# A CFL-Reachability Formulation of Callsite-Sensitive Pointer Analysis with Built-in On-the-Fly Call Graph Construction

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For object-oriented languages, the traditional CFL-reachability formulation for k-callsite-sensitive pointer analysis (kCFA) models field accesses and calling contexts only, but relies on a separate algorithm for performing call graph construction. This may cause kCFA to lose precision even if it uses the most precise call graph for a program, built in advance or on the fly. In addition, any analysis that reasons about CFL-reachability (based on this formulation) may make optimization decisions that reduce the precision of kCFA (among others) as it is disconnected to the value-flow paths traversed by the call graph construction algorithm. As the primary contribution of this work, we overcome these two limitations by presenting the first CFL-reachability formulation of kCFA for Java with built-in on-the-fly call graph construction (as part of the same CFL-reachability formulation). As the secondary contribution of this work, we demonstrate its utility by presenting the first precision-preserving pre-analysis for accelerating kCFA with selective context-sensitivity.

CCS Concepts:  $\bullet$  Theory of computation  $\rightarrow$  Program analysis.

Additional Key Words and Phrases: Pointer Analysis, CFL Reachability, Call Graph Construction

ACM Reference Format:

## 1 Introduction

Pointer analysis underpins almost all forms of other static analyses. Some representative applications include program understanding, program verification, bug detection, security analysis, compiler optimization, and symbolic execution. For object-oriented programs, context-sensitive pointer analyses are the most common class of precise pointer analyses [20, 28, 52, 57]. Broadly speaking, there are two representative abstractions for context-sensitivity: (1) k-callsite-sensitivity [51], which distinguishes the contexts of a method by its k-most-recent callsites, and (2) k-object-sensitivity [40, 41], which distinguishes the contexts of a method by its receiver's k-most-recent allocation sites. In the past two decades, both abstractions have been widely used in whole-program pointer analyses [5, 42, 61], with object-sensitivity being often preferred over callsite-sensitivity in terms of the precision/efficiency tradeoff obtained. However, a recent study [21] suggests that the converse can be true when the traditional k-limiting approach is relaxed. In the case of demand-driven

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pointer analyses, however, call site-sensitivity has been exclusively used [50, 54, 63] without k-limiting since on-demand points-to queries can be answered subject to a per-query time budget.

 In this paper, we introduce the first CFL-reachability formulation of callsite-sensitive pointer analysis for object-oriented languages. Here, CFL stands for context-free language. We restrict ourselves to Java, since the underlying basic principle applies also to other object-oriented languages.

Traditionally, k-callsite-sensitive pointer analysis (abbreviated to kCFA (Control-Flow Analysis) [51]) for Java is either inclusion-based [1] or founded on CFL reachability [46].

On one hand, Andersen-style inclusion-based formulation for kCFA [23, 59] has been adopted in several pointer analysis frameworks for Java [5, 18, 42, 60, 61]. Given a program, its statements are modeled as points-to set constraints, its methods' calling contexts (abstracted by their last k callsites) are tracked by parameterizing these constraints with context abstractions, and its call graph is often constructed on the fly in order to achieve the best precision and efficiency possible [12, 28, 29, 49, 52].

On the other hand, the CFL-reachability formulation for kCFA [54] has also been used extensively in understanding and developing a wide range of pointer analysis algorithms, such as demand-driven pointer/alias analysis [50, 54, 55, 63, 65], context transformations [59], specification inference [3], library-code summarization [50, 58], information flow analysis [32, 39], and selective context-sensitivity [30, 36]. Given a program, its points-to information is computed by solving a graph reachability problem over a so-called pointer assignment graph (PAG) [28]. Such a CFL-reachability formulation consists of reasoning about the intersection of two CFLs,  $L_{FC} = L_F \cap L_C$ , where  $L_F$  describes field accesses as balanced parentheses and  $L_C$  enforces callsite-sensitivity by matching method calls and returns as also balanced parentheses [54]. However, a separate algorithm, which is formulated outside  $L_{FC}$ , is used for call graph construction (as described in Sec. 2).

Compared with Andersen-style inclusion-based formulation, this  $L_{FC}$ -based CFL-reachability formulation for specifying kCFA suffers from two major limitations due to the lack of a built-in call graph construction mechanism. First, kCFA may lose precision even if it uses the most precise call graph for a program (built in advance or on the fly). Second, any analysis that reasons about CFL-reachability in terms of  $L_{FC}$  will fail to make a connection with the value-flow paths traversed by a separate call graph construction algorithm used, and consequently, may make optimization decisions that reduce the precision of kCFA (among others).

In this paper, as the primary contribution of this research, we overcome these two limitations by introducing a CFL-reachability formulation of kCFA for Java with built-in on-the-fly call graph construction (as part of the same CFL-reachability formulation). Adapting the previous formulation used in object-sensitive pointer analysis [35, 37] to our approach poses significant challenges and complexities, as discussed in Section 3.2.1.2 and further explored in Section 5. Furthermore, an earlier attempt to address the same problem, presented in Sridharan's PhD thesis [53], falls short in providing a comprehensive solution, as will be discussed in detail in Section 5. Our formulation consists of reasoning about the intersection of three CFLs,  $L_{DCR} = L_D \cap L_C \cap L_R$ , over a new PAG representation for a Java program, where  $L_D$  specifies not only field accesses as in  $L_F$  but also dynamic method dispatch,  $L_C$  enforces callsite-sensitivity exactly as before [54], and  $L_R$  supports parameter passing in the presence of built-in on-the-fly call graph construction. Theoretically, we present a novel insight by demonstrating for the first time that callsite-sensitive pointer analysis can be formulated as a particular type of context-sensitive language. Specifically, we express it as the intersection

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of multiple CFLs. It is important to note that not all context-sensitive languages can be expressed in this manner [27, 34], highlighting the uniqueness of our approach. We will discuss several challenges faced in designing  $L_{DCR}$  and provide some insights for understanding our formulation. Note that the Melski-Reps reduction [38] cannot be used to convert Andersenstyle inclusion-based formulation for kCFA into  $L_{DCR}$  since  $L_{DCR}$  is the intersection of three different CFLs rather than just one single CFL.

 $L_{DCR}$  can be applied to all the applications that benefit from  $L_{FC}$ . By formulating kCFA (with built-in on-the-fly callgraph construction) in terms of CFL-reachability,  $L_{DCR}$  can be potentially more useful than  $L_{FC}$  for several recently-studied CFL-reachability-based analyses: specification inference [3], library-code summarization [50, 58], information flow analysis [32, 39], and selective context-sensitivity [30, 36]. To demonstrate its utility, as the secondary contribution of this research, we introduce the first  $L_{DCR}$ -enabled precision-preserving preanalysis for accelerating kCFA for Java with selective context-sensitivity, which also serves to validate the correctness of  $L_{DCR}$ . In contrast, a recently proposed pre-analysis [36] will always lose precision as it is developed based on  $L_{FC}$  [54].

In summary, this paper makes the following two contributions:

- Our primary contribution is to introduce a CFL-reachability formulation  $L_{DCR}$  of kCFA for object-oriented languages with on-the-fly call graph construction being built into the formulation itself (rather than done by a separate call graph construction algorithm), demonstrating for the first time that callsite-sensitive pointer analysis represents a special kind of context-sensitive language that can be expressed by the intersections of several CFLs.
- Our secondary contribution is to introduce an L<sub>DCR</sub>-enabled precision-preserving preanalysis for accelerating kCFA for object-oriented languages with selective contextsensitivity. Compared with two state-of-the-art pre-analyses [30, 36], our pre-analysis enables better efficiency-precision trade-offs to be made in several application scenarios outlined.

The rest of this paper is organized as follows. Sec. 2 provides some background knowledge and motivates the development of  $L_{DCR}$  by highlighting several challenges faced in its design. Sec. 3 introduces  $L_{DCR}$  by explaining how we address these challenges and providing some insights in understanding its design. Sec. 4 introduces and evaluates our  $L_{DCR}$ -enabled preanalysis for accelerating kCFA. Sec. 5 discusses the related work. Sec. 6 concludes the paper.

### 2 Background and Motivation

This paper focuses on kCFA for object-oriented languages such as Java. For a Java program, its call graph is constructed by discovering the target methods invoked at its virtual callsites. For kCFA, we first review its Andersen-style inclusion-based formulation and its traditional CFL-reachability formulation  $L_{FC}$  (Sec. 2.1). We then motivate this research with an example, by illustrating how these two formulations handle call graph construction, examining the limitations of  $L_{FC}$ , and finally, highlighting the necessity of and challenges faced in designing  $L_{DCR}$ , a new CFL-reachability formulation that includes on-the-fly call graph construction as a built-in mechanism (Sec. 2.2).

We consider six types of statements given in Table 1, where x and y are local variables, 0 represents a unique abstract object created by a new statement, and c identifies a callsite. By nature, all global variables are always context-insensitive. Without loss of generality, every method is assumed to have a unique return statement "return v", where v is a local variable referred to as its return variable. Given a virtual call  $r.m(a_1, ..., a_n)$ , we write this<sup>m'</sup>,

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Kind	Statement	Kind	Statement
New	x = new T // 0	Assign	x = y
Store	x.f = y	Load	x = y.f
Virtual Call	$x = r.m(a_1, \ldots, a_n) // c$	Static Call	$x = m(a_1, \dots, a_n) \; / / \; c$

Table 1. Six types of statements.

 $p_i^{m'}$  and  $\mathsf{ret}^{m'}$  as the "this" variable, *i*-th parameter and return variable of a virtual method m' invoked at this particular callsite. For a static call  $m(a_1, \ldots, a_n)$ ,  $p_i^m$  and  $\mathsf{ret}^m$  are used instead.

#### 2.1 Background

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 2.1.1 Andersen-Style Inclusion-based Formulation In the Andersen-style [1] formulation [23, 59] given in Fig. 1, several auxiliary functions are used: (1) MethodCtx maintains the contexts used for analyzing a method, (2) dispatch follows Java's standard single-dispatch semantics by resolving a virtual call to a target method according to the type of its receiver object, and (3) PTS records the points-to information found context-sensitively for a variable or an object's field. In kCFA, a calling context of a method is abstracted by its last k callsites (under k-limiting). Context-sensitivity is achieved by parameterizing variables and objects with contexts as modifiers.

Let us examine the six rules in Fig. 1. Given a context  $ctx = [c_1, \ldots, c_n]$  and a context element c, we write c::ctx to represent  $[c,c_1,\ldots,c_n]$  and  $[ctx]_k$  to represent  $[c_1,\ldots,c_k]$ . In [I-New], hk represents the (heap) context length for a heap object. In practice, hk = k - 1 is usually used [20, 31, 57]. Rules [I-ASSIGN], [I-LOAD], and [I-STORE] handle assignments and field accesses in the standard manner. [I-SCALL] and [I-VCALL] handle static and virtual calls, respectively. Let us explain [I-VCALL] only, as [I-SCALL] can be understood similarly. In this rule, m' is a target method dynamically resolved for a receiver object O at callsite c (based on its dynamic type t = DynTypeOf(O)). Thus, this rule is also responsible for performing on-the-fly call graph construction during the pointer analysis. In its conclusion,  $ctx' \in MethodCtx(m')$  reveals how the method contexts of a method are introduced. Initially, the entry methods in a program have only the empty context, e.g., MethodCtx("main") = {[]}. Note that the receiver variable r and the other arguments  $a_1, \ldots, a_n$  are handled differently. A receiver object flows only to the method dispatched on itself while the objects pointed to by the other arguments flow to all the methods dispatched at this callsite.

2.1.2  $L_{FC}$ -based CFL-Reachability Formulation In  $L_{FC}$  [54], kCFA for a program is solved by reasoning about CFL-reachability on a PAG (Pointer Assignment Graph) representation [28]. Fig. 2 gives six rules for building the PAG. For a PAG edge, its label above indicates whether it is an assignment or field access. There are two types of assign edges: intraprocedural edges (for modeling regular assignments without a below-edge label) and interprocedural edges or call edges (for modeling parameter passing with a below-edge label representing a callsite).

In  $L_{FC}$ , passing arguments to parameters at both static and virtual callsites is modeled identically by inter-procedural assign edges ([P-SCALL] and [P-VCALL]). For example, in [P-VCALL],  $\hat{c}$  ( $\check{c}$ ) signifies an inter-procedural value-flow entering into (exiting from) m' at callsite c, where m' represents a virtual method discovered by a separate call graph construction

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                                         x = new T // 0  ctx \in MethodCtx(M)  htx = \lceil ctx \rceil_{hk}
\langle O, htx \rangle \in PTS(x, ctx)
198
                                                                                                                                                                            [I-New]
199
200
                                                                   x = y ctx \in MethodCtx(M)

PTS(y, ctx) \subseteq PTS(x, ctx)
201
                                                                                                                                                                            [I-Assign]
202
203
204
                                          x = y.f ctx \in MethodCtx(M) \langle O, htx \rangle \in PTS(y, ctx)
                                                                                                                                                                            [I-Load]
205
                                                                    PTS(O.f, htx) \subseteq PTS(x, ctx)
206
207
                                          x.f = y \quad ctx \in MethodCtx(M) \quad \langle O, htx \rangle \in PTS(x, ctx)
208
                                                                                                                                                                            [I-STORE]
                                                                    PTS(y, ctx) \subseteq PTS(O.f, htx)
209
210
                                \mathbf{x} = \mathbf{m}(a_1, \dots, a_n) \ // \ \mathbf{c} \quad ctx \in \mathsf{MethodCtx}(\mathbf{M}) \quad ctx' = \lceil \mathbf{c} :: ctx \rceil_k
211
                                                                                                                                                                            [I-SCALL]
                                           ctx' \in MethodCtx(m) PTS(ret^m, ctx') \subseteq PTS(x, ctx)
212
                                                          \forall i \in [1, n] : \mathsf{PTS}(a_i, ctx) \subseteq \mathsf{PTS}(p_i^m, ctx')
213
214
                         \mathbf{x} = \mathbf{r}.\mathbf{m}(a_1, \dots, a_n) \ // \ \mathbf{c} \quad ctx \in \mathsf{MethodCtx}(\mathbf{M}) \quad \langle O, htx \rangle \in \mathsf{PTS}(\mathbf{r}, ctx)
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                                    \mathsf{t} = \mathsf{DynTypeOf}(O) \quad \mathsf{m'} = \mathsf{dispatch}(\mathsf{m}, \mathsf{t}) \quad ctx' = \lceil \mathsf{c} :: ctx \rceil_k 
[I-VCALL]
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                         \begin{array}{ll} ctx' \in \mathsf{MethodCtx}(\mathtt{m}') & \mathsf{PTS}(\mathtt{ret}^{\mathtt{m}'}, ctx') \subseteq \mathsf{PTS}(\mathtt{x}, ctx) \\ \langle O, htx \rangle \in \mathsf{PTS}(\mathtt{this}^{\mathtt{m}'}, ctx') & \forall i \in [1, n] : \mathsf{PTS}(a_i, ctx) \subseteq \mathsf{PTS}(p_i^{\mathtt{m}'}, ctx') \end{array}
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Fig. 1. Andersen-style inclusion-based formulation (where M is the containing method of a statement being analyzed).  $\langle O, htx \rangle$  is used to denote a pair of values, where htx is the (heap) context of O.

algorithm (either in advance [2, 9, 56] or on the fly [54, 55]). Therefore,  $\hat{c}$  ( $\check{c}$ ) is also known as an entry (exit) context.

For a PAG edge  $x \xrightarrow[c]{\ell} y$ , its inverse edge, which is omitted in Fig. 2 but required by  $L_{FC}$ , is defined as  $y \xrightarrow[c]{\bar{\ell}} x$ . For a below-edge context label  $\hat{c}$  or  $\check{c}$ ,  $\bar{\hat{c}} = \check{c}$  and  $\bar{\check{c}} = \hat{c}$ , implying that the concepts of entry and exit contexts for inter-procedural assign edges are swapped if they are traversed inversely.

In this CFL-reachability formulation, kCFA is solved by reasoning about the intersection of two CFLs,  $L_{FC} = L_F \cap L_C$ , where  $L_F$  enforces field-sensitivity in terms of the PAG's above-edge labels and  $L_C$  enforces context-sensitivity in terms of the PAG's below-edge labels. Unlike the earlier work [50, 54, 55, 63, 65], which uses one label per PAG edge, our work allows a two-label PAG edge of the form of  $x \xrightarrow[\ell_b]{\ell_a} y$ , which can be understood as a shorthand

for a sequence of two single-label edges  $x \xrightarrow{\ell_a} t \xrightarrow{\ell_b} y$ , where t is a fresh node, in order to simplify our presentation. Let L be a CFL over  $\Sigma$  formed by all the edge labels in a given PAG. Each path p in the PAG has a string L(p) in  $\Sigma^*$  formed by concatenating in order the edge labels in p. A node v in the PAG is said to be L-reachable from a node u in the PAG if there exists a path p from u to v, known as L-path, such that  $L(p) \in L$ .

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$$\frac{\mathbf{x} = \mathbf{new} \ \mathbf{T} \ / \ \mathbf{0}}{\mathbf{0} \xrightarrow{\mathbf{new}} \mathbf{x}} \quad [\text{P-New}] \qquad \frac{\mathbf{x} = \mathbf{y}}{\mathbf{y} \xrightarrow{\mathbf{assign}} \mathbf{x}} \quad [\text{P-Assign}]$$

$$\frac{\mathbf{x} = \mathbf{y}.\mathbf{f}}{\mathbf{y} \xrightarrow{\mathbf{load[f]}} \mathbf{x}} \quad [\text{P-Load}] \qquad \frac{\mathbf{x}.\mathbf{f} = \mathbf{y}}{\mathbf{y} \xrightarrow{\mathbf{store[f]}} \mathbf{x}} \quad [\text{P-Store}]$$

$$\frac{\mathbf{x} = \mathbf{m}(a_1, \dots, a_n) \ / \ \mathbf{c}}{\forall \ i \in [1, n] : a_i \xrightarrow{\mathbf{assign}} \hat{c} p_i^{\mathsf{m}} \quad \mathbf{ret}^{\mathsf{m}} \xrightarrow{\mathbf{assign}} \mathbf{x}} \quad [\text{P-SCall}]$$

$$\frac{\mathbf{x} = \mathbf{r}.\mathbf{m}(a_1, \dots, a_n) \ / \ \mathbf{c} \quad \mathbf{m}' \text{ is a target method of this callsite}}{\mathbf{r} \xrightarrow{\mathbf{assign}} \hat{c} \quad \mathbf{this}^{\mathsf{m}'} \quad \forall \ i \in [1, n] : a_i \xrightarrow{\mathbf{assign}} \hat{c} p_i^{\mathsf{m}'} \quad \mathbf{ret}^{\mathsf{m}'} \xrightarrow{\mathbf{assign}} \mathbf{x}} \quad [\text{P-VCall}]$$

Fig. 2. Rules for building the PAG required by  $L_{FC}$ .

