### Isogenies of Oriented Elliptic Curves

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### Some Preliminary Notes

#### Conventions/Terminology

- Stack = étale stack of  $\infty$ -groupoids on  $\mathrm{CAlg}_R$  for some  $\mathbb{E}_\infty$ -ring R
- DM-stack = spectral Deligne-Mumford stack, not necessarily connective
- Formal DM-stack = formal filtered colimit of DM-stacks;
   called "honest" if actual DM-stack
- Isogeny = strict abelian variety map which is finite, flat, and locally almost of finite presentation



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#### Related Work

Xuecai Ma and Yifei Zhu have a paper in the works ([MZ25]) which approaches this from a different perspective, defining level structures in terms of classical divisors. It isn't clear whether this is equivalent to my definition. A draft can be found on Professor Zhu's website.

#### Over $\mathbb C$

$$\left\{ \begin{array}{c} \mathcal{M}(\Gamma) \\ \downarrow_{\text{\'et}} \\ \mathcal{M}_{ell} \end{array} \right\} \longleftrightarrow \left\{ \text{Congruence subgroups } \Gamma \subset GL_2(\mathbb{Z}) \right\}$$



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So we can't use the usual methods to lift to spectral AG.

Solution: Work with moduli interpretation directly!

#### Definition

The moduli stack of isogenies over R is the functor  $\operatorname{Isog}:\operatorname{CAlg}_R\to\operatorname{S}$  given by

$$A \mapsto \left\{ i : E \to E' \middle| \begin{array}{l} E, E' \in \mathcal{M}_{\mathrm{ell}}^{\mathrm{or}}(A), \\ i \text{ isogeny.} \end{array} \right\}$$
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#### Warning





### Factorization Theorem (GN)

There is an orthogonal factorization system  $(\mathscr{C}onn, \acute{\mathscr{E}}t)$  on  $\mathrm{Ell}^{\mathrm{or}}_{\mathrm{Isog}}$  such that

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$$K \longrightarrow E \stackrel{i}{\longrightarrow} E'$$

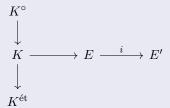


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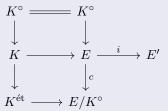


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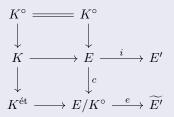


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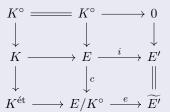


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#### Proof sketch.

True for P-divisible groups ([Lur18a])

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So  $\operatorname{coker}(f:G\to H)=(\ker(f^{\vee}))^{\vee}.$ 





### Elliptic Rigidity Theorem, classical version ([KM85])

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#### Proof sketch.

Main idea: 0 map deforms uniquely through square-zero extensions  $\Rightarrow$ through Postnikov tower.



### Corollary

We have a pullback of functors

$$\begin{array}{ccc} \operatorname{Isog} & \longrightarrow & \operatorname{Isog^{\operatorname{\acute{e}t}}} \\ \downarrow & & \downarrow^{s} \\ \operatorname{Isog^{\operatorname{conn}}} & \xrightarrow{t} & \operatorname{\mathcal{M}_{ell}^{\operatorname{or}}}, \end{array}$$

where  $\boldsymbol{s}$  is the source map and  $\boldsymbol{t}$  the target map.



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\operatorname{Isog}^{\operatorname{conn}} \stackrel{t}{\longrightarrow} \operatorname{\mathfrak{M}}^{\operatorname{or}}_{\operatorname{ell}},$$

where s is the source map and t the target map.

If we can show that  $\rm Isog^{\acute{e}t}$  and  $\rm Isog^{conn}$  are formal DM-stacks, it will follow that  $\rm Isog$  is one as well.



# The Intuition



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• Étale:



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 $\widehat{E} \stackrel{\sim}{-\!\!\!-\!\!\!-\!\!\!-\!\!\!\!-} \widehat{E'}$   $\widehat{E'}$ 



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$$E \xrightarrow{\sim} E$$

 $\widehat{E} \xrightarrow{\sim} \widehat{E'} \quad \text{ Doesn't care about formal part.}$   $\bullet$  Étale:  $|\wr \qquad | \wr \qquad |$ 



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 $\begin{array}{cccc} E & \longrightarrow E' \\ & & \downarrow & & \downarrow \\ E/\widehat{E} & \stackrel{\sim}{\longrightarrow} E'/\widehat{E'} \end{array}$ 



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$$E/\widehat{E} \stackrel{\sim}{\longrightarrow} E'/\widehat{E'}$$



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 $\begin{array}{cccc} E & \longrightarrow E' & \text{Only cares about formal part.} \\ \bullet & \text{Connected:} & & \downarrow & \text{Reduce to studying} \\ & & E/\widehat{E} & \stackrel{\sim}{\longrightarrow} E'/\widehat{E'} \end{array}$  automorphisms of  $\widehat{\mathbb{G}}_R^Q$ .



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$$\bullet \hspace*{1cm} \text{Connected:} \hspace*{1cm} \downarrow \hspace*{1cm} \text{Reduce to studying automorphisms of } \widehat{\mathbb{G}}_R^Q.$$

Untangling these two parts of an isogeny allows us to classify it much more easily.



# $\overline{\mathsf{Identifying}}\ \overline{\mathsf{Isog}}^{\mathrm{\acute{e}t}}$

### Theorem (GN)

 $\mathrm{Isog}^{\mathrm{\acute{e}t}}$  is a DM-stack.



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Isogét is a DM-stack.

### Proof sketch.

 $\bullet \ [\mathsf{KM85}] \colon \{(E,K) \mid E \ \mathsf{elliptic} \ \mathsf{curve}, K \subset E \ \mathsf{finite}\} \to (\mathfrak{M}_{\mathrm{ell}}^{\mathrm{or}})^{\heartsuit}$  relative scheme.



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- $\bullet \text{ [KM85]: } \{(E,K) \mid E \text{ elliptic curve}, K \subset E \text{ finite}\} \rightarrow (\mathcal{M}_{\mathrm{ell}}^{\mathrm{or}})^{\heartsuit}$  relative scheme.
- $\textbf{ 2} \ \{(E,K) \mid E \ \text{elliptic curve}, K \subset E \ \text{finite \'etale} \} \ \text{open substack}.$



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- **①** [KM85]:  $\{(E,K) \mid E \text{ elliptic curve}, K \subset E \text{ finite}\} \rightarrow (\mathcal{M}_{ell}^{or})^{\heartsuit}$  relative scheme.
- $\ \ \, \textbf{ ($E,K$)} \mid E \text{ elliptic curve}, K \subset E \text{ finite \'etale} \textbf{) open substack}.$
- Solution
   Leverage étaleness and use ([Lur18c], Theorem 18.1.0.2) to lift from classical to spectral.



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$$\{K \subset E \text{ closed, proper, connected}\}$$



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$$\{K \subset \widehat{\mathbb{G}}_R^Q \text{ honest subgroup}\}$$



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$$\begin{split} \{E \xrightarrow{\operatorname{conn}} E'\} \\ & \updownarrow \\ \{K \subset E \text{ closed, proper, connected}\} \\ & \updownarrow \\ \{K \subset \widehat{\mathbb{G}}_R^Q \text{ honest subgroup}\} \end{split}$$
 
$$\Rightarrow \operatorname{Isog^{\operatorname{conn}}} \simeq \mathfrak{M}_{\operatorname{ell}}^{\operatorname{or}} \times \operatorname{QuilIsog} \end{split}$$



## Identifying $\operatorname{Isog^{conn}}$

### Theorem (GN)

Isogconn is a formal DM-stack.

### Proof sketch (ctd).

QuilIsog  $\downarrow \\ \operatorname{Sub}^h(\widehat{\mathbb{G}}_R^Q)$ 

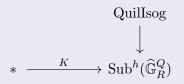


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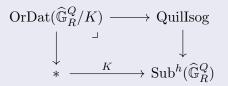


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$$\begin{aligned} \operatorname{OrDat}(\widehat{\mathbb{G}}_R^Q/K) & \longrightarrow \operatorname{QuilIsog} \\ \downarrow & & \downarrow \\ * & \stackrel{K}{\longrightarrow} \operatorname{Sub}^h(\widehat{\mathbb{G}}_R^Q) \end{aligned}$$

[Lur18b]:  $OrDat(\widehat{\mathbb{G}}_R^Q/K)$  is an affine DM-stack.



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 $\Rightarrow$  Enough to show that  $\operatorname{Sub}^h(\widehat{\mathbb{G}}_R^Q)$  is formal DM.



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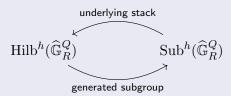
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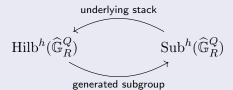


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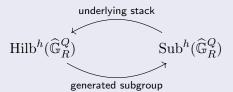


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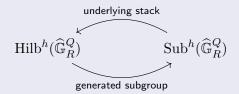


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- $\Rightarrow \operatorname{Hilb}^h$  is formal DM.
- $\Rightarrow$  Sub<sup>h</sup> is formal DM.



# Thank you!

#### References [KM85] Nicholas M. Katz and Barry Mazur. Arithmetic Moduli of Elliptic Curves. 108. Princeton University Press, 1985. [Lur04] Jacob Lurie. "Derived Algebraic Geometry". PhD thesis. Massachusetts Institute of Technology, 2004, URL: http://oastats.mit.edu/handle/1721.1/30144. [Lur18a] Jacob Lurie. Elliptic Cohomology. 2018. URL: https://www.math.ias.edu/~lurie/papers/Elliptic-I.pdf. Pre-published. [Lur18b] Jacob Lurie. Elliptic Cohomology II: Orientations. Apr. 2018. URL: https://www.math.ias.edu/~lurie/papers/Elliptic-II.pdf. Pre-published. [Lur18c] Jacob Lurie. Spectral Algebraic Geometry. 2018. URL: https://www.math.ias.edu/~lurie/papers/SAG-rootfile.pdf. [MZ25] Xuecai Ma and Yifei Zhu. Spectral Moduli Problems for Level Structures and an Integral Jacquet-Langlands Dual of Morava E-theory. 2025. URL: https://vifeizhu.github.io/sagreal.pdf. Pre-published.

