

## Three.II Homomorphisms

*Linear Algebra*

Jim Hefferon

<http://joshua.smcvt.edu/linearalgebra>

## Definition

# Homomorphism

1.1 *Definition* A function between vector spaces  $h: V \rightarrow W$  that preserves addition

$$\text{if } \vec{v}_1, \vec{v}_2 \in V \text{ then } h(\vec{v}_1 + \vec{v}_2) = h(\vec{v}_1) + h(\vec{v}_2)$$

and scalar multiplication

$$\text{if } \vec{v} \in V \text{ and } r \in \mathbb{R} \text{ then } h(r \cdot \vec{v}) = r \cdot h(\vec{v})$$

is a *homomorphism* or *linear map*.

*Example* Of these two maps  $h, g: \mathbb{R}^2 \rightarrow \mathbb{R}$ , the first is a homomorphism while the second is not.

$$\begin{pmatrix} x \\ y \end{pmatrix} \xrightarrow{h} 2x - 3y \qquad \begin{pmatrix} x \\ y \end{pmatrix} \xrightarrow{g} 2x - 3y + 1$$

*Example* Of these two maps  $h, g: \mathbb{R}^2 \rightarrow \mathbb{R}$ , the first is a homomorphism while the second is not.

$$\begin{pmatrix} x \\ y \end{pmatrix} \xrightarrow{h} 2x - 3y \qquad \begin{pmatrix} x \\ y \end{pmatrix} \xrightarrow{g} 2x - 3y + 1$$

The map  $h$  respects addition

$$\begin{aligned} h\left(\begin{pmatrix} x_1 \\ y_1 \end{pmatrix} + \begin{pmatrix} x_2 \\ y_2 \end{pmatrix}\right) &= h\left(\begin{pmatrix} x_1 + x_2 \\ y_1 + y_2 \end{pmatrix}\right) = 2(x_1 + x_2) - 3(y_1 + y_2) \\ &= (2x_1 - 3y_1) + (2x_2 - 3y_2) = h\left(\begin{pmatrix} x_1 \\ y_1 \end{pmatrix}\right) + h\left(\begin{pmatrix} x_2 \\ y_2 \end{pmatrix}\right) \end{aligned}$$

and scalar multiplication.

$$r \cdot h\left(\begin{pmatrix} x \\ y \end{pmatrix}\right) = r \cdot (2x - 3y) = 2rx - 3ry = (2r)x - (3r)y = h\left(r \cdot \begin{pmatrix} x \\ y \end{pmatrix}\right)$$

*Example* Of these two maps  $h, g: \mathbb{R}^2 \rightarrow \mathbb{R}$ , the first is a homomorphism while the second is not.

$$\begin{pmatrix} x \\ y \end{pmatrix} \mapsto_h 2x - 3y \qquad \begin{pmatrix} x \\ y \end{pmatrix} \mapsto_g 2x - 3y + 1$$

The map  $h$  respects addition

$$\begin{aligned} h\left(\begin{pmatrix} x_1 \\ y_1 \end{pmatrix} + \begin{pmatrix} x_2 \\ y_2 \end{pmatrix}\right) &= h\left(\begin{pmatrix} x_1 + x_2 \\ y_1 + y_2 \end{pmatrix}\right) = 2(x_1 + x_2) - 3(y_1 + y_2) \\ &= (2x_1 - 3y_1) + (2x_2 - 3y_2) = h\left(\begin{pmatrix} x_1 \\ y_1 \end{pmatrix}\right) + h\left(\begin{pmatrix} x_2 \\ y_2 \end{pmatrix}\right) \end{aligned}$$

and scalar multiplication.

$$r \cdot h\left(\begin{pmatrix} x \\ y \end{pmatrix}\right) = r \cdot (2x - 3y) = 2rx - 3ry = (2r)x - (3r)y = h\left(r \cdot \begin{pmatrix} x \\ y \end{pmatrix}\right)$$

In contrast,  $g$  does not respect addition.

$$g\left(\begin{pmatrix} 1 \\ 4 \end{pmatrix} + \begin{pmatrix} 5 \\ 6 \end{pmatrix}\right) = -17 \qquad g\left(\begin{pmatrix} 1 \\ 4 \end{pmatrix}\right) + g\left(\begin{pmatrix} 5 \\ 6 \end{pmatrix}\right) = -16$$

We proved these two while studying isomorphisms.

1.6 *Lemma* A homomorphism sends the zero vector to the zero vector.

1.7 *Lemma* The following are equivalent for any map  $f: V \rightarrow W$  between vector spaces.

- (1)  $f$  is a homomorphism
- (2)  $f(c_1 \cdot \vec{v}_1 + c_2 \cdot \vec{v}_2) = c_1 \cdot f(\vec{v}_1) + c_2 \cdot f(\vec{v}_2)$  for any  $c_1, c_2 \in \mathbb{R}$  and  $\vec{v}_1, \vec{v}_2 \in V$
- (3)  $f(c_1 \cdot \vec{v}_1 + \cdots + c_n \cdot \vec{v}_n) = c_1 \cdot f(\vec{v}_1) + \cdots + c_n \cdot f(\vec{v}_n)$  for any  $c_1, \dots, c_n \in \mathbb{R}$  and  $\vec{v}_1, \dots, \vec{v}_n \in V$

To verify that a map is a homomorphism, we most often use (2).

We proved these two while studying isomorphisms.

1.6 *Lemma* A homomorphism sends the zero vector to the zero vector.

1.7 *Lemma* The following are equivalent for any map  $f: V \rightarrow W$  between vector spaces.

- (1)  $f$  is a homomorphism
- (2)  $f(c_1 \cdot \vec{v}_1 + c_2 \cdot \vec{v}_2) = c_1 \cdot f(\vec{v}_1) + c_2 \cdot f(\vec{v}_2)$  for any  $c_1, c_2 \in \mathbb{R}$  and  $\vec{v}_1, \vec{v}_2 \in V$
- (3)  $f(c_1 \cdot \vec{v}_1 + \cdots + c_n \cdot \vec{v}_n) = c_1 \cdot f(\vec{v}_1) + \cdots + c_n \cdot f(\vec{v}_n)$  for any  $c_1, \dots, c_n \in \mathbb{R}$  and  $\vec{v}_1, \dots, \vec{v}_n \in V$

To verify that a map is a homomorphism, we most often use (2).

*Example* Between any two vector spaces the zero map

$Z: V \rightarrow W$  given by  $Z(\vec{v}) = \vec{0}_W$  is a linear map. Using (2):

$$Z(c_1 \vec{v}_1 + c_2 \vec{v}_2) = \vec{0}_W = \vec{0}_W + \vec{0}_W = c_1 Z(\vec{v}_1) + c_2 Z(\vec{v}_2).$$



*Example* The *inclusion map*  $\iota: \mathbb{R}^2 \rightarrow \mathbb{R}^3$

$$\iota\left(\begin{pmatrix} x \\ y \end{pmatrix}\right) = \begin{pmatrix} x \\ y \\ 0 \end{pmatrix}$$

is a homomorphism.

$$\begin{aligned} \iota\left(c_1 \cdot \begin{pmatrix} x_1 \\ y_1 \end{pmatrix} + c_2 \cdot \begin{pmatrix} x_2 \\ y_2 \end{pmatrix}\right) &= \iota\left(\begin{pmatrix} c_1 x_1 + c_2 x_2 \\ c_1 y_1 + c_2 y_2 \end{pmatrix}\right) \\ &= \begin{pmatrix} c_1 x_1 + c_2 x_2 \\ c_1 y_1 + c_2 y_2 \\ 0 \end{pmatrix} \\ &= \begin{pmatrix} c_1 x_1 \\ c_1 y_1 \\ 0 \end{pmatrix} + \begin{pmatrix} c_2 x_2 \\ c_2 y_2 \\ 0 \end{pmatrix} \\ &= c_1 \cdot \iota\left(\begin{pmatrix} x_1 \\ y_1 \end{pmatrix}\right) + c_2 \cdot \iota\left(\begin{pmatrix} x_2 \\ y_2 \end{pmatrix}\right) \end{aligned}$$

*Example* The derivative is a transformation on polynomial spaces. For instance, consider  $d/dx: \mathcal{P}_2 \rightarrow \mathcal{P}_1$  given by

$$d/dx(ax^2 + bx + c) = 2ax + b$$

(examples are  $d/dx(3x^2 - 2x + 4) = 6x - 2$  and  $d/dx(x^2 + 1) = 2x$ ).