We give  $L_F$  and  $L_C$  below and illustrate both CFLs with an example in Sec. 2.2. We express a grammar for defining a CFL in BackusNaur form.  $L_F$  ( $L_C$ ) is defined to enforce field-sensitivity (context-sensitivity) by focusing on reasoning about above-edge (below-edge) labels exclusively. When giving its grammar, we will follow existing CFL-reachability formulations [50, 54, 63] to list explicitly only the set of productions involving above-edge labels (below-edge) labels, but discuss how below-edge (above-edge) labels can be modeled easily by the set of productions omitted.

 $L_F$  enforces field-sensitivity by matching stores and loads as balanced parentheses:

$$\begin{array}{cccc} \text{flowsto} & \longrightarrow & \text{new flows*} \\ & \text{flows} & \longrightarrow & \underline{\text{assign } | \text{ store[f] alias load[f]}} \\ & \text{alias} & \longrightarrow & \overline{\text{flowsto}} & \text{flowsto} \end{array} \tag{1}$$

$$\overline{\text{flowsto}} & \longrightarrow & \overline{\text{flows}} & \overline{\text{new}}$$

$$\overline{\text{flows}} & \longrightarrow & \overline{\text{assign } | \overline{\text{load[f] alias store[f]}}} \end{array}$$

where only the above-edge labels in a PAG are mentioned explicitly. It is understood that all the below-edge (context) labels that are not mentioned explicitly are handled implicitly as follows. As discussed above, each inter-procedural assign edge  $x \xrightarrow[c]{\text{assign}} y$ , where  $c \in \{\hat{c}, \check{c}\}$ ,

is modeled as a sequence of two single-label edges  $x \xrightarrow{\text{assign}} t \xrightarrow{c} y$ , where t is a fresh node. As a result, its inverse has been similarly decomposed into two single-label edges. Afterwards, flows is extended to become flows  $\longrightarrow \dots \mid c$  and flows is similarly extended to become flows  $\longrightarrow \dots \mid \overline{c}$ .

Note that u alias v iff u flows to O flows v for some object O. If O flows to v (via assignments or store-load pairs with a liased base variables), then v is  $L_F$ -reachable from O. In addition, O flows to v iff v flows to O, meaning that flows to actually represents the standard points-to relation.  $L_C$  enforces callsite-sensitivity (by matching "calls" and "returns" as also balanced parentheses):

realizable 
$$\longrightarrow$$
 exit entry

exit  $\longrightarrow$  exit balanced | exit  $\check{c} \mid \epsilon$ 

entry  $\longrightarrow$  entry balanced | entry  $\hat{c} \mid \epsilon$ 

balanced  $\longrightarrow$  balanced balanced |  $\hat{c}$  balanced  $\check{c} \mid \epsilon$ 

(2)

where only the below-edge (context) labels in a PAG are mentioned explicitly. To accommodate all the above-edge labels explicitly, each inter-procedural assign edge is decomposed into two single-label edges as done when  $L_F$  is introduced above. Afterwards, balanced can be extended by adding a new production balanced  $\longrightarrow$  no-call-edge-label, where the new non-terminal non-call-edge-label is defined in terms of all the other non-context edge labels in a PAG [53].

A path p in the PAG is said to be realizable if and only if p is an  $L_C$ -path.

Finally, a variable v points to an object O if and only if there exists a path p (referred to as an  $L_{FC}$ -path) from O to v in the PAG, such that  $L_F(p) \in L_F$  (indicating that p is a flowsto-path) and  $L_C(p) \in L_C$  (indicating that p is a realizable-path). With all balanced contexts ignored, the contexts for v and O can be directly read off from p (as described in Sec. 3.2.2).

#### 2.2 Motivation

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To motivate our work, we use a small program (Sec. 2.2.1). We start with the Andersenstyle inclusion-based formulation that comes with its own on-the-fly call graph construction mechanism (Sec. 2.2.2). We then examine the limitations of  $L_{FC}$  when such a built-in mechanism is absent (Sec. 2.2.3). Finally, we discuss several challenges faced in designing our new CFL-reachability formulation,  $L_{DCR}$ , with on-the-fly call graph construction being built-in (Sec. 2.2.4). When moving from  $L_{FC}$  to  $L_{DCR}$ , we also rely on a new PAG representation for a program for  $L_{DCR}$  to operate on.

2.2.1 Example Consider a Java program given in Fig. 3. Given a class T, we write T:foo() for method foo() defined in T. There are five classes, A, B, C, D and O, defined (lines 1-13). B and C are the subclasses of A, both overriding method foo() defined in A. Method bar() (lines 14-18) is a wrapper method which first stores whatever object pointed by its parameter o into D1.f and then invokes A:foo() or B:foo(), depending on the dynamic type of the object pointed by its parameter x. In main(), four objects, O1, O2, A1 and B1, are created, in which A1 and O1 (B1 and O2) are passed into bar() as its first and second arguments, respectively, at callsite c1 (c2).

Note that C:foo() may be regarded as being called conservatively in line 17 by a pointer analysis algorithm even though this can never happen during program execution. At the end of Sec. 3.2.2, we will see how our CFL-reachability formulation  $L_{DCR}$  avoid analyzing such a spurious call.

2.2.2 Andersen-Style Inclusion-based Formulation According to Fig. 1, [I-VCall] not only discovers dynamically the target methods dispatched at a virtual callsite but also propagates iteratively the points-to information inter-procedurally across the call graph thus built on the fly.

Table 2 lists the points-to results computed for the program in Fig. 3 by 2CFA according to the rules in Fig. 1. For main(), analyzed under [], its points-to relations are obtained trivially.

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```
1 class A {
                                 14 static void bar(A x, O o) {
     void foo(D p) {
 2
                                      D d = new D(); // D1
 3
       Object v = p.f;
                                      d.f = o;
                                 16
                                 17
                                      x.foo(d); // c3
 4
 5 }
                                 18 }
 6 class B extends A {
                                 19 static void main() {
     void foo(D q) \{ \}
                                 20
                                      O o1 = new O(); // 01
                                 21
 8 }
                                      O o2 = new O(); // 02
 9 class C extends A {
                                 22
                                      A a = new A(); // A1
10
     void foo(D r) \{ \}
                                 23
                                      A b = new B(); // B1
11 }
                                 24
                                      bar(a, o1); // c1
12 class D { Object f; }
                                      bar(b, o2); // c2
                                 25
13 class O { }
                                 26 }
```

Fig. 3. A motivating example.

Table 2. The points-to results for the program in Fig. 3 computed by 2CFA according to the rules in Fig. 1.

Method	Pointers	PTS	Method	Pointers	PTS	
main()	⟨o1,[]⟩	{(01, [])}		⟨x, [c1]⟩	$\{\langle A1, [\ ]\rangle\}$	
	⟨o2, [ ]⟩	{(02, [])}		⟨o, [c1]⟩	{(01, [])}	
	⟨a, [ ]⟩	$\{\langle A1, [\ ] \rangle\}$	bar()	⟨d, [c1]⟩	$\{\langle D1, [c1] \rangle\}$	
	⟨b, [ ]⟩	{ <b>\begin{aligned} B1, [] \end{aligned}</b> }	Dai ()	⟨x, [c2]⟩	{ <b>\langle B1</b> , []\rangle}	
A:foo()	$\langle \mathtt{this}, [\mathtt{c3}, \mathtt{c1}] \rangle$	$\{\langle A1, [\ ] \rangle\}$		⟨o, [c2]⟩	{(02, [])}	
	⟨p, [c3, c1]⟩	$\{\langle D1, [c1] \rangle\}$		$\langle d, [c2] \rangle$	$\{\langle D1, [c2] \rangle\}$	
	⟨v, [c3, c1]⟩	{ <b>(01</b> , [] <b>)</b> }	Field	Pointers	PTS	
B:foo()	$\langle \mathtt{this}, [\mathtt{c3}, \mathtt{c2}] \rangle$	{ <b>\langle B1</b> , []\rangle}	f	$\langle D1.f, [c1] \rangle$	{ <b>(01</b> , [] <b>)</b> }	
	⟨q, [c3, c2]⟩	$\{\langle D1, [c2] \rangle\}$	] '	$\langle D1.f, [c2] \rangle$	{(02, [])}	

As for bar(), there are two calling contexts, [c1] and [c2]. Under [c1], we have PTS(x, [c1]) =  $\{\langle A1, [] \rangle\}$ , PTS(d, [c1]) =  $\{\langle D1, c1 \rangle\}$ , and PTS(D1.f, [c1]) = PTS(o, [c1]) =  $\{\langle O1, [] \rangle\}$ . Then A:foo() is found to be the target invoked by x.foo() at callsite c3 in line 17 ([I-VCALL]). Thus, PTS(p, [c3, c1]) =  $\{\langle D1, [c1] \rangle\}$  and PTS(v, [c3, c1]) =  $\{\langle O1, [] \rangle\}$ . Similarly, when bar() is analyzed under [c2], we have PTS(x, [c2]) =  $\{\langle B1, [] \rangle\}$ . Thus, x.foo() at callsite c3 is now resolved to B:foo(). Note that 2CFA is precise enough by not resolving C:foo() as a spurious target at callsite c3.

2.2.3  $L_{FC}$ -based CFL-Reachability Formulation In this traditional  $L_{FC}$ -based framework for solving kCFA [54], a separate algorithm for call graph construction is used. Thus, for a virtual callsite, parameter passing that is prescribed by  $L_{FC}$  is disconnected both conceptually and algorithmically with the dynamic dispatch process done at the callsite. We discuss

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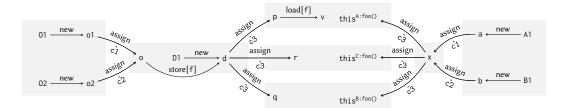


Fig. 4. The PAG operated on by  $L_{FC}$  for the program given in Fig. 3.

the resulting limitations by considering whether the call graph is constructed in advance and on the fly.

For the program given in Fig. 3,  $L_{FC}$  operates on its PAG shown in Fig. 4. This PAG is built by using Class Hierarchy Analysis (CHA) [9], in which case, C:foo() is also identified conservatively as a target method at callsite c3 (line 17). As will be clear below,  $L_{FC}$  will filter out such a spurious target if it uses a more precise call graph during its actual analysis.

We consider a particular traversal just reaching d, which is an argument in the call at "x.foo(d); // c3" (line 17), starting originally from either 01 when called from bar(a,o1) under [c1] or 02 when called from bar(b,o2) under [c2]. We now need to pass d to its corresponding parameter p if A:foo() is a target, q if B:foo() is a target, and r if C:foo() is a target at this callsite.

2.2.3.1 Using a Call Graph Constructed in Advance kCFA may lose precision even if  $L_{FC}$  uses the most precise pre-built call graph obtained in advance. In this case, the set of methods that may possibly be invoked at "x.foo(d); // c3" (line 17) in Fig. 3 is found to contain both A:foo() and B:foo() independently of the contexts in which this call is made. Thus, regardless of whether the call is triggered by bar(a,o1) under [c1] or bar(a,o2) under [c2], A:foo() is always a target method to be invoked. As a result, due to the existence of the following two  $L_{FC}$ -paths:

$$01 \xrightarrow{\text{new}} \text{o1} \xrightarrow{\text{assign}} \text{o} \xrightarrow{\text{store[f]}} \text{d} \xrightarrow{\overline{\text{new}}} \text{D1} \xrightarrow{\text{new}} \text{d} \xrightarrow{\text{assign}} \text{p} \xrightarrow{\text{load[f]}} \text{v} \tag{3}$$

$$02 \xrightarrow{\text{new}} 02 \xrightarrow{\text{assign}} 0 \xrightarrow{\text{store}[f]} d \xrightarrow{\overline{\text{new}}} D1 \xrightarrow{\text{new}} d \xrightarrow{\text{assign}} p \xrightarrow{\text{load}[f]} v$$
 (4)

this  $L_{FC}$ -based CFL-reachability pointer analysis will conclude that v point to both O1 and O2 although v points to O1 only by 2CFA (Table 2), meaning that the pointed-to object O2 is spurious.

Why is the precision loss? In  $L_{FC}$ , parameter passing for a virtual callsite ([P-VCALL]) is modeled identically as that at a static callsite ([P-SCALL]) by using inter-procedural assign edges as shown in the two  $L_{FC}$ -paths given above, without being CFL-reachability-related to the receiver objects at the callsite. As a result,  $L_{FC}$  does not really understand that under context [c1], in which case x points to the receiver A1, only the first  $L_{FC}$ -path above can be established.

If  $L_{FC}$  uses a less precise call graph, which is pre-built by, say, CHA [9], then C:foo() will also be identified as a target method at callsite c3 (line 17). In this case, r is found to point to D1 due to D1  $\xrightarrow{\text{new}}$  d  $\xrightarrow{\text{assign}}$  r, but its points-to set is empty by 2CFA (not listed in Table 2).

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2.2.3.2 Using a Call Graph Constructed On the Fly In solving kCFA with  $L_{FC}$  on-demand [50, 54, 63], every method that is invoked at a virtual callsite is dispatched only under a specific context, resulting in on-the-fly call graph construction (which implies that the PAG edges related to a call are not always fixed but provided to  $L_{FC}$  when the call is analyzed under a given context).

 Consider again "x.foo(d); // c3" (line 17) in Fig. 3. We can now establish that the path in Eq. (3) is an  $L_{FC}$ -path but the path in Eq. (4) is not, so that we can conclude precisely that v points to 01 only. In the former case, we reach d under context [c1] and then issue a points-to query to find what x points to under [c1]. As x is found to point to A1 in this case (causing A:foo() to be invoked at callsite c3), we will continue traversing the remaining  $L_{FC}$ -path from d and conclude that v points to 01. In the latter case, reaching d under [c2] reveals B:foo() as the target at callsite c3 instead (as x points to B1 under [c2]), thereby causing  $\frac{\text{assign}}{\hat{c3}}$  p  $\frac{\text{load}[f]}{\hat{c3}}$  v not to be traversed.

```
D d = new D(); // D1

if (...)

d.f = a = new A(); // A1

else

d.f = b = new B(); // B1

A x = d.f;

