Dongsheng Ding

CONTACT INFORMATION

Department of Electrical Engineering and Computer Science Phone: (213) 574–9471 University of Tennessee, Knoxville E-mail: dongshed@utk.edu 1520 Middle Drive, Knoxville, TN 37996 URL: https://dongshed.github.io

TEACHING INTERESTS I view teaching as a responsibility toward future generations and society. Technology rapidly advances, yet engineering course materials stay relatively static in traditional fields, limiting alignment with today's interdisciplinary applications and innovations. My teaching aims to develop forward-thinking curricula that bridge interdisciplinary gaps and expand career possibilities.

RESEARCH INTERESTS Application of principles and tools in optimization and control to the study of requirementdriven machine decision-making.

Topics of interest span: constrained reinforcement learning; multi-agent reinforcement learning; constrained generative modeling; constrained alignment of diffusion and large language models; robustness and resilience analysis of optimization algorithms; nonlinear control of fractional-order dynamical systems.

Applications of interest include: autonomous robots/vehicles; generative artificial intelligence in applied science and engineering, such as natural language processing, image processing, and molecular design; decision-making systems for power grid, transportation, communication, and healthcare management.

APPOINTMENT Assistant Professor

August 2025 – Now

University of Tennessee, Knoxville

Postdoctoral Researcher September 2022 - July 2025

University of Pennsylvania

Graduate Research Assistant August 2017 – August 2022

University of Southern California

Graduate Research Assistant & Teaching Assistant August 2015 – August 2017

University of Minnesota, Twin Cities

EDUCATION PhD in Electrical Engineering, GPA: 4.0/4.0 August 2022

University of Southern California

Thesis: Provable reinforcement learning for constrained and multi-agent control systems

Advisor: Mihailo R. Jovanović

MS in Electrical Engineering, GPA: 3.9/4.0 August 2017

University of Minnesota, Twin Cities

ME in Control Theory & Engineering, GPA: 3.7/4.0 March 2015 Zhejiang University, Hangzhou, China

SUBMITTED PAPERS

- 1. B. Zhang, S. Li, I. Hounie, O. Bastani, <u>D. Ding</u>, A. Ribeiro. "Alignment of large language models with constrained learning," 2025. (under review)
- 2. S. Khalafi, I. Hounie, <u>D. Ding</u>, A. Ribeiro. "Composition and alignment of diffusion models using constrained learning," 2025. (under review)

REFEREED PUBLICATIONS

Journals

- D. Ding, K. Zhang, J. Duan, T. Başar, and M. R. Jovanović. "Convergence and sample complexity of natural policy gradient primal-dual methods for constrained MDPs," J. Mach. Learn. Res.; also arXiv: 2206.02346, 2022. (accepted)
- Q. Wang, J. Zhang, <u>D. Ding</u>, and D. Qi, "Adaptive Mittag-Leffler stabilization of a class of fractional order uncertain nonlinear systems," *Asian J. Control*, 18(6) 2343–2351, 2016.
- 3. <u>D. Ding</u>, D. Qi, and Q. Wang, "Asymptotic pseudo-state stabilization of uncertain fractional-order nonlinear systems with additive disturbance," *Nonlinear Dyn.*, 81(1) 667–677, 2015.
- 4. Q. Wang, <u>D. Ding</u>, and D. Qi, "Mittag-Leffler synchronization of uncertain fractional order chaotic systems," *Chinese Physics B*, 24(6), 2015.
- 5. <u>D. Ding</u>, D. Qi, and Q. Wang, "Nonlinear Mittag-Leffler stabilization of commensurate fractional-order nonlinear systems," *IET Control Theory Appl.*, 9(5) 681–690, 2014.
- D. Ding, D. Qi, X. Luo, J. Chen, X. Wang, and P. Du, "Convergence analysis and performance of an extended central force optimization algorithm," *Appl. Math. Comput.*, 219(4), 2246–2259, 2012.
- D. Ding, X. Luo, J. Chen, X. Wang, P. Du, and Y. Guo, "A convergence proof and parameter analysis of central force optimization algorithm," *J. Convergence Inf.* Technol., 6(10), 16–23, 2011.

