 3, swap
2 <sup>nd</sup> run	3	4	8	15	50	9	20	midx 4, min 4
3 <sup>rd</sup> run	3	4	8	15	50	9	20	midx 8, min 8
4 <sup>th</sup> run	3	4	8	9	50	15	20	midx 15, min 9, swap
4 <sup>th</sup> run	3	4	8	9	15	50	20	midx 50, min 15, swap

# Selection sort

initial	15	4	8	3	50	9	20	unsorted array
1 <sup>st</sup> run	3	4	8	15	50	9	20	midx 15, min 3, swap
2 <sup>nd</sup> run	3	4	8	15	50	9	20	midx 4, min 4
3 <sup>rd</sup> run	3	4	8	15	50	9	20	midx 8, min 8
4 <sup>th</sup> run	3	4	8	9	50	15	20	midx 15, min 9, swap
4 <sup>th</sup> run	3	4	8	9	15	50	20	midx 50, min 15, swap
5 <sup>th</sup> run	3	4	8	9	15	20	50	midx 50, min 20, swap

# Selection sort: the Code (codes/selection.c)

```
38 // selection sort
39 void selection(int list[], int size){
40     int i, j, midx;
41     for (i = 0; i < size-1 ; i++){
42         // find the minimum element in unsorted list
43         midx= i;
44         for (j = i+1; j < size; j++)
45             if (list[j] < list[midx])
46                 midx = j;
47
48         // swap the minimum element with the first element
49         swap(&list[midx], &list[i]);
50     }
51 }
```

time complexity:  $O(n^2)$

# INSERTION SORT



# Insertion sort

```
1  for i from 1 to n-1
2      call 0th through i-1th elements the ‘‘sorted side’’
3      remove ith element
4      insert it into sorted side in order
```

# Insertion sort

idx	1	2	3	4	5	
	36	28	30	17	23	initial state
1	28	36	30	17	23	28 is smaller than 36, move 28 to the sorted side

# Insertion sort

idx	1	2	3	4	5	
	36	28	30	17	23	initial state
1	28	36	30	17	23	28 is smaller than 36, move 28 to the sorted side
2	28	30	36	17	23	30 is smaller than 36, move it to the sorted side

# Insertion sort

idx	1	2	3	4	5	
	36	28	30	17	23	initial state
1	28	36	30	17	23	28 is smaller than 36, move 28 to the sorted side
2	28	30	36	17	23	30 is smaller than 36, move it to the sorted side
3	17	28	30	36	23	17 is smaller than 36, 30, and 28, move it to the sorted side



# Insertion sort

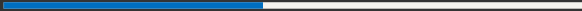
idx	1	2	3	4	5	
	36	28	30	17	23	initial state
1	28	36	30	17	23	28 is smaller than 36, move 28 to the sorted side
2	28	30	36	17	23	30 is smaller than 36, move it to the sorted side
3	17	28	30	36	23	17 is smaller than 36, 30, and 28, move it to the sorted side
4	17	23	28	30	36	23 is smaller than 36, 30, and 28, move it to the sorted side

# Insertion sort: the Code (codes/insertion.c)

```
29 // insertion sort
30 void insertion(int list[], int size){
31     int i, j, key;
32     for (i = 1; i < size; i++){
33         key = list[i];
34
35         // if item is greater than the key
36         // move the item in list to one position ahead
37         for (j = i-1 ; j >= 0 && list[j] > key; j--)
38             list[j+1] = list[j];
39         list[j+1] = key;
40     }
41 }
```

time complexity: worst case  $O(n^2)$ , best case  $O(n)$

# SHELL SORT



# Shell sort

Shell sort is based on insertion sort algorithm to reduce the number of shifts. For example, if the list is in decreasing order and we want to sort in increasing order, the far left record has to be moved to the far right, one by one.