x.foo(null); // c
```

Fig. 5. A small example.

While  $L_{FC}$  can be used to solve kCFA on-demand (more precisely than if a pre-built call graph is used), some precision loss may occur when a callsite has several dispatch targets under a common calling context. Consider the code snippet given in Fig. 5 (which reuses classes A, B, and D from Fig. 3). If we ask a separate call graph construction algorithm to find on-demand the target methods at "x.foo(null)" under any context invoking this piece of code, both A:foo() and B:foo() will be returned. If we then reason about CFL-reachability with  $L_{FC}$ , we will obtain:

$$A1 \xrightarrow{\text{new}} a \xrightarrow{\text{store[f]}} d \xrightarrow{\overline{\text{new}}} D1 \xrightarrow{\text{new}} d \xrightarrow{\text{load[f]}} x \xrightarrow{\text{assign}} \text{this}^{A:foo()}$$
 (5)

$$\text{B1} \xrightarrow{\text{new}} \text{b} \xrightarrow{\text{store[f]}} \text{d} \xrightarrow{\overline{\text{new}}} \text{D1} \xrightarrow{\text{new}} \text{d} \xrightarrow{\text{load[f]}} \text{x} \xrightarrow{\text{assign}} \text{this}^{\text{A:foo()}}$$
 (6)

Therefore, both A1 and B1 will flow to this A1 foo although B1 is spurious by [I-VCALL].

We see a loss of precision at such a virtual callsite since  $L_{FC}$  does not handle its receiver variable differently from its other arguments ([P-VCall]) unlike the Andersen-style inclusion-based formulation ([I-VCall]). Removing spurious receiver objects such as B1 by brute force as discussed above (specified formally by neither  $L_{FC}$  nor the call graph construction algorithm used) is ad hoc. Indeed, the  $L_{FC}$ -based on-demand algorithm for solving kCFA (released by the authors of  $L_{FC}$  [54] in Soot [60] and used by many others [50, 62] in the past 15 years) suffers still from this problem.

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 2.2.3.3 Discussion When solving kCFA,  $L_{FC}$  relies on a separate algorithm for performing call graph construction. In addition to cause kCFA to lose precision as discussed above,  $L_{FC}$  suffers from another limitation, as it fails to capture all the value-flow paths traversed for a program during the pointer analysis (regardless of whether its call graph is built in advance or on the fly).

Consider again how "x.foo(d)" (line 17) in Fig. 3 is analyzed. To perform parameter passing for d at the callsite according to the Andersen-style inclusion-based formulation ([I-VCALL]), we must first find the methods dispatched on the receiver objects pointed by x and then perform the actual parameter passing (from d to p if A:foo() is dispatched and d to q if B:foo() is dispatched). However, with  $L_{FC}$ , parameter passing (realized by interprocedural assign edges ([P-VCALL])) is both conceptually and algorithmically disconnected with dynamic dispatch at the callsite, without being CFL-reachability-related to its receiver objects, as also reviewed by the PAG in Fig. 4.

As  $L_{FC}$  does not incorporate callgraph construction within its formulation, any analysis that reasons about CFL-reachability in terms of  $L_{FC}$  may make some optimization decisions that reduce the precision of kCFA (among others). For example, a recent pre-analysis [36] that is developed based on  $L_{FC}$  for accelerating kCFA with selective context-sensitivity will cause kCFA to always lose precision.

2.2.4  $L_{DCR}$ : Necessity, Challenges, and Our Solution Our primary contribution in this research is demonstrating the feasibility of incorporating on-the-fly callgraph construction into the specification of kCFA using CFL-reachability. In particular, we introduce  $L_{DCR}$  (as the intersection of three CFLs) as the first CFL-reachability formulation of kCFA with built-in call graph construction, thereby overcoming the limitations of  $L_{FC}$  (as the intersections of two CFLs) discussed above. It is worth emphasizing that  $L_{DCR}$  operates on a new PAG representation that is fundamentally different from the one operated on by  $L_{FC}$ . As the secondary contribution of this research, we demonstrate the utility of  $L_{DCR}$  by introducing the first precision-preserving pre-analysis for accelerating kCFA with selective context-sensitivity. As discussed above, a recent  $L_{FC}$ -based pre-analysis always loses precision.

When developing  $L_{DCR}$ , we are required to reason about CFL reachability to support parameter passing prescribed by kCFA. For a virtual call  $r.m(a_1, \ldots, a_n)$  at callsite c, passing any of its arguments a to its corresponding parameter p of a target method m' that is yet to be discovered at this callsite by  $L_{DCR}$  itself under a given context C must be done by establishing a CFL-reachability path in a PAG representation of the program, starting from a, passing through the receiver variable r to trigger dynamic dispatch, and finally, ending at p, which is the corresponding parameter of m' that is dispatched based on the dynamic type of the object pointed by r under the given context C. Relating a to r is non-trivial if  $a = a_i$  (for some i), i.e.,  $a \neq r$ . In addition, in a CFL-reachability formulation, some context elements in C are usually consumed (i.e., balanced out according to  $L_C$  given in Eq. (2)) during the traversal for performing dynamic dispatch and must thus be restored in order to enable d to be passed to p under still the same given context C. Below we list three challenges faced in handling this parameter passing task during the on-the-fly call graph construction:

- CHL1. How do we pass r to the "this" variable of a target method invoked at callsite c precisely (by avoiding the precision loss illustrated with the code given in Fig. 5)?
- CHL2. How do we establish a CFL-reachability path in a PAG representation of the program from  $a_i$  to  $p_i$  passing through r in order to trigger dynamic dispatch during

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the course of parameter passing, where  $p_i$  is the *i*-th parameter of a target method m' discovered at callsite c under C?

• CHL3. How do we ensure we can pass  $a_i$  to  $p_i$  for the target method m' invoked at callsite c with a (correct) context abstraction that represents parameter passing for callsite c under C?

We now give a high-level overview of our solution by using our motivating example (Fig. 3). To support on-the-fly call graph construction by itself,  $L_{DCR}$  operates on a new PAG representation shown in Fig. 7, which differs fundamentally from the PAG (Fig. 4) operated on by  $L_{FC}$ . In our formulation, we find that v points to 01 only due to the existence of the following unique path from 01 to v, with the underlying technical details being explained in Sec. 3:

$$01 \xrightarrow{\text{new}[0]} \text{ o1} \xrightarrow{\text{assign}} \text{ o} \xrightarrow{\text{store}[f]} \text{ d} \xrightarrow{\text{new}[D]} \text{ D1} \xrightarrow{\text{new}[D]} \text{ d} \xrightarrow{\text{$\frac{1}{\hat{c}3}$}} \text{ x} \xrightarrow{\text{$\frac{1}{\hat{c}3}$}} \text{ x} \xrightarrow{\text{$\frac{1}{\hat{c}3}$}} \text{ a} \xrightarrow{\text{$\frac{1}{\hat{c}3}$}} \text{A1}$$

$$\downarrow \xrightarrow{\text{new}[A]} \text{ a} \xrightarrow{\text{$\frac{1}{\hat{c}3}$}} \text{ x} \xrightarrow{\text{$\frac{1}{\hat{c}3}$}} \text{ x} \xrightarrow{\text{$\frac{1}{\hat{c}3}$}} \text{ x} \xrightarrow{\text{$\frac{1}{\hat{c}3}$}} \text{ x} \xrightarrow{\text{$\frac{1}{\hat{c}3}$}} \text{ this}^{A:foo}() \xrightarrow{\text{load}[1]} \text{ p} \xrightarrow{\text{load}[f]} \text{ v}$$

$$(7)$$

This path represents the flow of 01 to v by passing a sequence of two calls, "bar(a, o1); // c1" and "x. foo(d); // c3". Consider the parameter passing for d under context C = [c1]at "x.foo(d); // c3", which has only one target A:foo(). Instead of passing d to p directly via one inter-procedural assign edge  $d \xrightarrow{\text{assign}} \mathbf{p}$  as in  $L_{FC}$  (Eq. (3)), which is illustrated in Fig. 4, since  $L_{FC}$  requires A:foo() to be found separately outside  $L_{FC}$ ,  $L_{DCR}$  passes d to p indirectly via a sequence of PAG edges, along the path given in Eq. (7) (shown also in Fig. 7), by discovering this dispatch target dynamically during the sub-path from d to p. Briefly, we address CHL1 by requiring new[A] to be matched with dispatch[A]. We address CHL2 by first storing d into a special field of x to trigger dynamic dispatch at this callsite and then loading it from the same special field of this A: foo() into p later (with the two sub-paths highlighted in .). In addition, we perform dynamic dispatch correctly at this callsite under C = [c1] (with the sub-path highlighted in  $\blacksquare$ ) as in the case of  $L_{FC}$ . We address CHL3 by passing d to p also correctly under [c3,c1], where c3 records the callsite as in  $L_{FC}$  (Eq. (3)) for which parameter passing takes place and c1 signifies further the context under which the receiver object A1 flows into the receiver variable x at this callsite (with the sub-path highlighted in . The significance of the two below-edge labels, (3) and (3), in addressing CHL3 cannot be over-emphasized. This ensures that if we start dynamic dispatch at callsite c3 under context C = [c1], we will always return to the same callsite under the same context even though c1 is lost (i.e., balanced out due to  $\hat{c1}\hat{c1}$ ) just after  $x \xrightarrow{assign} a$  at the beginning of the traversal for performing dynamic dispatch at callsite c3. To address CHL1 - CHL3, we have designed  $L_{DCR}$  to be the intersection of three CFLs operating on a new PAG representation as described below.

## $L_{DCR}$ : Design and Insights

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 When solving a CFL-reachability problem with a CFL, the CFL and its underlying graph structure are always inter-connected and carefully designed together. To break their cyclic

$$\frac{x = \text{new T } // 0}{O \xrightarrow{\text{new}[T]} x} [\text{C-New}] \qquad \frac{x = y}{y \xrightarrow{\text{assign}} x} [\text{C-Assign}]$$

$$\frac{x = y.f}{y \xrightarrow{\text{load}[f]} x} [\text{C-Load}] \qquad \frac{x.f = y}{y \xrightarrow{\text{store}[f]} x} [\text{C-Store}]$$

$$\frac{x = m(a_1, \dots, a_n) // c}{\forall i \in [1, n] : a_i \xrightarrow{\text{assign}} c p_i^m \text{ ret}^m \xrightarrow{\text{assign}} c x} [\text{C-SCall}]$$

$$\frac{x = r.m(a_1, \dots, a_n) // c \qquad t <: \text{DeclTypeOf}(r) \qquad m' = \text{dispatch}(m, t)}{\forall i \in [1, n] : a_i \xrightarrow{\text{store}[i]} r \qquad r \xrightarrow{\text{load}[0]} x \qquad r \xrightarrow{\text{assign}} r \# c} [\text{C-VCall}]$$

$$\forall i \in [1, n] : a_i \xrightarrow{\text{store}[i]} r \qquad r \xrightarrow{\text{load}[0]} x \qquad r \xrightarrow{\text{assign}} r \# c$$

$$r \xrightarrow{\text{assign}} r \# c \qquad r \# c \xrightarrow{\text{dispatch}[t]} \text{this}^m'$$

$$M \text{ is an instance method} \qquad p_i^M \text{ is its } i \text{th parameter} \qquad [\text{C-PARAM}] \qquad M \text{ is an instance method} \qquad [\text{C-Ret} \text{Tet}^M \xrightarrow{\text{store}[0]} \text{this}^M \qquad [\text{C-Ret} \text{Tet}^M \xrightarrow{\text{store}[0]}$$

Fig. 6. Rules for building the PAG required by  $L_{DCR}$ .

dependencies, we first describe how to represent a program with a new PAG representation to facilitate on-the-fly call graph construction (Sec. 3.1). We then formalize  $L_{DCR}$  by explaining how we address the three challenges (CHL1 - CHL3) and providing some insights in understanding its design (Sec. 3.2).

### Pointer Assignment Graph

 $\xrightarrow{\text{this}^{\text{M}} \xrightarrow{\text{load}[i]}} p_i^{\text{M}}$ 

For a program, we use the rules given in Fig. 6 to build a PAG representation for  $L_{DCR}$ . We first introduce these rules briefly by highlighting their differences from those adopted by  $L_{FC}$  (Fig. 2) for building an  $L_{FC}$ -oriented PAG representation. We then illustrate these rules with an example. Note that one is expected to develop a reasonably good understanding of this new PAG representation before examining the three CFLs used for defining  $L_{DCR}$  later.

As in the case of  $L_{FC}$  (Fig. 2), the inverse of a PAG edge is not given explicitly. For each PAG edge  $x \xrightarrow{\ell} y$ , its inverse edge is defined as  $y \xrightarrow{\bar{\ell}} x$  as in  $L_{FC}$  exactly (Sec. 2.1.2), except that a below-edge label can also be  $\hat{c}$  or  $\check{c}$  (in addition to  $\hat{c}$  and  $\check{c}$ ), in which case,  $[\hat{c}] = [\check{c}]$  and  $[\check{c}] = [\hat{c}]$ , where c identifies a callsite. To trigger dynamic dispatch at a callsite c, an edge with a boxed below-edge label also represents conceptually a new kind of interprocedural value-flow entering into (marked by  $\hat{\underline{c}}$ ) or exiting from (marked by  $\check{\underline{c}}$ ) from a method invoked at c. Such boxed below-edge labels are introduced for addressing CHL3 only and their significance will become clear in Sec. 3.2.2.

Our PAG representation (for supporting  $L_{DCR}$ ) differs from that for supporting  $L_{FC}$  (Fig. 2) mainly in how virtual callsites are handled. Therefore, [C-Assign], [C-Load], and [C-Store] are identical to [P-Assign], [P-Load], and [P-Store], respectively. In addition, [C-SCALL], 111:14 He et al.

which behaves also identically as [P-SCall], handles parameter passing at a static callsite c simply as assignments in terms of inter-procedural assign edges, with its entry (exit) context being  $\hat{c}$  ( $\check{c}$ ).

[C-New], [C-VCall], [C-Param], and [C-Ret] build the PAG edges together to enable  $L_{DCR}$  to perform its own on-the-fly call graph construction at virtual callsites (for addressing CHL1 and CHL2). In [C-New] (unlike [P-New] in Fig. 2),  $O \xrightarrow{\text{new}[T]} \mathbf{x}$  encodes explicitly the dynamic type T of O in order to support dynamic dispatch on O while also enabling O to be passed as a receiver object to a method (dispatched on O) without the precision loss discussed using the code in Fig. 5.

Given an instance method M (with this<sup>M</sup> denoting its this variable), its *i*-th (non-this) parameter  $p_i^{\text{M}}$  (where *i* starts from 1) is modeled as a special field of this<sup>M</sup> (identified by offset *i*) and its return variable  $\operatorname{ret}^{\text{M}}$  also as a special field of this<sup>M</sup> (identified by offset 0). Thus, we can initialize  $p_i^{\text{M}}$  with a load this<sup>M</sup>  $\xrightarrow{|\operatorname{load}[i]|} p_i^{\text{M}}$  ([C-PARAM]) and this<sup>M</sup>.0 with a store  $\operatorname{ret}^{\text{M}} \xrightarrow{\operatorname{store}[0]|} \operatorname{this}^{\text{M}}$  ([C-Ret]).

