

# **GOVERNMENT OF MALAWI**

# NATIONAL STATISTICAL OFFICE

# FOURTH INTEGRATED HOUSEHOLD SURVEY, 2016/17

# **ENUMERATOR MANUAL**

# FOR THE

# HOUSEHOLD QUESTIONNAIRE

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# 1. INTRODUCTION

You have been selected as one of the interviewers for the implementation of the **Malawi Fourth Integrated Household Survey** (**IHS4**). The National Statistical Office (NSO) carries out the **IHS** every few years. While the IHS1 was conducted between November 1997 and October 1998, the IHS2 was implemented between March 2004 and April 2005 and the IHS3 was implemented during the period of March 2010 to March 2011. Prior to the start of the IHS3 field work, 3, 246 of the 12,271 households across 204 enumeration areas (EAs) were identified as panel households for the purpose of tracking and re-interviewing as part of the Integrated Household Panel Survey (IHPS) 2013. The IHPS was conducted between April 2013 and December 2013. During the IHPS field work, enumerator attempted to revisit the 3,246 panel households that were located within Malawi, and any individuals that had left the household were also tracked. The extensive tracking efforts made during the IHPS led to the possibility to create a large and rich panel dataset. Along with the cross-sectional component, the IHS4 will track and re-interview a subsample of the panel households from previous rounds.

The principal foci of the IHS4 are the welfare level of Malawian households and smallholder agricultural productivity. Hence, the IHS4 data will be used to determine the proportion of Malawians living in poverty, engage in research efforts to depict correlates of poverty as well as linkages between household welfare and agricultural development, and shed light on relevant policies aimed at reducing poverty and sustaining poverty gains over time. The information solicited through the IHS4 will also be used in a range of other studies on employment, education, health, nutritional status, and better understanding of how households respond to changes in the macroeconomic environment. Since the survey focuses on a wide range of topics at the household- and individual-levels as part of an integrated design, the IHS4 data is expected to be rich.

The enumeration of the IHS4 cross-sectional households will be spread over 12 months to ensure that analysts are able to take into account different conditions experienced by households, particularly farming households, both in the rainy and dry seasons. The lengthy enumeration period is also necessary in view of the size of the questionnaire and the fact that it may at times take a day to properly administer a questionnaire to a household. On the whole, 780 enumeration areas (EAs) will be visited as part of the IHS4 "cross-sectional" component and 16 households will be interviewed in a given enumeration area for a total sample of 12,480 households. Additionally, 120 EAs will be interviewed as part of the "panel" sample of households who will be visited twice throughout the IHS4 field work, who were first visited in 2010 and have been tracked and revisited in 2013. The "cross-sectional" households will be visited only once, during the 12-month survey period. While there will be slight variations in the questionnaire instruments administered to the panel vs. cross-sectional samples, their design will be identical at the core. The IHS4 will also be the first IHS that uses tablet-based data collection.

The IHS4 field staff will be composed of 16 mobile teams, each of which will include a field supervisor, 4 enumerators and 1 driver. Prior to deployment, the teams will be given clear, monthly schedules to execute. It is important to note that the IHS4 is being conducted under the Statistics Act of 2013 which empowers the enumerators to collect information from the selected households. The information collected will, therefore, remain confidential to National Statistical Office and must not be divulged to any unauthorized person.

# 2. DESCRIPTION OF THE SURVEY

# (a) The Six IHS4 Questionnaires

## 1) Household Questionnaire

The subject matter is as comprehensive as possible in part because welfare has many dimensions that need to be investigated. These dimensions include income and expenditures, employment, assets, education, health and food security. In addition, each aspect of household welfare and behaviour cannot be properly understood on its own, but has to be placed within the context of the whole. Hence, the Household Questionnaire has over 20 inter-linked modules that include the following:

- Household Membership/roster
- Education
- Health and Nutrition
- Time Use and Labour
- Housing
- Food Consumption
- Food Security
- Non-Food Consumption
- Ownership of Durable Goods
- Ownership of Farm Assets
- Household Enterprises

- Children Living Elsewhere
- Other Income
- Gifts Given Out
- Social Safety Nets
- Credit
- Subjective Assessment of Welfare
- Shocks and Coping Strategies
- Child Anthropometry
- Deaths in Household
- Agricultural Household Identification

Information of this type can only be obtained by approaching household members themselves. The most reliable and complete means of doing so is by visiting respondents at their homes. Later in this manual, the specific modules of the household questionnaire will be reviewed in considerably more detail. This will be done to provide you with key information on the intent of the questions and, consequently, how you should handle any problems that might crop up in administering the questions to respondents.

#### 2) Agriculture Questionnaire

All IHS4 households that are identified as involved in crop and/or livestock production will be given the Agriculture Questionnaire. The Agriculture Questionnaire collects detailed information on farming and livestock, allowing for the extensive analysis of smallholder agricultural production and productivity.

#### 3) Fishery Questionnaire

All IHS4 households that are identified as involved in fishery production and/or fish trading will be given the Fishery Questionnaire. The Fishery Questionnaire has been developed in collaboration with the World Fish Centre, and will allow for detailed analysis of labour and non-labour input use in fishing and fish trading activities as well as volume of production and trading of main fish varieties.

#### 4) Individual-level Questionnaire

All Panel households within the IHS4 sample will also receive between 1 and 3 individuallevel questionnaires. The individual-level questionnaires will be administered at the end of the interview after all relevant household, agriculture, and fisheries modules have been completed. Up to 3 adult members in a given panel household will be randomly selected to receive the individual questionnaire and these interviews will be done simultaneously. To achieve the goal of simultaneity, more than one enumerator will be assigned to a given household. The enumerators will be assigned based on the gender of the respondents within a household to ensure that male enumerators are interviewing male respondents, and female enumerators are interviewing female respondents. These strict protocols are put in place based on the sensitivity of the content within the questionnaire. Within the individuallevel questionnaire, the respondent will report their own information on education, health and time use & labour. The modules will be identical to Modules C, D, and E within the household instrument. The individual-level questionnaire will also ask the respondent detailed questions on the ownership and rights of different assets: the dwelling, agricultural land, financial assets, and durables. Along with this the respondent will report on food consumed away from home and a subjective assessment of well-being.

#### 5) Community Questionnaire

The Community Questionnaire will be administered to a small group of knowledgeable and responsible members (a minimum of 5 and a maximum of 15) of a representative community in each of the 860 EAs selected for the survey. This questionnaire will be used to collect information that is applicable to all households residing in the community. The administration of the Community Questionnaire will be the responsibility of the field supervisors. However, we ask that whenever possible, the enumerators assist their field supervisors in the completion of the Community Questionnaire, for example, by identifying knowledgeable individuals in each EA who might serve as members of the group of informants to whom the community questionnaire will be administered.

#### 6) Market Questionnaire

The Market Questionnaire will be administered to an assigned list of markets in each district. This questionnaire will be used to collect weight and price information on the non-standard crop and food units commonly reported as produced or consumed by IHPS respondents. This will be done by separate teams than the core field teams.

#### **QUESTIONNAIRES ON CAPI**

- 1. Census mode Cross-Sectional Instrument for Household, Agriculture & Fisheries
- 2. **Sample** mode **Visit 1 Panel Instrument** for T-0, T-1, T-2, Household, Rainy Season Agriculture & Fisheries (exact modules enabled depends on Panel A vs. Panel B)
- 3. **Census** mode **Visit 1 Panel Instrument** for households and individuals that moved out of their original dwelling. In this questionnaire, the linkage between IHPS household members and IHPS gardens is manual, as if on paper transferring IDs from paper to CAPI.
- 4. Sample mode **Visit 2 Panel Instrument** for T-0, T-1, T-2 in case of movement between visits, Household, Dry Season Agriculture & Fisheries (exact modules enabled depends on Panel A vs. Panel B)

- **5.** Census mode **Visit 2 Panel Instrument** for households and individuals that moved out of their Visit 1 dwelling. In this questionnaire, the linkage between IHPS household members and IHPS gardens is manual, as if on paper <u>transferring IDs from paper to CAPI</u>.
- 6. Census mode Individual Questionnaire
- 7. Census mode Community Questionnaire

# (b) Rationale for the IHS4

The IHS4 will provide a complete and integrated data set that will update the poverty profile for Malawi. Sufficient consumption and expenditure information will be collected so that an estimate can be computed pertaining to the welfare level of survey households. In order to classify households as poor or non-poor, the per capita consumption level for each survey household will be compared to a poverty line that will also be developed using information collected through this survey.

As this is the third time the IHS4 panel households have been interviewed, the IHPS will provide the third round of data for an effort that will focus on the measurement and correlates of *poverty dynamics* among the same set of study households, i.e. poverty entry vs. exit vs. persistence. Moreover, the extensive agricultural content of the IHS4 will enable researchers to comprehensively depict the linkages among household welfare, smallholder agricultural productivity and agricultural policy instruments, and the changing nature of the relationships among these phenomena overtime.

The IHS4 will serve a broad set of applications on policy issues including:

- Household consumption and expenditure patterns
- Smallholder agricultural production and productivity
- Sources of income and income-generating activities
- Asset ownership
- Health and nutritional status, and
- Education.

Being an integrated survey, these policy issues can be examined both in isolation, i.e. separately, or in combination - for example, examining how educational attainment levels might affect agricultural production patterns.

# 3. SURVEY ORGANIZATION

The IHS4 is being carried out by the NSO, and is designed to be nationally representative. That is, the information collected from the survey households will be used to estimate the characteristics of all households residing in Malawi. This is made possible by selecting sample households through the use of random selection procedures. The survey results will

also be used to make district-level estimates of core living standards indicators as well as smallholder agricultural production estimates for main crop varieties.

The IHS4 is based on 32 strata - that is, populations for which the survey data should allow us to make reliable estimates of the characteristics of households and individuals in that population. The 32 strata are made up of all districts in Malawi (<u>including Likoma</u>) as well as the four urban centres, namely Lilongwe, Blantyre, Mzuzu, and Zomba. The regional distribution of the IHS4 strata is shown below:

Northern Strata	Central Strata	Southern Strata
Chitipa	Kasungu	Mangochi
Karonga	Nkhotakota	Machinga
Nkhata Bay	Ntchisi	Chiradzulu
Rumphi	Dowa	Mwanza
Mzimba	Salima	Thyolo
Mzuzu City	Mchinji	Mulanje
Likoma	Dedza	Phalombe
	Ntcheu	Chikwawa
	Lilongwe, Non-City	Nsanje
	Lilongwe City	Balaka
		Neno
		Zomba, Non-City
		Zomba City
		Blantyre, Non-City
		Blantyre City

**Cross-Section**: In each of the strata, for the cross-sectional sample, 384 households will be interviewed, with the exception of Lilongwe City and Lilongwe Non-City, in each of which 584 households will be visited making a total sample size of 12,480 cross-sectional households. The total number of EAs selected for the IHS4 cross-section stands at 780, and 16 households will be interviewed in a given EA (768x16=12,480). The fieldwork of the cross-sectional survey effort will last 12 months, where the mobile teams will be expected to visit a pre-determined number of EAs in each month of the survey work.

**Panel**: The panel portion of the IHS4 sample is designed to give regional, as opposed to district-level, estimates. IHS3 involved 12, 271 randomly selected households and contained both a cross-sectional and panel component. The 3, 246 sample households selected as Panel A and Panel B households for IHS3 were then the focus of the IHPS. Each of the 204 Panel EAs contained 14-16 IHS3 households that **were tracked in IHPS**. Throughout the course of IHPS fieldwork in 2013, the field teams successfully tracked and re-interviewed at least one household member from 3,104 of the original 3,246 households. That being said, since the IHPS operation involved tracking all eligible individuals from these households and added all newly formed households to the sample, the final sample size of the IHPS was 4,000 households. The IHS4 will track and re-interview roughly 1,500 households from 120 of the original 204 Panel EAs. They will be visited twice during the IHS4.

You are one of the 4 enumerators assigned to each of the 16 mobile teams, which will also include a field supervisor and a driver. Hence, there will be 64 enumerators, 16 field supervisors and 16 drivers. Your work will be closely monitored by a field supervisor who will be responsible for assisting you in solving any problems that you may encounter during the administration of the survey. Each enumerator is expected to visit 4 households per cross-sectional EA and the number will vary for Panel EAs based on assignments from the team supervisor. Following the completion of all relevant tracking forms and questionnaires for a given household, the enumerators will "complete" the questionnaire on the CAPI tablet. Before doing so the enumerator will check to confirm that they have addressed all

errors flagged by the CAPI application, they will have recorded any and all relevant notes within the comments section, and they will have taken the time to go through their work carefully to confirm that all information recorded is correct. The enumerator will also confirm that all GARDEN and PLOT tracks linked to the households are saved correctly in their Garmin device (this will be discussed at length in the Agriculture Manual). They will work with their supervisor to sync their tablet with the system so that the supervisor and management can do their jobs accordingly.

The teams will not leave a given EA until all questionnaires are completed and checked for consistency by the CAPI application, field supervisor, and the NSO management, and any call-backs to fix major issues within a questionnaire have been made.

The IHS4 is a complex survey. The length and detail of the Household, Agriculture and Fishery Questionnaires, the large sample size, and the year-long enumeration period may present a number of difficulties that the field staff is expected to strive through. You, as one of the enumerators, are the critical foundation upon which a quality data set for use in analysis for decision-making can be built. Consequently, NSO has put in place a supervisory system to enable you to get the support that you require to effectively carry out the survey with the survey households.

As an enumerator, your responsibility entails completing in full the Household, Agriculture and Fishery Questionnaires, for 4 households in each of the cross-sectional EAs allocated to your team throughout the 12-month survey period along with all panel households assigned during the first 6-months of fieldwork. Your immediate supervisor is the IHS4 field supervisor. In order for you, the enumerator, to do a good job, you need to have adequate supervision and to be able to easily request rapid assistance if required. Your field supervisor is responsible for making sure that you are able to do your work properly and that you have the correct information and tools needed for the job. He or she will regularly sit in on your interview sessions with the IHS4 sample household members to assess your work. As the IHS4 management team will assess the performance of your field supervisor primarily on the basis of the quality of the data that comes from you and the other enumerators under his or her supervision, you should expect your field supervisors to subject your household questionnaires to rigorous examination. Field supervisors should be alerted to any problems you might encounter, whether concerning the administration of the survey itself or concerning logistical arrangements.

As part of the Household Questionnaire, you are also responsible for carrying out the anthropometric measurements of children that will be recorded in Module V. For the cross-sectional sample you will measure children between 6 and 59 months of age. For the panel sample you will measure all children that were measured in IHS3 or IHPS (based on the timing of IHS3, this means you will measure children up to 12 years of age) and new children between 6 and 59 months. You will have the necessary weighing scales and length and height measuring instruments for doing so. You will be responsible for scheduling the anthropometric measuring sessions with survey households that are assigned to you and that have such children. The manual will later present details on how anthropometric measurements as part of Module V should be undertaken.

The field supervisors will be responsible for the completion of the Community Questionnaire. One questionnaire will be completed for each EA selected for the IHS4; 860 Community Questionnaires in total. Although the Community Questionnaire completion is

the responsibility of the field supervisors, you as an enumerator should assist the field supervisor in this task, if specifically asked by the field supervisor.

Finally, at the NSO Headquarters in Zomba, the national IHS4 management team will operate under the direct oversight of the Commissioner of Statistics. Administratively, the IHS4 management team is located within the Economics Division of the NSO, under the direction of the Assistant Commissioner responsible for the Economics Division. Within the Economics Division, the IHS4 Survey Coordinator will be responsible for the day-to-day activities related to the survey. He will be assisted by 10 other IHS4 Managers from the Economics, Agriculture and Information Technology Divisions. The analysis of the data that you collect will be the responsibility of the IHS4 management team. As tracking plays an essential role in the success of this survey operation, a tracking supervisor will provide the necessary guidance to each of the teams to ensure that <u>ALL</u> IHPS households that are part of the IHS4 and individuals are properly accounted for and both <u>LOCAL</u> and <u>DISTANCE</u> tracking are done in a timely manner. More details on the expectations for tracking and the role of the tracking manager will be provided in Section 8: Tracking.

# 4. THE SURVEY SAMPLE

# (a) Inclusion in the Survey

Our ability to use the IHS4 to estimate characteristics for the population of Malawi as a whole is dependent on a random selection of survey households from a list of all eligible households in a survey EA.

As noted above, the total number of **cross-sectional** EAs selected for the IHS4 stands at 780, and 16 households will be interviewed in a given EA. For each EA, the field team will have a list of 21 households, 5 of which will serve as possible replacements, should any of the 16 originally selected households be unavailable for the interview for various reasons. Please do not replace a household without a valid reason. Always try to interview the original households by exhausting all your means to locate or convince the household to participate without jeopardising the quality of the data.

In regards to the **panel** sample, prior to the implementation of IHS3 the listing of households was performed to ensure a random selection of households for the survey. Following the process outlined previously, the total number of panel EAs selected for the IHS4 stands at 120. For each EA, the field team will have a list of target households from IHPS to be interviewed. When locating and identifying the households and individuals to be included in the survey sample, you <u>MUST</u> reference the T-0 forms provided by your field supervisor. <u>EVERY</u> household member listed from IHPS must be accounted for and their Visit 1 and Visit 2 statuses will be recorded when they are located. When identifying the individuals to be tracked the following criteria should be used: <u>ALL</u> IHPS household members that are not servants and are 12 years of age or above at the time of IHS4 should be tracked.

Within the selected households, information should be collected on all members in those modules where you are instructed to do so. Note, however, that in most modules of the household questionnaire, the respondents are restricted to certain categories of individuals

based on age and/or sex. Moreover, the head of household, assisted by other household members if necessary, should be asked questions that concern the household as a whole.

# (b) Exclusion from the Survey

Members of the following households are not eligible for inclusion in the survey:

- All people who live outside the selected EAs, whether in urban or rural areas.
- All residents of dwellings other than private dwellings, such as prisons, hospitals and army barracks.
- Members of the Malawian armed forces who reside within a military base. (If such individuals reside in private dwellings off the base, however, they should be included among the households eligible for random selection for the survey.)
- Non-Malawian diplomats, diplomatic staff, and members of their households. (However, note that non-Malawian residents who are not diplomats or diplomatic staff and are resident in private dwellings are eligible for inclusion in the survey. The survey is not restricted to Malawian citizens alone.)
- Non-Malawian tourists and others on vacation in Malawi.

# (c) Coverage Rules

The coverage rules are largely related to the definition of household.

A *household* may be either a person living alone or a group of people, either related or unrelated, who live together as a single unit in the sense that they have **common housekeeping arrangements** (that is, share or are supported by a common budget). A standard definition of a household is: "a group of people who live together, pool their money, and eat at least one meal together each day". It is possible that individuals who are not members of the household may be residing with the household at the time of the survey. In most cases, but not all, someone who does not live with the household during the survey period is not a current member of the household. The definition of who is and who is not a household member is given below.

It is important to recognize that members of a household need not necessarily be related by blood or by marriage. On the other hand, not all those who are related and are living in the same compound or dwelling are necessarily members of the same household. Two brothers who live in the same dwelling with their own wives and children may or may not form a common housekeeping arrangement. If they do not, they should be considered separate households.

One should make a distinction between <u>family</u> and <u>household</u>. The first reflects social relationships, blood descent, and marriage. The second is used here to identify an economic unit. While families and households are often the same, this is not always the case. You must

be cautious and use the criteria provided on household membership to determine which individuals make up a particular household.

In the case of polygamous men and extended family systems, household members are distributed over two or more dwellings. If these dwelling units are in the same compound or nearby (and necessarily within the same EA) and they have a common housekeeping arrangement with a common household budget, the residents of these separate dwelling units should be treated as one household.

The head of household is the person commonly regarded by the household members as their head. The head would usually be the main income earner and decision maker for the household, but you should accept the decision of the household members as to who is their head. There must be one and only one head in the household. If more than one individual in a potential household claims headship or if individuals within a potential household give conflicting statements as to who is the head of household, it is very likely that you are dealing with two or more households, rather than one. In such cases, it is extremely important that you apply the criteria provided to delimit membership in the survey household.

# Some important notes to keep in mind when listing household members:

- It is possible that the household head may not be residing in the dwelling at the time of the interview. He or she may be living and working, temporarily or permanently, in another part of Malawi or in another country.
- Boarding school students who are residing at boarding school but are still dependent on the household should be listed.
- Do not include military personnel, prisoners, or other individuals who are residing elsewhere (in such institutions) are not primarily dependent on the household for their welfare.
- Some household members may not be a relative of the household head. For example, a servant who lives in the household and does not keep a household elsewhere.
- Servants, other hired workers, and lodgers (individuals who pay to reside in the dwelling of the household) should not be listed they have their own household elsewhere which they head or upon which they are dependent.
- Children who are living with other relatives (for example, an aunt or uncle) should not be listed. They would be listed in the aunt/uncle's household.

# 5. PRE-ENUMERATION LISTING AND HOUSEHOLD SELECTION

# (a) Pre-Enumeration Listing

The Enumeration Areas for the survey will have been pre-selected by the IHS4 survey management staff from a listing of all EAs in a district or urban centre using a randomised selection procedure. For the cross-sectional sample, 16 households will be randomly selected from a listing of all eligible households in an EA. The panel households have also been pre-selected.

As part of the IHS4 exercise, the NSO will have EA household listing teams go to all of the cross-sectional EAs selected for the IHS4 several weeks before the start of the field work operations. Using maps that NSO has prepared for the EAs, these teams will spend several days in each IHS4 EA to compile a list of all eligible households in the EA. The average number of households in the EAs selected for the IHS4 is about 300 households. However, note that some of the selected EAs have fewer than 100 households, while others have more than 700.

The aim of this exercise is to develop a list of all private dwellings within the EA. Non-private dwellings, such as prisons and hospitals, will not be listed, although private dwellings associated with institutions (e.g., caretakers. residences at a hospital) should be listed. No buildings that are not now dwellings should be included on the list. This includes dwellings that are in ruins or have been destroyed by fire so that they cannot be inhabited. However, vacant buildings that could be inhabited should be found on the list. The list will include a unique dwelling unit number for each dwelling found in the EA, together with some identifying characteristics of the dwelling so that you and others can readily identify the dwelling. Households residing in each dwelling will then be listed. When using the list, you should bear in mind that it is possible for a single household to be resident in several dwellings or, vice versa, for several households to be resident in a single dwelling.

# (b) Household Selection

Cross-Section: The IHS4 management team will select households at random from the household listing for the EA (Form 1). 16 households will be selected in each EA, plus an additional 5 replacement households, in the event that one of the originally selected households cannot be found or is unwilling to participate in the survey. The field supervisors will be given the household listing form for each EA that will indicate the selected households. You will immediately locate these households within the EA and begin interviewing them as soon as possible. Every enumerator will interview 4 households in a given EA.

**Panel**: The IHS4 management team has compiled the list of IHPS households that are to serve as the base sample for IHPS. The field supervisors will provide you with the T-0 forms for the set of IHS3 households located in your EAs that you are to visit as part of IHPS. You will immediately locate these households within the EA and identify whether or not the

household is still intact in their original location or if local or distance tracking will be involved.

If you are unable to interview your assigned household, you must contact your field supervisor as soon as possible. Your field supervisor will investigate the problem and as soon as possible and if necessary instruct you on the replacement household to be interviewed. However, it is expected that there will be very few cases where you might need to replace one of the originally selected households.

Locating the households and beginning the interviews cannot be delayed. The Household Questionnaire is large. Likely you will have to make two or three separate visits over different days to each survey household to ask questions of all household members that you need to interview. You must complete interviews in a timely manner so that your team can stay on schedule.

You must also schedule with your households' appointments for the anthropometric measurements of children (varying age ranges based on the type of household: cross-sectional vs. panel). While your field supervisor will be responsible for the anthropometric measurements of children satisfying the age criterion, he/she should be assisted by you, if necessary.

# (b) Pre-Printed Roster Form

The most important difference between cross-sectional and panel households is the preprinted roster form, which we will call T-0, use for panel households. You will have this form for every household that was visited during IHPS, and it will contain the information that you will need to re-locate the household, and fill in the appropriate forms for both household members that remained in the household or that that have left. The "Tracking" section of this manual will give you all the details you need to complete this form and the other forms as needed. What is important for the household questionnaire is that you have the "y2\_hhid" number for the original household (which you must copy carefully and accurately onto the cover of the household questionnaire) and the original roster numbers for the respondents (which are needed to complete question 06\_1 in Module B).

# 6. COMPLETION OF THE HOUSEHOLD QUESTIONNAIRE

This chapter gives you important information on completing the household questionnaire, and should be read carefully so that you are familiar with the formatting conventions in the questionnaire, how to administer the questionnaire in your interviews, how to deal with the community and the respondents, etc. In the following Chapter, we will deal with specific module by module discussions.

Please note that much of the discussion below pertains to the paper questionnaire. Although IHS4 will be implemented on CAPI, it is <u>essential</u> that you understand the content of the questionnaire and the flow of the modules and questions within the paper instrument before transitioning to CAPI.

# (a) Questionnaire Translation

The questionnaire is produced in English. Most of the households to whom you will administer this questionnaire will not be able to respond to the questions if they are asked in English. Consequently, you must translate the questions into a language in which the survey household members are fluent. There are three points to bear in mind.

First, there are several key terms that reappear throughout modules or throughout the questionnaire as a whole. These terms include 'household', 'head of household', 'activity', 'occupation', 'business', a wide range of consumption items, and any number of other terms.

These terms should always be translated into local languages using the exact same words. The questions have been carefully worded to ensure that the desired concept is being asked. Study the questions so that you can ask them in a consistent and natural manner. If this is not done, the responses to the same question across households may not be comparable. During enumerator training, attention was paid to the translations that should be used for these terms in the various languages. Similarly, you will find in the <u>ANNEX</u>, a table in which translations of key terms from the questionnaire are provided in Chichewa, Chitumbuka, and Chiyao.

Secondly, the questionnaire should be administered in a language that the survey household members understand fluently. If you find that you have been assigned to conduct IHS4 interviews in an area in which most survey households are only fluent in a language in which you are not fluent, you must immediately inform your field supervisor. The field supervisor will immediately transfer you to another area or household, and an enumerator fluent in the language of that area will be assigned to conduct the interviews in your original area or household.

Finally, do not assume that your skills in Chichewa will allow you to conduct interviews throughout Malawi. Although Chichewa is the national language of Malawi, many rural residents are not fluent in the language. This is particularly the case in northern Malawi where Chichewa is not commonly spoken and in the lakeshore areas, where Yao is the predominant language spoken in the villages. If you know that because of language difficulties you will be unable to efficiently and accurately administer the questionnaire in the area to which you have been assigned, you should immediately make this fact known to your field supervisor and the IHS4 management team.

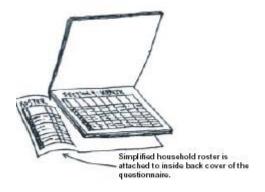
## (b) Questionnaire Formatting

The household questionnaire has been designed to enable you to administer it with as little difficulty as possible. In spite of these design efforts, nevertheless, it is a complex questionnaire. To build your familiarity with it, as you prepare for your fieldwork with the survey households, make an effort to learn how the questionnaire is put together and how a typical administration to a survey respondent would proceed. However, given the numerous and wide range of skip codes used in the questionnaire, you should not expect that any two administrations will be alike.

The questionnaire is laid out in landscape (horizontal) format. Information on a particular individual within the household is to be recorded consistently on the same row of each module in which information on individual household members is to be collected. This is an extremely important instruction for you to follow in completing the questionnaire. An ID code is assigned to each individual in the household in Module B depending on which row is used to record the presence of the individual in the household. This same ID code and row should be used for that same individual in all subsequent individual-level modules. Any violation of this will entail that the information may be different. A good example will be where an ID assigned to a child aged 6 months is exchanged with an adult and may result in a situation where the child is married.

#### General Insights into how to fill the questionnaire

The household questionnaire has a <u>fold-out flap</u> pasted to the inside back cover of the questionnaire. On the flap, you are to record the name, age, and sex of each household member, translating into a "simplified" household roster. The 12 rows of this table correspond to the 12 rows that appear in all modules in which information on individual household members is collected. When you fold out this flap, its rows align with the rows of the questionnaire modules.



Paying attention to the <u>typestyles</u> (<u>fonts</u>) used in the questionnaire will help you administer it. The table below lays out for you what you should expect when you see a particular typestyle. Arial and Courier are names of typestyles. The examples in the table below are in the typestyle noted:

Typestyle	Meaning	Examples
Lower-case Arial font	Questions that the enumerator is to read word-for-word to the respondent.	Concerning your household's clothing, which of the following is true? How did your household acquire this plot?
Upper-case Arial font	Instructions to the enumerator to guide in completing the questionnaire or in asking a particular question. Questions that are to be answered by enumerator observation are also presented in this typestyle.	(ASK ALL HOUSEHOLD MEMBERS AGED 5 YEARS AND OLDER.) IF DID NOT DO TASK, WRITE ZERC; LESS THAN 1/2 HOUR, WRITE 1/2 HOUR; OTHERWISE, ROUND TO NEAREST HOUR. THE ROOF OF THE MAIN DWELLING IS PREDOMINANTLY MADE OF WHAT MATERIAL?
Mixed lower- and upper-case Arial font	Questions that the enumerator reads word-for- word to the respondent, but that includes specific elements that the enumerator need to insert based on responses to earlier questions or other information of which the enumerator is aware. These elements are in upper-case.	How old is [NAME]? How much do you pay to rent this dwelling? (MK PER TIME UNIT) Did you apply any fertilizer to this plot in [LAST CROPPING SEASON]?
Lower-case Courier font	Question response codes that the enumerator will read to the respondent as a set of choices the respondent is to use in answering the question.	a private company.1 a private individual2 the government or army3 a state-camed enterprise (parastatal)4 BASAF or other public works program5 Other (specify) .6
Upper-case Courier font	Question response codes that the enumerator will <u>not</u> read to the respondent, but which will be used to code the response provided by the respondent.	GRASS 1 IRON SHRETS . 2 CLAY TILES . 3 CONCRETE . 4 FLASTIC SHRETING . 5 OTHER 6
Bold upper-case Courier font	Skip codes. Instructions to the enumerator as to which question should next be asked, based on the response to the current question or the individual respondent. The skip codes will typically include the » symbol.	(ALL EICEPT WOMEN AGED 12 TO 49, *HEYT MODULE) (*C11) (*MEXT MODULE)

To summarize, if the typestyle is in <u>LOWER-CASE</u>, the content (questions and/or responses) <u>MUST BE READ</u> to the respondent. If the typestyle is in <u>UPPER-CASE</u>, it <u>MUST NOT BE READ</u> to the respondent, but serves as an instruction or a response code for the enumerator.

[...] - You will often find this notation in the questions, particularly in the consumption and expenditure modules. Most commonly, this is an indication that you are to insert the contents of the row heading into the question at this point.

Phrases are underlined in many of the questions. This is done to alert you to the key element of the question as you translate it for your respondent.

A consistent coding for the same response types is used throughout the questionnaire. This means, for example, that for the coding of time units, 'minutes' will always be coded as '1',

'hours' as '2', 'days' as '3', and so on. It is important to note, however, that where certain codes are not relevant in response to a question, the coding scheme for that question will not include some of the codes.

# (c) How to Administer the Questionnaire

All Cross-Sectional households will be visited **ONCE** over the course of the 12-month period and will be administered all modules at this time.

All Panel households will be visited **TWICE** during the first 6 months: the first visit will be in the first half (Months 1--3) of the six-month survey period, and the second visit will be 3 months after, in the second half (Months 4--6) of the survey period. The EAs are further broken into **Groups A and B**.

• The Panel Group A EAs will be administered the Full Household Questionnaire in the first visit, which will be followed by, if applicable, the Agriculture Questionnaire Visit 1, the Fishery Questionnaire, and the Individual Questionnaire.

This would leave the **Agriculture Questionnaire -- Visit 2** to be administered in the second visit. Also in the second visit you **MUST** update the T--0 form and Household Roster to reflect the current status of each household member and identify whether individuals have moved out of the household between visits.

• The **Panel Group B EAs** will be administered the **Light Household Questionnaire** (ONLY Modules A, B, and X) in the first visit, which will be followed by, if applicable, the **Agriculture Questionnaire** -- **Visit 1**.

This would leave the rest of the **Household Questionnaire**, and if applicable, **Agriculture Questionnaire** -- **Visit 2**, the **Fishery Questionnaire**, and the **Individual Questionnaire**. to be administered in the second visit. Again, in the second visit you **MUST** update the T--0 form and Household Roster to reflect the current status of each household member and identify whether individuals have moved out of the household between visits.

Before you go to a selected household, you should ensure that you are ready to begin the interview - that is, you are presentable, that you know how you are going to begin the interview, that you have at least two ball point pens and at least two Household Questionnaires with you for every household that you plan to interview, and that you have the location and code numbers of the survey households with you.

After you have begun the interview with the survey household, it is intended that you administer the questionnaire to one individual in the household at a time. That is, once you have finished administering the questions in one module to an individual, you immediately go on to administer the next applicable module in the questionnaire to the same individuals.

What this means is that you <u>MUST NOT</u> go through the questionnaire completing one module with all applicable household members before going on to the next module. Doing so is a waste of time for many household members. By asking all questions applicable to a

particular household member at once, you will be able to quickly release that member so that he or she can do other things. Of course, completing the questionnaire in this manner will mean that you will have to schedule interview times with each of the members of the household, possibly over several days.

**HOWEVER,** if a household member is not present at the time of the interview and the household head or spouse is recording information on behalf of one or more individuals then it is acceptable to administer the questionnaire one module at a time.

The only exception to this rule are Modules A and B. These modules must be completed fully before you administer the rest of the questionnaire.

The modules in the questionnaire are organized by placing at the front of the questionnaire the modules to which the majority of household members need to respond. The modules later in the questionnaire typically only require the household head and selected other adults in the household as respondents.

The setting of the questionnaire administration should be relatively private. Some of the questions being asked are of a personal and private nature. You should respect the desire of the respondents for privacy. This is important particularly when talking about health and income matters.

No person except your field supervisor, zone supervisor, or people from the IHS4 management team in Zomba should come with you when you interview. If an IHS4 staff member does accompany you to an interview, you should always be sure to introduce the staff member to the respondent, making clear to the respondent the purpose of the presence of the IHS4 staff member. In most cases, the IHS4 staff will be present to monitor the quality of your own work and to support and assist you in effectively carrying out your assigned tasks. The supervisors are instructed to not interfere with your administration of the questionnaire to the survey household respondents, but will later discuss with you in private any issues related to your administration of the questionnaire. If you have a technical/any other problem on which the supervisor can be helpful, you are free to ask him/her before leaving the household, but <u>not</u> in the presence of the household.

Any other persons unrelated to the IHS4 or to the household should not accompany you while introducing yourself to the household or be present during the interview. If any such individuals are present when you begin your interviews, you must politely request them to leave in order to respect the privacy of the survey household. If they cannot leave at that time, you should schedule the interview for a later time or move to a more appropriate place, when or where greater privacy can be assured. In the event that the respondent requests you that he/she wants to be joined by a non-household member, you have to honour their request.

Questions should be asked directly to those aged 10 years and older. If you need to collect information on younger children, you <u>MUST</u> interview the mother or guardian on their behalf. Administer the questionnaire <u>ONLY</u> to those identified on the household roster as household member.

As noted above, household members include boarding school students. It is also possible that a household member will be absent from the household for the entire period that you

are undertaking the IHS4 administration in the EA. Collecting information on these absent individuals will be problematic, as they will not be able to respond to questions themselves. For these individuals, you will have to rely on the household head or, in the case of boarding students elsewhere, the individual in the household who is principally responsible for the well-being of the absent individual. Unfortunately, there is no optimal solution in collecting comprehensive, relatively accurate information for absent household members. You must simply be aware of the particular challenges of collecting good information on such absent household members and undertake the task as best as you can.

In general, if you encounter a different or unusual case in a particular module or modules for a survey household and are not sure what to do, enter all of the details in the comments section of the questionnaire and obtain as much information as possible to enable you to complete the questionnaire efficiently when you leave the household. After you leave the survey household, check this manual for guidance. If the solution cannot be found in this manual, you should consult your field supervisor as soon as possible.

When you have finally finished completing your interviews with all of the household members, you are to leave with the household an official letter (in Chichewa or English) of thanks. This letter is to serve as a record of the interview for the household, as well as another way to provide them with information on how the information collected from them will be used. Your supervisor should make sure that you have enough copies of this letter to distribute to the sample households that you will be interviewing.

# (d) Your Interactions with the Community

In rural areas the EAs will consist of several small villages or one large village. In urban areas, EAs will cover parts of urban locations or neighbourhoods. As you will be working intensively for several days with community members in carrying out the survey, it is vital that you establish a good working relationship with community leaders and, for that matter, with all community members.

When you first arrive in a rural EA, the team must immediately present itself to the local group village headman and to the headmen of the villages in the EA to explain why you are going to be working in the area. You will be provided with an official letter of explanation from the Commissioner of the NSO and an ID badge to show them. In urban locations, identifying a local leader is more problematic. Make inquiries as to who might be considered local leaders when you first come to an urban location. These may be local business, religious, community policing, or political leaders.

Your work is not to be secretive. Please explain what it is you are doing to all community members who ask about your activities. You should be respectful, courteous, and patient with all community members. The quality of your work is to a large degree dependent on the level of cooperation you receive from the members of the communities in which the survey households reside.

If the general community attitude towards your work is negative, you likely will experience problems as you conduct interviews with the survey households in that community. You

are expected to do all that you can in order to cultivate a courteous relationship with the community as a whole.

While your work should not be secretive, you must, however, respect the confidentiality and privacy of the survey household respondents when administering the questionnaire. This was emphasized in the previous section. Non-household members should not be present while you are conducting your interviews, unless specifically requested by the household. If you want to have a good reception from the community, they should be clear on what exactly you are doing. In the past, several enumerators have landed into troubles because of not clearly explaining why they were in a community and being suspected of foul play.

# (e) Your Interactions with the Respondents

The IHS4 is being conducted under the 2013 Statistics Act, and you are therefore empowered to collect this information from the respondents. However, the policy of the NSO is always to attempt to collect the information it requires with the willing cooperation of the public. You should therefore always be courteous and tactful in your dealings with respondents.

Above all, your attitude towards the respondents in the survey households must be one of respect. You must always be patient towards survey household members. Be business-like in your conduct - never bullying, demanding, or rude. Always act in a way that warrants respect and cooperation from the respondent. During your interviews, you should work efficiently and relatively quickly, but should not rush the respondents or make unnecessary mistakes. After each interview you should always quickly go through your questionnaire and thank each interviewee for their help and time. This is vital if the survey is to be carried out successfully. Please note that for some households, you will visit more than once and it is vital that your behaviour must be above reproach. You will find work more pleasant if you remain polite and friendly to everyone at all times.

Be willing to answer any questions the respondents ask you about the survey and its particular contents. In most cases, the Introductory Statement in Module A of the questionnaire will provide a sufficient response. However, please refer to chapter 2 of this manual as well.

The survey interview will be long. This will be trying on your respondents' patience as well as your own. Nevertheless, the rules of courtesy and politeness <u>MUST</u> still apply. If necessary, you may break the interviews of household members into shorter interviews. However, the interviews with all household members should be completed within a span of 1 to 1,5 days at most.

At the start of the interview, you should always determine if the respondent has any appointments in the next hour or two. If there is sufficient time available to complete several modules of the questionnaire before the respondent's appointment elsewhere, you <u>MUST</u> proceed and complete as much of the interview as possible. When the respondent must leave, arrange for another meeting later in the same day or the next day during which the interview can be completed. You <u>MUST</u> note that the IHS4 is a "mobile" effort and not make unnecessary appointments in a given EA as you will only be present there for a short time.

Moreover, you <u>MUST</u> seek to develop a smooth-flowing interviewing style so that you can obtain all of the information required from an individual as efficiently as possible. This MUST NOT come at the expense of correctly administering the module.

In general, you <u>MUST NOT</u> unnecessarily test the respondent's patience by delaying the interview in any way, particularly through excessive probing on questions that the respondent feels that they have already answered to the best of their ability and recollection. Your interview technique for completing the questionnaire will improve dramatically as you gain experience. The guidelines in this manual should help you considerably. You should attempt a compromise between:

- maintaining a smooth-flowing, continuous dialogue that allows you to obtain all of the information required in the shortest possible time that is, without testing the patience of the respondents by delaying the interview in any way and
- allowing the respondents to ask any questions that they have about the survey so that they are convinced of its value and are cooperative. Doing so, however, will take time and will reduce the efficiency with which the interviews are completed. Do not encourage any questions from the respondents on issues unrelated to the IHS4, such as politics, religion, sports, etc...

In conducting an interview, if it is clear that the respondent has understood the question you have asked, you must accept whatever response the respondent provides you. Probe questions can be used to make sure the respondent understands the key element of the question being asked. There are several questions across the Household Questionnaire for which you are allowed to list more than one response. In these cases, please probe the respondent further as to collect more information, if applicable.

You <u>MUST</u> never second-guess the respondent or make the assumption that you have a better understanding of the condition of the individual or household than the respondent does. The function of the enumerator is <u>NOT</u> to verify that the information provided is correct. The analysts of the IHS4 are interested in what the respondent actually says. It is always possible that the respondent will lie to you or provide inaccurate information, but you, as the enumerator, should not make any judgements on the information provided. This is a problem for the analyst to take care of and **NOT** the enumerator.

There are exceptions, of course. At all stages of the interviews with members of a survey household, you should be alert to errors. These can be accidental or deliberate. You can never force people to give answers that they do not want to give, but you can approach the true facts by diplomatic and intelligent interviewing. For example, if the respondent says that the household has no livestock and there are chickens pecking at your feet or goats tied up nearby, you should inquire about these animals. However, you should not probe excessively after seeking initial clarification from the respondent. In any case, you should never go outside of the household to get information. This is <u>BEYOND</u> the scope of your work. Instead, you should always instil trust among the household members.

Ultimately, assessing whether the answers provided are 'wrong' or 'right' should not apply to you in administering the household questionnaire. The questionnaire is being

administered to the survey household members as we rightly expect that they will be able to provide the best information about their own living conditions.

Disciplinary action will be taken against any enumerators who consistently treat their respondents with condescension and a lack of respect, or who shows a pattern of reinterpreting the answers provided by the respondents. Do <u>NOT</u> make up your own answers for a question asked to a respondent. You are required to be objective in recording the responses.

# (f) General Instructions for Completing the Questionnaire

In this sub-section, basic instructions are provided on how you are to complete the questionnaire. For many of the points raised, this section will restate what was said before. However, this section still provides a useful, condensed set of general instructions for you to use as you carry out your work.

#### How to Read the Questions

Read the questions exactly as they are written in the questionnaire, following the established order.

You should refer to the fold-out list (flap) of household members in order to verify the age of the individuals for modules that only apply to individuals within a certain age range. Closely follow the instructions of each section when asking the questions.

#### Format of the Questionnaire

The household questionnaire modules are organized according to two basic formats.

# Columns (vertical)

In this format, the text area, the response categories and the response to each question are found organized sequentially in columns. An example of this is found in **Module F: Housing**, where only one response is needed for information covering the entire household.

#### Columns and rows

This format is used when there is information from multiple respondents in a single module. In this format, the questions are located in the columns and the responses are recorded in the rows. An example of this format is found in **Module D: Health**, where the responses from all household members are recorded on individual rows for the same set of questions.

#### **Format of the Questions**

The questions are divided in three parts as described and shown in the figure below:

#### Text area

This is the area where the text of the question is found. All of the questions are written as they are to be read to the respondents. Enumerators should not ask the questions according to their own criteria, except in situations where the respondent does not understand the question. In such situations, you must explain the content without changing the sense of the question. This is important to ensure that all respondents are answering the same questions.

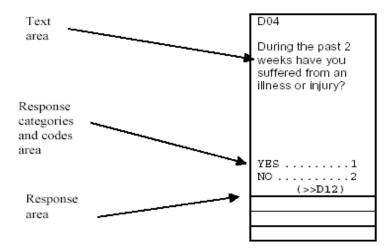
#### Response categories and codes area

This is the part of the question where the text of the response categories and their corresponding codes appear.

# Response area

The responses received to the questions are recorded in this area. The responses should be recorded in the correct row corresponding to the respondent.

The responses received to the questions are recorded in this area. The responses should be recorded in the correct row corresponding to the respondent.



## **Types of Questions**

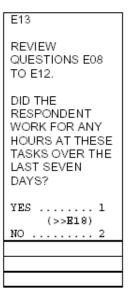
There are three types of questions included in the survey.

**1.** Enumerator DOES NOT read the question to the respondent. Rather, you record information based on observation or on previous responses provided by the respondent. See Examples 1 and 2 below.

Example 1 - Recording information based on enumerator observation

DWELLING? MADE OF WHAT MATERIAL?  PERMANENT 1 SEMI- PERMANENT 2 GRASS 1 TRADITIONAL 3 MUD (YOMATA) 2 COMPACTED SEVERAL (SEMI- SEPARATE PERMANENT IS (YAMDINO) 3 STRUCTURES 2 MIX OF MUD BRICK FLAT 3 TRADITIONAL (UNFIRED) 4 ROOM IN LARGER OWELLING 4 MODERN CONCRETE 6 DWELLING 4 MODERN CONCRETE 6 IMPROVISED MATERIALS WOOD 7 HOUSING 5 (IRON SHEET, IRON SHEETS. 8	G06	G07	G08
SEMI-   PERMANENT . 2   GRASS 1	DWELLING DOES THE HOUSEHOLD	TYPE OF CONSTRUCTION MATERIALS ARE USED FOR THE	WALLS OF THE MAIN DWELLING ARE PREDOMINANTLY MADE OF WHAT
OTHER	SEVERAL SEPARATE STRUCTURES2 FLAT3 ROOM IN LARGER DWELLING4 IMPROVISED	SEMI- PERMANENT 2 TRADITIONAL 3  (SEMI- PERMANENT IS MIX OF TRADITIONAL (GRASS, MUD) & MODERN MATERIALS	MUD (YOMATA) 2 COMPACTED BARTH (YAMDINO)3 MUD BRICK (UNFIRED)4 BURNT BRICKS 5 CONCRETE6 WOOD7

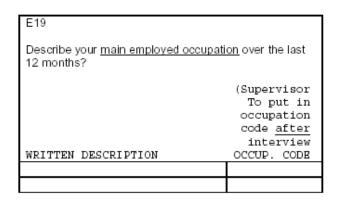
Example 2 – Recording information based on previously supplied information



<u>2. Enumerator reads ONLY the question.</u> These questions are read to the respondents; after which you pause to wait for the response. The answers may be pre-coded, or you may have to write in a response to be coded later by the coders. See Examples 3 and 4 below.

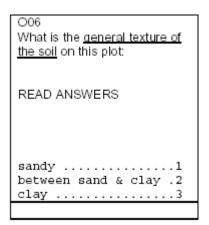
*Example 3 – Enumerator reads the questions and writes in a pre-coded response.* 

*Example 4 – Enumerator reads the question and writes in the response to be coded later.* 



#### 3. Enumerator reads the question and the response categories. See Example 5 below.

Example 5 – Enumerator reads the question and the response categories.



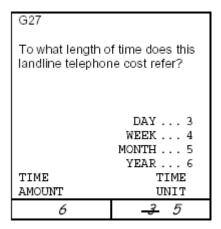
#### **How to Record Responses**

The responses received from the respondents should be written on the questionnaire in <u>blue or black ink pen</u>. Responses written in pencil can easily become smudged and difficult for the coders and key entry personnel to read and interpret. Responses should be written

clearly in <u>UPPER-CASE</u> letters. This instruction is especially important for those questions that will be coded later, such as occupation and industry.

#### **How to Correct Mistakes**

If a mistake is made in the recording of a response, do not erase the incorrect response. As you should be using a pen, you will be unable to erase. Rather, strike out the error by neatly marking it through with a line, and then write the correct response where it can be easily read:



## The "Other" Category

In order to include all possible responses that may be provided, many questions include a response option of <u>OTHER (SPECIFY)</u> for you to be able to record responses that are not covered by any of the pre-coded responses. When you use this code, you MUST provide a brief explanation of the category.

# **Historical Events and Ages**

Several of the questions in the questionnaire require information on the age of respondents, assets, or other items, as well as some dates. Many respondents will find it difficult to remember such ages and dates. One way to overcome this problem is to have the

respondent remember a commonly known event that occurred at about the same time as the individual was born (in the case of the age of a person), an item was acquired, or whatever the age-related question may be. You will have a calendar of events that lists events that occurred in Malawi over the past century. You can use this calendar to estimate ages or dates, aided by the calculator provided to you.

#### Non-Response or Inability to Provide a Response

All questions that are not answered because of the skip pattern or general flow of the questionnaire should be left <u>BLANK</u>. No information should be recorded. However, there are cases where respondents will not answer an individual question, either because they do not know the answer or because they refuse to answer the question. In these cases, if you cannot get a response even after asking the question several times, the following codes should be recorded:

Refuse to answer...NR

Do not know.....DK

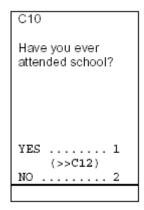
However, you <u>MUST</u> use these codes *very rarely*. Your supervisors will warn you if they feel that you are unnecessarily or too frequently using these codes, as their excessive use may indicate a lack of effort on your part to collect the required information from the respondents. Be cautious by using these codes <u>ONLY</u> when it is absolutely necessary.

### Flows and Skips

In order to have a logical order to filling in the questionnaire, it has been designed with a system of skips that allows you to follow the logical sequence of questions based on responses to questions already provided.

If there are no additional instructions, you pass directly to the next question.

The double arrow symbol >> indicates that the interview should be continued with the question indicated. In the following example, if the respondent says 'Yes', you do not continue with question C11, but, rather, <u>SKIP</u> to C12. C11 is skipped because the question is not relevant to those who answer 'Yes' to question C10.



The double arrow symbol can also indicate that you should **SKIP** the remaining questions in the module and go on to the next module with the respondent. In the following example, if

the respondent has never attended school, after getting the reasons why the respondent never went to school, you  $\underline{SKIP}$  the rest of the education module because the respondent would have no responses to the rest of the questions.

C11	
What was the reason you	
never attended school? CAN	
GIVE UP TO 2 REASONS	
01/2 01 10 2112100110	
STILL TOO YOUNG TO	
ATTEND SCHOOL 1	
NO MONEY FOR FEES,	
UNIFORM 2	
POOR QUALITY OF	
SCHOOLS3	
ILLNESS OR	
DISABILITY 4	
NOT INTERESTED 5	
PARENTS DID NOT	
LET ME6	
HAD TO WORK OR HELP AT	
HOME 7	
SCHOOL TOO FAR FROM	
HOME 8	
SCHOOL CONFLICT WITH	
BELIEFS 9	
OTHER (SPECIFY) 10	
(>>NEXT_MODULE)	
1st reason   2nd reason	

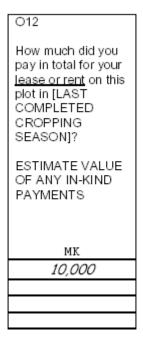
# Coding

Where the question responses are pre-coded, you simply record the code for the category that matches the respondent's response most closely. An example is provided below.

B27
Does your spouse living in this household now?
YES 1
2

When the response to be recorded is a monetary amount or a figure, write the correct response in the corresponding cell.

- Record monetary amounts in Kwacha with no decimal point. **DO NOT INCLUDE TAMBALA**. For any tambala amounts, round to the nearest Kwacha.
- For any amounts over MK 1,000, include "a comma" as shown below.



When the response is to an open-ended question that will be coded later, be sure to write the response clearly and in upper-case letters, as demonstrated in the following example.

E20	
Describe what kind of trade or business your main employed occupation over the past 12 months is connected with?	
	(Supervisor
	To put in
	industry
	code <u>after</u> interview
WRITTEN DESCRIPTION	IND. CODE
	IND. CODE
LEATHER SHOE FACTORY	

### Reference period

Past One Week	The seven days prior to the interview. If the interview is done on a	
(Last 7 days)	Wednesday, the last 7 days are the days since the previous	
	Wednesday.	
Past One Month	The 30 days prior to the interview. If the interview is done on the	
(Last 30 days)	10th of the month, the last 30 days are the days between the 10th of	
, , ,	the previous month and the day of the interview.	

# Respondents

Each module is clearly marked with the group that is supposed to respond to the module. In some cases, all individuals in the household will provide responses to the module, for example, Module B: Household Roster & Module D: Health.

In other cases, only individuals above a specific age will provide responses to the module, for example, Module C: Education & Module E: Time Use & Labour, which are administered only to those individuals 5 years of age and older.

Finally, some modules will be administered only to the best-informed individual in the household on the topic, such as Modules F and onward.

As far as possible, information should be collected from the respondents individually. Having direct informants provides the most accurate and reliable information. Each member of the household should be permitted to respond for him or herself. There are some exceptions, however. Children less than 10 years of age will not be able to understand all of the questions and respond for themselves. For children, the best-informed member of the household should provide the responses for them. In addition, there may be individuals in the household who are unable to respond for themselves, either because of a disability or because of age. In these cases, again, the best-informed member of the household should provide responses for them. In the manual, the sections describing the individual modules have notations on who the respondents are and who the direct informants are.

There will be occasions where the respondent is listed as the household head, but it is impossible to speak with the household head. The household head need not necessarily be resident in the household. The household head is the person that other household members designate as their head, but it is possible that this person will not be residing in the household at the time of the survey. In these cases, you should seek out the person best able to respond for the household head, depending on the module being administered. If there is a spouse in the household, he/she will be the most likely respondent.

It may also be difficult to meet with the household head because, while he or she is resident in the household, he or she is too busy working to speak with you. You should make every effort to speak with the household head at his or her convenience. If however, after repeatedly finding it impossible to speak with the household head, you should seek out the person best able to respond for the household head, depending on the module being administered. Sometimes you just need to make an appointment for an appropriate time to meet him/her, which may be at an awkward hour. Some respondents may only be available early in the morning, late in the evening or during weekends. It is the responsibility of both enumerators and field supervisors to arrange for appropriate times for the interviews.

#### **General Notes**

- <u>DO NOT RUB</u> out wrong answers. Cross out the wrong answer with one line, and write the corrected answer beside it. Crossing out of errors must be done in a clearer and more consistent manner. It is ultimate responsibility of the field supervisor to ensure that each change is unambiguously visible and clear.
- Please ensure that you write numbers clearly numbers. Some numbers can be confused with one another if not written clearly, e.g. 0 and 6, 1 and 7, 2 and 0, 4 and 6, 5 and s, etc..., as illustrated during training.
- The majority of the modules require that you fill out both the start date and time at the beginning of the module and the primary respondent ID and end time when you reach the end of the module. You MUST fill out these fields so that management knows exactly how long it took to administer each module.
- We do not expect to see a lot of DK. It is your responsibility to probe and help the respondent to determine the answer, and ONLY accept DK (don't know) as a last resort.
- If quantity is 0, then unit should be blank, e.g. G06, G07.
- Enumerators should be very careful in completing questionnaires where the household roster flap may not line up with questionnaire rows.
- Continue to write comments for anything to specify or to describe any unusual situation. These comments will help us in Zomba to understand, and make it easier for you because we will not have to send the questionnaire back to ask for explanations.
- Enumerators <u>MUST</u> identify all households assigned to them within the first day in the EA. They can also take to on which households were identified and any problems that may have been faced (with names or other listing information). They should not write any notes on the Listing Form (Form 1). If there are any households that are difficult to identify or possibly refuse to participate, they must report these cases to their Field Supervisor as soon as possible.

# 7. MODULE BY MODULE DESCRIPTION FOR THE HOUSEHOLD QUESTIONNAIRE

This chapter will examine each module of the household questionnaire in turn in order to address possible problematic issues relating to each. These notes here should be your first reference as you encounter any problems in administering any modules or questions in the household questionnaire.

# Module A: Household Identification, Survey Staff Details, Introduction, and Table of Contents

Respondents: Household Head

Direct informants: Household Head

Modules A and B should be fully completed before going on to other modules.

#### **ASSIGNING HOUSEHOLD IDS**

Cross-Sectional: 12-digit identifier

o Composed of 3-digit District ID, 2-digit TA ID, 3-digit EA ID, and 4-digit

Panel: Assigned4-digit identifier.

- 4,000 IHPS households assigned IDs 0001 4000. Each of these 4,000 households will generate 1 Panel questionnaire with a T-0.
- o Each 2013 4-digit identifier will transform into a 7-digit identifier through question A14.
- In A14 you record the lowest IHPS roster ID number. A14 combined with the 4-digit base ID creates the unique identifier for IHS4 panel households.

This module is used to collect information on the survey household in order to identify the household if it is necessary to re-interview the household members in the future and to identify the household for data analysis purposes. Information is also collected on who among the IHS4 staff members processed the questionnaire at various stages of the data collection and entry.

**Household Identification:** It is critical for later analysis that you adequately identify the location of the household you are interviewing. Please fill in A01 to A03 with the required information on the district, TA, and EA in which the household is located, noting the codes for each, as well as the names of the district and TA, as specified in Form 1.

**A01 & A02**. The codes for the district are listed in the <u>ANNEX</u> at the back of this manual. TA codes will be given to you by your Supervisor.

• For the TA code, if the code starts with zero, please write this in the first cell of A02.

**A03**. Your field supervisor will provide you the EA code for A03. Of course, all survey households within a given EA will have the same EA code.

**A04**. Write down the name of the village or urban location in which the survey household resides.

A05 & A07. In the future, NSO plans to conduct additional interviews with selected survey households from the IHS4. The descriptions of the location of the household together with

the full name the household head are necessary to accurately identify the survey household in the future, even in the absence of you or your field supervisor.

**A06 & A08.** Use code information that will be taken from the household and dwelling list that you will have updated at the start of your work in the EA.

**A08.** If members of the household reside in several dwellings, note here the code of the dwelling in which the household head resides.

**A09.** Record the IHPS y2\_hhid from the tracking form.

**A11.** If this household is in the same location as IHPS, code it as 1 and move to question **A13**. If the household has moved to a new location that is less than an hour away within the same EA <u>AND</u> this person has been or will be interviewed by the team before leaving the EA, then the household is considered a local tracking case and should be coded as 2. If the household has moved to a new location more than an hour away or was interviewed by the distance tracking teams, then it is a distance tracking case and should be coded as 3.

**A12.** If the household has moved from its original location, write the name and roster ID of the tracking target in this household. If the household is an original household, the tracking target will be the household head. If the household is a split-off household, the tracking target will be written on the T-2 tracking form that had information to help find the household. Copy the roster ID number of the tracking target from the pre-printed roster sheet. It is extremely important that you copy this number accurately as it will be used to link the data between years of the survey.

**A13.** You will write the name of the current household head. If the household head is the same as the head at the time of the last survey, this response will be the same as question 9. If the head has changed, you should write the name of the new head here.

**A13\_1-A13\_4.** In these questions you must report the district/TA, STA or Town/Enumeration Area and Place/Village Name. If you do not know the exact code, then you must fill in the description on the line to the right of the boxes for the required codes.

**A14.** Write the lowest IHPS Roster ID number present in Section B, Question 6. This question should be filled out after the completion of the household questionnaire. For example, if Section B, Question 6 has both Roster ID number 02 and 05, then 02 should be the response to this question after completion of the household questionnaire. 99 should **NEVER** be recorded in this question.

**A14** & **A31**. **A14** is filled in Visit 1 and should consist of a description of the location of the household that will uniquely identify the dwelling unit, allowing one to readily find the dwelling within the EA. You <u>MUST</u> include detailed directions on how to locate the household so it can be easily found in Visit 2 and later rounds of the survey. The directions should start from the main road leading us to the dwelling. Include any landmarks (schools, churches, clinics, etc.) that you pass, proximity to the traditional leader, and any other details which will assist us in finding the dwelling at a later date. **A31** needs to be filled out in Visit 2 if the household has changed locations and must again include detailed instructions on how to reach the dwelling.

- **A15 & A32.** Record the GPS coordinates of the dwelling after allowing adequate time for the GPS unit to acquire signal. This is done for <u>each visit separately.</u>
- **A16 & A33.** Record the code that best describes the weather conditions at the time of measurement. See descriptions below.
- **A17.** If the household has a telephone, whether a landline or a cell phone, you MUST record this number as it will be of considerable use in the future, particularly in urban areas.
- The contact names in A18, A19 & A20 should be of individuals from other households who will know where the survey household will have gone, if the household moves its residence in the coming years. These individuals may be relatives, religious leaders, village authorities, or other well-informed individuals, preferably within the same community.
- We recognize that in urban areas establishing contact persons may be more problematic. Nevertheless, you MUST make every effort to identify contact persons, who will be helpful in tracking the household in the future, if need be.

You <u>MUST</u> solicit contact information in <u>A17 through A20 & A34</u> as comprehensively as possible to ensure the success of future efforts.

- A21-A22 & A35-A36. You must fill out A21-A22 & A35-A36 with your own details noted here.
- **A23 & A37.** This table provides the start and end times for each day that you interviewed the household. The "attempt 1" date that you write in should be the date that you <u>first began</u> interviewing members of the survey household for Visit 1 or Visit 2. Attempts 2 and 3 reflect information regarding the 2<sup>nd</sup> and 3<sup>rd</sup> interviews at the household, respectively.
- **A26-A29** and **A40 A46** are to record the other individuals who will be involved in processing the questionnaire through data entry and cleaning.
- Page 4. You <u>MUST</u> read the letter of introduction on page 4 of the questionnaire confidently, and then give time for the respondent to ask questions. The interviewer should explain the content of the questionnaire: Education, health, employment, agriculture, etc. There are three different versions of the introduction and you will need to select the one that is appropriate to the household that you are interviewing. ALL households should be read "CONVEY THE FOLLOWING INFORMATION TO THE RESPONDENT:". If the household is a cross-sectional household, they should be read "CROSS-SECTION". If the household is part of the main panel fieldwork and is not a split off household, they should be read "ALL PANEL" and "IHPS Households." If the household is a split off household, you should read the "ALL PANEL" and "Split-Off Household" section. All households should then hear the "All" section before you begin the interview.

If the head of household is unwilling to allow you to proceed with the interview, please contact your field supervisor as soon as possible. The field supervisor should then talk to the household head to make an effort to obtain their cooperation. If the field supervisor also is unable to convince the original household to participate in the survey, you will go to a <u>replacement household for cross-sectional EAs</u> as instructed by your Supervisor. As noted above, you <u>CANNOT</u> simply choose one of the replacement households, but must use the

first replacement household. If you have already used the first replacement household to replace another household, use the second replacement household in line, and so on. A replacement household can <u>ONLY</u> be used with the authorization of the field supervisor.

There is a table of contents for the questionnaire on page 4. Please use this to quickly find your way around the questionnaire.

### Module B: Household Roster

Respondents: All individuals.

*Direct Informants: Questions B01 – B07: Household head; Questions B09 – B28: Individuals 10 years old and older and guardians/caretakers on for children below 10 years.* 

#### Modules A and B are supposed to be fully completed before going on to other modules.

This module is used to identify the members of the survey household and to collect basic information on the household members. Information on all household members should be collected.

The initial respondent to this module should be the **household head**, if available. If he or she is not available, the most senior member of the household present should respond to B01 **to B07**. The questions that follow should be asked of the individuals concerned or, in the case of young children, their mother or guardian.

The household roster is where the membership of the household is determined. Several of the key issues relating to this module were discussed earlier in this manual.

**B02-B04.** You <u>MUST</u> complete B02 to B04 before continuing with other questions in this module. A guideline for **probing questions** are provided in the column at the far left on page 6 of the questionnaire in order to obtain a full listing of individuals who normally live and eat their meals together in the household.

**Household Head.** List the head of household on **LINE 1** (**ID Code 1**). The spouse(s) of the head, and children should be listed next. Then list other household members that are relatives, ending with household members who may not be related to the head.

• Make sure that the person you list as head of household in Module B (ID code 1) is the same person that is noted in Module A.

**B02.** In writing the names of the household members, be sure that you uniquely identify the individuals. If two individuals in the household have the same name, ask about any nicknames or other ways in which the two persons can easily be distinguished from each other

Some important notes to keep in mind when listing household members:

- It is possible that the household head may not be residing in the dwelling at the time of the interview. He or she may be living and working, temporarily or permanently, in another part of Malawi or in another country.
- Boarding school students who are residing at boarding school but are still dependent on the household should be listed.

- Do not include military personnel, prisoners, or other individuals who are residing elsewhere (in such institutions) are not primarily dependent on the household for their welfare.
- Some household members may not be a relative of the household head. For example, a servant who lives in the household and does not keep a household elsewhere.
- Servants, other hired workers, and lodgers (individuals who pay to reside in the dwelling of the household) should NOT be listed, IF they have their own household elsewhere which they head or upon which they are dependent.
- **B03.** You must ask about the sex of the individual in B03. Do not use the name of the individual to assume the sex of that individual.

#### **B04.** Relationship to head.

- Adopted child (code 3): A child voluntarily accepted as ones' own child, although he is a child of other parents.
- A Lodger (code 14) is someone who is a non-relative who pays to live in the dwelling. For example, they may rent one room in the dwelling.
- Other non-relatives (code 15) are non-relatives who live in the dwelling but do not pay. This could be a friend of the household head.

#### **B04** 1. Phone Number.

- List the phone number for every household member reported.
- **B05** & **B06**. In B05 and B06 both age and month & year of birth are asked. B06 is **ESSENTIAL** for **children**. For panel households you should compare this information with that on the pre-printed tracking form. If there are differences, you should probe to find out the real information from the respondent. Indicate the correct age on the new roster. You should also make a note on the observations box that the pre-printed date was incorrect.t
- For those 5 years of age and younger, request to see the child's health or immunization card to determine the birth date for the child. For Catholics, ask them to give you a booklet called "Ulendo wa Banja Lathu," this has all the ages of the family members.
- For older individuals who may have trouble determining the month and day of their birth, complete as much of B06 as you are able to. Please make use of the national calendar of events to assist in determining the ages of such individuals.
- In B05, for those aged 6 years and older, the age in years refers to completed years according to their last birthday.
- In B05, for children 5 years of age and younger, round months to above or below 15 days. That is, if a child is 6 months and 20 days old, report 7 months; if 6 months and 13 days old, report 6 months.

If using a paper questionnaire, after determining who the household members are, the Enumerator should take a few minutes to complete the Flap before proceeding to B07. Kindly ask the respondent to excuse them while they fill in the Flap. Then continue to B07. This <u>MUST NOT</u> take long, as it may put off the respondent.

**B06\_2 & B06\_3.** B06\_2 and B06\_3 are to be filled out at Visit 2. B06\_2 should identify whether or not the household member previously appearing in Visit 1 is still present in the household at Visit 2. B06\_3 reports the age in years and months for the respondent at Visit 2. You should compare this information with the age reported in Visit 1 and confirm that the information is correct.

**B07.** B07 asks for cumulative months of absence over the past 12 months.

- For example, if an individual was absent once over a period of 3 months, and again over another period of 1 month in the past 12 months, you should write 4 in B07.
- You should include portions of a month of absence in the calculations here. Include the portions in determining the total cumulative absence.
- For example, an individual who was away for one period of 6 weeks (1.5 months), another period of 3 weeks (0.75 months) and a Fourth period of half a month, the total cumulative absence was 2.75 months. Reported as 3 months. (See the next point on rounding.)
- In reporting the total cumulated absence that includes such portions, round down if the portion of a month is less than half, round up if it is over half. If exactly half, round down. For example, if an individual was absent in total for 3 months and one week, report 3 months; if  $3\frac{1}{2}$  months, report 3 months; if 3 months and 3 weeks, report 4 months.
- In the previous example, where the total cumulative absence was 2.75 months, report 3 months.

**Roster Flap.** Fill in the rows of the table on the roster flap with the required information (name, age, sex) on each household member, making sure that you list each member in the exact row in which he or she was listed in B02.

- It is <u>ESSENTIAL</u> to verify that you have listed each household member in the correct row. If you do not do so, considerable errors in data entry and analysis are inevitable.
- When you have filled in the flap on the inside back cover, complete the remaining questions in Module B.

**B08.** Include any meals eaten. So if the person only eats breakfast in the household each day of the week, the response is 7.

**B10 - B14.** These are asked only of those individuals who have lived elsewhere prior to their present place of residence.

• **District** and **Country** codes are in the **ANNEX**.

**B12.** Round down of number of years in current location. If the person has been in this location for less than 12 months, enter "0".

**B13.** The response to B13 should be the <u>most important</u> reason why the individual moved to his or her present residence.

Note the skip codes in the response codes for **B16** and **B19**, and that **B17** and **B20** are only asked if the father or mother of the individual, respectively, has died.

B23 - B28. No questions are asked after B23 concerning children aged less than 12 years.

**B23.** Do not assume that the religion of the head of household will be the religion of all others in the household.

**B24.** Marital status.

- The 'married' marital status does not require that the relationship between man and woman be an official marriage. It can be a non-formal union that began without public ceremony of any sort.
- Both men with multiple wives and women who are married to a man with more than one spouse should use code 2 (polygamous) to describe their marital status.
- Separated refers to a marital state concerning a man and woman who no longer live together as husband and wife, without being legally divorced.

**B26.** Concerning men who have more than three wives residing in the household, you should include this in the comments section listing the ID code(s) of the additional wife/wives there. You should also highlight this to your field supervisor.

#### **Module C: Education**

Respondents: All individuals 5 years old and older

Direct informants: Individuals 10 years old and older.

Information on the educational history of all household members aged 5 years and older is collected in this module. No information should be collected those from younger than 5 years of age.

You <u>MUST</u> administer this module *one row (individual) at a time,* <u>NOT</u> *page by page.* After asking all possible questions on one individual, the enumerator should move to the second individual and ask all applicable questions, and repeat this process for all applicable individuals.

**C03.** It is possible that two household members report on the education information for one child. There is only space for one household ID. Record the person who seems most knowledgeable about this information.

C07. Up to two reasons for never attending school can be given. Put the most important reason first.

#### C08. Highest class ever attended.

- Note that nursery/pre-school is given code 0. This is to allow Standard 1 to be coded as 1, Standard 2 as 2, etc.
- Nursery/pre-school is a school for children who are not old enough or not ready to attend primary school. Usually attended by children less than 6 years of age.
- Post-secondary educational institution that offers specialized training, usually for teachers, but also for other occupations (agricultural extension, banking and finance, religious professionals, etc.)
- A person may have attended a class level, but not completed it. Record the highest class level attended regardless of whether or not the individual completed that level.
- Older individuals may have attended school when the Malawi educational system was different from what it is now. The current system was instituted in the 1970s. Please use the following table to determine the current equivalent class level attained by older individuals who completed their education in the 1960s or earlier. You should use the current equivalent class level when completing C08 for such individuals.

Current	1960's	1950's	1940's
Standard 1	Standard 1	Sub A	Sub A
Standard 2	Standard 2	Sub B	Sub B
Standard 3	Standard 3	Standard 1	Sub C
Standard 4	Standard 4	Standard 2	Standard 1
Standard 5	Standard 5	Standard 3	Standard 2
Standard 6	Standard 6	Standard 4	Standard 3
Standard 7	Standard 7	Standard 5	Standard 4
Standard 8	Form 1	Standard 6	Standard 5
Form 1	Form 2	Form 1	Standard 6
Form 2	Form 3	Form 2	Skills Training
Form 3	Form 4	Form 3	
Form 4	Form 5	Form 4	

- **C09.** Highest education qualification refers to the various levels of educational attainment recognized by the Malawian educational system for those who successful complete the necessary schoolwork and examinations, e.g., PSLC (Primary School Leaving Certificate), JCE (Junior Certificate Examination), MSCE (Malawi School Certificate Examination), diploma, degree.
- If an individual sat an examination for an educational qualification, but did not pass, you should report the lower qualification he or she actually achieved.
- **C12**. This question is being asked to determine whether an individual is currently repeating the same class that they attended the previous academic year. Be <u>ALERT</u> to the fact that the answer to C08 and C12 may be the same.

- **C14.** Up to 2 reasons for the individual not continuing his or her education may be given. If more than one reason is given, put the most important first.
- C15. Note the skip code, as highlighted above. For all individuals who are not now in school and were not in the previous 12 months, you <u>MUST</u> skip to the next module. For individuals who are not now in school, but did attend school within the previous 12 months, you <u>MUST</u> skip to C22 to collect educational expenditure information on these individuals.
- **C16.** Do not expend too much effort in determining exactly what type of school the individual attends. As noted earlier, unless there is obvious evidence to the contrary, you should take the respondent's answers as sufficiently accurate.
- If the respondent does not understand the question, ask what the name of the school is. The name of the school can give you enough information to determine what type of school it is.
- C17. Day scholar, in contrast to a boarder or boarding student, is a student does not live at the school in which he or she is enrolled. Usually, she/he lives at home.
- **C20-C21.** This is asked to collect information on how events and circumstances **over the past year** may affect school attendance.
- **C22.** Note that payments made by family and friends outside of the household <u>MUST</u> be included here. Potential educational transfers from the Government, development organizations/institutions, NGOs, etc.... should not be included as part of educational expenditures in C22. These social educational transfers would instead be captured in Social Safety Nets Module. Read C22 exactly as written on the questionnaire and remind the respondent to exclude social educational transfers, if any.
- **C22A**. The extra fees here include those additional fees that the teacher or headmaster requires parents to pay.
- An example of such fees are those that parents are often asked to pay in government schools to enhance the salaries of teachers and headmaster and provide the instructors with a sufficient level of wage as an incentive to be diligent in their teaching duties.
- **C22F**. In determining the value of all contributions, be sure to include any in-kind contributions labour, materials, or the like. In estimating in-kind payments, the respondent should estimate what he or she would have to pay for the item contributed if they purchased it in the market.
- **C22G.** Costs associated with transportation to school should be included here.
- **C22 TOTAL.** If the individual respondent is unable to disaggregate educational expenses by categories, but can provide a total figure spent on educational expenses, this value should be written here.
- <u>IF</u> the individual is able to disaggregate expenditures in C22A to C22-H and <u>IF</u> you are certain that all expenses have been accounted for, you do not need to provide a total amount in C22-TOTAL. This cell can be left blank.

### Module D: Health

Respondent: All individuals.

Direct informants: Individuals 10 years old and older.

In this module, information on both the recent and long-term health status of each household member is asked. Information should be collected on all members of the household. Information on the health condition of children below 10 years should be asked of their mothers or caregivers.

Note that the recall period in this module changes as one moves through it: There are three reference periods in this module; the last 2 weeks, the last 4 weeks, and the last 12 months. The nature of the outcomes that we are trying to measure influences the reference periods that we use. For instance, it makes sense to ask about a certain set of health expenditures in the last 4 weeks, i.e. out-patient consultations and medicine purchases, whereas the 12-month reference period is more appropriate for other types of health expenditures, i.e. hospital stays. Please ask the questions as instructed and written on the questionnaire, mentioning the appropriate reference period.

All health-related payments collected throughout this module <u>MUST</u> include the value of associated "in-kind" payments, which are payments in terms of goods and/or services, as opposed to money.

**D02** and **D03**. These are filled in by the interviewer without asking the respondent.

**D04.** It is important for you not to assign an illness status to the respondent, but to let the respondent identify his or her own illness status. If they report having no illness in the last 2 weeks but look visibly ill, you <u>MUST</u> nevertheless record them as having no illness, and never be judgemental.

**D05 - D07**. D05 through D07 are about the illness or injury suffered in the last 2 weeks. While D05 determines the nature of the illness or injury, D06 asks about the person that diagnosed the condition and D07 inquires about the action taken to find relief.

**D05.** Note that there is space for recording information about two possible health problems that the individual may have suffered from over the past two weeks.

- For those individuals reporting more than one health problem, you must be consistent in recording information about the first problem in the column for "Problem 1" and about the second problem in the column for "Problem 2"
- Do <u>NOT</u> use the two columns to record MULTIPLE responses about a SINGLE problem.

**D06**. Diagnosis is the process of identifying or determining the cause of a health problem through patient examination and/or review of laboratory results.

- If more than one individual diagnosed the medical problem, report the one who has the most formal medical education or training. The list of options in the questionnaire shows the priority order.
- Medical workers have more formal medical education or training than traditional healers. If both a medical worker at a health facility and a traditional healer diagnosed the illness, you should report the medical worker as having diagnosed the illness.
- Traditional healers tend to use locally available traditional remedies to treat illnesses. They may also employ magic or religious methods in the treatment of their patients.

**D10-D12.** It is in these three questions that expenditures on all health-related goods and services over the **past four weeks** are reported.

- Make sure that the respondent does not report a specific health-related expenditure in more than one of these categories. They should not report a purchase of drugs, for example, in both D12 and D14, when only one purchase was made. You must avoid double-counting of the same expenditures here and throughout the questionnaire.
- Include the MK value of any in-kind payments made, such as items given in payment. In estimating in-kind payments, the respondent should estimate what he or she would have to pay for the item(s) used in payment if they purchased it in the market.
- **D10.** This concerns all expenditures in the **past 4 weeks** related to illnesses or injuries, including the costs of diagnosis and treatment. These costs should also include costs related to seeking diagnosis and treatment from traditional healers or some other non-formal health care provider.
- **D11**. This focuses on preventative health related expenditures. These are expenditures related to activities undertaken to prevent illness and to safeguard one's health. They include expenditures at pre-natal clinics for expectant mothers, costs at post-natal clinics to monitor infant growth, and expenditures for nutrition programmes and general physical examinations.
- **D12.** This asks for total expenditures on medicines acquired outside of the official system. These are primarily over-the-counter drugs that do not require a doctor's prescription to acquire. Several examples are given in the question.
- **D13 D21.** These concern the costs associated with hospitalisations and overnight stays with a traditional/other healer over the **past twelve months**. Note that in-kind payments made should be valued in MK, and counted as part of the total costs.
- **D17 D22**. These are questions asked to determine the household economic impact of health expenditures, as such costs often can severely affect the economic wellbeing of a household.
- **D33 D36.** These are questions related to chronic illness. Such illnesses are of relatively long duration, usually with a slow onset, with long-term negative effects on health. Chronic illnesses can be contrasted to acute illnesses, which come suddenly and, once cured, usually do not have long-term effects.

- Space is provided in D34 to report more than one chronic illness. Usually a single chronic illness among several will be the more important, with the other illnesses resulting from the principal illness. This principal illness should be reported first.
- If the individual is suffering from more than two chronic illnesses, list the two most severe or most debilitating.

**D35**. This question only allows space for reporting on the duration of time the individual has suffered from one chronic illness, years/ or months. Please report here on the principal chronic illness from which the individual is suffering.

**D36**. If more than one individual diagnosed the medical problem, report the one who has the most formal medical education or training, similar to the instructions for **D06**.

D37 & D44. These are filled in by the interviewer without asking the respondent.

**D38**. This **MUST ONLY** be asked of children less than 15 years old.

**D46**. Who assisted in delivering this child?

- "Traditional birth attendants" are members of the community who are called upon by community members to assist at births. Usually, they are self-taught or informally trained.
- The respondents may not always be able to differentiate between doctors/clinical officers and nurses, as there are male nurses and doctors are also more likely to be male. One of the ways in which information is recoverable is asking about possible complications during the delivery (not the specific nature of the complication but rather whether there was any complication) to deduce the nature of the health staff that would have been involved in the delivery.

### Module E: Time Use & Labour

Respondent: All individuals 5 years old and older.

Direct informants: Individuals 10 years old and older.

Information for this module is collected <u>ONLY</u> from household members aged 5 years and older. Guardians/caretakers MUST answer on behalf of the children aged 5 to 9 years.

Note that the recall period changes through this module.

The reference period for **E06\_1 through E06\_8** is the **LAST 7 DAYS**.

The reference period for **E07 through E15** is the **LAST 7 DAYS**.

The reference period for **E16** is the **LAST 4 WEEKS**.

The reference period for E18 and on-ward is the LAST 12 MONTHS.

In Module E, from E18 and on-ward, detailed information is collected about:

- ✓ Wage Employment (Main & Secondary)
- ✓ Unpaid Apprenticeships
- ✓ Ganyu Labour
- ✓ Exchange/Free Labour

All other income-generating activities, such as (i) working in the nonfarm sector on own-account and/or running a non-farm household business, (ii) farming and/or livestock management, and (iii) fishing/fish processing and/or fish trading are covered in **Household Questionnaire:** Module N, Agriculture Questionnaire, and Fishery Questionnaire, respectively.

See <u>ANNEX</u> "WORK AND INCOME EARNING ACTIVITIES COVERED IN THE IHS4" for examples of work/income activities, and where they would be captured in the IHS4.

If the questions are asked to the respondents as they are written on the questionnaire, the confusion between the coverage of Module E vs. Module N would be clarified to a great extent. From E18 and on-ward, we are trying to capture paid or unpaid work for **non-household members** in the last 12 months. Module N collects data on **household enterprises**, which are associated with self-employed household members who work on own-account or own a business.

#### Below are examples of jobs that <u>MUST NOT</u> be listed in E18-E45:

- A tailor who repairs clothing and shoes at her stall in the Mzuzu City market
- A business woman who goes to South Africa to buy clothing and then brings it to Blantyre to sell in the market
- A trader who buys maize from remote villages and transports it to Salima to sell
- A brick layer who works on his own-account for different stores/shops in town when they need repairs
- An individual who makes charcoal with wood from the Zomba plateau and then carries it to sell by the roadside
- An individual who owns his own car and uses it as a taxi in Lilongwe
- An architect who designs roads and consults with *many different* firms and the government for different projects

#### → These jobs <u>MUST</u> be recorded in **Module N**, <u>NOT</u> in Module E.

- Rents land to grow tobacco
- Grows local maize and banana on land he owns
- Keeps goats

#### → These jobs <u>MUST</u> be recorded in the **Agriculture Questionnaire**, <u>NOT</u> in **Module E**.

- Fisherman on the shire river
- An individual selling fresh fish caught by the household
- An individual selling processed fish caught by the household
- An individual selling fresh fish purchased from non-household member fishers
- → These jobs MUST be recorded in the Agriculture Questionnaire, NOT in Module E.
- **E02 E04.** These questions are filled in by the interviewer without asking the respondent.
- **E06\_7.** This question is filled in by the interviewer without asking the respondent.
- **E05 E06 & E07 E12.** These questions ask about time spent on some economic activities, and the recall period is the <u>LAST 7 DAYS</u>. These questions may require making some calculations. If the respondent cannot remember the number of hours over the past 7 days, ask for the number of hours in one day and ask how many days the task was done. Then multiply the number of hours by the number of days to get the total number of hours for the last 7 days.
- **E06\_1a.** Farming activities should capture ALL activities between land preparation and planting and crop harvest, as well as post-harvest activities tied specifically to the **own** farm operations and the specific products that are produced on the household farm, which includes processing and marketing of own products.

**E06\_1b.** Livestock activities should capture ALL activities associated with keeping and raising livestock (including work animals) as well as production and marketing of livestock and livestock products.

**E06\_1c. Fishing activities** should capture full-time fishing, part-time fishing, fish-processing and fish trading, as defined in the Fishery Questionnaire.

E07\_1.

We will capture up to 5 crops in accordance with importance (importance defined as value addition in terms of non-market (consumption) or market (commercial sales) terms). During the last 7 days, these crops could have been (i) standing in the field, (ii) harvested or in the process of being harvested as early as the day before the interview, (iii) undergoing processing for later non-market or market purposes (for creating by-products), (iv) have been sold in the market – These possibilities are in accordance with how farming activities are defined below.

And "crops" should include rainy & dry season crops as well as tree and permanent crops. You can create a list of codes specifically for these questions, adding tree and permanent crops at the end of the list of rainy & dry season crop codes.

**E13.** This question is filled in by the interviewer without asking the respondent.

**E14 - E17**. These questions concern individuals who did not engage in any activities during the **LAST 7 DAYS**.

**E18 - E31.** These questions concern wage employment.

- Note that *ganyu labour* is <u>EXCLUDED</u>. Questions on *ganyu labour* are asked later.
- Farming land that is owned or rented is **NOT** wage employment.
- Note that the coding of the occupations and industries will be done by the supervisors, **NOT** the enumerators.

**E19.** <u>Occupation</u>. The main employed occupation over the past 12 months is either an individual's only occupation **OR** the occupation that the respondent worked the most hours, if the respondent worked at more than one job.

For individuals with two occupations with the **same number of hours**, the **main employed occupation** is the one that **gives the largest income**. If equal in number of hours and income, the one that the respondent considers as his or her main occupation should be noted.

Occupation refers to the kind of work the person does or the kind of the work he/she did, when he/she was working for the first time. This question is to enquire specifically about the nature of the job he/she is doing most of the time in the last 12 months.

If the person uses vague answers such as Civil Servant, Businessman, or 'Labourer', ask him/her the exact type of job he/she did most of the time, and then write the occupation in the space provided. The occupation will best be described by such job titles as teacher,

driver, cook etc. If a person moved from job to job, you will only record that occupation he/she is engaged in during the reference period or the last 12 months. If a person has two or more occupations enter the one in which he/she spends most of his/her time.

Try to get comprehensive answers, for example, it is not enough for a respondent to inform you that he/she is a teacher. Probe to find out if he/she is a primary or secondary school teacher etc. Record secondary school teacher or whatever the case maybe. Below are some of the **vague answers** and some probing to assist you to arrive at a **correct answer**. Refer to the **ANNEX** for **Occupation Codes** (ONLY for your reference BUT the use of your field supervisor) for the level of clarity that is expected of you while recording information on occupations.

Vague Response	Probe and Ask if He/She is a
Doctor	Medical, Dentist, Veterinary (Doctor)
Engineer	Civil, Electrical, Chemical, Mechanical, etc
Civil Servant	Economist, Nurse, Clerk, Accountant, etc
Technician	Chemical Engineering Technician, Civil Engineering Technician, Electrical Engineering Technician, etc
Inspector	School Building, Safety and Quality, Policeman, etc
Clerk	Secretary, Transport, Library, Stock, etc
Labourer	Mining, Road Construction, Building Construction, etc
Cleaner	Domestic Helper, Office, Hotel, etc
Driver	Driver for NSO, Driver for Cotton Factory, etc
Manager	Administrative, Finance, Personnel, Marketing, etc

**E20.** Industry/Main Products/Services. This question is to determine the economic sector in which the respondent works. Write a description of what the establishment where the respondent worked does.

- Industry refers to the activity of the establishment in which an individual works at his or her occupation. An industry is usually identified on the basis of the nature of the goods and services produced.
- Do <u>NOT</u> write the exact name of the company or the institution, except for those individuals who work for government ministries, or official/ public organizations.
- Do <u>NOT</u> write a generic description such as "workshop", "industry", "factory", etc. since this would not provide enough descriptive information.
- Refer to the <u>ANNEX</u> for <u>Industry Codes</u> (ONLY for your reference BUT for the use
  of your field supervisor) for the level of clarity that is expected of you while
  recording information in E20. Provide a clear description of the place where the
  individual works, such as auto repair workshop, factory that makes leather shoes,
  sale of life insurance, etc...

• If the place where the person works produces more than 1 item (such as a factory that produces two different items) list the main/primary item produced.

**Example:** A person may be an accounts clerk and employed by a dairy farmer. His occupation is 'Accounting Clerk' but his industry is 'dairy farming'.

Below are some more specific probing guidelines for recovering better industry information:

Response	Suggested Probing Questions
Factory	What kind of goods does the factory manufacture or make?
-	E.g. shoes, fruit juices, clothes, etc For instance, if a respondent says
	he/she is working in a factory which manufacture sweets, record
	"Sweets manufacturing. "Alternatively, if you cannot determine what
	the factory produces, ask the respondent to give you the name of the
	factory and record this name.
Construction	What does the company build?
	E.g. Roads, dams, electrical plant, etc For instance, if the company
	builds houses, then record "building construction."
Mining	What type of mine is it?
	E.g. coal, gold, diamond, etc For instance, if gold is mined, record
	"gold mining."
Shop	What does the shop sell?
	E.g. shoes, clothes, groceries, etc For instance, if the shop sells
	groceries, record "sale of groceries."

Examples	E19/E33/E47: Occupation		E20/E34/E48: Industry	
	Written Description	Code to be Entered After Interview	Written Description	Code to be Entered After Interview
Secondary school teacher at Zomba community day school	Secondary School Teacher	13	Community Day School	93
Watch guard at Zomba community day school	Watch Guard	55	Community Day School	93
Accountant at Zomba community day school	Accountant	11	Community Day School	93
Islamic Madrasah teacher at Mosque in Balaka	Madrasah Teacher	13	Mosque	93
Nursery school teacher at Save the Children	Nursery School Teacher	13	Save the Children	93
House girl for a private house	House Girl	54	Private House	96
Logistics Clerk at Coca Cola plant	Logistics Clerk	39	Coca Cola	31
Guard at a private residence	Watch guard	55	Private house	96
Teller at Stanbic Bank	Teller	39	Stanbic Bank	81
Receptionist at the Hotel Lilongwe	Receptionist	39	Hotel Lilongwe	63
Traditional healer in the village	Traditional healer	59	Personal services in medicine	93
Weigh bridge clerk at Chizunga Tea Factory	Weigh Bridge Clerk	39	Chizunga Tea Factory	31
Shop attendant at Barazi Clothing Shop	Shop Attendant	45	Barazi Clothing Shop	62
Sugar cane cutting supervisor at sugar processing company	Sugar Cane Cutting Supervisor	70	Sugar Processing Company	31
Driver for cement factory	Driver	98	Cement Factory	36
Driver for Taxi company	Driver	98	Taxi Company	71
Cotton buyer for a cotton factory	Cotton Buyer	42	Cotton Factory	32

Examples	E19: Occupation		E20: Industry	
	Written Description	Code (to be entered after interview)	Written Description	Code (to be entered after interview)
Cassava vendor in the street	Street vendor	45	Retail - food	62
Garden boy for a tobacco company	Garden Boy	54	Tobacco Company	31
Bar girl for bottle store and rest house	Bar Girl	53	Bottle store and Rest house	63
Store attendant in a tyre shop	Store Attendant	45	Tyre Shop	62
Security guard working for Securicor	Security Guard	55	Securicor	95
Gate attendant for Zomba Municipal Services	Gate Attendant	55	Zomba Municipal Services	91
Labourer at maize and cotton estate	Labourer	99	Maize and Cotton Estate	11
Carpenter for the Chitakale tea estate	Carpenter	95	Chitakale Tea estate	11
Bricklayer for a building contractor	Bricklayer	95	Building contractor	50
Police officer	Police Officer	58	Police	91
Food worker for Zomba District Forest Office	Food Worker	53	Zomba District Forest Office	91
Village headman	Village headman	22	Public administration	91
Building architect for architecture / contracting firm	Building Architect	02	Architecture/Contracting Firm	50
Labourer for a road construction company	Construction Labourer	99	Road Construction Company	50
Leaf turning at a tea factory	Leaf Turner	99	Tea Factory	31
Ground labourer for Southern Region Water Board	Ground Labourer	99	Southern Region Water Board	41
Construction worker for MASAF project	Construction Worker	99	MASAF	93
Ganyu labourer (for main or secondary job)	These questions are <b>NOT</b> for <i>ganyu</i> labourers; <i>ganyu</i> labour is captured in E55-59.			

- **E25**, **E37**, **E39** & **E41**. Last payment for wages/salary. For a job that was recently started and no payment has been received as of the interview date, the respondent should provide information on the expected payment instead.
- **E25 E27**. E25 & E26 ask about the last payment for wages/salary paid for the main job in the last 12 months, while E27 & E28 asks about allowances and gratuities, which include allowances and per diems paid to business employees and civil servants for costs related to travel, fieldwork, workshops, and the like.
- The amount reported in **E27** should include hotel accommodation payments directly made by the employer on behalf of the employee, if any.
- In estimating the value of in-kind allowances or gratuities in **E27**, the respondent should estimate what he or she would have paid for the item, had they purchased it in the market.
- **E32**. The question asks if the respondent worked for a wage/salary/commission/any in-kind payment at a **secondary occupation**, **EXCLUDING** *ganyu labour*.
- **E33 E45.** The questions on the secondary job questions are exactly the same those for the main job, i.e. E19-E31.
- **E46 E54**. The questions ask about any unpaid apprenticeship in the last 12 months.
- Note that main or secondary job in the last 12 months may have been a "paid" apprenticeship, which is **NOT** the same as the unpaid apprenticeship.
- E55 E59. These questions ask if the respondent has engaged in *ganyu labour*.
- *Ganyu labour* is short-term labour hired on a daily or other short-term basis. Most commonly, piecework weeding or ridging on the fields of other smallholders or on agricultural estates. However, *ganyu labour* can also be used for non-agricultural tasks, such as construction and gardening.
- **E59**. If the respondent is unable to compute an average daily wage that they received for *ganyu labour* over the past 12 months, ask them to estimate how much they would expect to receive at the time of the interview if they did a day's work of *ganyu labour* of the sort of piecework most commonly done at that time of year.
- In estimating in-kind *ganyu* payments received, the respondent should estimate what he or she would have paid for the item received as payment, had they purchased it in the market.
- **E60 E63**. These questions pertain to exchange labour (*chipeleganyu*) or working for free for a neighbour/relative (if, for example, they are sick).
- **E07\_1**. Ask the respondent to list up to 5 crops that he/she worked on during the last 7 days, listed in accordance with importance (importance defined as value addition in terms of non-market (consumption) or market (commercial sales) terms). During the last 7 days,

these crops could have been (i) standing in the field, (ii) harvested or in the process of being harvested as early as the day before the interview, (iii) undergoing processing for later nonmarket or market purposes (for creating by-products), (iv) have been sold in the market – These possibilities are in accordance with how farming activities are defined in E06\_1a, E06\_1b, E06\_1c above. "Crops" should include rainy & dry season crops as well as tree and permanent crops.

**E67a-E71c.** Refer to the different crops listed in E66. They try to establish if the crops in E66 were intended for sale, and if so, proportion of crop intended for sale as well as whether the crops were mainly sold or kept for family use/consumption.

## **Module F: Housing**

Respondent: Head of Household

Direct informants: Head of Household or Most-Informed Adult Household Member.

Information in this module is asked primarily of the head of household, who may be assisted by other informed adults within the household. In the absence of the head of household, the most-informed adult member of the household should be selected as the respondent.

**F01**. Asks about security of tenure.

- If the household is living in their house without ownership or paying any rent and without authorization, then they are squatters. Use "Free, unauthorized" (code 5).
- If the household is living in the house for free but is authorized to do so, you should use "Free, authorized" (code 4). For example, the household may be staying in a house provided for free by a relative.
- **F02**. The respondent should be clear that this is a hypothetical question and that you are not inquiring to buy the property on which the dwelling lies or about whether they would want to sell it in the near future. We are essentially interested in recovering information on what the respondent would have paid for it, had they tried to purchase the exact property on the market. Taking this into consideration, have the respondent estimate a sale price, as best as they can.
- Make sure that the price is for the dwelling and the residential plot of land (which may include an agricultural garden) upon which it is located only. However, make certain the price does not include the value of land used for commercial or agricultural purposes upon which the dwelling may be located.

**F03**. Have the respondent estimate a rental rate that they could charge for the house, as best they can. Same caution that should be used for F02 is also applicable here. You <u>MUST</u> clarify to the respondent, if necessary, that the question is hypothetical.

Ownership & Rights Questions. Remember the responses to these questions are not all expected to be the same. It should be however a respondent perceives ownership and rights. Even if a person's name is not listed on an ownership document, the respondent may still assign them ownership and the right to sell or bequeath! That being said, wherever possible, you MUST ask to see the ownership document.

**F06 - F09.** These should be filled in by the enumerator, <u>WITHOUT</u> asking the respondent.

F06. Types of General construction materials used for dwelling unit. Definitions are:

- <u>Structure</u>: A structure is defined as "any unit of construction that has four walls or an all-round wall, a roof and at least one door irrespective of the type of construction materials used". Buildings, caravans, tents, and tinned houses are some examples of structures. Based on the materials used for construction of wall and roof, the structures in the IHS4 are classified into three major groups: permanent, semi-permanent and traditional.
- <u>Permanent structure</u>: A permanent structure is one having a roof made of iron sheets, tiles, concrete or asbestos, and walls made of burnt bricks, concrete or stones. These include caravans and tinned structures. Permanent structure is built from modern building materials that are available from suppliers of building materials and hardware cement, iron sheeting, specialized flooring materials, burnt/fired red bricks, and so on. Note, however, that burnt/fired red bricks made by household members themselves should be considered to be permanent materials even though they were not purchased.
- <u>Semi-permanent structure</u>: Semi-permanent is the term used here for a mix of permanent and traditional building materials. A semi-permanent is one lacking construction materials of a permanent structure for wall or roof. These are structures which are built of non-permanent walls such as sun-dried bricks or non-permanent roofing materials such as thatch. Such a description would apply to a house made of red bricks and cement mortar, but roofed with grass thatching.
- <u>Traditional structure</u>: Traditional structures are those made from traditional housing construction materials. These materials are taken from common natural resources unfired mud brick, grass thatching for roofs, rough poles for roof beams
- The <u>Dwelling</u> may be defined as any structure; permanent, semi-permanent or traditional where people live and sleep. It may be a hut, house, stores with a sleeping room or rooms at the back or sides, a shelter of reeds/straw such as those used by fishermen, or any other structure where people sleep.
- Dwellings made up of several separate structures are most commonly found in rural areas, as where separate sleeping huts are constructed for various members of a household.

F08. Roof material.

• Concrete (response 4) can be a roof in the case that there is a flat roof since the building has an unfinished floor above it.

- **F09**. Only if the enumerator cannot see the floor inside the dwelling unit, this question could be asked to the respondent.
- If two or more different types of materials are used for the walls, roof, or floor, you <u>MUST</u> report the material that is used in the majority.
- Record the floor finishing. For example, if there is a cement floor with tile covering/finish, record tile.
- **F10**. Number of Rooms. Total number of rooms, include bedrooms, dining rooms, study rooms, habitable attics, servant's rooms, kitchens, rooms used for business purposes etc. as long as they meet the criteria of walls and floor space.
- Do not count passageways, verandas, lobbies, bathrooms, toilets, garages and storerooms as rooms even if they meet the above criteria.
- A room used for both eating and sleeping counts as 1 room.
- If a room is divided by fabric, folding screens, cartons, plastic or other temporary material, the room is considered as 1 room.
  (INSTRUCTIONS CONTINUE ON THE NEXT PAGE)
- Minor rooms in the dwelling should be excluded from the room count. These include bathrooms and toilets, storerooms, carport/garage, khondes, and so on.
- However, you should include all other rooms, including rooms that are usually unoccupied, such as those that are reserved for guests. If there are rooms which are not being used/are not occupied, they should be included/counted. For example, two people live in a house with seven bedrooms, all bedrooms should be counted.
- Note that many houses in rural areas will consist of a single room. These should be included in the room count.
- For example, all of the qualifying rooms in the separate houses of dwellings made up of several separate structures should be counted.
- **F11.** Rechargeable lamp should be listed under "Other (Specify)"
- **F12.** Main source of energy for cooking. Ask about the main source of energy used by household for cooking and mark the appropriate response. It is possible that a household may use more than one source of energy at any one time. In that case, you <u>MUST</u> record the one that is most often used. Only one code should be marked with respect to the categories provided.
- **F13 E18**. These questions ask about firewood use in the household.
- **F16.** Time <u>MUST NOT</u> include the time that may be spent on other activities on the way to collect firewood.

- **F19**. If a person has an electricity line going to the dwelling but that it has been disconnected, the response <u>MUST</u> be recorded as "No."
- **F21 F27**. These questions ask about electricity availability and use.
- **F22.** If less than 1 week, enter "0".
- **F25**. Electricity payments.
- It is possible that someone has electricity but does not pay. For example, if someone lives on a compound (as part of a church, for instance).
- This should include pre-paid electricity services. In those cases, the length of time (F26) will refer to the length of time that the last pre-payment would cover, some of which may extend into the future.
- **F31 F33**. These questions pertain to the MTL telephone services. This can be a landline or a cordless MTL telephone.

**F34 - F35.** Cell phones.

- Be alert to ownership of cell phones by household members other than the head of household. Probe to collect information about all phones owned by any household members.
- This question should also help you to recover contact information for household members other than the head of household, who may not have reported their cell phone numbers in Module A but happen to own cell-phones.

F36 - F40. Water sources.

- **Unprotected well** A well from which to draw water, the surroundings of which and whose well shaft is not lined in concrete or other impermeable material. In consequence, polluted water can easily drain into the well, potentially posing a health hazard.
- **Piped into house or dwelling (house connection)** Where tap is available in the living quarters occupied by the household.
- **Piped into yard/plot** If the household members fetch water for domestic use from a tap located outside the house, which is within its premises and is for their exclusive use.
- **Communal stand pipe** If the members fetch water from a community stand pipe i.e. other members of the community also fetch water from the stand pipe.
- Open well in yard/plot (unprotected well) This is an artificial means of water supply from underground obtained by boring or digging, if the water is not in any way protected from contamination, and on the household's property.

- Open public well (unprotected well) This is an artificial means of water supply from underground obtained by boring or digging, if the water is not in any way protected from contamination, and not on the household's property.
- **Protected well in yard/plot** Where efforts have been made towards erecting structures around the well or other means have been made to protect the water from contamination, on household property.
- **Protected public well-** Where efforts have been made towards erecting structures around the well or other means have been made to protect the water from contamination, not on household property.
- **Spring** This is a natural water supply source from underground. It is a simple outcropping of water to the land surface.

**F36**: Water source.

- Most people that report responses 8-12 in E36 will not pay anything in F37. (INSTRUCTIONS CONTINUE ON THE NEXT PAGE)
- Just because the household may use a "plastic bottle or container" to drink water, it does not mean that the main source of water is "bottled water." The household could easily fill a plastic bottle/container from a spring or purchase bottled water. You need to clarify with the respondent if this is purchased bottled water or they are using an old bottle to transport water.

#### **F41.** Type of facility.

- Flush toilet This refers to the type of toilet which is characterized by the draining of human excreta by rush of running water.
- VIP (Ventilated Improved latrines) This is a ventilated pit latrine which is defined as an onsite means of human excreta disposal in a hygienic, low cost and more acceptable manner. The primary features of VIP latrines consist of an enclosed structure (roof and walls) with a large diameter (110mm), PVC vertical ventilation pipe running outside the structure from the pit of the latrine to vent above the roof. They often will have concrete slabs containing the latrine hole
- Traditional latrine w/roof means is an ordinary pit latrine built without health or hygienic related specifications. It does not have a vent pipe, but has a roof.
- Traditional latrine w/o roof means is an ordinary pit latrine built without health or hygienic related specifications. It has neither a vent pipe nor a roof.
- No Facility This refers to the use of bushes, grass/field and other open spaces as toilet facilities.
- **F46**. Refer to the household roster/flap to answer this question. Do <u>NOT</u> ask to the respondent.

## Module G: Consumption of Food Over Past One Week

Respondent: Individual primarily responsible for the preparation of food.

Direct Informants: Individual primarily responsible for the preparation of food.

Information in this module should be asked of the individual in the household who is primarily responsible for food preparation for the household, with the assistance of other food preparers and the head of household, if applicable.

At the beginning of Module G, it is important to remind the respondent of the purpose of the survey and its confidential aspect, so that reliable answers could be solicited.

Module G is asking questions on the **total consumption** (*quantity*) of food items in the past week, which can come from <u>purchases</u>, <u>own-production</u>, and/or <u>gifts</u>.

The module also asks about the *monetary value* of food items that were consumed over past one week and that came from purchases. This is important to understand since the modules **DOES NOT** necessarily ask about *expenditures* on food items that were bought over past one week.

**Example**: If in the past week the household purchased a large amount of maize or dried fish from a wholesaler, you <u>MUST NOT</u> record the entire value of that purchase. Instead, you <u>MUST</u> record the *monetary value* of the maize or fish that was purchased <u>AND</u> consumed by the household in the past week.

You <u>MUST</u> first ask G01 for ALL ITEMS in the list, BEFORE asking the rest of the questions one row at a time, ONLY for items that have a YES (1) value for G01.

You MUST closely study the units of food consumption included in the questionnaire.

For any food item that is <u>NOT</u> reported in terms of a unit that is part of the unit codes, you are expected to do as much as possible to estimate with the respondent a standard unit (KG, Gram, Litre, Millilitre) equivalent of the consumption reported by the respondent.

A <u>PHOTO AID</u> will be helpful while working with the respondents that may have hard time describing the <u>SIZE</u> of a given food item consumed in the past 7 days. Refer to the <u>ANNEX</u>, for a list of food-nonstandard unit combinations displayed in the photo aid, and how *units of consumption* should be recorded for each case. Food items with units included in the photo aid have an asterisk next to them.

It is possible that individual household members will have consumed some food over the past one week independently of the other household members. As you are administering Module G, you <u>MUST</u> prompt the respondents from time to time to remind them to consider such individual consumption as they are answering your questions. This is particularly *necessary* for the questions under the sub-heading "Cooked Foods from Vendors (food codes 820 to 830)". Often enumerators forget the fact that this is not for an individual member or the respondent but for the entire household.

- **G01**. This question is asking whether any member of the household **CONSUMED** over past one week any type of food as listed.
- If any of the items were given to animals OR were purchased but not consumed OR were given for free but not consumed, they **SHOULD NOT** be reported as part of household consumption.
- For instance, if the household just bought a 50 KG bag of rice but has not eaten any of that or any other rice, the response to **G01** should be **NO** for rice.
- There are a number of food items listed by their food group. At the end of each food group, there is a space for "Other (Specify)". To administer this question, ask "Did your household consume any other [NAME OF THE FOOD GROUP] over the past one week?"
- **G03**. This question asks the quantity of food items that a household (respondent OR any other household member) has reported to have consumed over the past week. The question has two parts where you need to record:
- **Quantity Consumed**: This is a **Number**. If only part of the purchased food was consumed, please ask your respondent to estimate the portion consumed.
- **Unit Code for the Quantity**, to be selected from a list of units indicated on the right side of the page.
- For instance, where a household has consumed two (2) kilograms of maize, you need to record 2 on the column for quantity and one (1) on the column for unit.
- **G04**. This question asks of the total food items consumed as reported in G03, how much came from purchases.
- For example, of the two kilograms of rice that the household consumed over past one week, only one (1) kg may have originated from purchases. In this case, you MUST record, one (1) in quantity column and one (1 for KG) in the unit column.
- Consumption out of the business inventory, such as a grocery inventory, <u>MUST</u> be considered as consumption originating from purchases.
- **G05**. This question asks the amount of money that was paid to purchase the food reported in question G04. Note that G05 refers **ONLY** to the *monetary value* of the consumed food that came from purchases.
- **G06**. This question seeks to capture the amount of food that was reported to have been consumed (in G03) that came from *own-production* (i.e. own farm/garden etc.). Similar to the other questions, both *Quantity* and *Unit* MUST be entered here.
- "Cooked foods from vendors" is crossed out. This is because the questions on the value of these items that came from own-production <u>DO NOT</u> apply to such items. These items **MUST** either be purchased or be provided them as gifts from other individuals.

**G07**. This question seeks to capture the amount of food that was reported to have been consumed (in G03) that came from either *gifts* OR *sources other than purchases or own production*.

**G08.** This question seeks to find out the number of days over past one week that items from various food categories were consumed in the household (as reported in G03).

• Values recorded for G08 <u>MUST NOT</u> exceed 7. For instance, within the Cereals, Grains and Cereal Products category, a household may have consumed Green Maize for 3 days over the course of the past one week and Pearl Millet for 7 days. In this case, 7 should be recorded for G08.

**G09**. This question seeks to find out if any person(s) who is/are not household member(s) consumed any food at a given household over the past one week.

**G10** and **G11**. These questions are asked <u>ONLY IF</u> the response to **G09** is a **YES**. They seek to find out the total number of days and meals over past one week that the household shared **any** food with non-household members in different age-categories.

## **Module H: Food Security**

Respondent: Individual primarily responsible for the preparation of food

Direct Informants: Individual primarily responsible for the preparation of food

In addition to food consumption information, we would like to know more about the existence of a set of dietary practices in the last 7 days, and how they may indicate the level of household food security, i.e. having enough to eat and possessing the ability to access food if need be.

At the beginning of this section, a reminder to the respondent concerning the objective of the IHS4 may be necessary. The respondents may be thinking that exaggerating answers may enable them to receive a certain service/product as to have a positive impact on their living standards.

**H01**. This question seeks to find out if the household had any concerns as regards to the amount of food over the past seven days.

**H02**. Whether the response to the H01 is "yes" or "no", H02 <u>MUST</u> be asked. It is possible that the person reports in H01 about not having any worries in the past 7 days about having enough food, but that the household still took actions, as listed in H02.

**H03**. This question asks whether a household consistently consumes some meals every day. The question further wishes to know whether under-five children eat the same number of meals compared to those above 5 years. As such, if all the members of the household consume the same number of meals every day then both columns will have the same number, while the opposite will be true if the household members have different number of meals a day served to individuals in different age-categories.

# Module I: Non-Food Expenditures - Past One week & One Month

Respondent: Head of household.

*Direct informants: Head of household or other informed adult household member(s).* 

This module and the following two modules should be asked of the head of household, who should be assisted by other informed adults within the household.

This module consists of two separate tables. The recall period and the items listed in each table are different. The recall period for the items listed in the first table is **past one week**. The recall period for the items listed in the second table is **past one month**.

ONLY expenditures are recorded; NO quantities are recorded.

You <u>MUST</u> provide the respondent with examples of the sorts of items that they should consider in accounting for expenditures on all of these items. Several examples are given in the questions, which can be expanded.

**Item 203**: This includes "Boom" (brand name of paste soap for clothing washing).

## **Module J: Non-Food Expenditures - Past Three Months**

Respondent: Head of household.

*Direct informants: Head of household or other informed adult household member(s)* 

This module should be asked of the head of household, who should be assisted by other informed adults within the household. This module is similar to the previous module, except that the **recall period** is the **past 3 months**.

**ONLY** *expenditures* are recorded; **NO** *quantities* are recorded.

Please note that for some categories, we are asking the respondent to provide an aggregate value, taking into account more than one item that may have been purchased or paid for. In these cases, please provide the respondent with a brief list of the items concerned.

Potential sensitivity on the part of the respondent has been noted, concerning the question on expenditures on lady's undergarments. It is therefore important to remind the respondent of the objective and confidential aspect of the survey.

**Items 301-325:** The detail on clothing purchases is necessary to allow comparability with the previous rounds of IHS data collection.

# **Module K: Non-Food Expenditures - Past Twelve Months**

Respondent: Head of household.

*Direct informants: Head of household or other informed adult household member(s).* 

This module should be asked of the head of household, who should be assisted by other informed adults within the household. This module is very similar to the previous two modules, except that the **recall period** used is the **past 12 months**.

There is a second, two-item table on utilization of and expenditures on wood poles & bamboo and grass for thatching. These are non-food items that the household would not necessarily need to purchase in order to use for various purposes. Estimate for the items that have been used (consumed) only and not the surplus.

**Items 415 - 416.** Note that *lobola* and marriage ceremony costs are for engagements and marriages in the household, not for *gifts made to other* households for engagements and marriages in those households. Information on such gifts <u>MUST</u> be reported in Module Q on gifts.

### **Module L: Durable Goods**

Respondent: Head of household.

*Direct informants: Head of household or other informed adult household member(s).* 

This module should be asked of the head of household, who should be assisted by other informed adults within the household.

This module focuses on **durable goods** and it excludes items that are agricultural. Agricultural items are covered in Module M.

You should understand distinctions between the items such as between a chair and an upholstered chair, between a table and a coffee table (for sitting room) and between a radio (wireless) and a Tape or CD player, HiFi.

#### **L04.** Age of item

- If the household owns more than one of any item, ask the age of each of the individual items, sum these values in your calculator and divide the sum by the number of items to compute an average age.
- For example, if the household owns 5 beds, ask the age of each bed. Assume that household says one bed is 1-year-old, one bed is 4 years old and three beds are 10 years old, the total age is 35 years. Divide this by 5 (i.e. the number of items) to get 7 years as the average age of the beds.

**L05.** In order to estimate the value of the items for L05, use the following guidelines:

- If the item was bought less than a year ago, use the price paid for the item.
- If the item was bought more than a year ago, use the **current sale value** for the item.
- If current sale value is not possible to recover, you should ask about the costs and time required to replace the item with another used item of similar quality. This will give you an approximate value of the item.
- Similar to the instructions provided for questions of similar nature, the respondent should understand the hypothetical nature of this question and that you are not looking to buy the item or implying anything about the potential sale of the item in the near future.

**L07**. If the household purchased more than one item of a particular group, the total value of these items should be recorded here.

# Module M: Farm/Fishery Implements, Structures and Machinery

Respondent: Head of household.

*Direct informants: Head of household or other informed adult household member(s).* 

This module should be asked of the head of household, who should be assisted by other informed adults within the household. The focus of this module is on the agricultural assets that are owned or rented by the household in the last 12 months.

**Question** A acts as a guide as to whether to administer this module or not.

Below are some definitions of interest:

**Treadle pump** is a low-cost, simple manual pump. It has two pistons placed side by side and a chain or rope which pauses over a pulley that connects the two pistons together so that when one piston is pushed down, the other comes up. Each piston is connected to a treadle. A suction or intake pipe connects the pump to the water source. At the end of this pipe is a non-return valve that only allows water to enter the pipe and stops it from flowing back.

**Plough** is an important implement for loosening the soil. It cuts the soil and turns it to bury residues and weeds from the soil surface to enhance decomposition.

**Ridger** is an implement with a wedge-winged body, which throws equal amounts of soil to the right and left of a central furrow. The main use of this implement is to form ridges on which crops are planted.

**Cultivator** is used to remove weeds between the rows of crops in the field, and also utilized in seedbed preparation in order to cut, break and loosen the soil.

**Motorized pumps** are water lifting devices that are propelled by either diesel, petrol or electricity. An example of a motor pump is the radial flow pump commonly known as centrifugal pump.

M05 - M06. These questions only applicable to implements and machinery (Items 601-618)

M08 - M09. These questions are only applicable to structures and buildings (Items 619-624).

# **Module N: Household Enterprises**

Respondent: Head of Household.

Direct informants: Household members managing non-agricultural income-generating household enterprises.

Household business or enterprise is an organized commercial activity, a commercial establishment, owned and managed by household members. It can be very informal and have no hired labour or formal registration. For instance, non-agricultural one-man operations providing goods/services for various different non-household members/groups, i.e. working independently on their own-account, <u>MUST</u> be classified as household enterprises.

This module collects detailed information on the ownership and operation of any incomegenerating enterprises by the survey household that were in operation over the past 12 months. The main criterion for an enterprise to be listed in this module is that it operated at some point over the past 12 months, including those that operated over the past 12 months but are closed temporarily or permanently as of the interview date, and those that may not have operated full-time every month over the past 12 months. In fact, the module is interested in capturing seasonality in business activities, i.e. the fact that the nature of some businesses leads owners not to operate continuously throughout the last 12 months.

Each row of the module on the questionnaire represents one household enterprise. There is room to list 5 enterprises. Household non-agricultural income-generating enterprises include those that produce or trade goods or services, including owning a shop or operated a trading business, no matter how small. Enterprises might include, for example, making mats, bricks, or charcoal; working as a mason or carpentry; firewood selling; metalwork; tailoring; repair work; food processing, fish marketing, petty trading and so on. Examples of household enterprises to list in Module N include:

- Tailor who repairs clothing and shoes at her stall in the Mzuzu market
- Business woman who goes to South Africa to buy clothing, and then brings it to Blantyre to sell in the market
- Trader who buys maize from remote villages and transports it to Salima to sell
- Bricklayer who works for different stores/shops in town when they need repairs
- Individual makes charcoal on the Zomba plateau and then carries it to sell along the road
- Individual owns his own car and uses it as a taxi in Lilongwe

• Architect who designs roads and consults with many different firms and the government sometimes for different projects

See <u>ANNEX</u> "WORK AND INCOME EARNING ACTIVITIES COVERED IN THE IHS4" for examples of work/income activities, and where they would be captured in the IHS4.

Recall that the household enterprises considered here are <u>NOT</u> wage OR ganyu employment, farms OR fishing operations (including fishing/fish processing/fish trading). While wage and ganyu labour are covered in *Module E, farms* and fishing operations are covered as part of the Agriculture and Fishery Questionnaires.

Although you <u>MUST NEVER</u> list *household farms* in this module, you <u>MUST</u> list household enterprises based on post-harvest processing and trading of own-produced agricultural by-products, such as starch, juice, beer, jam, oil, seed, bran, etc... AND household enterprises based on trading of agricultural crops purchased from non-household members.

**N01 - N08.** These are filtering questions for the entire module. If no member of the household operated a non-agricultural income-generating enterprise during the past 12 months, the rest of this module is skipped.

**N05.** Professional services include midwife, including traditional birth attendant (even though the TBAs are not necessarily formally trained and there may be high risks associated with these service providers as birth attendants).

**N09.** All enterprises **MUST** be listed here before the questions N10 - N41 are asked for each enterprise, <u>one enterprises at a time</u>, <u>NOT</u> *page by page*.

- The description should be short and succinct (to the point), sufficient to inform the supervisor in what sort of industry the enterprise should be classified. Examples include:
  - vegetable seller in market
  - bicycle repair
  - palm mat weaving
  - furniture or coffin making
  - mandazi preparation and sales
  - used clothes (kaunjika) trading
  - beer brewing
  - kachasu distilling
  - charcoal making
- The industry codes that the field supervisor will enter are listed in the **ANNEX**.

**N10**. The manager(s) of the household enterprise is noted here. Record ID for the manager(s) from the household roster. Space is provided for up to two managers.

• There must be at least one person listed as a manager. If there are more than two managers in the household, record the two who are most engaged in the business operations.

- You <u>MUST NEVER</u> assume that the owner and the manager of the household enterprise will be the same person.
- The questions following N09 should be asked to the manager(s) of each household enterprise.
- **N12.** The owner of the household enterprise is noted here. Record ID for the owner from the household roster. Space is provided for up to two owners.
- There must be at least one person listed as an owner. If there are more than two owners in the household, record the two who have most authority in the business.
- **N14.** Indicate the share of profits kept by the household rather than the other owners outside the household. Try to assist the respondent without giving them leading answers. This is not an easy question as it requires an estimate from the respondent.
- **N15.** Ask the respondent to provide the month and year when the enterprise first started.
- If the enterprise stopped its operations briefly and restarted, the date should be the one when the enterprise <u>FIRST</u> started operating. Do NOT record the date when it was restarted after a brief period of inactivity.
- **N16.** Start-up capital is wealth in the form of money or property that an individual or partners have accumulated in order to cover the costs of setting a business in operation.
- The main source of funds used to start up the household enterprise, if there are multiple sources, is the source from which the largest amount of money was obtained. Record maximum of two sources and rank them.
- Note that a 'loan' needs to be formally repaid, while a 'gift' does not.
- 'Sale of assets owned' means that the owner sold some item of value in order to obtain the money needed to begin the household enterprise is engaged.
- If no start-up capital was required, record 99.
- **N17.** Information is sought here where the enterprise is located. Ask the respondent where the business takes place. If the business may have moved temporarily, inquire about the usual place of business.
- **N20**. Information is sought here on who makes use of the services and/or good provided by the household enterprise. (INSTRUCTIONS CONTINUE ON THE NEXT PAGE)
- 'Final consumers' would imply that the enterprise is retail-oriented.
- 'Institutions' would include schools, hospitals, prisons and the like.

• 'Manufacturers' would imply that the enterprise provides unfinished products or raw materials to other enterprises, who use them as part of the process of creating a final product for the market.

**N21.** If the respondent does not know if the enterprise is registered with any of the listed institutions, record "DK" (does not know). *Avoid excessive probing on this question which may unnecessarily cause worry for the respondent.* 

**N22**. Ask if the enterprise or any of the owners or managers belong to any registered business association, such as Malawi Minibus Association.

**N25**. Information is sought whether and in which months the enterprise operated for the past 12 months.

For the successful administration of the question, you <u>MUST</u> mark the beginning and end of the 12-month period of interest. For each month in turn, starting with the most recent month, you <u>MUST</u> inquire about whether the enterprise was operational, and if so, you <u>MUST</u> inquire about the level of sales in that month (high vs. average vs. low).

**N30-N32.** These questions concern the last month of operation in the past 12 months.

• Last month of operation in the past 12 months <u>DOES NOT</u> have to be the same as the *last calendar month* during which the enterprise may not have operated.

**N32**. This <u>MUST</u> capture <u>ALL</u> sales (*zogulitsa*) in the last month of operation. Hence, it <u>MUST</u> include the value of sales (*zogulitsa*) for which the household may not have received any cash or in-kind payments as of the interview date.

**N33.** This question is <u>NOT</u> asked to the respondent but answered by the enumerator. You <u>MUST</u> check question N25 and record here whether the last month of operation was reported by the respondent as a month of low vs. average vs. high sales.

**N34 – N35.** These questions are asked ONLY if the last month of operation was a month of low sales.

- If there were not any months of average sales in the last 12 months, record NA for N34.
- If there were not any months of high sales in the last 12 months, record NA for N35.

**N36 - N37.** These questions are asked ONLY if the last month of operation was a month of average sales.

- If there were not any months of low sales in the last 12 months, record NA for N36. (INSTRUCTIONS CONTINUE ON THE NEXT PAGE)
- If there were not any months of high sales in the last 12 months, record NA for N37.

**N38 - N39.** These questions are asked ONLY if the last month of operation was a month of high sales.

- If there were not any months of low sales in the last 12 months, record NA for N38.
- If there were not any months of average sales in the last 12 months, record NA for N39.

**N40**. This question concerns the last month of operation in the last 12 months, and refers to profit: *phindu*, which is the value of assets net of operating costs, i.e. Profit = Total Sales – Total Costs.

Note that N32 asks about the value of total sales (*zogulitsa*) in the last month of operation, while N40 asks about the profit (*phindu*) in the last month of operation. There are explicit reasons why N40 is asked to the respondent and NOT calculated by the enumerator, and that N40 is asked BEFORE N41.

**N41.** These questions concern the last month of operation in the past 12 months. If nothing was spent on a given category, you **MUST** record zero.

**N41a**. Raw materials are goods that are used to produce the final products. For example, if one wanted to bake *mandazi*, wheat flour, sugar, cooking oil and yeast would be considered to be the raw materials for the *mandazi*.

**N41b**. Inventory is the stock or quantity of goods and materials that a trader has on hand for sale.

## **Module O: Children Living Elsewhere**

Respondent: Head of household.

*Direct informants: Head of household or other informed adult household member(s).* 

**Respondent ID.** Note that the ID of the respondent should be recorded in the first column before O01.

**O01.** The list should include all children of the head and/or spouse who are above the age of 15, are alive and do not live in the household, regardless of whether they have ever lived in the household or when they may have left.

The enumerators <u>MUST</u> list all relevant children of household head and spouse first in O01, before recording answers for O02 and on. The module <u>MUST</u> then be administered in its entirety <u>one individual/line at a time</u>, <u>NOT</u> *page by page*.

**O01\_1 & O01\_2.** As in the household roster, you must report whether or not the household member was present in IHPS and, if so, the roster ID from IHPS.

 ${\bf O03.}$  Ask the gender of the child on whom information is being sought. Do  ${\bf \underline{NOT}}$  guess based on the name.

**O07.** Refers to the current location of biological children living elsewhere.

**O08**. This question determines the length of time that the child lived in the location reported in O07. Record the unit and length of stay in the spaces provided. For example, if the child lived in the location reported in O07 for 13 years, the length will be 13 and the unit will be 2 in O08.

• O06 and O08 provide different information. Example: The son John (age 25) left the household in Dowa 2004 to move first to Lilongwe. He moved to Blantyre, his current residence, in 2006. In this case, O06 = 2004 but O08: 4 years.

**O09.** This question identifies the current activity status of the child. It is essential to identify whether or not the child is currently working, since **O10** asks about the current main occupation for those that are identified as working in O09.

 Handicapped refers to someone who was not working, not in school, not doing domestic duties, and is disabled/handicapped.

**O10.** Main occupation is either the only occupation or occupation at which the child spends the most hours, if he/she is currently working at more than one job. For individuals with two occupations with same number of hours, the main occupation is the one that gives the largest income. If equal in number of hours and income, the one that the respondent considers as the child's main occupation should be noted.

**O11-O14.** These questions concerning the cash remittances sent to the household during the last 12 months.

**O14\_1-O14\_3.** These questions concerning the cash remittances sent to the household during the last 12 months through other means other than the bank. Other means could include friends or relatives or other people who went outside Malawi and came back.

**O15-O17.** These questions concerning the in-kind remittances sent to the household during the last 12 months.

### Module P: Other Income

Respondent: Head of household.

*Direct informants: Head of household or other informed adult household member(s).* 

This module collects quite aggregated and general information on 'other' income sources for the household. The reference period for this module is the past 12 months.

In Module P, you **MUST NOT** include income from

- Wage employment,
- Household enterprises and farms,
- Cash/in-kind remittances sent by the children of head of household and/or spouse, and
- Programmes carried out by the Government or by non-governmental organizations (NGOs).

#### (INSTRUCTIONS CONTINUE ON THE NEXT PAGE)

#### Item 104 - Savings, Interest, or Other Investment Income.

- This item includes interest from an account at a savings bank (passbook account) or other savings institution as well as dividend interest from holding corporate ownership shares.
- These payments are received as a percentage of the amount deposited, and are made on a regular basis, typically annually.

#### Item 105 - Pension Income.

- This item is provided to retired workers, usually retired civil servants. However, larger private commercial firms may also provide pensions for their long-term employees who retired.
- Depending on the programme, pension payments are sometimes received by the surviving spouse or other dependents of a civil servant or long-term worker in a private commercial firm. Be sure to make inquiries about this possibility.

P02. Asked ONLY for items 104-115.

**P03.** Asked <u>ONLY</u> for items 101-103. The question solicits information by location e.g. from rural areas, from urban areas or from other countries.

**P03\_1-P03\_3.** These questions concerning the cash transfers sent to the household from other countries during the last 12 months through other means other than the bank. Other means could include friends or relatives or other people who went outside Malawi and came back.

# Module Q: Gifts Given Out by Household

Respondent: Head of household.

*Direct informants: Head of household or other informed adult household member(s).* 

This module collects quite aggregated and general information on gifts given out by the household over the past 12 months. The gifts given out are disaggregated according to type: cash, food and non-food in-kind.

**Q02**. The question solicits information by destination e.g. to rural areas, to urban areas, or to other countries.

**Q02\_1-Q02\_3.** These questions concerning the cash transfers sent by the household to other countries during the last 12 months through other means other than the bank. Other means could include friends or relatives or other people who went outside Malawi and came back.

Do <u>NOT</u> list items that have already been reported in **Module K** (Non-Food Expenditures Over Past 12 Months). For example, in Module Q, you <u>MUST NOT</u> report food, cash, or other gifts given away for weddings/ceremonies, and food, cash, or other gifts given away for funerals.

# **Module R: Social Safety Nets**

Respondent: Head of household.

*Direct informants: Head of household or other informed adult household member(s).* 

Social safety nets are programmes established by government of Malawi or by NGOs to offer social protection to needy households. They can provide cash or in-kind transfers, such as school feeding programmes, employment through labour-intensive public works programs, such as the MASAF Public Works Programs, or school scholarship programs.

This module collects information on whether or not anyone in the household benefited from any such programmes over the past 12 months.

You <u>MUST NOT</u> include pension transfers or vouchers/coupons for agricultural inputs, such as chemical fertilizer and maize seed. While the former is captured in Module P, the latter is covered in the Agriculture Questionnaire.

It is <u>NOT</u> necessary for the respondent to know the exact title of the programme from which the household benefited.

**R02.** Note that here the interest is in the benefit the household or household members took away from the programme- whether in cash or in-kind.

• For the food-for-work or the cash-for-work programmes, the amount of assistance received is not the number of days or weeks of labour household members worked under the programme, but the **amount of food or cash received** in payment for their labour.

# **Module S: Credit**

Respondent: Head of Household.

Direct informants: Head of Household and other adult household member(s) responsible for loans received.

This module collects information on *credit* for <u>FARMING</u> or <u>BUSINESS</u> purposes received or sought by household members over the past 12 months.

The household head and the adult household members responsible for the loans received by the household should be the respondents.

The credit could have been obtained from an informal source, such as a relative or a local money lender (*katapila*). However, there must be an explicit agreement between the lender and the household member who took the loan that the loan would be repaid within a certain period of time and/or with the addition of a specific interest charge on top of the principal amount of the loan.

Do **NOT** include the following in the section:

- Gifts from family/friends or others (covered in Module Q),
- Assistance received from transfer programmes/social safety nets (covered in Module R),
- Credit for consumption purposes, such as to purchase food when there is no food remaining in household food stores or for wedding or funerals, and
- In-kind agricultural inputs acquired on credit (covered in Agriculture Questionnaire).

You <u>MUST</u>, however, list <u>ALL</u> cash loans that may have been used to purchase agricultural inputs, as this is a different form of credit than acquiring on credit actual agricultural inputs from an input supplier/company/other organization.

**For S03-S11:** Each row corresponds to a loan received in the past 12 months. You <u>MUST</u> list first in S03 sources of ALL loans received by household members. Following this exercise, questions S04-S11 should be asked <u>one row/loan at a time</u>.

**S03**. Please write the name in detail of the source.

**S04**. Use the codes on the next page of the module to code the loan sources listed in S03.

- If the lender is both a 'relative' and a 'neighbour', they should be recorded as a 'relative'.
- If the lender is both a 'grocery/local merchant' and a 'katapila', they should be recorded as a 'katapila'.

**S06 - S11**. Try to ask these questions directly to the individual who took the loan, as identified in **S05**.

**S06.** Non-farm inputs (code 6) would include, for example, cloth and thread for a tailor, timber and tools for a carpenter, cement for a mason and so on.

**S07.** The amount reported here should be the principal amount received by the borrower from the lender.

**S11.** The amount reported here should be the sum of the principal and interest repaid to the lender by the borrower. If there is a loan, you must record an amount in S11. The amount to be repaid in S11 will be at least the amount of the loan in S07 and will be more if there is interest charged. Record the expected amount to be repaid if the loan is not repaid yet.

**S12 - S17**. These questions collect information on whether household members attempted to obtain a loan for business or farming purposes in the past 12 months, and were either denied credit **OR** awaiting word on loan.

**S18.** This question is NOT asked to the respondent but answered by the enumerator.

**S19.** This question is asked ONLY IF the household did not attempt to borrow in the last 12 months.

- Collateral is property given as security for a loan or other obligation.
- "Too expensive" refers to the rate of the interest being too high.

# **Module T: Subjective Assessment of Well-Being**

Respondent: Head of household.

Direct informants: Head of household.

This module collects information on the opinion of the head of household on the standard of living of the household of which he or she is the head. <u>There is no wrong or right answer to these questions.</u>

**T01 - T04.** If you need to explain what is meant by adequate, inform the respondent that it means the minimum consumption needs of the household sufficient for their requirements.

**T04**. Third potential response (more than adequate than household needs) is not appropriate for health care. Therefore, it should not be read out loud for this question.

**T05 - T07.** To do this, a picture of a set of six steps is used. The respondent should imagine that the richest people in society are all found on the top step, while the poorest people are found on the bottom step.

• A diagram of this set of six steps is provided in the questionnaire and should be shown to the respondent when asking these three questions.

**T09 - T12.** These four questions in other studies have been shown to be important indicators of the welfare level of the household.

**T12.** Note that for men, you can ask about the number of trousers he owns, whereas for women ask about the number of skirts or dresses she owns.

• Do not be concerned if a man has far more shirts than trousers or if a woman respondent has far more blouses or *chitenjes* than skirts or dresses. For consistency, <u>ONLY</u> concern yourself with the number of trousers or skirts & dresses owned.

# Module U: Shocks & Coping Strategies

Respondent: Head of household.

Direct informants: Head of household.

This module collects information on negative economic shocks -- more or less unforeseen events that negatively affected the welfare of the household. The module has a comprehensive list of coping strategies that the enumerators can choose from while assessing the category to which the respondent's answer refer to. It is important to solicit more than one coping strategy, if applicable, since the survey allows to list up to 3. Please note that we expect there to be very few households without any shocks and coping strategies to report. The main difference across households will be the gravity/severity of shocks.

**U01.** A list of 23 types of shocks is provided. Go through the **ENTIRE LIST** in U01 before continuing with the rest of the module.

**U02**. Review with the respondent the negative shocks that the respondent noted as having been experienced by the household over the past 12 months.

- Have the respondent select which was the first, second, and third most significant shocks experienced. The most significant shock is that shock experienced over the past one year that led to the greatest reduction in the welfare of the survey household.
- With the exception of the three most significant shocks, all other cells should be left blank in U02.
- If three shocks or less were identified in U01, have the respondent rank all of them.

**U03.** This question should **ONLY** be asked about the shocks for which rankings are provided in U02: *No more than three shocks*.

- A reduction in income concerns a reduction in the amount of cash or other resources (e.g. harvest from household plots) that are coming into the household.
- A reduction in assets concerns a reduction in the amount of goods that the household possesses. These goods may either be productive (land, livestock, agricultural and other work tools) or non-productive (house, furniture, transport, and other durable goods).

**U04.** This question concerns the **actions** (*coping strategies*) taken by the household members to respond to the negative economic shock that they experienced.

- Up to <u>THREE</u> possible responses can be noted. These <u>MUST</u> be listed according to the *order of importance*, listing first what the respondent viewed as the most effective or important coping strategy.
- <u>ALWAYS</u> prompt the respondent to see if there were more than one coping strategy in response to a given shock.

# **Module V: Child Anthropometry**

Respondent: Mother/guardian of children aged 6 to 59 months.

Direct informants: Mother/guardian of children aged 6 to 59 months.

Anthropometry is the study of human body measurements, especially on a comparative basis. In this module, the *field supervisor*, with your assistance, <u>MUST</u> measure the weight and height/length of all children within the appropriate age range for the type of questionnaire administered (cross-sectional vs. panel).

For the cross-sectional households, no children 5 years of age and above will be measured. No children below 6 months of age will measured. <u>ONLY</u> children between 6 and 59 Months of age will be measured.

For the panel households, ALL children that have been measured in previous rounds will be measured. If a child was measured during IHS3 2009/2010 and/or IHPS 2013, then the child will be measured again regardless of age. The oldest child expected will be 12 years of age.

The mother or guardian of the child <u>SHOULD</u> assist throughout anthropometric measurements. The five final questions of the module are asked of the mother. None of the other questions of the module require a respondent, as they either involve measurements or require your input as the enumerator.

Detailed instructions for taking the measurements are provided below. These instructions are written for the individual who is in charge of taking the measurements, that is, the *field supervisor*. The *enumerator* will perform the role of the *assistant* as part of anthropometric measurements, and will be *responsible* for filling in the questionnaire as measurements are taken.

The measurements of age, height, and weight <u>MUST</u> be very accurate. You <u>MUST</u> reconfirm the **age** of the child in **V04**, even though this was already asked in Module B (Household Roster). The child's mother or guardian will assist you in particular by reassuring the child, so that accurate measurements can be made. The child needs to be as calm as possible when you are measuring him or her. So, the mother or guardian <u>SHOULD</u> be nearby. Both the field supervisor and you <u>MUST</u> speak politely and in a friendly manner with the children. A child who is scared or worried will be a difficult child to measure.

The field supervisor and you <u>MUST</u> complete the measurements for one child at a time. If more than one child needs to be measured in a survey household, complete by taking both the weight and the height/length of one child <u>BEFORE</u> taking measurements on any other child.

**V04.** As noted above, even though this information was asked in Module B, you <u>MUST</u> reconfirm the age here.

• One reason for doing so is that it is possible that father filled in the roster. In this module, the mother or the guardian of the child will provide again the age of the child. The

mother or guardian likely knows the <u>exact</u> age of child better than the father, and can reconfirm.

• Do not round ages to the nearest month to determine whether or not to measure a child.

#### Measuring the child's height and weight

- Be sure that the mother or guardian understands what will be done to the child. Explain to the mother or guardian how the child will be weighed and height will be measured.
- Be sure to politely and thoroughly answer any questions or other concerns that the mother or guardian might have.

**V05.** This question should be answered <u>ONLY</u> after the field supervisor and you have tried at least on two separate occasions to measure the child.

**V06.** This question is asked ONLY if the complete measurement was not possible. Even if the child is not measured, note that you should ask the mother or guardian questions V12 and V13 concerning the child.

**V08.** Weight of child.

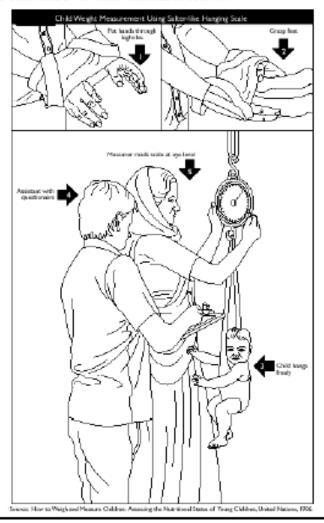
- IHS4 will use a hanging scale (Salter-type scale) to weigh children between 6 and 59 months of age. Note that weights should only be recorded in kilogrammes, and they should be recorded to the first decimal place (nearest 100 grammes). Note that the decimal point is already printed in the cells for V08.
- If the child weighs less than 10 kilogrammes, the first blank of the three blanks in V08 should be filled in with a 0 (zero).
- You MUST zero the scale before weighing the child is important.

**V09.** Height/length of child.

- Children under 24 months should be measured lying down (length).
- All children aged 24 to 59 months should be measured standing up (height).
- Lengths and heights should only be recorded in centimetres, recorded to the first decimal place (nearest millimetre). The decimal point is already printed in the cells for V09.
- If the length or height of a child is less than 100 centimetres, the first blank of the four blanks in V09 should be filled in with a 0 (zero).

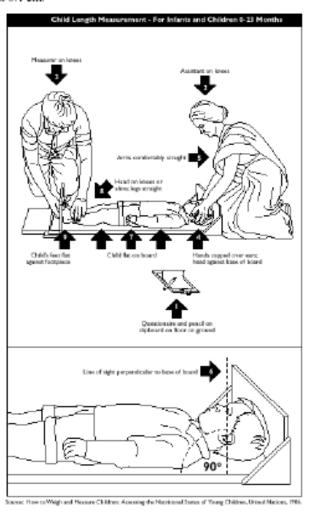
#### Child weight using a hanging scale (Salter scale).

- Hang the scale from a secure place like a ceiling beam or a solid branch on a tree. You
  may need a piece of rope to hang the scale at eye level. Ask the mother/guardian to
  undress the child as much as possible.
- Attach a pair of the empty weighing pants to the hook of the scale and adjust the scale to zero, then remove from the scale.
- Have the mother hold the child. Put your arms through the leg holes of the pants (Arrow 1). Grasp the child's feet and pull the legs through the leg holes (Arrow 2). Make certain the strap of the pants is in front of the child.
- Attach the strap of the pants to the hook of the scale. DO NOT CARRY THE CHILD BY THE STRAP ONLY. Gently lower the child and allow the child to hang freely (Arrow 3).
- 6. Check the child's position. Make sure the child is hanging freely, not touching anything.
- Hold the scale and read the weight to the nearest 0.1 kg (Arrow 5) when the child is still
  and the scale needle is stationary. Even children who are very active, which causes the
  needle to wobble greatly, will become still long enough to take a reading. WAIT FOR
  THE NEEDLE TO STOP MOVING.
- Immediately record the measurement in AD07 to one decimal place.
- After recording the measurement, gently lift the child by the body. DO NOT LIFT THE CHILD BY THE STRAP OF THE WEIGHING PANTS.



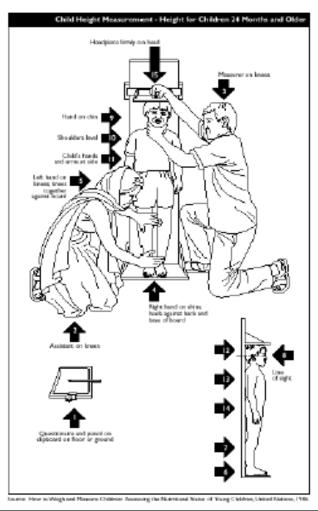
#### Measuring the length of children aged 6 to 23 months.

- 1. Place the measuring board on a hard, flat section of the ground or floor.
- 2. The assistant should kneel with both knees behind the base of the board (Arrow 2).
- You should kneel on the right side of the child so that you can hold the foot piece with your right hand (Arrow 3).
- 4. With the mother's help, lay the child on the board by supporting the back of the child's head with one hand and the trunk of the body with the other hand. Gradually lower the child onto the board. Ask the mother/guardian to kneel close on the opposite side of the board facing the measurer, as this will help to keep the child calm.
- 5. The assistant should cup his or her hands over the child's ears (Arrow 4). With arms comfortably straight (Arrow 5), the assistant should place the child's head against the base of the board so that the child is looking straight up. The child's line of sight should be perpendicular to the ground (Arrow 6). The assistant's head should be straight over the child's head, looking directly into the child's eyes.
- 7. You should make sure the child is lying flat and in the centre of the board (Arrows 7). Place your left hand on the child's shins (above the ankles) or on the knees (Arrow 8). Press them firmly against the board. With your right hand, place the foot piece firmly against the child's heels (Arrow 9).
- When the child 's position is correct, read and write down the measurement at the foot piece to the nearest 0.1 cm.



#### Measuring the height of children aged 24 to 60 months.

- Place the measuring board on a hard flat surface against a wall, table, tree, etc. Make sure the board is not moving.
- Ask the mother/guardian to remove the child's shoes and unbraid any hair that would interfere with the height measurement. Ask her to walk the child to the board and to kneel in front of the child in order to reassure the child.
- 3. Place the child's feet flat and together in the centre of and against the back and base of the board/wall. The assistant should place his or her right hand just above the child's ankles on the shins (Arrow 4), and his or her left hand on the child's knees (Arrow 5) and push against the board/wall. Make sure the child's legs are straight and the heels and calves are against the board/wall (Arrows 6 and 7).
- 4. Tell the child to look straight ahead at the mother/guardian. Make sure the child's line of sight is level with the ground (Arrow 8). Place your open left hand under the child's chin. Gradually close your hand (Arrow 9). Do not cover the child's mouth or ears. Make sure the shoulders are level (Arrow 10), the hands are at the child's side (Arrow 11), and the head, shoulder blades, and buttocks are against the board/wall (Arrows 12, 13, and 14). With your right hand, lower the headpiece on top of the child's head. Make sure you push through the child's hair (Arrow 15).
- When the child's position is correct, read and write down the measurement at the headpiece to the nearest 0.1 cm.



**V11.** You should note here if the weighing or the measuring of the child was not done as accurately as expected.

- This would particularly be due to a child who was scared or worried, and would not stay sufficiently still to be weighed or measured accurately. Of course, you should expect that all children will be somewhat frightened. What is of interest here are to note those not typical cases where accurate measurement was very difficult to accomplish.
- However, if you conduct the measurements as instructed, you should rarely have to note here that measurement was difficult.
- **V12**. A nutrition programme is typically carried out from the local health centre and may involve the feeding of prepared foods for the child at the health centre or the provision of uncooked foods for preparation at home.

**V13.** An under-five clinic is a public health clinic carried out at the local health centre. At these regularly scheduled clinics the general health of infants and young children is assessed, the children are regularly weighed and measured, and immunizations are provided to the children.

# Module W: Deaths in Household

Respondent: Head of household.

Direct informants: Head of household.

This module collects information on deaths of household members over the past two years. Each row of the module refers to a deceased household member.

This module is second-to-last in the household questionnaire because of the difficult emotions it may trigger on the part of the respondent. You <u>MUST</u> be sensitive to the emotional state of the respondent as you ask for information concerning household members who have recently died. Be courteous and sympathetic. The facial expressions should also show that you are dealing with uncomfortable issues. You <u>MUST NOT</u> smile even if the respondent may be doing so.

If the respondent is unwilling to continue with the module at some point, please excuse him or her. If there is another household member who can complete the module in place of the household and is willing to do so, you should work with this replacement respondent. Otherwise, you will have to return on another day to complete the module with the original respondent.

**W01.** This is a filter question for the entire module. If no household member, including any infants, died over the past two years, the rest of this module should be skipped. Please note that an infant who lives only a few minutes or seconds after birth should be considered as a death and included in this module.

**W03.** In the event that a child dies before it was named please just write baby or infant. If twins write baby boy 1 or baby boy 2 for example.

**W08.** In recording the kind of work that he/she did, record the one that he/she did most in his/her life time, if the person did more than one kind of work.

W14. This question concerns inheritance practices that may cause a loss of assets to the household.

• For example, in some Malawian cultures, the assets of a man are inherited by his brothers and not by his widow.

## 8. TRACKING PROTOCOL

The tracking activity in the field consists of the followings steps:

- 1. Revisiting the location of each **IHPS** household, equipped with Form **T-0**. Form T-0 consists of the household roster of the target household pre-filled with contact, household and plot information obtained from IHPS.
- 2. Identifying whether the **whole household has** moved from the original dwelling and all members still reside together ("intact"). If the whole household has moved intact, you must complete household tracking form **T-1**. Form **T-1** is the form used to collect information about the new location of the household.
- 3. Identifying whether any of the household member has moved from the original dwelling. For any member of the household who has moved, you must complete individual tracking form T-2. Form T-2 is the form used to collect information about the location of the household member who has moved.
- 4. Finding the new location of household or individual using all information available in T-1, T-2, and other additional information from the supervisor/tracking coordinator in the headquarters.
- 5. Interviewing the tracked household/individual in the new location.

The tracking protocol broken down by Visits 1 and 2 and Panel Households A and B is found below:

#### Visit 1 (Both Panel A and Panel B):

- <u>IF</u> the household is <u>LOCATED</u> and contains <u>ALL</u> household members listed on T-0 form, administer Visit 1 portion of questionnaire.
- <u>IF</u> the household is <u>LOCATED</u> and does <u>NOT</u> contain <u>ALL</u> IHPS household members listed on T-0, you <u>MUST</u> fill out <u>T-2</u> form for <u>EACH</u> IHPS household member eligible for tracking that is no longer found in the household and <u>TRACK</u> <u>INDIVIDUAL</u>.
- <u>IF</u> the household is <u>LOCATED</u> and does <u>NOT</u> contain <u>ALL</u> IHPS household members listed on T-0, you <u>MUST</u> indicate the status of the individual on the <u>T-0</u> form for each IHPS household member <u>NOT</u> eligible for tracking that is no longer found in the household.

- <u>IF</u> the household is <u>NOT</u> located and the household appears to have <u>MOVED</u> <u>TOGETHER</u>, you <u>MUST</u> fill out <u>T-1</u> form and <u>TRACK HOUSEHOLD</u>.
- <u>IF</u> the household is <u>NOT</u> located and the household appears to have <u>DISAPPATED</u>, you <u>MUST</u> fill out <u>T-2</u> forms for <u>EACH</u> IHPS household member eligible for tracking household and <u>TRACK INDIVIDUAL</u>.

**Local vs. Distance Tracking** 

- <u>IF</u> the <u>TRACKING CASE</u> is a <u>LOCAL</u> tracking case, you <u>MUST</u> verify phone numbers/confirm location with respondent on <u>T-1</u> or <u>T-2</u> form, and <u>LOCATE</u> HOUSEHOLD OR INDIVIDUAL.
- <u>IF</u> the <u>TRACKING CASE</u> is a <u>DISTANCE</u> tracking case, you <u>MUST</u> verify phone numbers/confirm location with respondent on <u>T-1</u> or <u>T-2</u> form, and <u>PASS</u> <u>INFORMATION TO MANAGEMENT</u>.

# Visit 2 (Panel A):

85

# Form T0:

Form T-0 is prefilled with the household roster along with all relevant contact information from IHPS.

#### Respondent for form T-0

The respondent for form T-0 is anyone who is able to answer the household roster. Household head is the preferred respondent. If the household head is not available, the spouse or any adult household members can be the respondent. There is a space on the top right of the T-0 form to record the roster number of the respondent for this form. You should use the old roster number (that from the 2009/2010 roster which is listed below). On this form and on question B06\_1 in Section B and O01\_2 in Section O are the only times that you will use the old roster numbers – in all other cases in the household questionnaire you should use the new roster numbers. In certain rare cases, such as a household where all the adult members have died, form T-0 may be completed by another well-informed person in the village. In this case you should write '99' in this box, and then provide a **detailed** description of the respondent in the comments box on the second page. For example, 'information was provided by Mr. Matumbo, who lives in the household next door to the original location.'

# The following information is pre-printed on form T-0, based on the information from IHPS

#### 1. Geographic information

This is the geographic information (i.e. District, TA, EA) for the household that was recorded in IHPS. The household may no longer reside in the location on this form, but it is the starting point to looking for the household in IHS4.

#### 2. Name of the head of the household from IHPS

It is important to note that when you visit the household for IHS4, the household may be headed by a different person from the one who was the head of the household in IHPS.

#### Full household ID from IHPS

This is the 12-digit HHID assigned for the household in IHPS.

#### 4. Phone number of HH Head

This is the number that you can use to contact the household head before visiting the household or if you have problems finding the household.

#### 5. Location / Description

This is the description of the house or of the location of the household that was recorded by the enumerator during the IHPS visit. This is pre-printed on the T-0 so it is easier for you to locate the household.

#### 6. Form Number

This information is pre-filled on the form. It relates to the number of pages of the T-0 form. This box lets you know how many forms to expect and which form you are missing if you do not have them all.

#### 7. Reference person(s) within the community

This is the person within the community to be contacted to help enumerators find the household. You should contact this person(s) if you have problems finding the household and this person(s) may be able to help you.

#### 8. Phone number(s) for HH members

These numbers can also be used to contact other household if you have problems finding the household.

If any of the above questions are blank, it is because they were not collected by the enumerator in the previous round. You must therefore proceed with the information provided. If possible, fill in the blank questions while administering the form T-0 because the information can be helpful for the tracking team.

#### 9. Prefilled HH Rosters

The roster is filled with information about the individuals who were household members of the household in IHPS. Information that is prefilled: name, sex, month and year of birth, projected age in IHS4 2013, and relationship to the household head in IHPS.

#### 10. Pre Filled Plot Roster

The plot roster is filled with information about the plots listed by the household in IHPS. Information that is prefilled: plot ID, name of plot, plot description/location, farmer reported plot area, acquisition status, 2009/2010 cultivation status, crops cultivated on the plot in the 2009/2010 rainy season and the plot soil type.

#### **Administering Form T-0**

Equipped with Form T-0, you must visit the dwelling in IHS4 and see whether any household member from IHPS still resides in the dwelling. Sometimes the village headman or officials will say that everyone in the household has moved away. Unless you are directed to the new location and can immediately establish contact with the household, you must insist that you visit the dwelling location from IHPS anyway just to confirm that no household members still reside in the dwelling.

If indeed all of the household members have moved <u>together</u> to a new dwelling, you do not complete the Form T-0 and instead proceed with form T-1.

#### **HOUSEHOLD ROSTER**

If at least one household member listed in the Form T-0 is found, then the Form T-0 should be administered to the household to which the individual belongs. Columns 1-5 in form T-0 need to be confirmed and columns 6-9 need to be completed in order to determine which members still reside with the reference household ("current household") and which ones have moved, and whether a T-2 form needs to be completed for some household members.

#### Column 1-5

As soon a one person listed on the prefilled household roster is confirmed to still be a household member, you must start completing form T-0. Remember to proceed person by person, starting from the first person listed on the roster. Column 1-5 must be confirmed. Take note of any difference in names, sex, month and year of birth. Note that column 5 is the projected age in 2013, based on the information collected in IHPS. **You should not just copy this information into the new questionnaire.** In some cases, the name will be spelled wrong, or the age will be wrong, or even in rare cases the gender. You should record the correct information on the new household roster. Note that this change will trip a consistency check in the data entry program. You must note that there was a mistake that you have corrected in the "comments" section of the household questionnaire so that your supervisor knows you have not made a mistake.

#### Column 6

After column 1-5 is confirmed for the person, question 6 asks whether the person is currently a member of the household. Probe to make sure that the individual is indeed still a household member, following the definition of household member that is used by the IHS4. If the answer is 'yes', then follow the skip to question 8. If the individual is no longer a member of the household, you proceed with question 7.

#### Column 7

The question in column 8 asks where the person currently lives. If the person has died, choose code 7 and skip to the next person. Other codes are related to how far the person has moved. The complete codes are:

- 1 Same village if the person still lives in the same village
- 2 Same TA if the person lives in a different village, but same TA
- 3 Same district if the person lives in a different TA, but same district
- 4 Same region if the person lives in a different district, but same region
- 5 Malawi if the person lives in a different region, but still in Malawi
- 6 Neighbouring country if the person lives in a neighbouring country
- 7 Died if the person has died
- 8 Don't know

#### Column 8

Column 9 is the interviewer check to determine whether the person should be listed in the household roster in the Household Questionnaire, or whether Form T-2 have to be completed, and, after completing a T-2, whether the person should be tracked locally. The complete codes are as follows:

1 if the person is still a member of the household and appears on new roster for original household. No Form T-2 is needed for this person.

- 2 if the person has left the household, over 12 years old, and lives within 1 hour of the original EA. Complete Form T-2 interviews about this person. This person must be tracked and interviewed before the team leaves the EA.
- if the person has left the household, over 12 years old, and lives over 1 hour from the original EA. Complete Form T-2 interviews about this person. This person will be tracked at a later time.
- if the person has left the household, but younger than 12 years old, you do not need to complete an individual T-2 tracking form for this person. If they have moved with an adult household member who will be tracked, it is helpful to the tracking team to include information for the child on the adult's tracking form.
- If the person has left the household, but was a live-in servant, you do not need to complete an individual T-2 tracking form for this person.

After form T-0 is completed, each and every member of the household over the age of 12 and listed in the T-0 form has to satisfy one of the following:

- 1. Present in the household and will be entered into the blank roster of the household in the Household Questionnaire
- 2. Has T-2 form completed about him/her
- 3. Has died

There cannot be any single case where an adult who was listed in T-0 who has not died who does not appear in any of the household rosters and any of the T-2 forms. If there are any adult individuals that do not fall into one of these categories, this will be flagged by the data entry program and a call-back to the household will be necessary.

#### Column 9

Column 9 is to help determine which household members from Year 2 still need to be tracked. The interviewer should mark an 'X' in this column if the household member has been found. If the person is still present in the original household in the same location, then the interviewer should mark an 'X' in column 9 even if the interviewer did not physically see or meet the person. If a household member moved out the household to a new location but was later found and interviewed by the team, then the team supervisor should go back to the T-0 form and mark an 'X' in column 9 for that household member. Column 9 is intended to help headquarters and the tracking team stay updated on which individuals still need to be tracked so the tracking team does not spend its time trying to find individuals that have already been interviewed by another team. It is extremely important that the interviewer report to the supervisor when they find and interview tracking individuals so that the supervisor keep the information in column 9 updated at all times.

## Form T1:

Form T-1 is the form used to collect information about the new location of the household, if the whole household has moved from the original dwelling (if all members moved away together).

**Questions 1-3**: These questions record the identifying information for the target household. You should write the name of the head of the household as it was during IHPS, even if this person has died or left the country. His or her name will be used to confirm the 12-digit household ID code used to link the households. Then record the household ID and full 12-digit household identification from IHPS.

**Questions 4-7 (INTERVIEWER INFORMATION)**: These questions record information on the interviewer. Note question 7 which asks for the form number out of the total number of forms. If only one form is completed, the response to this question would be '1' of '1'. If two or more forms are completed, it should be '1' of '2' or '2' of '2' for example. You may need to adjust the total number of forms after you finish the questionnaire if it is necessary to complete more.

#### Respondent for form T-1

Respondent for form T-1, the **informant**, could be anyone who has good knowledge about the new location of the household. It can be relatives of the household, neighbors, friends, former employer of a member of the household, or former teacher, or community leaders. You must find the best informant and if necessary interview multiple informants to get a more complete picture of the household's new location. In some cases, there can be more than one informant (and more than one form T-1) for each target household.

#### **Questions 8-20 (INFORMANT IDENTIFICATION)**

These questions collect information about the **informant** who is being interviewed for form T-1. The information collected is: name, address, location note, telephone numbers, district, TA, EA, GVH, place/village. There is no reason that any of this information is missing because this is about the informant who is being interviewed by the enumerator. Question 20 asks about the relationship between the informant and the household head or with any other member of the household.

#### Guidelines on how to fill in information about the location of household/individual in T-1 and T-2:

- 1. If possible, address should record street address (including building or unit number), name of the village/town.
- 2. **Location note** should describe the house or the location (for example, "100m north from the village office, the house with green door").
- **3.** Place of work (if applicable) should record the name of the firm, office, store, organization, etc. the person is working for. If the person is a mobile worker (for example, mobile trader), place of work should describe the area where the person usually operates.
- 4. **Phone numbers** can be land lines or mobile phones. Phone numbers should be verified, to make sure they are still valid. Verification must be done with care so not to disturb the flow of interview or creating a sense of mistrust with the respondent. Circle "1" after the phone number has been verified, and "2" if the phone number is never verified.
- 5. The names of **village**, **EA**, **TA**, and **district** need to be asked and written down, even though you may not know the official codes.

Questions 21-33 (CURRENT LOCATION OF TARGET HOUSEHOLD): These questions ask about the new location of the household. This will be the first information that will be used by the enumerator/tracker that will try to contact the household in its new location. It is very important to get as much detail about the informant can provide about the target household's new location. Follow the guidelines described earlier to get the location information.

**Questions 33-44 (CURRENT LOCATION OF OTHER HOUSEHOLD MEMBERS)**: These questions ask about the address and location of another member of the household. This question is relevant if some household members are known to have moved to different locations. Follow the guidelines described earlier to get the location information of this other household member.

#### **Questions 45-56 (CONTACT INFORMATION OF INFORMANTS IN NEW LOCATION):**

These questions collect information about another person that could be an informant in the new location: the *destination area informant*. For example, the target household has moved to Mzuzu City. Then, this question collects information about a person who is also in Mzuzu City who may have good knowledge about the household's whereabouts. The purpose of this question is so that when the tracker/enumerator goes to Mzuzu City to try to find the household, he/she can contact this destination area informant who may be able to help the tracker/enumerator find the household.

#### Questions 57-69 (CONTACT INFORMATION FOR SCHOOL IN NEW LOCATION):

These questions collect information about a contact at the school of the destination area informant. Follow the guidelines described earlier to get the location information. Obtaining information about the school is very important since in some cases it is easier for the tracker/enumerator to reach the informant at these places than at the residence.

Questions 70-82 (CONTACT INFORMATION FOR PLACE OF WORSHIP OR OTHER FREQUENTED PLACES IN NEW LOCATION): These questions collect information about anyone else in the new location that knows other places e.g. mosque, church, trading centres, social clubs, etc. where household member frequently or occasionally go, and can provide the enumerator with information about the household whereabouts. Follow the guidelines described earlier to get the location information of this potential informant.

# Question 83-94 (CONTACT INFORMATION OF INFORMANTS IN ORIGINAL LOCATION):

These questions collect information about who else in the area can provide the enumerator with information about the household whereabouts. Follow the guidelines described earlier to get the location information of this potential informant.

#### Question 95-106 (CONTACT INFORMATION OF INFORMANTS IN NEW LOCATION):

These questions collect information about who else in the new location can provide the enumerator with information about the household whereabouts. Follow the guidelines described earlier to get the location information of this potential informant.

**Question 107 (MAP):** Use space in question 107 to draw a sketch that will help in finding the household's new location. The sketch has to be simple, easy to read, and useful. For example, if a route to the household is drawn, it does not have to start from the original EA, it can show the route to the household from a known landmark.

**Question 108 (DIRECTIONS):** Use the space in question 108 to write directions to the household's new location or a description of the area near the household's new location. The directions written in this space should be similar to the map or route drawn in question 107. The description should include nearby landmarks, geographical information, nearby streets (if urban), and any other information that the informant thinks will help the enumerator/tracker find the household.

#### **INFORMATION ASSESSMENT**

At the end of T-1 interview, your supervisor will have to make the first assessment of whether the information is sufficient to find the household. The code is as follows:

- 1 If the information is sufficient to find the household, and the household has moved within one hour of the original EA. You or your team must contact and interview the household before the team leaves the EA.
- 2 If the information is sufficient to find the household, and the household has moved more than one hour from the original EA. In this case, your supervisor is responsible to make judgment that:
  - **a)** The information is indeed sufficient. If not, you must complete another form T-1 by interviewing other potential informants.
  - b) The new location is indeed more than one hour from the EA (out of reach for local tracking). If not, the household must be contacted and interviewed before the team leaves the EA
- 3 If the information is not sufficient to find to the household. In this case you must complete another form T-1 by interviewing other potential informants (for example, the person identified in Question 83).

You will have to complete as many T-1 forms as necessary until you have sufficient information to locate the household in their new location. Remember, it might be your team that has to track the respondent in their new location!

# Form T2:

Form T-2 is the form used to collect information about the new location of an **individual** who has left the household (the *tracking target*). T-2 forms do not need to be completed for former household members under the age of 12. Note that it may be the case that the age given by the informant for the child does not match the one on the form T-0. If the informant says that the individual is below age 12, but the tracking form indicates that he or she is 12 years or older, you should still complete the T-2 form. Staff at headquarters will make a decision regarding whether the child is old enough to be tracked. If you do not have form T-2 information for a child over the age of 12, you may have to return to the village to gather it

T-2 forms must be completed before the team leaves the EA.

**Question 1-4**: These questions record the identifying information for the target household. You should write their name, household ID, full household identification from IHPS and person ID from IHPS. All of this information can be found on form T-0.

**Questions 5-8 (INTERVIEWER INFORMATION)**: These questions record information on the interviewer. Note question 8 which asks for the form number out of the total number of forms. If only one form is completed, the response to this question would be '1' of '1'. If two or more forms are completed, it should be '1' of '2' or '2' of '2' for example. You may need

to adjust the total number of forms after you finish the questionnaire if it is necessary to complete more.

#### Respondent for form T-2

Respondent for form T-2, the **informant**, could be anyone who has good knowledge about the new location of the tracking target. It can be relatives of the tracking target, neighbors, friends, former employer, former teacher, or community leaders. You must find the best informant and if necessary interview multiple informants to get a more complete picture of the tracking target's whereabouts. In some cases, there can be more than one informant (and more than one form T-2) for each tracking target.

Questions 9-21 (INFORMANT IDENTIFICATION): Question A collects information about the **informant** who is being interviewed for form T-2. The information collected is: name, address, location note, telephone numbers, district, TA, EA, GVH, place/village. There is no reason that any of this information is missing because this is about the informant who is being interviewed by the enumerator. Question 21 asks about the relationship between the informant and the household head or with any other member of the household.

Question 21-23 (PREVIOUS CONTACT WITH TRACKING TARGET): This question asks when the last time the informant was in contact with the tracking target. This information is useful to know how recent the information is. Ask also what mode of contact was used the last time the informant has some contact with the tracking target. Frequency of contact between the informant and the tracking target since the target moved is also asked. If informant is in frequent contact with the tracking target (more than once a month) choose 1. If they are in contact but less than once a month (maybe once in three months) choose 2. If informant and the target don't have regular contact, choose 3. If there has been no contact since the target moved, choose 4

Question 22-24 (PREVIOUS CONTACT WITH TRACKING TARGET): This question asks when the last time the informant was in contact with the tracking target. This information is useful to know how recent the information is. Ask also what mode of contact was used the last time the informant has some contact with the tracking target. Frequency of contact between the informant and the tracking target since the target moved is also asked. If informant is in frequent contact with the tracking target (more than once a month) choose 1. If they are in contact but less than once a month (maybe once in three months) choose 2. If informant and the target don't have regular contact, choose 3. If there has been no contact since the target moved, choose 4.

Questions 25-40 (CURRENT LOCATION OF TRACKING TARGET): These questions ask about the location of the tracking target. This information will be the first information that will be used by the enumerator/tracker that is going to contact the tracking target in his/her new location. It is very important to get every little detail about this information, as much as the informant can provide. For example, you can ask the informant what he/she would do if he/she needs to contact the tracking target. Any phone numbers, email addresses, etc. Follow the guidelines described earlier to get the location information of the tracking target. Question 29 asks the informant to describe some prominent features near the location of the tracking target that will make it easier for anyone who will track the target to find him/her. It can be a well-known factory in the area, or mosque, church, school, natural landmarks (river, highway junctions). Question 40 asks why the tracking target moved from the original location. Question 41 asks when the tracking target left the original location and

question 42 asks when the individual move into the new residence. Question 43 asks the informant to list the places that the tracking target lived in after leaving the original location and before moving into the new residence. For example, maybe the tracking target lived with a relative in the new location before getting his/her own place. This relative then is a potential informant.

Questions 44-53 (TRACKING TARGET IDENTIFICATION): Questions 44-53 ask about places that are frequented by the tracking target, either in the original location or in the new location. Which mosques or churches did the target go to in the original area, or is he/she going to in the new location? Are there any particular restaurants, food-stalls, bars, clubs, prayer group that the target often attended? Is there any particular hairdresser, or barber he used to go to? Write down the names and addresses of these places in great detail. These are all places where you or the tracker can find potential informants who know about the tracking target. Question 45 asks for any other names the tracking target may use, such as maiden names, Christian names, local names, etc. Different informants may know the tracking target by different names. Question 46 asks for any other relevant information that may help identify the tracker. For example, any scars the tracking target has and where they are. Does the target have any particular hairstyle or eyeglasses? Is there any particular way the respondent walk or talk? How would the informant describe what the tracking target looks like for someone who's never met the tracking target?

Question 47-48 asks when, to the informant's knowledge, the tracking target last visited the village. What was the purpose of the visit? Business? Visiting family? Religious holiday? Whom did the target meet during that visit. This information is useful to find out other potential informants. Questions 49-50 ask when, to the informant's knowledge, the tracking target will visit the village again. What will be the purpose of the visit? Business? Visiting family? Religious holiday? Whom will the target meet during that visit? Question 52 asks about the name of the spouse of the tracking target, if the target is married. Record the full name and any local name of the spouse. If possible, get the full name of the spouse before they are married to get the surname. It is possible that people in the destination area do not know about the tracking target but know about the spouse or the relatives of the spouse. Question 53 asks for the spouse's phone number. This will be useful if the tracking target cannot be reached.

#### Questions 54-67 (CONTACT INFORMATION FOR SCHOOL/WORK IN NEW

**LOCATION):** These questions ask about whether the tracking target is currently in school or working. If the tracking target is currently in school, and if the answer is "yes" for question 54, collect information about the school. You must ask the name of the school as complete as possible. Ask for a name of a teacher/the principal there, the phone number of the school or the teacher/principal, and the address of the school. If the tracking target is not in school, follow the skip to question 60. If the tracking target is currently working, and if the answer is "yes" for question 60, collect information about the place of work. You must ask what the current work/profession of the tracking target is, name of workplace, name of supervisor (if applicable), phone numbers of the place of work, and address. If the tracking target is not currently working, follow the skip to question 68.

Question 68-96 (CONTACT INFORMATION FOR POTENTIAL INFORMANTS): These questions ask for up to 3 informants either in the original location or in the new location who can lead enumerators to find the target. The name of the potential informants is asked in questions 69, 78 and 88. You may then ask these informants to help you find the target, or he/she may contact the target him/herself and persuade the target to establish contact with you or your team.

Question 97-98 (HOUSEHOLD MEMBERS MOVING WITH TARGET): These questions ask about other household members from IHPS that may have moved to the new location with the tracking target. For example, two brothers could move together to Lilongwe to look for work. Question 97 asks if any household members moved with the target to the new location. If yes, then fill in question 98 with the names and IHPS roster ID numbers of the household members that moved with the target. A T-2 still need to be filled out for every household member that moved with the target but this information will let the enumerator/tracker know that several household members may be found in the same location. This will also help the enumerator/tracker combine information from several tracking targets to help find the targets.

**Question 99 (MAP):** Use space in question 99 to draw a sketch that will help in finding the household's new location. The sketch has to be simple, easy to read, and useful. For example, if a route to the household is drawn, it does not have to start from the original EA, it can show the route to the household from a known landmark.

**Question 100 (DIRECTIONS):** Use the space in question 100 to write directions to the household's new location or a description of the area near the household's new location. The directions written in this space should be similar to the map or route drawn in question 99. The description should include nearby landmarks, geographical information, nearby streets (if urban), and any other information that the informant thinks will help the enumerator/tracker find the household.

#### **Supervisor Check**

At the end of T-2 interview, your supervisor will have to make the first assessment of whether the information is sufficient to find the household. The code is as follows:

- 1 If the information is sufficient to find the tracking target, and the target moved within one hour of the original EA. You or your team must contact and interview the target's household before the team leaves the EA.
- 2 If the information is sufficient to find the target, and the target moved more than one hour from the original EA. In this case, your supervisor is responsible to make judgment that:
  - a) The information is indeed sufficient. If not, you must complete another form T-2 by interviewing other potential informants.
  - b) The new location is indeed more than one hour from the EA (out of reach for local tracking). If not, the target must be contacted and interviewed before the team leaves the EA

3 If the information is not sufficient to find to the target. In this case you must complete another form T-2 by interviewing other potential informants

Form T-1 and Form T-2 are the main tools used to find where households and individuals have moved. You must complete the tracking forms with a single goal in mind: to make sure that the information collected will be sufficient to find the movers. Think about what if, two months from now, you will be asked to find the household/individual using only the forms. Will you be able to do the job using the forms? If not, then your task of completing these forms properly is not done.

# **Tracking Examples Visit 1:**

Below are the types of cases possible, and some examples on how to deal with each case.

# Case 1: At least one household member is found living in the original dwelling

- 1. Complete Form T-0 to see whether any household members have moved or died
- 2. For anyone who has moved, conduct T-2 interview(s) and follow instructions in column 8 of the T-0.
- 3. Proceed with the Visit 1 portion of the Household Questionnaire.

#### **EXAMPLE 1**

IHPS Household was: John (ID 01), Christina (ID 02, his wife), and Michael (ID 03, their son, age 9). In 2013, all three members still live in the same dwelling with 5 family members who moved in with them.

- 1. Complete Form T-0. John is the respondent (or Christina).
- 2. You do not need to complete either form T-1 or T-2 as all of the original members are still present in the household.
- 3. Proceed with the visit 1 portion of the household questionnaire with John as the head of the household.

#### **EXAMPLE 2**

IHPS Household was: Ali (ID 01), Amina (ID 02, his wife), and Khamis (ID 03, their son, age 17). In 2012, Ali and Amina have moved together to another region. Khamis still lives in the original dwelling.

- 1. Complete Form T-0. Khamis is the respondent.
- 2. Complete Form T-2 for Ali. Record in question 98 on Ali's Form T-2 that he moved with Amina.
- 3. Complete Form T-2 for Amina. Record in question 98 on Amina's Form T-2 that she moved with Ali.

4. Proceed with the household questionnaire. Khamis may or may not be the head of the household. Use the same rules that would apply for completing any household roster.

#### **EXAMPLE 3**

IHPS Household was: Frank (ID 01) and Joyce (ID 02, his sister). In 2013, Frank still lives in the original dwelling with his new wife and baby. Joyce has moved to the city to take a job at a bank.

- 1. Complete Form T-0. Frank is the respondent.
- 2. Complete Form T-2 for Joyce.
- 3. Proceed with the household questionnaire with Frank as the head of the household.

#### **EXAMPLE 4**

IHPS Household was: Juma (ID 01), his wife Mariam (ID 02), and their son Hassan (ID 03, age 15). In 2013, when the team arrives in the village, they find Mariam and Hassan at the original household but Juma is away for a month for his job as a driver.

- 1......Complete Form T-0. Mariam is the respondent.
- 2......Proceed with the household questionnaire with Mariam as the respondent. Juma is still the head of the household even though he is temporarily absent. He should be recorded as temporarily absent in the Household Roster (Section B). You do not need to complete a T-2 form for Juma because he is only temporarily absent and will be returning to the household in a few weeks.

Case 2: No household members are found in the original dwelling. All members live together (intact) in a new location more than 1 hour from the original location.

- 1. Do not complete Form T-0.
- 2. Complete Form T-1 interview.

#### **EXAMPLE 5**

IHPS Household was: Juma (ID 01), Mariam (ID 02, wife), Rehema (ID03, child), Happy (ID 04, child). In 2012, Juma and Mariam moved with their children to a village in another district (5 hours by car) after Juma inherited land there.

- 1. Complete Form T-1 for the household.
- 2. Do *not* contact household while the team is in the EA since it is too far. The interview team in this household's new location will complete the T-0 form and conduct this interview.

# Case 3: No household members are found in the original dwelling. All members live together (intact) in a new location, and the new location is still within one hour of the original EA.

- 1. Do not complete Form T-0 at the original dwelling. You will complete this form when you find the household at the new location.
- 2. Complete Form T-1 interview with the best informed informant. If possible, ask this informant to bring you to the new household. (Though even in this case you **must** complete a T-1 form, even if it contains only minimal information.)
- 3. Contact and interview the household while the team is still in the EA.

#### **EXAMPLE 6**

IHPS Household was: Mohamed (ID 01), Salum (ID 02, brother). In 2013, Mohamed and Salum moved together to a new location in the same village.

- 1. Complete Form T-1 for the household.
- 2. Contact and interview the household while the team is still in the EA.

Case 4: No household members are found in the original dwelling. Not all members are still living together. At least one household member 12+ years of age resides in a new location that is still within one hour of the EA.

- 1. Complete T-1 for the location where most of the household members now live. If all live separately, complete Form T-2 for each household member.
- 1. Contact and interview the household member that is still living within one hour of the EA. You should complete T-0 with this member.

#### **EXAMPLE 7**

IHPS Household was: David (ID 01), Grace (ID 02, wife), Aaron (ID 03, son age 18), James (ID 04, son age 14), Adia (ID 05, daughter age 10). In 2012, Aaron moved to another region for work. David, Grace, James and Adia moved to nearby village.

- 1. Complete Form T-1 for the household.
- 2. Complete Form T-2 for Aaron.
- 3. Contact and complete Form T-0 (probably with David).
- 4. Interview David's household while the team is still in the EA

#### **EXAMPLE 8**

IHPS Household was: Samuel (ID 01), Anna (ID 02, wife), James (ID 03, son age 20), Peter (ID 04, son age 11), Elizabeth (ID 05, live-in servant age 18). In 2012, Samuel moved to another town, Anna moved back to her mother in another region, James moved out of

dwelling but still resides in or near the EA, and Peter moved with Anna. Elizabeth moved to work for another household.

- 1. Complete Form T-2 for James.
- 2. Complete Form T-2 for Samuel.
- 3. Complete Form T-2 for Anna. Record in question 98 on Anna's Form T-2 that she moved with Peter.
- 4. You do not need to complete Form T-2 for Peter because he is under the age of 12.
- 5. You do not need to complete Form T-2 for Elizabeth because she is a live-in servant.
- 6. Contact and complete Form T-0 (probably with James).
- 7. Interview James' household while the team is still in the EA

#### **EXAMPLE 9**

IHPS Household was: Said (ID 01), Aisha (ID 02, wife), Musa (ID 03, son age 28), Aziz (ID 04, son age 11). In 2012, Said and Aisha moved to another town. Musa moved in with his uncle but still resides in or near the EA. Martin moved in with his grandfather but still resides in or near the EA.

- 1. Complete Form T-2 for Musa.
- 2. Complete Form T-2 for Said.
- 3. Complete Form T-2 for Aisha. Record in question 98 of Aisha's form T-2 that she moved with Aziz.
- 4. You do not need to complete a form T-2 for Aziz because he is less than 12 years old.
- 5. Contact and complete Form T-0 (probably with Musa).
- 6. Interview Musa's household while the team is still in the EA. Musa's uncle will now be the head of Musa's current household.

Case 5: No household members are found in the original dwelling. Not all members are still living together. No member 12+ years of age resides in a new location that is still within reach of the EA. At least one household member under 12 resides in a new location that is still within the reach of EA.

- 1. Complete T-1 for the location where most of the household members now live. If all live separately, complete Form T-2 for each household member. Record in question 98 on Form T-2 if any household members moved together to a new location. Use the child's new household or other well-informed source to supply the information.
- 2. Complete Form T-0 with the child's household.
- 3. Do not administer the household questionnaire to the child's household. Only those households with at least one 12+ year old member are eligible for local tracking.

#### **EXAMPLE 10**

IHPS Household was Emmanuel (ID 01), Grace (ID 02, wife), Agnes (ID 03, daughter age 8). In 2012, Emmanuel and Grace have gone to Blantyre City to look for better jobs. Agnes remains with her grandmother in the village and attends school.

- 1. Complete Form T-1 for Emmanuel and Grace.
- 2. You do not need to complete a Form T-2 for Agnes because she is less than 12 years old
- 3. Complete Form T-0 with Agnes. Do not administer the household questionnaire to Agnes' new household.

#### **EXAMPLE 11**

IHPS Household was: Godfrey (ID 01), Zuhura (ID 02, wife), William (ID 03, son age 2). In 2012, Godfrey and Zuhura have both died, and the baby has gone to live with a grandmother in another household in the EA.

- 1. Even though he is the last remaining member of the original household, you do not need to complete a form T-2 for William because he is less than 12 years old.
- 2. Complete T-0 with the village headman, grandmother, or other best informed respondent. **Do not complete the household questionnaire with the new household of the child.**

Case 6: No household members are found in the original dwelling. Not all members are still living together. No member 12+ years of age resides in a new location that is still within reach of the EA.

- 1. Complete T-0 using the best informed source in the village.
- 2. Complete T-2 forms for all household members.

#### **EXAMPLE 12**

IHPS Household was: Hassan (ID 01), Fatuma (ID 02, wife), Baraka (ID 03, son age 25), Ismali (ID 04, son age 24). In 2012, Hassan has died, Fatuma has returned to her home village to live with one of her daughters, Baraka has gotten married and moved to another region, and Ismali has won a scholarship to study in England.

- 1. Complete T-0 for the household using the village headman.
- 2. Complete T-2 for Fatuma.
- 3. Complete T-2 for Baraka.
- 4. Complete T-2 for Ismali.

#### Case 7: All members are deceased.

This is a rare case that occurs mainly with elderly respondents living alone. Be very wary of informants that tell you entire families have died. In one case during piloting, the

interviewer was told all three members had died, when in fact they were living in another part of the village. Investigate thoroughly with multiple neighbours and other family members living outside the household before coming to the conclusion that all members have died.

1. Complete T-0 with the village headman or other informant best able to give information.

# TRACKING PROTOCOL FOR CAPI

Each team will receive an Excel workbook containing all assigned panel households and the members in each. It will reflect all information on the T-0 (name, relationship, age, etc.). This will allow the teams to keep careful records of the status of each tracking target.

- After recording data in T-0 form, if <u>at least one</u> respondent still resides in the SAME DWELLING UNIT as 2013,
  - ✓ Complete the T-0 (and T-2s, if necessary)
  - ✓ Proceed with using the sample mode Visit 1 Panel Questionnaire.
  - ✓ Keep a careful record within your team of this status
- STEPS TO TAKE if <u>at least one</u> respondent still resides in the SAME EA OR NEARBY VILLAGE but NOT THE SAME DWELLING UNIT. This means that you plan to conduct the interview that same day or before leaving the EA.
  - ✓ Fill the appropriate tracking forms reflecting the movement (T1 vs. T2),
  - ✓ Contact the Tracking Manager (Lameck Million) immediately to let him know that your team has filled out a T1/T2 that you will send to HQ,
  - ✓ But also let him know that you will be <u>interviewing this local tracking case</u> so he does not need to assign to anyone
  - ✓ Proceed with the local tracking interview using the **census mode Visit 1 Panel Questionnaire**.
  - ✓ Keep a careful record within your team of this status
- STEPS TO TAKE if <u>no one from the original household still resides</u> in the SAME, DWELLING, SAME EA OR NEARBY VILLAGE.
  - ✓ Fill the appropriate tracking forms reflecting the movement (T1 vs. T2),
  - ✓ Make sure you sync your tablet as soon as possibleso that HQ can have your T-1/T-2 and reassign to the appropriate team immediately
  - ✓ Keep a careful record within your team that this household and/or individuals all shifted
- STEPS TO TAKE if entire household died, or moved out of the country.
  - ✓ Find adequate proof (i.e. make sure this is not a neighbor trying to get rid of you)
  - ✓ Enumerator report to supervisor <u>immediately</u>.
  - ✓ Supervisor confirm information with informant.
  - ✓ Supervisor needs to inform tracking manager that he/she confirmed the status.
  - ✓ Record on T-1 the country that the tracking target(s) moved to.

- ✓ Do NOT need to record additional information if they died outside of the T-0 form.
- ✓ Keep a careful record within your team of this status
- STEPS TO TAKE if individual died, or moved out of the country.
  - ✓ Find adequate proof
  - ✓ Enumerator report to supervisor immediately.
  - ✓ Supervisor confirm information with informant.
  - ✓ Supervisor needs to inform tracking manager that he/she confirmed the status.
  - ✓ Record on T-1 or T-2 the country that the tracking target(s) moved to.
  - ✓ Do NOT need to record additional information if they died outside of the T-0 form.
  - ✓ Keep a careful record within your team of this status
- STEPS TO TAKE if you receive a distance tracking case from another team
  - ✓ Review tracking form & confirm the information makes sense
  - ✓ Contact Tracking Manager and Team that sent form if need more information
  - ✓ Consider the location of the target in relation to your fieldwork schedule and determine when you will be close to the new location and can track/interview the household. Inform the tracking manager when you will track/interview the household.
  - ✓ Keep a careful record within your team that this is part of your outstanding workload and you are now responsible for the case
  - ✓ **WHEN** you find the household or individual, complete the census mode Visit 1 Panel Questionnaire

## **INDIVIDUAL QUESTIONNAIRE PROCEDURE**

#### **BACKGROUND**

Within household individual-level data collection

Is it enough to only interview the self-identified most knowledgeable household member in household surveys? Look at asset ownership from a gender perspective: How much does reporting change between men and women? Do individuals report differently when they know other household members are being interviewed?

#### **SCOPE OF DATA COLLECTION**

Individual Information

- Education
- Health
- Time Use & Labor

Asset Ownership & Rights

- Dwelling & Residential Land
- Agricultural Land
- Consumer Durables (Mobile Phones)
- Financial Assets & Liabilities

Food Insecurity Experience at the Individual-level

#### **SAMPLING DESIGN**

2,383 Households (PLUS split-offs) from 120 Baseline Panel Enumeration Areas (EAs)

Up to 4 randomly selected household members

- Only Adults 18+ years of age
- Selection of head and spouse prioritized (even if <18)
- Malawi:

1	adult	19%	
2	adults	59%	
3	adults	14%	
4	adults	5%	so 99% of the time this will cover ALL adults
5+	- adults	1%	

#### FIELDWORK PROTOCOL

**GENDER MATCH-UP:** Match male enumerators with male respondents & females with females

**ALONE INTERVIEWS:** No adults or kids present

**SIMULTANEOUS INTERVIEWS:** Interviews to be conducted at the same time

- Approaching communities & respondents
  - Clearly communicating objective of the survey
- Scheduling interviews
  - Supervisors to approach all households early on and gather intel on number of adults and the gender breakdown
  - Households located within their baseline EA, households that split-off in 2013, and new 2016 split-offs
- Interview environment
  - Sensitivity of questions, making sure respondents alone and feel free to share
- Administration of questionnaire in CAPI
  - Copying household, garden, plot rosters

#### PROCEDURE IN FIELD

- ✓ Upon arrival in a **Panel A** EA during Visit 1, the supervisor must attempt to identify all households assigned on Day 1
- ✓ At this time, the supervisor needs to compile a preliminary list of the number of eligible adults in each household and the gender composition. This is, of course, the <u>preliminary</u> list and the final determination of target individuals in each household will be based on the probing in Module B of the enumerators while conducting interviews.
- ✓ After administering Module B in a panel household, the enumerator should call /Whatsapp the supervisor confirming the number of adults that are within the EA and intended for the individual interview
- ✓ Individual interviews should <u>not</u> all be saved for the last day in the EA, but should be conducted throughout the 4 days within a Panel A EA (or Panel B in Visit 2)
- ✓ After the enumerator has completed the main portion of the interview for a household (Household & Agriculture), they MUST copy the key information from the interview into the Booklet of Rosters (Household, Garden, Plot).
- ✓ Prior to approaching the household for the individual interview, those that will be conducting interviews at the household should meet away from the household and
  - 1. Copy the information from the Household, Garden and Plot rosters into CAPI (this means the ENTIRE household roster, not just the respondent that you will be interviewing)
  - 2. Discuss the household and interview enough that each enumerator has an understanding of the household prior to starting their interview
- ✓ Make a proper introduction to the household of the purpose of the individual questionnaire
- ✓ Proceed with the interview while making sure that interviews are done simultaneously, within gender match-up, and that the respondent is alone
- ✓ Present questions in such a way that the respondents feel comfortable sharing any hidden assets
- ✓ Present questions in such a way that respondents feel comfortable responding honestly to questions on ownership & rights
- ✓ As necessary, add any gardens that were missed in the full household interview DO NOT SHARE INFORMATION FROM THESE CONFIDENTIAL INTERVIEWS

# 9. FIELD DUTIES AFTER COMPLETING THE QUESTIONNAIRE

#### **Completion of the Interview**

Following the completion of all of the questionnaires of interest, you <u>MUST</u> quickly go through the questionnaires, including Household Questionnaire, and if applicable, Agriculture and Fishery Questionnaires, for obvious errors.

This exercise <u>SHOULD NOT</u> last more than 10-15 minutes as you have been with the respondents for some hours. You <u>MUST</u> then provide them with a copy of the official letter of thanks from the Commissioner of Statistics.

# **ANNEX 1: DISTRICT CODES AND COUNTRY CODES**

DISTRICT CODES:	
Chitipa	Mangochi       301         Machinga       302         Zomba Non-City       303         Chiradzulu       304         Blanytyre Non-City       305         Mwanza       306         Thyolo       307         Mulanje       308         Phalombe       309         Chikwawa       310         Nsanje       311         Balaka       312
Mchinji207 Dedza208	Neno
Ntcheu209	Blantyre City315
Lilongwe City210	
COUNTRY CODES:	
Angola501	South Africa510
Australia502	Swaziland511
Botswana503 Canada504	Tanzania512 United Kingdom (UK)513
China505	United States of
0	America (USA)514
Lesotho506 Mozambique507 Namibia508	Zambia
New Zealand509	(opooliy)

# ANNEX 2: TRANSLATION OF KEY TERMS FOR THE IHS4 QUESTIONNAIRES

It is not easy to come up with exact translations of these terms in the vernacular languages of Malawi. The translations here are to provide enumerators with some initial guidance on how the terms should be translated. However, during the training of enumerators and in the initial weeks of fieldwork, consistent translations of these key terms in each language will be determined. These translations should then always be used by the IHS4 field staff in administering the questionnaires.

English	Chichewa	Chiyao	Chitumbuka
Activity	zichitochito; ntchito	itendo	Vakuchitikachitika
Adequate	Zokwanira	yakwanira	Kukwanira
Animal Waste	Ndowe		
Assets	katundu; zipangizo	katundu jwa kamulichisya masengo	Katundu
Business	Malonda	malonda	Bizinesi
Caterpillar	Mphalabungu		
Community	gulu; dera	likuga lya wandu	Chikaya
Consumption	kugwiritsa ntchito; zodibwa	yakulya; yakonasika	Kalyelo
Economic Activity	zochitachita zobweretsa chuma	itendo yakuichisya mbiya	kasangiro ka ndarama
Exchange Labour	Chipeleganyu		
Expenditure	ndalama zimene mwagwiritsa ntchito	mbiya sya konanga	Kanangiro
Head of Household	mkulu wolamulira mnyumba kapena pa banja	mtwee waliwasa; jwakulamulila pewasa	uyo wali namazaza
Household	panyumba; banja	nyumba/liwasa	Banja
Household Enterprise	zobweretsa chuma mnyumba; pabanja	malonda gamwiwasa; malonda ga mnyumba	kantchito; pabanja

English	Chichewa	Chiyao	Chitumbuka
In-Kind	kupereka kapena kulandira katundu ngati mphatso kapena malipiro	indu yakupedwa mmalo mwa mbiya	Uvwiri
Occupation	mtundu wa ntchito	mtundu wa masengo	Chakuchitika
Plot (agricultural)	chigawo chamunda	mgunda; malo ga mgunda	kamunda; puloto
Pond	Dziwe		
Poverty	Umphawi	kulaga; usauchi; yakunonopa	Ukavu
Production	Zopangidwa	yakupanganya	Vuna
Respondent	Oyankha	wakwanga iusyo	Wakuzgora
Shocks	Zotutumutsa	isisimusyo	Zamabuchibuchi
Water Logging	Lowe		
Welfare	chikhalidwe; umoyo	chisamalilo	Ukhaliro

## ANNEX 3: WORK AND INCOME EARNING ACTIVITIES IN THE IHS4

Throughout the IHS4, work/income generating activities are asked about in the following four sections:

- Household Questionnaire Module E: Time Use & Labour (Wage Employment, Unpaid Apprenticeship, Ganyu Labour, and Exchange/Free Labour)
- Household Questionnaire Module N: Household Enterprises (Own-Account Self-Employment or Business Ownership, **EXCLUDING** Those Related to Agriculture or Fishing/Fish Processing/Fish Trading)
- Agriculture Questionnaire (Farming & Livestock)
- Fishery Questionnaire (Fishing/Fish Processing/Fish Trading)

Here are some common scenarios and guidelines on where you should record information on each case of employment.

1. James has a chicken house with more than 1,000 chickens and he hires several workers to tend to them. He is a full-time statistician at the N.S.O.

<u>Work of James</u>	<u>Module</u>
Statistician at the NSO	Household Questionnaire:
	Module E
Chicken Farmer	Agriculture Questionnaire

2. Joanna is retired; she used to be a teacher but now takes care of her grandchildren. She also does ganyu labour. She lives with her son William, who sells charcoal that he gathers from the Zomba Plateau.

Work of Joanna & William	<u>Module</u>
Joanna*: Ganyu Labour	Household
	Questionnaire: Module E
William: Charcoal Seller	Household
	Questionnaire: Module N

<sup>\*</sup> It is possible that Johanna retired in the last 12 months in which case, she would be asked about the details in Module E.

3. Elizabeth is a primary school teacher at the Zomba Primary school. She also tutors students for extra income on evenings and weekends. Her husband Frank drives a taxi that he owns. Their son James drives for a wage a taxi that is owned by a non-household member.

Work of Elizabeth, Frank & James_	<u>Module</u>
Elizabeth: Primary School Teacher	Household
(Wage Employment)	Questionnaire: Module E
Elizabeth: Tutor	Household
(Own-Account/Self-Employment)	Questionnaire: Module N
Frank: Taxi Driver	Household
(Own-Account/Self-Employment)	Questionnaire: Module N
James: Taxi Driver	Household
(Wage Employment)	Questionnaire: Module E

4. Evans is a bricklayer for a Thyolo tea estate. He also grows maize and groundnuts on 3 acres of land that he owns.

Work of Evans	<u>Module</u>
Bricklayer	Household
(Wage Employment)	Questionnaire: Module E

Farmer	Agriculture Questionnaire
(Own-Account/Self-Employment)	

5. Charles and his wife own a small rest house near Mangochi. He also does bricklaying work for different people and shops near his home.

Work of Charles	<u>Module</u>
Rest house Owner	Household
(Self-Employment)	Questionnaire: Module N
Bricklayer	Household
(Own-Account/Self-Employment)	Questionnaire: Module N

6. Josiah has a small clothing trading business he started with 3 friends with funding from MARDEF. Josiah is also selling TNM top-up cards for Mr. Panda.

Work of Josiah	<u>Module</u>
Clothing Trader	Household
(Self-Employment)	Questionnaire: Module N
TNM top-up Seller	Household
(Wage-Employment)	Questionnaire: Module E

7. Mr. Panda hires a crew of people to sell TNM top-up cards around shopping centers/neighbourhoods in Blantyre. He rents 5 hectares and grows groundnuts on them.

Work of Mr. Panda	<u>Module</u>
TNM Top-Up Seller	Household
(Self-Employment)	Questionnaire: Module N
Farmer	Agriculture Questionnaire
(Own-Account/Self-Employment)	

8. Jane is a nurse at Zomba central hospital. She also owns a shop where she sells pharmaceutical products. Her husband is a fisherman and fish trader.

Work of Jane & Her Husband	<u>Module</u>
Jane: Nurse	Household
(Wage-Employment)	Questionnaire: Module E
Jane: Shop Owner	Household
(Self-Employment)	Questionnaire: Module N
Husband: Fisherman & Fish Trader	Fishery Questionnaire
(Own-Account/Self-Employment)	·

## **ANNEX 4: OCCUPATION CODES**

	ROUP 0/1: PROFESSIONAL, TECHNICAL, & RELATED WORKERS
01	Physical Scientists and related technicians. Chemists, Physicists
02	<b>Architects, Surveyors and related workers.</b> Architects, Planners, Surveyors, Draughtsmen and related workers
03	<b>Engineers and related workers.</b> Civil, Mechanical, Electrical, Mining and Other Engineers; Mining Technicians
04	<b>Aircraft's and ships' officers.</b> Pilots, Navigators, deck officers, flight and ships' officers
05	Life scientists and related technicians. Agronomists, biologists, zoologists.
06	Medical, dental and related workers. Doctors, Dentists, Medical and Dental Assistants, Nurses, X-ray and other medical technicians. (Excluding traditional healers (which are group 59))
07	<b>Veterinary and related workers.</b> Veterinarians and related workers not elsewhere classified
08	<b>Statisticians, mathematicians, systems analysts.</b> Statisticians, actuaries, systems analysts and related technicians
09	Economists
11	Accountants, (private or government); (for book-keepers see 33)
12	Jurists. Lawyers, Judges
13	<b>Teachers</b> . University Lectures and teachers.
14	<b>Workers in Religion.</b> Priests, nuns lay brothers etc, and related workers in religion not elsewhere classified
15	Writers. Authors, journalists, critics and related writers.
16	Artists. Sculptors, painters of pictures, photographers and cameramen.
17	<b>Composers and Performing artists.</b> Composers, musicians, singers, dancers, actors, producers, performing artists.
18	Athletics, sportsmen and related workers. Athletes, etc.
19	Professional and technical workers not elsewhere classified. Librarians, archivists, curators, sociologists, social workers and occupational specialists, translators, interpreters and other professional and technical workers not elsewhere classified.

20	<b>Legislative Officials and government senior administrators.</b> Legislative officials.
21	Managers. General Managers, production managers (except farm managers) and managers not elsewhere classified.
22	Traditional Leaders. Village Headmen, Group Village Headmen, Sub- Traditional Authorities, Traditional Authorities, Senior Traditional Authorities/Chiefs, Paramount Chiefs.
MAJOR	GROUP 3: CLERICAL AND RELATED WORKER
30	Clerical supervisors
31	Government administrative/secretarial officials
32	<b>Stenographers and related workers.</b> Stenographers, typists, card and tape punching machine operators.
33	Book-keepers, cashiers and related workers. Book-keepers and cashiers.
34	Computing and machine operators of book-keeping machines, calculators and automatic data processing machines (computers).
35	<b>Transport and communication supervisors</b> . Railway Stations Masters, postmasters, communication supervisors not elsewhere classified stated.
36	Transport conductors. Bus conductors
37	Mail distribution clerks. Registry clerks
38	Telephone and telegram operators Including switchboard (PBX) operators.
39	Clerical and related workers not elsewhere classified. Stock Clerk Correspondence clerks, receptionists, and travel agency clerks, Library and filling clerks and other clerks and not elsewhere classified.
MAJOR	GROUP 4: SALES WORKERS
40	Managers (wholesale & retail trade)
41	Working proprietors (wholesale and retail trade)
42	Sales supervisors and buyers
43	Technical salesmen, commercial travellers, manufactures agency
44	Auctioneers and salesmen of insurance, real estate, securities, and business services.
45	<b>Salesmen and shop assistants, and related workers</b> (demonstrators, street vendors, canvassers, news vendors).
49	Sales workers not elsewhere classified.

MAJOR	GROUP 5: SERVICE WORKERS
50	Managers (catering &lodging services)
51	Working proprietors (catering & lodging services)
52	Housekeeping and related service supervisors (Excluding housewives)
53	Cooks, waiters, bartenders and related workers
54	Maids and related housekeeping service workers not elsewhere classified, house girls, houseboys, garden boys
55	Buildings caretakers, watch guards, char workers, cleaners and related workers.
56	Launderers, dry-cleaners and pressers.
57	Hairdressers, barbers, beauticians and related workers.
58	<b>Protective service workers.</b> Fire fighters, policemen and detectives, protective workers not elsewhere classified.
59	Service workers not elsewhere classified. Traditional healers, guides, undertakers and embalmers, other service workers.
60	Farm managers and supervisors
60	Farm managers and supervisors
61	Farmers (general farm owner/operators and specialised farmers)
62	<b>Agricultural and animal husbandry workers.</b> General farm workers and labourers, dairy farm workers and gardeners, farm machine operators,
	agricultural and animal husbandry workers not elsewhere classified. (Not ganyu farm labourers-ganyu work covered in separate questions)
63	agricultural and animal husbandry workers not elsewhere classified. (Not ganyu farm labourers-ganyu work covered in separate questions)  Forestry workers. Loggers and other forestry workers not elsewhere classified.
63 64	farm labourers-ganyu work covered in separate questions)
64 MAJOR EQUIPN	farm labourers-ganyu work covered in separate questions)  Forestry workers. Loggers and other forestry workers not elsewhere classified.  Fishermen, hunters and related workers.  GROUP 7/8/9: PRODUCTION AND RELATED WORKERS, TRANSPORT MENT OPERATORS AND LABOURERES NOT ELSEWHERE CLASSIFIED
64 MAJOR	farm labourers-ganyu work covered in separate questions)  Forestry workers. Loggers and other forestry workers not elsewhere classified.  Fishermen, hunters and related workers.  GROUP 7/8/9: PRODUCTION AND RELATED WORKERS, TRANSPORT
64 MAJOR EQUIPN	farm labourers-ganyu work covered in separate questions)  Forestry workers. Loggers and other forestry workers not elsewhere classified.  Fishermen, hunters and related workers.  GROUP 7/8/9: PRODUCTION AND RELATED WORKERS, TRANSPORT MENT OPERATORS AND LABOURERES NOT ELSEWHERE CLASSIFIED
MAJOR EQUIPN 70	farm labourers-ganyu work covered in separate questions)  Forestry workers. Loggers and other forestry workers not elsewhere classified.  Fishermen, hunters and related workers.  GROUP 7/8/9: PRODUCTION AND RELATED WORKERS, TRANSPORT MENT OPERATORS AND LABOURERES NOT ELSEWHERE CLASSIFIED  General foreman and production supervisors.  Miners, Quarrymen, well drillers including mineral and stone treaters, well
MAJOR EQUIPM 70 71 72	farm labourers-ganyu work covered in separate questions)  Forestry workers. Loggers and other forestry workers not elsewhere classified.  Fishermen, hunters and related workers.  GROUP 7/8/9: PRODUCTION AND RELATED WORKERS, TRANSPORT MENT OPERATORS AND LABOURERES NOT ELSEWHERE CLASSIFIED  General foreman and production supervisors.  Miners, Quarrymen, well drillers including mineral and stone treaters, well borers and related workers.  Metal processors, Including melters and reheaters, casters, moulders and

	and paper makers related workers.
	Chemical processors and related workers. Crushers, grinders, mixers, heat
74	treaters, filter and separator operators, still operators, chemical processors and related workers not elsewhere classified.
75	Spinners, weavers, dyers, fibre preparers. Spinners, Weaving and Knitting,
	Machine setters and operators bleachers dyers and textile product finishers;
	related workers not elsewhere classified.
76	Tanners, skin preparers and pelt dressers.
	Food and beverage processors. Grain millers, sugar processors and refiners,
77	butchers and daily product processors, bakers tea and coffee prepares, brewers,
	beverages makers and other food and beverage processors.
78	<b>Tobacco preparers and product makers.</b> Tobacco preparers, cigarette makers
	and tobacco preparers and tobacco product workers not elsewhere classified.
79	Tailors, dressmakers, sewers, upholsters. Tailors dressmakers for tailors, hat
	makers, cutters, sewers, upholsters and related workers not elsewhere classified.
80	Shoemakers and leather goods makers. Shoemaker repairers, shoe cutters,
	lasters, sewers and related workers; leather goods makers.
81	Cabinet makers and related wood workers. Cabinet makers, wood-working
	machine operators not elsewhere classified.
82	Stone cutters and carvers.
83	Blacksmith, toolmakers & machine tool operators. Blacksmith, operators, forge-
	press operators, toolmakers, machine tool setters & operators, metal grinders,
	polishers, sharpeners.
84	Machinery fitters, machine assemblers. Machinery fitters and assemblers, clock
	makers, motor and precision instrument makers, vehicle machine and aircraft
	engine mechanics (except electrical)
85	Electrical fitters and related electrical workers. Electrical fitters wiremen and
	linesmen, electrical and electronics workers, electronic equipment assemblers,
	radio repairmen telephone and telegram installers and related workers not
	elsewhere classified.
86	Broadcasting station operators and cinema projectionists.
87	Plumbers, welders, sheet metal workers. Plumbers and pipe fitters, and frame
07	cutters, sheet structural metal prepares, metal workers, structural metal prepares
	and erectors.
88	Jewellery and precious metal workers.
89	Potters, glass formers and related workers. Potters, glass formers and cutters
	ceramic kinsmen, grass engravers ceramic and glass painters and decorators and
	related workers not elsewhere classified
90	Rubber and plastic product makers. Rubber and plastic product makers not
	elsewhere classified (not footwear), tyre makers, vulcanisers and retreaders.
MAIOR	GROUP 7/8/9 (CONT'D)
91	Paper and paper-board product makers.
92	Printers and related workers. Compositors, typesetters, printing pressmen,
	printing and photo engravers book binders, photographic darkroom operators
	and related workers not elsewhere classified.
	1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2

93	Painters. House painters and the like (not artists).
94	<b>Production and related workers.</b> Musical instrument makers and tuners, basketry weavers not elsewhere classified and brush makers, other production related workers.
95	<b>Bricklayers, carpenters and other bricklayers</b> . stonemasons, tile setters, reinforced construction workers concetors, roofers, carpenters and joiners, plaster, glaziers and construction workers not elsewhere classified. (Not ganyu labourers - ganyu work covered in separate questions.)
96	Operators of stationery engines and power generating machines. Operators and operators of related equipment other stationery engines (i.e. not vehicles tractors etc.) and related equipment not elsewhere classified.
97	Material handling and related equipment operators. Dockers and handlers, riggers, crane and hoist operators, Dockers and freight handlers/operators, earth moving and related machinery operators and material-handling equipment operators not elsewhere classified.
98	<b>Transport equipment operators.</b> Vehicles drivers, railway engine drivers and firemen, ships rating crew, railway breakmen shunters, signalmen and transport equipment operators not elsewhere classified.
99	Labourers not elsewhere classified. Workers not reporting occupation, or occupation not adequately describe or not classified. (Not ganyu labourersganyu work covered in separate questions.)

## ANNEX 5: INDUSTRY CODES

ACRIO	AGRICULTURE, HUNTING, FORESTRY & FISHING		
01	Growing of non-perennial crops (cereals, rice, vegetables, sugar cane,		
	tobacco) Growing of perennial crops (grapes, citrus fruits, other fruits, beverage		
	crops, spices)		
	Plant propagation		
	Animal Production (cattle, horses, camels, sheep, goats, swine/pigs, poultry)		
	Mixed farming		
	Support activities to agriculture & post-harvest crop activities (activities for crop production & animal production, seed processing for propagation).		
02	Forestry and logging (silviculture, gathering of non-wood forest products)		
03	Fishing and aquaculture (marine and freshwater fishing and aquaculture)		
MINIIN	IG AND QUARRYING		
05	Mining of coal and lignite		
05	withing of coar and rightic		
06	Extraction of crude petroleum and natural gas		
07	Mining of metal ores (iron, non-ferrous metal ores, uranium, thorium)		
08	Other mining and quarrying (stone, sand, clay, chemical and fertilizer		
	minerals, extraction of peat, salt)		
09	Mining support service activities (for petroleum, natural gas extraction,		
	other mining and quarrying support activities)		
MANI	JFACTURING		
10	Processing and preserving of meat		
	Processing and preserving of fish, crustaceans and molluscs		
	Processing and preserving of fruit and vegetables		
	Manufacture of vegetable and animal oils and fats		
	Manufacture of dairy products		
	Manufacture of grain mill products, starches and starch products		
	Manufacture of grain mill products		
	Manufacture of bakery products		
	Manufacture of sugar		
	Manufacture of cocoa, chocolate and sugar confectionery		
	I Manufaatuus of maaanani maadlaa aaysaays and sinsilan fanina saays		
	Manufacture of macaroni, noodles, couscous and similar farinaceous products		
	products		
	products Manufacture of prepared meals and dishes		
11	products Manufacture of prepared meals and dishes Manufacture of other food products n.e.c.		

	Manufacture of malt liquors and malt  Manufacture of soft drinks; production of mineral waters and other bottled  waters
12	Manufacture of tobacco products
13	Preparation and spinning of textile fibres Weaving of textiles Finishing of textiles Manufacture of knitted and crocheted fabrics Manufacture of made-up textile articles, except apparel Manufacture of carpets and rugs Manufacture of cordage, rope, twine and netting
	Manufacture of other textiles n.e.c.

3.5.43.77	
MANU 14	JFACTURING (CONT'D)
14	Manufacture of wearing apparel, except fur apparel  Manufacture of articles of fur
	Manufacture of articles of full  Manufacture of knitted and crocheted apparel
15	Tanning and dressing of leather; dressing and dyeing of fur
15	Manufacture of luggage, handbags and the like, saddlery and harness
	Manufacture of footwear
16	Manufacture of wood and of products of wood and cork, except furniture;
10	manufacture of articles of straw and plaiting materials
17	Manufacture of paper and paper products
18	Printing
	Service activities related to printing
	Reproduction of recorded media
19	Manufacture of coke and refined petroleum products
20	Manufacture of basic chemicals, fertilizers and nitrogen compounds, plastics
	and synthetic rubber in primary forms, Manufacture of other chemical
	products (pesticides, paints, varnishes, printing ink, soap and detergents, man-made fibres
21	Manufacture of pharmaceuticals, medicinal chemical and botanical products
22	Manufacture of rubber and plastics products
23	Manufacture of glass and glass products, Manufacture of refractory
	products
	Manufacture of clay building materials
	Manufacture of other porcelain and ceramic products
	Manufacture of cement, lime and plaster
	Manufacture of articles of concrete, cement and plaster
24	Cutting, shaping and finishing of stone  Manufacture of basic iron and steel
<b>∠4</b>	Manufacture of basic iron and steel  Manufacture of basic precious and other non-ferrous metals
	Casting of iron and steel
	Casting of non-ferrous metals
	Cashing of non-terrous inclais

25	Manufacture of fabricated metal products, metalworking service activities
26	Manufacture of electronic components and boards
20	Manufacture of computers and peripheral equipment
	Manufacture of communication equipment
	Manufacture of consumer electronics
	Manufacture of measuring, testing, navigating and control equipment
	Manufacture of watches and clocks
	Manufacture of optical instruments and photographic equipment
25	Manufacture of magnetic and optical media
27	Manufacture of electric motors, generators, transformers and electricity
	distribution and control apparatus
	Manufacture of batteries and accumulators
	Manufacture of fibre optic cables
	Manufacture of other electronic and electric wires and cables
	Manufacture of wiring devices
	Manufacture of electric lighting equipment
	Manufacture of domestic appliances
	Manufacture of other electrical equipment
28	Manufacture of engines and turbines, except aircraft, vehicle and cycle
	engines
	Manufacture of fluid power equipment
	Manufacture of other pumps, compressors, taps and valves
	Manufacture of bearings, gears, gearing and driving elements
	Manufacture of ovens, furnaces and furnace burners
	Manufacture of lifting and handling equipment
	Manufacture of office machinery and equipment (except computers and
	peripheral equipment)
	Manufacture of power-driven hand tools
	Manufacture of other general-purpose machinery
	Manufacture of agricultural and forestry machinery
	Manufacture of metal-forming machinery and machine tools
	Manufacture of machinery for metallurgy
	Manufacture of machinery for mining, quarrying and construction
	Manufacture of machinery for food, beverage and tobacco processing
	Manufacture of machinery for textile, apparel and leather production
	Manufacture of other special-purpose machinery
29	Manufacture of motor vehicles
	Manufacture of bodies (coachwork) for motor vehicles; manufacture of
	trailers and semi-trailers
	Manufacture of parts and accessories for motor vehicles
30	Building of ships and floating structures
	Building of pleasure and sporting boats
	Manufacture of air and spacecraft and related machinery
	Manufacture of military fighting vehicles
	Manufacture of motorcycles
	Manufacture of historcycles  Manufacture of bicycles and invalid carriages
	Manufacture of other transport equipment n.e.c.
31	Manufacture of furniture
31	ivianuiacture oi runniture

very
Electrical,

WHOLESALE AND RETAIL TRADE AND REPAIR OF MOTOR VEHICLES AND MOTORCYCLES	
45	Wholesale and retail trade and repair of motor vehicles and motorcycles
46	Wholesale on a fee or contract basis
	Wholesale of agricultural raw materials and live animals
	Wholesale of food, beverages and tobacco
	Wholesale of household goods
	Wholesale of machinery, equipment and supplies
	Wholesale of solid, liquid and gaseous fuels and related products
	Wholesale of metals and metal ores
	Wholesale of construction materials, hardware, plumbing and heating
	equipment and supplies
	Wholesale of waste and scrap and other products n.e.c.
47	Retail trade, except of motor vehicles and motorcycles
TRAN	SPORTATION AND STORAGE
49	Land transport and transport via pipelines
50	Water transport
51	Air transport

52	Warehousing, storage and support activities for transportation
53	Postal and courier activities
ACCO	MMODATION AND FOOD SERVICE ACTIVITIES
55	Accommodation
56	Food and beverage service activities
INFOR	MATION AND COMMUNICATION
58	Publishing activities
59	Motion picture, video and television programme production, sound recording and music publishing activities
60	Programming and broadcasting activities
	Telecommunications
61	
62	Computer programming, consultancy and related activities
63	Information service activities
	CIAL AND INSURANCE ACTIVITIES
64 65	Financial service activities, except insurance and pension funding  Insurance, reinsurance and pension funding, except compulsory social
63	security
66	Activities auxiliary to financial service and insurance activities
	- I
REAL I	ESTATE ACTIVITIES
MLAL I	STATE ACTIVITIES
68	Real estate activities with own or leased property
68	Real estate activities with own or leased property Real estate activities on a fee or contract basis
68 PROFE	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES
68 <b>PROFE</b> 69	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities
68  PROFE 69 70	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities  Activities of head offices; management consultancy activities
<b>PROFE</b> 69 70 71	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities Activities of head offices; management consultancy activities Architectural and engineering activities; technical testing and analysis
68  PROFE 69 70	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities  Activities of head offices; management consultancy activities  Architectural and engineering activities; technical testing and analysis  Scientific research and development
PROFE 69 70 71 72	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities  Activities of head offices; management consultancy activities  Architectural and engineering activities; technical testing and analysis  Scientific research and development  Advertising and market research
68  PROFE 69 70 71 72 73	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities  Activities of head offices; management consultancy activities  Architectural and engineering activities; technical testing and analysis  Scientific research and development  Advertising and market research  Other professional, scientific and technical activities
68  PROFE 69 70 71 72 73 74	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities  Activities of head offices; management consultancy activities  Architectural and engineering activities; technical testing and analysis  Scientific research and development  Advertising and market research
68  PROFE 69 70 71 72 73 74 75	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities  Activities of head offices; management consultancy activities  Architectural and engineering activities; technical testing and analysis  Scientific research and development  Advertising and market research  Other professional, scientific and technical activities
68  PROFE 69 70 71 72 73 74 75	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities  Activities of head offices; management consultancy activities  Architectural and engineering activities; technical testing and analysis  Scientific research and development  Advertising and market research  Other professional, scientific and technical activities  Veterinary activities
PROFE 69 70 71 72 73 74 75	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities  Activities of head offices; management consultancy activities  Architectural and engineering activities; technical testing and analysis  Scientific research and development  Advertising and market research  Other professional, scientific and technical activities  Veterinary activities
PROFE 69 70 71 72 73 74 75 ADMII	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities  Activities of head offices; management consultancy activities  Architectural and engineering activities; technical testing and analysis  Scientific research and development  Advertising and market research  Other professional, scientific and technical activities  Veterinary activities  NISTRATIVE AND SUPPORT SERVICE ACTIVITIES  Rental and leasing activities
68  PROFE 69 70 71 72 73 74 75  ADMIN 77 78	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities  Activities of head offices; management consultancy activities  Architectural and engineering activities; technical testing and analysis  Scientific research and development  Advertising and market research  Other professional, scientific and technical activities  Veterinary activities  NISTRATIVE AND SUPPORT SERVICE ACTIVITIES  Rental and leasing activities  Employment activities
68  PROFE 69 70 71 72 73 74 75  ADMIN 77 78 79	Real estate activities with own or leased property Real estate activities on a fee or contract basis  SSIONAL, SCIENTIFIC AND TECHNICAL ACTIVITIES  Legal and accounting activities Activities of head offices; management consultancy activities Architectural and engineering activities; technical testing and analysis Scientific research and development Advertising and market research Other professional, scientific and technical activities Veterinary activities  NISTRATIVE AND SUPPORT SERVICE ACTIVITIES Rental and leasing activities Employment activities Travel agency, tour operator, reservation service and related activities

