Organizational Narrative

ACME Evil Anvil Corporation

January 2019

Contents

1 Organizational Narrative **2**

2 Entity Type **2**

3 Integrity and Ethics **2**

4 Board Independence **2**

5 Organizational Structure **2**

6 Management Objectives **3**

7 Risk to Objectives **3**

8 Fraud Risk to Objectives **3**

Table 1: Control satisfaction

Standard	Controls Satisfied
TSC	CC1.2, CC1.3, CC1.4, CC1.5, CC3.1, CC3.2, CC3.3

Table 2: Document history

Date	Comment
Jun 1 2018	Initial document

1 Organizational Narrative

The following provides a description of the corporate a management structure of ACME Evil Anvil Corporation.

The intent of this description is to establish both the legal jurisdiction and corporate cultural norms that serve as the foundation for ACME Evil Anvil Corporation's compliance program.

2 Entity Type

ACME Evil Anvil Corporation is a Delaware C-Corporation headquartered in San Francisco, California. ACME Evil Anvil Corporation was established in 1970.

3 Integrity and Ethics

The Directors and Executives of ACME Evil Anvil Corporation aspire to and demonstrate standards of ethics and integrity consistent with professional norms in American corporate environments.

Chief among these standards is a commitment to honesty in interactions with and among managers, directors, employees, contractors, customers, and other stakeholders.

4 Board Independence

The Board of Directors appoints and oversees the Chief Executive Officer (CEO).

5 Organizational Structure

ACME Evil Anvil Corporation is composed of 7 primary divisions:

- Sales
- Marketing
- Manufacturing
- Research & Development
- Information Technology
- Human Resources
- Finance

Each division is led by a Vice President, who in turn reports to the CEO. A complete Organization Chart is maintained and distributed by Human Resources.

6 Management Objectives

Work is distributed to each division via Objectives set by the respective division Vice President, in collaboration with the Chief Executive Officer.

7 Risk to Objectives

ACME Evil Anvil Corporation seeks to manage risk to Objectives through professional management strategies and tactics, including:

- Rigorous hiring practices
- Employee performance reviews
- Aligning compensation with objectives
- Regular communication of objectives by executive management

8 Fraud Risk to Objectives

ACME Evil Anvil Corporation acknowledges the possibility that fraud may imperil corporate objectives. ACME Evil Anvil Corporation undertakes various activities to manage fraud risk, including:

- Conducting regular financial audits
- Adhering to financial control principles
- Investigating suspicious transactions
- Performing criminal background checks on all employees
- Maximizing the use of information technology in fraud detection