

Measuring Depression and Anxiety with 4 items? Adaptation of the PHQ-4 to increase its Sensitivity to Subclinical Variability

The PHQ-4 is an ultra-brief (4 items) screening questionnaire for depression and anxiety. In this brief report, we test the benefits of adding one additional response option (“Once or twice”, in between “Not at all” and “Several days”) to improve the scale’s sensitivity to milder alterations, and thus increase its usefulness in subclinical populations. In study 1 (N=485), we provide evidence using Item Response Theory (IRT) that the new response option does improve the scale’s psychometric quality and extends the sensitivity to the measured constructs on the lower end of the spectrum. In study 2 (N=836), we show that the refined version offers an improved sensitivity to subclinical variability in depression (indexed by the BDI-II) as compared to the original version. In conclusion, adding the “once or twice” response option is a low-cost way of increasing the PHQ-4’s sensitivity to subclinical variability, making it a tool of choice for general population research.

Keywords: PHQ-4, depression, anxiety, brief questionnaire validation, ultra short scale

The Patient Health Questionnaire-4 (PHQ-4) is an ultra brief measurement of core signs of depression and anxiety (Kroenke et al., 2009). It consists of two items for depression (PHQ-2, Kroenke et al., 2003) and anxiety (GAD-2, Kroenke et al., 2007), each corresponding to DSM-5 diagnostic symptoms for major depressive disorder (MDD) and generalized anxiety disorder (GAD). It has been validated across many languages and populations (Christodoulaki et al., 2022; Materu et al., 2020; Mendoza et al., 2022), becoming one of the most popular screening instruments for depression and anxiety (Maurer et al., 2018).

While the scale has been validated and used in the general population and non-clinical samples (Hajek & König, 2020; Löwe et al., 2010), its initial purpose was to reliably discriminate and identify potential MDD/GAD patients. This discriminative goal materializes in the scale’s design and the existence of categorical cut-offs, which does not necessarily entail a focus on the sensitivity to milder mood alterations. In particular, the gap between the two lowest possible answers, “Not at all” and “Several days”, is quite large and possibly leaves out the possibility of more subtle occurrences. While this is not necessarily an issue in clinical and diagnostic contexts, it might lead to a sub-optimal discrimination of affective levels on the lower end of the spectrum, important for instance in the context of subclinical variability quantification.

Enabling a more precise assessment of low-severity alterations is important, as milder symptoms are significant predictors of future clinical disorders and are associated with present functional impairments and reduced quality of life (Cuijpers & Smit, 2004; Judd et al., 1998). In addition, the growing reliance on large-scale, often online, psychological and epidemiological surveys, to monitor population-level mental health, evaluate interventions, or track responses to global stressors such as pandemics

or geopolitical crises, demands tools that are both brief and sensitive to small but meaningful fluctuations.

This brief report aims at testing the possibility of enhancing - with minimal changes to the original scale - the PHQ-4 sensitivity to mild mood level inflections. In the first study, we will evaluate whether the new response option is prevalently used by participants, and whether it does capture a specific part of the construct. In the second study, we will compare the refined PHQ-4 version to the original one in terms of sensitivity to subclinical variability in depression, using the Beck Depression Inventory (BDI-II, Beck et al., 1996) and the Trait scale of the State-Trait Anxiety Inventory (STAI-5, Zsido et al., 2020) as our ground-truth measures of depression and anxiety.

Study 1

Method

Participants

The sample consists of 485 English-speaking participants (Mean age = 30.1 ± 10.1 [18, 73]; 50.3% females) from the general population recruited via *Prolific*, a crowd-sourcing platform recognized for providing high quality data (Peer et al., 2022). The only inclusion criterion was a fluent proficiency in English to ensure that the task instructions would be well-understood. This study was approved by the NTU Institutional Review Board (NTU IRB-2022-187). All participants provided their informed consent prior to participation and were incentivized after completing the study.

