hw1_coding

October 26, 2023

```
[1]: !pip install numpy !pip install six
```

```
Looking in indexes: https://pypi.tuna.tsinghua.edu.cn/simple
Requirement already satisfied: numpy in d:\anaconda\lib\site-packages (1.24.1)
Looking in indexes: https://pypi.tuna.tsinghua.edu.cn/simple
Requirement already satisfied: six in d:\anaconda\lib\site-packages (1.15.0)
```

1 k-Nearest Neighbor (kNN) exercise

Complete and hand in this completed worksheet (including its outputs and any supporting code outside of the worksheet) with your assignment submission. For more details see the assignments page on the course website.

The kNN classifier consists of two stages:

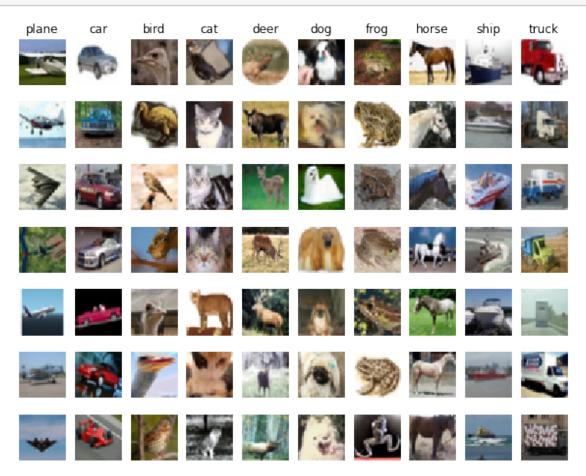
- During training, the classifier takes the training data and simply remembers it
- During testing, kNN classifies every test image by comparing to all training images and transfering the labels of the k most similar training examples
- The value of k is cross-validated

In this exercise you will implement these steps and understand the basic Image Classification pipeline, cross-validation, and gain proficiency in writing efficient, vectorized code.

```
# Some more magic so that the notebook will reload external python modules;
     # see http://stackoverflow.com/questions/1907993/
     \rightarrow autoreload-of-modules-in-ipython
     %load ext autoreload
     %autoreload 2
[3]: # Load the raw CIFAR-10 data.
     cifar10_dir = 'datasets/cifar-10-batches-py'
     # Cleaning up variables to prevent loading data multiple times (which may cause_
     →memory issue)
     try:
       del X_train, y_train
        del X_test, y_test
       print('Clear previously loaded data.')
     except:
        pass
     X_train, y_train, X_test, y_test = load_CIFAR10(cifar10_dir)
     # As a sanity check, we print out the size of the training and test data.
     print('Training data shape: ', X_train.shape)
     print('Training labels shape: ', y_train.shape)
     print('Test data shape: ', X_test.shape)
     print('Test labels shape: ', y_test.shape)
    Training data shape: (50000, 32, 32, 3)
    Training labels shape: (50000,)
    Test data shape: (10000, 32, 32, 3)
    Test labels shape: (10000,)
[4]: # Visualize some examples from the dataset.
     # We show a few examples of training images from each class.
     classes = ['plane', 'car', 'bird', 'cat', 'deer', 'dog', 'frog', 'horse', _
     ⇔'ship', 'truck']
     num_classes = len(classes)
     samples_per_class = 7
     for y, cls in enumerate(classes):
         idxs = np.flatnonzero(y train == y)
         idxs = np.random.choice(idxs, samples_per_class, replace=False)
         for i, idx in enumerate(idxs):
             plt_idx = i * num_classes + y + 1
             plt.subplot(samples_per_class, num_classes, plt_idx)
             plt.imshow(X_train[idx].astype('uint8'))
             plt.axis('off')
             if i == 0:
```

plt.title(cls)

plt.show()



```
[5]: # Subsample the data for more efficient code execution in this exercise
   num_training = 5000
   mask = list(range(num_training))
   X_train = X_train[mask]
   y_train = y_train[mask]

   num_test = 500
   mask = list(range(num_test))
   X_test = X_test[mask]
   y_test = y_test[mask]

# Reshape the image data into rows
   X_train = np.reshape(X_train, (X_train.shape[0], -1))
   X_test = np.reshape(X_test, (X_test.shape[0], -1))
   print(X_train.shape, X_test.shape)
```

(5000, 3072) (500, 3072)

```
[6]: from k_nearest_neighbor import KNearestNeighbor

# Create a kNN classifier instance.
# Remember that training a kNN classifier is a noop:
# the Classifier simply remembers the data and does no further processing classifier = KNearestNeighbor()
classifier.train(X_train, y_train)
```