Machine Learning and Artificial Intelligence Conferences

- 1. S. Rozada, <u>D. Ding</u>, A. Marques, A. Ribeiro. "Deterministic policy gradient primal-dual methods for continuous-space constrained MDPs." in *Proceedings of the AAAI Conference on Artificial Intelligence*, Philadelphia, Pennsylvania, 2025; also arXiv:2408.10015. (acceptance rate 23.4%)
- 2. X. Huang, S. Li, E. Dobriban, O. Bastani, H. Hassani, and <u>D. Ding</u>. "One-shot safety alignment for large language models via optimal dualization." in *Proceedings* of the Advances in Neural Information Processing Systems, Vancouver, Canada, 2024; also arXiv: 2405.19544. (acceptance rate 25.8%, spotlight)

- 3. S. Khalafi, <u>D. Ding</u>, and A. Ribeiro. "Constrained diffusion models via dual training." in *Proceedings of the Advances in Neural Information Processing Systems*, Vancouver, Canada, 2024; also arXiv:2408.15094. (acceptance rate 25.8%)
- 4. <u>D. Ding</u>, Z. Huan, and A. Ribeiro. "Resilient constrained reinforcement learning." in *Proceedings of the 27th International Conference on Artificial Intelligence and Statistics*, Valencia, Spain, 2024; also arXiv:2312.17194. (acceptance rate 27.6%)
- 5. <u>D. Ding</u>, C.-Y. Wei, K. Zhang, and A. Ribeiro. "Last-iterate convergent policy gradient primal-dual methods for constrained MDPs," in *Proceedings of the Advances in Neural Information Processing Systems*, New Orleans, Louisiana, 2023; also arXiv:2306.11700. (acceptance rate 26.1%)
- 6. <u>D. Ding</u>, X. Wei, Z. Yang, Z. Wang, and M. R. Jovanović. "Sample efficient genear-alized Lagrangian policy optimization for safe multi-agent reinforcement learning," in *Proceedings of the Learning for Dynamics and Control Conference*, Philadelphia, Pennsylvania, 2023; also arXiv:2306.00212.
- 7. <u>D. Ding</u>, C.-Y. Wei, K. Zhang, and M. R. Jovanović. "Independent policy gradient for large-scale Markov potential games: sharper rates, function approximation, and game-agnostic convergence," in *Proceedings of the 39th International Conference on Machine Learning*, Baltimore, Maryland, 2022; also arXiv:2202.04129. (acceptance rate 21.5%, 118/1117 long presentations)
- 8. <u>D. Ding</u>, X. Wei, Z. Yang, Z. Wang, and M. R. Jovanović. "Provably efficient safe exploration via primal-dual policy optimization," in *Proceedings of the 24th International Conference on Artificial Intelligence and Statistics*, Virtual, 2021; also arXiv:2003.00534. (acceptance rate 30%, 48/455 orals)
- 9. <u>D. Ding</u>, K. Zhang, T. Başar, and M. R. Jovanović. "Natural policy gradient primal-dual method for constrained Markov decision processes," in *Proceedings of the Advances in Neural Information Processing Systems*, Virtual, 2020. (acceptance rate 20%)
- D. Ding, X. Wei, Z. Yang, Z. Wang, and M. R. Jovanović. "Fast multi-agent temporal-difference learning via homotopy stochastic primal-dual method," in the Optimization Foundations for Reinforcement Learning Workshop at NeurIPS, Vancouver, Canada, 2019; also arXiv:1908.02805.

Control Conferences

- D. Ding and M. R. Jovanović. "Policy gradient primal-dual mirror descent for constrained MDPs with large state spaces," in *Proceedings of the 61st IEEE Con*ference on Decision and Control, Cancún, Mexico, 2022.
- 2. D. Ding, K. Zhang, T. Basar and M. R. Jovanović, "Convergence and optimality of policy gradient primal-dual method for constrained Markov decision processes," in *Proceedings of the 2022 American Control Conference*, Atlanta, Georgia, 2022.

- 3. <u>D. Ding</u>, X. Wei, H. Yu, and M. R. Jovanović. "Byzantine-resilient distributed learning under constraints," in *Proceedings of the 2021 American Control Conference*, Virtual, 2021.
- 4. <u>D. Ding</u>, J. Yuan, and M. R. Jovanović. "Discounted online Newton method for time-varying time series prediction," in *Proceedings of the 2021 American Control Conference*, Virtual, 2021.
- D. Ding and M. R. Jovanović. "Global exponential stability of primal-dual gradient flow dynamics based on the proximal augmented Lagrangian: A Lyapunov-based approach," in *Proceedings of the 59th IEEE Conference on Decision and Control*, Virtual, 2020.
- D. Ding, X. Wei, and M. R. Jovanović. "Distributed robust statistical learning: Byzantine mirror descent," in *Proceedings of the 58th IEEE Conference on Decision and Control*, Nice, France, 2019.
- 7. <u>D. Ding</u> and M. R. Jovanović. "Global exponential stability of primal-dual gradient flow dynamics based on the proximal augmented Lagrangian," in *Proceedings of the 2019 American Control Conference*, Philadelphia, Pennsylvania, 2019.
- 8. <u>D. Ding</u>, B. Hu, N. K. Dhingra, and M. R. Jovanović. "An exponentially convergent primal-dual algorithm for nonsmooth composite minimization," in *Proceedings of the 57th IEEE Conference on Decision and Control*, Miami Beach, Florida, 2018.
- 9. <u>D. Ding</u> and M. R. Jovanović. "A primal-dual Laplacian gradient flow dynamics for distributed resource allocation problems," in *Proceedings of the 2018 American Control Conference*, Milwaukee, Wisconsin, 2018.
- D. Ding, D. Qi, and Q. Wang, "Adaptive Mittag-Leffler stabilization of commensurate fractional-order nonlinear systems," in *Proceedings of the 53rd IEEE Conference on Decision and Control*, Los Angeles, California, 2014.
- 11. <u>D. Ding</u>, G. Zhang, D. Qi, and H. Zhang, "Strategy analysis of an evolutionary spectrum sensing game," in *Proceedings of the Intelligent Computing and Applications (LSMS & ICSEE)*, Shanghai, China, 2014. (nominate paper award)
- 12. <u>D. Ding</u>, D. Qi, and Q. Wang, "Alternative LMI characterizations for fractional-order linear systems," in *Proceedings of the 33rd Chinese Control Conference*, Nan-jing, China, 2014.
- 13. <u>D. Ding</u>, D. Qi, and Q. Wang, "Fractional-order integral state space modeling and quasi state analysis via block operational matrix scheme," in *Proceedings of the 26th Chinese Control and Decision Conference*, Changsha, China, 2014.

RESEARCH Invited Talks & Posters

PRESENTATIONS 1. Invited talk of "Requirement-driven machine decision-making: From reinforcement learning to generative artificial intelligence," in the EECS Faculty Candidate Seminar, University of Tennessee, Knoxville, 2025.

- Invited talk of "Multi-agent reinforcement learning for large-scale Markov potential games," in the Workshop on Multi-Agent Learning in Dynamic Environments, Texas A&M Institute of Data Science, TAMU, 2024.
- 3. Invited talk of "Multi-agent reinforcement learning for large-scale Markov potential games," in the ESE PhD Colloquium, UPenn, 2024.
- 4. Invited talk of "Constrained policy optimization: A tale of regularization and optimism," in the INFORMS Optimization Society Conference, Houston, Texas, 2024.
- 5. Invited talk of "Constrained policy optimization: A tale of regularization and optimism," in the ESE PhD Colloquium, UPenn, 2023.
- 6. Invited talk of "Provable constrained policy optimization in reinforcement learning," in the Safe Reinforcement Learning Online Seminar, Virtual, 2023.
- Invited talk of "Finite-time performance of policy optimization methods for constrained reinforcement learning," in the INFORMS 2022 Annual Meeting, Indianapolis, Indiana, 2022.
- 8. Invited poster of "Independent policy gradient for large-scale Markov potential games" in the REAL@USC-Meta center workshop, ECE, USC, 2022.
- 9. Invited poster of "Provably efficient safe exploration via primal-dual policy optimization" in the 11th Annual Research Festival, ECE, USC, 2021.
- 10. Invited talk of "Provable constrained policy optimization for reinforcement learning" in the 38th Southern California Control Workshop, University of California, Irvine, California, Virtual, 2021.
- 11. Invited poster of "Distributed robust statistical learning: Byzantine mirror descent" in the 10th Annual Research Festival, ECE, USC, 2019.
- 12. Invited poster of "An exponentially stable primal-dual algorithm for nonsmooth optimization" in the 9th Annual Research Festival, ECE, USC, 2018.
- 13. Invited talk of "A primal-dual algorithm for distributed resource allocation" in the 34th Southern California Control Workshop, University of California, Riverside, California, 2018.

Conference Talks & Posters

- 1. Contributed poster of "One-shot safety alignment for large language models via optimal dualization." in the Next Generation of AI Safety Workshop at ICML and the Workshop on Theoretical Foundations of Foundation Models at ICML, Vienna, Austria, 2024.
- Contributed talk & poster of "Last-iterate convergent policy gradient primal-dual methods for constrained MDPs" in the 37th Conference on Neural Information Processing Systems, New Orleans, Louisiana, 2023.

- 3. Contributed poster of "Sample efficient genearalized Lagrangian policy optimization for safe MARL" in the 5th Annual Learning for Dynamics and Control Conference, Philadelphia, Pennsylvania, 2023.
- 4. Contributed talk of "Policy gradient primal-dual mirror descent for constrained MDPs with large state spaces," in the 61st IEEE Conference on Decision and Control, Cancún, Mexico, 2022.
- 5. Contributed talk of "Policy gradient primal-dual method for constrained MDPs," in the 2022 American Control Conference, Atlanta, Georgia, 2022.
- 6. Contributed talk & poster of "Independent policy gradient for large-scale Markov potential games: sharper rates, function approximation, and game-agnostic convergence" in the 39th International Conference on Machine Learning, Baltimore, Maryland, 2022. (118/1117 long presentations)
- 7. Contributed talk & poster of "Provably efficient safe exploration via primal-dual policy optimization" in the 24th International Conference on Artificial Intelligence and Statistics, Virtual, 2021. (48/455 orals)
- 8. Contributed talk & poster of "Natural Policy Gradient Primal-Dual Method for Constrained Markov Decision Processes" in the 34th Conference on Neural Information Processing Systems, Virtual, 2020.
- 9. Contributed talk of "Global exponential stability of primal-dual gradient flow dynamics based on the proximal augmented Lagrangian" in the 59th IEEE Conference on Decision and Control, Virtual, 2020.
- 10. Contributed poster of "Fast multi-agent temporal-difference learning via homotopy stochastic primal-dual method," in the Optimization Foundations for Reinforcement Learning Workshop at NeurIPS, Vancouver, Canada, 2019; the Southern California Machine Learning Symposium, UCSD, 2020.
- 11. Contributed talk of "Exponential stability of primal-dual gradient flow dynamics based on proximal augmented Lagrangian," in the 2019 American Control Conference, Philadelphia, Pennsylvania, 2019.
- 12. Contributed talk of "Nonsmooth composite minimization: an exponentially convergent primal-dual algorithm," in the 57th IEEE Conference on Decision and Control, Miami Beach, Florida, 2018.
- Contributed talk of "A primal-dual Laplacian gradient flow dynamics for distributed resource allocation problems," in the 2018 American Control Conference, Milwaukee, Wisconsin, 2018.
- Contributed talk of "Adaptive Mittag-Leffler stabilization of commensurate fractionalorder nonlinear systems" in the 53rd IEEE Conference on Decision and Control, Los Angeles, California, 2014.
- 15. Contributed talk of "Alternative LMI characterizations for fractional-order linear systems" in the 33rd Chinese Control Conference, Nanjing, China, 2014.

16. Contributed talk of "Fractional-order integral state space modeling" in the 26th Chinese Control and Decision Conference, Changsha, China, 2014.

HONORS & AWARDS	Scholar Award, Conference on Neural Information Processing Systems	2023
	Expert Reviewers, International Conference on Machine Learning	2021
	Travel Award, Conference on Neural Information Processing Systems	2020
	Top Reviewers, International Conference on Machine Learning	2020
	Travel Award, IEEE Conference on Decision and Control	2020
	Travel Award, American Control Conference 2018.	, 2019, 2022
	MHI PhD Scholar Finalist, ECE, University of Southern California	2018, 2021
	ECE Department Fellowship, University of Minnesota	2015
	Honor for Outstanding Graduate Student, Zhejiang University	2015
	Nominate Paper Award, LSMS & ICSEE, 2014, Shanghai	2014
	Bosch Scholarship, Bosch in China	2013
	The First-Class of Graduate Scholarship, Zhejiang University	2012 – 2015
	National Scholarship, Ministry of Education of P.R. China	2011
	Wei Shaoxiang Engineering Talent, Wei Shaoxiang Foundation, HongK	Tong 2010
	The Second-Class of Physics and Technology Innovation Contest, Zhejiang	
	Physical Society, Zhejiang, China	2009
	The First-Class of Advanced Mathematics Contest, Zhejiang Mathematical So-	
	ciety, Zhejiang, China	2008

ACADEMIC SERVICE

Journal Referee

IEEE Transactions on Control of Network Systems

IEEE Transactions on Automatic Control

IEEE Robotics and Automation Letters

IEEE Transactions on Intelligent Vehicles

IEEE Control Systems Letters

Systems & Control Letters

Optimization Letters

Machine Learning

Neural Networks

Automatica

IEEE Transactions on Pattern Analysis and Machine Intelligence

APSIPA Transactions on Signal and Information Processing

Engineering Applications of Artificial Intelligence

SIAM Journal on Mathematics of Data Science

Transactions on Machine Learning Research

Journal of Machine Learning Research

IET Control Theory & Applications

International Journal of Robust and Nonlinear Control

Frontiers of Information Technology & Electronic Engineering

International Journal of Systems Science

The Journal of the Franklin Institute Journal of Applied Mathematics and Computing Nonlinear Dynamics IEEE Access Conference Referee IEEE Conference on Decision and Control 2018-2024 Conference on Neural Information Processing Systems 2020 - 2025International Conference on Learning Representations 2021 - 2025International Conference on Artificial Intelligence and Statistics 2021, 2025 International Conference on Machine Learning 2020 - 2025ICML Workshop on Next Generation of AI Safety 2024 ICML Workshop on Theoretical Foundations of Foundation Models 2024 NeurIPS Safe Generative AI Workshop 2025 Learning for Dynamics and Control Conference 2024, 2025 AAAI Conference on Artificial Intelligence 2023, 2024, 2026 American Control Conference 2018 - 2025IFAC World Congress 2020 Chinese Control Conference 2014 Chinese Control and Decision Conference 2014 Conference Volunteer 38th International Conference on Machine Learning, Virtual 2021, 2022 24th International Conference on Artificial Intelligence and Statistics, Virtual 2021 35th Conference on Neural Information Processing Systems, Virtual 2021 Area Chair of NeurIPS Workshop Workshop on Constrained Optimization for Machine Learning, San Diego 2025 Co-chair of Nonlinear System and Control Section 2014 26th Chinese Control and Decision Conference, Changsha, China Admissions Committee, University of Pennsylvania PhD Admission in the Department of Electrical and Systems Engineering Fall 2023 Lab Assistant, University of Pennsylvania ESE 2000 Artificial Intelligence Lab: Data, Systems, and Decisions Summer 2024

TEACHING EXPERIENCE

Guest Lecturer, University of Pennsylvania

ESE 5140 Graph Neural Networks Fall 2023

Teaching Assistant, University of Southern California

EE 587 Nonlinear Systems Spring 2018

Guest Lecturer, University of Minnesota Twin Cities

EE 3015 Statistical Methods in Electrical and Computer Engineering Spring 2017

Teaching Assistant, University of Minnesota Twin Cities

EE 4231 Automatic Control Systems Fall 2016

EE 3015 Statistical Methods in Electrical and Computer Engineering Spring 2017

MENTORING Mentor for Doctoral Students, University of Pennsylvania

EXPERIENCE Shervin Khalafi (2nd year) September 2023 – Now

Topic: Constrained learning for diffusion models

Ignacio Hounie (4th year) September 2024 – Now

Topic: Constrained learning for large language models

Xinmeng Huang February 2024 – February 2025

PhD Thesis: Topics in statistical machine learning: multitask learning, uncertainty quan-

tification, and language model alignment

Now: Quantitative Researcher, Citadel Securities

Mentor for Doctoral Students, King Juan Carlos University, Madrid, Spain

Sergio Rozada February 2024 – February 2025

PhD Thesis: Low-rank methods in reinforcement learning

Mentor for Master Students, University of Pennsylvania

Zhengyan Huan September 2023 – February 2024

Topic: Resilient constrained reinforcement learning

Now: Doctoral Student, Tufts University

Mentor for Undergraduate Students, University of Southern California

Terry Lu January 2022 – June 2022

Now: Master in Finance, Princeton University

Academic Mentor for Master Students, University of Southern California

Viterbi Graduate Mentorship Program August 2018 – June 2021

SKILLS Python, LATEX, C/C++, Matlab, SQL

MEMBERSHIPS The Institute of Electrical and Electronics Engineers (IEEE)

IEEE Control Systems Society Membership

The Institute for Operations Research and the Management Sciences (INFORMS)

Stanford Encyclopedia of Philosophy

GRADUATE COURSE HIGHLIGHTS Control Systems: EE 5231 Linear System and Optimal Control, EE 8215 Nonlinear Systems, AEM 8421 Robust Multi-Variable Control Systems, AEM 8423 Convex Optimization Methods in Control; Optimization and Computation: EE 5239 Introduction to Nonlinear Optimization, EE 8231 Optimization Theory, ISE 633 Large-Scale Optimization for Machine Learning, CSCI 5304 Computational Aspects of Matrix Theory, CSCI 8314 Sparse Matrix Computations; Probability and Machine Learning: MATH 507A/B Theory of Probability, EE 556 Stochastic Systems & Reinforcement Learning, CSCI 5525 Machine Learning, EE 546 Mathematics of High-Dimensional Data, DSO 699 Statistical Learning Theory, CSCI 699 Theoretical Machine Learning, EE 5581 Information Theory and Coding.