Measures

In the original PHQ-4, the instructions “Over the last 2 weeks, how often have you been bothered by the following

problems?” are followed with 4 items (A1 - *Feeling nervous*,¹¹³
 anxious or on edge; A2 - *Not being able to stop or control*¹¹⁴
 worrying; D1 - *Little interest or pleasure in doing things*; D2¹¹⁵
 - *Feeling down, depressed, or hopeless*). The original answer¹¹⁶
 options are “Not at all” (0), “Several days” (1), “More than¹¹⁷
 half the days” (2), “Nearly every day” (3). The total score is¹¹⁸
 computed by summing the responses of each facet resulting¹¹⁹
 in a 0-6 score for depression and anxiety.¹²⁰

For the refined version, we added a “Once or twice” op-
 tion between “Not at all” and “Several days” in order to better¹²¹
 capture potential mild mood inflections (see Dobson & Moth-¹²²
 ersill, 1979 for the choice of the label).¹²³

Procedure¹²⁴

Participants were administered the refined PHQ-4 on¹²⁵
 line as part of another study, which contained additional¹²⁶
 questionnaires and tasks not relevant for the current analy-¹²⁷
 sis. The PHQ-4 was presented in a randomized order with¹²⁸
 other questionnaires. The data is available in open-access at¹²⁹
<https://github.com/RealityBending/IllusionGameReliability>.¹³⁰

Results¹³¹

The analysis was carried out using *R* 4.4 (R Core¹³²
 Team, 2023), the *tidyverse* (Wickham et al., 2019), and¹³³
 the *easystats* collection of packages (Lüdtke et al., 2019,¹³⁴
 2020, 2021; Patil et al., 2022). All reproducible scripts¹³⁵
 and complimentary analyses are available open-access at¹³⁶
<https://github.com/DominiqueMakowski/PHQ4R>.¹³⁷

Descriptive Statistics¹³⁸

The consistency of the anxiety (*Cronbach's* $\alpha = 0.903$)¹³⁹
 and depression (*Cronbach's* $\alpha = 0.841$) subscales is excel-¹⁴⁰
 lent (**responses were treated as ordinal**). The proportion of¹⁴¹
 response types stratified by item (see Figure 1) shows that the¹⁴²
 new “Once or twice” option was the most prevalent response¹⁴³
 for all items (on average selected in 29.12% of cases).¹⁴⁴

Item Response Theory¹⁴⁵

Item Response Theory (IRT) provides insights into how¹⁴⁶
 well items and responses capture an underlying latent trait θ .¹⁴⁷
 For each of the subscales, we fitted a unidimensional graded¹⁴⁸
 response model (GRM, Samejima, 1997). For anxiety, the la-¹⁴⁹
 tent anxiety dimension ($\theta_{anxiety}$) captured 89.2% of the total¹⁵⁰
 variance across the two items (RMSEA = 0.031). The dis-¹⁵¹
 crimination parameters suggested that the first item was less¹⁵²
 precise ($\alpha = 3.42$) than the second item ($\alpha = 12.55$) in its¹⁵³
 ability to discriminate between various levels of anxiety (i.e.,¹⁵⁴
 each response on the second item covers a more exclusive¹⁵⁵
 range of $\theta_{anxiety}$, as can be seen in Figure 1). The latent¹⁵⁶
 depression trait ($\theta_{depression}$) The two depression items cap-¹⁵⁷
 tured 82.8% of the total variance across the two items (RM-¹⁵⁸
 SEA = 0.044), and the opposite pattern was found: the first¹⁵⁹
 item had a higher precision ($\alpha = 16.46$) than the second¹⁶⁰

item had a higher precision ($\alpha = 16.46$) than the second
 ($\alpha = 2.41$). However, it is important to note that the “less
 precise” items were also the ones covering a larger portion
 of the latent space (being more sensitive especially on the
 lower end of the spectrum), offering an interesting trade-off
 between sensitivity and precision. Most importantly for our
 objective, the added “Once or twice” option did cover a se-
 lective and unique portion of the latent space.

Discussion¹⁶¹

The fact that the new “Once or twice” response option was
 the most prevalent response speaks to its usefulness in captur-
 ing more accurately participants’ expression. The IRT anal-
 ysis further revealed that this response tracks with precision
 a unique portion of the variability in the latent factors mea-
 sured by the instrument. Taken together, our results suggest
 that adding this option response increases the scale’s poten-
 tial to discriminate average mood levels (which are superior
 to zero) from lower-end extremes (the true zero).