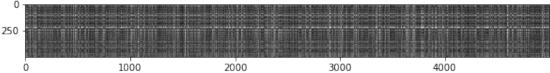
We would now like to classify the test data with the kNN classifier. Recall that we can break down this process into two steps:

- 1. First we must compute the distances between all test examples and all train examples.
- 2. Given these distances, for each test example we find the k nearest examples and have them vote for the label

Lets begin with computing the distance matrix between all training and test examples. For example, if there are **Ntr** training examples and **Nte** test examples, this stage should result in a **Nte** x **Ntr** matrix where each element (i,j) is the distance between the i-th test and j-th train example.

Note: For the three distance computations that we require you to implement in this notebook, you may not use the np.linalg.norm() function that numpy provides.

First, open k_nearest_neighbor.py and implement the function compute_distances_two_loops that uses a (very inefficient) double loop over all pairs of (test, train) examples and computes the distance matrix one element at a time.



Inline Question 1

Notice the structured patterns in the distance matrix, where some rows or columns are visibly brighter. (Note that with the default color scheme black indicates low distances while white indicates high distances.)

- What in the data is the cause behind the distinctly bright rows?
- What causes the columns?

YourAnswer: fill this in.

If the row is distinctly bright, this test point may be distinctly different from other test points.

If the column is distinctly bright, this train point may be distinctly different from other train points.

```
[9]: # Now implement the function predict_labels and run the code below:
    # We use k = 1 (which is Nearest Neighbor).
    y_test_pred = classifier.predict_labels(dists, k=1)

# Compute and print the fraction of correctly predicted examples
    num_correct = np.sum(y_test_pred == y_test)
    accuracy = float(num_correct) / num_test
    print('Got %d / %d correct => accuracy: %f' % (num_correct, num_test, accuracy))
```

Got 137 / 500 correct => accuracy: 0.274000

You should expect to see approximately 27% accuracy. Now lets try out a larger k, say k = 5:

```
[10]: y_test_pred = classifier.predict_labels(dists, k=5)
num_correct = np.sum(y_test_pred == y_test)
accuracy = float(num_correct) / num_test
print('Got %d / %d correct => accuracy: %f' % (num_correct, num_test, accuracy))
```

Got 139 / 500 correct => accuracy: 0.278000

You should expect to see a slightly better performance than with k = 1.

```
# Now lets speed up distance matrix computation by using partial vectorization

# with one loop. Implement the function compute_distances_one_loop and run the

# code below:

dists_one = classifier.compute_distances_one_loop(X_test)

# To ensure that our vectorized implementation is correct, we make sure that it

# agrees with the naive implementation. There are many ways to decide whether

# two matrices are similar; one of the simplest is the Frobenius norm. In case

# you haven't seen it before, the Frobenius norm of two matrices is the square

# root of the squared sum of differences of all elements; in other words,□

→ reshape

# the matrices into vectors and compute the Euclidean distance between them.

difference = np.linalg.norm(dists - dists_one, ord='fro')

print('One loop difference was: %f' % (difference, ))

if difference < 0.001:

print('Good! The distance matrices are the same')
```

```
else:
          print('Uh-oh! The distance matrices are different')
     One loop difference was: 0.000000
     Good! The distance matrices are the same
[16]: # Now implement the fully vectorized version inside compute distances no loops
      # and run the code
      dists_two = classifier.compute_distances_no_loops(X_test)
      # check that the distance matrix agrees with the one we computed before:
      difference = np.linalg.norm(dists - dists_two, ord='fro')
      print('No loop difference was: %f' % (difference, ))
      if difference < 0.001:</pre>
          print('Good! The distance matrices are the same')
      else:
          print('Uh-oh! The distance matrices are different')
     No loop difference was: 0.000000
     Good! The distance matrices are the same
[17]: # Let's compare how fast the implementations are
      def time function(f, *args):
          Call a function f with args and return the time (in seconds) that it took \sqcup
       \rightarrow to execute.
          11 11 11
          import time
          tic = time.time()
          f(*args)
          toc = time.time()
          return toc - tic
      two loop time = time function(classifier.compute distances two loops, X test)
      print('Two loop version took %f seconds' % two_loop_time)
      one_loop_time = time_function(classifier.compute_distances_one_loop, X_test)
      print('One loop version took %f seconds' % one_loop_time)
      no_loop_time = time function(classifier.compute_distances_no_loops, X_test)
      print('No loop version took %f seconds' % no_loop_time)
      # You should see significantly faster performance with the fully vectorized
      \rightarrow implementation!
      # NOTE: depending on what machine you're using,
      # you might not see a speedup when you go from two loops to one loop,
```

and might even see a slow-down.

```
(500, 3072)
(5000, 3072)
Two loop version took 24.669348 seconds
One loop version took 53.696516 seconds
No loop version took 0.216411 seconds
```

