

# Character Identification on Multiparty Dialogue based on End-to-End Neural Coreference Resolution

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## Abstract

This document contains the instructions for preparing a camera-ready manuscript for the proceedings of ACL-2016. The document itself conforms to its own specifications, and is therefore an example of what your manuscript should look like. These instructions should be used for both papers submitted for review and for final versions of accepted papers. Authors are asked to conform to all the directions reported in this document.

## 1 Introduction

Our main goal is to accomplish a shared task in SemEval 2018 - Task 4: Character Identification on Multiparty Dialogues. This task requires us to build a system which can identify different mentions in multiparty dialogues as corresponding characters in the show. This task is rather challenging, for cross-document entity resolution is imperative for identifying such mentions as real characters.

Literally, the character identification problem is tackled as a coreference resolution task with a further step on entity linking. In terms of this task, the baseline model generates mentions from a coreference system, and then each coreference chain is linked to a specific character identity. Both parts are implemented with agglomerative convolutional neural network in previous system (Chen et al., 2017; Chen and Choi, 2016). Alternatively, we intend to use Bidirectional-LSTM with attention mechanism to address the same problem, which proves to have a satisfying performance in many NLP tasks. In our project, we apply the end-to-end neural coreference resolution model introduced by Lee and He, etc. (Lee et al., 2017). After obtaining the predicted clusters of mentions by

the end-to-end coreference system, we choose the same algorithm of entity-linking as the one Chen proposed in his paper.

## 2 Related Works

### 2.1 Coreference resolution

Machine learning methods have a long history in coreference resolution. However, the learning problem is challenging and, until very recently, hand-engineered systems built on top of automatically produced parse trees (Raghunathan et al., 2010) outperformed all learning approaches. Durrett and Klein (2013) showed that highly lexical learning approaches reverse this trend, and more recent neural models (Wiseman et al., 2016; Clark and Manning, 2016b,a) have achieved significant performance gains. However, all of these models still use parsers for head features and include highly engineered mention proposal algorithms. Such pipelined systems suffer from two major drawbacks: (1) parsing mistakes can introduce cascading errors and (2) many of the hand-engineered rules do not generalize to new languages or domains.

The end-to-end coreference resolution model we used in this task significantly outperforms all previous work without using a syntactic parser or hand-engineered mention detector.

### 2.2 Entity Linking

Entity linking has traditionally relied heavily on knowledge databases, most notably, Wikipedia, for entities (Mihalcea and Csomai, 2007b; Ratnikov et al., 2011b; Gattani et al., 2013; Francis-Landau et al., 2016). Although we do not make use of knowledge bases, our task is closely aligned to entity linking. Recent advances in entity linking are also applicable to our task since we see Francis-Landau et al. (2016) use convolutional

nets to capture semantic similarity between a mention and an entity by comparing context of the mention with the description of the entity. This work validates our usage of deep learning for character identification.

Dialogue tracking has been an expanding task as shown by the Dialogue State Tracking Challenges hosted by Microsoft (Kim et al., 2015). That an ongoing conversation can be dynamically tracked (Henderson et al., 2013) is exciting and applicable to our task because the state of a conversation may yield significant hints for entity linking and coreference resolution. Speaker identification, a task similar to character identification, has already shown some success with partial dialogue tracking by dynamically identifying speakers at each turn in a dialogue using conditional random field models.

### 3 End-to-End Coreference Resolution

#### 3.1 Introduction

Recent coreference models usually rely on syntactic parsers. However, the end-to-end coreference resolution directly consider all the spans up to a maximum length in the document as potential mentions, compute the probability of possible ancestors (previous span) for each span, and directly optimizes the marginal likelihood of antecedent spans from gold coreference clusters.

Since the number of potential mentions is very large, it is impractical to score all span pairs. So this model uses unary mention scores to prune the space of pairs of spans(spans and antecedents), which significantly reduce the pairwise computations.

#### 3.2 Model

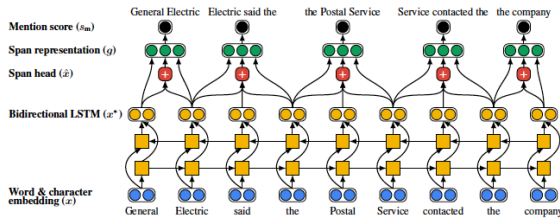


Figure 1: First step of the model

First step: computes embedding representations of spans for scoring potential entity mentions. A bidirectional LSTM (Hochreiter and

Schmidhuber, 1997) to encode the lexical information of both the inside and outside of each span. The model also includes an head-finding attention mechanism over words in each span to model head words. Low-scoring spans are pruned, so that only a manageable number of spans is considered for coreference decisions.

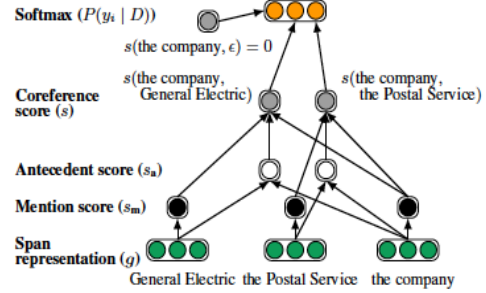


Figure 2: Second step of the model

Second step: compute antecedent scores from pairs of span representations. And then sum the mention scores of both spans and their pairwise antecedent score as the final coreference score of this pair of spans. The antecedent scoring function includes explicit element-wise similarity of each span pair and a learned 20-dimensional feature vector which encoding all features like speaker genre, span distance, mention width.

**Learning** We optimize the marginal log-likelihood of all correct antecedents implied by the gold clustering:

$$\log \prod_{i=1}^N \sum_{\hat{y} \in \mathcal{Y}(i) \cap \text{GOLD}(i)} P(\hat{y})$$

where  $\text{GOLD}(i)$  is the set of spans in the gold cluster containing span  $i$ . If span  $i$  does not belong to a gold cluster or all gold antecedents have been pruned,  $\text{GOLD}(i) = \{\varepsilon\}$ .

#### 3.3 Ablations

To show whether each component in our model is actually important, we ablate various parts of the architecture and report the average F1 on these experiments. We conducted one complete experiment and two ablation experiments, no heads and no features.

## 4 Character Identification

### 4.1 Introduction

Once the coreference resolution system is built and trained, we can predict co-references represented by clusters for each scene document. The next step should be making predictions from clusters to TV show character id. We model this process as an entity linking task. This section describes our entity linking model that takes the mention embeddings and the mention-pair embeddings generated ACNN and classifies each mention to one of the character labels.

### 4.2 Model

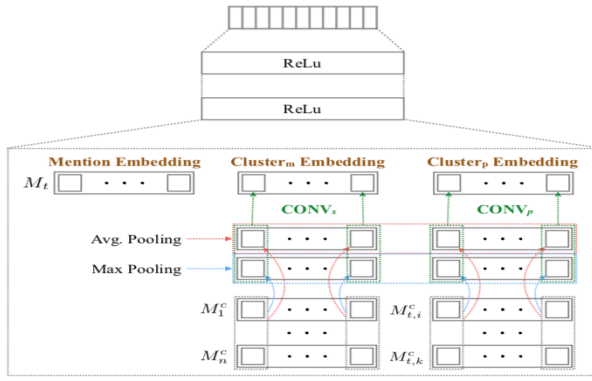


Figure 3: Character identification model

We prepare three embeddings generated by mentions which are predicted by precious co-reference system. The first embedding is mention embedding; the second is embedding of the cluster including the mention; the third is generated by mention-pair embedding, which pairs the mention with reaming mention in the same cluster. Here are the formal formula:

$$\mathbf{R}_s(C_m) = [\mathbf{r}_s(m_1), \mathbf{r}_s(m_2), \dots, \mathbf{r}_s(m_{|C_m|})]$$

$$\mathbf{R}_p(C_m, m) = [\mathbf{r}_p(m_i, m) \mid m_i \neq m]$$

In order to fix the input tensor size of both cluster embedding and mention-pair embedding, we perform avg-pooling and max-pooling for both embeddings. Then each of pooling layers is passed to a convolutional layer. Finally, we concat the mention embedding, cluster CNN embedding and mention-pair CNN embedding.

$$\mathbf{r}_s(C_m) = \text{CONV}_s \left( \begin{bmatrix} \text{avg\_pool}(\mathbf{R}_s(C_m)) \\ \text{max\_pool}(\mathbf{R}_s(C_m)) \end{bmatrix} \right)$$

$$\mathbf{r}_p(C_m, m) = \text{CONV}_p \left( \begin{bmatrix} \text{avg\_pool}(\mathbf{R}_p(C_m, m)) \\ \text{max\_pool}(\mathbf{R}_p(C_m, m)) \end{bmatrix} \right)$$

After concatenation, we feed them into a feed-forward neural network with two hidden layers. Final output is a softmax with the idmention of entity id number in Friends TV show.

### 4.3 Implementation

Our training data has 374 documents, which contains totally 13280 gold mentions that have gold entity label. Firstly, we need to get gold clusters and generate three embeddings, i.e. mention, cluster and mention pair embeddings. Since all gold clusters are labeled with entity ids, we can flat clusters to mentions while computing three embeddings. We can easily get mention embeddings from the pretrained coref model.

## 5 Dataset and Evaluation Metrics

### 5.1 Data Description

The data comes from the scripts of the first two seasons of TV show Friends, which are already annotated for this task. Specifically, each season consists of episodes, and each episode is comprised of scenes. Furthermore, each scene is segmented into sentences. All datasets follow the CoNLL 2012 Shared Task data format. Each sentence is delimited by a new line and each column indicates the information regarding the word or the punctuation.

### 5.2 Data Split

Considering the amount of total data is not so sufficient, we simply split them into two datasets for different purposes, training and evaluation sets. The exact raito of our training set to our evaluation set is 4:1. The evaluation set contains seventy-four scenes in *Friends*.

### 5.3 Coreference Evaluation Metrics

The coreference results are evaluated with three metrics apropos coreference resolution: MUC,  $B^3$ , and  $CEAF_e$ . The precision, recall and F1 score of each metric approach are calculate separately and then the averages of them are obtained, which are utilized to compare against each other.

#### MUC

MUC (Vilain et al., 1995) concerns the number of pairwise links needed to be inserted or removed to map system responses to gold keys. The number of links shared by system and gold are calculated. In addition, minimum numbers of links needed to

describe coreference chains of the system and gold are computed as well.

### $B^3$

Rather than evaluating coreference chains merely on their links,  $B^3$  (Bagga and Baldwin, 1998) metric computes precision and recall on a mention level. System performance is evaluated by the average of all mentions scores.

### $CEAF_e$

$CEAF_e$  (Luo, 2005) metric further clarifies the downside of  $B^3$ , in which entites can be used more than once during evaluation. Consequently, both multiple coreference chains of the same entity and chains with mentions of multiple entities are not penalized. To mitigate the aforementioned problem,  $CEAF_e$  evaluates exclusively on the best one-to-one mapping between the systems and golds entities.

## 6 Experiments

We use a dataset generated for first two seasons of Friends, which has less training data and shorter mentions than CoNLL-2012.

## 7 Results and Analysis

### 7.1 Coreference Resolution Results

We conducted one complete experiment and two ablation experiments, no heads and no features. The result of no features is shown in Table 1.

### 7.2 Entity Linking Results

We only got about 20% accuracy on character identification prediction, addressing that simply using combination of mention embeddings generated from coreference system is weak for predicting entity ids. It fails to contain cluster information even though the coreference system does be trained to optimize the clustering accuracy.

Another issue is that our models actually overfit. In the beginning, we used the ACNN model and overfit quite easily. Then we tried to reduce model complexities by only using one layer feed-forward neural network on mention embeddings, getting rid of cluster embedding and mention pair embedding. However, the prediction precision is still low. This problem is arisen from two reasons. Firstly, this dataset is too small to use very complex model like deep neural networks. Secondly, consider our model, mention embeddings are extracted from merely word embeddings, which are insufficient to capture entity information.

## 8 Conclusion and Future work

In this work, we implemented a character identification system by integrating the neural coreference system with a CNN based entity linking model. There are many open space for improvements. We should conduct the following experiments:

**Fortified Mention Embedding** The coreference model, proposed by Lee and He, does not add speaker ids and other features until calculating antecedent scores. We can add these features earlier in mention embeddings after LSTM so as to directly utilize speaker information.

**End-to-End Training** Another approach should be adding one layer for output entity ids after the coreference scores. The new loss function will be multiclass cross entropy. We can pre-train the coreference system and then train the entity linking part, by fixing the coreference model and optimize the loss. Inspired by (Clark and Manning, 2016), the further step will be designing loss function proposed in their work and boost the reliability of our system using reinforcement learning.

## Acknowledgments

The acknowledgments should go immediately before the references. Do not number the acknowledgments section. Do not include this section when submitting your paper for review.

## References

### A Supplemental Material

ACL 2016 also encourages the submission of supplementary material to report preprocessing decisions, model parameters, and other details necessary for the replication of the experiments reported in the paper. Seemingly small preprocessing decisions can sometimes make a large difference in performance, so it is crucial to record such decisions to precisely characterize state-of-the-art methods.

Nonetheless, supplementary material should be supplementary (rather than central) to the paper. It may include explanations or details of proofs or derivations that do not fit into the paper, lists of features or feature templates, sample inputs and outputs for a system, pseudo-code or source code, and

Table 1: My caption									
	No Features			No Heads			Normal		
	F1	R	P	F1	R	P	F1	R	P
MUC	79.14%	76.98%	81.42%	80.90%	80.38%	81.43%	80.84%	81.64%	80.71%
B <sup>3</sup>	60.68%	55.22%	67.34%	64.38%	62.54%	66.34%	65.39%	68.89%	62.23%
CEAF <sub>e</sub>	48.47%	48.21%	48.43%	52.89%	50.54%	55.48%	51.80%	53.51%	50.20%
AVG	62.76%	60.14%	65.73%	66.06%	64.49%	67.75%	66.02%	68.02%	64.17%

data. (Source code and data should be separate uploads, rather than part of the paper).

The paper should not rely on the supplementary material: while the paper may refer to and cite the supplementary material will be available to the reviewers, they will not be asked to review the supplementary material.

Appendices (i.e. supplementary material in the form of proofs, tables, or pseudo-code) should come after the references, as shown here. Use `\appendix` before any appendix section to switch the section numbering over to letters.

## B Multiple Appendices

...can be gotten by using more than one section. We hope you won't need that.