

DOUGLAS MORA

Auditor — IT — Software Development

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Know-how

Used to audit regulated entities to find internal control gaps (operational & IT-related), starting on 2019, I am now going deeper into Computer Science (Python, HTML-CSS-JavaScript, SQL, R, Tableau), and CyberSecurity.

CAREER

Software Developer | Independent Consultant

Oct20>>

- Design, programming, and deployment of Python3-based applications.

Costa Rica

Corporate Internal Auditor | Smile Direct Club (health provider, public entity in the US)

Sep19/Oct20

- Documented Risk and Control Matrixes (RCM), narratives, and flowcharts, for ICFR and ITGC controls.
- Designed and performed tests of design and operating effectiveness on SOX controls (ICFR & ITGC).
- Audited the consolidated financial statements (USGAAP). Tools used: NetSuite, Workiva, Looker).
- Identified control deficiencies, and assisted control owners in designing remediation plans.
- Managed root case analysis and remediation of IT control gaps (Looker, NetSuite, SQL, proprietary apps).

Nashville, USA

Global AML Risk Analyst | Citigroup (financial group)

May16/Sep19

- Ensured AML compliance (BSA, FinCen, and others), engaging with global teams.
- Developed an Excel-based tool to improve production and quality metrics.

Costa Rica

Auditor & Risk Analyst | Independent

May14/May16

- Performed risk-based procedures to identify internal controls gaps, both operational and IT-related.

Regional Corporate Auditor | Aval Group (financial group)

May13/May14

- Audited banking network (operations, IT) across Central America. Reported a US\$4 million control gap.
- Identified AML deficiencies. Audited the migration of a bank acquired.

Colombia

Internal Auditor | Grupo Sama (stock-market) & La Católica Hospital (health provider)

Aug07/May13

- Audited financial statements and internal controls. Ensured compliance with Sugef regulations.
- Oversaw the implementation of a web-based audit software.
- Audited processes in preparation for Joint Commission International certification.

Costa Rica

Senior External Auditor | Crowe Costa Rica (audit firm)

Mar03/Aug07

- Audited regulated entities (under Sugef & Sugeval). Consolidated financial statements (IFRS & USGAAP).
- Oversaw the implementation of a web-based audit software built in-house.

Costa Rica

EDUCATION

Bachelor of Business Administration (BBA) — Ulatina University , 1999-2003.

Google Data Analytics Professional Certificate (8-course program) — Google, 2021.

Regulatory Compliance Specialization (4-course program) — University of Pennsylvania, 2021

Scripting in Python Specialization (5-course program) — Rice University, 2021.

Python for Everybody Specialization (4-course program) — University of Michigan, 2021.

Computer Forensics Specialization (3-course program) — Infosec, 2021.

Python for Cybersecurity Specialization (5-course program) — Infosec, 2021.

Python Scripting for DevOps Specialization Program (4-course program) — LearnQuest, 2021.

Scrum Master Certification Specialization (4-course program) — LearnQuest, 2021.

Agile Leadership Specialization (5-course program) — University of Colorado System, 2021.

Blockchain Specialization (3-course program) — AICPA, 2021.

SKILLSET

As Auditor

SOX 404.a, 404.b	✓	✓	✓	✓	✓
Risk Assessment	✓	✓	✓	✓	✓
USGAAP & IFRS	✓	✓	✓	✓	✓
COSO	✓	✓	✓	✓	✓
ICFR & ITGC	✓	✓	✓	✓	✓
AML & KYC	✓	✓	✓	✓	✓
Cybersecurity	✓	✓	✓	✓	
Workiva	✓	✓	✓	✓	✓
MS Excel	✓	✓	✓	✓	✓
NetSuite	✓	✓	✓	✓	
SAP	✓	✓	✓		
AS400	✓	✓			

As IT & Software Developer

Python scripting	✓	✓	✓	✓	✓
Web Dev (HTML, CSS, JavaScript)	✓	✓	✓	✓	
Java	✓	✓			
Networking	✓	✓	✓		
Machine Learning	✓	✓	✓		
Blockchain	✓	✓	✓		
SQL	✓	✓	✓		
Tableau	✓	✓	✓		
R	✓	✓			
MongoDB	✓				
Mitre Att&ck	✓	✓	✓		
Kali Linux	✓	✓			

RELEVANT COURSEWORK			Length		
		Type	(hours)	Brought by	Issued
Cybersecurity	Penetration Testing & Ethical Hacking with Kali Linux	Course	20	PluralSight	2021
	Penetration Testing Automation - Python & Kali Linux	Course	40	PluralSight	2021
	Certified Information Systems Security Professional	Cert. training	19	Cybrary	2021
Python	Python Pro Bootcamp for 2021	Bootcamp	90	Dr. Angela Yu – Udemy	2021
	Crash Course on Python	Course	32	Google	2021
	Programming Essentials in Python	Course	16	CISCO	2021
Web Dev	Web Developer Bootcamp	Bootcamp	82	Colt Steele – Udemy	2021
	JavaScript Course 2021: Zero to Expert	Course	68	ZTM Academy	2021
JIRA	Agile with Atlassian Jira	Course	8	Atlassian	2021
GIT	Version Control with Git	Course	8	Atlassian	2021