[C-VCall], which is the most complex rule, differs fundamentally from [P-VCall] (Fig. 2) in handling a virtual callsite " $x = r.m(a_1, ..., a_n) // c$ ". For convenience, we make use of r#c (a temporary) to identify uniquely this particular occurrence of r at this callsite. When building the PAG, we over-approximate the set of target methods invoked at each callsite (and consequently, the call graph for the program) by using class hierarchy analysis (CHA) [9] (due to t <: DeclTypeOf(r) and m' = dispatch(m, t)). It is crucial to highlight that (1) CHA relies solely on the type information of a program and has the ability to construct an initial call graph in a linear manner; and (2)  $L_{DCR}$  will perform its on-the-fly call graph construction over such an over-approximated PAG with spurious call targets being filtered out (as discussed at the end of Sec. 3.2). To pass a non-receiver argument  $a_i$  (1  $\leq i \leq$ n) to the corresponding parameter  $p_i^{m'}$  of a target method, m', we make use of a store  $a_i \xrightarrow{\operatorname{store}[i]} \mathbf{r} \text{ introduced in this rule and a matching load this}^{\operatorname{m'}} \xrightarrow{\operatorname{load}[i]} p_i^{\operatorname{m'}} \text{ ([C-Param])}.$ By performing CFL-reachability under  $L_{DCR}$ , traversing such an edge will trigger a search for the dynamic type of every receiver object pointed to by  $\mathbf{r}$  (marked by  $\hat{\mathbf{c}}$ ). Encountering  $r\xrightarrow[\check{n}]{assign} r\#c\xrightarrow{dispatch[t]} r\#c\xrightarrow{\hat{c}} this^{m'} \ (introduced in this rule) \ later signifies that one such a dynamic$ type t has been found (marked by  $|\tilde{c}|$ ) so that m', where m' = dispatch(m, t), can be dispatched with  $\hat{c}$  as its entry context (as desired), where c is recovered from  $\overline{c}$ . By definition, a dispatch edge also serves as an assign edge as well. As for the receiver variable r, we use  $r \xrightarrow{assign} r\#c$ (without a need for relating r to itself). Finally, we assign ret<sup>m'</sup> (saved earlier in this<sup>m</sup>.0) ([C-Ret]) to x via a load  $a_0 \xrightarrow[\check{c}]{load[0]} x$  (introduced in this rule), where  $\check{c}$  signifies the end of dynamic dispatch on  ${\tt r}$  on exit from call site c.

Fig. 7 depicts the PAG used by  $L_{DCR}$  for our motivating example given in Fig. 3. As shown, this PAG (referred to below), which is designed to enable  $L_{DCR}$  to perform its own built-in on-the-fly call graph construction, is fundamentally different from the PAG (Fig. 4) used by  $L_{FC}$ .

#### 3.2 $L_{DCR}$

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 We express  $L_{DCR}$  as the intersection of three CFLs,  $L_{DCR} = L_D \cap L_C \cap L_R$ , with each specifying a different aspect of kCFA. In Sec. 3.2.1, we introduce  $L_D$ , which describes field accesses

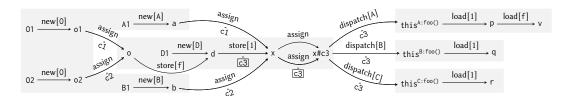


Fig. 7. The PAG for  $L_{DCR}$  constructed for the program given in Fig. 3.

and dynamic dispatch, for addressing CHL1 and CHL2 (Sec. 3.2.1).  $L_C$ , which is given in Eq. (2), enforces callsite-sensitivity by using the below-edge terminals  $\hat{c}$  and  $\check{c}$  in the standard manner. In Sec. 3.2.2, we introduce  $L_R$  (defined over the terminals  $\hat{c}$  and  $\check{c}$ ,  $\hat{c}$ , and  $\check{c}$ ), to ensure that parameter passing happens correctly in the presence of on-the-fly call graph construction, for addressing CHL3. We shall restrict our discussions to parameter passing as method returns are handled in the same way. When introducing the grammars for  $L_D$  and  $L_R$  below, all the double-label PAG edges in a given PAG are handled in the same way as we have done to  $L_F$  and  $L_C$ , as described in Sec. 2.1.2.

We shall speak of an  $L_{DC}$ -path as we do for  $L_{FC}$ -path (Sec. 2.2.3). Similarly, we shall also speak of an  $L_{DCR}$ -path p with the understanding that  $L_D(p) \in L_D$ ,  $L_C(p) \in L_C$ , and  $L_R(p) \in L_R$ .

To incorporate call graph construction into a CFL-reachability formulation, as defined below, it is necessary to ensure its soundness by guaranteeing that it provides an overapproximation of the set of target methods resolved at a callsite. This means that the constructed call graph should include all possible target methods that could be called at a given callsite. Furthermore, precision is maintained by excluding any spurious target methods from the resolution, ensuring that only relevant and accurate target methods are considered.

Definition 1 (Soundness and Precision). Let L be a CFL-reachability formulation that differs from  $L_{FC}$  only in how they handle parameter passing at virtual callsites, where L itself specifies dynamic method dispatch at virtual callsites (i.e., performs its own built-in call graph construction) and  $L_{FC}$  relies on a separate algorithm  $\mathcal{A}$  (by, e.g., calling  $L_{FC}$  recursively to find the receiver objects of virtual callsites under some given contexts) for performing call graph construction on the fly (Sec. 2.2.3.2). Consider a virtual callsite " $\mathbf{r.m}(a_1, \ldots, a_n)$ ; //  $\mathbf{c}$ ", where parameter passing takes place under a given context  $\mathbf{C}$ . Let  $\mathbf{T}$  be the set of target methods found by  $\mathcal{A}$  at this callsite under  $\mathbf{C}$  (i.e., found also by  $L_{FC}$  if a points-to query is issued for  $\mathbf{r}$  for this callsite under  $\mathbf{C}$  separately) so that  $L_{FC}$  can perform parameter passing for these methods. Then L is sound if it enables parameter passing to be performed under  $\mathbf{C}$  for at least all the target methods in  $\mathbf{T}$  and  $\mathbf{L}$  is precise (in addition to being sound) if it enables parameter passing under  $\mathbf{C}$  for exactly the same target methods in  $\mathbf{T}$ .

We shall see that  $L_{DC}$  is sound (but imprecise) but  $L_{DCR}$  is precise (and obviously sound). Let us consider parameter passing for an argument at a virtual call site " $r.m(a_1, ..., a_n)$ ; //c" under a given context C. In the special case when the argument is the receiver variable r, which points directly to a set of receiver objects, we only need to address CHL1 by passing a receiver object to a target method m' when m' can be dispatched on the receiver object. If the argument is  $a_i$ , the basic idea in addressing CHL2 and CHL3 (facilitated by the PAG designed according to the rules given in Fig. 6) is to first store  $a_i$  into r.i (at its special field i), then discover the dynamic type t of every receiver object pointed to by r under C and

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propagate t to the callsite c where m' = dispatch(m, t), and finally, assign this m'. i to  $p_i^{m'}$  for this callsite under still the same given context C. As discussed earlier, method returns are handled similarly.

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 Let us revisit our motivating example with its PAG depicted in Fig. 7. With  $L_{DCR}$ , we will ensure that the PAG contains a unique  $L_{DCR}$ -path from 01 to v, as depicted in Eq. (7), so that v can point to 01 only when bar() is called at callsite c1. The sub-path from 01 to d indicates that 01 is stored into d.f, where d points to D1, due to the call "bar(a, o1); // c1". The sub-path from d to p reveals parameter passing from d to p at "x.foo(d); // c3" for the target method A:foo() discovered on the fly by  $L_{DCR}$  itself under C = [c1]. In Sec. 2.2.4, we have already discussed how we address CHL1 – CHL3 at this callsite. We wish to add now that  $\hat{c3}$  and  $\hat{c3}$  mark the beginning and end of dynamic dispatch performed at this callsite for d, respectively. During the CFL-reachability traversal between  $\hat{c3}$  and  $\hat{c3}$ , we discover that x points to A1 of type A under [c1] must return to x under also [c1]. Given the receiver object A1 found, we can then dispatch A:foo() via x#c3  $\frac{\text{dispatch}[A]}{\hat{c3}}$  this A:foo() so that d can be passed to p under [c3, c1], with c3 recovered from  $\hat{c3}$ . While  $L_{FC}$  [54] uses [c3] for passing d to p (Eq. (3)),  $L_{DCR}$  uses [c3, c1] more specifically to indicate that this happens only when x points to A1 under [c1].

3.2.1 The  $L_D$  Language This CFL describes not only field-sensitive accesses as balanced parentheses as in  $L_F$  given in Eq. (1) but also dynamic dispatch in the language itself:

All the below-edge labels are handled similarly as in the case of  $L_F$ . By decomposing each double-label edge into two single-label edges as described in Sec. 2.1.2, flows becomes flows  $\longrightarrow ... \mid \hat{c} \mid \check{c} \mid | \hat{c} \mid$ 

dispatch, and consequently, on-the-fly call graph construction. Below we describe separately how  $L_D$  is designed to address CHL1 and CHL2 and why we have selected such a dynamic dispatch approach.

3.2.1.1 CHL1 In addressing this first challenge concerning parameter passing at a virtual callsite, we must distinguish its receiver variable from its other arguments to ensure that the receiver objects pointed by the receiver variable can only be passed to the this variable of a method that can be dispatched on these receiver objects. Consider x.foo(null) in the code snippet given in Fig. 5, where x may point to both A1 and B1. The traditional  $L_{FC}$ -based formulation that uses a separate algorithm for call graph construction (Fig. 2) will end up passing both A1 and B1 to this<sup>A:foo()</sup> due to the existence of the two  $L_{FC}$ -paths given in Eq. (5) and Eq. (6), although B1 is spurious (Fig. 1).

In  $L_D$ , we make explicit the dynamic types of objects in the four kinds of terminals, new[t], new[t], dispatch[t], and dispatch[t]. During a flowsto (flowsto) traversal, we require the

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 type information in dispatch[t] ( $\overline{\text{dispatch}[t]}$ ) to be consistent with that in its corresponding new[t] ( $\overline{\text{new}[t]}$ ). As a result, the two  $L_{FC}$ -paths in Eq. (5) and Eq. (6) become:

The first is an  $L_D$ -path since new[A] flows\* dispatch[A]  $\in L_D$ , but the second is not an  $L_D$ -path since new[B] flows\* dispatch[A]  $\notin L_D$ . Thus, B1 cannot flow to  $this^{A:foo()}$  spuriously. In Eq. (7), A1 can be passed to  $this^{A:foo()}$  since A:foo() can be dispatched on A1.

Lemma 1. Consider a virtual callsite  $\mathbf{x} = \mathbf{r}.\mathbf{m}(a_1, \ldots, a_n)$  in Java. In  $L_D$ , every receiver object pointed to by  $\mathbf{r}$  flows only to the this variable of a method that can be dispatched on the receiver object.

Proof Sketch. Follows from the definition of  $L_D$ .

3.2.1.2 CHL2 In addressing this second challenge, we must decide how to trigger dynamic dispatch during the course of parameter passing at a virtual callsite. We accomplish this by using  $L_{DC} = L_D \cap L_C$ . To understand our approach, we examine again the  $L_{DCR}$ -path given in Eq. (7). For convenience, we duplicate it below by ignoring  $\hat{c3}$  and  $\hat{c3}$  to obtain the following  $L_{DC}$ -path:

$$\begin{array}{c}
01 \xrightarrow{\text{new}[0]} \text{ o } 1 \xrightarrow{\text{assign}} \text{ o } \xrightarrow{\text{store}[f]} \text{ o } \xrightarrow{\overline{\text{new}[D]}} \text{ D } 1 \xrightarrow{\text{new}[D]} \text{ d } \xrightarrow{\text{store}[1]} \text{ x } \xrightarrow{\overline{\text{assign}}} \text{ a } \xrightarrow{\overline{\text{new}[A]}} \text{A1} \\
\xrightarrow{\text{new}[A]} \text{ a } \xrightarrow{\text{assign}} \text{ x } \xrightarrow{\text{assign}} \text{ x \#c3} \xrightarrow{\text{dispatch}[A]} \text{ this}^{A:foo()} \xrightarrow{\text{load}[1]} \text{ p } \xrightarrow{\text{load}[f]} \text{ v}
\end{array}$$

$$(9)$$

To find whether O1 can flow into v starting from "bar(a,o1); // c1", we need to perform parameter passing for d at "x.foo(d); // c3" under context C = [c1]. This is achieved by traversing the sub-path from d to the parameter p of A:foo(). We start with a store  $d \xrightarrow{\text{store}[1]} x$  to trigger a flowsto traversal via  $x \xrightarrow{\overline{\text{assign}}} a \xrightarrow{\overline{\text{new}[A]}} A1$  under the given context C = [c1], return to x backwards via A1  $\xrightarrow{\text{new}[A]} a \xrightarrow{\overline{\text{assign}}} x$ , dispatch at the callsite via  $x \xrightarrow{\overline{\text{assign}}} x \#c3 \xrightarrow{\overline{\text{dispatch}[A]}} \text{this}^{A:foo()}$ , and finally, pass d to p via a load this  $x \xrightarrow{\text{load}[1]} p$ . While  $x \xrightarrow{\text{load}[A]} a \xrightarrow{\text{load}[A]} b$  p under [c3],  $x \xrightarrow{\text{load}[A]} b$  p under [c3],  $x \xrightarrow{\text{load}[A]} b$  p.

while  $L_{FC}$  passes d to p in Eq. (3) by one assign edge  $a \xrightarrow{\hat{c}3}$  p under [c3],  $L_{DC}$  passes d to p via a sequence of PAG edges under [c3,c1] (indicating that this parameter passing happens only when x point to A1 under [c1]).

According to Definition 1,  $L_{DC}$  is sound if it can perform parameter passing for a superset of the set of target methods that can be possibly dispatched at a virtual callsite.

Lemma 2.  $L_{DC}$  is sound in handling parameter passing at every virtual callsite.

Proof Sketch. Let " $\mathbf{r}.\mathbf{m}(a_1,\ldots,a_n)$ ; //  $\mathbf{c}$ " be a fixed but arbitrary virtual callsite, where parameter passing for one of its arguments takes place under a given context C. Let T be the set of target methods found on the fly at this callsite under C by a separate call

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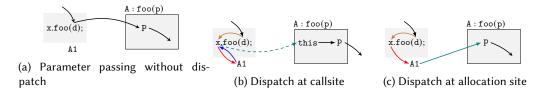


Fig. 8. Three approaches for performing dynamic dispatch at a virtual callsite during parameter passing.

graph construction algorithm used in  $L_{FC}$ . As r is handled similarly as in  $L_{FC}$ , it suffices to consider parameter passing for a non-receiver-variable argument  $a_i$ . Due to the existence of  $a_i \xrightarrow{\text{store}[i]} r$ ,  $L_{DC}$  will perform dynamic dispatch by finding the receiver objects pointed to by r under also C. As  $L_{DC}$  differs from  $L_{FC}$  only in their handling of parameter passing at virtual callsites, the set of target methods found by  $L_{DC}$  must include T. In addition, for every target  $m' \in T$ , there always exists a path q in the PAG:

$$a_i \xrightarrow{\text{store}[i]} \mathbf{r} \ \overline{\text{flowsto}} \ O \ \text{flowsto} \ \mathbf{r} \xrightarrow{\text{assign}} \mathbf{r} \# c \xrightarrow{\text{dispatch}[\_]} \text{this}^{m'} \xrightarrow{\text{load}[i]} p_i$$
 (10)

where  $p_i$  is the *i*-th parameter of m'. Here, if we write u to represent the flowsto-path "r flowsto O", then the flows-path "O flowsto r" is its inverse  $\overline{u}$ . By construction,  $a_i$  flows  $p_i$  according to  $L_D$  and  $L_C(q) \in L_C$  according to  $L_C$ . In addition,  $L_C(q)$  is guaranteed to be a sequence of (calling) contexts that can happen under C since u is traversed under C. Therefore,  $L_{DC}$  is sound by Definition 1.

Below we discuss three possible dynamic dispatch approaches, illustrated in Fig. 8, for handling parameter passing and explain why  $L_D$  is designed to adopt a callsite-based approach (Fig. 8b).

As discussed in Sec. 2.2.3,  $L_{FC}$  [54] solves kCFA by using a separate algorithm for call graph construction (Fig. 8a) and may thus cause kCFA to lose precision (either directly (Sec. 2.2.3.1 and Sec. 2.2.3.2) or resorting to a pre-analysis [36] (discussed in Sec. 2.2.3.3 and evaluated in Sec. 4.2)).

In  $L_D$ , passing an argument d at x.foo(d) to a parameter will trigger immediately a flowsto traversal looking for a receiver object of x, as symbolized by a red arrow ( $\rightarrow$ ) in Fig. 8b (for performing dynamic dispatch at this callsite) and Fig. 8c (for performing dynamic dispatch at the allocation site of the receiver object).  $L_D$  adopts the former approach since the latter is infeasible.

Let us explain why the allocation-site-based dispatch (Fig. 8c) is infeasible. To handle parameter passing only (without considering method returns here), we need to extend  $L_F$  to become:

where store[m:i] (load[m:i]) is used to replace store[i] (load[i]) in Fig. 6 in order to encode also the signature of a method invoked at  $r.m(a_1,...,a_n)$ . Thus, the  $L_{FC}$ -path in Eq. (3) becomes:

$$01 \xrightarrow{new} o1 \xrightarrow{assign} o \xrightarrow{store[f]} d \xrightarrow{\overline{new}} D1 \xrightarrow{new} d \xrightarrow{store[foo:1]} x \xrightarrow{\overline{assign}} a \xrightarrow{\overline{new}} A1 \xrightarrow{load[foo:1]} p \xrightarrow{load[f]} v \tag{12}$$

Fig. 9. An example for illustrating the imprecision of  $L_{DC}$  caused by an incorrect dispatch site.

```
1 class A {
                                   8 static void main() {
   O id(O p) \{ return p; \} \}
                                       A \ a1 = new \ A(); // \ A1
3 class O { }
                                       O o1 = new O(); // 01
                                  10
4 static O wid(A a, O o) {
                                       O o2 = new O(); // 02
    O v = a.id(o); // c3
                                       O v1 = wid(a1, o1); // c1
                                  12
                                       O v2 = wid(a1, o2); // c2
6
    return v;
                                  13
7 }
                                  14 }
```

Fig. 10. An example for illustrating the imprecision of  $L_{DC}$  caused by an incorrect dispatch context.

where we have  $d \xrightarrow{\text{store}[foo:1]} x (\rightarrow)$ ,  $x \xrightarrow{\overline{\text{assign}}} a \xrightarrow{\overline{\text{new}}} A1 (\rightarrow)$ , and  $A1 \xrightarrow{\text{load}[foo:1]} p (\rightarrow)$ . However, 01 flows to v under [] incorrectly (with  $\hat{c1}$  and  $\hat{c1}$  being matched). Due to the nature of object-sensitivity (where receiver objects are used as context elements), the CFL-reachability formulation for object-sensitive pointer analysis [35, 37] (as reviewed briefly in Sec. 5.1) works well with the allocation-site-based dispatch approach.

3.2.2 The  $L_R$  Language  $L_{DC}$  is sound but imprecise. We use two examples given in Fig. 9 and Fig. 10 to illustrate the imprecision of  $L_{DC}$  and highlight the two roles that  $L_R$  plays in  $L_{DCR}$ .  $L_{DC}$  can lose precision caused by an incorrect dispatch callsite. Consider the following two  $L_{DC}$ -paths in the PAG of Fig. 9 (by ignoring the boxed labels  $\hat{c1}$ ,  $\hat{c1}$  and  $\hat{c2}$  for now):

$$01 \xrightarrow{new[0]} o1 \xrightarrow{\underline{store[1]}} a \xrightarrow{\overline{new[A]}} A1 \xrightarrow{\underline{new[A]}} A1 \xrightarrow{\underline{new[A]}} a \xrightarrow{\underline{assign}} a\#c1 \xrightarrow{\underline{dispatch[A]}} this^{m} \xrightarrow{\underline{load[1]}} p \qquad (13)$$

$$01 \xrightarrow{\text{new[0]}} \text{o1} \xrightarrow{\frac{\text{store[1]}}{\left[\hat{c}1\right]}} \text{a} \xrightarrow{\overline{\text{new[A]}}} \text{A1} \xrightarrow{\text{new[A]}} \text{A1} \xrightarrow{\text{new[A]}} \text{a} \xrightarrow{\frac{\text{assign}}{\left[\hat{c}2\right]}} \text{a\#c2} \xrightarrow{\frac{\text{dispatch[A]}}{\hat{c}2}} \text{this}^{n} \xrightarrow{\frac{\text{load[1]}}{\hat{c}}} \text{q} \qquad (14)$$

These two  $L_{DC}$ -paths both keep track of where O1 flows to in the PAG of this program. According to the first  $L_{DC}$ -path, O1 flows to P0 as expected. However, due to the existence of the second  $L_{DC}$ -path, O1 can also flow to P0 spuriously as the flows traversal for finding the receiver object of P0 a is triggered at callsite P1 but the dispatch ends up happening at callsite P2. To eliminate such precision loss,  $L_R$  requires boxed edge labels to be matched as balanced parentheses. As a result, the first  $L_{DC}$ -path in Eq. (13) will be considered as a valid  $L_{DCR}$ -path (since C1 is matched by C10 but the second  $L_{DC}$ -path in Eq. (14) will be ruled out (since C11 is not matched by C21).

 $L_{DC}$  can also lose precision caused by an incorrect dispatch context. Consider the following two  $L_{DC}$ -paths in the PAG of Fig. 10 (by ignoring the boxed labels  $\hat{c3}$  and  $\hat{c3}$  for now):

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 $01 \xrightarrow{new[0]} o1 \xrightarrow{assign} o \xrightarrow{store[1]} a \xrightarrow{\overline{assign}} a1 \xrightarrow{\overline{new[A]}} A1 \xrightarrow{new[A]} A1 \xrightarrow{assign} a1 \xrightarrow{assign} a$  $\Rightarrow \frac{\text{assign}}{\text{constant}} \text{ a#c3} \xrightarrow{\text{dispatch[A]}} \text{this}^{\text{id}} \xrightarrow{\text{load[1]}} \text{p} \xrightarrow{\text{store[0]}} \text{this}^{\text{id}} \xrightarrow{\text{dispatch[A]}} \text{a#c3}$ (15) $\xrightarrow{\overline{assign}} a \xrightarrow{\overline{assign}} a1 \xrightarrow{\overline{new[A]}} a1 \xrightarrow{\overline{new[A]}} A1 \xrightarrow{new[A]} a1 \xrightarrow{assign} a \xrightarrow{boad[0]} v \xrightarrow{c_1} v1$  $01 \xrightarrow{new[0]} o1 \xrightarrow{assign} o \xrightarrow{c\hat{1}} o \xrightarrow{store[1]} a \xrightarrow{\overline{assign}} a1 \xrightarrow{\overline{new[A]}} A1 \xrightarrow{new[A]} A1 \xrightarrow{new[A]} a1 \xrightarrow{assign} a$  $\xrightarrow{\text{assign}} \text{a\#c3} \xrightarrow{\text{dispatch[A]}} \text{this}^{\text{id}} \xrightarrow{\text{load[1]}} \text{p} \xrightarrow{\text{store[0]}} \text{this}^{\text{id}} \xrightarrow{\text{dispatch[A]}} \text{a\#c3}$ (16)

These two  $L_{DC}$ -paths differ only in their underlying contexts and target variables used: the second can be obtained from the first by replacing each occurrence of c1 with c2 and v1 with v2. Both  $L_{DC}$ -paths keep track of where 01 will flow to, starting from the call "wid(a1,o1); // c1". According to the first  $L_{DC}$ -path, v1 points to 01 as expected. However, due to the existence of the second  $L_{DC}$ -path, 01, which comes from callsite c1, will flow into v2 at callsite c2 spuriously. Consider the dynamic dispatch that happens at "a.id(o); // c3" due to the call "wid(a1,o1); // c1". In the first  $L_{DC}$ -path, a starts with pointing to A1 under [c1] during its flowsto traversal (to find what a points to) and ends up with pointing to A1 under [c1] during the ensuing flowsto traversal. This flowsto traversal can happen from the call "wid(a1,o1); // c1". However, in the second  $L_{DC}$ -path, a starts also with pointing to A1 under [c1] during its flowsto traversal but ends up with pointing to A1 under [c2] during the ensuing flowsto traversal. This flowsto traversal cannot happen from the call "wid(a1,o1); // c1".

Consider a virtual callsite " $\mathbf{r}.\mathbf{m}(a_1,\ldots,a_n)$ ; //c" with a reference to Eq. (10). In general, when performing a flowsto traversal to find that  $\mathbf{r}$  points to a receiver object O under  $[\check{c}_1,\ldots,\check{c}_k]$ ,  $L_R$  must be designed to ensure that we can return from O to  $\mathbf{r}$  by performing a flowsto traversal under exactly  $[\hat{c}_k,\ldots,\hat{c}_1]$  in order to avoid passing arguments spuriously.

To address CHL3 (i.e., the two sources of imprecision above), we introduce a third CFL  $L_R$ :

recoveredCtx 
$$\rightarrow$$
 recoveredCtx  $\hat{c}$  | recoveredCtx siteRecovered |  $\epsilon$  siteRecovered |  $\epsilon$  siteRecovered |  $\epsilon$  |  $\epsilon$  ctxRecovered |  $\epsilon$  matched |  $\epsilon$  matched |  $\epsilon$  matched |  $\epsilon$  ctxRecovered |  $\epsilon$  |  $\epsilon$  ctxRecover

All the above-edge labels are handled similarly as in the case of  $L_C$ . By decomposing each double-label edge into a sequence of two single-label edges as described in Sec. 2.1.2, matched is extended by adding a new production matched  $\longrightarrow$  above-edge-label, where the new non-terminal above-edge-label is defined in terms of all the original above-edge labels in a given PAG.

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With  $L_R$  being incorporated into  $L_{DC}$ , the resulting language  $L_{DCR} = L_D \cap L_C \cap L_R$  will be precise in handing parameter passing for virtual callsites. Let us return to the two paths given in Eq. (15) and Eq. (16) with  $\overline{(3)}$  and  $\overline{(3)}$  being considered. The first one is an  $L_{DCR}$ path but the second is not. The first one is an  $L_{DCR}$ -path, since we start the dynamic dispatch process at callsite c3 (marked by the first c3) under context [c1] and return to the same callsite under the same context at the end of this dynamic dispatch process (marked by the  $\text{first } \underbrace{\check{\mathtt{c3}}}) \text{ even though } \mathtt{c1} \text{ is lost, i.e., balanced out due to } \hat{\mathtt{c1}}\check{\mathtt{c1}} \text{ just after the first a} \xrightarrow{\overline{\mathtt{assign}}} \mathtt{a1}$ edge is traversed. The second one is not an  $L_{DCR}$ -path, since even though we also start the dynamic dispatch process at callsite c3 (marked by the first [c3]) under context [c1], we end up returning to the same callsite under a different and thus incorrect context, [c2], at the end of this dynamic dispatch process (marked by the first  $\boxed{\texttt{c3}}$ ). As a result,  $L_{DCR}$  will conclude that 01 is pointed to by v1 but not by v2 as desired.

Below, we give a formal development of  $L_R$  and then prove the precision of  $L_{DCR}$ .

We can obtain the points-to set of a variable v,  $PTS(v, c_v)$ , from  $L_{DC}$  as follows. Given an  $L_C$ -path p with its label being  $L_C(p) = \ell_1, \ldots, \ell_n$ , where each  $\ell_i$  is an entry or exit context label in an inter-procedural assign edge, the inverse of p, i.e.,  $\overline{p}$  has the label  $L_C(\overline{p}) = \ell_n, \ldots, \ell_1$ . By splitting p into a sub-path  $p^{\text{ex}}$  followed by a sub-path  $p^{\text{en}}$ , we can define  $L_C^{\text{ex}}(p) = L_C(p^{\text{ex}})$ and  $L_C^{\text{en}}(p) = L_C(p^{\text{en}})$ , where  $L_C(p) = L_C^{\text{ex}}(p)L_C^{\text{en}}(p)$ , such that  $L_C^{\text{ex}}(p)$  ( $L_C^{\text{en}}(p)$ ) is derived from exit (entry) in  $L_C$ 's grammar (Eq. (2)). Let  $s \in L_C$ . Let  $\mathcal{B}(s)$  return the canonical form of s with all its balanced contexts (i.e., parentheses) removed. If c is a string of exit contexts of the form  $\check{c_1} \dots \check{c_n}$ , we write  $\mathscr{E}(c) = [c_1, \dots, c_n]$  to turn it into a context representation (by noting that  $\mathscr{E}(\epsilon) = [\ ]$ ).

Given an  $L_{DC}$ -path p starting from an object O to a variable v, we can deduce the following points-to relation with the contexts of O and v being spelt out clearly:

$$\langle O, \mathscr{E}(\mathscr{B}(L_C^{\mathsf{ex}}(p))) \rangle \in \mathsf{PTS}(v, \mathscr{E}(\overline{\mathscr{B}(L_C^{\mathsf{en}}(p))}))$$
 (18)

Let us consider an example. Let  $p_{01,v}$  be the  $L_{DC}$ -path in Eq. (7) (by ignoring  $\hat{c3}$  and  $\hat{c3}$ ). By definition,  $L_C(p_{01,v}) = \hat{c1}\hat{c1}\hat{c1}\hat{c3}$ , where  $p_{01,v}^{\rm ex}$  can be interpreted as the sub-path from 01 to A1 and  $p_{01,v}^{en}$  as the sub-path from A1 to v. Thus,  $L_C^{ex}(p_{01,v}) = \hat{c1c1}$  and  $L_C^{en}(p_{01,v}) = \hat{c1c3}$ . Since  $\mathscr{E}(\mathscr{B}(\hat{\mathsf{c1c1}})) = \mathscr{E}(\epsilon) = []$  and  $\mathscr{E}(\overline{\mathscr{B}(\hat{\mathsf{c1c3}})}) = \mathscr{E}(\overline{\hat{\mathsf{c1c3}}}) = \mathscr{E}(\check{\mathsf{c3c1}}) = [\mathsf{c3,c1}]$ , we have:

$$\mathscr{B}(\mathsf{c1c1})) = \mathscr{E}(\epsilon) = []$$
 and  $\mathscr{E}(\mathscr{B}(\mathsf{c1c3})) = \mathscr{E}(\mathsf{c1c3}) = \mathscr{E}(\mathsf{c3c1}) = [\mathsf{c3,c1}]$ , we have

$$\langle 01, [] \rangle \in PTS(v, [c3, c1])$$

 $L_{DC}$  can lose precision since, for some  $L_{DC}$ -paths, its sub-paths responsible for performing dynamic dispatch can be spurious. Consider a virtual callsite  $r.m(a_1,...,a_n)$  // c. Before passing an argument  $a_i$  into (or receiving a return value from) a method invoked,  $L_{DC}$  performs dynamic dispatch by carrying out the following alias-related traversal on its receiver variable r:

$$\cdots \xrightarrow{\ell} \mathbf{r} \ \overline{\mathsf{flowsto}} \ O \ \mathsf{flowsto} \ \mathbf{r'} \xrightarrow{\underset{[c]}{\mathsf{c'}}} \mathbf{r'} \# c' \xrightarrow{\mathsf{dispatch}[\_]} \cdots \tag{19}$$

where  $\ell$  is store[i] (in passing  $a_i$ ) or  $\overline{\mathsf{load}[0]}$  (in retrieving a return value). Such a path, which starts from  $\hat{c}$  and ends at c', is called a dispatch path, which is valid if two conditions are met:

- DP-C1: c = c' (implying that r = r'), and
- DP-C2: O is pointed by both r and r' (which are thus aliases) under exactly the same context.

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However,  $L_{DC}$  can only ensure that  $\mathbf{r}$  and  $\mathbf{r}'$  are aliases but with no guarantee for the validity of this dispatch path. To filter out all  $L_{DC}$ -paths containing invalid dispatch paths, we use  $L_R$  given earlier to enforce DP-C1 and DP-C2, thereby addressing CHL3 by restoring the callsite and context of  $\mathbf{r}$ . In particular, the siteRecovered-production enforces DP-C1, and the set of ctxRecovered-productions, together with the set of matched-productions, enforce DP-C2.

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We have designed  $L_R$  to filter out all  $L_{DC}$ -paths containing invalid dispatch paths. Let us examine its productions by considering a generic dispatch path given in Eq. (19). The start symbol recoveredCtx would define a language that contains  $L_C$  if its alternative recoveredCtx siteRecovere were changed to recoveredCtx. Therefore,  $L_R$  comes into play only when a dispatch path is traversed by enforcing simply DP-C1 and DP-C2.

To enforce DP-C1, the production siteRecovered  $\longrightarrow \widehat{\mathbb{C}}$  ctxRecovered  $\widecheck{\mathbb{C}}$  states that if we start a dispatch process at a call site (flagged by  $\widehat{\mathbb{C}}$ ), we must return to the same call site (flagged by  $\widecheck{\mathbb{C}}$ ). For the dispatch path illustrated in Eq. (19), we are therefore guaranteed that c = c', and consequently,  $\mathbf{r} = \mathbf{r}'$ . As a result, once  $\widehat{\mathbb{C}}$  and  $\widecheck{\mathbb{C}}$  are matched, c is recovered to appear at the ensuing dispatch edge so that dynamic dispatch can be performed at exactly the same callsite, i.e., c.

To enforce DP-C2, we rely on the ctxRecovered- and matched-productions, of which ctxRecovered  $\rightarrow$   $\check{c}$  ctxRecovered  $\hat{c}$  plays the key role. Let us explain its theoretical basis by referring to a generic dispatch path in Eq. (19). We can express DP-C2 equivalently as follows. Let  $p_{r,O}$  be the flowsto path from r to O. Its inverse  $\overline{p_{r,O}}$  is naturally a flowsto path. Let  $p_{O,r'}$  be the flowsto path from O to r'. By Eq. (18), we obtain:

$$\langle O, \mathscr{E}(\mathscr{B}(L_C^{\text{ex}}(\overline{p_{\mathbf{r},O}}))) \rangle \in \mathsf{PTS}(\mathbf{r}, \mathscr{E}(\overline{\mathscr{B}(L_C^{\text{en}}(\overline{p_{\mathbf{r},O}}))})) \\
\langle O, \mathscr{E}(\mathscr{B}(L_C^{\text{ex}}(p_{O,\mathbf{r}'}))) \rangle \in \mathsf{PTS}(\mathbf{r}', \mathscr{E}(\overline{\mathscr{B}(L_C^{\text{en}}(p_{O,\mathbf{r}'}))}))$$
(20)

As aliases, both r and r' must always point to O with exactly the same heap context:

$$\mathscr{E}(\mathscr{B}(L_C^{\text{ex}}(\overline{p_{r,O}}))) = \mathscr{E}(\mathscr{B}(L_C^{\text{ex}}(p_{O,r'})))$$
(21)

As a result, the entry contexts in  $\overline{\mathcal{B}(L_C^{\text{ex}}(\overline{p_{r,O}}))}$  are fully balanced out by the exit contexts in  $\mathcal{B}(L_C^{\text{ex}}(p_{O,r'}))$  in  $L_C$ . Thus, the following must be true:

$$\mathscr{B}\left(\overline{\mathscr{B}(L_C^{\mathsf{ex}}(\overline{p_{\mathbf{r},O}}))}\mathscr{B}(L_C^{\mathsf{ex}}(p_{O,\mathbf{r}'}))\right) = \epsilon \tag{22}$$

Recall that exit and entry are inverses of each other according to  $L_C$  given in Eq. (2).

Now, both  ${\tt r}$  and  ${\tt r}'$  have exactly the same context (needed by DP-C2) iff the following holds:

$$\mathscr{E}(\overline{\mathscr{B}(L_C^{en}(\overline{p_{r,O}}))}) = \mathscr{E}(\overline{\mathscr{B}(L_C^{en}(p_{O,r'}))})$$
(23)

In  $L_R$ , the exit contexts in  $\mathscr{B}(L_C^{\mathsf{en}}(\overline{p_{\mathtt{r},O}}))$  are thus needed to be balanced out by the entry contexts in  $\mathscr{B}(L_C^{\mathsf{en}}(p_{O,\mathtt{r}'}))$  (in order to eliminate invalid dispatch paths):

$$\mathscr{B}\left(\mathscr{B}(L_C^{en}(p_{O,\mathbf{r}'})\overline{\mathscr{B}(L_C^{en}(\overline{p_{\mathbf{r},O}}))}\right) = \epsilon$$
(24)

We are now ready to explain the ctxRecovered- and matched- productions in  $L_R$ . When traversing a dispatch path illustrated in Eq. (19), ctxRecovered  $\longrightarrow$   $\check{c}$  ctxRecovered  $\hat{c}$  serves to enforce DP-C2 according to Eq. (24), matched  $\longrightarrow$  siteRecovered is used to start traversing another dispatch path (recursively), the remaining productions serve to skip all matched contexts and all matched callsites. Informally, if we write down all the unmatched exit contexts we see when moving from  $\mathbf{r}$  to O ( $\mathbf{r}$  flowsto O) as  $\check{c}_1, \ldots, \check{c}_n$ , then all the unmatched

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entry contexts we see in returning from O to  $\mathbf{r}'$  (O flowsto  $\mathbf{r}'$ ) must be  $\hat{c_n}, \ldots, \hat{c_1}$ . ( $\mathbf{r} = \mathbf{r}'$  due to DP-C1.)

To compute the points-to information according to  $L_{DCR}$ , we can continue to use Eq. (18) except that we only need to consider the  $L_{DCR}$ -paths in the PAG representation of the program.

Let us return to the two  $L_{DC}$ -paths given in Eq. (15) and Eq. (16) discussed at the beginning of Sec. 3.2.2. The  $L_{DC}$ -path in Eq. (15) is also an  $L_{DCR}$ -path since its dispatch paths are all valid. However, the  $L_{DC}$ -path in Eq. (16) is not an  $L_{DCR}$ -path, since its first dispatch path launched at callsite c3 starting from a and ending at a#c3 is not valid. Given that  $\overline{\mathscr{B}(L_C^{en}(\overline{p_{a,A1}}))} = \check{c1}$  and  $\mathscr{B}(L_C^{en}(p_{A1,a})) = \hat{c2}$ , which implies that  $\mathscr{B}(\mathscr{B}(L_C^{en}(p_{A1,a}))) \overline{\mathscr{B}(L_C^{en}(\overline{p_{a,A1}}))}) = \hat{c2}\check{c1} \neq \epsilon$ , this dispatch path is invalid since  $\check{c1}\hat{c2}$  cannot balance out according to ctxRecovered  $\longrightarrow \check{c}$  ctxRecovered  $\hat{c}$ .

Theorem 1.  $L_{DCR}$  is precise in handling parameter passing for virtual callsites.

Proof. Due to Lemmas 1 and 2, we only need to show by proceeding exactly as in the proof of Lemma 2 that for every virtual callsite " $r.m(a_1, ..., a_n)$ ; // c", where parameter passing for one of its arguments takes place under a given context C,  $L_{DCR}$  will perform its parameter passing for exactly the same set T of target methods found on the fly at this callsite under C by a separate call graph construction algorithm used in  $L_{FC}$ . This is true since  $L_R$  has succeeded in filtering out all and only  $L_{DC}$ -paths containing invalid dispatch paths (as argued above).

We can now apply  $L_{DCR}$  to compute the points-to information in our motivating example (Fig. 3). Note that even though in its PAG (Fig. 7), the set of target methods at a virtual callsite is over-approximated conservatively by CHA [9],  $L_{DCR}$  will perform its own built-in on-the-fly call graph construction during its analysis, as illustrated in Eq. (7). Therefore, C:foo(), which appears in the PAG, will be filtered out due to on-the-fly call graph construction.

Similar to existing CFL-reachability formulations such as those proposed by Sridharan et al. [54, 55] and others [50, 63, 65],  $L_{DCR}$  can be effectively utilized for implementing demand-driven pointer analysis. It is important to note that whole-program and demand-driven pointer analyses are equivalent, with a slight operational difference. To analyze a program, whole-program analyses require a specified set M of entry methods, while demand-driven analyses require a specified set V of query variables (in the form of context and variable pairs). As a result, the whole-program analysis may not compute the points-to information for certain variables in V that are not part of the reachable code starting from the specified entry methods in M, unlike the demand-driven analysis.

Therefore, the precision of  $L_{DCR}$  is simply related to that of kCFA (which is specified by an Andersen-style formulation given in Fig. 1) as follows. Let PTS(v, c) be the points-to set of a pointer variable v computed by kCFA for a program (starting from main()), which implies that v is in reachable code from main() under context c. Then exactly the same points-to set PTS(v, c) can also be obtained for pointer v under context c from  $L_{DCR}$  according to Eq. (18). However, the converse is not true due to the existence of unreachable code when kCFA is applied. Consider a simple program given in Figure 11. If we choose main() as the only entry method for the program to be analyzed by a whole-program analysis, then B.n() becomes unreachable from main(). According to the rules specified in Fig. 1, the whole-program analysis will conclude that PTS(p, [c1]) = { $\langle 01, [] \rangle \rangle$ , indicating that p points to object 01 exclusively. However, when applying  $L_{DCR}$  for a demand-driven analysis to compute

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```
1 static void main() {
                                          9 void n() {}
    A a1 = new A(); // A1
                                         10 }
3
    Object o1 = new \ Object(); // \ O1 \ 11 \ class \ B \ extends \ A \ \{
    a1.m(o1); // c1
                                              void n() {
                                         12
5
    a1.n();
                                         13
                                                A \ a2 = new \ A(); // \ A2
6 }
                                                Object o2 = new Object(); // o2
                                         14
                                                a2.m(o2); // c2
7 class A {
                                         15
8 void m(Object p) {}
                                         16 }}
```

