

CS101 Algorithms and Data Structures
Fall 2022
Homework 8

Due date: 23:59, November 20th, 2022

1. Please write your solutions in English.
2. Submit your solutions to gradescope.com.
3. Set your FULL name to your Chinese name and your STUDENT ID correctly in Account Settings.
4. If you want to submit a handwritten version, scan it clearly. **CamScanner** is recommended.
5. When submitting, match your solutions to the problems correctly.
6. No late submission will be accepted.
7. Violations to any of the above may result in zero points.

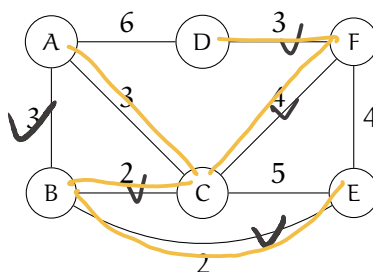
1. (12 points) Multiple Choices

Each question has **one or more** correct answer(s). Select all the correct answer(s). For each question, you will get 0 points if you select one or more wrong answers, but you will get 1 point if you select a non-empty subset of the correct answers.

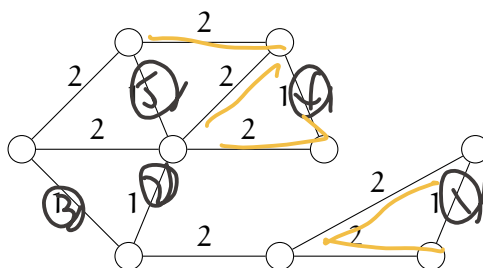
Write your answers in the following table.

(a)	(b)	(c)	(d)
ABD	C	C	AB

- (a) (3') Suppose we use the Prim's algorithm to find the minimum spanning tree of the following graph. Choose all possible sequences of edges added to the minimum spanning tree.



- A. {A, C}, {C, B}, {B, E}, {C, F}, {F, D}
- B. {A, B}, {B, C}, {B, E}, {C, F}, {F, D}
- C. {A, C}, {C, B}, {C, F}, {B, E}, {F, D}
- D. {A, B}, {B, E}, {B, C}, {E, F}, {F, D}
- (b) (3') Which of the following statements is/are true?
- A. The time complexity of the Prim's algorithm with a Fibonacci heap is always asymptotically better than that with a binary heap.
- B. The time complexity of the Prim's algorithm using adjacency list and binary heap is always better than that using adjacency matrix without a priority queue.
- C. The minimum spanning tree of a graph is unique if all the edges have distinct weights.
- D. The time complexity of the Kruskal's algorithm is $O(|E| \alpha(|V|))$ if we use the disjoint-sets with union-by-rank optimization and path-compression optimization.
- E. If T is a minimum spanning tree obtained by performing the Prim's algorithm starting with vertex v , then for any vertex u the path on the tree T connecting u and v is the shortest path from u to v in the graph.
- (c) (3') How many different minimum spanning trees does the following graph have?



- A. 4 B. 5 C. 6 D. 7

(d) (3') Suppose $G = (V, E)$ is an undirected connected graph and that T is a minimum spanning tree of G . Define $w(e)$ to be the weight of e for $e \in E$. Which of the following statements is/are true?

A. If $C \subseteq E$ is a cycle in G and $e \in C$ is an edge on the cycle such that

$$\forall f \in C \setminus \{e\}, w(e) > w(f),$$

then e does not belong to T .

B. Let $V = X \cup Y$ be a partition of V such that $X \cap Y = \emptyset$. Define

$$C(X, Y) = \{\{u, v\} \in E \mid u \in X, v \in Y\}.$$

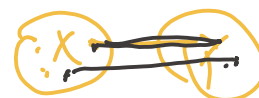
If $e \in C(X, Y)$ is an edge such that

$$\forall f \in C(X, Y) \setminus \{e\}, w(e) < w(f),$$

then e must belong to T .

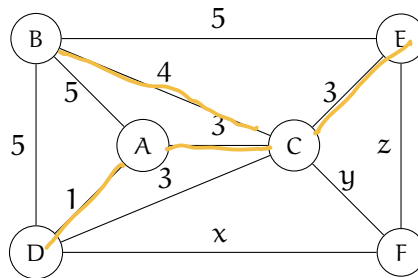
C. Suppose $T' \neq T$ is another minimum spanning tree of G . Let $w_0 \in \{w(e) \mid e \in T\}$ be the weight of some edge in T . Let m be the number of edges weighted w_0 in T . Then T' may contain less than m edges weighted w_0 .

D. If $e \in E$ is an edge that has the largest weight among all edges in E , then e cannot belong to T .



2. (8 points) Minimum Spanning Tree

Consider the following weighted undirected graph.

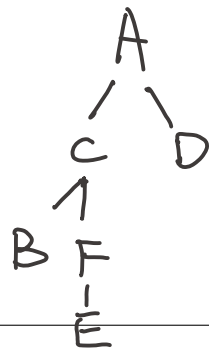


- (a) (3') Suppose $(x, y, z) = (4, 1, 2)$ and that we use the Kruskal's algorithm to find a minimum spanning tree of the graph. To make your answer unique and clear, please follow the rules below.

- Use (u, v) to represent an undirected edge $\{u, v\}$, where $u < v$.
- Edges with same weight are sorted in alphabetical order. If two edges $e_1 = (u, v)$ and $e_2 = (w, t)$ have the same weight, e_1 appears before e_2 in the edge list if $(u < w) \vee ((u = w) \wedge (v < t))$.

Write down the sequence of edges added into the minimum spanning tree, and draw the tree.

Solution: $(A, D), (C, F), (E, F), (A, C), (B, C)$



- (b) (3') If $(x, z) = (2, 3)$, for what values of y is the edge $\{C, F\}$ guaranteed to be contained in a minimum spanning tree? Give a sufficient and necessary condition and briefly justify your answer.

Solution: $(C, F) < 3$, namely $(C, F) = 1$ or 2 .

- ① if $(C, F) = 1$ or 2 , then $(A, D), (C, F)$ here don't have a cycle
- ② if (C, F) is in a minimum spanning tree, then (C, F) must be added earlier than (A, C) and (C, D) . then (C, F) must be smaller than (A, C) and (C, D) . (C, F) cannot be equal to (A, C) because it's sorted in alphabetical order, so $(C, F) = 1$ or 2 .

- (c) (2') If $5 \notin \{x, y, z\}$, is it possible for an edge weighted 5 to appear in a minimum spanning tree? Briefly justify your answer.

Solution: No. In a circle, 5 cannot be the only biggest number, so there is only one possible "ABD". Considering the best case. x, y, z are all bigger than 5, then we only need to think about how to connect F with the other vertices and choose the smallest number in x, y, z . Then in graph without F , we use Kruskal's algorithm. We can find that $(A, P), (A, I), (C, E), (B, C)$ is already added. If we want to add 5, there will be a cycle. So, it's not possible.

3. (9 points) Algebraic Geometry

Liu Big God, who loves pure math, has bought n books on algebraic geometry, the i -th of which has price a_i , $i = 1, \dots, n$. He will give his students some books to arouse their interest in pure math. For each student, Liu Big God is going to give him/her one or two books with total price not exceeding P .

Liu Big God is not going to keep any of these books, because he has read all of them. He wants to send all these books to students. What is the minimum number of students that can receive books?

It is guaranteed that $0 \leq a_i \leq P$ for every $i = 1, \dots, n$. You should come up with a greedy algorithm with time complexity $O(n \log n)$.

- (a) (3') Description of your algorithm in **pseudocode** or **natural language**.
- (b) (4') Proof of correctness of your algorithm.
- (c) (2') Time complexity.

Solution:

a). sort the array by merge sort, let the a_i be the first element, a_j be the last element. If $a_i + a_j > P$, let a_j be a set, $j--$; If $a_i + a_j \leq P$, let a_i and a_j be a set, $i--$, $j--$; and so on, repeat until all the elements are taken off.

b). If there is an optimal solution S' , but the grouping situation isn't the same with the above S

1° If $a_i + a_j > P$, a_j cannot group with any element bigger than a_i so a_j is a set itself.

2° If $a_i + a_j \leq P$ ① a_i is a group and a_j is a group, it's not optimal because $a_i + a_j$ can be a group, contradiction. ② if a_i and a_k ($i < k < j$) is a group, and a_j is a group, then it's equal to S ③ if a_j and a_k is a group, a_i is a group, then it's equal to S ④ if a_j and a_k , a_i and a_m is a group, then it's equal to S .

c). Merge sort: $\Theta(n \log n)$ \therefore time complexity $\Theta(n \log n)$.
grouping: best: $\Theta(\frac{n}{2}) = \Theta(n)$.
worst: $\Theta(n)$

4. (9 points)

Given a set of $n \geq 3$ distinct positive numbers $S = \{s_1, s_2, \dots, s_n\}$, we want to find a permutation $A = \langle A_1, \dots, A_n \rangle$ of S , where $A_i \in S$ for all $i \in \{1, \dots, n\}$, such that

$$f(A) = A_1^2 + \sum_{i=2}^n (A_i - A_{i-1})^2$$

is maximized.

