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# ***CS3402 Database Systems:*** ***ER , Relational Data Model***

# *ER Model Concepts Revisited*

## *-Entity*

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- **Entity:** specific object represented in the database For example, 'John Smith'
- **Entity type:** Entities with the same attributes are grouped into an entity type

For example, EMPLOYEE

<b>EMPLOYEE</b>
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- **Entity set:** Each entity type has a collection of entities stored in the database called the entity set

For example, EMPLOYEE={John Smith, James Black,...}

Note: Same name (EMPLOYEE) used to refer to both the entity type and the entity set

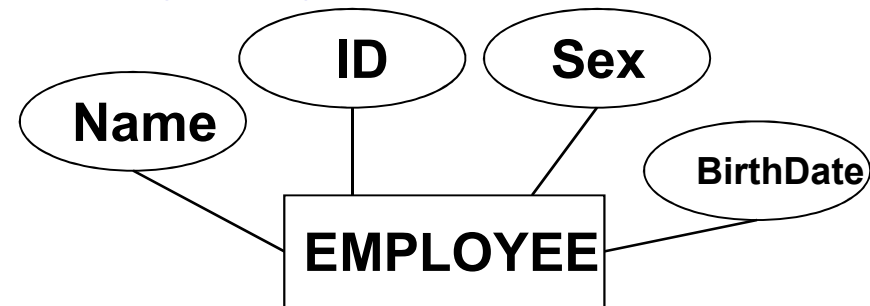
# ER Model Concepts Revisited

## -Attribute

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- **Attribute:** properties used to describe an entity

For example, an EMPLOYEE entity may have Name, ID, Address, Sex, BirthDate



- **Each attribute can take a value from a domain**

For example, Name  $\in$  Character String,

ID  $\in$  Integer, ...

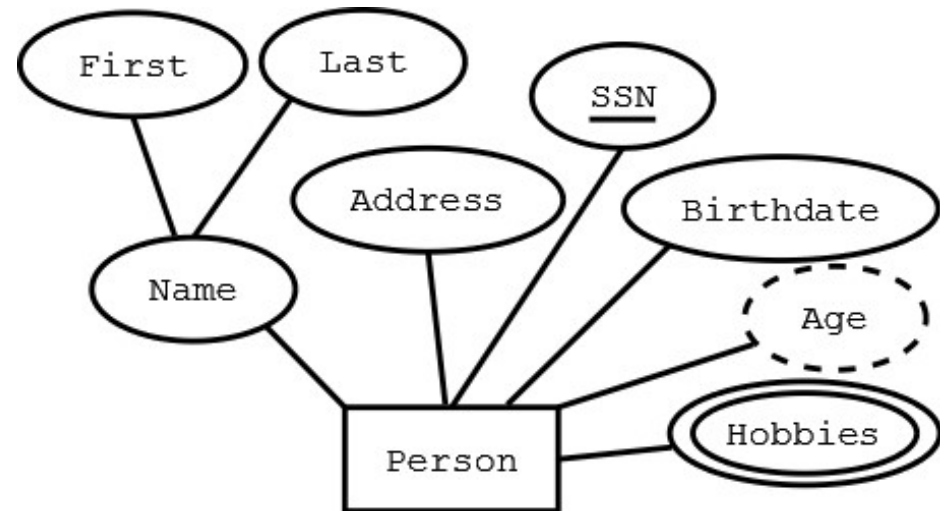
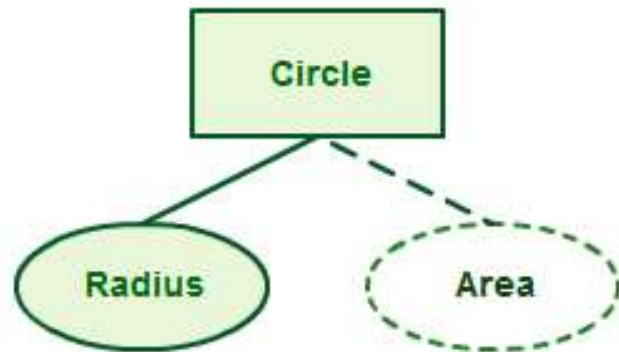
- **Attribute type:** Simple, Composite, Multi-valued

# ER Model Concepts Revisited

## -Attribute

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- **Derived Attribute:** An **attribute** which can be **derived** from other **attributes** of the entity type is known as **derived attribute**.



# ER Model Concepts Revisited

## -Relationship

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- **Relationship:** relates two or more distinct entities with a specific meaning

For example, EMPLOYEE John Smith **works** on the PROJECT 'solar'

- **Relationship Type:**



Identifies the relationship name and the participating entity types and certain relationship constraints (conditions)

For example, **WORKS\_ON** relationship type in which EMPLOYEES and PROJECTs participate

- **Relationship Set:**

The current set of relationship instances of a relationship type

**Note:** Similar to: Entity type vs Entity set

# Cardinality Constraints of Binary Relationship

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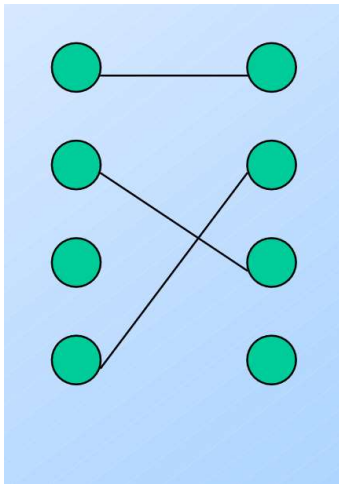
- Suppose  $R$  is a relationship connecting sets  $E$  and  $F$
- If each member of  $E$  can be connected by  $R$  to **at most** one member of  $F$ , we say that  $R$  is many-to-one from  $E$  to  $F$
- Note that in a many-to-one relationship from  $E$  to  $F$ , each entity in  $F$  can be connected to many members of  $E$
- If  $R$  is **both many-to-one** from  $E$  to  $F$  and many-to-one from  $F$  to  $E$ , then we say that  $R$  is one-to-one
- If  $R$  is **neither many-to-one** from  $E$  to  $F$  or from  $F$  to  $E$ , we say  $R$  is many-to-many

# ER Model Concepts Revisited

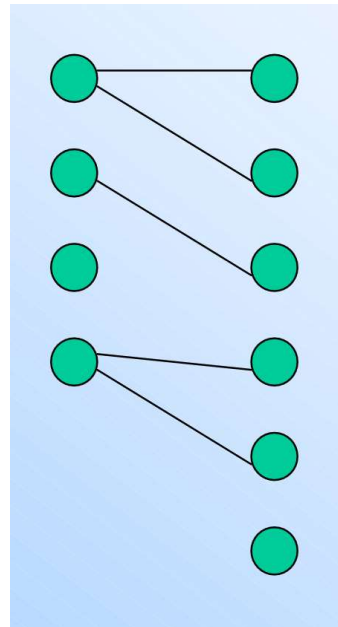
## -Constraints of Relationship

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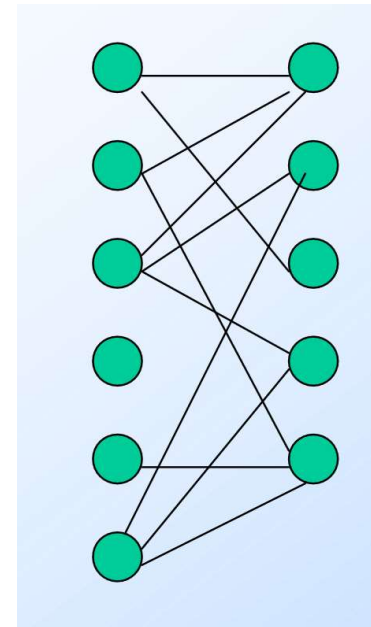
- **Cardinality ratio** (of a binary relationship): 1:1, 1:N, N:1, or M:N



1:1



1:N



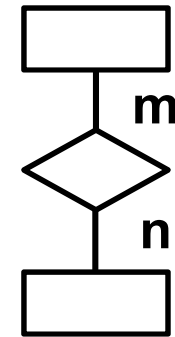
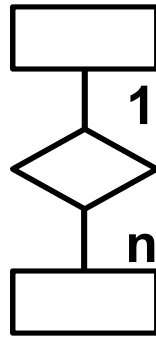
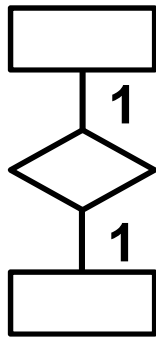
M:N

# ER Model Concepts Revisited

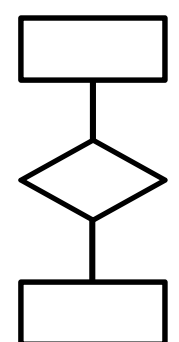
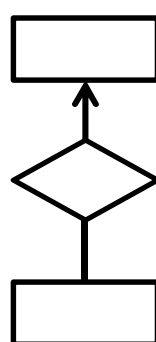
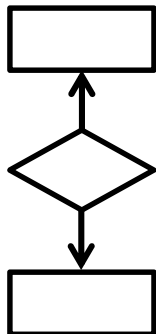
## -Constraints of Relationship

- Two ways to indicate **Cardinality ratio** in ER Diagram

1 Place appropriate number on the link.



2 Place arrow on the 1 side





# ER Model Concepts Revisited

## -Constraints of Relationship

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- **Participation constraint** (on each participating entity type):
  - ◆ Specify the minimum no. of relationship instances that each entity can participate in
  - ◆ Total (existence dependency) or partial
  - ◆ Total shown by **double line**, partial by **single line**
  - ◆ E.g., double line: Department to Employee; single line: Employee to Department (not all employees manage department)



## ***(Alternative (min, max) notation for relationship structural constraints:***

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- Specified on *each participation* of an entity type E in a relationship type R
- Specifies that each entity e in E participates in *at least min* and *at most max* relationship instances in R
- Default (no constraint):  $\text{min}=0$ ,  $\text{max}=n$
- Must have  $\text{min} \leq \text{max}$ ,  $\text{min} \geq 0$ ,  $\text{max} \geq 1$

# *Alternative (min, max) notation for relationship structural constraints:*

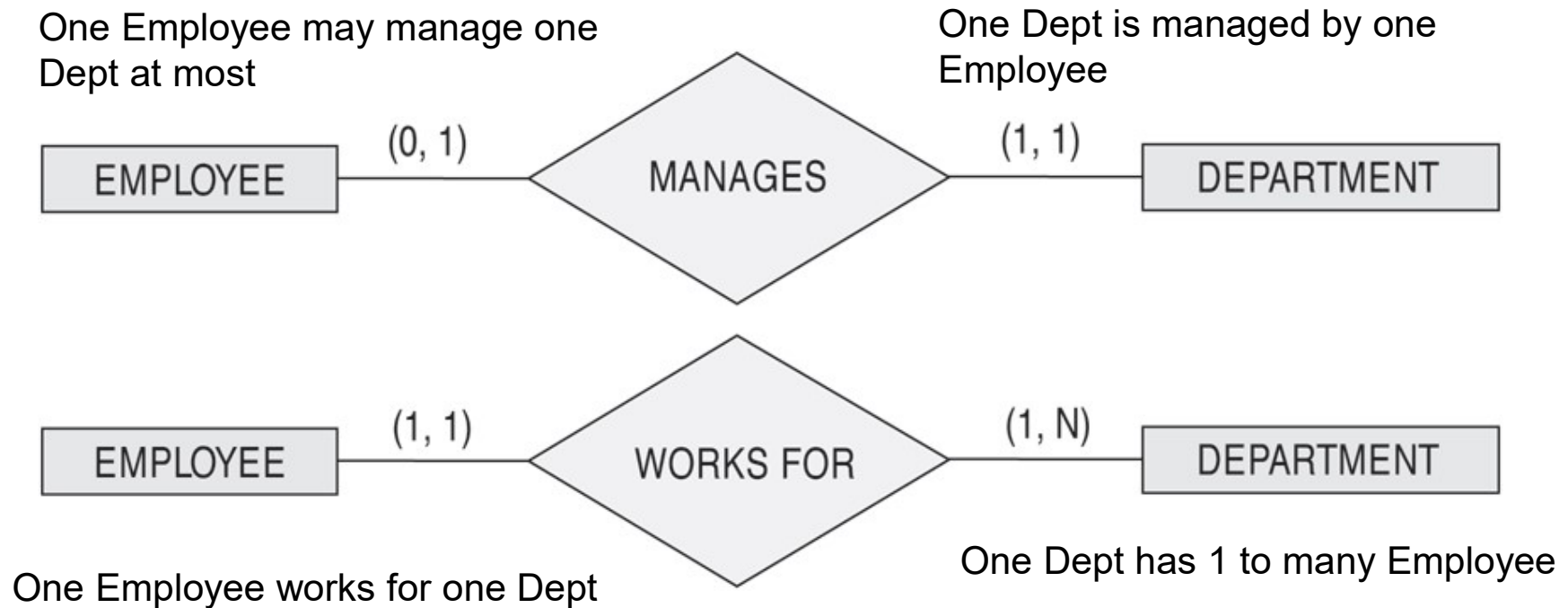
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## ■ Examples:

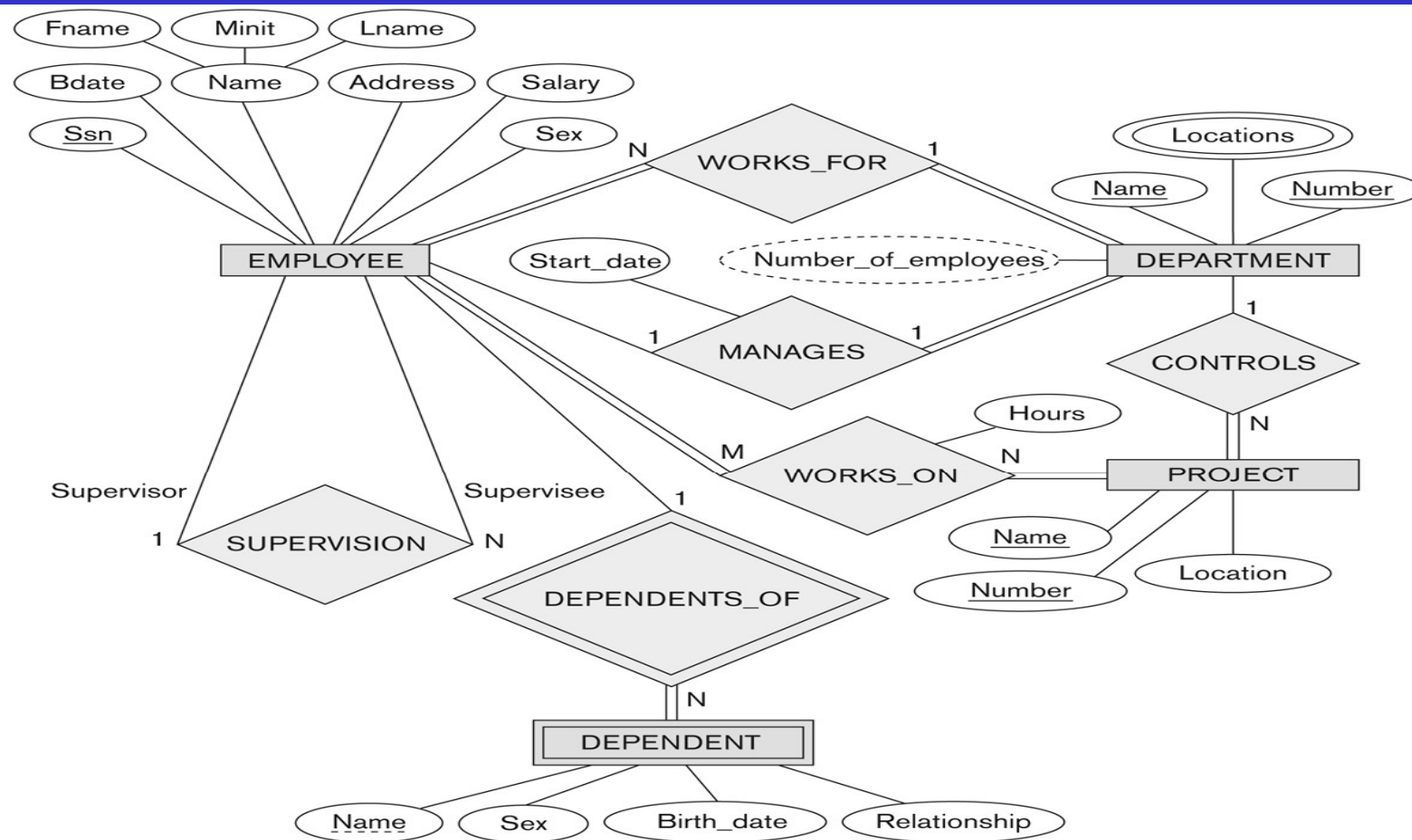
- ◆ A department has exactly one manager and an employee can manage at most one department
  - ◆ Specify (0,1) for participation of EMPLOYEE in MANAGES
  - ◆ Specify (1,1) for participation of DEPARTMENT in MANAGES
  
- ◆ An employee can work for exactly one department but a department can have any number of employees
  - ◆ Specify (1,1) for participation of EMPLOYEE in WORKS\_FOR
  - ◆ Specify (1,n) for participation of DEPARTMENT in WORKS\_FOR

# *The (min,max) notation for relationship constraints*

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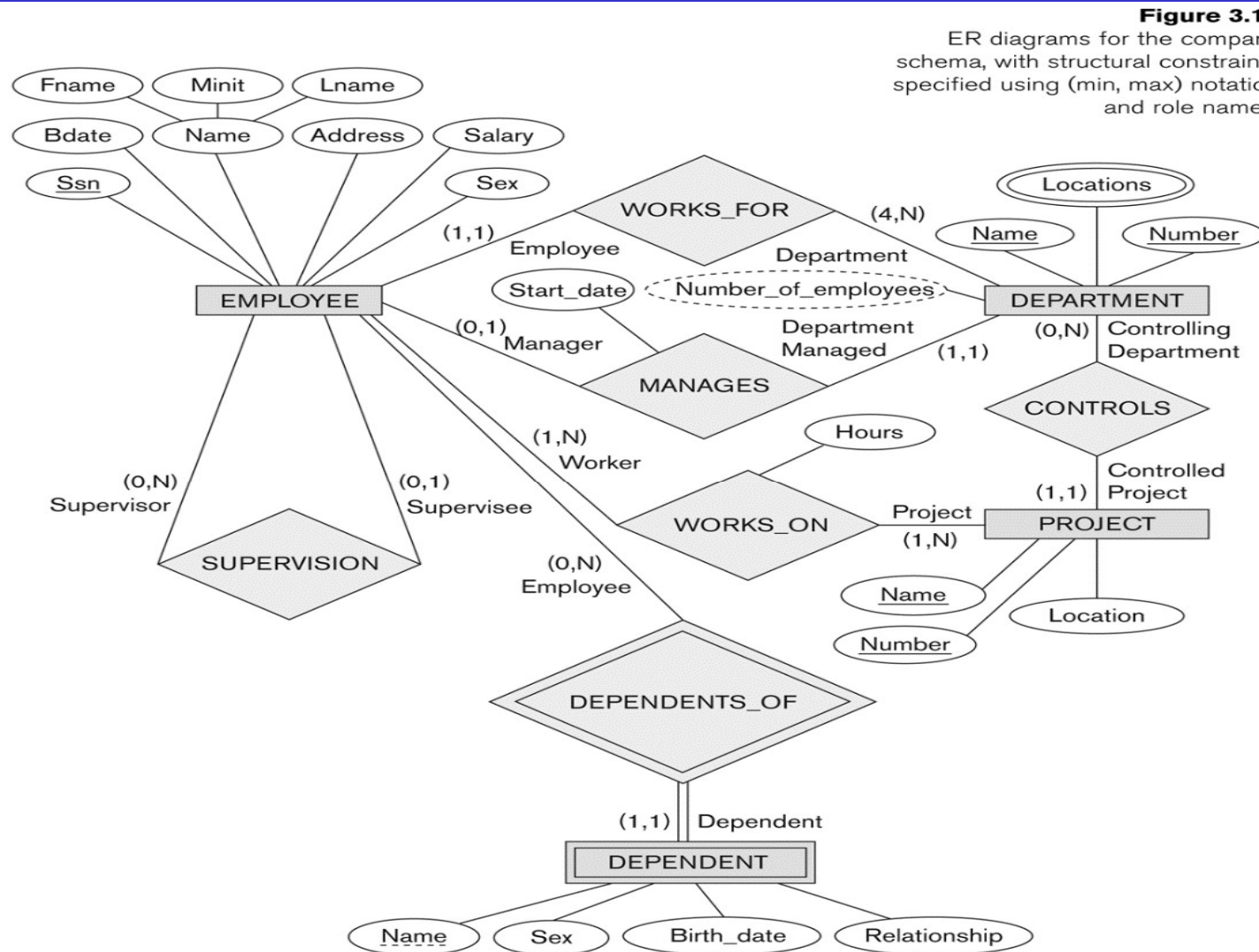
# COMPANY ER Schema Diagram using Cardinality ratio notation



**Figure 3.2**

An ER schema diagram for the COMPANY database. The diagrammatic notation is introduced gradually throughout this chapter.

# COMPANY ER Schema Diagram using (min, max) notation



# *ER Model Concepts Revisited*

## *-Constraints of Relationship*

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- The **degree** of a relationship type is the number of participating entity types.

Both MANAGES and WORKS\_ON are **binary** relationships.

- More than one relationship type can exist with the same participating entity types

For examples, MANAGES and WORKS\_FOR are distinct relationships between EMPLOYEE and DEPARTMENT.

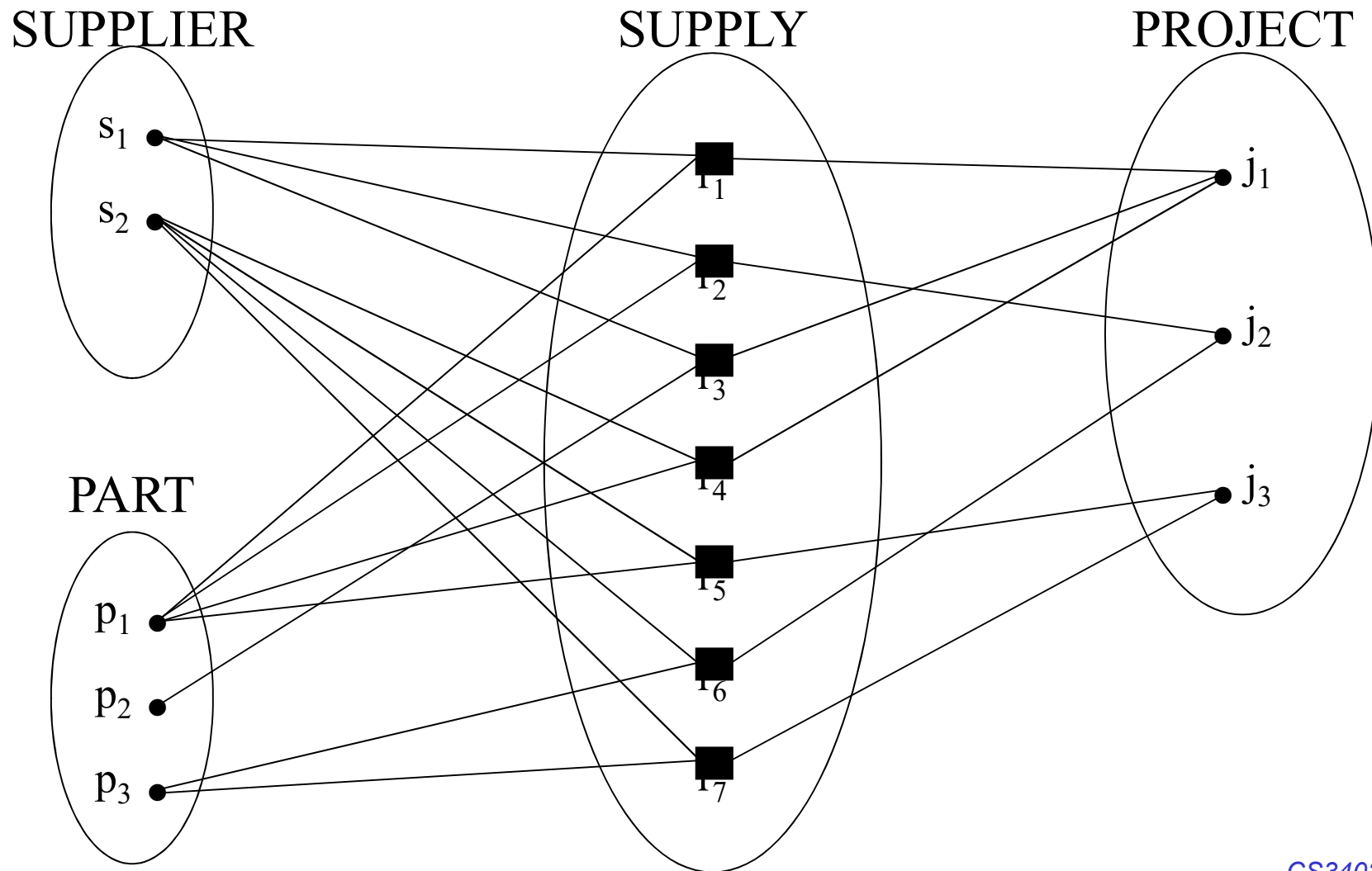
# *Relationships of Higher Degree*

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- Relationship types of degree 2 are called **binary**
- Relationship types of degree 3 are called **ternary** and of degree  $n$  are called  $n$ -ary
  - ◆ Supplier A supplies part B for project C
- In general, an  **$n$ -ary** relationship is not equivalent to  $n$  **binary** relationships
- **Constraints** are harder to specify for higher-degree relationships ( $n > 2$ ) than for binary relationships