Fig. 11. An example for illustrating the equivalence and difference between  $L_{DCR}$  and kCFA.

the points-to information for p, we need to explicitly specify a context for the points-to query. There are three possibilities: [c1], [c2], and []. According to Eq. (18), this results in PTS(p, [c1]) =  $\{\langle 01, [] \rangle \}$ , PTS(p, [c2]) =  $\{\langle 02, [] \rangle \}$ , and PTS(p, []) =  $\{\langle 01, [] \rangle \}$ , respectively. In the first scenario, the points-to information is computed for the callsite c1, resulting in the same outcome as the whole-program analysis. However, in the second scenario, the points-to information is computed specifically for the callsite c2, which is not reachable from the main() method. In the third scenario, the analysis takes into account both callsites, providing points-to information for each. It is important to highlight that in the second and third scenarios, the demand-driven analysis effectively treats B.n() as an additional entry method alongside main(). This example demonstrates the equivalence and disparity between whole-program and demand-driven analyses, highlighting the impact of unreachable code on the computed points-to information. Note that this asymmetric problem is also present when relating the precision of existing CFL-reachability formulations for supporting callsite-based context-sensitivity [50, 54, 63] and object-sensitivity [35, 37] to that of their corresponding Andersen-style inclusion-based formulations.

#### 3.3 Time Complexities

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In general, the traditional  $L_{FC}$ -reachability problem [54] is undecidable as it is the intersection of two CFLs interleaved with each other [47]. For a similar reason, the  $L_{DCR}$ -reachability problem is also undecidable as it is the intersection of three CFLs interleaved with each other. In fact, the  $L_D \cap L_{C^-}$ ,  $L_D \cap L_{R^-}$ , and  $L_C \cap L_{R^-}$ -reachability problems are all undecidable. For example, the  $L_C \cap L_R$ -reachability problem is undecidable as the terminals in  $L_C$  (i.e.,  $\hat{c}$  and  $\check{c}$ ) interleave with the terminals in  $L_R$  (i.e.,  $\hat{c}$ ,  $\check{c}$ ,  $\hat{c}$ , and  $\check{c}$ ), making  $L_C \cap L_R$  context-sensitive. Intuitively, a string in  $L_C \cap L_R$  can be understood as a path starting from a virtual callsite for the purposes of finding its receiver object and then returning back to the same virtual callsite. Since such paths can be different under different calling contexts for a given callsite,  $L_C \cap L_R$  must be context-sensitive.

For a single CFL  $L \in \{L_D, L_C, L_R\}$ , the time complexity for solving its L-reachability problem is bounded by  $O(m^3n^3)$  from above, where m is its grammar size and n is the number of PAG nodes. As  $L_C$  is a standard Dyck-CFL defined over a PAG, which can be seen as a bidirected graph for  $L_C$ , the complexity for solving the  $L_C$ -reachability problem can be reduced to  $O(p + n \cdot \alpha(n))$  [6], where p is the number of PAG edges, n is the number of PAG nodes, and  $\alpha(n)$  is the inverse Ackermann function. For all practical purposes, we can apply k-limiting to  $L_C$  to make the  $L_{FC}$ -reachability problem computable in polynomial

time again. Similarly, we can also apply k-limiting to both  $L_C$  and  $L_R$  to make the  $L_{DCR}$ -reachability problem computable in polynomial time again.

### $L_{DCR}$ : An Application

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As the secondary contribution of this research, we demonstrate the utility of  $L_{DCR}$  by considering one significant application (among the list of potential applications discussed in Sec. 1). We introduce the first  $L_{DCR}$ -enabled pre-analysis, P3Ctx, for accelerating kCFA (implemented as a whole-program analysis in terms of the rules in Fig. 1) with selective context-sensitivity while always preserving its precision. This also serves to validate the correctness of  $L_{DCR}$ . In contrast, Selectx, a recently proposed pre-analysis developed based on  $L_{FC}$  [36], is not precision-preserving.

### 4.1 Selective Context-Sensitivity

Context-sensitivity is vital for enhancing pointer analysis precision. Blindly applying context sensitivity to all program variables and objects is time-consuming and provides limited precision benefits due to the presence of non-precision-critical elements. To address this, selective context-sensitivity focuses on applying context-sensitivity only to a pre-selected subset of precision-critical program variables and objects, while analyzing others in a context-insensitive manner. Several pre-analysis techniques have been proposed to support selective context-sensitive pointer analysis by pre-selecting a subset of program variables and objects [13, 14, 16, 20, 22, 30, 31, 36]. However, misclassification of precision-critical variables and objects in these techniques can lead to precision loss in the main pointer analysis. To address this issue, we introduce P3Ctx, the first precision-preserving pre-analysis technique based on  $L_{DCR}$  for identifying precision-critical variables and objects, supporting selective context-sensitivity in kCFA while maintaining analysis precision.

We have developed P3Ctx by following the same basic principle introduced in [36] for developing Selectx. For more technical details, we refer to [36].

4.1.1 CFL-Reachability-Guided Selections The basic idea in applying  $L_{FC}$  to develop Selectx [36] is simple. Let  $p_{O,n,v}$  be a flowsto path operated by  $L_{FC}$  from some object O to some variable v, where n is a variable/object accessed in a method M. Let  $p_{O,n}$  be its sub-path from O to n and  $p_{n,v}$  its sub-path from n to v. Then n requires context-sensitivity (to prevent kCFA from potentially losing precision) only if the following three conditions are satisfied:

$$\begin{aligned} & \text{CS-C1}: L_F(p_{O,n,v}) \in L_F \\ & \text{CS-C2}: \land L_C(p_{O,n}) \in L_C \land L_C(p_{n,v}) \in L_C \\ & \text{CS-C3}: \land L_C^{\text{en}}(p_{O,n}) \neq \epsilon \land L_C^{\text{ex}}(p_{n,v}) \neq \epsilon \end{aligned} \tag{25}$$

where  $L_C^{en}$  and  $L_C^{ex}$  are defined in Sec. 3.2.2. In this case, O from outside M flows into n along  $p_{O,n}$  context-sensitively and n flows out of M into v along  $p_{n,v}$  context-sensitively, via M's parameters (or return variable) along each path. Note that  $p_{O,n,v}$  itself is not required to be an  $L_{FC}$ -path.

Selectx will select n to be context-sensitive if CS-C1- CS-C3 hold. By interpreting these conditions as being sufficient (rather than just necessary), Selectx is conservative as it may select some n to be context-sensitive even though kCFA loses no precision if it is analyzed context-insensitively.

However, Selectx may cause kCFA to lose precision. Consider our motivating example given in Fig. 3, for which whether v points to 02 spuriously or not hinges on whether d, o,

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x, and D1 in bar() (containing a virtual callsite x.foo(d)) are analyzed context-sensitively or not. By reasoning about  $L_{FC}$  that operates on the PAG given in Fig. 4 for this example, Selectx will select all the four to be context-insensitive (causing v to point to O2), as none can flow out of bar() via its parameter x (which is also the receiver variable of x.foo(d)) in this PAG. Thus, CS-C3 fails to hold. In  $L_{FC}$ , which uses a separate algorithm for call graph construction, its PAG representation contains no dispatch paths that allow these four variables/objects to flow outside bar() via x as shown.

P3Ctx will always be precision-preserving as it leverages CS-C1-CS-C3 by substituting  $L_D$  for  $L_F$ , with  $L_{DC}$  operating on a new PAG representation including explicitly the dispatch paths for all virtual callsites in the program (as discussed below in Sec. 4.1.2). Consider our motivating example again, with its new PAG depicted in Fig. 7 for supporting built-in call graph construction. In  $L_D$ , parameter passing for d at x.foo(d) is CFL-reachability-related to its receiver variable x. Let  $p_{01,n,v}$  be the path in Eq. (7) (which happens to be an  $L_{DC}$ -path). Let  $n \in \{d, o, x, D1\}$ . P3Ctx will select every n to be context-sensitive, since (1)  $p_{01,n,v}$  is an  $L_D$ -path (CS-C1), (2) both  $p_{01,n}$  and  $p_{n,v}$  are  $L_C$ -paths (CS-C2), and (3)  $L_C^{en}(p_{01,n}) = \hat{c1} \neq \epsilon$  and  $L_C^{ex}(p_{n,v}) = \hat{c1} \neq \epsilon$  (CS-C3).

4.1.2 Regularization To make P3Ctx as lightweight as possible so that we can efficiently make context-sensitivity selections without losing the performance benefits obtained from a subsequent main pointer analysis, we have decided to keep  $L_C$  unchanged as done in several earlier pre-analyses [35–37] but regularize  $L_D$  and  $L_R$ . We first regularize  $L_R$  to  $L_R^r$  as follows:

recoveredCtx 
$$\stackrel{\frown}{=}$$
 recoveredCtx  $\stackrel{\frown}{c}$  | recoveredCtx  $\stackrel{\frown}{c}$  | recoveredCtx  $\stackrel{\frown}{c}$  |  $\epsilon$  (26)

As a result, we have  $L_D \cap L_C \cap L_R^r = L_D \cap L_C = L_{DC}$ . By noting further that the boxed edge labels in  $L_R^r$  (i.e.,  $\hat{\mathbb{C}}$  and  $\check{\mathbb{C}}$ ) are irrelevant to context-sensitivity selections and the regular entry/exit context labels in  $L_R^r$  (i.e.,  $\hat{c}$  and  $\check{c}$ ) have already been included in  $L_C$ , we conclude that  $L_R^r$  (i.e.,  $L_R$ ) can be ignored safely (or conservatively). As  $L_{DC} \supseteq L_{DCR}$  (i.e.,  $L_{DC}$  captures all the possible value-flows that are captured by  $L_{DCR}$  for a given program) according to Lemma 2, it suffices to use  $L_{DC}$  in place of  $L_{FC}$  in Eq. (25) in developing our precision-preserving pre-analysis. Like the  $L_{FC}$ -reachability problem, the  $L_{DC}$ -reachability problem is also undecidable [47]. In this work, we follow [36] to first regularize  $L_D$  into  $L_{Dr}$ , and consequently, over-approximate  $L_{DC}$  to obtain  $L_{DrC} = L_{Dr} \cap L_C$ . In Sec. 4.1.3, we will give an algorithm to verify CS-C1- CS-C3 efficiently by using  $L_{DrC}$ .

We start with  $L_0 = L_D$ . We first over-approximate  $L_0$  by disregarding its field-sensitivity requirement and thus obtain  $L_1$  given below:

In the absence of field-sensitivity, a dispatch ( $\overline{\text{dispatch}}$ ) edge behaves just like an assign ( $\overline{\text{assign}}$ ) edge and can thus be interpreted this way. As a result, we obtain  $L_2$  below:

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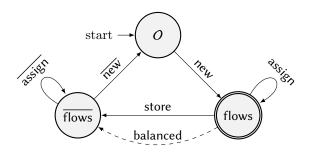


Fig. 12. A DFA for accepting  $L_{D^r}$ .

Our approximation goes further by treating a load ( $\overline{\mathsf{load}}$ ) edge as also an assign ( $\overline{\mathsf{assign}}$ ). As a result, we will no longer require a store ( $\overline{\mathsf{load}}$ ) edge to be matched by a load ( $\overline{\mathsf{store}}$ ) edge. This will give rise to  $L_3$  below:

Finally, we obtain  $L_{D^r} = L_4$  given below by no longer distinguishing a store edge from its inverse, store edge, so that we can represent both types of edges as a store edge:

Lemma 3.  $L_D \subseteq L_{D^r}$ .

Proof. Follows from the fact that  $L_i \subseteq L_{i+1}$ .

While  $L_{D^r}$  is identical to  $L_R$  regularized from  $L_F$  in Selectx [36], our PAG representation (Fig. 6), which makes all dynamic dispatch paths explicitly, differs fundamentally from the one operated by  $L_{FC}$  (Fig. 2). This ensures that P3Ctx is precision-preserving even though Selectx is not.

Let G = (N, E) be the PAG of a program. We use Andersen's algorithm [1] instead of CHA [9] to build its call graph in order to sharpen the precision of P3Ctx.

We use a simple DFA shown in Fig. 12 designed to accept  $L_{D^r}$  exactly. P3Ctx runs interprocedurally in linear time of the number of the PAG edges in G. To deal with  $L_C$ , we make use of summary edges added into the PAG (facilitated by the dotted transition labeled as balanced).

4.1.3  $P3C\tau x$  We follow [14] to develop a simple algorithm to verify CS-C1- CS-C3 efficiently based on two properties that can be easily deduced from the DFA given in Fig. 12 as stated below.

Let  $Q = \{O, \text{flows}, \text{flows}\}\$  be the set of states in the DFA and  $\delta: Q \times \Sigma \mapsto Q$  be the underlying state transition function. Given a PAG edge  $n_1 \stackrel{\ell}{\to} n_2 \in E$  in G with its state transition  $\delta(q_1, \ell) = q_2$ , we define  $(n_1, q_1) \mapsto (n_2, q_2)$  as a one-step transition. The transitive

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closure of  $\rightarrow$ , denoted by  $\rightarrow$ <sup>+</sup>, represents a multiple-step transition. Any multiple-step transition from O to flows and that from flows to O represent flows to and flows in Eq. (30), respectively. As flows and flows in  $L_{D^r}$  are symmetric, the following two properties about this DFA are immediate:

• PROP-0. Let O be an object created in a method M. Then the following always holds:

$$\langle \mathsf{this}^{\mathsf{M}}, \mathsf{flows} \rangle \mapsto^+ \langle O, O \rangle \iff \langle O, O \rangle \mapsto^+ \langle \mathsf{this}^{\mathsf{M}}, \overline{\mathsf{flows}} \rangle$$

• PROP-V. Let v be a variable defined in a method M. Then the following always holds:

$$\langle \mathsf{this}^{\mathsf{M}}, \mathsf{flows} \rangle \mapsto^+ \langle v, q \rangle \iff \langle v, \overline{q} \rangle \mapsto^+ \langle \mathsf{this}^{\mathsf{M}}, \overline{\mathsf{flows}} \rangle$$

where  $q \in \{\text{flows}, \overline{\text{flows}}\}\ (\text{since } v \text{ is a variable}).$ 

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To handle static callsites (static methods) uniformly as virtual callsites (virtual methods), we assume that a static callsite is invoked on a (unique) dummy receiver object. Thus, in our PAG representation for a program (constructed according to the rules given in Fig. 6), passing arguments and receiving return values for a method will all flow through its "this" variable.

P3Ctx can therefore verify CS-C1-CS-C3 efficiently as follows. To verify CS-C1 in Eq. (25), where  $L_F$  is now replaced by  $L_{D^r}$ , we do not have to start from an object to track its flows to paths. For each method, we can start from its "this" variable by assuming reasonably and over-approximately that there always exists some object O that can flow into it. To verify CS-C2, we take advantage of summary edges as in [36] to verify the balanced-parentheses property in  $L_C$ -paths. To verify CS-C3, we check if there exists  $q \in Q$  such that the following holds:

$$\langle \mathsf{this}^{\mathsf{M}}, \mathsf{flows} \rangle \rightarrowtail^+ \langle n, q \rangle \rightarrowtail^+ \langle \mathsf{this}^{\mathsf{M}}, \overline{\mathsf{flows}} \rangle$$
 (31)

where M is the containing method of n. This implies that n lies on an  $L_{D^r}$ -path collecting some values coming from outside M via this<sup>M</sup> and pumping them out of M via this<sup>M</sup>.

Let  $R: Q \mapsto \wp(N)$  return the set of nodes in G reached at a state  $q \in Q$ . Then verifying CS-C3, i.e., checking Eq. (31) is equivalent to checking whether the following condition holds or not:

$$n \in R(O) \quad \lor \quad n \in R(\mathsf{flows}) \cap R(\overline{\mathsf{flows}})$$
 (32)

The first disjunct says that if an object n is in R(O), then Eq. (31) holds due to PROP-0. Its second disjunct says that if a variable n is in  $R(flows) \cap R(\overline{flows})$ , then Eq. (31) holds (due to PROP-V).

Fig. 13 gives our algorithm for performing our P3Ctx pre-analysis in terms of three rules. Essentially, P3Ctx computes R by conducting a simple inter-procedural reachability analysis in G. In Fig. 13,  $R^{-1}: N \mapsto \wp(Q)$ , which returns the set of reachable states for a node in G, is the inverse of R. For the three rules, [F-INIT] does the initializations as needed, [F-PROPA] computes the reachable states for each node iteratively, and finally, [F-SUM] performs a standard context-sensitive summary for a callsite invoking M [48] by adding a summary edge  $n_1 \xrightarrow{\text{balanced}} n_2$  in G to capture inter-procedural reachability across the callsite (to avoid re-computing the same reachability information unnecessarily for the same callsite).

Theorem 2. kCFA (performed in terms of the rules in Fig. 1) produces exactly the same points-to information when performed with selective context-sensitivity under P3Ctx.

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$$n_1 \xrightarrow{\overline{c}} \mathsf{this}^\mathsf{M} \in E$$
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$$1376$$
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$$this^\mathsf{M} \in R(\mathsf{flows}) \quad \mathsf{flows} \in R^{-1}(\mathsf{this}^\mathsf{M})$$
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$$n_1 \xrightarrow{\ell} n_2 \in E \quad q_1 \in R^{-1}(n_1) \quad \delta(q_1, \ell) = q_2$$
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$$n_2 \in R(q_2) \quad q_2 \in R^{-1}(n_2)$$
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$$n_1 \xrightarrow{\overline{c}} \mathsf{this}^\mathsf{M} \in E \quad \mathsf{this}^\mathsf{M} \xrightarrow{\overline{c}} n_2 \in E \quad \overline{\mathsf{flows}} \in R^{-1}(\mathsf{this}^\mathsf{M})$$
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$$n_1 \xrightarrow{\underline{balanced}} n_2 \in E$$
[F-Propa]

Fig. 13. Rules for conducting P3CTx over G = (N, E).

Proof. Follows from the facts that (1) Eq. (25) provides a set of necessary conditions for supporting selective context-sensitivity, (2)  $L_{DCR}$  provides a specification of kCFA with built-in on-the-fly callgraph construction via CFL-reachability, (3)  $L_{D^rC} \supseteq L_{DC} \supseteq L_{DCR}$  (Lemma 3), and (4) [F-INIT] has weakened CS-C1 by starting from the this variable of every method instead of every object O.

The worst-case time complexity of P3Ctx in analyzing a program on G = (N, E) is  $O(|E| \times |Q|)$ , which is linear to |E|, where |Q| = 3 is the number of states in our DFA.

#### 4.2 Evaluation

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The primary focus of this work lies in the development  $L_{DCR}$  as the first CFL-reachability specification of kCFA with its own built-in call graph construction. In order to demonstrate its utility, we have also developed P3Ctx, the first precision-preserving pre-analysis for accelerating kCFA (Theorem 2). In this section, we provide an experimental validation of this claim on P3Ctx and compare it with two non-precision-preserving state-of-the-art pre-analyses, Selectx [36] and Zipper [30]. Our experimental results show that P3Ctx represents a new advance with better efficiency-precision trade-offs in a number of application scenarios highlighted below.

4.2.1 Experimental Setup We have implemented kCFA (i.e., Andersen's inclusion-based formulation given in Fig. 1) and P3Ctx (Fig. 13) in Soot [60] on top of its context-insensitive Andersen's pointer analysis, Spark [28], which is used for building the PAG of a program (including its call graph) for P3Ctx. To compare P3Ctx with Selectx and Zipper, we have reused their implementations provided in the Selectx artifact<sup>1</sup>. For evaluation purposes, we have followed a few common practices adopted in the pointer analysis literature [14, 16, 35–37, 44, 57]. We use a reflection log generated by a dynamic reflection analysis tool, TamiFlex [4] for resolving Java reflection. For native code, we use the method summaries provided in Soot. String factory objects and exception-like objects are distinguished per dynamic type and analyzed context-insensitively.

We have selected a set of 13 benchmarks from the DaCapo benchmark suite (the latest version 6cf0380) together with a large Java library (JRE1.8.0\_31). We have excluded only jython as all pointer analyses (evaluated in this section) except Spark cannot analyze this

<sup>&</sup>lt;sup>1</sup>Selectx artifact is available at https://doi.org/10.5281/zenodo.4732680

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benchmark to completion under a time budget of 12 hours due to its overly conservative reflection log [59].

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We have carried out all our experiments on an Intel(R) Xeon(R) W-2245 3.90GHz machine with 512GB of RAM, running on Ubuntu 20.04.3 LTS (Focal Fossa).

4.2.2 Results Table 3 contains the results for kCFA, P-kCFA (i.e., kCFA accelerated by P3Ctx), S-kCFA (i.e., kCFA accelerated by Selectx), and Z-kCFA (i.e., kCFA accelerated by Zipper), where  $k \in \{1,2\}$ . The results for Spark are also included for comparison purposes. Note that for  $k \geq 3$ , kCFA is unscalable for all the 13 programs under a time budget of 12 hours and thus has never been considered in the pointer analysis literature [20, 30, 31, 36, 44, 52, 57, 59].

Precision We measure the precision of a pointer analysis by considering four commonly used metrics [11, 14, 30, 37, 52, 57]: (1) "#Call Edges": the number of call graph edges discovered, (2) "#Fail Casts": the number of type casts that may fail, (3) "#Alias Pairs": the number of base variable pairs of stores and loads that are queried to be may-alias with trivial must aliases (e.g., due to direct assignments) being excluded [11], and (4) "Avg PTS": the average number of objects pointed by a variable by considering only the local variables in the Java methods (being analyzed). For each of the four precision metrics, smaller is better.

For each metric M,  $M_{PTA}$  denotes the result obtained by PTA, where PTA denotes any pointer analysis in {Spark, kCFA, P-kCFA, S-kCFA, Z-kCFA }. Let A-kCFA  $\in \{P$ -kCFA, S-kCFA, Z-kCFA be one of the three variants of kCFA such that A-kCFA is no less precise than Spark but no more precise than kCFA. We define the precision loss of A-kCFA with respect to kCFA on metric M as:

$$\Delta_{A-k\mathsf{CFA}}^{M} = \frac{(M_{\mathrm{Spark}} - M_{k\mathsf{CFA}}) - (M_{\mathrm{Spark}} - M_{A-k\mathsf{CFA}})}{M_{\mathrm{Spark}} - M_{k\mathsf{CFA}}} = \frac{M_{A-k\mathsf{CFA}} - M_{k\mathsf{CFA}}}{M_{\mathrm{Spark}} - M_{k\mathsf{CFA}}}$$
(33)

The precision improvement going from Spark to kCFA (considered as the baseline) is regarded as 100%. If  $M_{A-k}$ CFA =  $M_{k}$ CFA (i.e., A-kCFA is equally precise as kCFA), then  $\Delta^{M}_{A-k}$ CFA = 0%, implying that A-kCFA loses no precision at all. On the other hand, if  $M_{A-k}$ CFA =  $M_{s}$ Park (i.e., A-kCFA degenerates into Spark), then  $\Delta^{M}_{A-k}$ CFA = 100%, implying that A-kCFA loses all the precision gained by kCFA.

For all the 13 benchmarks considered, P-kCFA is always precision-preserving (i.e., for each precision metric M considered,  $\Delta^M_{P$ -kCFA = 0% always holds) as proved in Theorem 2 and validated further in Table 3 with P-kCFA producing exactly the same points-to results as kCFA

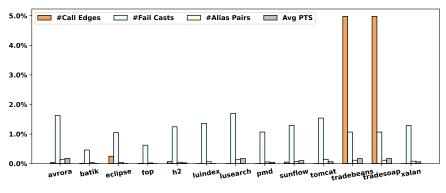
Fig. 14 plots the precision loss of S-2CFA and Z-2CFA. As shown in Fig. 14a, S-2CFA suffers from only a small loss of precision (0.8%, 1.2%, 0.1% and 0.1% for "#Call Edges", "#Fail Casts", "#Alias Pairs" and "Avg PTS", respectively, on average) as Selectx exploits  $L_{FC}$  [54] for making its context-sensitivity selections. We will introduce two examples below to explain the relatively large precision loss observed in tradebeans, tradesoap and eclipse. On the other hand, as shown in Fig. 14b, Z-2CFA suffers from a higher precision loss as Zipper exploits pattern-based heuristics to make its context-sensitivity selections. On average, its precision loss percentages for "#Call Edges", "#Fail Casts", "#Alias Pairs", and "Avg PTS" are 6.2%, 8.1%, 2.2%, and 2.0%, respectively.

Fig. 15 gives an example for illustrating why S-2CFA loses precision in tradebeans and tradesoap. Unlike P-2CFA, S-2CFA fails to identify the call in line 15 as a monomorphic call to toString() defined in java.lang.String. This example includes three classes with TreeMap

Table 3. The precision and efficiency of kCFA, P-kCFA (kCFA accelerated by P3CTx), S-kCFA (kCFA accelerated by Selectx), and Z-kCFA (kCFA accelerated by Zipper). The results for Spark are also included. For each main analysis in  $A \in \{P$ -kCFA, S-kCFA, Z-kCFA $\}$ , the analysis times are given as x(y), where x is the analysis time of A and y is the corresponding pre-analysis time (in seconds). For all metrics, smaller is better.

Program	Metrics	Spark	1CFA	P-1CFA	S-1CFA		2CFA	P-2CFA	S-2CFA	Z-20
avrora	Time(secs)	6.6	18.0	4.7 (1.2)	3.1 (21.5)	2.8 (4)	577.1	142.5 (1.2)	16.8 (21.6)	11.2
	#Call Edges	57509	55267	55267	55267	55403	54505	54505	54506	54
	#Fail Casts	1197	931	931	931	965	890	890	895	1.9
	#Alias Pairs Avg PTS	22327 36.19	13700 25.87	$13700 \\ 25.87$	13700 25.87	13703 26.48	13268 24.78	13268 24.78	13280 24.80	13
	Time(secs)	30.19	81.0	28.0 (4.7)	25.3 (169.5)	23.1 (243)	1473.9	466.5 (4.8)	271.1 (174.4)	276.5 (2
	#Call Edges		151995	151995	151997	152025	147428	147428	147430	150
batik	#Fail Casts	4573	3709	3709	3709	3713	3485	3485	3490	3
	#Alias Pairs	68130	38005	38005	38005	38012	32288	32288	32300	33
	Avg PTS	114.43	71.67	71.67	71.67	71.71	66.65	66.65	66.65	68
	Time(secs)	14.8	48.7	23.3 (2.0)	20.1 (54.6)	19.7 (14)	1221.1	331.0 (2.0)	171.8 (56.8)	143.9 (
	#Call Edges		97960	97960	98000		93662	93662	93703	93
eclipse	#Fail Casts	2896	2470	2470	2471	2474	2322	2322	2328	2
	#Alias Pairs		58489	58489	58500		51404	51404	51427	51
	Avg PTS	101.12	63.49	63.49	63.47	63.80	59.28	59.28	59.26	59
	Time(secs) #Call Edges	76.0		123.1 (10.6)	113.1 (603.8)			2399.6 (10.8)	1901.7 (604.5)	1405.1 (3
fop	#Fail Casts	9057	325547 8226	325547 $8226$	325551 8228	325591 8239	313954 7931	313954 7931	313958 7938	321
тор	#Alias Pairs		277047	277047	277047	277065	267389	267389	267401	268
	Avg PTS	233.48	141.19	141.19	141.19	141.25	132.98	132.98	132.98	135
	Time(secs)	16.1	75.7	18.5 (2.9)	15.8 (74.1)	14.3 (40)	6406.8	4164.6 (2.8)	3807.8 (74.4)	3127.4 (
	#Call Edges		135775	135775	135782	135806	134234	134234	134241	134
h2	#Fail Casts	2880	2477	2477	2477	2482	2398	2398	2404	2
	#Alias Pairs	77978	39209	39209	39209	39236	33331	33331	33351	33
	Avg PTS	72.61	34.61	34.61	34.61	34.68	32.63	32.63	32.64	33
	Time(secs)	18.5	41.0	24.0 (1.9)	22.6 (48.1)	20.1 (8)	829.1	232.3 (1.9)	109.0 (48.2)	82.3
1 . 1	#Call Edges	85850	79431	79431	79431	79602	78190	78190	78190	78
luindex	#Fail Casts	1726	1359	1359	1360		1286	1286	1292	1
	#Alias Pairs Avg PTS	50530 53.10	32905 24.75	32905 $24.75$	32905 24.75	32908 24.87	31795 23.04	31795 23.04	31807 23.04	32
	Time(secs)	5.3	12.6	3.5 (1.0)	24.75	1.9 (3)	414.0	129.3 (1.0)	9.6 (13.9)	7.1
	#Call Edges	45285	43117	43117	43117	43198	42412	42412	9.0 (13.9)	42.
lusearch	#Fail Casts	955	702	702	702	719	660	660	665	-12
	#Alias Pairs	20382	11693	11693	11693	11696	11263	11263	11275	11
	Avg PTS	31.38	20.73	20.73	20.74	20.85	19.73	19.73	19.75	19
	Time(secs)	20.3	109.5	42.6 (3.0)	37.2 (139.1)	35.9 (25)		13715.8 (3.0)	13671.4 (139.1)	9356.3 (
	#Call Edges	159395	153150	15315Ó	Ì5315Ó		152090	15209Ó	Ì5209Ó	152
$\operatorname{pmd}$	#Fail Casts	4702	4321	4321	4321	4325	4233	4233	4238	4
	#Alias Pairs		95977	95977	95977	95979	93083	93083	93095	93
	Avg PTS	90.97	68.76	68.76	68.76		67.48	67.48	67.49	67
	Time(secs)	9.9	25.9	7.4 (1.8)	5.5 (46.4)	5.3 (9)	643.1	165.1 (1.7)	33.0 (45.9)	27.7
aun florr	#Call Edges	77346	74198	74198	74200		73392	73392	73394	73
sunflow	#Fail Casts #Alias Pairs	$ \begin{array}{r} 2192 \\ 36952 \end{array} $	$\begin{vmatrix} 1771 \\ 21670 \end{vmatrix}$	1771 $21670$	1773 21670	$1776 \\ 21678$	1649 20703	1649 20703	1656 20715	$\begin{array}{c c} & 1 \\ & 21 \end{array}$
	#Allas Pairs Avg PTS	51.31	33.62	33.62	33.62	33.69	31.34	31.34	31.36	31
	Time(secs)	7.4	18.9	5.8 (1.3)	4.0 (20.8)	3.7 (4)	632.9	148.7 (1.3)	16.1 (20.8)	11.7
	#Call Edges	60649	57933	57933	57933	58024	57073	57073	57073	57
tomcat	#Fail Casts	1264	959	959	960	963	874	874	880	J.
	#Alias Pairs	30775	24504	24504	24504	24507	22202	22202	22214	22
	Avg PTS	39.88	25.37	25.37	25.37	25.51	24.03	24.03	24.04	24
	Time(secs)	8.7	25.9	7.6 (1.5)	5.6 (41.7)	5.2 (9)	737.4	166.5 (1.5)	30.2 (43.4)	18.2
	#Call Edges	70911	67742	67742	67742	67858	66814	66814	67018	67
	#Fail Casts	1523	1132	1132	1132	1135	1054	1054	1059	1
	#Alias Pairs	36256	27175	27175	27175	27178	25683	25683	25695	25
tradesoap	Avg PTS	47.67		31.80			29.95	29.95	29.98	17.0
	Time(secs)	8.4	24.8	7.7 (1.6)	5.8 (46.8)	5.2 (9)	703.0	162.8 (1.5)	29.9 (49.4)	17.9
	#Call Edges #Fail Casts	70911 1523	67742 1132	67742 $1132$	67742 1132	67858 1135	66814 1054	66814 1054	67018 1059	67
	#Alias Pairs	36256	27175	27175	27175	27178	25683	25683	25695	25
	Avg PTS	47.67	31.80	31.80	31.80		29.95	29.95	29.98	30
xalan	Time(secs)	8.5	27.3	7.4 (1.4)	5.5 (42.6)	5.0 (16)	702.8	162.3 (1.6)	34.2 (42.3)	26.0
	#Call Edges	69608	67132	67132			66360	66360	66360	66
	#Fail Casts	1807	1473	1473	1473		1419	1419	1424	1
	#Alias Pairs	42119	28280	28280	28280		27259	27259	27271	27
	Avg PTS	45.29	29.41	29.41	29.41	29.47	28.29	28.29	28.30	28

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(a) Precision loss of S-2CFA

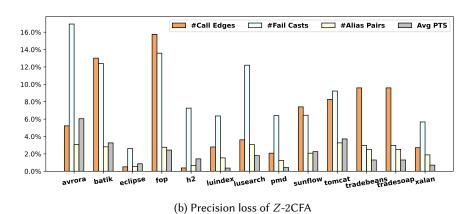


Fig. 14. The precision loss of S-2CFA and Z-2CFA (computed according to Eq. (33)).

and

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 CaseInsensitiveComparator abstracted from JDK8 and StringComparator abstracted from tradebeans. In main(), two TreeMap objects are created and used as the receiver objects to invoke put() with an integer object and a string object as its first argument, respectively (lines 26-27). When put() is invoked on each TreeMap object, a virtual call compare() is invoked on the comparator object stored in the TreeMap object. When 2CFA is applied, put() will be analyzed under two calling contexts, [c1] and [c2]. Under [c1], cmp points to 01 and k points to 05, so that compare() defined in line 10 is identified to be called under [c3, c1] in line 6. Under [c2], cmp points to 02 and k points to 06, so that compare() defined in line 14 is called under [c3, c2] in line 6, in which case, o1 points to 06 uniquely in line 14. As a result, the virtual call made in line 15 invokes only the toString() method defined in java.lang.String. Selectx relies on  $L_{FC}$  [54] to make its context-sensitivity selections and will identify cmp and k in put() to be context-insensitive since CS-C3 in Eq. (25) is not satisfied with respect to  $L_{FC}$ . When S-2CFA is applied, o1 is found to point to both 05 and 06 conservatively even under [c3, c2], making the call in line 15 polymorphic incorrectly (with its two call targets being toString() in java.lang.String and toString()

1617

in java.lang.Integer). In contrast, P3Ctx makes its context-sensitivity selections based on  $L_{DCR}$ , concluding that cmp and k in put() should be context-sensitive since CS-C3 in Eq. (25) is satisfied with respect to  $L_{DCR}$ . When P-2CFA is applied, o1 is found to point to 06 uniquely under context [c3, c2], making the call in line 15 monomorphic correctly. When analyzing tradebeans and tradesoap, S-2CFA suffers from a precision loss of about 5% for "#Call Edges" this way, which can be undesirable for many precision-critical client analyses such as software security analysis.

