Understand what xApp is supposed to do and how is it doing (AI model). [refer paper]

In summary, an xApp is a software application that runs on the RAN Intelligent Controller in the Open RAN architecture to optimize network performance. By leveraging AI and machine learning models, xApps can analyze real-time network data, predict traffic patterns, manage resources, and automate network operations, significantly enhancing the efficiency and performance of modern telecom networks, particularly in 5G.

Understand what exactly xApp is doing [refer source code]

- 1. Set up xApp's communication port: It extracts and sets the port number (5000) used by the xApp so that it knows where to send and receive messages.
- 2. Get the host machine's IP: It finds the machine's IP address and stores it so the xApp can communicate with other network elements using this IP.
- 3. Navigate to srsRAN build: It moves to the directory where the srsRAN software (which simulates or manages the 5G radio access network) is built, likely to interact with it or configure it for the xApp.
- 4. Start the EPC: It starts the Evolved Packet Core (EPC), which is the central part of the mobile network responsible for managing communication, data routing, and network traffic between the user equipment (UE) and the base station (BS)
- This script is essentially preparing the environment for running a 5G network simulation.
- It's setting the port number for the xApp, finding the IP address of the machine, moving to the correct directory where the srsRAN software is built, and finally, starting the EPC to manage communication within the network.

The xApp itself might be involved in optimizing network resources or monitoring traffic, but based on this code alone, it's mainly focused on preparing the necessary environment and starting essential components for the 5G network to function.

Identify the gap between the xApp mentioned in paper and source code

The key gap between the paper's description of the xApp and the provided source code seems to be:

- Lack of the core network optimization algorithms: The script doesn't show any dynamic decision-making or intelligent optimization based on network data or real-time traffic.
- No integration with RAN Intelligent Controllers (RIC): The xApp in the paper might be expected to interact with a RIC for tasks such as adjusting network parameters, but the script doesn't include any code related to the E2 interface or RIC communication.
- Limited functionality for real-time feedback or automation: If the paper describes an autonomous system that reacts to changes in network conditions, this automation seems to be missing in the script, which only sets up basic configurations.

To fully bridge the gap, the source code would need to be expanded to implement the AI models, network optimization algorithms, and real-time interactions with the RIC or E2 interfaces that are described in the paper.

Estimate what we can do and what we cannot do for final report

### What We Can Do:

- 1. Set Up the Network Environment:
  - Extract and Set xApp Port: The script correctly handles extracting the service port for the xApp from the Kubernetes environment (kubectl get svc). This ensures the xApp is accessible via the correct port, which is a key setup step.
  - Host IP Display: The script successfully retrieves and sets the HOST\_IP, which is crucial for network configuration and communication.
  - Navigate to the srsRAN Build Directory: The script navigates to the build directory for srsRAN(~/oaic/srsRAN-e2/build), ensuring that the appropriate environment is set up to run or build srsRAN.
  - Start EPC: The script contains functionality for starting the Evolved Packet Core (EPC) using the srsepc binary. This is an important step for establishing the core network of the system.
- 2. These steps are foundational and part of the network infrastructure setup, which is a critical part of the project. You can confidently report that the network setup part is functional, and the environment has been correctly prepared for further operations.
- 3. Run Basic Network Components (EPC and Infrastructure):
  - The script sets up the EPC (a core component of the 5G network), which is necessary for creating a working mobile core network. This allows the system to function in a simplified 5G architecture for core network tasks like user authentication and data routing.
- 4. Environment Configuration for Cloud-Native Components:
  - The script makes use of Kubernetes (via kubect1) to interact with network services.
     This allows for containerized environments that are commonly used in 5G Open RAN and cloud-native deployments, which is a key feature of modern telecom networks.
  - Kubernetes service discovery and interaction are established for the xApp's setup.
- 5. Basic Network Functionality:
  - The script prepares a basic environment for testing and simulation of the network core (EPC). While this doesn't fully complete the xApp's tasks, it provides the infrastructure needed for integration testing or further development of higher-level functionality.

What We Cannot Do (Based on Current Code and Theoretical Expectations):

### 1. Advanced Network Optimization Tasks:

- Real-Time Network Optimization: The paper may describe advanced network optimization algorithms(e.g., based on AI/ML for traffic management, load balancing, interference reduction), but the provided script does not implement these. It is focused primarily on setup and configuration.
- Resource Allocation Algorithms: The theoretical concept in the paper may require the xApp to dynamically allocate network resources (e.g., bandwidth, power levels) based on traffic load, but this logic is missing from the code.

## 2. Interaction with RAN Intelligent Controllers (RIC):

- E2 Interface Communication: If the paper describes the xApp interacting with the RIC through the E2 interface to optimize RAN performance or adjust parameters dynamically, this functionality is absent from the current code. The script does not show E2AP or similar protocol interactions, which would be required for such real-time communication with the RAN.
- Use of E2 Node: The paper might expect the xApp to monitor and adjust parameters in real-time, which would require communication with E2 nodes or similar components.
   The current code does not include this.

## 3. AI/ML-Based Decision Making:

- Machine Learning for Network Optimization: If the paper includes machine learning models (e.g., reinforcement learning, predictive analytics for traffic forecasting, or dynamic reconfiguration of network elements), the code does not yet integrate any form of AI-based decision-making. There are no functions, models, or data pipelines in the script that support training, deploying, or using AI models.
- Anomaly Detection or Feedback Loops: The code does not seem to implement any form
  of anomaly detection (e.g., sudden traffic surges, network degradation) or feedback loops
  that dynamically adjust network parameters based on changing conditions.

### 4. Real-Time Data Processing and Automation:

• The paper may describe how the xApp autonomously adjusts network settings or adapts to real-time traffic conditions. However, the script focuses on static setup tasks, and there are no automated processes that respond to real-time network data such as traffic load, signal strength, or network performance metrics.

#### 5. Full Deployment and Scalability:

- The script does not show scalability features such as load balancing across multiple xApps or distributed network functions, which may be expected in a larger system or for a full 5G deployment.
- Fault Tolerance: There is no indication of fault tolerance mechanisms or high-availability features in the code. The paper may discuss the need for these to ensure the xApp can recover from network failures or adapt to changing conditions, which is not implemented in the code yet.

### 6. Comprehensive Network Slicing or QoS Management:

o If the paper mentions that the xApp is supposed to manage network slicing or Quality of Service (QoS) for different user types (e.g., handling URLLC for critical services, eMBB for high throughput), there is no corresponding logic in the current code for such tasks

# General Data Flow from UE -> BS -> xApp:

- 1. From UE (User Equipment) to BS (Base Station):
  - The UE sends data to the BS through wireless signals (using radio frequencies), which can include various forms of information depending on the type of service and application.
- 2. Types of Data Transferred from UE to BS:
  - User Data (Payload):
    - Application Data: This could be voice, video, or data traffic that the UE is sending, typically part of the user's active session.
    - Transport Layer Data: This may include IP packets (e.g., from TCP/UDP protocols) carrying the user's application traffic.
  - Control Plane Data:
    - RRC (Radio Resource Control) Signaling: Control messages that help manage the connection between the UE and the base station, including setup, handover, and mobility management.
    - Authentication and Security Information: UE might send identifiers (e.g., IMSI, TMSI) for authentication or encryption keys for securing the communication.
  - o Radio Link Quality:
    - Signal Quality Information: Metrics like Signal-to-Noise Ratio (SNR), Reference Signal Received Power (RSRP), Reference Signal Received Quality (RSRQ), and Channel State Information (CSI), which are used by the Base Station to manage the radio link.
    - CQI (Channel Quality Indicator): Represents the quality of the communication link for scheduling purposes.
  - o Location Information:
    - Location Information: Depending on the use case, the UE might send its location (via GPS or other positioning methods) to the BS, particularly in use cases like location-based services or mobility management.
- 3. From BS (Base Station) to xApp:
  - The BS (e.g., gNB in 5G) aggregates and processes the data it receives from the UE. The
    base station communicates with the xApp via a control interface, usually through an RAN
    Intelligent Controller (RIC)in Open RAN architectures, typically over the E2 interface.
- 4. Types of Data Transferred from BS to xApp:
  - Radio Network Information:
    - Traffic Load Information: The BS may send metrics regarding the traffic load, including the number of active users, the data rate, or the traffic distribution across cells. This is used by the xApp to optimize resource allocation and manage interference.
    - Signal Quality Information: The BS can provide link quality statistics for individual UEs or cell coverage areas, which the xApp can use for dynamic power control or handover optimization.

#### • RAN Resource Metrics:

- Cell Throughput: Information on how much data each cell or user is transmitting and receiving, which the xApp might use for load balancing or traffic shaping.
- Radio Resource Management (RRM) Statistics: Metrics such as bandwidth usage, spectrum utilization, available channels, etc.
- Interference Levels: Information on interference at different levels of the network (e.g., inter-cell interference), which can guide interference management strategies in the xApp.
- Quality of Service (QoS): The BS may send data related to service quality (e.g., latency, packet loss, jitter) that helps the xApp optimize the resource allocation or prioritize traffic for different applications (e.g., URLLC, eMBB).

### • Scheduling Requests:

■ UE Scheduling Requests: If a UE needs more resources (e.g., higher data rates or lower latency), the BS might send a scheduling request to the xApp. The xApp, depending on its configuration, could then assist in adjusting the scheduling parameters or suggest resource adjustments.

## 5. xApp's Role in Processing Data:

 Once the xApp receives data from the BS, it performs a set of optimization tasks or network management actions based on the inputs it receives. Depending on the application of the xApp, the data it receives might be used for:

## 6. Types of Data Handled by xApp:

- Traffic Management: The xApp might use data such as traffic load, cell throughput, and resource availability to optimize traffic scheduling or load balancing across multiple base stations or cells.
- Network Slicing: The xApp may be responsible for managing network slices. For instance, if the network has slices for eMBB (enhanced Mobile Broadband), URLLC (Ultra Reliable Low Latency Communications), or mMTC (massive Machine Type Communications), the xApp will use data from the BS to allocate resources according to the needs of each slice.
- o Interference Management: The xApp might use interference information (such as cell overlap data, RSRP, or interference levels) to adjust power levels, adjust cell boundaries, or reconfigure the network to minimize interference.
- Handover Management: The xApp can use the signal quality or location data to help decide when a UE should handover to a different cell or base station to maintain the connection quality.
- AI/ML-driven Network Optimization: If the xApp includes AI models (such as reinforcement learning, traffic prediction models, etc.), it may process the data from the BS to dynamically adjust network parameters (e.g., power control, scheduling) to improve overall network performance or user experience.
- Quality of Service (QoS) Adjustments: Based on metrics such as latency or data rates, the xApp may apply QoS policies to ensure that high-priority traffic (e.g., for emergency services or real-time applications) gets the necessary resources.
- Decision Making: Based on the inputs from the BS, the xApp will provide decisions, such as:

- Resource allocation: For example, adjusting the available bandwidth or power control settings.
- Load balancing: Distributing traffic efficiently across multiple cells or network resources.
- Scheduling decisions: How to allocate resources for individual UEs.
- 7. From xApp to BS (Downlink Communication):
  - After processing the data, the xApp communicates with the Base Station (or the RIC) to apply the optimizations. This could include:
    - Resource adjustments: For example, instructing the BS to adjust power levels, frequency bands, or timing advance.
    - Traffic scheduling: The xApp might provide recommendations on how the BS should allocate resources to different UEs, based on priority, service type, or QoS requirements.
    - Handover decisions: The xApp could suggest when and how to hand over a UE to a different base station, based on real-time network conditions.

### Conclusion:

The data flow from UE to BS to xApp is essential for the dynamic optimization of the network in real-time. While the UE sends data and control plane information to the BS, the xApp processes these metrics and makes optimization decisions that are fed back to the BS for implementing adjustments to the network. Depending on the design and features outlined in the paper, the xApp could include sophisticated features such as AI-driven optimization, traffic management, load balancing, and interference mitigation to improve the overall network performance.

Understand what actually is happening in the source code between UE->BS->xApp

In the context of srsRAN and the provided code, these steps likely involve:

- UE sending data to BS (e.g., signal strength, user traffic)
- BS sending data to xApp (e.g., metrics about the network, traffic load)
- xApp making decisions and sending commands back to the BS (e.g., resource allocation, scheduling adjustments).

Let's dive deeper into what exactly happens in the provided source code and break it down:

# Key Functions in the Source Code

- 1. extract\_and\_set\_xapp\_port():
  - What it does:

- This function retrieves the port number of the xApp by querying the Kubernetes cluster (via kubect1).
- The xApp is expected to be running within a Kubernetes environment, and the port (in this case, 5000) is dynamically found and set for later use.
- UE -> BS -> xApp Relation:
  - This is important because the xApp needs to know on which port it can listen for or send messages. If the UE and BS are communicating over a network, the xApp needs to be reachable at a specific address/port.

# 2. display\_host\_ip():

- O What it does:
  - This function finds the IP address of the host machine (where this script is running).
  - The host IP address is stored in an environment variable H0ST\_IP, which is likely used for network communication with the xApp.
- UE -> BS -> xApp Relation:
  - The host IP is critical because the xApp may need to communicate with the BS (Base Station) or other components using this address. The UE may also interact with the xApp indirectly, so knowing the host IP allows for communication with different parts of the system (i.e., connecting services, data exchange).
- 3. navigate\_to\_srsran\_build():
  - What it does:
    - This function changes the working directory to the srsRAN build folder.
    - It sets up the system to interact with the srsRAN project, which is likely used to handle the 5G radio access network (RAN).
  - UE -> BS -> xApp Relation:
    - The srsRAN system is responsible for simulating or handling the radio access network (RAN), meaning it connects the UE and BS for communication. The xApp may be involved in resource management, optimization, or interfacing with the BS, so setting this up is crucial for the overall system.
    - The BS in this case could be controlled by srsRAN, and the xApp may interact with it to optimize the radio resources.

### 4. start\_epc():

- O What it does:
  - This function starts the Evolved Packet Core (EPC), which is a key part of the core network in 4G/5G systems.
  - EPC is responsible for things like data routing, authentication, mobility management, and handover for user equipment (UE) in a mobile network.
- UE -> BS -> xApp Relation:
  - EPC connects the BS to the core network (e.g., to the internet or other services).

- The xApp may interact with the EPC to optimize network performance, particularly in how resources are allocated between the UE and the BS.
- xApp may also receive network metrics from EPC to make real-time decisions about resource allocation and scheduling.

# How Does UE -> BS -> xApp Interaction Work?

Now that we've broken down the individual functions, let's focus on how UE, BS, and xApp interact:

### 1. UE Communication with BS:

- The UE communicates directly with the BS over the air (via radio links). This
  includes sending data (e.g., user requests, internet traffic) and signaling
  information (e.g., control messages like RRC signaling).
- The BS is responsible for receiving this data and handling the radio resource management (e.g., scheduling the data transmission, optimizing coverage, managing interference).
- The BS also provides information about the radio conditions (signal strength, interference) and traffic load the xApp for optimization.

## 2. BS Sends Data to xApp:

- The BS sends information to the xApp (possibly over an E2 interface or some other communication mechanism).
- This data includes metrics about the network performance (e.g., throughput, interference levels, active users) that the xApp can use for making decisions.
- For example, the xApp might receive traffic load and decide if the BS needs to adjust its scheduling or resource allocation.

#### 3. xApp Optimizes the Network:

- Based on the data from the BS, the xApp might make decisions like:
  - Adjusting the power levels for the BS.
  - Optimizing scheduling for UEs to reduce interference.
  - Allocating resources based on traffic demand.
  - Making handover decisions (e.g., suggesting which BS should handle a particular UE's traffic).
- The xApp sends these decisions back to the BS or EPC for execution.

## 4. The Flow of Data:

- UE -> BS: The UE sends data to the BS (e.g., internet traffic, signaling).
- BS -> xApp: The BS sends network performance data (e.g., throughput, signal quality) to the xApp.
- xApp -> BS: The xApp sends optimization decisions (e.g., resource adjustments, scheduling changes) back to the BS for implementation.

Identify the gap in the implementation of UE->BS->xApp

# Gaps:

- 1. No Verification of xApp Availability: The script does not verify whether the xApp is running and ready to receive data. Although the xApp port is extracted, the script does not confirm if the xApp is operational.
- 2. UE Communication: The UE is set up in a network namespace, but there is no real data transmission or signaling from the UE to the BS. For a proper UE -> BS communication, the UE should be sending traffic, and the BS should be receiving it and potentially forwarding it to the xApp for optimization.
- 3. No Data Reporting from BS to xApp: The BS is configured to connect to the xApp, but there is no data flow visible from the BS to the xApp. The BS should be reporting network performance metrics (e.g., traffic load, interference, signal quality) to the xApp.
- 4. No Optimization by xApp: The xApp is not shown to be processing the data received from the BS. The xAppshould be optimizing network resources based on the performance metrics from the BS (e.g., adjusting scheduling, power control, resource allocation).
- 5. No Feedback Loop: There is no feedback loop from the xApp to the BS to implement optimization decisions. The xApp should be sending commands (e.g., power control, scheduling changes) back to the BS.
- 6. No Interaction Between xApp and EPC: While the EPC is started, there is no indication of interaction between the xApp and the EPC. The xApp should be able to make decisions affecting the core network and influence how traffic is routed between the UE and the BS.

Estimate what we can do and cannot do in this semester for the UE->BS-xApp

For this semester, focusing on setting up basic network connectivity (UE, BS, xApp, and EPC), and ensuring basic signaling (e.g., RRC) and monitoring through the xApp is achievable. However, implementing advanced features like data traffic management, dynamic optimization through the xApp, and core network integration (e.g., QoS, handover) may not be feasible unless you have additional resources and time.

#### Priority:

- 1. UE-to-BS connectivity.
- 2. Basic xApp integration for monitoring.
- 3. Starting and testing EPC and BS.
- 4. Communication debugging between UE, BS, and xApp.

. Advanced Data Traffic between UE -> BS -> xApp:

- Reason: Implementing actual data traffic between UE and BS and then processing it via xApp requires both the UE and BS to support application-level traffic (e.g., internet traffic or control messages), which is not included in the script. Additionally, for full xApp functionality (e.g., radio resource management, dynamic optimization), deeper integration is needed.
- Limitation: Full data transfer and xApp resource optimization are likely beyond the scope for a single semester unless a fully integrated RIC and application logic are already available.

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## 2. Full UE -> BS -> xApp Optimization Loop:

- Reason: The script establishes basic communication paths but doesn't include full optimization logic between the BS and xApp. The xApp should be able to influence BS behavior in terms of scheduling, power control, etc., but this requires additional functionality in the xApp and deeper interaction with the RIC and EPC.
- Limitation: Implementing an optimization loop that dynamically adjusts network parameters (e.g., scheduling, power control) would need a well-defined feedback mechanism that is not present in the current script.

## 3. Advanced Mobility and Handover Management:

- Reason: Implementing mobility features like handover between cells or BSs requires more than just basic signaling. The xApp would need to interact with EPC and BS to manage the handover process, which is a complex feature and is typically a multi-component system.
- Limitation: Advanced handover management, where the xApp plays a role in guiding the handover based on metrics or network conditions, will likely require cross-layer optimization (e.g., RAN and Core network integration).

### 4. Full Integration with Core Network Services (e.g., QoS, Traffic Routing):

- Reason: While you can run the EPC and BS, full integration with core network services like Quality of Service (QoS) or traffic routing optimization is complex. This requires coordination between xApp, EPC, and BS, which is beyond basic configuration.
- Limitation: QoS, traffic routing, and other core network features that require real-time decision-making from the xApp are not part of the scope