**One natural methodological limitation pertains to the
 application of the IRT framework to pairs of items. While
 this is statistically sound (the graded model utilizes the full
 response pattern information and does not solely rely on
 the item covariance matrix for parameter estimation), it
 is important to underline that in our study’s context, “the
 latent anxiety/depression dimension” merely corresponds
 to the amalgamation of the two items of the anxiety or de-
 pression subscale, and not to a more general and indepen-
 dent latent anxiety or depression factor.**

**In summary, the main take-away of this first study is
 that the “Once or twice” response option appears as a
 popular choice, which begs the question of its usefulness in
 capturing more fine-grained variations of the underlying
 dimensions as measured by independent tools.**

Study 2¹⁶²

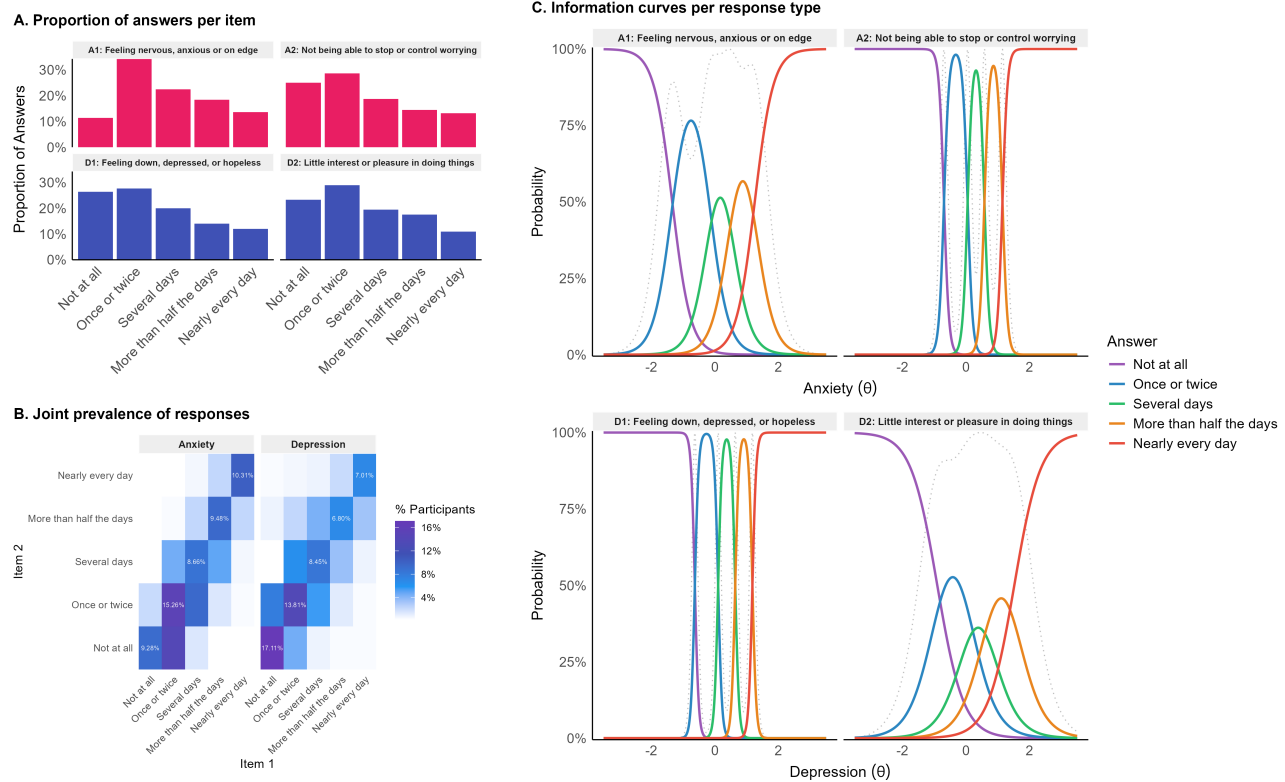
Method¹⁶³

Participants¹⁶⁴

The initial sample consisted of 1053 participants, recruited
 (181 were recruited on *Prolific*, 772 students from the Uni-
 versity of Sussex via *SONA*, and the rest through convenience
 sampling as part of dissertation students’ data collection). We
 used attention checks as the primary target for participant ex-
 clusion. We excluded 194 participants (18.42%) for failing at
 least one attention check, and 23 (2.18%) that were outliers
 ($|z_{robust}| > 2.58$) on measures significantly related to the
 probability of failing attention checks (namely, the standard
 deviation of all the items of the Interoceptive Accuracy Scale,
 as well as the the multivariate distance obtained with the OP-
 TICS algorithm, see Thériault et al., 2024). The experiment
 duration was not related to the probability of failing attention
 checks and was thus not used as an exclusion criterion.

Figure 1

A) Proportion of answers of each type to the four items. B) Prevalence of answer pairs. C) Item Information Curves from IRT showing the coverage by each item and response of the latent dimension. Typically, an optimally informative item would display a large coverage over theta, with each response presenting a narrow coverage (high discrimination between different levels).



The final sample included 836 participants (Mean age = 25.1 ± 11.3 [18, 76]; 73.8% women). This study was approved by the University of Sussex' Ethics Committee (ER/ASF25/4).

In this sample, 51 participants (6.10%) were coded as having Depression, as indexed by the self-reported presence of MDD together with the use of a treatment (antidepressant, anxiolytic and/or therapy), and 87 participants (10.41%) were coded as having Anxiety, as indexed by the self-reported presence of GAD or Panic Disorder, also together with the use of a treatment.

Measures

