

DOUGLAS MORA

Audit - Risks - Compliance | Software Development

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SKILLS

Auditor: SOX. IFRS-USGAAP. ISA. IPPF. COSO. PCAOB. Anti-money Laundering (AML).

Software: Python3. HTML-CSS. GIT. Agile. Tableau. Blockchain. Mitre-Att&ck. NetSuite. Workiva. MS Excel.

CAREER

Software Developer. Independent	2020 >>
<ul style="list-style-type: none">- Design, programming, and deployment of Python3-based applications. Game development (Unity).- Web development (HTML-CSS-JavaScript). Launch of a GIS application to manage wildlife corridors.	Costa Rica
Corporate Internal Auditor. Smile Direct Club	2019-2020
<ul style="list-style-type: none">- Documented Risk and Control Matrixes (RCM), narratives, and flowcharts, for IFCR and ITGC controls.- Feed Workiva with RCMs and other key data to handle all SOX obligations.- Designed and performed tests of design and operating effectiveness on SOX controls (IFCR & ITGC).- Audited the consolidated financial statements (USGAAP).- Identified control deficiencies, and assisted control owners in designing remediation plans.- Advised control owners on evidence of control performance and process improvements.- Coordinated root case analysis and remediation of an IT control gap linked to change management.	Nashville, USA
Global AML Risk Analyst. Citigroup	2016-2019
<ul style="list-style-type: none">- Ensured AML compliance (BSA, FinCen, and others), engaging with global teams.- Developed an Excel-based tool to improve production and quality metrics.	Costa Rica
Risk Analyst. Independent	2013-2016
<ul style="list-style-type: none">- Performed risk identification procedures in small and medium-sized Costa Rican companies.	Costa Rica
Regional Corporate Auditor. Aval Group	2013-2014
<ul style="list-style-type: none">- Audited BAC Credomatic Network (operations and IT). Reported a US\$4 million control gap (SOX related). Identified AML deficiencies. Audited the migration of a bank acquired.	Colombia
Internal Auditor. Grupo Sama & Hotel La Católica	2003-2013
<ul style="list-style-type: none">- Audited financial statements and internal controls. Ensured compliance with Sugef regulations.- Oversaw the implementation of a web-based audit software.	Costa Rica
Senior External Auditor. Crowe Costa Rica	2003-2007
<ul style="list-style-type: none">- Audited regulated entities. Consolidated financial statements applying IFRS & USGAAP.- Oversaw the implementation of a web-based audit software built in-house.	Costa Rica

EDUCATION [completed]

Ulatina University: Bachelor of Business Administration (BBA)	1999-2003
Infosec: Computer Forensics Specialization Program (3 courses)	2021
University of Pennsylvania: Regulatory Compliance Specialization Program (4 courses).	2020-2021
Rice University: Scripting in Python Specialization Program (5 courses).	2020-2021
LearnQuest: Python Scripting for DevOps Specialization Program (4 courses).	2021
University of Michigan: Python Specialization Program (4 courses).	2020-2021
American Institute of CPAs (AICPA): Blockchain Specialization Program (3 courses)	2020-2021

TRAINING [completed]	Type	Length (hours)	Brought by	Issued
Computer Forensics	Specialization	96	Infosec	2021
Python for Cybersecurity	Specialization	160	Infosec	2021
Regulatory Compliance	Specialization	128	University of Pennsylvania	2021
Scripting in Python	Specialization	160	Rice University	2021
Python Scripting for DevOps	Specialization	128	LearnQuest	2021
Python for Everybody	Specialization	128	University of Michigan	2021
Blockchain	Specialization	96	American Institute of CPA	2021
Certified Information Systems Security Professional	Certif. prep.	19	Cybrary	2021
Python Pro Bootcamp for 2021	Bootcamp	90	Udemy – Dr. Angela Yu	2021
Web Developer Bootcamp	Bootcamp	90	Udemy – Colt Steele	2021
Introduction to Programming with Python	Course	32	Austral University	2021
Crash Course on Python	Course	32	Google	2021
Excel Skills for Business: Essentials	Course	32	MacQuarie University	2020
Agile with Atlassian Jira	Course	24	Atlassian	2021
Version Control with Git	Course	24	Atlassian	2021
Forensic Accounting and Fraud Examination	Course	24	West Virginia University	2016
Terrorist Financing	Course	16	Basel Institute of Governance	2021
Programming Essentials in Python	Course	16	CISCO	2021
Building a Fraud-Resistant Organization	Course	8	AICPA	2019
Intermediate Python	Course	3	Cybrary	2021
Intro to Python	Course	3	Cybrary	2021
Cybersecurity Audit Overview	Course	2	Cybrary	2021
Evidence Handling-Do it the Right Way	Course	1	Cybrary	2021

Ongoing courses in topics such as (*):

- Cybersecurity
- Penetration testing
- Incident response
- Access Control and Identity Management
- Mitre Att&ck
- SQL – MySQL – PostgreSQL
- JavaScript
- Cloud infrastructures
- Machine Learning (Udacity's Nanodegree program)

(*) Delivered by:

- Center for Development of Security Excellence
- Palo Alto Cybersecurity Academy
- Infosec
- Cybrary
- RangeForce
- Fortinet
- IBM
- Google
- Microsoft
- Udacity
- New York University
- Others.