1.0.1 Cross-validation

We have implemented the k-Nearest Neighbor classifier but we set the value k=5 arbitrarily. We will now determine the best value of this hyperparameter with cross-validation.

```
[43]: num_folds = 5
     k_{\text{choices}} = [1, 3, 5, 8, 10, 12, 15, 20, 50, 100]
     X train folds = []
     y_train_folds = []
     # TODO:
     # Split up the training data into folds. After splitting, X_train_folds and
     # y_train_folds should each be lists of length num_folds, where
     # y_train_folds[i] is the label vector for the points in X_train_folds[i].
     # Hint: Look up the numpy array_split function.
     # *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
     # pass
     # #
           shape
     # import numpy as np
     # num_train_np=np.array(X_train)
     # print(num_train_np.shape)#(5000, 3072)
     # # fold
     # num train = len(X train) # X train
     # num_points_per_fold = num_train // num_folds
     # # print(num_points_per_fold)#1000
     X_train_folds = np.array_split(X_train, num_folds)
     y_train_folds = np.array_split(y_train, num_folds)
           shape
     # #
     # import numpy as np
     # X train folds np = np.array(X train folds)
     # y_train_folds_np = np.array(y_train_folds)
     # print(X_train_folds_np.shape)#(5, 1000, 3072)
     # print(y train folds np.shape)#(5, 1000)
```

```
# *****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
# A dictionary holding the accuracies for different values of k that we find
# when running cross-validation. After running cross-validation,
# k to accuracies[k] should be a list of length num folds giving the different
# accuracy values that we found when using that value of k.
k_to_accuracies = {}
# TODO:
# Perform k-fold cross validation to find the best value of k. For each
# possible value of k, run the k-nearest-neighbor algorithm num folds times,
# where in each case you use all but one of the folds as training data and the #
# last fold as a validation set. Store the accuracies for all fold and all
\# values of k in the k\_to\_accuracies dictionary.
# *****START OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****
# pass
for k in k choices:# 10
   k to accuracies[k] = [] #
   for fold in range(num_folds):# k- num_folds
       for i in range(num_folds):
          if i != fold:
              X_train_fold_combined = np.concatenate([X_train_folds[i]])
              y_train_fold_combined = np.concatenate([y_train_folds[i]])
         train shape
#
         import numpy as np
         X_train_folds_combined_np = np.array(X_train_fold_combined)
         y_train_folds_combined_np = np.array(y_train_fold_combined)
         print(X train folds combined np.shape)
        print(y_train_folds_combined_np.shape)
       # fold val
       X_val_fold = X_train_folds[fold]
       y_val_fold = y_train_folds[fold]#
            classifier
       classifier = KNearestNeighbor()
```

```
classifier.train(X_train_fold_combined, y_train_fold_combined)
    y_val_pred = classifier.predict(X_val_fold, k=k)#

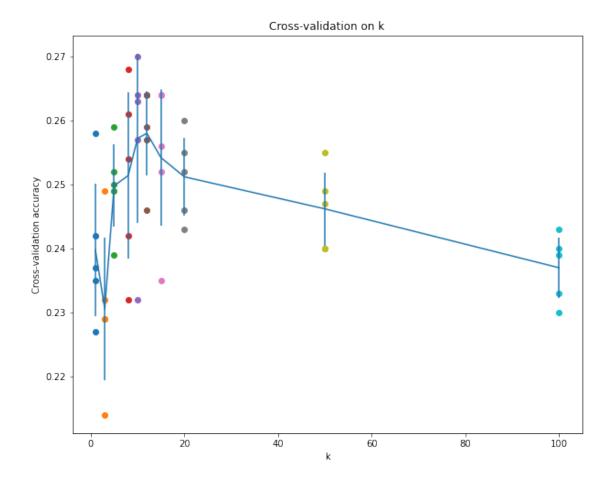
# accuracies k_to_accuracies
    num_correct = np.sum(y_val_pred == y_val_fold)
    accuracy = float(num_correct) / len(y_val_fold)
    k_to_accuracies[k].append(accuracy)

# ****END OF YOUR CODE (DO NOT DELETE/MODIFY THIS LINE)****

# Print out the computed accuracies
for k in sorted(k_to_accuracies):
    for accuracy in k_to_accuracies[k]:
        print('k = %d, accuracy = %f' % (k, accuracy))
```

```
k = 1, accuracy = 0.235000
k = 1, accuracy = 0.237000
k = 1, accuracy = 0.258000
k = 1, accuracy = 0.242000
k = 1, accuracy = 0.227000
k = 3, accuracy = 0.229000
k = 3, accuracy = 0.229000
k = 3, accuracy = 0.249000
