

# PHYS 408 Formula Sheet

## Differential Maxwell's Equations

$$\begin{aligned}\nabla \cdot \mathbf{E} &= \frac{\rho}{\epsilon_0} & \nabla \times \mathbf{E} &= -\frac{\partial \mathbf{B}}{\partial t} \\ \nabla \cdot \mathbf{B} &= 0 & \nabla \times \mathbf{B} &= \mu_0 \mathbf{J} + \mu_0 \epsilon_0 \frac{\partial \mathbf{E}}{\partial t}\end{aligned}$$

## Wave Optics

Monochromatic Wave:

$$\mathcal{E} = \text{Re}\{\mathbf{E}(\mathbf{r})e^{-i\omega t}\}$$

Helmholtz Equation:

$$\nabla^2 \mathbf{E} + k^2 \mathbf{E} = 0$$

Plane wave solution to Helmholtz Equation:

$$\mathbf{E}(\mathbf{r}) = \mathbf{E}_0 e^{i\mathbf{k} \cdot \mathbf{r}}$$

Spherical wave solution to Helmholtz Equation:

$$\mathbf{E}(\mathbf{r}) = \mathbf{E}_0 \frac{1}{r} e^{ikr} \quad \mathbf{E}_0 = E_0 \hat{\theta}$$

Interference of multiple fields:

$$\begin{aligned}I &= \sum_{k=1}^N I_k + v \epsilon \text{Re}\{E_1 E_2^* + E_1 E_3^* + \dots + E_{N-1} E_N^*\} \\ v \epsilon E_i E_j^* &= 2\sqrt{I_i I_j} \exp[i(\theta_i - \theta_j)]\end{aligned}$$

Fresnel (Paraxial) Approximation:

$$\sqrt{x^2 + y^2} \ll z$$

Paraxial Wave Equation:

$$\nabla_T^2 \mathbf{E} + i2k \frac{\partial \mathbf{E}}{\partial z} = 0 \quad \nabla_T^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}$$

Fresnel Approximation of a spherical wave:

$$\mathbf{E}(\mathbf{r}) \approx \frac{\mathbf{E}_0}{z} \exp\left[ik \frac{x^2 + y^2}{2z}\right] = \frac{\mathbf{E}_0}{z} \exp\left[ik \frac{\rho^2}{2z}\right]$$

Grating Equation:

$$\sin \theta_m = \sin \theta + m\lambda/d$$

## Beam Optics

Gaussian beam with Rayleigh length  $z_0$ :

$$\begin{aligned}\mathbf{E}(\mathbf{r}) &= \mathbf{E}_0 \frac{w_0}{w(z)} \exp\left[-\frac{\rho^2}{(w(z))^2}\right] \times \\ &\exp\left[ik \frac{\rho^2}{2R(z)}\right] \exp\left[ikz - i \tan^{-1}\left(\frac{z}{z_0}\right)\right] \\ q(z) &= z - iz_0 \\ \frac{1}{q(z)} &= \frac{1}{R(z)} + i \frac{\lambda}{\pi} \frac{1}{(w(z))^2}\end{aligned}$$

Beam waist:

$$w_0 = \sqrt{\frac{\lambda z_0}{\pi}}$$

Beam Radius:

$$w(z) = w_0 \sqrt{1 + \left(\frac{z}{z_0}\right)^2}$$

Radius of curvature:

$$R(z) = z \left[1 + \left(\frac{z_0}{z}\right)^2\right]$$

Gaussian beam intensity with center intensity  $I_0$ :

$$I(z, \rho) = I_0 \left[\frac{w_0}{w(z)}\right]^2 \exp\left[-\frac{2\rho^2}{(w(z))^2}\right]$$

Gaussian beam total power:

$$P = \frac{I_0}{2} \pi w_0^2$$

Depth of focus (confocal parameter):

$$d = 2z_0 = 2\pi w_0^2/\lambda$$

## Propagation of Paraxial Waves

ABCD Matrix of an optical system:

$$\begin{bmatrix} y_2 \\ \theta_2 \end{bmatrix} = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} y_1 \\ \theta_1 \end{bmatrix}$$

Free space propagation transfer matrix:

$$\begin{bmatrix} 1 & d \\ 0 & 1 \end{bmatrix}$$

Refraction transfer matrix:

$$\begin{bmatrix} 1 & 0 \\ 0 & n_I/n_T \end{bmatrix}$$

Curved interface transfer matrix:

$$\begin{bmatrix} 1 & 0 \\ -\frac{n_T - n_I}{n_I R} & n_I/n_T \end{bmatrix}$$

Thin lens transfer matrix:

$$\begin{bmatrix} 1 & 0 \\ -\frac{1}{f} & 1 \end{bmatrix}$$

Gaussian Beam propagation in the paraxial regime:

$$q_2 = \frac{Aq_1 + B}{Cq_1 + D}$$

## Fourier Optics

Fraunhofer (far field) limit:

$$x^2/\lambda, y^2/\lambda \ll z/\pi$$

Free space transfer function along  $\mathbf{z}$ :

$$\tilde{H}(k_x, k_y) = e^{ik_z z} \approx e^{ik_z z - i(k_x^2 + k_y^2)z/2k}$$

Free space propagation in paraxial limit:

$$E(x', y', z) \approx \frac{e^{ikz}}{i\lambda z} \exp\left[ik \frac{x'^2 + y'^2}{2z}\right] \times$$

$$\iint E(x, y, z) \exp\left[ik \frac{x^2 + y^2}{2z}\right] \exp\left[-\frac{ik}{z}(x'x + y'y)\right] dx dy$$

Free space propagation in Fraunhofer limit:

$$E(x', y', z) \approx \frac{e^{ikz}}{i\lambda z} \exp\left[ik \frac{x'^2 + y'^2}{2z}\right] \mathcal{F}\{E(x, y, z)\}$$

Thin lens thickness function:

$$\begin{aligned}\Delta(x, y) &= \\ \Delta_0 - R_1 \left(1 - \sqrt{1 - \frac{x^2 + y^2}{R_1^2}}\right) &+ R_2 \left(1 - \sqrt{1 - \frac{x^2 + y^2}{R_2^2}}\right)\end{aligned}$$

Thin lens thickness function in paraxial limit:

$$\Delta(x, y) \approx \Delta_0 - \frac{x^2 + y^2}{2} \left(\frac{1}{R_1} - \frac{1}{R_2}\right)$$

Thin lens phase function:

$$t_L(x, y) = \exp[ikn\Delta(x, y)] \exp[ik(\Delta_0 - \Delta(x, y))]$$

Thin lens phase function (ignore constant phase):

$$t_L(x, y) = \exp\left[-i \frac{k}{2f}(x^2 + y^2)\right]$$

## Polarization Optics

**E Parallel to Plane of Incidence**

Field Magnitudes (Fresnel Equations):

$$\frac{E_R}{E_I} = \left(\frac{\alpha - \beta}{\alpha + \beta}\right) \quad \frac{E_T}{E_I} = \left(\frac{2}{\alpha + \beta}\right)$$

Reflection and Transmission Coefficients:

$$R = \left(\frac{\alpha - \beta}{\alpha + \beta}\right)^2 \quad T = \alpha\beta \left(\frac{2}{\alpha + \beta}\right)^2$$

Brewster's Angle (no reflected wave,  $\alpha = \beta$ ):

$$\tan \theta_B = n_2/n_1$$

**E Perpendicular to Plane of Incidence**

Field Magnitudes (Fresnel Equations):

$$\frac{E_R}{E_I} = \left(\frac{1 - \alpha\beta}{1 + \alpha\beta}\right) \quad \frac{E_T}{E_I} = \left(\frac{2}{1 + \alpha\beta}\right)$$

Reflection and Transmission Coefficients:

$$R = \left(\frac{1 - \alpha\beta}{1 + \alpha\beta}\right)^2 \quad T = \alpha\beta \left(\frac{2}{1 + \alpha\beta}\right)^2$$

## Basic Polarization States

Linear Polarization Vector:

$$\mathbf{E}_0 = E_{0x} e^{i\phi_x} \hat{\mathbf{x}} + E_{0y} e^{i\phi_y} \hat{\mathbf{y}} \quad \phi = \phi_x - \phi_y \in \{0, \pi, -\pi\}$$

Linear Polarization Angle  $\psi$  relative to  $x$ -axis:

$$\tan \psi = E_{0x}/E_{0y}$$

Circular Polarization Vector (+i is counter-clockwise):

$$\mathbf{E}_0 = E_0 \hat{\mathbf{x}} \pm iE_0 \hat{\mathbf{y}}$$

Elliptical Polarization Vector:

$$\mathbf{E}_0 = E_{0x} e^{i\phi_x} \hat{\mathbf{x}} + E_{0y} e^{i\phi_y} \hat{\mathbf{y}}$$

Elliptical Polarization Ellipticity:

$$\tan \chi = b/a$$

## Jones Vectors

$$\mathbf{E}_0 = E_{0x}\hat{\mathbf{x}} + E_{0y}e^{i\phi}\hat{\mathbf{y}} = E_0 \begin{bmatrix} E_x \\ E_y \end{bmatrix}$$

Linear Polarization:

$$\hat{\mathbf{e}}_x = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \quad \hat{\mathbf{e}}_y = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \quad \hat{\mathbf{e}}_\alpha = \begin{bmatrix} \cos \alpha \\ \sin \alpha \end{bmatrix}$$

Circular Polarization:

$$\hat{\mathbf{e}}_{\text{CW}} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ -i \end{bmatrix} \quad \hat{\mathbf{e}}_{\text{CCW}} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ i \end{bmatrix}$$

Elliptical Polarization:

$$\hat{\mathbf{e}}_e = \frac{1}{\sqrt{2}}(\sin \chi + \cos \chi)e^{-i\psi}\hat{\mathbf{e}}_{\text{CW}} + \frac{1}{\sqrt{2}}(\sin \chi - \cos \chi)e^{i\psi}\hat{\mathbf{e}}_{\text{CCW}}$$

## Jones Matrices

$$\mathbf{E}_2 = \mathbf{T}\mathbf{E}_1$$

Linear Polarizer in x-y basis:

$$T_p^{(x)} = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \quad T_p^{(y)} = \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$$

Linear Polarizer at angle  $\alpha$  relative to x-axis:

$$T_p^{(\alpha)} = \begin{bmatrix} \cos^2 \alpha & \cos \alpha \sin \alpha \\ \cos \alpha \sin \alpha & \sin^2 \alpha \end{bmatrix}$$

Arbitrary Phase Retarder in x-y basis:

$$T_\phi^{(x)} = \begin{bmatrix} 1 & 0 \\ 0 & e^{i\phi} \end{bmatrix}$$

Phase retarder with fast axis at angle  $\alpha$  relative to x-axis:

$$T_\phi^{(\alpha)} = e^{-i\frac{\phi}{2}} \begin{bmatrix} \cos^2 \alpha + e^{i\phi} \sin^2 \alpha & (1 - e^{i\phi}) \cos \alpha \sin \alpha \\ (1 - e^{i\phi}) \cos \alpha \sin \alpha & \sin^2 \alpha + e^{i\phi} \cos^2 \alpha \end{bmatrix}$$

Rotate x-y basis by an arbitrary angle:

$$T^{(\theta)} = R_\theta^{-1} T R_\theta \quad R_\theta = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}$$

Normal Modes:

$$T_{\text{total}} \mathbf{E}_0 = \lambda_{1,2} \mathbf{E}_0$$

## Stokes Vectors

$$\mathbf{E}_0 = E_{0x}\hat{\mathbf{x}} + E_{0y}e^{i\phi}\hat{\mathbf{y}} \\ S_0 = I_{\text{tot}} = \langle E_{0x}^2 + E_{0y}^2 \rangle$$

$$S_1 = I_{\text{pol}} \cos(2\psi) \cos(2\chi) = \langle E_{0x}^2 - E_{0y}^2 \rangle$$

$$S_2 = I_{\text{pol}} \sin(2\psi) \cos(2\chi) = \langle 2E_{0x}E_{0y} \cos \phi \rangle$$

$$S_3 = I_{\text{pol}} \sin(2\chi) = \langle 2E_{0x}E_{0y} \sin \phi \rangle$$

Polarization Degree:

$$\frac{I_{\text{pol}}}{I_{\text{tot}}} = \frac{1}{S_0} \sqrt{S_1^2 + S_2^2 + S_3^2}$$

## Optical Cavities

ABCD matrix approach:

$$\begin{bmatrix} U_2^+ \\ U_2^- \end{bmatrix} = \begin{bmatrix} A & B \\ C & D \end{bmatrix} \begin{bmatrix} U_1^+ \\ U_1^- \end{bmatrix} = M_{21} \begin{bmatrix} U_1^+ \\ U_1^- \end{bmatrix}$$

Two interfaces:

$$M_{21} = \frac{1}{2n_2} \begin{bmatrix} n_2 + n_1 & n_2 - n_1 \\ n_2 - n_1 & n_2 + n_1 \end{bmatrix}$$

Mirror:

$$M_m = \frac{i}{\sqrt{T}} \begin{bmatrix} 1 & -\sqrt{R} \\ \sqrt{R} & -1 \end{bmatrix} \quad t = i\sqrt{T}, r = \sqrt{R}$$

Fabry-Perot Cavity (two mirrors a distance  $d$  apart):

$$M_{\text{FP}} = \frac{1}{t_1^*} \begin{bmatrix} 1 & -r_1 \\ r_1 & -1 \end{bmatrix} \begin{bmatrix} e^{ik_0 d} & 0 \\ 0 & e^{-ik_0 d} \end{bmatrix} \frac{1}{t_2^*} \begin{bmatrix} 1 & -r_2 \\ r_2 & -1 \end{bmatrix}$$

$$t_{\text{FP}} = \frac{t_1 t_2 e^{i\varphi}}{1 - r_1 r_2 e^{2i\varphi}} \quad \varphi = k_0 d$$

$$T_{\text{FP}} = [1 + (2\mathcal{F}/\pi)^2 \sin^2 \varphi]^{-1}$$

Finesse:

$$\mathcal{F} = \frac{\pi\sqrt{R}}{1-R}$$

Free Spectral Range:

$$\nu_{\text{FSR}} = c/2d$$

Spectral line width (FWHM):

$$\Delta\nu \approx \nu_{\text{FSR}}/\mathcal{F}$$

Cavity Uncertainty Relation:

$$\Delta t \Delta f = \tau_\gamma \Delta\nu = 1/2\pi$$

Quality Factor:

$$Q = 2\pi \frac{\text{stored energy}}{\text{energy loss per oscillation cycle}} = q\mathcal{F} \quad q \in \mathbb{Z}$$

Resonator Stability:

$$0 \leq (1 + d/R_1)(1 + d/R_2) \leq 1$$

Resonant frequencies in a stable cavity:

$$\nu_{q,l,m} = \nu_{\text{FSR}} \left[ q + \frac{1}{\pi}(1 + l + m) \cos^{-1}(g_1 g_2) \right]$$

Gaussian beam parameters:

$$z_0^2 = \frac{g_1 g_2 (1 - g_1 g_2)}{(g_1 + g_2 - 2g_1 g_2)^2} \quad w_0^2 = \frac{d\lambda}{\pi} \frac{\sqrt{g_1 g_2 (1 - g_1 g_2)}}{g_1 + g_2 - 2g_1 g_2}$$

## Lasers

Einstein coefficients in a two level system:

$$\text{Spontaneous emission: Rate} = AN_2$$

$$\text{Absorption (stimulated): Rate} = B_{12}N_1\rho(\nu_{12})$$

$$\text{Stimulated emission: Rate} = B_{21}N_2\rho(\nu_{12})$$

Spontaneous Emission ( $d_{12} = \langle 1 | e\hat{\mathbf{x}} | 2 \rangle$ ):

$$A = \frac{1}{\tau_{sp}} = \gamma_{sp} = \frac{1}{4\pi\epsilon_0} \frac{4d_{12}^2\omega_0^3}{\hbar c^3}$$

Einstein B coefficient (Blackbody radiation):

$$A/B = 8\pi h/\lambda^3$$

Gain Coefficient:

$$\frac{dI}{dz} = g(\omega)I$$

Absorption cross-section:

$$\sigma(\omega) = \frac{B\hbar\omega}{c\Delta\omega} = \frac{A\lambda^2}{4\Delta\omega}$$

Absorption & emission rate:

$$B\rho(\omega) = \frac{I\sigma}{\hbar\omega}$$

Saturation Intensity:

$$I_{\text{sat}} = \hbar\omega/\sigma\tau$$

Pumping rate:

$$R_p = B\rho(\omega_p) = \frac{I_p \sigma(\omega_p)}{\hbar\omega_p}$$

Single-pass gain:

$$\ln\left(\frac{I_2}{I_1}\right) + \frac{I_2 - I_1}{I_{\text{sat}}} = g_0 l_g$$

Four Level System Lasing:

$$N_2 = \frac{R_p \tau}{1 + R_p \tau + I(\omega_l)/I_{\text{sat}}(\omega_l)} N_{\text{tot}}$$

## Gain medium in a cavity

Steady state, losses compensated:

$$I_2/I_1 \approx 1 + (A + T_{\text{oc}})$$

Output intensity:

$$I_{\text{out}} = I_{\text{sat}} T_{\text{oc}} \left[ \frac{g_0 l_g}{A + T_{\text{oc}}} - 1 \right]$$

Optimal output coupler:

$$T_{\text{oc}} = \sqrt{g_0 l_g A} - A$$

Density of cavity modes under gain bandwidth:

$$N_{\text{cav}} = \frac{8\pi}{\lambda^3 \omega} \Delta\omega$$

Cavity decay rate for gain medium loss  $a$ :

$$\gamma_c = ca$$

Threshold inversion:

$$N_t = \frac{\gamma_c}{\gamma} N_{\text{cav}}$$

Normalized pumping rate:

$$p = \frac{P}{\gamma N_t}$$

## Ultrafast Optics

Chirped pulse width:

$$\delta t \approx \frac{\phi''(\omega)}{\delta\omega_0}$$

Velocity:

$$v_\phi = \omega_0/k_0 \quad v_g = 1/k'_0$$

Group Delay Dispersion:

$$\delta t(z) \approx k''_0 z / \delta\omega_0$$

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<https://github.com/DonneyF/formula-sheets>