# Measuring Depression and Anxiety with 4 items? Adaptation of the PHQ-4 to increase its Sensitivity to Subclinical Variability

The PHQ-4 is an ultra-brief (4 items) screening questionnaire for depression and anxiety. In this brief report, we test the benefits of adding one additional response option ("Once or twice", in between "Not at all" and "Several days") to improve the scale's sensitivity to milder alterations, and thus increase its usefulness in subclinical populations. In study 1 (N=485), we provide evidence using Item Response Theory (IRT) that the new response option does improve the scale's psychometric quality and extends the sensitivity to the measured constructs on the lower end of the spectrum. In study 2 (N=836), we show that the refined version offers an improved sensitivity to subclinical variability in depression (indexed by the BDI-II) as compared to the original version. In conclusion, adding the "once or twice" response option is a low-cost way of increasing the PHQ-4's sensitivity to subclinical variability, making it a tool of choice for general population research.

Keywords: PHQ-4, depression, anxiety, brief questionnaire validation, ultra short scale

The Patient Health Questionnaire-4 (PHQ-4) is an ultra 35 brief measurement of core signs of depression and anxiety 36 (Kroenke et al., 2009). It consists of two items for depres- 37 sion (PHQ-2, Kroenke et al., 2003) and anxiety (GAD-2, 38 Kroenke et al., 2007), each corresponding to DSM-5 diagnos- 39 tic symptoms for major depressive disorder (MDD) and gen- 40 eralized anxiety disorder (GAD). It has been validated across 41 many languages and populations (Christodoulaki et al., 2022; 42 Materu et al., 2020; Mendoza et al., 2022), becoming one of 43 the most popular screening instruments for depression and 44 anxiety (Maurer et al., 2018).

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While the scale has been validated and used in the gen-46 eral population and non-clinical samples (Hajek & König, 47 2020; Löwe et al., 2010), its initial purpose was to reliably 48 discriminate and identify potential MDD/GAD patients. This discriminative goal materializes in the scale's design and the 49 existence of categorical cut-offs, which does not necessarily entail a focus on the sensitivity to milder mood alterations. In particular, the gap between the two lowest possible answers, 51 "Not at all" and "Several days", is quite large and possibly leaves out the possibility of more subtle occurrences. While 52 this is not necessarily an issue in clinical and diagnostic con-53 texts, it might lead to a sub-optimal discrimination of affec-54 tive levels on the lower end of the spectrum, important for in-55 stance in the context of subclinical variability quantification.

Enabling a more precise assessment of low-severity alterations is important, as milder symptoms are significant predictors of future clinical disorders and are associated with present functional impairments and reduced quality of life (Cuijpers & Smit, 2004; Judd et al., 1998). In addition, the growing reliance on large-scale, often online, psychological and epidemiological surveys, to monitor population-level mental health, evaluate interventions, 63 or track responses to global stressors such as pandemics 64

# or geopolitical crises, demands tools that are both brief and sensitive to small but meaningful fluctuations.

This brief report aims at testing the possibility of enhancing - with minimal changes to the original scale - the PHQ-4 sensitivity to mild mood level inflections. In the first study, we will evaluate whether the new response option is prevalently used by participants, and whether it does capture a specific part of the construct. In the second study, we will compare the refined PHQ-4 version to the original one in terms of sensitivity to subclinical variability in depression, using the Beck Depression Inventory (BDI-II, Beck et al., 1996) and the Trait scale of the State-Trait Anxiety Inventory (STAI-5, Zsido et al., 2020) as our ground-truth measures of depression and anxiety.

# Study 1

# Method

#### **Participants**

The sample consists of 485 English-speaking participants (Mean age =  $30.1 \pm 10.1$  [18, 73]; 50.3% females) from the general population recruited via *Prolific*, a crowd-sourcing platform recognized for providing high quality data (Peer et al., 2022). The only inclusion criterion was a fluent proficiency in English to ensure that the task instructions would be well-understood. This study was approved by the NTU Institutional Review Board (NTU IRB-2022-187). All participants provided their informed consent prior to participation and were incentivized after completing the study.

## Measures

In the original PHQ-4, the instructions "Over the last 2 weeks, how often have you been bothered by the following

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problems?" are followed with 4 items (A1 - Feeling nervous, 113 anxious or on edge; A2 - Not being able to stop or control 114 worrying; D1 - Little interest or pleasure in doing things; D2115 - Feeling down, depressed, or hopeless). The original answer 116 options are "Not at all" (0), "Several days" (1), "More than 117 half the days" (2), "Nearly every day" (3). The total score is 118 computed by summing the responses of each facet resulting 119 in a 0-6 score for depression and anxiety.

For the refined version, we added a "Once or twice" option between "Not at all" and "Several days"in order to better<sup>121</sup> capture potential mild mood inflections (see Dobson & Mothersill, 1979 for the choice of the label).

#### Procedure

Participants were administered the refined PHQ-4 on-126 line as part of another study, which contained additional 127 questionnaires and tasks not relevant fort the current analy-128 sis. The PHQ-4 was presented in a randomized order with 129 other questionnaires. The data is available in open-access at 130 https://github.com/RealityBending/IllusionGameReliability. 131

## Results

The analysis was carried out using *R 4.4* (R Core Team, 2023), the *tidyverse* (Wickham et al., 2019), and the *easystats* collection of packages (Lüdecke et al., 2019, 137 2020, 2021; Patil et al., 2022). All reproducible scripts and complimentary analyses are available open-access at https://github.com/DominiqueMakowski/PHQ4R

## Descriptive Statistics

The consistency of the anxiety ( $Cronbach's \ \alpha = 0.903$ )<sub>143</sub> and depression ( $Cronbach's \ \alpha = 0.841$ ) subscales is excel-<sub>144</sub> lent (**responses were treated as ordinal**). The proportion of <sub>145</sub> response types stratified by item (see Figure 1) shows that the new "Once or twice" option was the most prevalent response <sup>146</sup> for all items (on average selected in 29.12% of cases).

## Item Response Theory

Item Response Theory (IRT) provides insights into how<sub>149</sub> well items and responses capture an underlying latent trait  $\theta$ .<sub>150</sub> For each of the subscales, we fitted a unidimensional graded<sub>151</sub> response model (GRM, Samejima, 1997). For anxiety, the la-<sub>152</sub> tent anxiety dimension ( $\theta_{anxiety}$ ) captured 89.2% of the total<sub>153</sub> variance across the two items (RMSEA = 0.031). The dis-<sub>154</sub> crimination parameters suggested that the first item was less<sub>155</sub> precise ( $\alpha$  = 3.42) than the second item ( $\alpha$  = 12.55) in its<sub>156</sub> ability to discriminate between various levels of anxiety (i.e.,<sub>157</sub> each response on the second item covers a more exclusive<sub>158</sub> range of  $\theta_{anxiety}$ , as can be seen in Figure 1). The latent<sub>159</sub> depression trait ( $\theta_{depression}$ ) The two depression items cap-<sub>160</sub> tured 82.8% of the total variance across the two items (RM-<sub>161</sub> SEA = 0.044), and the opposite pattern was found: the first<sub>162</sub>

item had a higher precision ( $\alpha=16.46$ ) than the second ( $\alpha=2.41$ ). However, it is important to note that the "less precise" items were also the ones covering a larger portion of the latent space (being more sensitive especially on the lower end of the spectrum), offering an interesting trade-off between sensitivity and precision. Most importantly for our objective, the added "Once or twice" option did cover a selective and unique portion of the latent space.

## Discussion

The fact that the new "Once or twice" response option was the most prevalent response speaks to its usefulness in capturing more accurately participants' expression. The IRT analysis further revealed that this response tracks with precision a unique portion of the variability in the latent factors measured by the instrument. Taken together, our results suggest that adding this option response increases the scale's potential to discriminate average mood levels (which are superior to zero) from lower-end extremes (the true zero).

One natural methodological limitation pertains to the application of the IRT framework to pairs of items. While this is statistically sound (the graded model utilizes the full response pattern information and does not solely rely on the item covariance matrix for parameter estimation), it is important to underline that in our study's context, "the latent anxiety/depression dimension" merely corresponds to the amalgamation of the two items of the anxiety or depression subscale, and not to a more general and independent latent anxiety or depression factor.

In summary, the main take-away of this first study is that the "Once or twice" response option appears as a popular choice, which begs the question of its usefulness in capturing more fine-grained variations of the underlying dimensions as measured by independent tools.

# Study 2

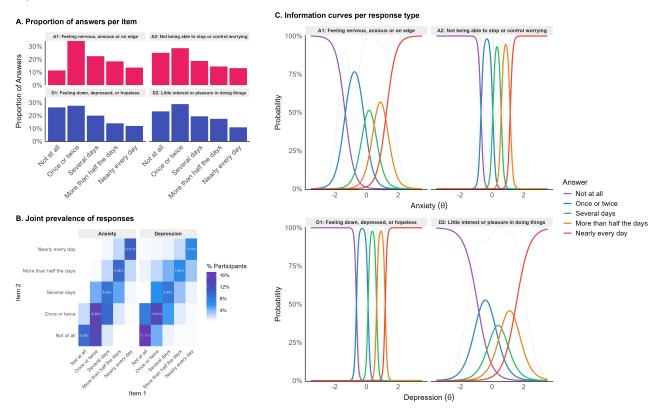
# Method

# **Participants**

The initial sample consisted of 1053 participants, recruited (181 were recruited on *Prolific*, 772 students from the University of Sussex via SONA, and the rest through convenience sampling as part of dissertation students' data collection). We used attention checks as the primary target for participant exclusion. We excluded 194 participants (18.42%) for failing at least one attention check, and 23 (2.18%) that were outliers ( $|z_{robust}| > 2.58$ ) on measures significantly related to the probability of failing attention checks (namely, the standard deviation of all the items of the Interoceptive Accuracy Scale, as well as the the multivariate distance obtained with the OP-TICS algorithm, see Thériault et al., 2024). The experiment duration was not related to the probability of failing attention checks and was thus not used as an exclusion criterion.

# Figure 1

A) Proportion of answers of each type to the four items. B) Prevalence of answer pairs. C) Item Information Curves from IRT showing the coverage by each item and response of the latent dimension. Typically, an optimally informative item would display a large coverage over theta, with each response presenting a narrow coverage (high discrimination between different levels).



The final sample included 836 participants (Mean age<sub>182</sub> =  $25.1 \pm 11.3$  [18, 76]; 73.8% women). This study was<sub>183</sub> approved by the University of Sussex' Ethics Committee<sub>184</sub> (ER/ASF25/4).

In this sample, 51 participants (6.10%) were coded as hav- $^{186}$  ing Depression, as indexed by the self-reported presence of  $^{187}$  MDD together with the use of a treatment (antidepressent,  $^{188}$  anxiolytic and/or therapy), and 87 participants (10.41%) were  $^{189}$  coded as having Anxiety, as indexed by the self-reported pres- $^{190}$  ence of GAD or Panic Disorder, also together with the use of  $^{191}$  a treatment.

#### Measures

Participants were randomly assigned to complete either<sup>195</sup> the original or refined version of the PHQ-4, which included<sub>196</sub> one additional response option ("Once or twice"). To pre-<sub>197</sub> serve comparability with the original PHQ-4 scoring sys-<sub>198</sub> tem and avoid altering the scale's total score range or es-<sub>199</sub> tablished cut-off thresholds, we assigned the new response<sub>200</sub> option a value of 0.5, placing it midway between "Not at<sub>201</sub>

all" (0) and "Several days" (1). While this scoring assumes equal spacing between response options - a common but imperfect convention in ordinal scales - it offers a pragmatic compromise between conceptual fidelity and applied utility.

Beck's Depression Inventory (BDI-II, Beck et al., 1996) was used as a ground truth measure of depressive symptoms. It includes 21 items, each addressing a specific depression symptom and offering four response options scored from 0 to 3. Participants are instructed to select the option that best describes how they have felt over the past two weeks. The total score is calculated by summing the scores for all 21 items, with higher scores indicating greater severity of depressive symptoms.

The short version of the trait subscale of the State-Trait Anxiety Inventory (STAI-5, Zsido et al., 2020) was used as a ground truth measure of anxiety. This abridged version of the STAI (Spielberger, 1970) includes 5 items rated on a 4-point Likert scale. Changes were made in the instructions from asking "how participants feel right now" to "over the

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past 2 weeks" to keep it consistent with the instructions of the<sup>251</sup> PHQ-4 and BDI-II. A general score of anxiety was computed<sup>252</sup> by averaging all the items.

Participants were also asked to complete two question-254 naires of interoception, namely the Interoceptive Accuracy255 Scale (IAS, 21 items rated on analog scales, Murphy et al.,256 2020) and the Multidimensional Assessment of Interoceptive257 Awareness (MAIA-2 - 37 items, Mehling et al., 2018).

After demographic questions, participants were asked to<sup>259</sup> report the current presence of psychiatric issues (from a list),<sup>260</sup> as well as the usage of treatment (antidepressants, mood sta-<sup>261</sup> bilizers, anxiolytics, therapy). We indexed the presence of a<sup>262</sup> depression when participants reported suffering from either Major Depressive Disorder (MDD) or Dysthymia, as well as<sup>263</sup> undergoing a medical treatment. Similarly, we indexed the presence of an anxiety disorder when participants reported<sup>264</sup> suffering from either Generalized Anxiety Disorder (GAD)<sup>265</sup> or Panic Disorder, as well as undergoing a medical treatment.<sup>266</sup>

# Procedure

The original or refined version of the PHQ-4 was followed to the BDI-II, STAI-5, IAS, and MAIA-2, presented in random order. The IAS (Murphy et al., 2020) and the MAIA-2 (Mehling et al., 2018) were included as part of another focused on interoception, and were only used in this study as part of data quality control checks.

# Results

and278 As all analysis the scripts, details at<sup>279</sup> tables are results available open-access https://github.com/DominiqueMakowski/PHQ4R, we will<sup>280</sup> focus on reporting the main results.

# PHQ-4 Depression vs. BDI-II

A linear model testing the interaction effect  $\Delta$  of the refined condition on the intercept (representing by how<sub>285</sub> much the value of the outcome when the PHQ score is 0 changes for the refined version compared the origi-<sub>286</sub> nal) and slope (its increase or decrease by the refined<sub>287</sub> version compared to the original) of the relationship be-<sub>288</sub> tween the PHQ-4 depression score and the BDI-II to-<sub>289</sub> tal score was fitted. The model predicting the BDI-II to-<sub>290</sub> tal score with the PHQ-4 depression score showed no in-<sub>291</sub> teraction related to the PHQ-4 version ( $\Delta$ Intercept<sub>refined</sub> =<sub>292</sub> -0.13, 95% CI [-1.73, 1.47], t(832) = -0.16, p =<sub>293</sub> 0.871;  $\Delta$  $\beta$ <sub>refined</sub> = -0.05, 95% CI [-0.70, 0.60], t(832) =<sub>294</sub> -0.15, p = 0.883), suggesting no differences in the relation-<sub>295</sub> ship pattern between the two versions (see Figure 2).

Moreover, Bayesian *t*-tests (using *BayesFactor*'s<sub>297</sub> ttestBF() function with default priors, Morey & Rouder,<sub>298</sub> 2024) comparing the BDI-II scores between the refined and<sub>299</sub> the original version at each integer score (0, 1, 2, 3) yielded<sub>300</sub>

no evidence in favour of a significant difference (BF > 3). In other words, having the same score on the refined version as on the original version was related to the same outcome on the BDI-II.

However, the low in-between scores from the refined version are overall capturing significantly different levels of depression compared to the adjacent scores. Scoring 0.5 was associated with a higher BDI-II score than scoring 0 (BF > 30), and lower scores than scoring 1 (BF > 30). Similarly, scoring 1.5 was associated with a higher BDI-II score than scoring 1 (BF > 30), but not lower scores than scoring 2 (BF = 0.234).

# PHQ-4 Anxiety vs. STAI-5

The linear regression predicting the STAI-5 general score with the PHQ-4 anxiety score showed no interaction related to the PHQ-4 version ( $\Delta Intercept_{\rm refined} = -0.02$ , 95% CI [-0.15, 0.11], t(832) = -0.32, p = 0.750;  $\Delta \beta_{\rm refined} = 0.01$ , 95% CI [-0.03, 0.05], t(832) = 0.56, p = 0.576), suggesting no differences in the relationship pattern between the two versions.

Moreover, Bayesian *t*-tests comparing the STAI-5 scores between the refined and the original version at each integer score yielded no evidence in favour of a significant difference. In other words, having the same score on the refined version as on the original version was related to the same outcome on the STAI-5.

However, comparing in-between scores with adjacent scores yielded mixed results. Scoring 0.5 on the PHQ-4 anxiety was not significantly associated with a different level of STAI-5 compared to scoring 0 (BF = 1.83), but was with scores of 1 (BF > 30). Similarly, there was no evidence that scoring 1.5 was different from scoring 1 (BF = 0.605), but strong evidence that it was different from scoring 2 (BF > 30).

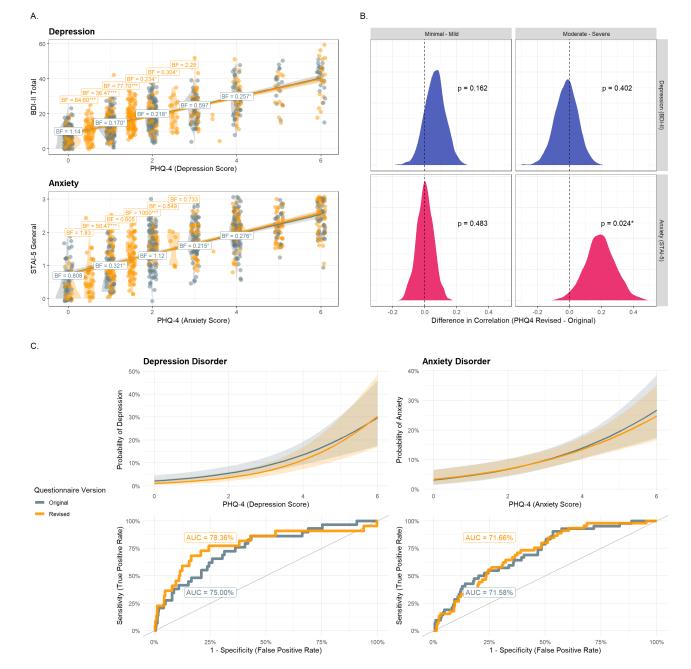
# **Correlation Differences**

While the relationship pattern (i.e., the slope of the linear relationship) was not affected by the PHQ-4 version, we focused next on testing the difference in the strength (i.e., the precision) of the relationship, in particular at the lower end of the spectrum (i.e., for sub-clinical threshold scores of the BDI-II and STAI-5). We bootstrapped (2000 iterations) the difference in correlation between the refined and the original version for each of the two ground-truth measures, separately for the BDI-II subsamples (minimal to mild <= 18; moderate to severe > 18) and the STAI-5 subsamples (minimal to mild < 2; moderate to severe >= 2).

The results suggested that in the subclinical range of the BDI-II, the correlation between its score and the PHQ-4 Depression score was marginally higher (although not significantly,  $p_{one-sided} = 0.164$ ) for the refined version compared

# Figure 2

A) PHQ-4 depression and anxiety scores against their respective ground-truth measures, the BDI-22 and the STAI-5. Bayes factors in grey tell if there is a difference, for the same PHQ-4 score, between the original and the refined version (BFs < 1 suggest no difference and thus evidence for a comparability of the refined version with respect fo the original scale. Bayes factors in yellow represent how new in-between scores (0.5, 1.5, 2.5, ...) available with refined version differ from the adjacent scores (BFs > 3 suggest that half a point of difference on the refined PHQ-4 relates to a significant difference on the ground truth measure). BF <  $1/3^{\circ}$ , BF >  $3^{\circ}$ , BF >  $10^{\circ}$ , BF >  $30^{\circ}$ \*\*. B) Bootstrapped distributions of the difference of correlation between the revised PHQ-4 scores and the original one for sub-clinical threshold scores of depression and anxiety. Positive differences suggest that the correlation between the ground-truth measure and the refined PHQ-4 score was stronger compared to the original version. C) Predictive power of the PHQ-4 scores on the presence of a depression or anxiety disorder. The upper plots show the relationship modelled by a logistic regression, while the above plots represent the ROC curves (in which a line further away from the diagonal represents a higher combination of sensitivity and specificity).



to the original one. No correlation differences were observed<sub>352</sub> in the moderate to severe range of the BDI-II. 353

For the STAI-5, there was no difference in the correlation<sub>354</sub> between the refined and the original version in the subclini-<sub>355</sub> cal range of the STAI-5. Surprisingly, we observed a stronger<sub>356</sub> correlation between the refined PHQ-4 Anxiety score and the<sub>357</sub> STAI-5 in the moderate to severe range compared to the orig-<sub>358</sub> inal version ( $p_{one-sided} = 0.017$ ).