k = 3, accuracy = 0.232000
k = 3, accuracy = 0.214000
k = 5, accuracy = 0.250000
k = 5, accuracy = 0.259000
k = 5, accuracy = 0.252000
k = 5, accuracy = 0.249000
k = 5, accuracy = 0.239000
k = 8, accuracy = 0.254000
k = 8, accuracy = 0.268000
k = 8, accuracy = 0.261000
k = 8, accuracy = 0.242000
k = 8, accuracy = 0.232000
k = 10, accuracy = 0.263000
k = 10, accuracy = 0.270000
k = 10, accuracy = 0.264000
k = 10, accuracy = 0.257000
k = 10, accuracy = 0.232000
k = 12, accuracy = 0.259000
k = 12, accuracy = 0.264000
k = 12, accuracy = 0.264000
k = 12, accuracy = 0.257000
k = 12, accuracy = 0.246000
k = 15, accuracy = 0.264000
k = 15, accuracy = 0.264000
```

```
k = 15, accuracy = 0.256000
     k = 15, accuracy = 0.252000
     k = 15, accuracy = 0.235000
     k = 20, accuracy = 0.260000
     k = 20, accuracy = 0.252000
     k = 20, accuracy = 0.255000
     k = 20, accuracy = 0.243000
     k = 20, accuracy = 0.246000
     k = 50, accuracy = 0.247000
     k = 50, accuracy = 0.255000
     k = 50, accuracy = 0.240000
     k = 50, accuracy = 0.249000
     k = 50, accuracy = 0.240000
     k = 100, accuracy = 0.243000
     k = 100, accuracy = 0.239000
     k = 100, accuracy = 0.240000
     k = 100, accuracy = 0.230000
     k = 100, accuracy = 0.233000
[44]: # plot the raw observations
      for k in k_choices:
          accuracies = k_to_accuracies[k]
          plt.scatter([k] * len(accuracies), accuracies)
      # plot the trend line with error bars that correspond to standard deviation
      accuracies_mean = np.array([np.mean(v) for k,v in sorted(k_to_accuracies.
      \rightarrowitems())])
      accuracies_std = np.array([np.std(v) for k,v in sorted(k_to_accuracies.
      →items())])
      plt.errorbar(k_choices, accuracies_mean, yerr=accuracies_std)
      plt.title('Cross-validation on k')
      plt.xlabel('k')
      plt.ylabel('Cross-validation accuracy')
      plt.show()
```



```
[48]: # Based on the cross-validation results above, choose the best value for k,
    # retrain the classifier using all the training data, and test it on the test
    # data. You should be able to get above 28% accuracy on the test data.
best_k = 10

classifier = KNearestNeighbor()
    classifier.train(X_train, y_train)
    y_test_pred = classifier.predict(X_test, k=best_k)

# Compute and display the accuracy
num_correct = np.sum(y_test_pred == y_test)
accuracy = float(num_correct) / num_test
print('Got %d / %d correct => accuracy: %f' % (num_correct, num_test, accuracy))
```

Got 141 / 500 correct => accuracy: 0.282000

2 Inline Question 2

Which of the following statements about k-Nearest Neighbor (k-NN) are true in a classification setting, and for all k? Select all that apply. 1. The decision boundary of the k-NN classifier is linear. 2. The training error of a 1-NN will always be lower than or equal to that of 5-NN. 3. The test error of a 1-NN will always be lower than that of a 5-NN. 4. The time needed to classify a test example with the k-NN classifier grows with the size of the training set. 5. None of the above.

YourAnswer: 2 and 4 are right.

Your Explanation:

1 is false: The decision boundary of the k-NN classifier is not linear. It's a non-linear boundary that depends on the distribution of the training data.

2 is true In 1-NN, each data point in the training set is its own, so that the training error of a 1-NN will always be 0.

3 is false: 1-NN selects the nearest neighbor of a test sample as its prediction, which can be overly sensitive and may not provide accurate classification for samples in the test set.

4 is true: We need to find the k-nearest points in the training set, so that if there is more points in the training set, the time increases.

[]: