

# ELEC 433 Formula Sheet

## Coding Approaches and Characteristics

Channel Capacity for Additive White Gaussian for bandwidth  $W$  and noise  $N_0$ :

$$C = W \log_2 \left( 1 + \frac{P}{N_0 W} \right)$$

BFSK bit error probability:

$$p = \frac{1}{2} e^{-E_b/2N_0}$$

## Binary Linear Block Codes

$(n, k, d)$  code:

- $n$  - length of codeword
- $k$  - number of message bits in codeword
- $d$  - Code minimum distance

Number of codewords in a code  $C$ :

$$|C| = M = 2^k$$

Code rate:

$$R = \frac{\log_2(M)}{n} = \frac{k}{n}$$

Vector space dimensions:

$$\dim S + \dim S^\perp = \dim V$$

**Def (Binary Linear Block Codes):** A subset  $C \subseteq V_n$  is a binary linear block code if:

- $\mathbf{u} + \mathbf{v} \in C \quad \forall \mathbf{u}, \mathbf{v} \in C$
- $a\mathbf{u} \in C \quad \forall \mathbf{u} \in C, a \in \{0, 1\}$

Hamming Weight:

$$w(\mathbf{x}) = \text{number of non-zero elements in } \mathbf{x}$$

Hamming Distance:

$$d(\mathbf{x}, \mathbf{y}) = \text{number of places in which } \mathbf{x} \text{ and } \mathbf{y} \text{ differ}$$

Hamming Distance for binary linear codes:

$$d(\mathbf{x}, \mathbf{y}) = w(\mathbf{x} + \mathbf{y})$$

Minimum Hamming Distance:

- $d(C) = \min \{d(\mathbf{x}, \mathbf{y}) : \mathbf{x}, \mathbf{y} \in C, \mathbf{x} \neq \mathbf{y}\}$
- A code  $C$  can detect up to  $v$  errors if  $d(C) \geq v + 1$
- A code  $C$  can correct up to  $t$  errors if  $d(C) \geq 2t + 1$

**Def (Generator Matrix):** A  $k \times n$  matrix whose rows for a basis for a linear  $(n, k)$  code of a subspace  $C$  is said to be a generator matrix for  $C$ .

## Groups, Rings, and Fields

**Def (Group)** A group  $(G, \cdot)$  is a set of objects  $G$  on which a binary operation  $\cdot$  is defined:  $a \cdot b \in G : \forall a, b \in G$ . The operation must satisfy:

- Associativity:  $a \cdot (b \cdot c) = (a \cdot b) \cdot c$
- Identity:  $\exists e \in G \mid \forall a \in G, a \cdot e = a$
- Inverse:  $\forall a \in G, \exists \text{ unique } a^{-1} \in G \mid a \cdot a^{-1} = e$

**Def (Commutative Group)** A group is said to be commutative or abelian if it also satisfies:

$$\forall a, b \in G, a \cdot b = b \cdot a$$

**Def (Ring)** A ring  $(R, +, \cdot)$  is a set of objects  $R$  on which two binary operations  $(+ \text{ and } \cdot)$  are defined. It has properties:

- $(R, +)$  is a commutative group under  $+$  with identity "0"
- Associativity:  $a \cdot (b \cdot c) = (a \cdot b) \cdot c$
- Distribution:  $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$

**Def (Commutative Ring)** A ring is said to be commutative if it also satisfies:  $\forall a, b \in G, a \cdot b = b \cdot a$

**Def (Ring with Identity)** A ring is said to be a ring with identity if the operation  $\cdot$  has an identity element "1"

**Def (Division Ring)** Let  $(R, +, \cdot)$  be a ring, and  $R^* = R - 0$ . If the ring is a commutative ring with identity, and  $(R^*, \cdot)$  is a group, then the ring is said to be a division ring.

**Def (Field)** A field  $(F, +, \cdot)$  is a set of objects  $F$  for which two binary operations  $(+ \text{ and } \cdot)$  are defined.  $F$  is said to be a field if and only if:

- $(F, +)$  is a commutative group under  $+$  with additive identity "0"
- $(F^*, \cdot)$  is a commutative group under  $\cdot$  with multiplicative identity "1"
- Distribution:  $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$

Finite Integer Fields:

$$S = \{0, 1, \dots, p - 1\} \text{ form a finite field if } p \text{ is prime.}$$

Properties of Finite Fields:

- Order: A field of order  $q$  has cardinality  $|F| = q$ , denoted  $\text{GF}(q)$
- Let  $\beta \in \text{GF}(q), \beta \neq 0$ . The order of  $\beta$  is the smallest positive integer  $m$  such that  $\beta^m = 1$

- If  $t$  is the order of  $\beta$ , then  $t \mid (q - 1)$
- In any finite field, there are one or more elements of order  $q - 1$  called primitive elements.

Euler's Totient Function:

$\phi(t)$  = number of positive integers less than  $t$  that are relatively prime to  $t$

Finite Fields and Euler's Totient Function:

- The number of elements in  $\text{GF}(q)$  of order  $t$  is  $\phi(t)$
- In  $\text{GF}(q)$  there are exactly  $\phi(q - 1)$  primitive elements
- If  $\alpha$  is a primitive element, then  $1, \alpha, \alpha^2, \dots, \alpha^{q-2}$  must be non-zero elements of  $\text{GF}(q)$

**Def (Primitive Polynomial)** If an irreducible polynomial  $p(x)$  such that the smallest positive integer  $n$  for which  $p(x)$  divides  $x^n - 1$  is  $n = p^m - 1$  for a prime  $p$  and positive integer  $m$ , the polynomial is said to be a primitive polynomial.

## Encoding and Decoding

Codeword convention: Data appears unaltered at the start of the code word.

**Thm (Equivalence of Binary Linear Codes)** Two linear binary codes are called equivalent if one can be obtained from the other by permuting the positions of the code. Two  $k \times n$  binary matrices generate equivalent linear  $(n, k, d)$  codes if one matrix can be obtained from the other by a sequence of row, column permutations and row addition.

**Thm (Systematic Codes)** Let  $G$  be a generator matrix of an  $(n, k)$  code. Then  $G$  can be transformed to the form  $[I_k \mid P]$  where  $P$  is called the parity matrix.

Encoding of a message  $\mathbf{m}$  with a code  $C$ :

$$\mathbf{c} = \mathbf{m}G$$

**Def (Parity Check Matrix).**  $H$  satisfies  $GH^T = 0$  and is a basis for the dual space. In systematic form  $H = [P^T \mid I_{n-k}]$

Syndrome of a received word  $\mathbf{r}$ :

$$\mathbf{s} = \mathbf{r}H^T$$

## Hamming Codes

**Def** (*Binary Hamming Code*) Let  $m \in \mathbb{Z}$  and  $H$  be a  $m \times (2^m - 1)$  matrix with columns which are the non-zero distinct words from a vector space  $V_m$ . The code having  $H$  as its parity-check matrix is a binary Hamming code of length  $2^m - 1$

Hamming code parameters:

$$C : (2^m - 1, 2^m - 1 - m, 3) \quad C^\perp : (2^m - 1, m, 2^{m-1})$$

Decoding Hamming Codes where columns of  $H$  are arranged in order of increasing binary numbers:

1. Compute  $S(\mathbf{r}) = \mathbf{r}H^T$
2. If  $S(\mathbf{r}) = 0$ , then  $\mathbf{r}$  is a valid codeword
3. Else,  $S(\mathbf{r})$  gives the binary position of the error

Hamming Bound:

$$\sum_{i=0}^t \binom{n}{i} \leq 2^{n-k}$$

## Cyclic Codes

**Def** (*Cyclic Code*) A code  $C$  is cyclic if  $C$  is linear and a cyclic shift of any codeword is another codeword.

Properties of a  $(n, k)$  binary cyclic code  $C$ :

1. There exists a generator polynomial of minimal degree  $n - k$
2. Every code polynomial in  $C$  can be expressed as  $c(x) = m(x)g(x)$  where  $m(x)$  has degree  $< k - 1$
3. We can write  $x^n - 1 = g(x)h(x)$  where  $h(x)$  is the parity check polynomial.
4. If  $g(x)$  is a primitive polynomial then  $C$  is also a Hamming code.

Generator Matrix:

$$G = \begin{bmatrix} g_0 & g_1 & \cdots & g_{n-k} & 0 & \cdots & 0 \\ 0 & g_0 & g_1 & \cdots & g_{n-k} & 0 & 0 \\ 0 & 0 & \ddots & \ddots & & \ddots & 0 \\ 0 & 0 & 0 & g_0 & g_1 & \cdots & g_{n-k} \end{bmatrix}$$

Parity Check Matrix:

$$h^*(x) = x^k h(x^{-1}) = h_k + h_{k-1}x + \cdots + h_0x^k$$

$$H = \begin{bmatrix} h_k & \cdots & h_1 & h_0 & 0 & \cdots & 0 \\ 0 & h_k & \cdots & h_1 & h_0 & 0 & 0 \\ 0 & 0 & \ddots & \ddots & & \ddots & 0 \\ 0 & 0 & 0 & h_k & \cdots & h_1 & h_0 \end{bmatrix}$$

Systematic Generator Matrix:

1. For  $i = n - k$  to  $n - 1$ , compute  $x^i \mod g(x) = p_i(x)$
2. Rows of  $G$  are formed by  $x^i + p_i(x)$ .

Systematic Encoding:

$$c(x) = m(x)x^{n-k} + m(x)x^{n-k} \mod g(x)$$

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<https://github.com/DonneyF/formula-sheets>