

DOUGLAS MORA

Audit - Risks - Compliance | Software Development

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SKILLS

Auditor: SOX, Internal Controls, risks assessment, IFRS, USGAAP, ISA, IPPF, COSO, PCAOB, AML, KYC.

Software: Python, HTML, CSS & Bootstrap, Blockchain. Also, NetSuite, Workiva, advanced MS Excel.

OCCUPATION

Corporate Internal Auditor. Smile Direct Club	2019-2020
Implemented SOX program and Internal Controls . Tested Internal Controls for Financial Reporting (IFCR), and IT General Controls (ITGC). Applied USGAAP, IFRS, and COBIT.	Nashville, USA
Global AML Risk Analyst. Citigroup	2016-2019
Ensured AML compliance (BSA , FinCen , and others), engaging with global teams. Developed an Excel-based tool to improve production and quality metrics.	Costa Rica
Risk Analyst (Independent Consultant)	2013-2016
Performed risk identification procedures in small and medium-sized Costa Rican companies.	Costa Rica
Regional Corporate Auditor. Aval Group	2013-2014
Audited BAC Credomatic Network (operations and IT). Reported a US\$4 million control gap (SOX related). Identified AML deficiencies. Audited the migration of a bank acquired.	Colombia
Internal Auditor. Grupo Sama & Hotel La Católica	2003-2013
Audited financial statements and internal controls. Ensured compliance with Sugef regulations.	Costa Rica
Senior External Auditor. Crowe Costa Rica	2003-2007
Audited regulated entities. Consolidated financial statements applying IFRS & USGAAP.	Costa Rica

EDUCATION & SPECIALIZATIONS

Ulatina University: Bachelor of Business Administration (BBA)	1999-2003
Infosec: Computer Forensics Specialization Program (3 courses)	2021
University of Pennsylvania: Regulatory Compliance Specialization Program (4 courses).	2020-2021
Rice University: Scripting in Python Specialization Program (5 courses).	2020-2021
LearnQuest: Python Scripting for DevOps Specialization Program (4 courses).	2021
University of Michigan: Python Specialization Program (4 courses).	2020-2021
American Institute of CPAs (AICPA): Blockchain Specialization Program (3 courses)	2020-2021

CERTIFICATES

Cybrary: Certified Information Systems Security Professional (CISSP) [training course]	2021
Udemy-Dr. Angela Yu: Python Pro Bootcamp for 2021	2020-2021
Udemy-Colt Steele: Web Developer Bootcamp	2020-2021
West Virginia University: Forensic Accounting and Fraud Examination	2016
AICPA: Building a Fraud -Resistant Organization	2019
Corporate Finance Institute: Accounting Fundamentals	2019
Atlassian: Agile with Atlassian Jira	2021
Atlassian: Version Control with Git	2021