- (a) (3') Describe your algorithm that finds the permutation A for which $f(A)$ is maximized. Use **pseudocode** or **natural language**.
- (b) (4') Prove the correctness of your algorithm by showing that your choice on the value of A_1 is optimal, i.e. any other choice would not lead to a better solution.
- (c) (2') Time complexity. Your algorithm should be $O(n \log n)$.

Solution: a) sort the array. by merge sort.

① If the elements number of array is odd, the first $\frac{n-1}{2}$ elements are a group, the next $\frac{n+1}{2}$ elements are a group.

the biggest A_1 the lowest A_2 the second biggest A_3 the second lowest A_4 ...
 the $\frac{n-1}{2}$ lowest A_{n-1} the $\frac{n+1}{2}$ biggest A_n .

② if the elements number of array is even, the first $\frac{n}{2}$ elements are a group, the next $\frac{n}{2}$ elements are a group.

the biggest A_1 the lowest A_2 ... the $\frac{n}{2}$ biggest A_{n-1} the $\frac{n}{2}$ lowest A_n

b). If there is an optimal solution S' but the grouping situation is not the same with the above S .

1° change the position of adjacent elements:

$b_1, m_1, b_2, m_2, \dots, b_{k-1}, m_{k-1}, b_k, m_k, b_{k+1}, m_{k+1}, \dots$

it only affect adjacent difference and let $(b_{k-1} - m_{k-1})^2 + (b_k - m_k)^2$ to become $(b_{k-1} - b_k)^2 + (m_{k-1} - m_k)^2$ which is lower than S , contradiction.

2° change the position of elements which has a element between them: e.g. $b_1, m_1, b_2, m_2, \dots, b_{k-1}, m_{k-1}, b_k, m_k, b_{k+1}, m_{k+1}$

$$S: (b_{k-1} - m_{k-1})^2 + (m_{k-1} - b_k)^2 + (b_k - m_k)^2 + (m_k - b_{k+1})^2$$

$$S': (b_{k-1} - m_k)^2 + (m_k - b_k)^2 + (b_k - m_{k-1})^2 + (m_{k-1} - b_{k+1})^2$$

however, b_{k+1} is closer to m_k than m_{k-1} and b_{k+1} is closer to m_{k-1} than m_k

$$\therefore (b_{k-1} - m_k)^2 + (m_{k-1} - b_{k+1})^2 < (b_{k-1} - m_{k-1})^2 + (m_k - b_{k+1})^2$$

contradiction

3rd and 4th on. whether change adjacent or with one or more element there can not be an optimal solution.

(c). merge sort $\Theta(n \log n)$. \rightarrow time complexity: $\Theta(n \log n)$
group: $\Theta(n)$.

5. (1 points) Discovery

- (a) (1') Let $G = (V, E)$ be an unweighted undirected graph where $V = \{v_1, \dots, v_n\}$ and $E = \{e_1, \dots, e_m\}$. For simplicity we assume there are no multiple edges (i.e. two or more edges incident to the same two vertices). Let $D \in \mathbb{R}^{n \times n}$ be the *degree matrix* whose (i, j) -th entry is

$$d_{ij} = \begin{cases} \deg(v_i), & \text{if } i = j, \\ 0, & \text{if } i \neq j. \end{cases}$$

Let $A \in \mathbb{R}^{n \times n}$ be the *adjacency matrix* of G , whose (i, j) -th entry is

$$a_{ij} = \begin{cases} 1, & \text{if } \{v_i, v_j\} \in E, \\ 0, & \text{if } \{v_i, v_j\} \notin E. \end{cases}$$

Note that $\deg(v_i) = \sum_{j=1}^n a_{ij}$. The matrix $L = D - A$ is the *Laplacian matrix*. Prove that L is positive semidefinite. (Hint: Try to show that $x^T L x \geq 0$ holds for every $x \in \mathbb{R}^n$.)

Solution:

$$L_{ij} = \begin{cases} \deg(v_i) & \text{if } i=j \\ -1 & \text{if } i \neq j \text{ and } v_i \text{ is adjacent to } v_j \\ 0 & \text{otherwise.} \end{cases}$$

$$M = \begin{cases} 1 & \text{if } v=i \\ -1 & \text{if } v=j \\ 0 & \text{otherwise.} \end{cases}$$

$$L = M^T M.$$

$$\lambda_i = x^T L x$$

$$= x^T M^T M x$$

$$= (Mx)^T (Mx) \geq 0 \quad \#.$$

- (b) (0') STFW (Search The Friendly Web) about how the Laplacian matrix is related to the number of spanning trees of a graph.

Solution:

