


# DOUGLAS MORA

Audit - Risks - Compliance | Software Development

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## SKILLS

**Auditor:** SOX, Internal Controls, risks assessment, IFRS, USGAAP, ISA, IPPF, COSO, PCAOB, AML, KYC.  
**Developer:** Python, HTML, CSS & Bootstrap, Blockchain. Also, power user in: Workiva [SEC filings], MS Excel.  
**Self:** Integrity. Also, analytic, problem-solving, presentation, and team player skills.

## OCCUPATION

<b>Corporate Internal Auditor. Smile Direct Club</b> [*]	2019-2020
SOX program and Internal Controls implementation. Led Workiva implementation phase, and testing. Saved US\$500 million in auditing fees. Performed SOX 404 testing.	Nashville, USA
<b>Global AML Risk Analyst. Citigroup</b> [*]	2016-2019
Ensured AML compliance (US: BSA, FinCen, and others), engaging with global teams. Developed an Excel-based tool to improve production and quality in KYC making. Recurring best performer in Focus Team (Commercial & Investment Banking, Embassies)	San José, Costa Rica
<b>Regional Corporate Auditor. Aval Group</b> [*]	2013-2014
Audited BAC Credomatic Network (operations and IT). Reported a US\$4 million control gap (SOX related). Identified AML deficiencies. Audited the migration of a bank acquired.	Bogotá, Colombia
<b>Senior External Auditor. Crowe Costa Rica</b>	2003-2007
Audited regulated entities, industrial, and commercial. Implemented and monitored usage of an audit software. Developed Excel tools to consolidate financial statements	San José, Costa Rica
[*] Public companies with stocks quoted in the US, and regulated by SEC.	

## EDUCATION

<b>University of Pennsylvania:</b> Regulatory Compliance Specialization Program (4 courses).	2020-2021
<b>Rice University:</b> Scripting in Python Specialization Program (5 courses).	2020-2021
<b>University of Michigan:</b> Python Specialization Program (4 courses).	2020-2021
<b>American Institute of CPAs (AICPA):</b> Blockchain Specialization Program (3 courses)	2020-2021
<b>Ulatina University:</b> Bachelor of Business Administration (BBA)	1999-2003

## CERTIFICATES

<b>Cybrary:</b> Certified Information Systems Security Professional (CISSP) [training course]	2021
<b>Udemy-Dr. Angela Yu:</b> 100 Days of Code – The Complete Python Pro Bootcamp for 2021	2020-2021
<b>Udemy-Colt Steele:</b> The Web Developer Bootcamp	2020-2021
<b>West Virginia University:</b> Forensic Accounting and Fraud Examination	2016
<b>AICPA:</b> Building a Fraud-Resistant Organization	2019
<b>Corporate Finance Institute:</b> Accounting Fundamentals	2019
<b>Base Institute on Governance:</b> Terrorist Financing	2021
<b>StackSkills:</b> Fundamentals of Computer Hacking (preventing approach)	2019
<b>Cybrary:</b> Intermediate Python	2021
<b>Atlassian:</b> Version Control with Git	2021
<b>CISCO:</b> Programming Essentials in Python	2021
<b>Google:</b> Crash Course on Python	2021
<b>Austral University:</b> Python Programming Introduction	2021
<b>MacQuarie University:</b> Excel for Business-Essentials	2021