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# Lecture17

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# Goal

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- Application to a specific system

- At the steady-state, the lowest expansion reads

$$\frac{\partial}{\partial x} \frac{1}{c_1} v Z d H f_1(x, H) Y_{1,0,1} = Z d H \hat{S}_0$$

$$v Z d H \frac{\partial}{\partial x} \frac{1}{c_1} f_0(x, H) Y_{0,1,1} = Z d H \hat{S}_1$$

- Today, we will apply it to a specific system: Elastic scattering + parabolic band structure

# Scattering (1)

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- General relation
  - For a scattering whose energy transfer is  $\Delta E$ , the scattering term is given by

$$\hat{S} = -\frac{1}{(2\pi)^2} \iint S \delta(\epsilon(k, \phi) + \Delta E - \epsilon(k', \phi')) (1 - f(x, k', \phi')) f(x, k, \phi) k' dk' d\phi' \\ + \frac{1}{(2\pi)^2} \iint S \delta(\epsilon(k, \phi) - \epsilon(k', \phi') - \Delta E) (1 - f(x, k, \phi)) f(x, k', \phi') k' dk' d\phi'$$

- First integral: Out-scattering, from  $(k, \phi)$  to  $(k', \phi')$
- Second integral: In-scattering, from  $(k', \phi')$  to  $(k, \phi)$

# Scattering (2)

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- Expanded form

$$\begin{aligned} ZdH\hat{S}_0 &= -dHSZ(\epsilon)Z(\epsilon + \Delta E) \frac{1}{c_0} \left( \frac{1}{c_0} - f_0(x, \epsilon + \Delta E) \right) f_0(x, \epsilon) \\ &\quad + dHSZ(\epsilon)Z(\epsilon - \Delta E) \frac{1}{c_0} f_0(x, \epsilon - \Delta E) \left( \frac{1}{c_0} - f_0(x, \epsilon) \right) \\ ZdH\hat{S}_1 &= -dHSZ(\epsilon)Z(\epsilon + \Delta E) \frac{1}{c_0} \left( \frac{1}{c_0} - f_0(x, \epsilon + \Delta E) \right) f_1(x, \epsilon) \\ &\quad - dHSZ(\epsilon)Z(\epsilon - \Delta E) \frac{1}{c_0} f_0(x, \epsilon - \Delta E) f_1(x, \epsilon) \end{aligned}$$

# Scattering (3)

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- Without the Pauli principle

$$\begin{aligned} ZdH\hat{S}_0 &= -dHSZ(\epsilon)Z(\epsilon + \Delta E)\frac{1}{c_0^2}f_0(x, \epsilon) \\ &\quad + dHSZ(\epsilon)Z(\epsilon - \Delta E)\frac{1}{c_0^2}f_0(x, \epsilon - \Delta E) \\ ZdH\hat{S}_1 &= -dHSZ(\epsilon)Z(\epsilon + \Delta E)\frac{1}{c_0^2}f_1(x, \epsilon) \end{aligned}$$

- It is noted that the Pauli principle is necessary in many practical cases.

# Elastic scattering

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- Let us assume that  $\Delta E \rightarrow 0$ .

- In this case,

$$ZdH\hat{S}_0 = 0$$

$$ZdH\hat{S}_1 = -dHSZ(\epsilon)Z(\epsilon)\frac{1}{c_0^2}f_1(x, \epsilon)$$

- In terms of the relaxation time,  $\tau$ ,

$$ZdH\hat{S}_1 = -dHSZ(\epsilon)Z(\epsilon)\frac{1}{c_0^2}f_1(x, \epsilon) = ZdH\left(-\frac{f_1}{\tau}\right)$$

where  $\frac{1}{\tau} = SZ\frac{1}{c_0^2}$ . In general, it can be a function of the energy.

# Elastic scattering only

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- Simplified equations

- Using the relaxation time approximation,

$$\frac{\partial}{\partial x} \frac{1}{c_1} v Z dH f_1(x, H) Y_{1,0,1} = 0$$
$$v Z dH \frac{\partial}{\partial x} \frac{1}{c_1} f_0(x, H) Y_{0,1,1} = Z dH \left( -\frac{f_1(x, H)}{\tau} \right)$$

- The second equation reveals that

$$-\tau v \frac{\partial}{\partial x} \frac{1}{c_1} f_0(x, H) Y_{0,1,1} = f_1(x, H)$$

- By using the above equation,  $f_1$  can be eliminated.

# Elastic scattering only

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- Second-order equation
  - After  $f_1$  is eliminated, the equation for  $f_0$  reads (Many constants are removed out.)

$$\frac{\partial}{\partial x} \left[ \tau v^2 Z \frac{\partial}{\partial x} f_0(x, H) \right] = 0$$



# Band structure

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- Parabolic band structure

- When we assume  $\epsilon = \frac{\hbar^2}{2m} k^2$ ,  $v = \sqrt{\frac{2\epsilon}{m}}$ .

- Since  $Z = \frac{1}{(2\pi)^2} \frac{k}{v}$ , we have  $vZ = \frac{k}{(2\pi)^2} = \frac{1}{(2\pi)^2 \hbar} \sqrt{2m\epsilon}$ .

- Then,  $\tau v^2 Z = \tau \frac{2\epsilon}{(2\pi)^2}$ .

- Under the constant relaxation time, (Constants are removed again.)

$$\frac{\partial}{\partial x} \left[ (H + qV) \frac{\partial}{\partial x} f_0(x, H) \right] = 0$$

# Goal

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- Implementation

- For a parabolic band system only with an elastic scattering, the Boltzmann transport equation can be written as

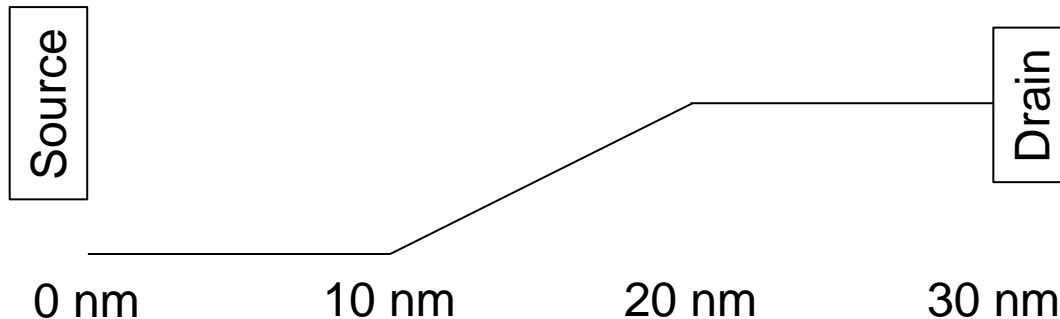
$$\frac{\partial}{\partial x} \left[ (H + qV) \frac{\partial}{\partial x} f_0(x, H) \right] = 0$$

- Today, we will solve the above equation.

# Structure

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- Consider a 30-nm-long structure.
  - From 0 nm to 10 nm,  $V$  vanishes.
  - From 10 nm to 20 nm,  $V$  increases linearly.
  - From 20 nm to 30 nm,  $V = V_D > 0$ .
  - The potential profile looks like:



# Boundary condition

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- We must specify  $f_0$  at both ends.

- At 0 nm, we assume that

$$f_0(0, H) = \sqrt{2\pi} \frac{1}{1 + \exp\left(\frac{H}{k_B T}\right)}$$

- At 30 nm, where  $V = V_D > 0$ , we assume that

$$f_0(30\text{nm}, H) = \sqrt{2\pi} \frac{1}{1 + \exp\left(\frac{H + qV_D}{k_B T}\right)}$$

# Cases

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- When  $H$  is smaller than  $-qV_D$ ,
  - There is no available state at all.
- When  $H$  is larger than  $-qV_D$ , but smaller than 0,
  - No connection to the source terminal
- Therefore, we will consider only  $H > 0$ .
- Energy diagram



# Discretization

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- Integrated around  $x = x_i$

- Now we have

$$(H + qV_{i+0.5}) \frac{f_0(x_{i+1}, H) - f_0(x_i, H)}{\Delta x} - (H + qV_{i-0.5}) \frac{f_0(x_i, H) - f_0(x_{i-1}, H)}{\Delta x} = 0$$

- Of course,  $\Delta x$  can be easily removed out.
  - Coefficient for  $f_0(x_{i+1}, H)$ :  $H + qV_{i+0.5}$
  - Coefficient for  $f_0(x_i, H)$ :  $-2H - qV_{i+0.5} - qV_{i-0.5}$
  - Coefficient for  $f_0(x_{i-1}, H)$ :  $H + qV_{i-0.5}$

# MATLAB example (1)

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- In this example, 300 intervals are introduced.

- Constants are defined.

```
q = 1.602192e-19; % Elementary charge, C
```

```
k_B = 1.380662e-23; % Boltzmann constant, J/K
```

```
T = 300.0; % Temperature, K
```

- First, set  $H$  and  $V_D$ .

```
H = 0.1; % (eV)
```

```
VD = 0.001; % (V)
```

- Next, set the number of points.

```
N = 301;
```

```
interface1 = 101;
```

```
interface2 = 201;
```

# MATLAB example (2)

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- Preparation of some quantities
  - The boundary values are calculated.

```
fs = sqrt(2*pi)/(1 + exp(q*H/(k_B*T)));
```

```
fd = sqrt(2*pi)/(1 + exp(q*(H+VD)/(k_B*T)));
```

- For that purpose,  $V$  is prepared.

```
V = zeros(N,1);
```

```
V(interface1:interface2,1) = [0:1/(interface2-interface1):1]*VD;
```

```
V(interface2:N,1) = VD;
```



# MATLAB example (3)

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- Then, the matrix is constructed.
  - Like the Poisson equation,

```
A = zeros(N,N);  
A(1,1) = 1.0;  
for ii=2:N-1  
    c1 = H + 0.5*(V(ii,1)+V(ii-1,1));  
    c2 = H + 0.5*(V(ii+1,1)+V(ii,1));  
    A(ii,ii-1) = c1; A(ii,ii) = -c1-c2; A(ii,ii+1) = c2;  
end  
A(N,N) = 1.0;
```

# MATLAB example (4)

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- The boundary condition is imposed.
  - For that purpose,  $V$  is prepared.

```
b = zeros(N,1);
```

```
b(1,1) = fs;
```

```
b(N,1) = fd;
```

- Now solve it.

```
f0 = A \ b;
```