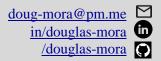
DOUGLAS MORA

Auditor - IT - Software Development



Know-how

Used to audit regulated entities to find internal control gaps (operational & IT-related), starting on 2019, I am now going deeper into Computer Science (Python, HTML-CSS-JavaScript, SQL, R, Tableau), and CyberSecurity.

CAREER	
Software Developer Independent Consultant	Oct20>>
- Design, programming, and deployment of Python3-based applications.	Costa Rica
Corporate Internal Auditor Smile Direct Club (health provider, public entity in the US)	Sep19/Oct20
- Documented Risk and Control Matrixes (RCM), narratives, and flowcharts, for ICFR and ITGC controls.	Nashville, USA
- Designed and performed tests of design and operating effectiveness on SOX controls (ICFR & ITGC).	
- Audited the consolidated financial statements (USGAAP. Tools used: NetSuite, Workiva, Looker).	
- Identified control deficiencies, and assisted control owners in designing remediation plans.	
- Managed root case analysis and remediation of IT control gaps (Looker, NetSuite, SQL, proprietary apps).	
Global AML Risk Analyst Citigroup (financial group)	May16/Sep19
- Ensured AML compliance (BSA, FinCen, and others), engaging with global teams.	Costa Rica
- Developed an Excel-based tool to improve production and quality metrics.	
Auditor & Risk Analyst Independent	May14/May16
- Performed risk-based procedures to identify internal controls gaps, both operational and IT-related.	
Regional Corporate Auditor Aval Group (financial group)	May13/May14
- Audited banking network (operations, IT) across Central America. Reported a US\$4 million control gap.	Colombia
- Identified AML deficiencies. Audited the migration of a bank acquired.	
Internal Auditor Grupo Sama (stock-market) & La Católica Hospital (health provider)	Aug07/May13
- Audited financial statements and internal controls. Ensured compliance with Sugef regulations.	Costa Rica
- Oversaw the implementation of a web-based audit software.	
- Audited processes in preparation for Joint Commission International certification.	
Senior External Auditor Crowe Costa Rica (audit firm)	Mar03/Aug07
 Audited regulated entities (under Sugef & Sugeval). Consolidated financial statements (IFRS & USGAAP). Oversaw the implementation of a web-based audit software built in-house. 	Costa Rica

EDUCATION

Bachelor of Business Administration (BBA) — Ulatina University , 1999-2003.
Google Data Analytics Professional Certificate (8-course program) — Google, 2021.
Regulatory Compliance Specialization (4-course program) — University of Pennsylvania, 2021
Scripting in Python Specialization (5-course program) — Rice University, 2021.
Python for Everybody Specialization (4-course program) — University of Michigan, 2021.
Computer Forensics Specialization (3-course program) — Infosec, 2021.
Python for Cybersecurity Specialization (5-course program) — Infosec, 2021.
Python Scripting for DevOps Specialization Program (4-course program) — LearnQuest, 2021.
Scrum Master Certification Specialization (4-course program) — LearnQuest, 2021.
Agile Leadership Specialization (5-course program) — University of Colorado System, 2021.
Blockchain Specialization (3-course program) — AICPA, 2021.

SKILLSET					
As Auditor					
SOX 404.a, 404.b	~	/	/	/	/
Risk Assessment	<u> </u>	/	/	/	/
USGAAP & IFRS	~	/	~	~	✓
COSO	<u> </u>	/	~	~	✓
ICFR & ITGC	~	✓	✓	~	✓
AML & KYC	/	✓	✓	✓	✓
Cybersecurity	~	✓	✓	~	
Workiva	~	/	✓	~	✓
MS Excel	<u> </u>	✓	✓	✓	✓
NetSuite	~	/	✓	/	
SAP	✓	✓	✓		
AS400	<u> </u>	✓			
As IT & Software Developer					
Python scripting	✓	✓	✓	✓	✓
Web Dev (HTML, CSS, JavaScript)	✓	✓	✓	✓	
Java	✓	✓			
Networking	✓	✓	✓		
Machine Learning	✓	✓	✓		
Blockchain	~	✓	✓	•	
SQL	~	~	✓		
Tableau	<u> </u>	/	~		
R	<u> </u>	~			
MongoDB	<u> </u>				
Mitre Att&ck	~	~	~		•
Kali Linux	~	/			

			Length		
RELEVANT	COURSEWORK	Туре	(hours)	Brought by	Issued
Cybersecurity	Penetration Testing & Ethical Hacking with Kali Linux	Course	20	PluralSight	2021
	Penetration Testing Automation - Python & Kali Linux	Course	40	PluralSight	2021
	Certified Information Systems Security Professional	Cert. training	19	Cybrary	2021
Python	Python Pro Bootcamp for 2021	Bootcamp	90	Dr. Angela Yu – Udemy	2021
	Crash Course on Python	Course	32	Google	2021
	Programming Essentials in Python	Course	16	CISCO	2021
Web Dev	Web Developer Bootcamp	Bootcamp	82	Colt Steele – Udemy	2021
	JavaScript Course 2021: Zero to Expert	Course	68	ZTM Academy	2021
JIRA	Agile with Atlassian Jira	Course	8	Atlassian	2021
GIT	Version Control with Git	Course	8	Atlassian	2021