Fig. 16 gives another example abstracted from eclipse and JDK8 to further illustrate why S-2CFA can lose precision. The situation for S-1CFA is similar. In line 23 (26) of main(), we call execute() with O2 (05) as the receiver object and O4 (06) as its argument. As Selectx will select all the variables in execute() and reject() to be context-insensitive (for the similar reasons discussed in the previous example), S-2CFA will conclude that PTS(r,[]) =  $\{\langle 04, [] \rangle, \langle 06, [] \rangle\}$ , making the call to run() in line 6 polymorphic. In contrast, P3Ctx will select all the variables in execute() and reject() to be context-sensitive. When P-2CFA is applied, reject() has two calling contexts, [c3, c1] and [c3, c2]. Under [c3, c1], we find that PTS(this<sup>reject</sup>, [c3, c1]) =  $\{\langle 04, [] \rangle\}$ , PTS(O2.handler, []) =  $\{\langle 01, [] \rangle\}$ , and PTS(r, [c4, c3]) = PTS(p, [c3, c1]) =  $\{\langle 04, [] \rangle\}$ . Under [c3, c2], we find that PTS(this<sup>reject</sup>, [c3, c2]) =  $\{\langle 05, [] \rangle\}$  and PTS(O5.handler, []) =  $\emptyset$ , and in addition, whatever p points to cannot be passed to r. By combining these two cases, P-2CFA concludes that r only points to O4, and consequently, the call to run() in line 6 is monomorphic. When analyzing eclipse, S-2CFA introduces dozens of such spurious call edges.

Efficiency We measure the efficiency of a pointer analysis by the time elapsed in analyzing a program (as an average of three runs). For kCFA, this will simply be the time that kCFA spends on analyzing a program. Its three variants, P-kCFA, S-kCFA and Z-kCFA, are obtained according to P3Ctx, Selectx and Zipper, respectively. For each variant, its efficiency in analyzing a program is computed as the sum of its analysis time and its corresponding pre-analysis time. The analysis time of Spark is ignored since the context-insensitive points-to information produced by Spark is shared by all three pre-analyses. For each variant of kCFA, A-kCFA, where  $A \in \{P, S, Z\}$ , we include its corresponding pre-analysis time in both A-1CFA and A-2CFA in order to model practical scenarios where a software application is usually analyzed by A-kCFA for one fixed value of k, which is determined based on some particular efficiency-precision trade-offs made for the application.

Table 3 gives the analysis times for all the pointer analyses evaluated. For each variant of kCFA, P-kCFA, S-kCFA or Z-kCFA, we run its corresponding pre-analysis separately when k=1 and k=2. Therefore, for the same program, the two pre-analysis times may differ slightly.

Fig. 17 plots the speedups of P-kCFA, S-kCFA, and Z-kCFA over kCFA for all the 13 benchmarks considered, where  $k \in \{1,2\}$ . In general, all three pre-analyses, P3Ctx, Selectx, and Zipper, can speed up kCFA substantially for k=2. As shown in Fig. 17a, Z-2CFA achieves the highest speedups, ranging from 41.0× (for lusearch) to 1.7× (for pmd) with an average of 10.9×. S-2CFA's speedups range from 17.6× (for lusearch) to 1.2× (for pmd) with an average of 6.0×. Finally, P3Ctx achieves the lowest speedups, ranging from 4.4× (for tradebeans) to 1.2× (for pmd) with an average of 3.2×. When k=1, the situation has been revered (as the main analysis 1CFA takes significantly less time to complete than 2CFA and the pre-analysis overhead added by P3Ctx on top of 1CFA is relatively small). As shown in Fig. 17b, only P3Ctx can improve the performance of 1CFA substantially. Zipper can speed up 1CFA for most programs, but fares more poorly than P3Ctx overall. On the other hand, Selectx causes

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```
1618
         1 class TreeMap {
1619
             Comparator comparator;
1620
         3
             TreeMap(Comparator cmp1) { this.comparator = cmp1; }
1621
         4
             void put(Object k, Object v) {
1622
               Comparator cmp = this.comparator;
         5
1623
               int i = cmp.compare(k, ...); // c3
         6
1624
        7 }}
1625
         8 // in java.lang.String
1626
         9 class CaseInsensitiveComparator implements Comparator {
1627
        10
             int compare(String p1, String p2) { return 0; }
1628
        11 }
1629
        12 \; 	extstyle / 	extstyle in org.apache.geronimo.main
1630
        13~{f class} StringComparator implements Comparator {
1631
        14
             int compare(Object o1, Object o2) {
1632
        15
               String s1 = o1.toString(); // #Call Edges?
1633
        16
               return s1.compareTo(o2.toString());
1634
        17 }}
1635
        18 \text{ void main()}  {
1636
        19
             Comparator cmp1 = new CaseInsensitiveComparator(); // 01
1637
        20
             Comparator cmp2 = new StringComparator(); // 02
1638
        21
             TreeMap map1 = new TreeMap(cmp1); // 03
1639
        22
             TreeMap map2 = new TreeMap(cmp2); // 04
1640
        23
             Integer x = new Integer(1); // 05
1641
        24
             String y = new String(); // 06
1642
        25
             z = new String(); // 07
1643
        26
             map1.put(x, z); // c1
1644
        27
             map2.put(y, z); // c2
1645
        28 }
1646
```

Fig. 15. An example abstracted from tradebeans and JDK8 to illustrate why Selectx is not precision-preserving (by applying  $L_{FC}$  to determine precision-critical variables/objects in a program).

1CFA to run more slowly when its pre-analysis overhead is accounted for. To summarize, P-1CFA achieves the highest speedups, ranging from  $3.5\times$  (for h2) to  $1.6\times$  (for luindex) with an average of  $2.6\times$ , Z-1CFA's speedups range from  $2.6\times$  (for avrora and lusearch) to  $0.3\times$  (for batik) with an average of  $1.5\times$ , and finally, S-1CFA has the lowest speedups, ranging from  $0.8\times$  (for h2 and tomcat) to  $0.4\times$  (for batik and fop) with an average of  $0.6\times$ .

When considering both the precision and efficiency achieved by P-kCFA, S-kCFA and Z-kCFA, we can draw several conclusions. First, for precision-critical client analyses such as software security analysis, P-kCFA is recommended since it not only runs significantly faster than the baseline kCFA but also preserves its precision. Second, for certain client analyses that expect to use a pointer analysis that has the precision of 1CFA but runs as fast as possible, P-1CFA is recommended as it is faster than S-1CFA and Z-1CFA while achieving the same precision as 1CFA. Finally, for certain client analyses that expect to use a pointer analysis with the precision of 2CFA, our recommendation is Z-2CFA if these clients prioritize efficiency over precision (by trading willingly some loss of precision for efficiency gains), or S-2CFA if these clients still prioritize efficiency over precision but can only accept a negligible

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1703

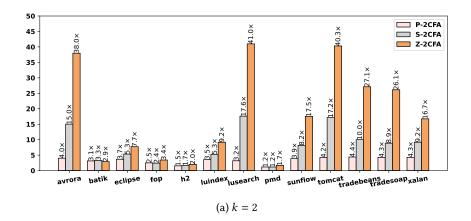
```
1667
        1 // in org.eclipse.osgi.internal.framework
1668
        2 class EquinoxContainerAdaptor {
1669
            Callable<Executor> createLazyExecutorCreator() {
1670
        4
            return new Callable<Executor>() { Executor call() {
1671
              RejectedExecutionHandler handler = new RejectedExecutionHandler() { // 01
        5
1672
                void rejectedExecution(Runnable r, ThreadPoolExecutor exe) { r.run(); }};
        6
1673
        7
               return new ThreadPoolExecutor(handler); // 02
1674
        8
               }};
1675
        9 }}
1676
       10 class ThreadPoolExecutor implements Executor {
1677
       11
            RejectedExecutionHandler handler;
1678
       12
            ThreadPoolExecutor(RejectedExecutionHandler h) { this.handler = h; }
1679
       13
            void reject(Runnable p) { this.handler.rejectedExecution(p, this); } // c4
1680
       14
            void execute(Runnable q) { this.reject(q); // c3
1681
       15 }}
1682
       16 class FutureTask implements Runnable { void run() { ... } }
1683
       17 class ScheduledFutureTask extends FutureTask { void run() { ... } }
1684
       18 void main () {
1685
       19
            EquinoxContainerAdaptor adaptor = new EquinoxContainerAdaptor(); // 03
1686
       20
            Callable<Executor> callable = adaptor.createLazyExecutorCreator();
1687
       21
            Executor executor1 = callable.call();
1688
       22
            FutureTask t1 = new FutureTask(); // 04
1689
       23
            executor1.execute(t1); // c1
1690
       24
            Executor executor2 = new ThreadPoolExecutor(null); // 05
1691
       25
            FutureTask t2 = new ScheduledFutureTask(); // 06
1692
       26
            executor2.execute(t2); // c2
1693
       27 }
1694
```

Fig. 16. Another example abstracted from eclipse and JDK8 to illustrate why Selectx loses precision.

loss of precision, or *P*-2CFA otherwise (i.e., if these clients prioritize precision over efficiency but still expect the pointer analysis to run as fast as possible).

Discussion The analysis times of kCFA, P-kCFA, S-kCFA, and Z-kCFA, and consequently, the speedups achieved by P-kCFA, S-kCFA, and Z-kCFA over kCFA, may depend on the pointer analysis frameworks in which kCFA, P-kCFA, S-kCFA, and Z-kCFA are implemented and the benchmarks selected during the evaluation. In this work, we have conducted our evaluation in a popular pointer analysis framework Soot by using all the benchmarks (except jython) from the latest DaCapo benchmark suite and comparing kCFA, P-kCFA, S-kCFA, and Z-kCFA under the same standard experimental setting used in the pointer analysis literature [14, 30, 57]. Our evaluation shows that  $L_{DCR}$  can enable P-kCFA to run faster than kCFA without any precision loss. In addition, our evaluation also shows that P-kCFA represents a new alternative to S-kCFA and Z-kCFA, advancing the state of the art in accelerating kCFA with selective context-sensitivity.

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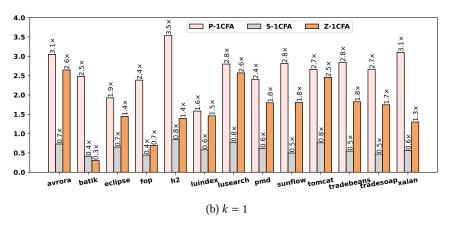


Fig. 17. The speedups of *P-k*CFA, *S-k*CFA, and *Z-k*CFA over *k*CFA based on the analysis times in Table 3.

#### 5 Related Work

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We discuss only the prior work closely related to this work, by focusing on (1) exploiting CFL-reachability in developing context-sensitive pointer analysis algorithms for object-oriented languages and (2) selective context-sensitivity for accelerating such pointer analysis algorithms.

## 5.1 CFL-Reachability

In program analysis, CFL-reachability [46, 48] was initially introduced for supporting interprocedural dataflow analysis. It has since been used in tacking many other problems such as pointer analysis [35, 37, 50, 54, 55, 62–65], information flow [32, 39], and type inference [43, 45].

For callsite-based context-sensitive pointer analysis [50, 54, 63], the CFL-reachability formulation used so far relies on a separate mechanism for call graph construction (in advance or on the fly), as discussed in Sec. 2.2. In this paper, we introduce a CFL-reachability

formulation with such a mechanism built in, by using a new language  $L_{DCR}$  expressed as the intersection of three CFLs.

An earlier attempt to address the same problem was proposed by Sridharan in his PhD thesis [53], which, to the best of our knowledge, has not been published in a peer-reviewed publication. In his approach, context-sensitive pointer analysis with on-the-fly call graph construction is formulated as the intersection of two CFLs,  $L_{OTF} \cap L_{C'}$ , on a specially designed PAG.  $L_{OTF}$ , which extends  $L_F$  to support on-the-fly call graph construction, is quite similar to  $L_D$  proposed in this paper. At a virtual callsite, both  $L_{OTF}$  and  $L_D$  first establish a flowsto value-flow to find its receiver objects, together with their types, then find a flowsto value-flow to return to the virtual callsite, and finally, perform the virtual call dispatch according to the types of the discovered receiver objects. However,  $L_{OTF}$  and  $L_D$  differ mainly in how they handle parameter passing and method returns at a virtual callsite. In  $L_{OTF}$ , the parameter passing and method returns are modeled essentially as assign edges with different edge labels such as receiver[i][s], paramForType[T][s] and returnForType[T][s], making its grammar intuitive but somewhat complex. In contrast,  $L_D$  handles parameter passing and method returns uniformly as stores and loads, making its grammar also intuitive yet simple. In addition, L<sub>OTF</sub> requires statement matching in its non-terminals such as dispatch[i][s] to ensure that the parameter passing for an argument to a parameter always happens at the same callsite. This is achieved in our  $L_R$  language by using the boxed edge labels as revealed in Eq. (17).  $L_{C'}$  in Sridharan's formulation is identical to  $L_{C}$  despite some notational differences. Finally,  $L_{OTF} \cap L_{C'}$  is sound but less precise than  $L_{DCR}$  due to the lack of  $L_R$ introduced in this paper. Without  $L_R$ , a context used for performing parameter passing at a virtual callsite can be restored incorrectly as a different context after finding the dispatched method and returning to the same callsite, as illustrated in Fig. 10.

Another line of research on CFL-reachability focuses on its computational complexity. In general, the all-pairs CFL-reachability problem can be solved in  $O(m^3n^3)$  time, where m is the size of its underlying CFL grammar and n is the number of its underlying graph nodes. Kodumal et. al [25] solve the Dyck-CFL-reachability more efficiently in  $O(mn^3)$ . Later, Chaudhuri [7] shows that the general CFL-reachability algorithm can be optimized into a subcubic one by exploiting the well-known Four Russians' Trick [26]. Recently, Zhang et. al [64] show that bidirected Dyck-CFL reachability can be solved in  $O(n+p \log p)$  (where p is the number of its underlying graph edges) by noting that the reachability relation in a bidirected graph is an equivalence relation. This time complexity is further reduced to  $O(p+n\cdot\alpha(n))$  in [6], where  $\alpha(n)$  is the inverse Ackermann function. A few recent works focus on studying the complexity of interleaved Dyck-CFL reachability. Let  $\mathcal{D}_k$  be a Dyck-CFL with k different kinds of parentheses. On a general graph, Reps et al [47] proved earlier that  $\mathcal{D}_k \cap \mathcal{D}_k$  is undecidable when k > 1. Later, Englert et al. [10] proved that  $\mathcal{D}_1 \cap \mathcal{D}_1$  is NLcomplete. The complexity of  $\mathcal{D}_k \cap \mathcal{D}_1$  remains open. On a bidirected graph, Li et al. [33] have recently shown that  $\mathcal{D}_1 \cap \mathcal{D}_1$  is in PTIME and provides an algorithm computable in  $O(n^7)$ , which has subsequently been improved to  $O(n^3 \cdot \alpha(n))$  by Kjelstrøm and Pavlogiannis [24]. In addition, Kjelstrøm and Pavlogiannis [24] also prove that  $\mathcal{D}_k \cap \mathcal{D}_k$  is undecidable when k > 1. In this paper, the PAG for a program can be seen as a bidirected graph for  $L_C$  and  $L_R$ , but not as a bidirected graph for  $L_D$  since a reverse label  $\bar{t} \in \{\text{new[t]}, \text{dispatch[t]}, \text{load[f]}, \text{store[t]}\}$ cannot be matched by  $\ell$  in  $L_D$ . Therefore,  $L_{DCR}$  is undecidable (as discussed in Sec. 3.3). In addition, we have also introduced P3Ctx as an  $L_{DCR}$ -enabled pre-analysis that is linear in terms of the number of PAG edges in a program for accelerating kCFA without any precision

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For a CFL-reachability-based formulation [35, 37] proposed for supporting object-sensitive pointer analysis [40, 41] (denoted  $L_{FC}^o$  here), call graph construction is built-in naturally since object-sensitivity uses receiver objects as context elements.  $L_{FC}^o$  is defined as the intersection of two CFLs,  $L_F^o \cap L_C^o$ , where  $L_F^o$  ensures field-sensitivity as well as parameter passing and method returns at virtual callsites and  $L_C^o$  enforces object-sensitivity. Due to the nature of object-sensitivity [40, 41],  $L_{FC}^o$  adopts the allocation-site-based dispatch approach illustrated in Fig. 8c. At an allocation site, the type of the receiver object allocated can be deduced immediately (for supporting object-sensitivity), thus avoiding the need of encoding type information in the labels of some PAG edges such as new [t] and dispatch [t] as in  $L_D$  (for supporting callsite-based context-sensitivity). Thus, on-the-fly call graph construction is supported naturally in  $L_{FC}^o$  (even though the PAG of a program is still pre-built by applying a context-insensitive pointer analysis). For callsite-sensitivity, however, incorporating call graph construction into the traditional CFL-reachability formulation [50, 54, 63] is non-trivial (as described in Sec. 2). To the best of our knowledge,  $L_{DCR}$  represents the first such a solution.

#### 5.2 Selective Context-Sensitivity

There are three types of approaches: (1) heuristic- or pattern-based [13, 30, 31], (2) data-driven [20, 22], and (3) CFL-reachability-guided [14, 15, 35–37]. By exploiting CFL-reachability, Eagle [35, 37], Turner [14, 15], and Conch [17, 19] represent recent efforts in accelerating object-sensitive pointer analysis [40, 41]. Selectx [36] represents the first attempt for accelerating kCFA with CFL-reachability. However, Selectx is not precision-preserving since its underlying  $L_{FC}$ -based formulation [54] does not incorporate a call graph construction mechanism. In this paper, we introduce P3Ctx, the first precision-preserving pre-analysis for accelerating kCFA, based on  $L_{DCR}$ .

#### 6 Conclusion

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 We have introduced  $L_{DCR}$ , a new CFL-reachability formulation for supporting k-callsite-based context-sensitive pointer analysis (kCFA) with its own built-in call graph construction mechanism for handling dynamic dispatch. To demonstrate its utility, we have also introduced P3Ctx, which is developed based on  $L_{DCR}$ , for accelerating kCFA while preserving its precision. We hope that  $L_{DCR}$  can provide some new insights on understanding kCFA, especially its demand-driven incarnations [54, 55, 63], and developing new algorithmic solutions. In addition to selective context-sensitivity, we also plan to investigate the opportunities for leveraging  $L_{DCR}$  in library-code summarization [8, 50, 58] and information flow analysis [32, 39].

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