


DOUGLAS MORA

Audit - Risks - Compliance | Software Development

douglas-mora@outlook.com 
[linkedin.com/in/douglas-mora](https://www.linkedin.com/in/douglas-mora) 
github.com/Douglas-Mora 
+506 8613 7588 

SKILLS

Auditor: SOX, Internal Controls, risks assessment, IFRS, USGAAP, ISA, IPPF, COSO, PCAOB, AML, KYC.
Developer: Python, HTML, CSS & Bootstrap, Blockchain. Also, power user in: Workiva [SEC filings], MS Excel.
Self: Integrity. Also, analytic, problem-solving, presentation, and team player skills.

OCCUPATION

Corporate Internal Auditor. Smile Direct Club [*] SOX program and Internal Controls implementation. Led Workiva implementation phase, and testing. Saved US\$500 million in auditing fees. Performed SOX 404 testing.	2019-2020 Nashville, USA
Global AML Risk Analyst. Citigroup [*] Ensured AML compliance (US: BSA, FinCen, and others), engaging with global teams. Developed an Excel-based tool to improve production and quality in KYC making. Recurring best performer in Focus Team (Commercial & Investment Banking, Embassies)	2016-2019 Costa Rica
Risk Analyst (Independent Consultant) Performed risk identification procedures in small and medium-sized Costa Rican companies.	2013-2016 Costa Rica
Regional Corporate Auditor. Aval Group [*] Audited BAC Credomatic Network (operations and IT). Reported a US\$4 million control gap (SOX related). Identified AML deficiencies. Audited the migration of a bank acquired.	2013-2014 Colombia
Internal Auditor. Grupo Sama & Hotel La Católica Audited financial statements and internal controls. Ensure compliance with Sugef's regulations.	2003-2013 Costa Rica
Senior External Auditor. Crowe Costa Rica Audited regulated entities, industrial, and commercial. Implemented and monitored usage of an audit software. Developed Excel tools to consolidate financial statements	2003-2007 Costa Rica

[*] Public companies with stocks traded in the US, regulated by SEC.

EDUCATION

University of Pennsylvania: Regulatory Compliance Specialization Program (4 courses).	2020-2021
Rice University: Scripting in Python Specialization Program (5 courses).	2020-2021
LearnQuest: Python Scripting for DevOps Specialization Program (4 courses).	2021
University of Michigan: Python Specialization Program (4 courses).	2020-2021
American Institute of CPAs (AICPA): Blockchain Specialization Program (3 courses)	2020-2021
Ulatina University: Bachelor of Business Administration (BBA)	1999-2003

CERTIFICATES

Cybrary: Certified Information Systems Security Professional (CISSP) [training course]	2021
Udemy-Dr. Angela Yu: Python 2021 Pro Bootcamp for 2021	2020-2021
Udemy-Colt Steele: Web Developer Bootcamp	2020-2021
West Virginia University: Forensic Accounting and Fraud Examination	2016
AICPA: Building a Fraud-Resistant Organization	2019
Corporate Finance Institute: Accounting Fundamentals	2019
Atlassian: Agile with Atlassian Jira	2021
Atlassian: Version Control with Git	2021
CISCO: Programming Essentials in Python	2021