## Predictive Power

Finally, we tested the predictive power of the PHQ-4 de-<sup>362</sup> pression and anxiety scores on the presence of a depression or anxiety disorder, respectively. We modeled the relation-<sup>363</sup> ship with a logistic regression. While the PHQ-4 was overall a strong predictor of the outcome, there was no significant difference between the two PHQ-4 versions.

However, the ROC curves for the refined and the original <sup>366</sup> version of the PHQ-4, suggested that the refined version had a <sup>367</sup> better sensitivity / specificity trade-off (AUC = 78.36%) com-<sup>368</sup> pared to the original version (AUC=75%), in particular on the <sup>369</sup> lower end of the spectrum. The difference was negligible for <sup>370</sup> anxiety.

## Discussion

These results suggest that the new "Once or twice" re-375 sponse option to the PHQ-4 does help capturing more fine-376 grained variations of depressive symptoms, particularly in the 377 subclinical range. Importantly, adding this new response op-378 tion with the scoring of 0.5 does not disrupt the quality of the 379 scale, which scores remain comparable to that of the original 380 version.

The results for the anxiety subscale appear more mixed, 382 with less evident benefits. However, this might have been 383 partly caused by our design decision regarding the question-384 naire used for the ground-truth measure of anxiety. Indeed, 385 we used the abridged version of the STAI, which only in-386 cluded 5 items, arguably limiting the sensitivity of the anxiety measure in the first place.

One of the potential limitations of our study includes the choice of the measures used as external "ground-388 truth" of depression and anxiety, namely the BDI-II and 389 the STAI-5. For instance, there is ongoing debate about the STAI's discriminant validity (which might extend to 390 its short form used in the present study). A recent metanalysis reported that trait anxiety scores were more 391 strongly associated with depressive than anxiety disor-392 ders (Knowles & Olatunji, 2020), suggesting that the scale 393 may rather capture general negative affect than specif-394 ically anxiety. Regarding the BDI-II, existing evidence 395 that suggests its relative lack of sensitivity for low-severity 396 depression (Olino et al., 2012) - comparable to similar in-397 struments of its size (e.g., CES-D), and an improvement 398 over the BDI-I (Wahl et al., 2014) - put into question its 399

choice for our goal of showing increased sub-clinical sensitivity. Thus, future studies should verify these findings with alternative measures of anxiety and depression and clinically assessed populations.

Indeed, although we used a stricter criterion for classifying participants as having a depression or an anxiety disorder by restricting it to participants also reporting undergoing a medical treatment, it was still based on self-reported data. Studies in controlled clinical settings are needed to confirm the potential benefits of the refined PHQ-4 in mood disorders detection accuracy.

## **General Discussion**

The objective of this study was to test the introduction of a "Once or twice" response option to the PHQ-4 to enhance its sensitivity to milder mood fluctuations. In the first study, we showed that the new response option was used prevalently by participants and did capture a unique portion of the depression and anxiety underlying dimensions. In the second study, we showed that the refined version of the PHQ-4 was able to better differentiate lower levels of depression compared to the original version, while remaining comparable. Although the benefits of this refinement appear to be fairly minor, and particularly marked for the depression score compared to anxiety, this low-cost improvement appear useful to implement when measuring depression and anxiety using the PHQ-4 ultra-short screening questionnaire.

While adding granularity to the response format appears useful for the PHQ-4, it is possible that similar benefits could be found with other scales and measures. While the use of a limited, highly discriminating set of response options is understandable for specific applications (e.g., clinical diagnosis), we recommend future studies to investigate response format and its potential improvement for other scales used in online surveys and general population research.

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