<https://www.youtube.com/watch?v=1yDcmjLTWOg>

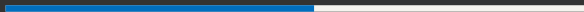
# Shell sort: the Code (codes/shell.c)

```
34 void shellsort(int list[], int n){
35     int i, gap;
36     for (gap = n/2; gap > 0 ; gap = gap/2){
37         if ( (gap%2) == 0 ) gap++;
38         for (i = 0; i < gap; i++)
39             incremental_insert(list, i, n-1, gap);
40     }
41 }
```

# Shell sort: the Code (codes/shell.c)

```
43 void incremental_insert(int list[], int first, int last, int
    gap){
44     int i, j, key;
45     for (i = first + gap; i <= last; i = i + gap){
46         key = list[i];
47         for ( j = i-gap; j >= first && key < list[j]; j = j - gap
            )
48             list[j + gap] = list[j];
49         list[j + gap] = key;
50     }
51 }
```

# QUICK SORT



# Quick Sort

## Divide and Conquer

- two phase
- split and control

using recursion

- stack is needed

Best average time

- $O(n \cdot \log_2 n)$



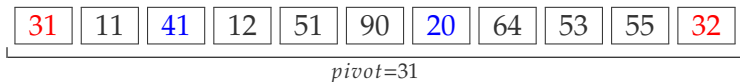
# Quick sort: The principle

1. Pick a “**pivot**” element
2. “**partition**” the array into *three* parts
  - 1<sup>st</sup> part: all elements in this is less than the pivot
  - 2<sup>nd</sup> part: the pivot itself (only one element)
  - 3<sup>rd</sup> part: all elements in this part is greater than or equal to the pivot
3. Recursively apply quick sort to the first and the third part
  - swap the out of order entries from the first and the third part

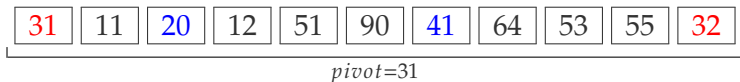
# Quick Sort

Initial list 

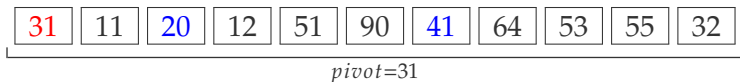
31	11	41	12	51	90	20	64	53	55	32
----	----	----	----	----	----	----	----	----	----	----



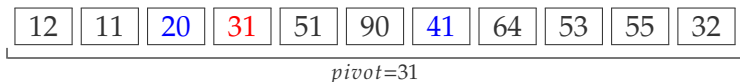
- examine the first, and last item of the full list that is 31 (first, pivot), and 32 (last)
- search forward until we find an item larger than the pivot  $41 > 31$
- search backward until we find an item smaller than the pivot  $20 < 31$
- swap the two items



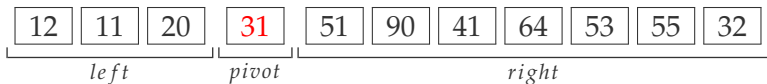
# Quick Sort



- repeat the process until the left and right indices cross each other
- then swap the item with the pivot



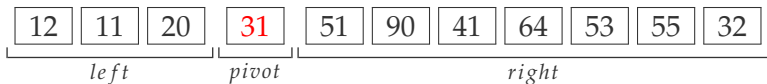
# Quick Sort



- repeat the quick sort for the left and right part



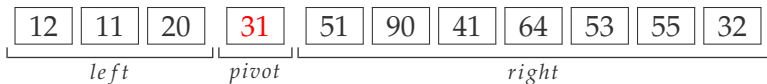
# Quick Sort



- repeat the quick sort for the left and right part



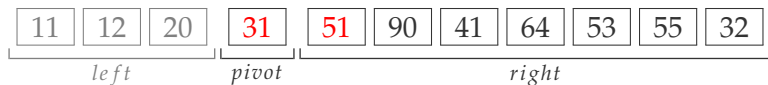
# Quick Sort



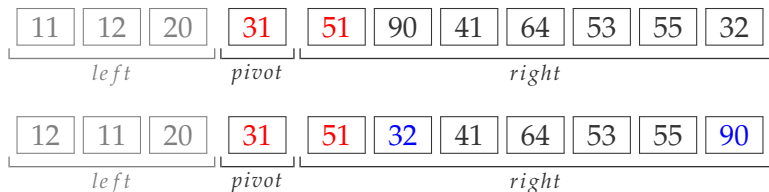
- repeat the quick sort for the left and right part



# Quick Sort

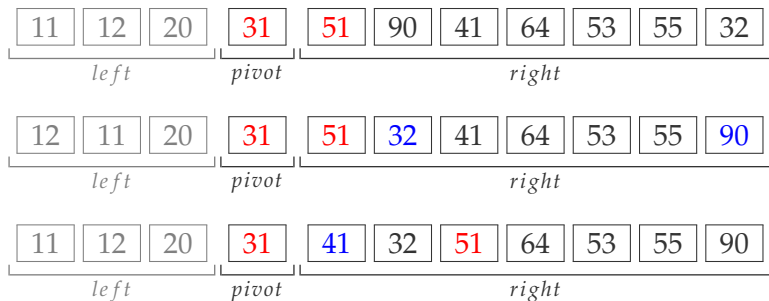


# Quick Sort

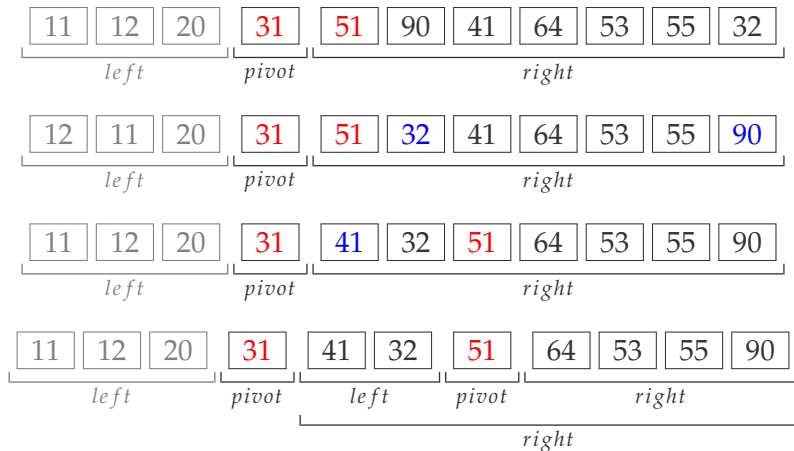




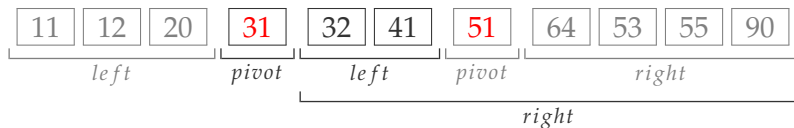
# Quick Sort



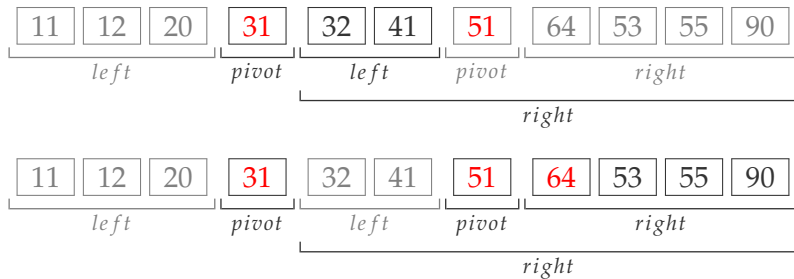
# Quick Sort



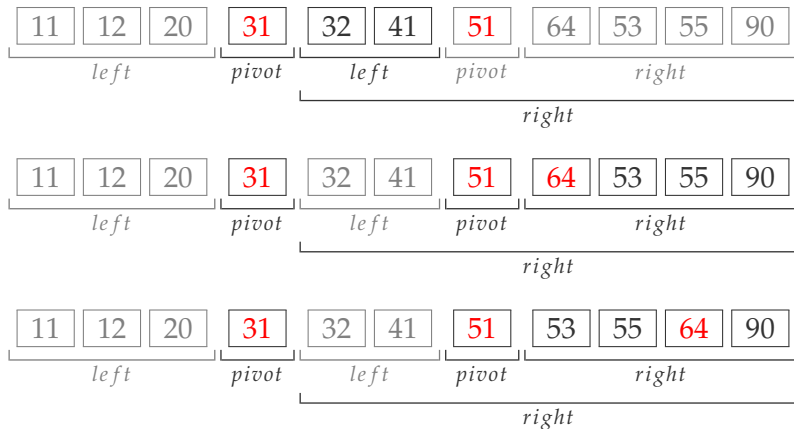
# Quick Sort



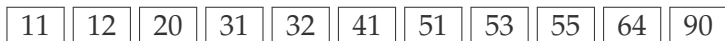
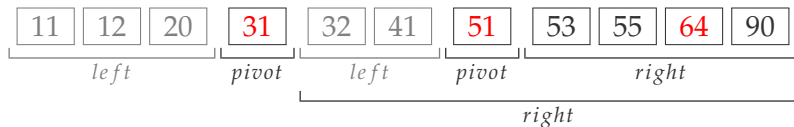
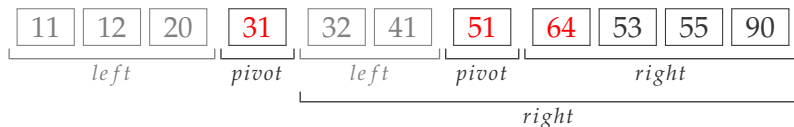
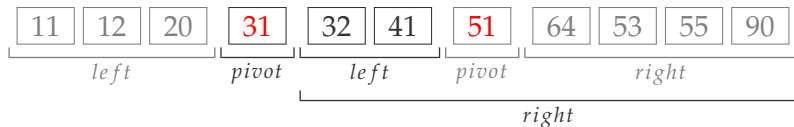
# Quick Sort



# Quick Sort



# Quick Sort



# Quick Sort: the Code (codes/quick.c)

```
34     if (left < right){
35         int pivot = partition(list, n, left, right);
36         quicksort(list, n, left, pivot-1);
37         quicksort(list, n, pivot+1, right);
38     }
39 }
```

# Quick Sort: the Code (codes/quick.c)

```
42     int pivot, temp;
43     int low, high;
44     low = left;
45     high = right + 1;
46     pivot = list[left];
47     do{
48         do
49             low++;
50         while(low <= right && list[low] < pivot);
51         do
52             high--;
53         while (high >= left && list[high] > pivot);
54         if (low < high) {
55             swap(list[low], list[high], temp);
56             printArray(list, n);
57         }
58     } while (low < high);
59     swap(list[left], list[high], temp);
60     printArray(list, n);
```



# Quick Sort: time complexity

Average case:  $O(n \cdot \log_2 n)$ , when elements can be split into “equal size”

Let  $T(n)$  be average time to sort  $n$  records

$$\begin{aligned}T(n) &= c \cdot n + 2 \cdot T(n/2) \\&\leq c \cdot n + 2 \cdot (c \cdot n/2 + 2 \cdot T(n/4)) \\&\leq 2 \cdot c \cdot n + 4 \cdot T(n/4) \\&\dots \\&\leq c \cdot n \cdot \log_2 n + n \cdot T(1) \\&O(n \cdot \log_2 n)\end{aligned}$$

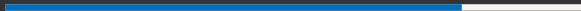
Worst case:  $O(n^2)$

# Quick Sort

Other approaches:

- <http://me.dt.in.th/page/Quicksort/>
- <https://ece.uwaterloo.ca/~cmoreno/ece250/quick-sort-complete-example.pdf>

# HEAP SORT



# Heap Sort

Utilize the min heap structure

time complexity

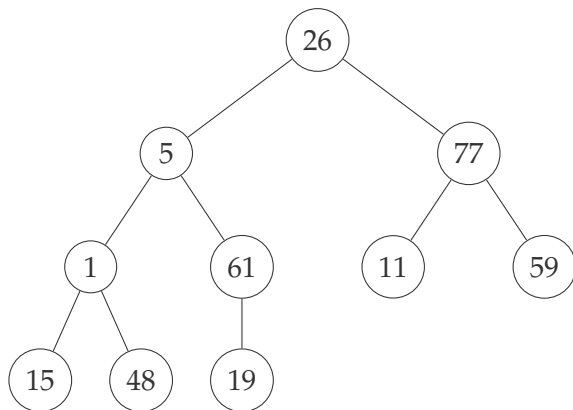
- average case:  $O(n \cdot \log_2 n)$
- average case:  $O(n \cdot \log_2 n)$

First insert the items to the binary tree and delete the min values from the min heap and put it in the sorted array.