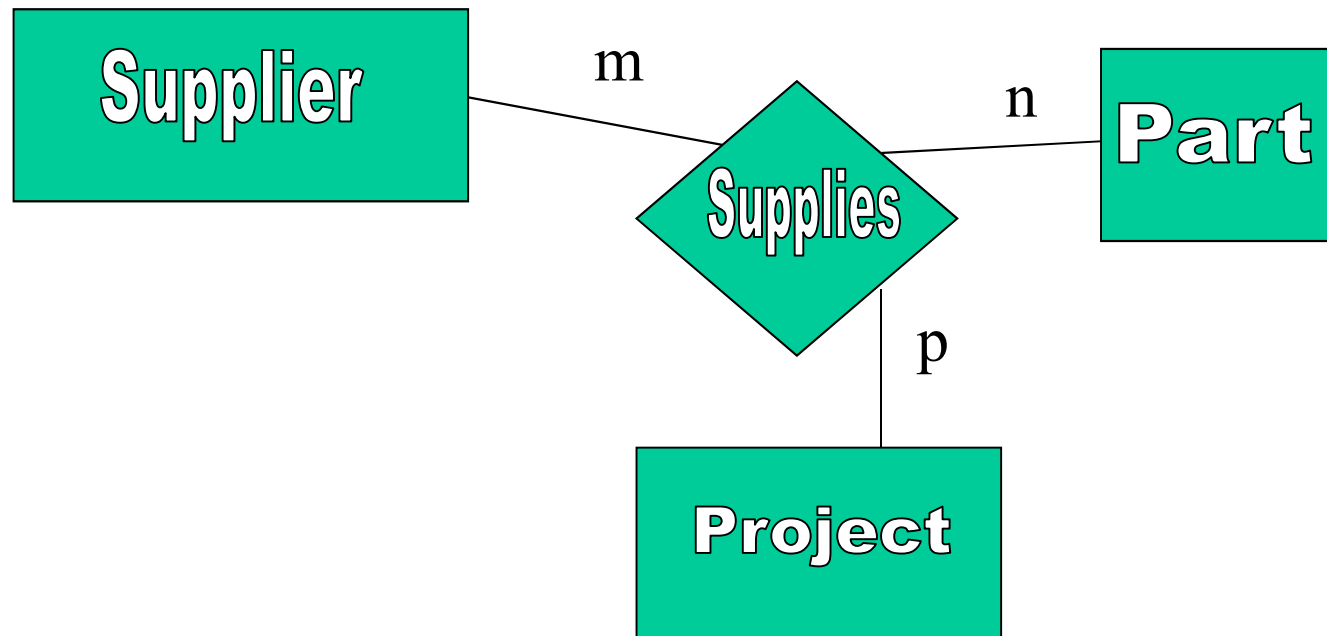


# ***Ternary Relationship: Instance Diagram***



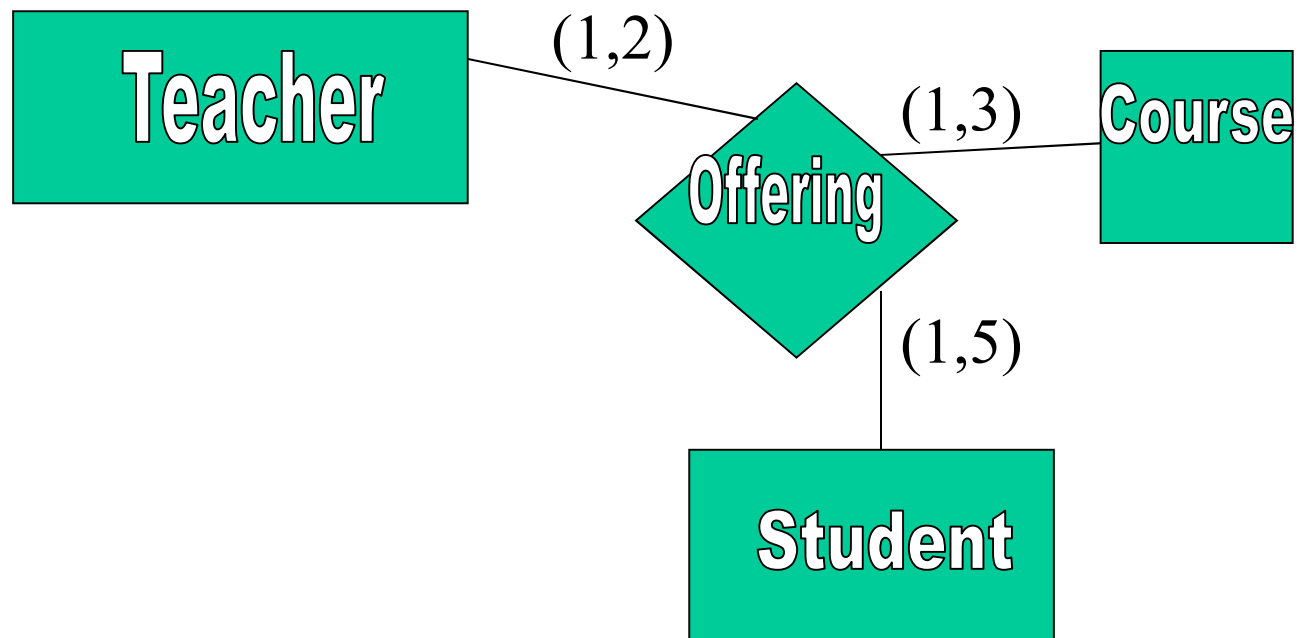
# Why not on Higher Order Relationship Types

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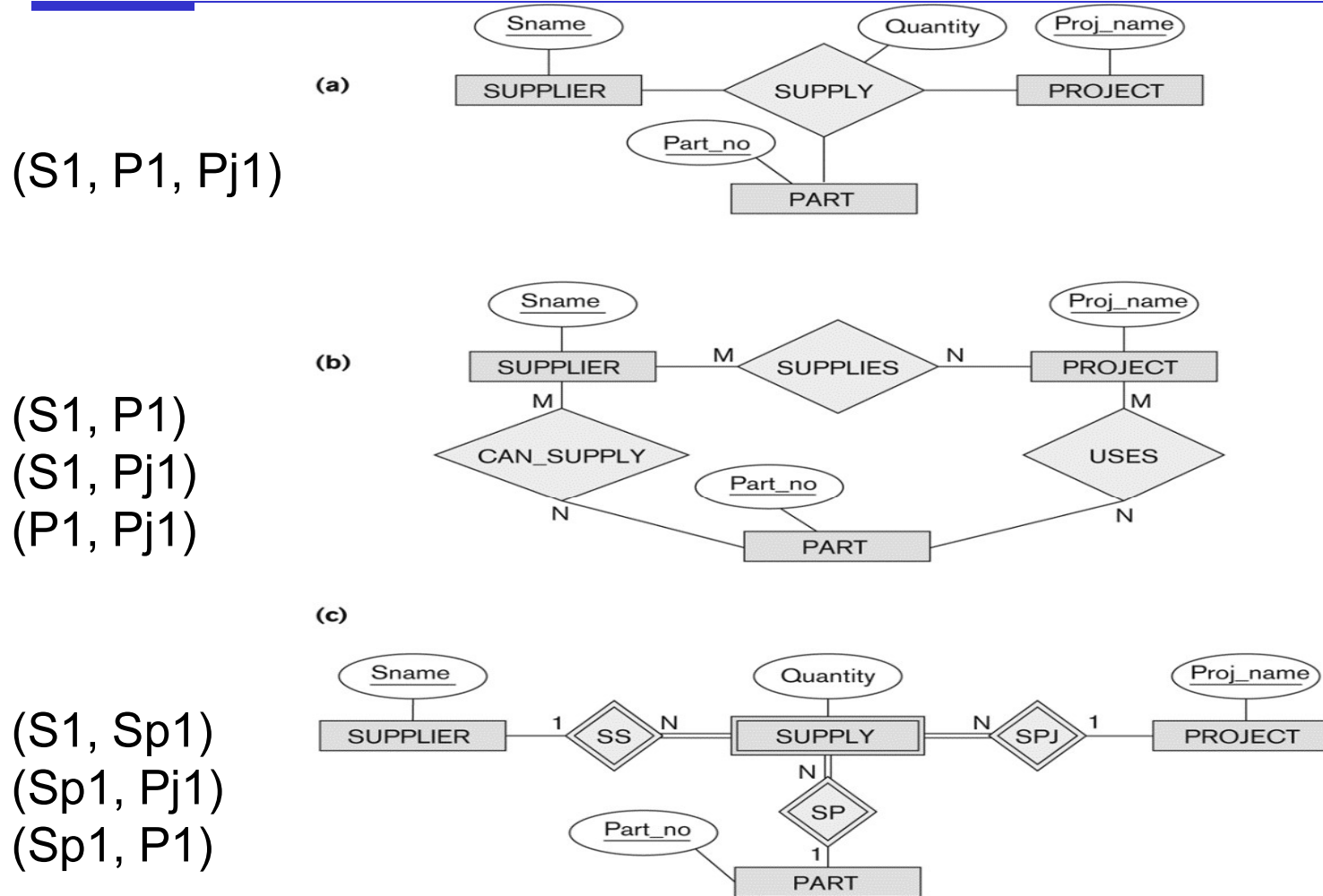
What does it mean to put  $m:n:p$  on the three arms of the relationship? **It is essentially meaningless.**

# ***The (min,max) Notation for Higher Order Relationship Type Constraints***



A Teacher can offer 1 to 2 Offerings  
A Course may have 1 to 3 Offerings  
A Student may enroll in 1 to 5 Offerings

# Example of a ternary relationship



**Figure 3.17**

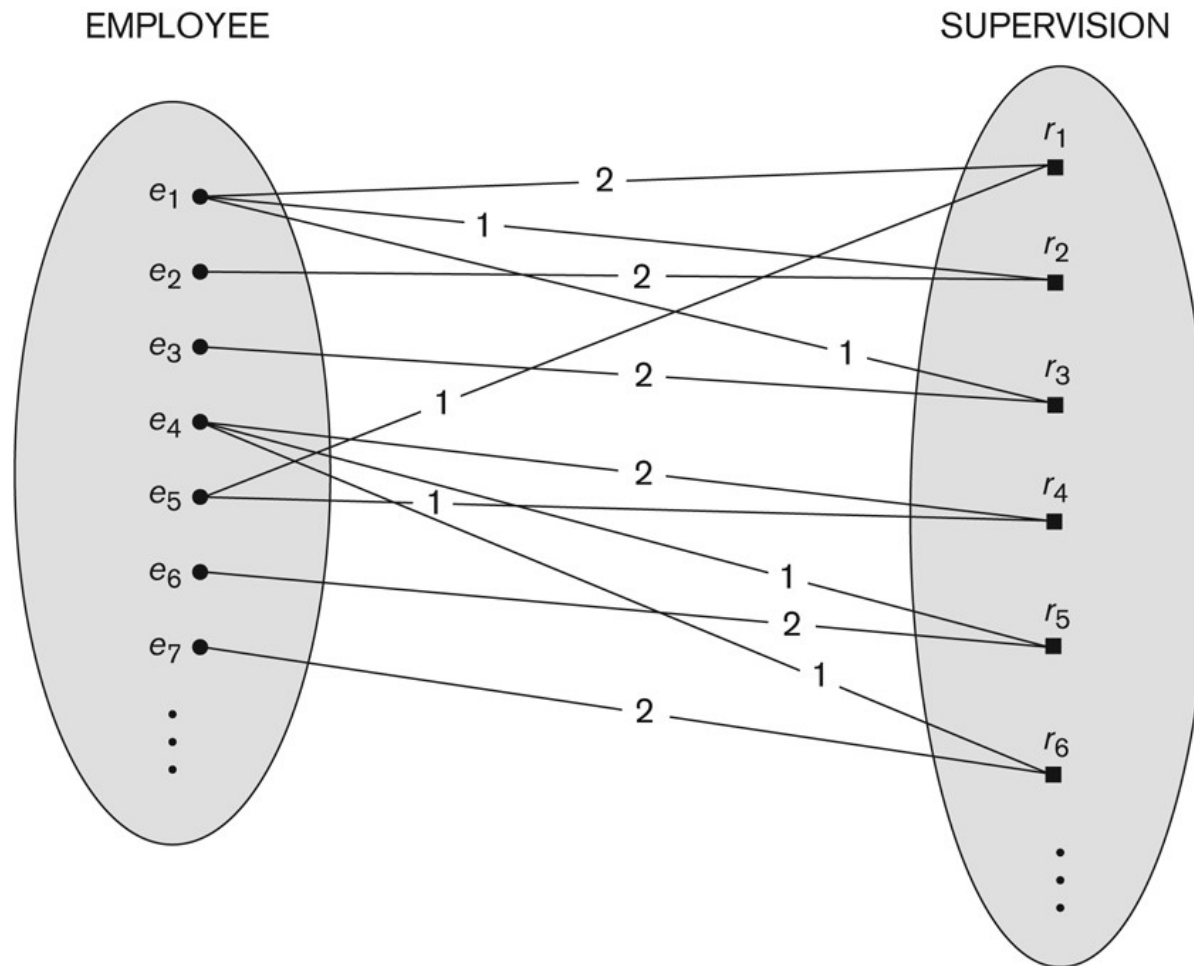
Ternary relationship types. (a) The SUPPLY relationship. (b) Three binary relationships not equivalent to SUPPLY. (c) SUPPLY represented as a weak entity type.

# ***Recursive Relationship***

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- A relationship type between the same participating entity type in distinct roles (roles in relationships)
- Also called a self-referencing relationship type
- Example: the SUPERVISION relationship
- EMPLOYEE participates twice in two distinct roles:
  - ◆ supervisor (or boss) role
  - ◆ supervisee (or subordinate) role
- Each relationship instance relates two distinct EMPLOYEE entities:
  - ◆ One employee in *supervisor* role
  - ◆ One employee in *supervisee* role

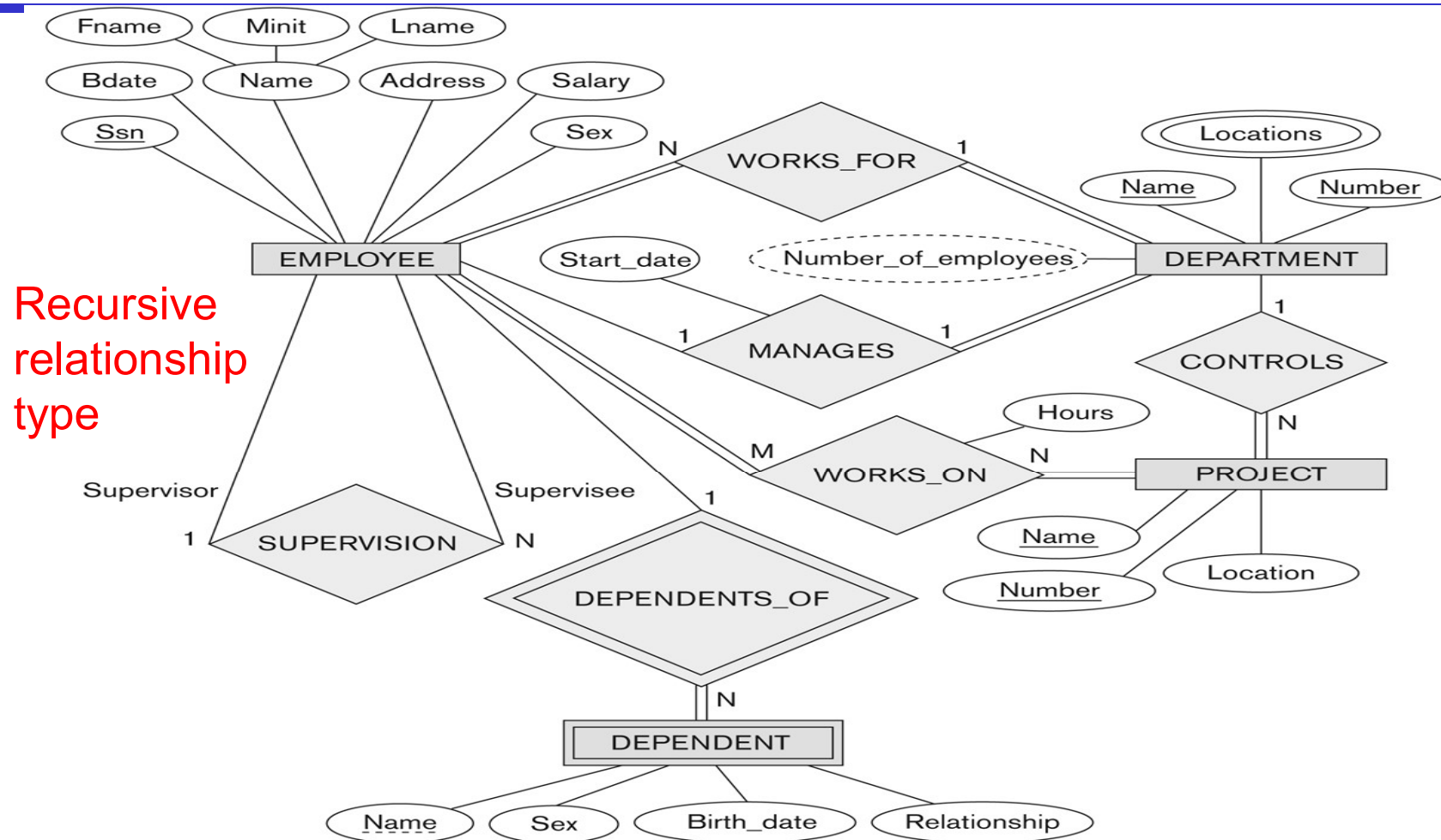
# A Recursive Relationship Example



**Figure 3.11**

A recursive relationship SUPERVISION between EMPLOYEE in the *supervisor* role (1) and EMPLOYEE in the *subordinate* role (2).


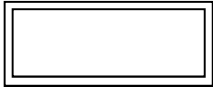

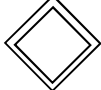



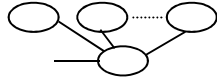

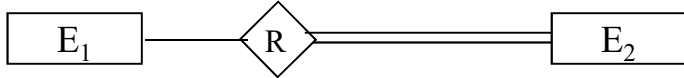

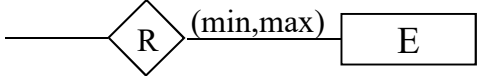
# *Recursive Relationship: SUPERVISION (participation role names are shown)*



**Figure 3.2**

An ER schema diagram for the COMPANY database. The diagrammatic notation is introduced gradually throughout this chapter.

# Summary of ER-Diagram Notation

Symbol	Meaning
	ENTITY TYPE
	WEAK ENTITY TYPE
	RELATIONSHIP TYPE
	IDENTIFYING RELATIONSHIP TYPE
	ATTRIBUTE
	KEY ATTRIBUTE
	MULTIVALUED ATTRIBUTE
	COMPOSITE ATTRIBUTE
	DERIVED ATTRIBUTE
	TOTAL PARTICIPATION OF E <sub>2</sub> IN R
	CARDINALITY RATIO 1:N FOR E <sub>1</sub> :E <sub>2</sub> IN R
	STRUCTURAL CONSTRAINT (min, max) ON PARTICIPATION OF E IN R



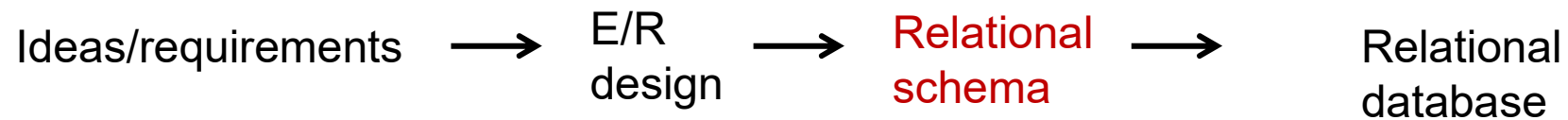
# *Relational Model*

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- Although the E/R approach is a simple and an appropriate way to describe the structure of data, many database implementations are always based on another approach called the relational model
  - ◆ E/R diagram -> relation model
- The relational model was first proposed by Dr. E.F. Codd of IBM Research in 1970 in the following paper:
  - ◆ "A Relational Model for Large Shared Data Banks," Communications of the ACM, June 1970
- The above paper caused a major revolution in the field of database management and earned Dr. Codd the coveted ACM Turing Award

# ***Database Modelling and Implementation***

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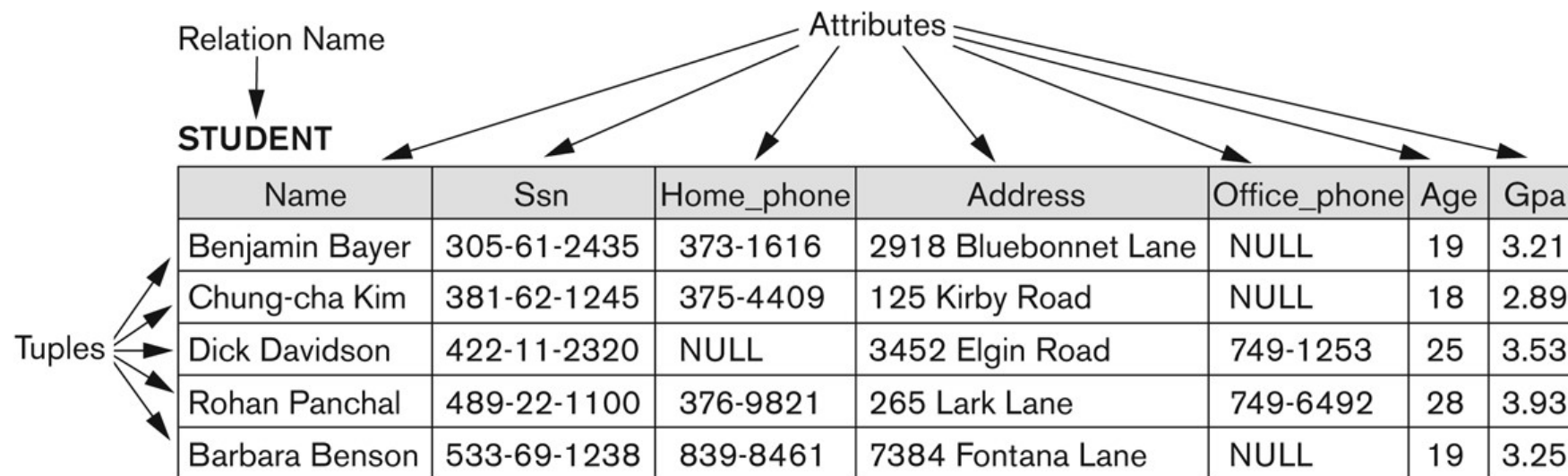


# *Informal Description*

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- A **relation** looks like a **table** (rows x columns) of values
- A relation contains a **set of rows (tuples)** and each **column (attribute)** has a column header that gives an indication of the **meaning** of the data items in that column
  - ◆ Associated with each attribute of a relation is a set of values (**domain**)
  - ◆ **Movies(title:string, year:integer, length:integer)**
- The data elements in each **row (tuple)** represent certain **facts** that correspond to a real-world **entity or relationship**

# Example of a Relation



**Figure 5.1**

The attributes and tuples of a relation STUDENT.

Regular structure makes it easy to be manipulated  
What are the operations?

# Relational Data Model

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## ■ Basic Structure

### ◆ Records

- ◆ Each row/tuple in a relation is a record (an entity)
- ◆ Each attribute in a relation corresponds to a particular field of a record

### ◆ Sample Relational DB Schema:

#### Customer

cname	C#	address

#### Parts

P#	pname	cost

#### Orders

order#	C#	P#	quantity

# Relational Data Model

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◆ A corresponding DB Instance:

**Customer**

cname	C#	address
John	41256	8 Blue St., LA
Mary	56437	6 Red Ave, SF
Joe	23986	12 Pink Rd, NY

**Parts**

P#	pname	cost
301	widget	25,000
111	gadget	17,500
507	screw	5,900

**Orders**

order#	C#	P#	quantity
21	41256	301	15
26	41256	111	7
27	56437	301	11
32	23986	507	18
...			

# *Definition Summary*

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<u>Informal Terms</u>	<u>Formal Terms</u>
Table	Relation
Column Header	Attribute
All possible Column Values	Domain
Row	Tuple
Table Definition	Schema of a Relation
Populated Table	State of the Relation

# *Populated Relation State*

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- Each *relation* has many records/tuples in its current relation state/instance
- Whenever the database is changed, a new state arises
- Basic operations for changing the database:
  - ◆ **INSERT** a new tuple in a relation
  - ◆ **DELETE** an existing tuple from a relation
  - ◆ **MODIFY** an attribute of an existing tuple



# Populated database state for COMPANY

**Figure 5.6**

One possible database state for the COMPANY relational database schema.

## EMPLOYEE

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	B	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	M	30000	333445555	5
Franklin	T	Wong	333445555	1955-12-08	638 Voss, Houston, TX	M	40000	888665555	5
Alicia	J	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	M	38000	333445555	5
Joyce	A	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	V	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	M	25000	987654321	4
James	E	Borg	888665555	1937-11-10	450 Stone, Houston, TX	M	55000	NULL	1

## DEPARTMENT

Dname	Dnumber	Mgr_ssn	Mgr_start_date
Research	5	333445555	1988-05-22
Administration	4	987654321	1995-01-01
Headquarters	1	888665555	1981-06-19

## DEPT\_LOCATIONS

Dnumber	Dlocation
1	Houston
4	Stafford
5	Bellaire
5	Sugarland
5	Houston

## WORKS\_ON

Essn	Pno	Hours
123456789	1	32.5
123456789	2	7.5
666884444	3	40.0
453453453	1	20.0
453453453	2	20.0
333445555	2	10.0
333445555	3	10.0
333445555	10	10.0
333445555	20	10.0
999887777	30	30.0
999887777	10	10.0
987987987	10	35.0
987987987	30	5.0
987654321	30	20.0
987654321	20	15.0
888665555	20	NULL

## PROJECT

Pname	Pnumber	Plocation	Dnum
ProductX	1	Bellaire	5
ProductY	2	Sugarland	5
ProductZ	3	Houston	5
Computerization	10	Stafford	4
Reorganization	20	Houston	1
Newbenefits	30	Stafford	4

## DEPENDENT

Essn	Dependent_name	Sex	Bdate	Relationship
333445555	Alice	F	1986-04-05	Daughter
333445555	Theodore	M	1983-10-25	Son
333445555	Joy	F	1958-05-03	Spouse
987654321	Abner	M	1942-02-28	Spouse
123456789	Michael	M	1988-01-04	Son
123456789	Alice	F	1988-12-30	Daughter
123456789	Elizabeth	F	1967-05-05	Spouse

# Characteristics Of Relations

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- Ordering of tuples in a relation:
  - ◆ The tuples *are not considered to be ordered*, even though they appear to be in a tabular form (may have different *presentation* orders)
- Ordering of attributes in a relation schema R (and of values within each tuple):
  - ◆ We consider the attributes in  $R(A_1, A_2, \dots, A_n)$  and the values in  $t = \langle v_1, v_2, \dots, v_n \rangle$  to *be ordered*
    - ◆ Example:  $t = \{ \langle \text{name}, \text{"John"} \rangle, \langle \text{SSN}, 123456789 \rangle \}$
    - ◆ This representation may be called as “*self-describing*”

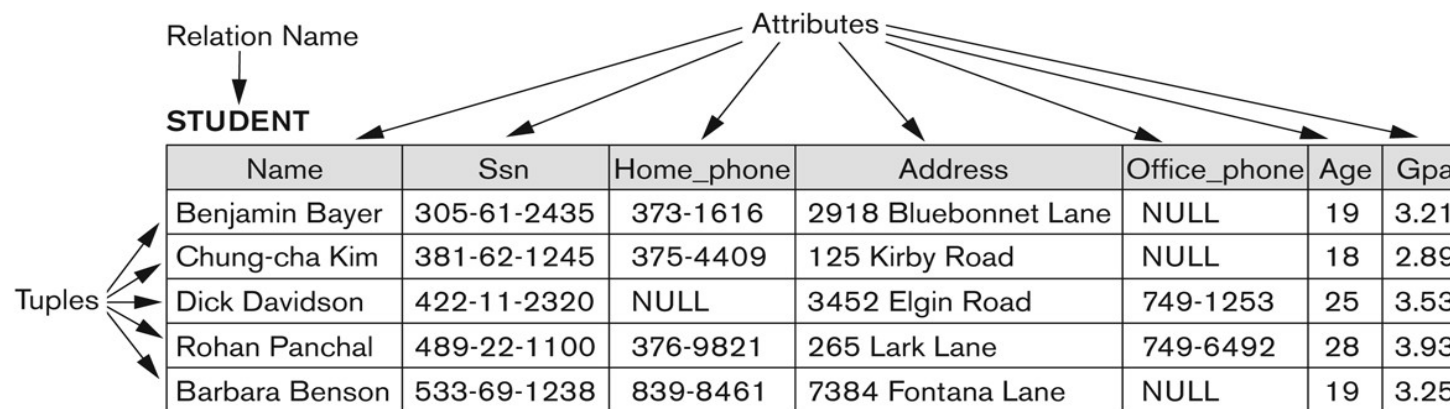
# Same state with different order of tuples

**Figure 5.2**

The relation STUDENT from Figure 5.1 with a different order of tuples.

**STUDENT**

Name	Ssn	Home_phone	Address	Office_phone	Age	Gpa
Dick Davidson	422-11-2320	NULL	3452 Elgin Road	749-1253	25	3.53
Barbara Benson	533-69-1238	839-8461	7384 Fontana Lane	NULL	19	3.25
Rohan Panchal	489-22-1100	376-9821	265 Lark Lane	749-6492	28	3.93
Chung-cha Kim	381-62-1245	375-4409	125 Kirby Road	NULL	18	2.89
Benjamin Bayer	305-61-2435	373-1616	2918 Bluebonnet Lane	NULL	19	3.21



**Figure 5.1**

The attributes and tuples of a relation STUDENT.

# Characteristics Of Relations

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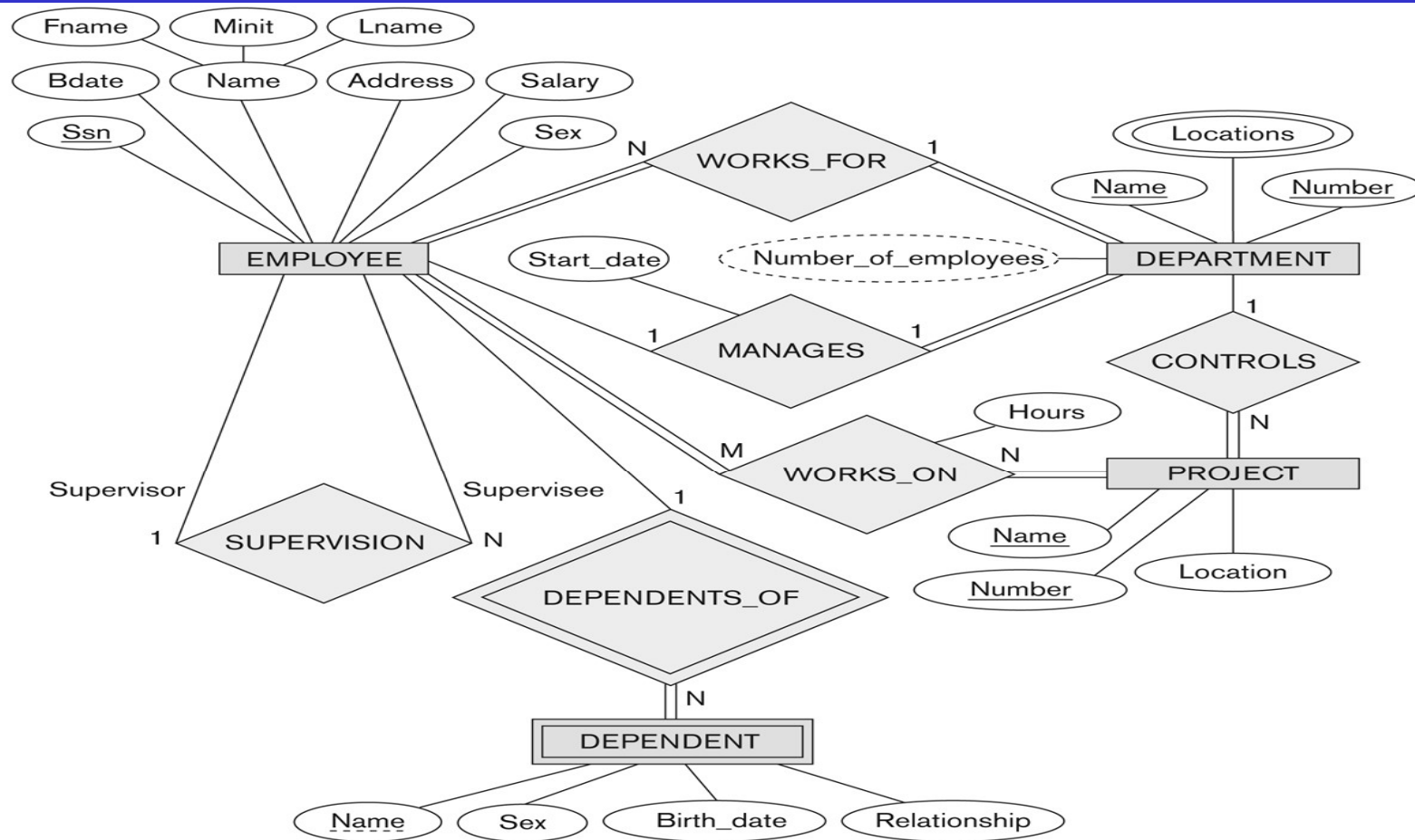
- Values in a tuple:
  - ◆ All values are considered **atomic** (indivisible)
    - ◆ Basic unit for manipulation (add or change)
  - ◆ Each value in a tuple must be from the **domain** (set of values) of the attribute for that column
  - ◆ A special **null** value is used to represent values that are unknown or not available or inapplicable in certain tuples
- We refer to the attribute **values** of a tuple  $t$  by:
  - ◆  $t[A_i]$  or  $t.A_i$ : the value  $v_i$  of attribute  $A_i$  for tuple  $t$

# *From E/R Diagrams to Relations*

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- Converting an E/R design to a relational schema (an approximation approach):
  - ◆ Turn each **entity set** into a relation with the same set of attributes
  - ◆ Replace a **relationship** by a relation whose attributes are the **keys** for the connected entity sets
  - ◆ Note: **weak entity sets** cannot be translated straightforward to relations

# Example: ER of Company



**Figure 3.2**

An ER schema diagram for the COMPANY database. The diagrammatic notation is introduced gradually throughout this chapter.

## EMPLOYEE

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary	Super_ssn	Dno
-------	-------	-------	------------	-------	---------	-----	--------	-----------	-----

## DEPARTMENT

Dname	<u>Dnumber</u>	Mgr_ssn	Mgr_start_date
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## DEPT\_LOCATIONS

<u>Dnumber</u>	<u>Dlocation</u>
----------------	------------------

## PROJECT

Pname	<u>Pnumber</u>	<u>Plocation</u>	Dnum
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## WORKS\_ON

<u>Essn</u>	<u>Pno</u>	Hours
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## DEPENDENT

<u>Essn</u>	<u>Dependent_name</u>	Sex	Bdate	Relationship
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**Figure 9.2**

Result of mapping the COMPANY ER schema into a relational database schema.

# ***ER-to-Relational Mapping Algorithm***

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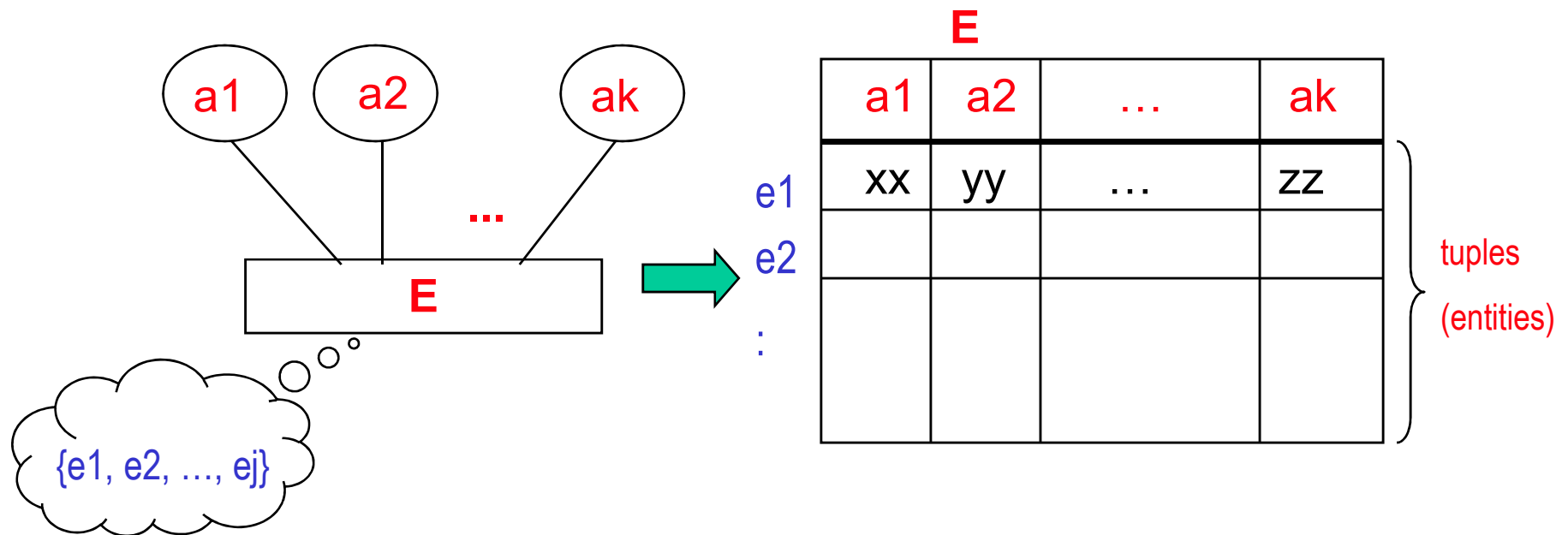
- COMPANY database example
  - ◆ Assume that the mapping will create tables with simple single-valued attributes
- Step 1: Mapping of Regular (strong) Entity Types
  - ◆ For each regular entity type, create a relation  $R$  that includes all the simple attributes of  $E$
  - ◆ Choose one of the key attributes  $E$  as the primary key for  $R$
  - ◆ Called entity relations
    - Each tuple represents an entity instance



# ER to Relations (Entity Sets)

- Mapping ER Diagrams into tables (relations)

## ◆ Representation of (Strong) Entity Sets



# ER-to-Relational Mapping Algorithm

**Figure 9.3**

Illustration of some mapping steps.

- a. *Entity* relations after step 1.
- b. Additional *weak entity* relation after step 2.
- c. *Relationship* relation after step 5.
- d. Relation representing multivalued attribute after step 6.

(a) **EMPLOYEE**

Fname	Minit	Lname	<u>Ssn</u>	Bdate	Address	Sex	Salary
-------	-------	-------	------------	-------	---------	-----	--------

**DEPARTMENT**

Dname	<u>Dnumber</u>
-------	----------------

**PROJECT**

Pname	<u>Pnumber</u>	Plocation
-------	----------------	-----------

(b) **DEPENDENT**

<u>Essn</u>	<u>Dependent_name</u>	Sex	Bdate	Relationship
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(c) **WORKS\_ON**

<u>Essn</u>	<u>Pno</u>	Hours
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(d) **DEPT\_LOCATIONS**

<u>Dnumber</u>	<u>Dlocation</u>
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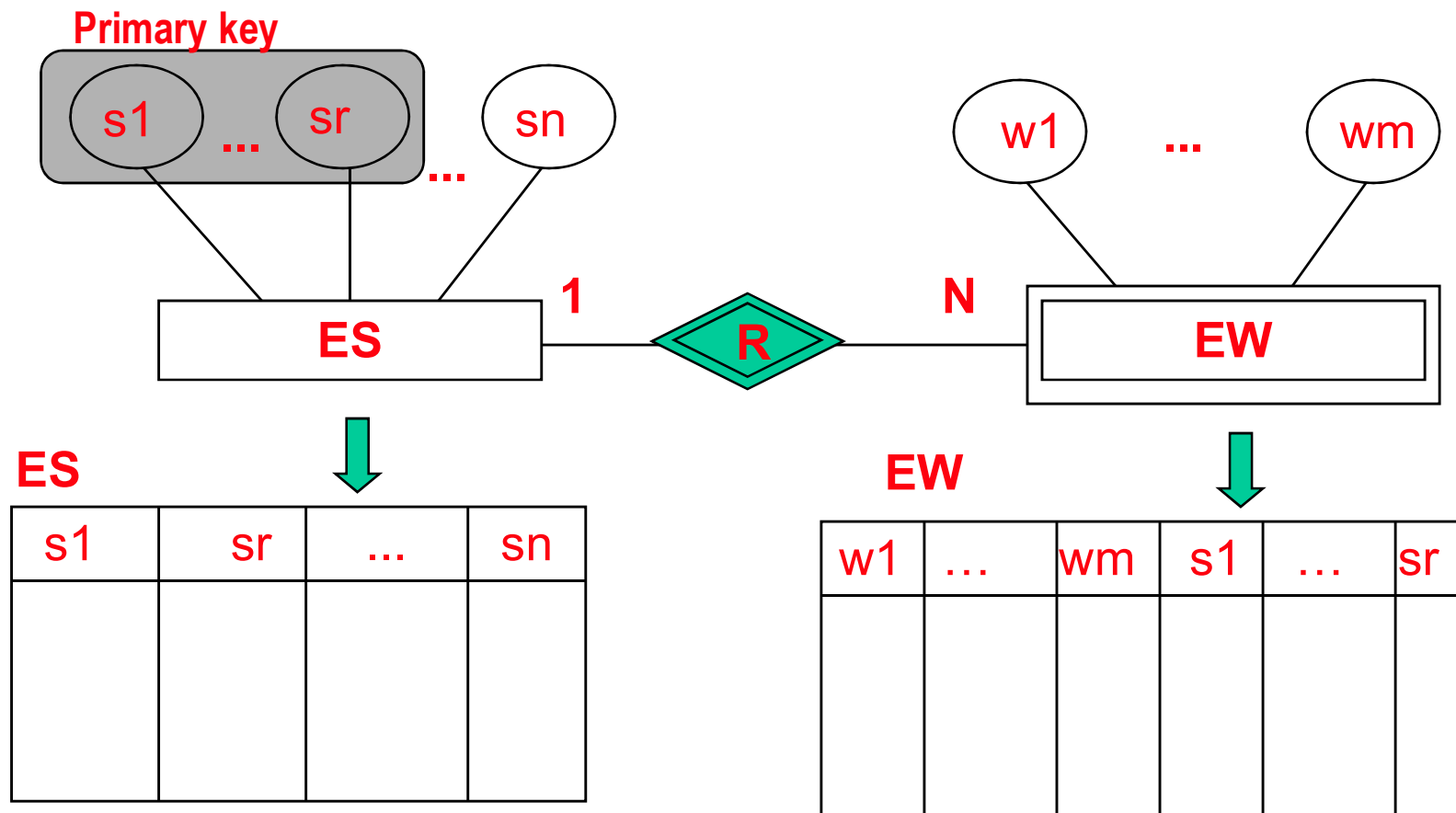
# ***ER-to-Relational Mapping Algorithm***

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- Step 2: Mapping of Weak Entity Types
  - ◆ For each weak entity type, create a relation  $R$  and include all simple attributes of the entity type as attributes of  $R$
  - ◆ Include primary key attribute of owner as foreign key attributes of  $R$
  - ◆ The primary key of  $R$  is the combination of the primary key of the owner and the partial key of the weak entity type
  - ◆ E.g., Essn and Dependent\_Name

# ER to Relations (Weak Entity Set)

## ■ Representation of Weak Entity Sets



# ***ER-to-Relational Mapping Algorithm***

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- Step 3: Mapping of Binary 1:1 Relationship Types
  - ◆ For each binary 1:1 relationship type
    - Identify relations that correspond to entity types participating in  $R$
  - ◆ Possible approaches:
    - Foreign key approach
    - Merged relationship approach
    - Cross reference or relationship relation approach

# ***ER-to-Relational Mapping Algorithm***

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- Foreign key approach (S –T)
  - ◆ Choose one of the relations S and include as a foreign key in S the primary key of T
  - ◆ Include all simple attributes as attributes of S
  - ◆ E.g., MANAGES (Mgr\_ssn and Mgr\_start\_date) into DEPARTMENT

# ***ER-to-Relational Mapping Algorithm***

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- Merged relationship approach
  - ◆ To merge the two entity types and the relationship into a single relation
- Cross reference or **relationship relation** approach
  - ◆ Set up a third relation R for the purpose of **cross-referencing** the primary keys of the two relations S and T representing the entity types
  - ◆ The relation R will include the **primary key attributes** of S and T as foreign keys to S and T
  - ◆ The primary key of R will be **one of the two foreign keys**

# ***ER-to-Relational Mapping Algorithm***

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- Step 4: Mapping of Binary 1:N Relationship Types
  - ◆ For each regular binary 1:N relationship type
    - Identify relation that represents participating entity type at **N-side** of relationship type S
    - Include **primary key** of other entity type as **foreign key** in S
    - Include simple attributes of 1:N relationship type as attributes of S
    - E.g., for WORK\_FOR, we include the primary key Dnumber of the DEPARTMENT as foreign key in EMPLOYEE



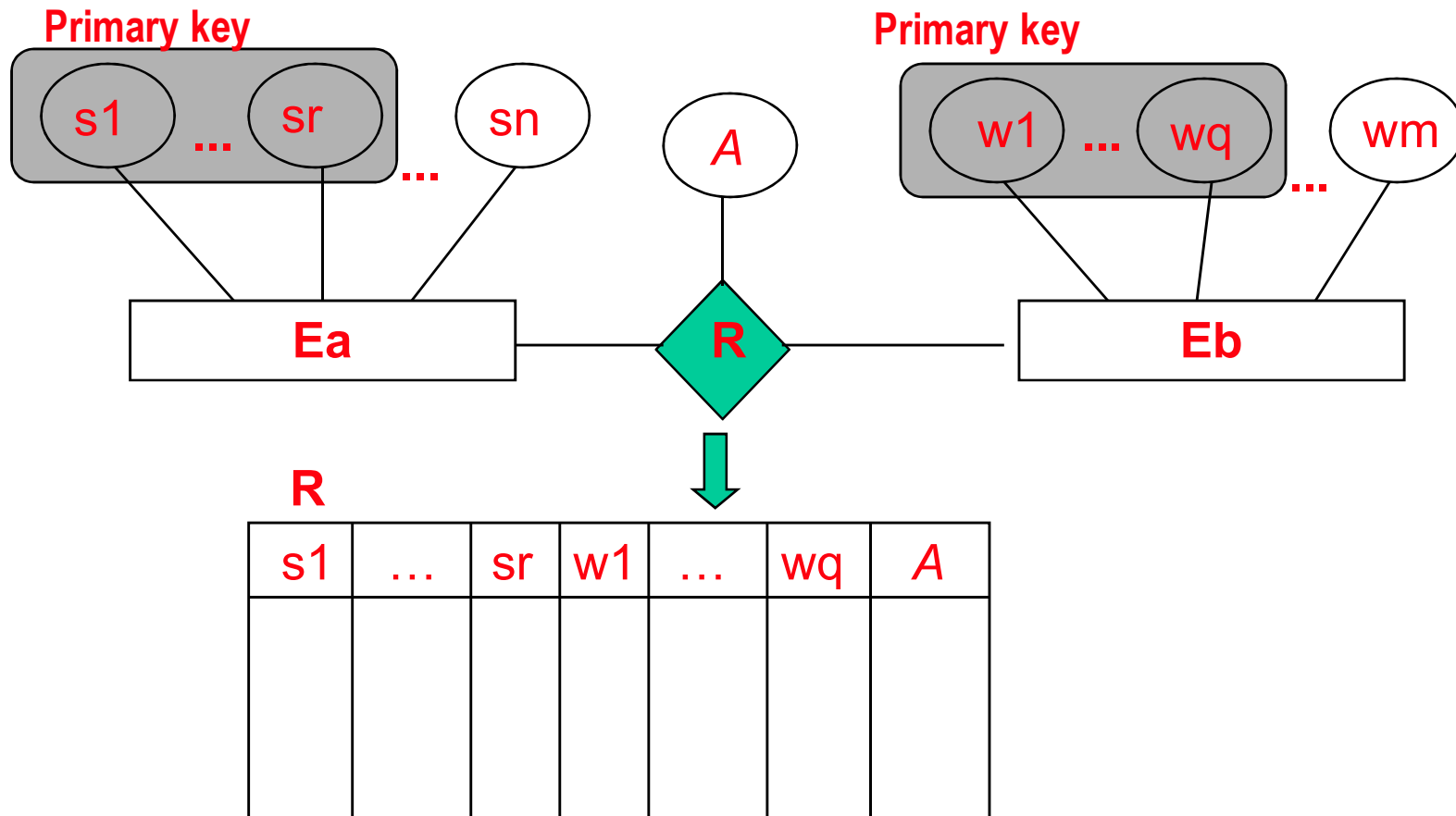
# ***ER-to-Relational Mapping Algorithm***

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- Step 5: Mapping of Binary *M:N* Relationship Types
  - ◆ For each binary *M:N* relationship type
    - Create a new relation *S*
    - Include as **primary key** of participating entity types as foreign key attributes in *S*
    - Their combination forms the **primary keys** of the relations
    - Include any simple attributes of *M:N* relationship type
    - E.g., For WORKS\_ON, we include the primary keys of PROJECT and EMPLOYEE as foreign keys in WORK\_ON and rename them Pno and Fssn respectively

# ER to Relations (Many-to-Many)

- Representation of Many to Many Relationship Sets



# ***ER-to-Relational Mapping Algorithm***

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- Step 6: Mapping of Multivalued Attributes
  - ◆ For each multivalued attribute  $A$ 
    - Create a new relation  $R$
    - Primary key of  $R$  is the combination of  $A$  and  $K$  (the primary key attribute) of the relation that represents the entity type or relationship that has  $A$  as a multivalued attribute
    - If the multivalued attribute is composite, include its simple components
    - E.g., we create a relation DEPT\_LOCATION. The attribute Dlocation represents the multivalued attributes LOCATIONS of DEPARTMENT
    - The primary key of DEPT LOCATIONS is the

# ***ER-to-Relational Mapping Algorithm***

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- Step 7: Mapping of  $N$ -ary Relationship Types
  - ◆ For each  $n$ -ary relationship type  $R$ 
    - Create a new relation  $S$  to represent  $R$
    - Include **primary keys** of participating entity types as foreign keys
    - Include any simple attributes as attributes
    - The primary key of  $S$  is a combination of **all foreign keys** that reference the relations representing the participating entity types

# ***Discussion and Summary of Mapping for ER Model Constructs***

**Table 9.1** Correspondence between ER and Relational Models

<b>ER MODEL</b>	<b>RELATIONAL MODEL</b>
Entity type	<i>Entity</i> relation
1:1 or 1:N relationship type	Foreign key (or <i>relationship</i> relation)
M:N relationship type	<i>Relationship</i> relation and <i>two</i> foreign keys
<i>n</i> -ary relationship type	<i>Relationship</i> relation and <i>n</i> foreign keys
Simple attribute	Attribute
Composite attribute	Set of simple component attributes
Multivalued attribute	Relation and foreign key
Value set	Domain
Key attribute	Primary (or secondary) key

# References

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- 6e
  - ◆ Ch. 3 p.55 – 75
  - ◆ Ch. 8, p.270 – 278