Participants were randomly assigned to complete either the original or refined version of the PHQ-4, which included one additional response option ("Once or twice"). To preserve comparability with the original PHQ-4 scoring system and avoid altering the scale's total score range or established cut-off thresholds, we assigned the new response option a value of 0.5, placing it midway between "Not at

all" (0) and "Several days" (1). While this scoring assumes equal spacing between response options - a common but imperfect convention in ordinal scales - it offers a pragmatic compromise between conceptual fidelity and applied utility.

Beck's Depression Inventory (BDI-II, Beck et al., 1996) was used as a ground truth measure of depressive symptoms. It includes 21 items, each addressing a specific depression symptom and offering four response options scored from 0 to 3. Participants are instructed to select the option that best describes how they have felt over the past two weeks. The total score is calculated by summing the scores for all 21 items, with higher scores indicating greater severity of depressive symptoms.

The short version of the trait subscale of the State-Trait Anxiety Inventory (STAI-5, Zsido et al., 2020) was used as a ground truth measure of anxiety. This abridged version of the STAI (Spielberger, 1970) includes 5 items rated on a 4-point Likert scale. Changes were made in the instructions from asking "how participants feel right now" to "over the

past 2 weeks” to keep it consistent with the instructions of the PHQ-4 and BDI-II. A general score of anxiety was computed by averaging all the items.

Participants were also asked to complete two questionnaires of interoception, namely the Interoceptive Accuracy Scale (IAS, 21 items rated on analog scales, Murphy et al., 2020) and the Multidimensional Assessment of Interoceptive Awareness (MAIA-2 - 37 items, Mehling et al., 2018).

After demographic questions, participants were asked to report the current presence of psychiatric issues (from a list) as well as the usage of treatment (antidepressants, mood stabilizers, anxiolytics, therapy). We indexed the presence of depression when participants reported suffering from either Major Depressive Disorder (MDD) or Dysthymia, as well as undergoing a medical treatment. Similarly, we indexed the presence of an anxiety disorder when participants reported suffering from either Generalized Anxiety Disorder (GAD) or Panic Disorder, as well as undergoing a medical treatment.

Procedure

The original or refined version of the PHQ-4 was followed by the BDI-II, STAI-5, IAS, and MAIA-2, presented in random order. The IAS (Murphy et al., 2020) and the MAIA-2 (Mehling et al., 2018) were included as part of another focused on interoception, and were only used in this study as part of data quality control checks.

Results

As all the scripts, analysis details and results tables are available open-access at <https://github.com/DominiqueMakowski/PHQ4R>, we will focus on reporting the main results.

PHQ-4 Depression vs. BDI-II

A linear model testing the interaction effect Δ of the refined condition on the intercept (representing by how much the value of the outcome when the PHQ score is 0 changes for the refined version compared the original) and slope (its increase or decrease by the refined version compared to the original) of the relationship between the PHQ-4 depression score and the BDI-II total score was fitted. The model predicting the BDI-II total score with the PHQ-4 depression score showed no interaction related to the PHQ-4 version ($\Delta \text{Intercept}_{\text{refined}} = -0.13$, 95% *CI* $[-1.73, 1.47]$, $t(832) = -0.16$, $p = 0.871$; $\Delta \beta_{\text{refined}} = -0.05$, 95% *CI* $[-0.70, 0.60]$, $t(832) = -0.15$, $p = 0.883$), suggesting no differences in the relationship pattern between the two versions (see Figure 2).

Moreover, Bayesian t -tests (using *BayesFactor*’s `ttestBF()` function with default priors, Morey & Rouder, 2024) comparing the BDI-II scores between the refined and the original version at each integer score (0, 1, 2, 3) yielded

no evidence in favour of a significant difference ($\text{BF} > 3$). In other words, having the same score on the refined version as on the original version was related to the same outcome on the BDI-II.

However, the low in-between scores from the refined version are overall capturing significantly different levels of depression compared to the adjacent scores. Scoring 0.5 was associated with a higher BDI-II score than scoring 0 ($\text{BF} > 30$), and lower scores than scoring 1 ($\text{BF} > 30$). Similarly, scoring 1.5 was associated with a higher BDI-II score than scoring 1 ($\text{BF} > 30$), but not lower scores than scoring 2 ($\text{BF} = 0.234$).

PHQ-4 Anxiety vs. STAI-5

The linear regression predicting the STAI-5 general score with the PHQ-4 anxiety score showed no interaction related to the PHQ-4 version ($\Delta \text{Intercept}_{\text{refined}} = -0.02$, 95% *CI* $[-0.15, 0.11]$, $t(832) = -0.32$, $p = 0.750$; $\Delta \beta_{\text{refined}} = 0.01$, 95% *CI* $[-0.03, 0.05]$, $t(832) = 0.56$, $p = 0.576$), suggesting no differences in the relationship pattern between the two versions.

Moreover, Bayesian t -tests comparing the STAI-5 scores between the refined and the original version at each integer score yielded no evidence in favour of a significant difference. In other words, having the same score on the refined version as on the original version was related to the same outcome on the STAI-5.

However, comparing in-between scores with adjacent scores yielded mixed results. Scoring 0.5 on the PHQ-4 anxiety was not significantly associated with a different level of STAI-5 compared to scoring 0 ($\text{BF} = 1.83$), but was with scores of 1 ($\text{BF} > 30$). Similarly, there was no evidence that scoring 1.5 was different from scoring 1 ($\text{BF} = 0.605$), but strong evidence that it was different from scoring 2 ($\text{BF} > 30$).

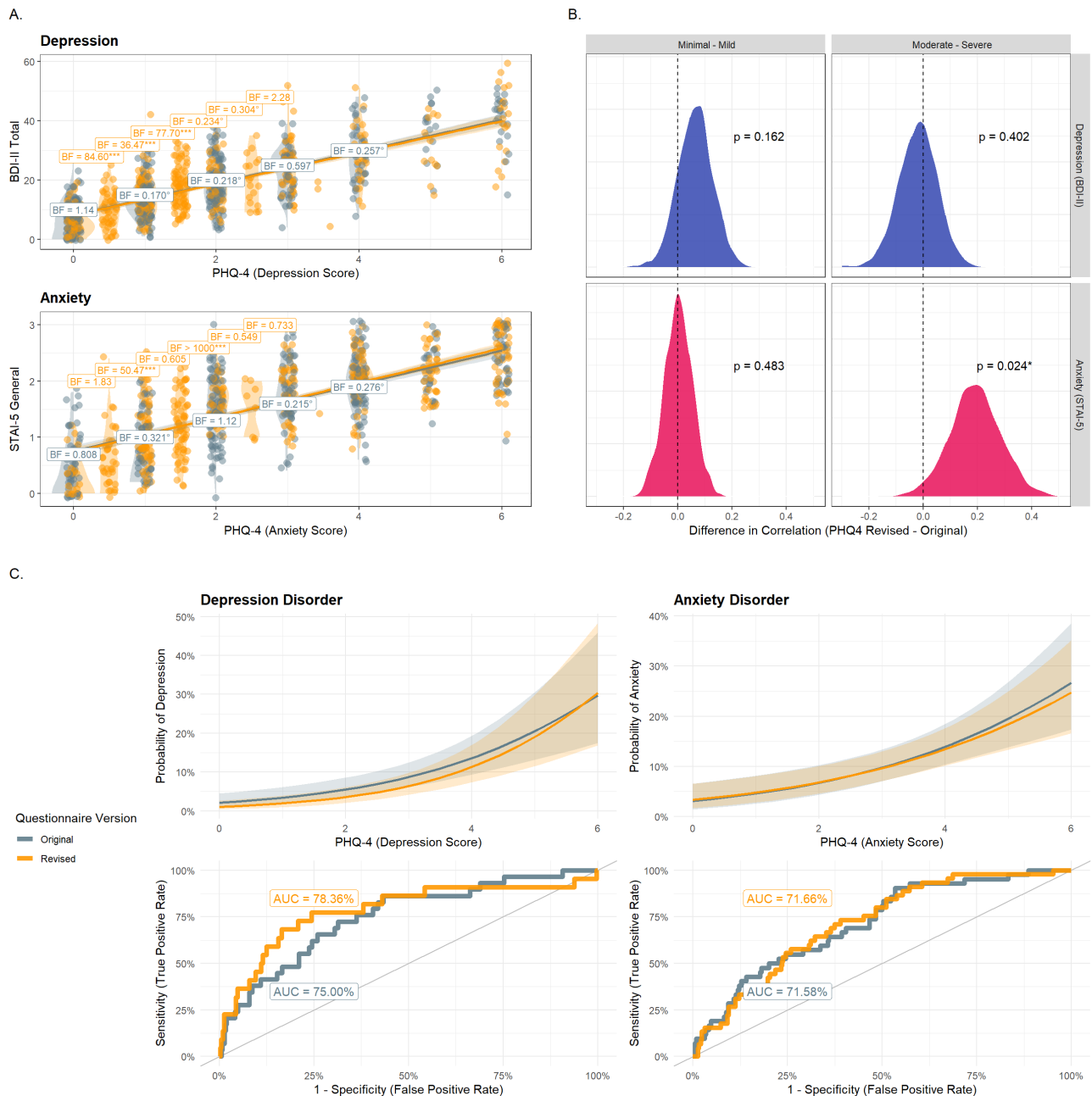
Correlation Differences

While the relationship pattern (i.e., the slope of the linear relationship) was not affected by the PHQ-4 version, we focused next on testing the difference in the strength (i.e., the precision) of the relationship, in particular at the lower end of the spectrum (i.e., for sub-clinical threshold scores of the BDI-II and STAI-5). We bootstrapped (2000 iterations) the difference in correlation between the refined and the original version for each of the two ground-truth measures, separately for the BDI-II subsamples (minimal to mild ≤ 18 ; moderate to severe > 18) and the STAI-5 subsamples (minimal to mild < 2 ; moderate to severe ≥ 2).

The results suggested that in the subclinical range of the BDI-II, the correlation between its score and the PHQ-4 Depression score was marginally higher (although not significantly, $p_{\text{one-sided}} = 0.164$) for the refined version compared

Figure 2

A) PHQ-4 depression and anxiety scores against their respective ground-truth measures, the BDI-22 and the STAI-5. Bayes factors in grey tell if there is a difference, for the same PHQ-4 score, between the original and the refined version ($BFs < 1$ suggest no difference and thus evidence for a comparability of the refined version with respect to the original scale. Bayes factors in yellow represent how new in-between scores (0.5, 1.5, 2.5, ...) available with refined version differ from the adjacent scores ($BFs > 3$ suggest that half a point of difference on the refined PHQ-4 relates to a significant difference on the ground truth measure). $BF < 1/3^\circ$, $BF > 3^*$, $BF > 10^{**}$, $BF > 30^{***}$. B) Bootstrapped distributions of the difference of correlation between the revised PHQ-4 scores and the original one for sub-clinical threshold scores of depression and anxiety. Positive differences suggest that the correlation between the ground-truth measure and the refined PHQ-4 score was stronger compared to the original version. C) Predictive power of the PHQ-4 scores on the presence of a depression or anxiety disorder. The upper plots show the relationship modelled by a logistic regression, while the above plots represent the ROC curves (in which a line further away from the diagonal represents a higher combination of sensitivity and specificity).



to the original one. No correlation differences were observed in the moderate to severe range of the BDI-II.

For the STAI-5, there was no difference in the correlation between the refined and the original version in the subclinical range of the STAI-5. Surprisingly, we observed a stronger correlation between the refined PHQ-4 Anxiety score and the STAI-5 in the moderate to severe range compared to the original version ($p_{one-sided} = 0.017$).

Predictive Power

Finally, we tested the predictive power of the PHQ-4 depression and anxiety scores on the presence of a depression or anxiety disorder, respectively. We modeled the relationship with a logistic regression. While the PHQ-4 was overall a strong predictor of the outcome, there was no significant difference between the two PHQ-4 versions.

However, the ROC curves for the refined and the original version of the PHQ-4, suggested that the refined version had a better sensitivity / specificity trade-off (AUC = 78.36%) compared to the original version (AUC=75%), in particular on the lower end of the spectrum. The difference was negligible for anxiety.

Discussion

These results suggest that the new “Once or twice” response option to the PHQ-4 does help capturing more fine-grained variations of depressive symptoms, particularly in the subclinical range. Importantly, adding this new response option with the scoring of 0.5 does not disrupt the quality of the scale, which scores remain comparable to that of the original version.

The results for the anxiety subscale appear more mixed, with less evident benefits. However, this might have been partly caused by our design decision regarding the questionnaire used for the ground-truth measure of anxiety. Indeed, we used the abridged version of the STAI, which only included 5 items, arguably limiting the sensitivity of the anxiety measure in the first place.

One of the potential limitations of our study includes the choice of the measures used as external “ground-truth” of depression and anxiety, namely the BDI-II and the STAI-5. For instance, there is ongoing debate about the STAI’s discriminant validity (which might extend to its short form used in the present study). A recent meta-analysis reported that trait anxiety scores were more strongly associated with depressive than anxiety disorders (Knowles & Olatunji, 2020), suggesting that the scale may rather capture general negative affect than specifically anxiety. Regarding the BDI-II, existing evidence that suggests its relative lack of sensitivity for low-severity depression (Olinio et al., 2012) - comparable to similar instruments of its size (e.g., CES-D), and an improvement over the BDI-I (Wahl et al., 2014) - put into question its

choice for our goal of showing increased sub-clinical sensitivity. Thus, future studies should verify these findings with alternative measures of anxiety and depression and clinically assessed populations.

Indeed, although we used a stricter criterion for classifying participants as having a depression or an anxiety disorder by restricting it to participants also reporting undergoing a medical treatment, it was still based on self-reported data. Studies in controlled clinical settings are needed to confirm the potential benefits of the refined PHQ-4 in mood disorders detection accuracy.

General Discussion

The objective of this study was to test the introduction of a “Once or twice” response option to the PHQ-4 to enhance its sensitivity to milder mood fluctuations. In the first study, we showed that the new response option was used prevalently by participants and did capture a unique portion of the depression and anxiety underlying dimensions. In the second study, we showed that the refined version of the PHQ-4 was able to better differentiate lower levels of depression compared to the original version, while remaining comparable. Although the benefits of this refinement appear to be fairly minor, and particularly marked for the depression score compared to anxiety, this low-cost improvement appear useful to implement when measuring depression and anxiety using the PHQ-4 ultra-short screening questionnaire.

While adding granularity to the response format appears useful for the PHQ-4, it is possible that similar benefits could be found with other scales and measures. While the use of a limited, highly discriminating set of response options is understandable for specific applications (e.g., clinical diagnosis), we recommend future studies to investigate response format and its potential improvement for other scales used in online surveys and general population research.

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