It is a homomorphism.

$$\begin{aligned} d/dx & \left( r_1(a_1x^2 + b_1x + c_1) + r_2(a_2x^2 + b_2x + c_2) \right) \\ &= d/dx \left( (r_1a_1 + r_2a_2)x^2 + (r_1b_1 + r_2b_2)x + (r_1c_1 + r_2c_2) \right) \\ &= 2(r_1a_1 + r_2a_2)x + (r_1b_1 + r_2b_2) \\ &= (2r_1a_1x + r_1b_1) + (2r_2a_2x + r_2b_2) \\ &= r_1 \cdot d/dx(a_1x^2 + b_1x + c_1) + r_2 \cdot d/dx(a_2x^2 + b_2x + c_2) \end{aligned}$$

*Example* The *trace* of a square matrix is the sum down the upper-left to lower-right diagonal. Thus  $\text{Tr}: \mathcal{M}_{2 \times 2} \rightarrow \mathbb{R}$  is this.

$$\text{Tr}\left(\begin{pmatrix} a & b \\ c & d \end{pmatrix}\right) = a + d$$

It is linear.

$$\begin{aligned} \text{Tr}\left(r_1 \cdot \begin{pmatrix} a_1 & b_1 \\ c_1 & d_1 \end{pmatrix} + r_2 \cdot \begin{pmatrix} a_2 & b_2 \\ c_2 & d_2 \end{pmatrix}\right) \\ = \text{Tr}\left(\begin{pmatrix} r_1 a_1 + r_2 a_2 & r_1 b_1 + r_2 b_2 \\ r_1 c_1 + r_2 c_2 & r_1 d_1 + r_2 d_2 \end{pmatrix}\right) \\ = (r_1 a_1 + r_2 a_2) + (r_1 d_1 + r_2 d_2) \\ = r_1(a_1 + d_1) + r_2(a_2 + d_2) \\ = r_1 \cdot \text{Tr}\left(\begin{pmatrix} a_1 & b_1 \\ c_1 & d_1 \end{pmatrix}\right) + r_2 \cdot \text{Tr}\left(\begin{pmatrix} a_2 & b_2 \\ c_2 & d_2 \end{pmatrix}\right) \end{aligned}$$

1.9 *Theorem* A homomorphism is determined by its action on a basis: if  $V$  is a vector space with basis  $\langle \vec{\beta}_1, \dots, \vec{\beta}_n \rangle$ , if  $W$  is a vector space, and if  $\vec{w}_1, \dots, \vec{w}_n \in W$  (these codomain elements need not be distinct) then there exists a homomorphism from  $V$  to  $W$  sending each  $\vec{\beta}_i$  to  $\vec{w}_i$ , and that homomorphism is unique.

1.9 *Theorem* A homomorphism is determined by its action on a basis: if  $V$  is a vector space with basis  $\langle \vec{\beta}_1, \dots, \vec{\beta}_n \rangle$ , if  $W$  is a vector space, and if  $\vec{w}_1, \dots, \vec{w}_n \in W$  (these codomain elements need not be distinct) then there exists a homomorphism from  $V$  to  $W$  sending each  $\vec{\beta}_i$  to  $\vec{w}_i$ , and that homomorphism is unique.

*Example* The book has the proof. Here is an illustration. Consider a map  $h: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  with this action on a basis.

$$\begin{pmatrix} 1 \\ 1 \end{pmatrix} \xrightarrow{h} \begin{pmatrix} 1 \\ 3 \end{pmatrix} \quad \begin{pmatrix} 1 \\ 0 \end{pmatrix} \xrightarrow{h} \begin{pmatrix} 2 \\ 0 \end{pmatrix}$$

The effect of the map on any vector  $\vec{v}$  at all is determined by those two facts. Represent that vector  $\vec{v}$  with respect to the basis.

$$\begin{pmatrix} -1 \\ 5 \end{pmatrix} = 5 \cdot \begin{pmatrix} 1 \\ 1 \end{pmatrix} - 6 \cdot \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

Compute  $h(\vec{v})$  using the definition of homomorphism.

$$h(\vec{v}) = h\left(5 \cdot \begin{pmatrix} 1 \\ 1 \end{pmatrix} - 6 \cdot \begin{pmatrix} 1 \\ 0 \end{pmatrix}\right) = 5 \cdot \begin{pmatrix} 1 \\ 3 \end{pmatrix} - 6 \cdot \begin{pmatrix} 2 \\ 0 \end{pmatrix} = \begin{pmatrix} -7 \\ 15 \end{pmatrix}$$

*Example* Consider  $f: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  with this effect on the standard basis.

$$f(\vec{e}_1) = \begin{pmatrix} 1 \\ 2 \\ -1 \end{pmatrix} \quad f(\vec{e}_2) = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad f(\vec{e}_3) = \begin{pmatrix} 3 \\ -1 \\ 0 \end{pmatrix}$$

Because this is the standard basis, the effect of the map on any vector  $\vec{v} \in \mathbb{R}^3$  is especially easy to compute. For instance,

$$\text{Rep}_{\mathcal{E}_3, \mathcal{E}_3} \left( \begin{pmatrix} -5 \\ 0 \\ 10 \end{pmatrix} \right) = \begin{pmatrix} -5 \\ 0 \\ 10 \end{pmatrix}$$

and so we have this.

$$f \left( \begin{pmatrix} -5 \\ 0 \\ 10 \end{pmatrix} \right) = -5 \cdot \begin{pmatrix} 1 \\ 2 \\ -1 \end{pmatrix} + 0 \cdot \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} + 10 \cdot \begin{pmatrix} 3 \\ -1 \\ 0 \end{pmatrix} = \begin{pmatrix} 25 \\ -20 \\ 5 \end{pmatrix}$$

*Example* Consider  $f: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  with this effect on the standard basis.

$$f(\vec{e}_1) = \begin{pmatrix} 1 \\ 2 \\ -1 \end{pmatrix} \quad f(\vec{e}_2) = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \quad f(\vec{e}_3) = \begin{pmatrix} 3 \\ -1 \\ 0 \end{pmatrix}$$

Because this is the standard basis, the effect of the map on any vector  $\vec{v} \in \mathbb{R}^3$  is especially easy to compute. For instance,

$$\text{Rep}_{\mathcal{E}_3, \mathcal{E}_3} \left( \begin{pmatrix} -5 \\ 0 \\ 10 \end{pmatrix} \right) = \begin{pmatrix} -5 \\ 0 \\ 10 \end{pmatrix}$$

and so we have this.

$$f\left(\begin{pmatrix} -5 \\ 0 \\ 10 \end{pmatrix}\right) = -5 \cdot \begin{pmatrix} 1 \\ 2 \\ -1 \end{pmatrix} + 0 \cdot \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} + 10 \cdot \begin{pmatrix} 3 \\ -1 \\ 0 \end{pmatrix} = \begin{pmatrix} 25 \\ -20 \\ 5 \end{pmatrix}$$

**1.10 Definition** Let  $V$  and  $W$  be vector spaces and let  $B = \langle \vec{\beta}_1, \dots, \vec{\beta}_n \rangle$  be a basis for  $V$ . A function defined on that basis  $f: B \rightarrow W$  is *extended linearly* to a function  $\hat{f}: V \rightarrow W$  if for all  $\vec{v} \in V$  such that  $\vec{v} = c_1 \vec{\beta}_1 + \dots + c_n \vec{\beta}_n$ , the action of the map is  $\hat{f}(\vec{v}) = c_1 \cdot f(\vec{\beta}_1) + \dots + c_n \cdot f(\vec{\beta}_n)$ .