# Heap Sort

## Heap sorting process

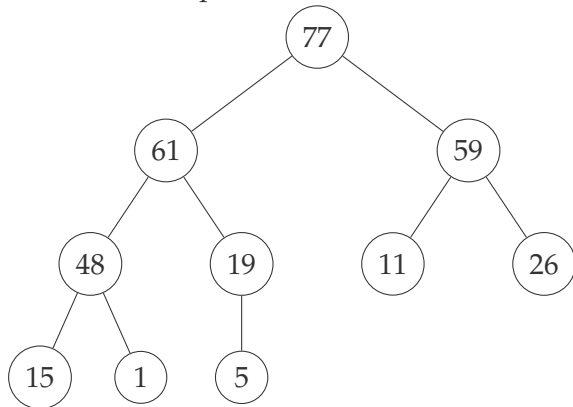
○ input list: (26, 5, 77, 1, 61, 11, 59, 15, 48, 19)



[1]	26
[2]	5
[3]	77
[4]	1
[5]	61
[6]	11
[7]	59
[8]	15
[9]	48
[10]	19

# Heap Sort

Initial max heap construction



# Heap Sort: the code (codes/heapsort.c) I

```
28 // heapify a subtree rooted with node i
29 // i: an index in list[]; n: is size of heap
30 void heapify(int list[], int n, int i)
31 {
32     int temp;
33     int largest = i; // Initialize largest as root
34     int left = 2*i + 1; // left = 2*i + 1
35     int right = 2*i + 2; // right = 2*i + 2
36
37     // If left child is larger than root
38     if (left < n && list[left] > list[largest])
39         largest = left;
40
41     // If right child is larger than largest so far
42     if (right < n && list[right] > list[largest])
43         largest = right;
44
45     // If largest is not root
```

# Heap Sort: the code (codes/heapsort.c) II

```
46     if (largest != i){
47         swap(list[i], list[largest], temp);
48
49         // Recursively heapify the affected sub-tree
50         heapify(list, n, largest);
51     }
52 }
53
54 // heap sort main body
55 void heapsort(int list[], int n)
56 {
57     int temp;
58     for (int i = n / 2 - 1; i >= 0; i--) // Build heap (rearrange list)
59         heapify(list, n, i);
60     for (int i = n - 1; i >= 0; i--) { // extract an element from heap
61         swap(list[0], list[i], temp); // Move current root to end
62         heapify(list, i, 0); // call max heapify on the reduced heap
63     }
64 }
```

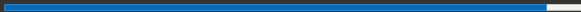


# Heap Sort

Worst case time complexity:

$$\log_2 n + \log_2(n-1) + \cdots + \log_2 2 = O(n \cdot \log_2 n)$$

# RADIX SORT



# Radix Sort

- It is a kind of distributive sort
- repeats the following 3 steps
  1. comparison
  2. distribution
  3. merging

# Radix Sort: Example

Initial unsorted array

110	12	380	2	32	41	151	253
-----	----	-----	---	----	----	-----	-----

First consider the One's place

110	12	380	2	32	41	151	253
110	380	41	151	12	2	32	253

Consider the Ten's place

110	380	41	151	12	2	32	253
2	110	12	32	41	151	253	380

Consider the Hundred's place

2	110	12	32	41	151	253	380
2	12	32	41	110	151	253	380

# Radix Sort: Time complexity

Let there be  $d$  digits in input integers.

In general, Radix sort takes  $O(d \cdot (n + b))$  time where  $b$  is the base of the given number. Assume that  $k = \max(n)$ , then  $d = O(\log_b k)$ . The overall

time complexity becomes  $O((n + b) \cdot \log_b k)$  If we further assume that

$k = n^c$ , where  $c$  is constant, the time complexity becomes  $O(n \cdot \log_b n)$