

DOUGLAS MORA

Audit — Compliance | Software Dev

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[douglas-mora](#) 

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CAREER

Software Developer | Independent

Oct20>>>

- Designing, programming, and deployment of Python-based applications.

Corporate Internal Auditor | Smile Direct Club (health provider, public entity in the US)

Sep19/Oct20

Nashville, USA

- Documented Risk and Control Matrixes (RCM), narratives, and flowcharts, for ICFR and ITGC controls.
- Designed and performed tests of design and operating effectiveness on SOX controls (ICFR & ITGC).
- Audited the consolidated financial statements (USGAAP. Tools used: NetSuite, Workiva, Looker).
- Identified control deficiencies, and assisted control owners in designing remediation plans.

Global AML Risk Analyst | Citigroup (financial group)

May16/Sep19

Costa Rica

- Ensured AML compliance (BSA, FinCen, and others), engaging with global teams.
- Developed an Excel-based tool to improve production and quality metrics.

Auditor & Risk Analyst | Independent

May14/May16

- Performed risk-based procedures to identify internal controls gaps, both operational and IT-related.

Regional Corporate Auditor | Aval Group (financial group)

May13/May14

Colombia

- Audited banking network (operations, IT) across Central America. Reported a US\$4 million control gap.
- Identified AML deficiencies. Audited the migration of a bank acquired.

Internal Auditor | Grupo Sama (stock-market) & La Católica Hospital (health provider)

Aug07/May13

Costa Rica

- Audited financial statements and internal controls. Ensured compliance with Sugef regulations.
- Oversaw the implementation of a web-based audit software.
- Audited processes in preparation for Joint Commission International certification.

Senior External Auditor | Crowe Costa Rica (audit firm)

Mar03/Aug07

Costa Rica

- Audited regulated entities (under Sugef & Sugeval). Consolidated financial statements (IFRS & USGAAP).
- Oversaw the implementation of a web-based audit software built in-house.

EDUCATION

Ulatina University: Bachelor of Business Administration (BBA)

1999-2003

GOOGLE: Google Data Analytics Professional Certificate (8-course program).

2020-2021

University of Pennsylvania: Regulatory Compliance Specialization (4-course program).

2020-2021

Rice University: Scripting in Python Specialization (5-course program).

2020-2021

University of Michigan: Python Specialization (4-course program).

2020-2021

Infosec: Computer Forensics Specialization (3-course program)

2021

Infosec: Python for Cybersecurity Specialization (5-course program)

2021

LearnQuest: Python Scripting for DevOps Specialization Program (4-course program).

2021

LearnQuest: Scrum Master Certification Specialization (4-course program).

2021

University of Colorado System: Agile Leadership Specialization (5-course program).

2021

RELEVANT COURSEWORK		Type	Length (hours)	Brought by	Issued
Data Analytics	Google Data Analytics	Professional Certificate	140	GOOGLE	2021
Compliance	Regulatory Compliance	Specialization	48	Univ. of Pennsylvania	2021
	Building a Fraud-Resistant Organization	Course	8	AICPA	2019
	Forensic Accounting and Fraud Examination	Course	8	West Virginia Univ.	2016
	Terrorist Financing	Course	16	Basel Institute	2021
Cybersecurity	Computer Forensics	Specialization	28	Infosec	2021
	Penetration Testing & Ethical Hacking with Kali Linux	Course	20	PluralSight	2021
	Penetration Testing Automation - Python & Kali Linux	Course	40	PluralSight	2021
	Python for Cybersecurity	Specialization	20	Infosec	2021
	Certified Information Systems Security Professional	Cert. training	19	Cybrary	2021
Python	Python Scripting for DevOps	Specialization	32	LearnQuest	2021
	Scripting in Python	Specialization	32	Rice University	2021
	Python for Everybody	Specialization	96	Univ. of Michigan	2021
	Python Pro Bootcamp for 2021	Bootcamp	90	Dr. Angela Yu – Udemy	2021
	Crash Course on Python	Course	32	Google	2021
	Programming Essentials in Python	Course	16	CISCO	2021
Blockchain	Blockchain	Specialization	32	AICPA	2021
Web Dev	Web Developer Bootcamp	Bootcamp	82	Colt Steele – Udemy	2021
Agile & Scrum	Agile Leadership	Specialization	60	University of Colorado	2021
	Scrum Master Certification	Specialization	32	LearnQuest	2021
	Agile with Atlassian Jira	Course	8	Atlassian	2021
GIT	Version Control with Git	Course	8	Atlassian	2021