84	Administration of the State and the economic and social policy of the
01	community
	Provision of services to the community as a whole
EDUC	ATION
85	Pre-primary and primary education
	Secondary education
	Higher education
	Other education (Sports and recreation education, Cultural education)
	Educational support activities
HUMA	N HEALTH AND SOCIAL WORK ACTIVITIES
86	Human health activities
87	Residential care activities
88	Social work activities without accommodation
	ENTERTAINMENT AND RECREATION
90	Creative, arts and entertainment activities
91	Libraries, archives, museums and other cultural activities
92	Gambling and betting activities
93	Sports activities and amusement and recreation activities
OTHE	R SERVICE ACTIVITIES
94	Activities of membership organizations
95	Repair of computers and personal and household goods
96	Other personal service activities (Washing and (dry-) cleaning of textile and fur products, Hairdressing and other beauty treatment, Funeral and related activities)
	TITIES OF HOUSEHOLDS AS EMPLOYERS; UNDIFFERENTIATED GOODS- ERVICES-PRODUCING ACTIVITIES OF HOUSEHOLDS FOR OWN USE
97	Activities of households as employers of domestic personnel
98	Undifferentiated goods- and services-producing activities of private households for own use
ACTIV	TITIES OF EXTRATERRITORIAL ORGANIZATIONS AND BODIES
99	Activities of extraterritorial organizations and bodies
00	ACTIVITIES NOT ADEQUATELY DEFINED

COMMUNITY, SOCIAL & PERSONNEL SERVICES	
91	Public administration and defence
92	Sanitary and similar services
93	Educational, commercial and driving schools Private schools
	Government schools

	Research and scientific institutes
	Medical, dental and other services
	Animal care centres
	Non-governmental organisations
	Agricultural cooperatives
	Welfare institutions
	Business professional and labour associates
	Religious organisations
	Political organisations
94	Motion picture distribution and projection
	Radio broadcasting
	Concert artists
	Libraries and museums
	Amusement and recreational services including clubs
95	Electrical repair shops
	Repairs of motor vehicles, and motor cycles
	Watch, clock repairs
	Bicycles, type writer, camera etc. repairs
	Laundries
	Barber and beauty
	Photographic studios
	Security services
	Funeral services
96	Private households with employed persons
00	ACTIVITIES NOT ADEQUATELY DEFINED

ANNEX 6: Food-Unit Combinations Covered for IHS4 Non-Standard Units

Item Name	Item Code	Unit in Photo Aid	Size	Unit Code in Module G	Item Name	Item Code	Unit in Photo Aid	Size	Unit Code in Module G
[Module G]	[Module G]				[Module G]	[Module G]			
Cereals, Grain	ns & Cereal Pi	toducts:			Vegetables:				
Maize ufa	101	PAIL	SMALL	4A	Onion	401	PIECE	SMALL	9A
mgaiwa	101	PAIL	MEDIUM	4B		401	PIECE	MEDIUM	9B
(normal	101	PAIL	LARGE	4C		401	PIECE	LARGE	9C
flour)	101	No. 10 PLATE		6		401	HEAP	SMALL	10A
	101	No. 12 PLATE		7		401	HEAP	MEDIUM	10B
	101	TINA LARGE		23F		401	HEAP	LARGE	10C
Maize ufa	102	PAIL	SMALL	4A	Cabbage	402	PIECE	SMALL	9A
refined (fine	102	PAIL	MEDIUM	4B		402	PIECE	MEDIUM	9B
flour)	102	PAIL	LARGE	4C	<u> </u>	402	PIECE	LARGE	9C
	102	No. 10 PLATE		6	Tanaposi/Rape	403	HEAP	SMALL	10A
	102	No. 12 PLATE		7		403	HEAP	MEDIUM	10B
	102	TINA LARGE		23F		403	HEAP	LARGE	10C
Maize ufa	103	PAIL	SMALL	4A	Vegetables (Continu	ed):			
madeya	103	PAIL	MEDIUM	4B	Nkhwani	404	HEAP	SMALL	10A
(bran flour)	103	PAIL	LARGE	4C		404	HEAP	MEDIUM	10B
	103	No. 10 PLATE		6		404	HEAP	LARGE	10C
	103	No.12 PLATE		7	Chinese cabbage	405	HEAP	SMALL	10A
	103	TINA LARGE		23F		405	HEAP	MEDIUM	10B
·						405	HEAP	LARGE	10C
Maize grain	104	PAIL	SMALL	4A	Other cultivated	406	HEAP	SMALL	10A
(not as ufa)	104	PAIL	MEDIUM	4B	green leafy	406	HEAP	MEDIUM	10B
	104	PAIL	LARGE	4C	vegetables	406	HEAP	LARGE	10C
	104	No. 10 PLATE		6	Gathered wild green		HEAP	SMALL	10A
	104	No. 12 PLATE		7	leaves	407	HEAP	MEDIUM	10B
		5 LITRE BUCKET							
	105	(Chigoba)		4D		407	HEAP	LARGE	10C
	105	BASIN	SMALL	4E					
Green maize	105	PIECE	SMALL	9A	Tomato	408	PIECE	SMALL	9A
	105	PIECE	MEDIUM	9B		408	PIECE	MEDIUM	9B
	105	PIECE	LARGE	9C		408	PIECE	LARGE	9C
						408	HEAP	SMALL	10A
						408	HEAP	MEDIUM	10B
						408	HEAP	LARGE	10C

[Module G]	Item Code [Module G]		Cima	Module G	Item Name	Item Code	Unit in Photo Aid	Size	Unit Code in Module G
	[Wiouute G]	Unit in Photo Aid	Size	Wiouule G	[Module G]	[Module G]	Unii in Photo Ata	3128	G
Rice	106	PAIL	SMALL	4A	,	. ,			
	106	PAIL	LARGE	4C	Cucumber	409	PIECE		9
	106	No. 10 PLATE		6		409	HEAP	SMALL	10A
	106	No. 12 PLATE		7		409	HEAP	MEDIUM	10B
		5 LITRE BUCKET							
	106	(Chigoba)		4D		409	HEAP	LARGE	10C
	106	TINA LARGE		23F					
Finger	107	No. 10 PLATE		6	Pumpkin	410	PIECE	SMALL	9A
millet	107	No. 12 PLATE		7		410	PIECE	MEDIUM	9B
(mawere)	107	BASIN	SMALL	<b>4</b> E		410	PIECE	LARGE	9C
	107	TINA LARGE		23F					
Sorghum	108	PAIL	SMALL	4A	Okra / Therere	411	HEAP	SMALL	10A
(mapira)	108	PAIL	LARGE	4C		411	HEAP	MEDIUM	10B
	108	No. 10 PLATE		6		411	HEAP	LARGE	10C
	108	No. 12 PLATE		7	Mushroom	413	HEAP		10
	108	TINA LARGE		23F	Meat, Fish, and Ani	mal Products			
	108	BASIN	SMALL	4E	, ,				
		5 LITRE BUCKET			Eggs				
	108	(Chigoba)		4D	-865	501	PIECE		9
Pearl millet	109	PAIL	SMALL	4A	Sun-Dried fish	502	PIECE	SMALL	9A
(mchewere)	109	PAIL	LARGE	4C	(Large Variety)	502	PIECE	MEDIUM	9B
,	109	BASIN	SMALL	4E		502	PIECE	LARGE	9C
	109	TINA LARGE		23F					
	111	LOAF (300G)			Sun-Dried fish	502	PIECE	SMALL	9G
<b>D</b> 1	111	LOAF (600G)		25A	(Medium Variety)	502	PIECE	MEDIUM	9H
Bread	111	LOAF (700G)		25B		502	PIECE	LARGE	9I
	111	PIECE		9		502	HEAP	SMALL	10G
Buns, scones	112	PIECE		9		502	HEAP	MEDIUM	10H
Biscuits	113	PACKET (150 GRAMS)		26B		502	HEAP	LARGE	10I
Spaghetti,	114	PACKET 250G		26C	Sun-Dried fish	502	HEAP	SMALL	10D
macaroni,	114	PACKET 400G		26D	(Small Variety)	502	HEAP	MEDIUM	10E
pasta	114	PACKET 500G		26E	<u> </u>	502	HEAP	LARGE	10F
•	114	PACKET 1KG		26F					
KGs, GRAMs	s and/or Litres	are acceptable for appropr	iate items e.g 1	106 to 114, 504 to 509, 803					

Item Name	Item Code	Unit in Photo Aid	Size	Unit Code in Module G	Item Name	Item Code	Unit in Photo Aid	Size	Unit Code in Module G
[Module G]	[Module G]				[Module G]	[Module G]			
Roots, Tuber	& Plantains:				Fresh fish	503	PIECE	SMALL	9A
Cassava	201	PAIL	SMALL	4A	(Laura Variatry)	503	PIECE	MEDIUM	9B
tubers	201	PAIL	LARGE	4C	(Large Variety)	503	PIECE	LARGE	9C
	201	PIECE	SMALL	9A	Fresh fish	503	HEAP	SMALL	10G
	201	PIECE	MEDIUM	9B	(Medium Variety)	503	HEAP	MEDIUM	10H
	201	PIECE	LARGE	9C		503	HEAP	LARGE	10I
Cassava flour	202	PAIL	SMALL	4A		503	PIECE	SMALL	9G
	202	PAIL	MEDIUM	4B		503	PIECE	MEDIUM	9H
	202	PAIL	LARGE	4C		503	PIECE	LARGE	9I
	202	No. 10 PLATE		6	Fresh fish	503	HEAP	SMALL	10A
	202	No. 12 PLATE		7	(Small Variety)	503	HEAP	MEDIUM	10B
	202	TINA LARGE		23F		503	HEAP	LARGE	10C
White sweet	203	PIECE	SMALL	9A	Beef	504	PIECE		9
potato	203	PIECE	MEDIUM	9B	Goat	505	PIECE		9
	203	PIECE	LARGE	9C	Pork	506	PIECE		9
	203	HEAP	SMALL	10A	Mutton	507	PIECE		9
	203	HEAP	MEDIUM	10B	Chicken - Whole	508A	PIECE		9
	203	HEAP	LARGE	10C	Chicken - Pieces	508B	PIECE		9
Orange sweet potato					Other poultry - guinea fowl, doves,				
	204	PIECE	SMALL	9A	etc. **	509	PIECE		9
					Small animal -				
	204	PIECE	MEDIUM	9B	rabbit, mice, etc. **	510	PIECE		9
	204	PIECE	LARGE	9C	Termites, other	511	No. 10 PLATE		6
	204	HEAP	SMALL	10A	insects (eg Ngumbi,	511	No. 12 PLATE		7
	204	HEAP	MEDIUM	10B	caterpillar) **	511	TINA LARGE		23F
	204	HEAP	LARGE	10C		511	HEAP		10
Irish potato	205	PAIL	SMALL	4A	Smoked fish	502	PIECE	SMALL	9A
	205	PAIL	MEDIUM	4B	(Large Variety)	502	PIECE	MEDIUM	9B
	205	PAIL	LARGE	4C		502	PIECE	LARGE	9C
	205	HEAP	SMALL	10A	Smoked fish	502	PIECE	SMALL	9G
	205	HEAP	MEDIUM	10B	(Medium Variety)	502	PIECE	MEDIUM	9H
	205	HEAP	LARGE	10C		502	PIECE	LARGE	9I
		<b>5 LITRE BUCKET</b>							
	205	(Chigoba)		4D		502	HEAP	SMALL	10G

Item Name				Unit Code in	Item Name				Unit Code in Module
	Item Code	Unit in Photo Aid	Size	Module G		Item Code	Unit in Photo Aid	Size	G
[Module G] Potato	[Module G] 206	PACKET	25G	26A	[Module G]	[Module G] 502	HEAP	MEDIUM	10H
crisps	206	SATCHET/TUBE		26A 27A	C1 - 1 C-1	502	HEAP	LARGE	10H 10H
crisps		•	25g		Smoked fish	302	ПЕАР	LAKGE	101
	206	SATCHET/TUBE	50g	27B	(Medium Variety)				
71	206	SATCHET/TUBE	100g	27C	0 1 10 1	F.0.0	TIELD	C) ( ) ( )	100
Plantain,	207	BUNCH	SMALL	8A	Smoked fish	502	HEAP	SMALL	10D
cooking	207	BUNCH	MEDIUM	8B	(Small Variety)	502	HEAP	MEDIUM	10E
banana	207	BUNCH	LARGE	8C		502	HEAP	LARGE	10F
	207	PIECE		9					
	207	CLUSTER	SMALL	8D					
	207	CLUSTER	MEDIUM	8E					
	207	CLUSTER	LARGE	8F	Fruits:				
Cocoyam	208	PIECE		9	Mango	601	PAIL SMALL		4
(masimbi)	208	HEAP		10		601	PAIL LARGE		5
Nuts & Pulse	es:					601	PIECE	SMALL	9A
Bean, white	301	PAIL	SMALL	4A		601	PIECE	MEDIUM	9B
	301	No. 10 PLATE	FLAT	6A		601	PIECE	LARGE	9C
	301	No. 10 PLATE	HEAPED	6B		601	HEAP		10
	301	No. 12 PLATE	FLAT	7A	Banana	602	CLUSTER	SMALL	28A
	301	No. 12 PLATE	HEAPED	7B		602	CLUSTER	MEDIUM	28B
	301	TINA LARGE	FLAT	23C		602	CLUSTER	LARGE	28C
	301	TINA LARGE	HEAPED	23D		602	PIECE	SMALL	9A
	301	BASIN	SMALL	4E		602	PIECE	MEDIUM	9B
	301	HEAP	SIVIIIE	10		602	PIECE	LARGE	9C
Bean, brown	301	111.711		10	Citrus – naartje,	002	TILCE	Littol	<u>, , , , , , , , , , , , , , , , , , , </u>
Dearly Drown	302	PAIL SMALL		4A	orange, etc. **	603	PIECE		9
	302	No. 10 PLATE	FLAT	6A	Pineapple	604	PIECE		9
	302	No. 10 PLATE	HEAPED	6B	Papaya	605	PIECE		9
	302	No. 12 PLATE	FLAT	7A	Guava	606	PIECE	SMALL	9A
	302	No. 12 PLATE	HEAPED	7B	Guava	606	PIECE	MEDIUM	9B
	302	TINA LARGE	FLAT	23C		606	PIECE	LARGE	9C
			FLAT HEAPED	23D		000	TIECE	LANGE	90
	302	TINA LARGE							
	302	BASIN	SMALL	4E	4 1		DIECE		^
	302	HEAP		10	Avocado	607	PIECE		9

Item Name	Item Code	Unit in Photo Aid	Size	Unit Code in Module G	Item Name	Item Code	Unit in Photo Aid	Size	Unit Code in Module G
[Module G]	[Module G]	Citti in 1 noto 1 tu	0120	Wiounic G	[Module G]	[Module G]	ann in Thom Tha	0120	Wionnie G
Pigeonpea	303	PAIL SMALL		4A	Wild fruit (masau,	608	No. 10 PLATE		6
(nandolo)	303	No. 10 PLATE	FLAT	6A	malambe, etc.)**	608	No. 12 PLATE		7
	303	No. 10 PLATE	HEAPED	6B		608	TINA LARGE		23F
	303	No. 12 PLATE	FLAT	7A		608	PIECE		9
	303	No. 12 PLATE	HEAPED	7B		608	HEAP		10
	303	TINA LARGE	FLAT	23C	Apple	609	PIECE		9
	303	TINA LARGE	HEAPED	23D	Milk and Milk Produ	ucts			
	303	BASIN	SMALL	4E	Powdered milk	702	SATCHET/TUBE		22
	303	HEAP		10		702	TABLE SPOON		20B
Groundnut	304A	PAIL SMALL		4A	Margarine - Blue	703	PIECE		9
(Shelled)	304A	No. 10 PLATE	FLAT	6A	band	703	SATCHET/TUBE		22
					Chambiko - soured				
	304A	No. 10 PLATE	HEAPED	6B	milk	705	SATCHET/TUBE		22
	304A	No. 12 PLATE	FLAT	7A	Yoghurt	706	PACKET		26
	304A	No. 12 PLATE	HEAPED	7B					
	304A	TINA LARGE	FLAT	23C	Cheese	707	PIECE		9
	304A	HEAP		10	Sugar, Fats & Oil:				
Groundnut -	304B	PAIL SMALL		4A	Sugar	801	No. 10 PLATE		6
Dried	304B	No. 10 PLATE	HEAPED	6B		801	PACKET		26
(UnShelled)	304B	No. 12 PLATE	HEAPED	7B		801	TEASPOON		20
	304B	TINA LARGE	HEAPED	23D		801	SATCHET/TUBE		22
	304B	BASIN -SMALL		4E	Sugar Cane	802	PIECE		9
	304B	BASIN - MEDIUM		4F	Cooking Oil	803	SATCHET/TUBE	SMALL	22A
	304B	HEAP		10		803	•	MEDIUM	22B
						803	SATCHET/TUBE	LARGE	22C
					Spices & Miscellane				
Groundnut -	304C	PAIL SMALL		4A	Salt	810		FLAT	6A
Fresh	304C	PAIL LARGE		4C		810		HEAPED	6B
(UnShelled)	304C	No. 10 PLATE	HEAPED	6B		810	No. 12 PLATE		7
	304C	No. 12 PLATE	HEAPED	7B		810	TINA LARGE		23F
	304C	TINA LARGE	HEAPED	23D		810	HEAP		10
	304C	HEAP		10		810	TABLESPOON		20B
	304C	BASIN -SMALL		4E	Spices	811	TEASPOON		20A
	304C	BASIN - MEDIUM		4F	Yeast, baking powder, bicarbonate of soda	812	TEASPOON		20A

Item Name	Item Code	Unit in Photo Aid	Size	Unit Code in Module G	Item Name	Item Code	Unit in Photo Aid	Size	Unit Code in Module G
[Module G]	[Module G]				[Module G]	[Module G]			
Groundnut	305	No. 10 PLATE	FLAT	6A	Cooked Foods from V	Vendors:			
flour				470	Maize - boiled or				
	305	No. 10 PLATE	HEAPED	6B	roasted (vendor)	820	PIECE		9
	305	No. 12 PLATE	FLAT	7A	Chips (vendor)	821	No. 10 PLATE		6
	305	No. 12 PLATE	HEAPED	7B		821	No. 12 PLATE		7
	205	TINIA CNALL	TIT A TE	22.4	Cassava - boiled	000	DIECE		0
	305	TINA SMALL	FLAT	23A	(vendor)	822	PIECE		9
	205	TINIA CNALL	THE A DED	227	Cassava - Roasted		DIECE		
	305	TINA SMALL	HEAPED	23B	(vendor)		PIECE		9
	205	TINIA I ADOE	TT AT	22.6	Eggs - boiled	000	DIEGE		0
	305	TINA LARGE	FLAT	23C	(vendor)	823	PIECE		9
0 1	305	TINA LARGE	HEAPED	23D	Chicken (vendor)	824	PIECE		9
Soybean flour	306	PAIL SMALL		4A	Meat (vendor)	825	PIECE		9
nour	306	No. 10 PLATE		6	Fish (vendor)	826	PIECE		9
	206	N. 10 DI ATE		<b>-</b>	Mandazi, doughnut	0.27	DIEGE		0
	306	No. 12 PLATE	TIT A TE	7	(vendor)	827	PIECE		9
	306	TINA LARGE	FLAT	23C	Samosa (vendor)	828	PIECE		9
	206	TINIA I ADOE	LIEADED	2010	Boiled sweet	020	DIEGE		0
	306	TINA LARGE	HEAPED	23D	potatoes	829	PIECE		9
	306	BASIN	LARGE	4G	Roasted sweet	830	PIECE		9
Ground	307	No. 10 PLATE	FLAT	6A	potatoes Boiled groundnuts	831	No. 10 PLATE		6
bean	307	No. 10 PLATE	HEAPED	6B	bonea grounanuts	831	No. 10 PLATE No. 12 PLATE		7
(nzama)	307	No. 10 PLATE	FLAT			831	TINA SMALL		23E
(IIZailia)			HEAPED	7A					
	307	No. 12 PLATE		7B		831	TINA LARGE		23F
C	307	TINA LARGE	HEAPED	23D	Description of the state	922	TARIFCROON		20P
Cowpea	308	No. 12 PLATE	FLAT	7A	Roasted groundnuts	832	TABLESPOON		20B
(khobwe)	308	No. 12 PLATE	HEAPED	7B		832	TEASPOON		20A
	308	TINA LARGE	FLAT	23C	D	622	DACKET		24
	308	TINA LARGE	HEAPED	23D	Popcorn	833	PACKET		26
	308	BASIN	SMALL	<b>4</b> E					
	200	TIEAD		10	Zikondamoyo /	624	DIECE		
	308	HEAP		10	Nkate	834	PIECE		9
Macademia	309	PACKET SMALL		26G	KALONGONDA	835	No. 10 PLATE		6
nuts	309	PACKET LARGE		26I	(Mucuna)	835	No. 12 PLATE		7

## **ANNEX 7: NATIONAL CALENDAR OF EVENTS**

<u>Age</u>	<u>Year</u>	<u>Event</u>
110	1900	Northeastern Rhodesia separated from Nyasaland.
		Death of Queen Victoria.
		1st Montfort Marist Mission opened - Limbe.
107	1903	Kings African Rifles defeated in Somaliland.
		Lake Nyasa and Shire River very low.
		Beginning of Limbe Township.
106	1904	Start of recruitment of Protectorate people by the Witwatersrand Native Labour Association (Wenela) to work in the mines.
		Temporary railway built to assist the transportation of goods between Port Herald (Nsanje) and Chiromo.
		Alomwe immigration from Portuguese East Africa to Malawi increased.
105	1905	Dysentery caused death of many people throughout the country.
103	1907	First Legislative Council; First Governor; Name of protectorate changed from British Central Africa to Nyasaland.
		Completion of railway bridge at Chiromo
102	1908	First motor vehicle arrives in Nyasaland.
		1st Battalion of Kings African Riffles returns from East Africa.
		Railway from Port Herald (Nsanje) to Blantyre opened. First train arrives at Blantyre.
101	1909	Industrial school for the Blind established under the Church of England in Nkhotakota.
100	1910	Post Office Savings Bank introduced.
		Central Angoniland divided into Lilongwe and Dedza districts.
99	1911	Country divided into 14 districts.
		First population census taken.
98	1912	Railway built between Port Herald (Nsanje) and the Zambezi river.
97	1913	George Smith, K.C.M.G., appointed Governor of Nyasaland.
		Marimba district was divided into Nkhotakota division and Ngara sub-division.
		Lilongwe district divided into Dowa, Fort Manning (Mchinji), and Lilongwe districts.
		Blantyre district divided into: Blantyre division and Chiradzulu sub-division.
96	1914	Outbreak of the First World War.
		Battle of Karonga.
		Disablement of German boat "Herman Von Wissmann".
95	1915	Chilembwe up-rising in Chiradzulu.
		Railway extended from Port Herald (Nsanje) to Chindio, Moçambique.
92	1918	End of the First World War.
88	1922	Railway opened from Beira to Chindio.
86	1924	Famine in Mzimba area, relief measures taken.
		Bridges built over Linthipe, Lingadzi, Lumbadzi and Diamphwe rivers in Lilongwe and Dedza districts.
84	1926	First flying boat lands on Lake Nyasa.
83	1927	Blantyre - Salisbury (Harare) Road via Tete commenced.
82	1928	Lilongwe bridge erected.

<u>Age</u>	<u>Year</u>	<u>Event</u>
82	1928	Blantyre- Salisbury (Harare) Road via Tete opened.
81	1929	Labour census taken for the first time in Nyasaland (Malawi).
		Jeans Training Centre opened in Domasi with 23 teachers.
80	1930	Construction of the Blantyre-Balaka railway.
79	1931	Population census taken in the country.
		Zomba Mental Hospital opened.
		New hydroelectric power station commenced on the middle section of the Shire River.
78	1932	Locusts damaged crops throughout Nyasaland.
		Mchape (witchcraft cleansing) begins in Mulanje and spreads widely.
		Lilongwe to Salima tarmac road constructed.
77	1933	First silver coins from Southern Rhodesia.
		Establishment of the Native Authority (Traditional Courts).
		Chileka aerodrome opened.
74	1936	Liwonde pontoon ferry services installed pending bridge construction.
73	1937	Midima Hill- Mlomba - Phalombe road completed.
71	1939	Outbreak of the Second World War.
		Lakeshore - spread of leprosy.
		Fort Manning (Mchinji) - opening of sub-boma.
65	1945	End of Second World War.
64	1946	Sinking of the "Viphya" passenger boat off of Chilumba/Livingstonia.
		Nyasaland Transport Company (NTC) formed to run buses.
		Cyclone and floods in Zomba township (Napolo).
		Chiromo bridge destroyed.
61	1949	Drought and famine at the end of the year, especially in the Southern Region.
		First African members of the Legislative Council appointed.
		Launching of "Ilala" at Monkey Bay.
58	1952	African National Congress active in its campaign against Federation
57	1953	Beginning of the Federation of Rhodesia and Nyasaland.
		Coronation of Queen Elizabeth II.
		Chief Gomani deposed due to disobeying the Federal Rules.
	40==	Anti-federation disturbances.
55 	1955	Transferring of the Northern region headquarters from Mzimba to Mzuzu.
54	1956	Sir Robert Armitage becomes Governor.
		Colby School of Agriculture and Veterinary Science opened at Chitedze, near Lilongwe.
53		Queen mother's visit to Nyasaland.
52	1958	Return of Dr. H. Kamuzu Banda to Nyasaland (Malawi).
		New airport started at Lilongwe.
51	1959	State of Emergency declared.
		Release of Dr. Banda from Gweru prison.
49	1961	First general elections.

<u>Age</u>	<u>Year</u>	Event
47	1963	Self-government granted.
		Federation of Rhodesia and Nyasaland dissolved.
46	1964	Malawi becomes a sovereign independent state - Independence from Britain.
45	1965	University of Malawi opened.
		Sinking of Liwonde ferry.
44	1966	Malawi becomes a Republic.
		Malawi population census held.
		Bunda Collage of Agriculture opened.
40	1970	Rail link to the Mozambique port of Nacala opened by Dr. Banda.
39	1971	New currency – Kwacha and Tambala –introduced to replace pounds and shillings.
38	1972	Construction of lakeshore road.
		Ministry of Works and Supplies headquarters moved from Zomba to Lilongwe.
		Plane crash in Botswana kills more than 70 Malawian miners.
37	1973	First outbreak of cholera in Malawi.
		Chilumba Jetty built and opened by Dr. Banda.
36	1974	Construction of Malawi - Canada rail line from Salima to Mchinji starts from Salima.
35	1975	Capital of Malawi moved from Zomba to Lilongwe.
		Lilongwe town declared a city.
34	1976	Dr. Banda opened bailey bridge over the Shire river at Mangochi.
33	1977	Malawi population census held.
		Construction of Chiweta to Kacheche road, northern lakeshore.
		Tarmac of Lilongwe to Kasungu road.
		Kamuzu Central Hospital started operating.
32	1978	Tarmac reached Mchinji boma.
		Lilongwe -Mchinji railway line construction work reaches Mchinji.
31	1979	Queen Elizabeth's visit to Malawi.
		Railway line from Salima to Lilongwe officially opened by Dr. Banda.
		Dwangwa Sugar Corporation opened, Nkhotakota district.
29		Kamuzu Academy opened at Mtunthama, Kasungu.
28	1982	Ethanol plant came into operation.
	4000	Road from Dwangwa to Nkhotakota completed.
27	1983	Dr. Banda opened Kamuzu International Airport in Lilongwe.
2.6	4004	Sir Glyn and Lady Jones make a private visit to Malawi.
26		President Samora Machel of Moçambique visits Malawi.
25	1985	Silos built at Ipyana in Karonga.
		Electricity reached Nkhata Bay.
24	1006	Mzuzu Municipality declared a city.
24	1986	Prime Minister of Zimbabwe, Robert Mugabe, visits Malawi.
23	1987	Visit of Prince Charles.
21	1000	Malawi Population and Housing Census held.
21	1989	Bus-train accident at Chilimba in Blantyre kills 13 people.  Dr. Banda and and taughters tough in their respective regions.
		Dr. Banda ordered teachers teach in their respective regions.

<u>Age</u>	<u>Year</u>	<u>Event</u>
20	1990	MV Mtendere motorboat capsizes, killing 5 people at Kaporo.
		Iraqi forces invade Kuwait.
19	1991	Flash floods at Phalombe.
18	1992	Pastoral letter was released, marking the beginning of multi-party struggle in Malawi.
17	1993	National referendum, which resulted in the adoption of multi-party politics.
16	1994	Multi-party general election, which ushered the United Democratic Front into government, led by President Bakili Muluzi.
15	1995	Mchape at Liwonde in Machinga.
13	1997	Death of Dr. Banda, the first president of the Republic of Malawi.
11	1999	Second election of President Muluzi.
9	2001	September 11th attacks on the United States of America.
6	2004	Multi-party general election, which ushered the Democratic Progressive Party into government, led by President Bingu wa Mutharika.
2	2008	Malawi Population and Housing Census held.
1	2009	Second election of President Bingu wa Mutharika.