

# **Computer Vision**

**Week 10 – 11**

2025-2

Mobile Systems Engineering  
Dankook University

# Motivation & Bridge from Last Lecture

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## ■ Seq2Seq Model and Its Limitation

- **Seq2Seq (Sequence-to-Sequence)**

- Converts one sequence into another sequence (ex: Korean → English translation).
  - Uses **encoder** (to read the source sentence) and **decoder** (to generate the target sentence).

- **Context Vector  $v$**

- The encoder compresses the entire source sentence into a **single fixed-size vector**.
  - This vector is then passed to the decoder to generate the target sentence.

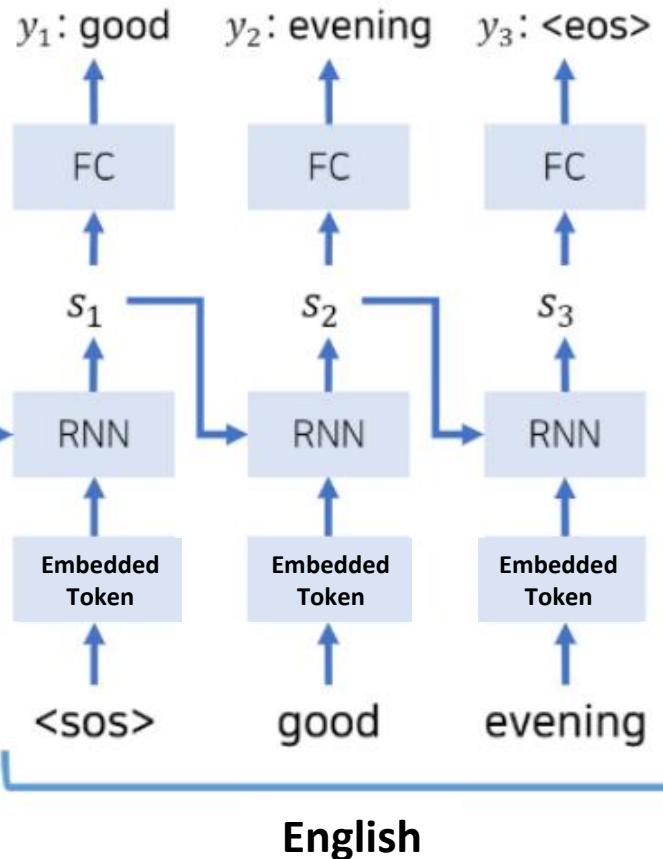
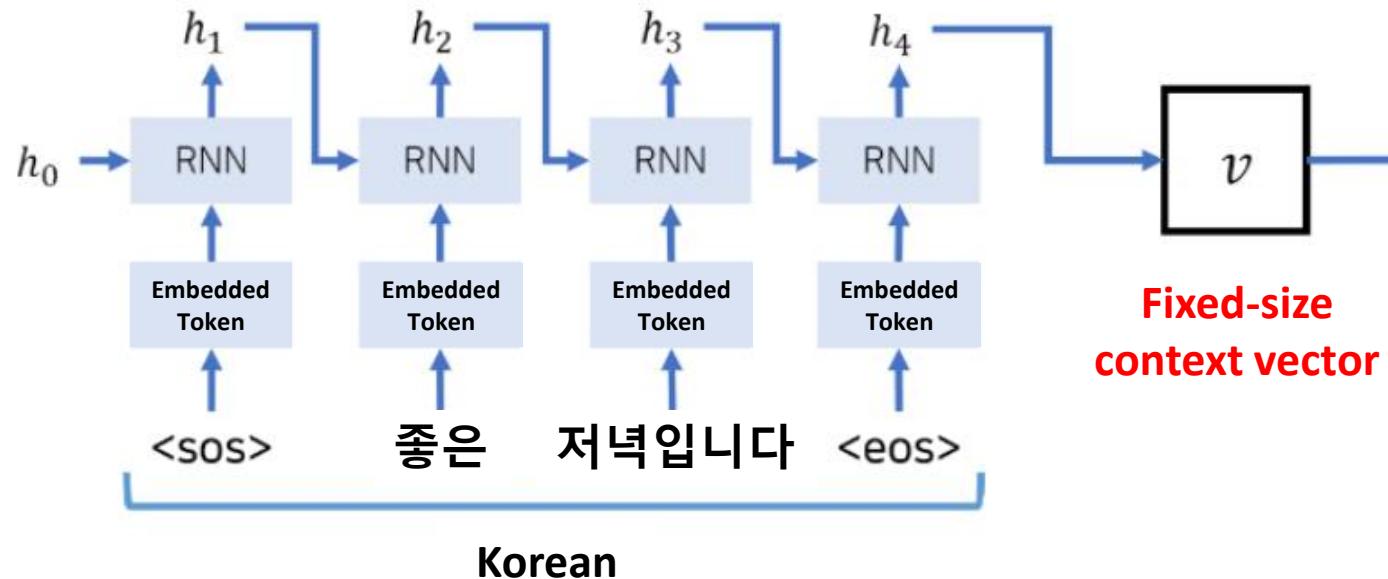
- **Problem – Bottleneck**

- All information from a long source sentence must fit into one vector.
  - This **bottleneck** causes **performance degradation** as sentence length increases.

# Motivation & Bridge from Last Lecture

## ■ Seq2Seq Example

- Example: Korean → English translation



- Source sentence (Korean)

✓ “좋은 저녁입니다.”

- Encoder

✓ Processes each word step by step → produces **hidden states**  $h_1, h_2, h_3\dots$  → compresses into one **context vector**  $v$ .

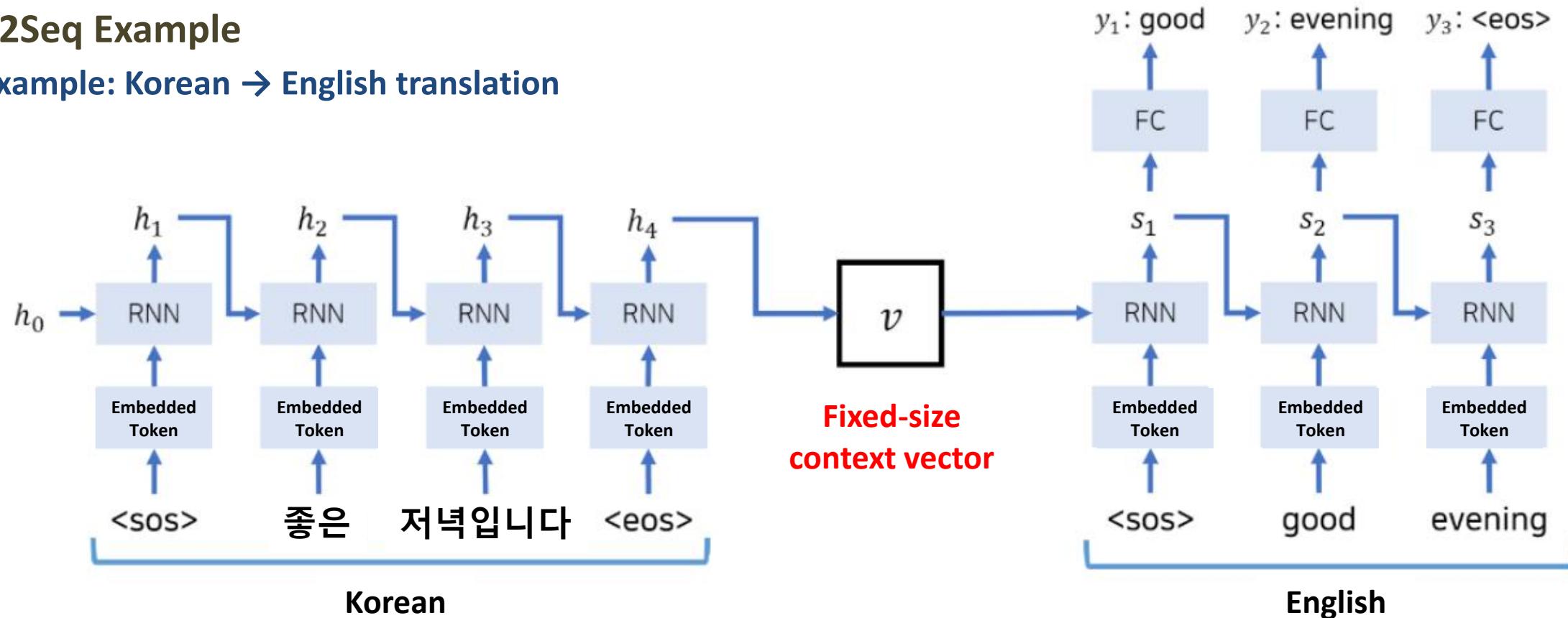
- Decoder

✓ Uses  $v$  to generate English sentence: “Good evening.”

# Motivation & Bridge from Last Lecture

## ■ Seq2Seq Example

- Example: Korean → English translation



### ○ Process

- ✓ **Step 1.** Each Korean word updates the hidden state.
- ✓ **Step 2.** Final hidden state becomes the **context vector**.
- ✓ **Step 3.** Decoder uses  $\mathcal{V}$  to produce hidden states  $s_1, s_2, s_3, \dots$
- ✓ **Step 4.** Each step outputs one English word until  $\text{<eos>}$  token is reached.

# Motivation & Bridge from Last Lecture

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## ■ Why Attention Is Needed

### • 1. Limitation of Recurrent Models (RNN, LSTM, GRU)

- They generate the hidden state  $h_t$  as a function of the previous hidden state  $h_{t-1}$  and the current input.
- This sequential dependency prevents parallelization across time steps, making training inefficient for long sequences.
- Memory usage grows and batch processing becomes difficult as the sequence length increases.

### • 2. Seq2Seq with Fixed Context Vector

- Early neural machine translation used Seq2Seq with LSTMs.
- The encoder compresses the entire input sentence into a single fixed-size context vector.
- The decoder then generates the output sequence word by word from this vector.
- Problem: For long sentences, this bottleneck CANNOT capture all information → performance drops.
- This also leads to a locality issue: the decoder only sees the compressed context, losing global sentence structure.

## Motivation for Attention

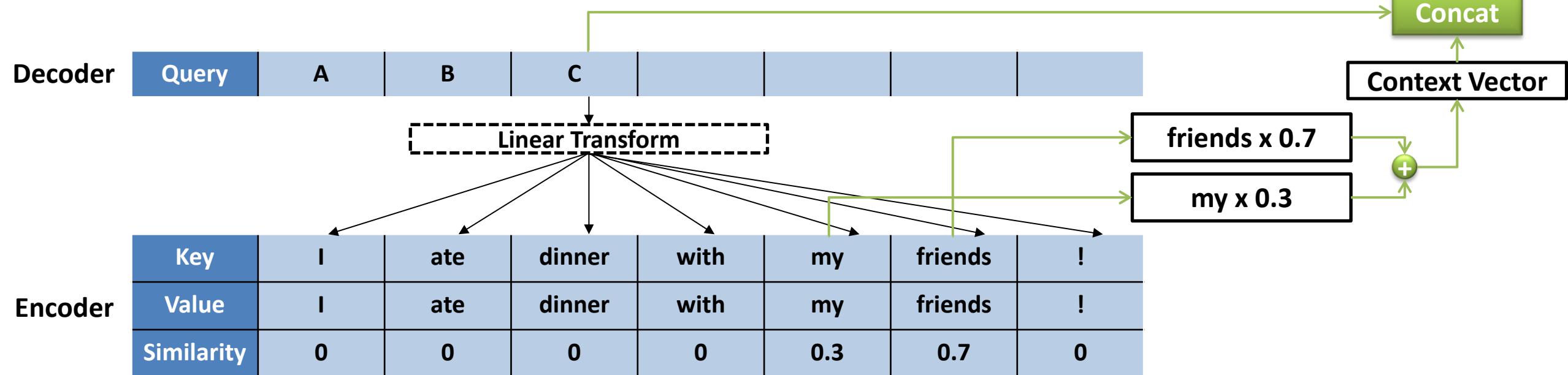
- Instead of relying on **one fixed vector**, what if the decoder could access **all encoder hidden states** dynamically?
- This allows the decoder to look back at the **entire input sequence**, not just a compressed summary.  
→ This leads to Seq2Seq with Attention (2015), where the decoder attends to all encoder outputs.  
→ Later, the Transformer (2017) took this further by removing recurrence entirely and using only Attention, enabling parallelization and capturing global dependencies effectively.

# Motivation & Bridge from Last Lecture

## ■ Recap – Basic Attention Mechanism

- Overview – Attention as Key-Value Lookup

- Attention is a **differentiable key-value function**.
- Unlike a dictionary, the query does not need to exactly match a key.
- Instead, the query compares with all keys to compute **similarity scores**.
- The output is a **weighted sum of values**, where weights are based on similarity.



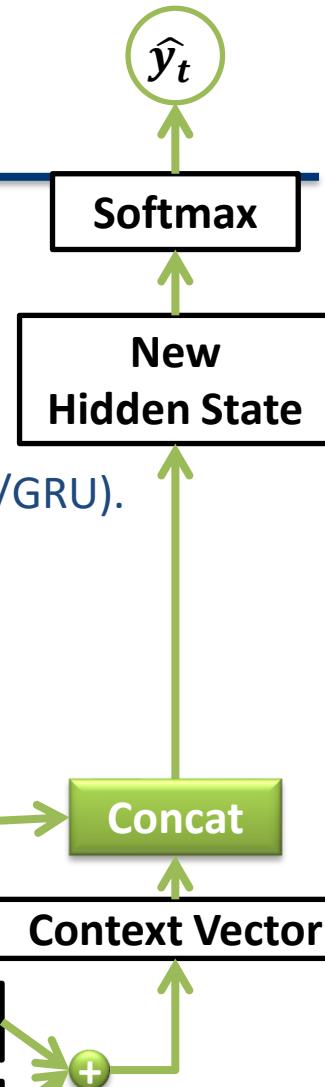
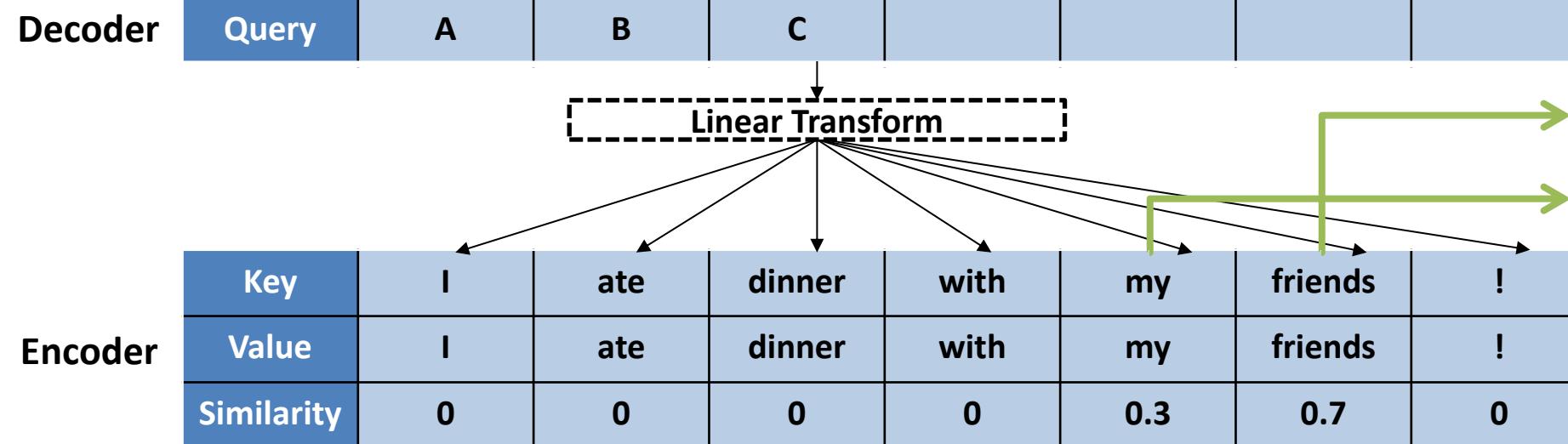
# Motivation & Bridge from Last Lecture

## Recap – Basic Attention Mechanism

- Key Components – Key, Value, and Linear Transformation in Attention

- 1. Key and Value

- ✓ Each **Key** and **Value** can be seen as the **hidden state vectors** produced by the encoder (RNN/LSTM/GRU).
- ✓ They are **not just the original word embeddings**, but representations that contain **contextual information up to each token**.
- ✓ In most attention mechanisms, **Key** and **Value** come from the same encoder hidden states:
  - **Key**: used to measure similarity with the Query
  - **Value**: used to form the **context vector** through a weighted sum



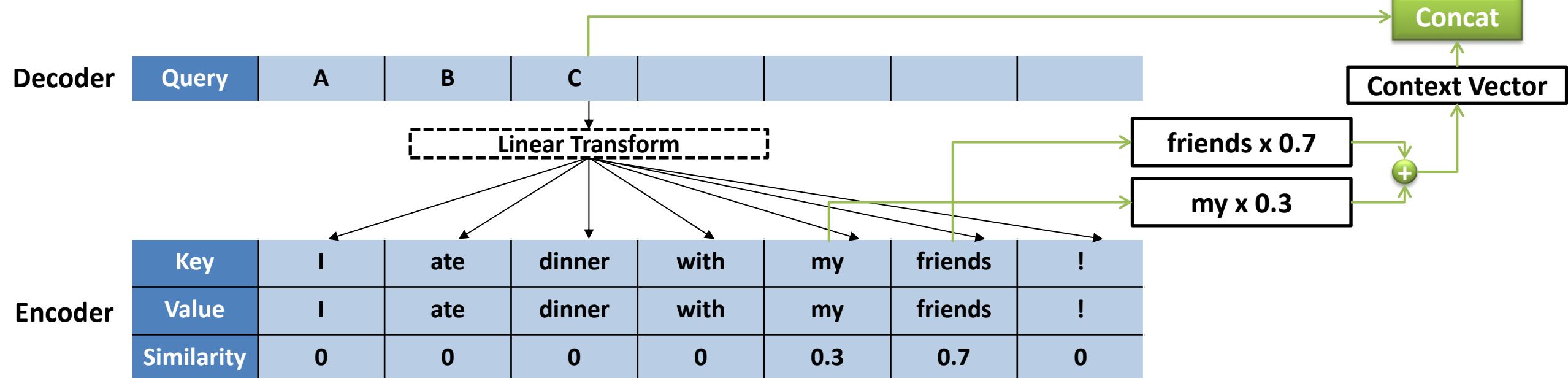
# Motivation & Bridge from Last Lecture

## ■ Recap – Basic Attention Mechanism

- Key Components – Key, Value, and Linear Transformation in Attention

- 2. Linear Transformation

- ✓ The “Linear Transform” in the diagram is applied to the **decoder hidden state** (the Query).
    - ✓ This transforms the decoder’s hidden state into a **Query vector** that can be compared with the Keys.



# Motivation & Bridge from Last Lecture

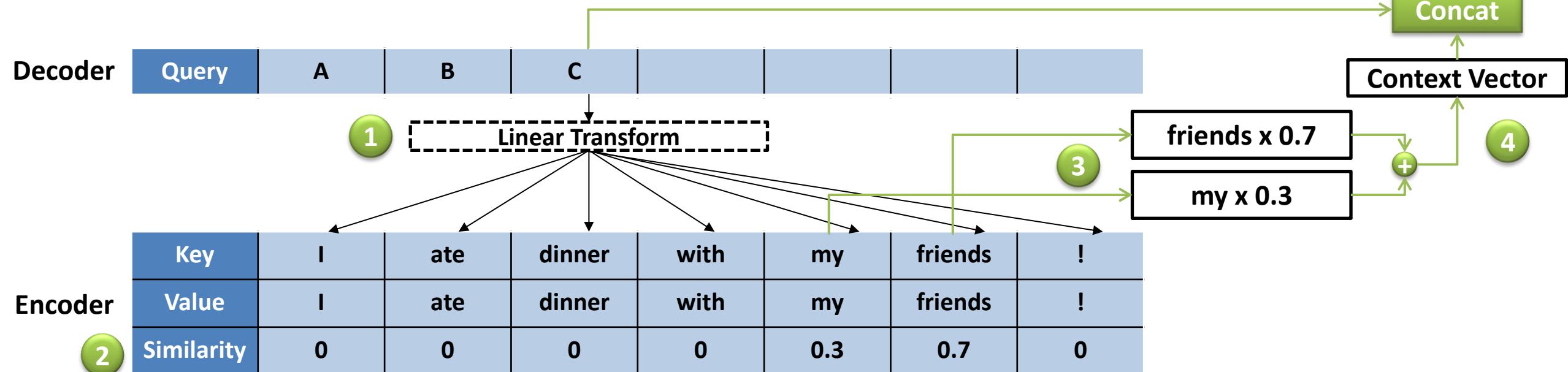
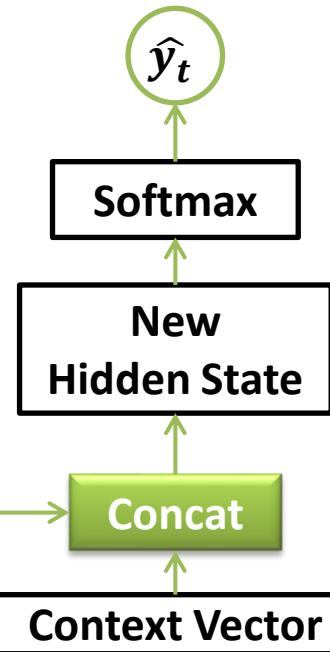
## ■ Recap – Basic Attention Mechanism

- Process – Attention Mechanism

- Steps

- ✓ (1) Transform decoder hidden state with linear map  $W_a$ .
- ✓ (2) Compare query with all keys → compute similarity.
- ✓ (3) Apply softmax to normalize scores into weights  $w$ .
- ✓ (4) Compute context vector cas weighted sum of values.

- Query (Q): current decoder hidden state  $h_t^{dec}$
- Keys (K): encoder hidden states  $\{h_1^{enc}, \dots, h_m^{enc}\}$
- Values (V): usually the same as encoder hidden states



# Motivation & Bridge from Last Lecture

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- Recap – Basic Attention Mechanism

- Step 1. Linear Transformation (Decoder side)



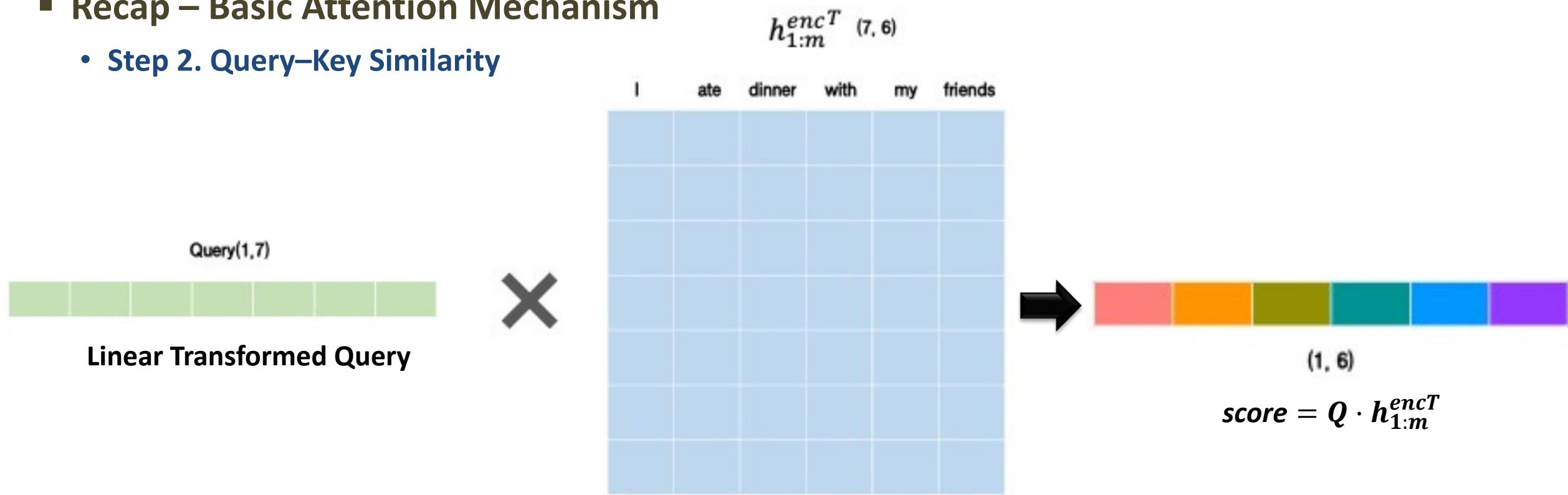
- The decoder hidden state  $h_t^{dec}$  has dimension **hidden size = 7**.
- Apply a linear transformation using matrix  $W_a \in \mathbb{R}^{7 \times 7}$ .
- This produces the **Query vector**

$$Q = h_t^{dec} W_a$$

- Shape: (1, 7)

# Motivation & Bridge from Last Lecture

- Recap – Basic Attention Mechanism
  - Step 2. Query–Key Similarity



- Encoder hidden states  $h_{1:m}^{enc}$  act as Keys.
- Compute similarity between Query and each Key
$$score = Q \cdot h_{1:m}^{encT}$$
- Shape: (1, m), where  $m$  = number of tokens in the source sentence.

# Motivation & Bridge from Last Lecture

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- Recap – Basic Attention Mechanism

- Step 3. Attention Weights (Softmax)

- Apply softmax to the similarity scores



$$\text{score} = Q \cdot h_{1:m}^{\text{enc}T} = h_t^{\text{dec}} \cdot W_a \cdot h_{1:m}^{\text{enc}T}$$

$$w = \text{softmax}(h_t^{\text{dec}} \cdot W_a \cdot h_{1:m}^{\text{enc}T}) = \text{softmax}(Q \cdot h_{1:m}^{\text{enc}T}) = \text{softmax}(\text{score})$$

- These weights represent the **relevance of each source token** to the current decoder step.

- Step 4. Context Vector Construction

- Multiply weights with encoder **hidden states (Values)** and sum them up:

$$c = \sum_{i=1}^m w_i \cdot h_i^{\text{enc}}$$

- This **context vector** encodes the most relevant information from the source sequence for predicting the next word.<sup>12</sup>

# Motivation & Bridge from Last Lecture

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- Recap – Basic Attention Mechanism

- Summary – Attention Mechanism with Equations

- Attention Weights, Context Vector, and Decoder Output

$$w = \text{softmax}(h_t^{dec} \cdot W_a \cdot h_{1:m}^{encT}) ; w = \text{attention weights}, W = \text{linear transformed Query}$$

$$c = w \cdot h_{1:m}^{enc} ; h = \text{hidden states of Value}$$

✓  $c \in \mathbb{R}^{\text{batch} \times 1 \times \text{hidden\_size}}$  : context vector

✓  $W_a \in \mathbb{R}^{\text{hidden\_size} \times \text{hidden\_size}}$ : linear transform

$$\tilde{h}_t^{dec} = \tanh([h_t^{dec}; c] \cdot W_{concat})$$

$$\hat{y}_t = \text{softmax}(\tilde{h}_t^{dec} \cdot W_{gen})$$

✓ Combine decoder hidden state and context vector.

✓ Transform back to hidden size with  $W_{concat}$ .

✓ Generate prediction with softmax over vocabulary.

# Motivation & Bridge from Last Lecture

## ■ Recap – Basic Attention Mechanism

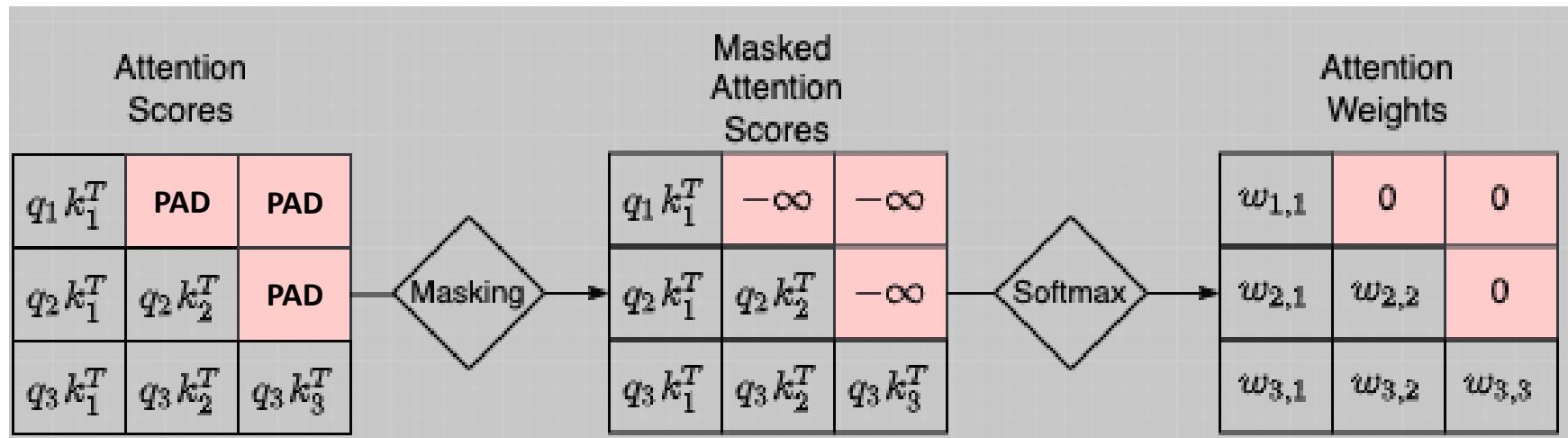
- Masking in Attention

- Problem

- ✓ Sentences in a batch have different lengths. → Shorter sentences padded with <PAD> tokens.  
→ If <PAD> contributes to attention, it introduces noise.

- Solution

- ✓ Apply mask → replace <PAD> positions with  $-\infty$  before softmax.
    - ✓ After softmax, weights for <PAD> become exactly 0.



# Motivation & Bridge from Last Lecture

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- Recap – Basic Attention Mechanism

- Masking in Attention

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# Transformer Architecture

## Architecture Overview

- The Transformer has an **Encoder–Decoder structure**, each built as a **stack of N identical layers** (originally  $N = 6$ ).

### Encoder layer

- Attention Type 1 – Multi-Head Self-Attention (MHSA)
- Feed-Forward Network (FFN)
- Residual connection & LayerNorm around each sublayer.

### Decoder layer

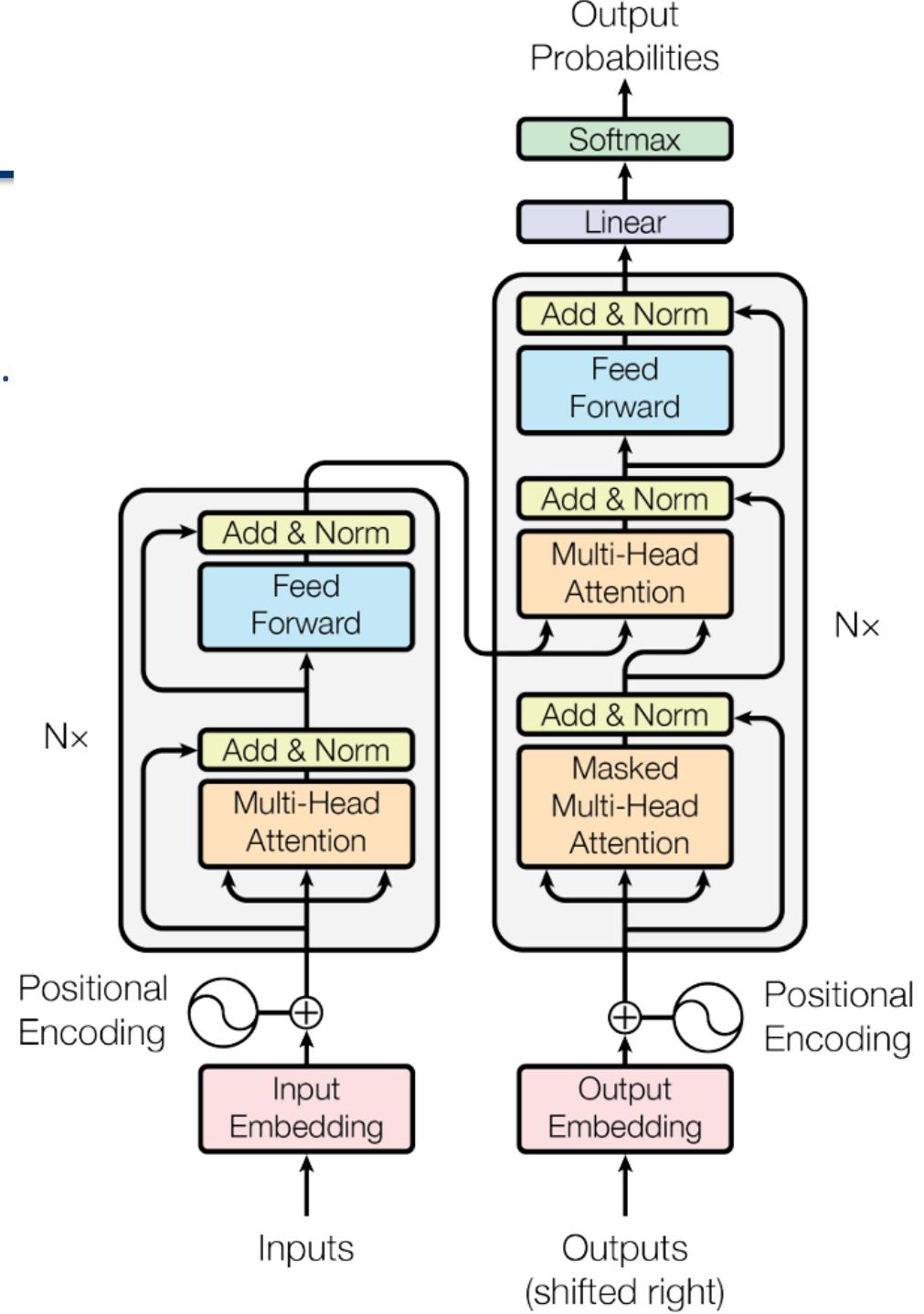
- Attention Type 2 – Masked Multi-Head Self-Attention

- Attention Type 3 – Encoder–Decoder Attention

- Feed-Forward Network
- Residual connection & LayerNorm around each sublayer.

### Base model sizes

- $d_{model} = 512, d_{ff} = 2048, h = 8$



# Transformer – Key Components

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- **Attention Type 1** – Multi-Head Self-Attention (MHSA)

- **Self-Attention**

- Before we dive into Multi-Head Attention, let's carefully understand how **Self-Attention** works.

- **Problem**

- ✓ Traditional models like RNNs and CNNs struggle when sequences get long

- RNNs may forget earlier words due to the fixed hidden state.

- CNNs capture local patterns well, but long-range dependencies are harder to learn.

- **Goal**

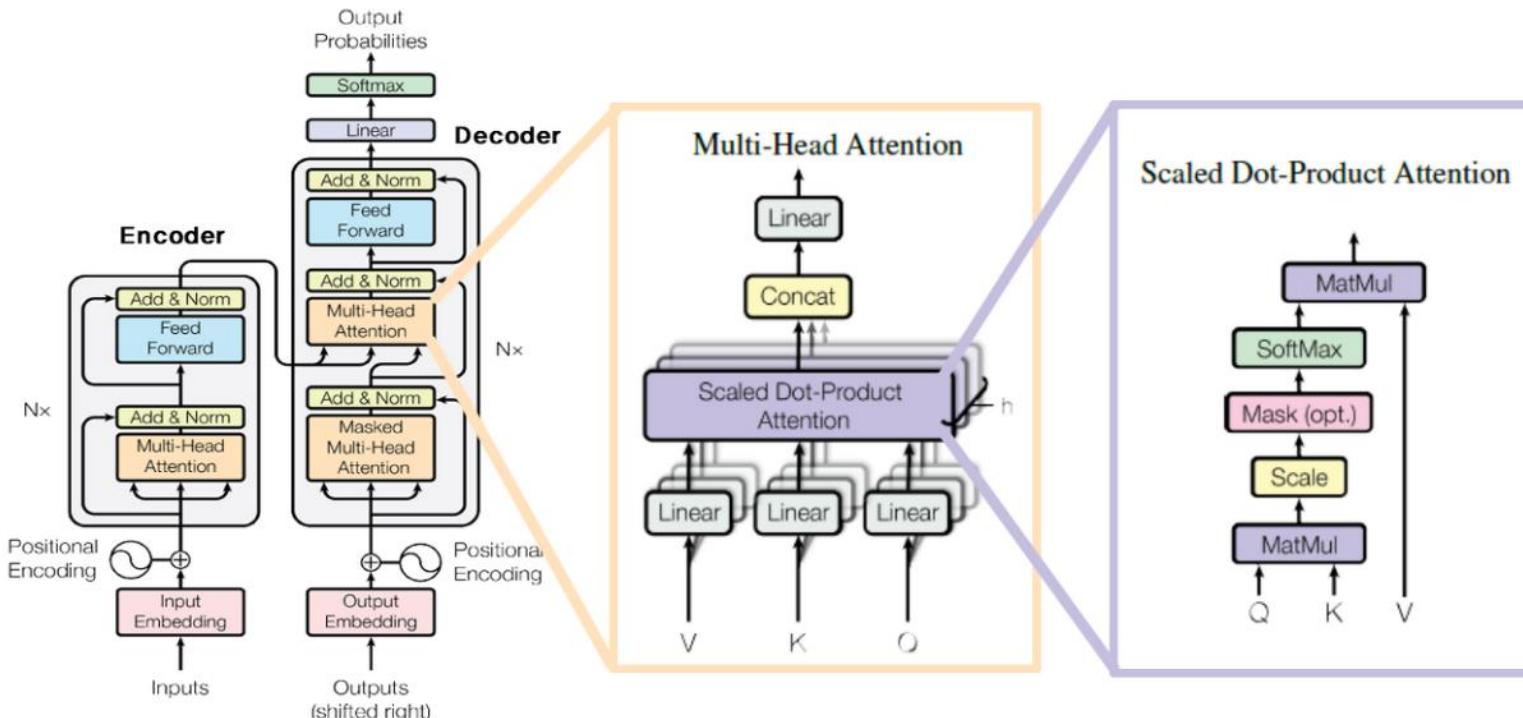
- ✓ Determine **how much each word in a sequence should attend to the other words.**

# Transformer – Key Components

## ▪ Attention Type 1 – Multi-Head Self-Attention (MHSA)

- **Self-Attention**

- Self-Attention solves this by allowing **every word to directly attend to every other word** in the sequence.
  - ✓ It answers the question: “*Which words should this word pay attention to, and by how much?*”



- **Example**

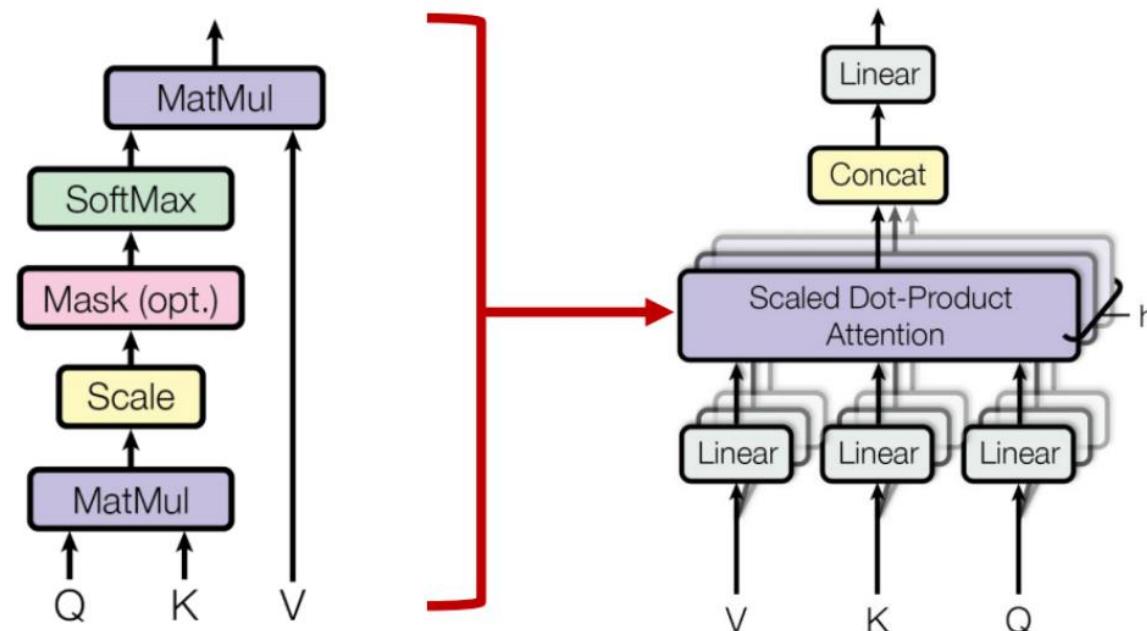
- ✓ In “I love you”, the representation of “I” is updated by looking at both “love” and “you,” weighted by their importance.

# Transformer – Key Components

## ▪ Attention Type 1 – Multi-Head Self-Attention (MHSA)

- **Queries, Keys, and Values**

- To compute attention, we first generate three sets of vectors from our input embeddings



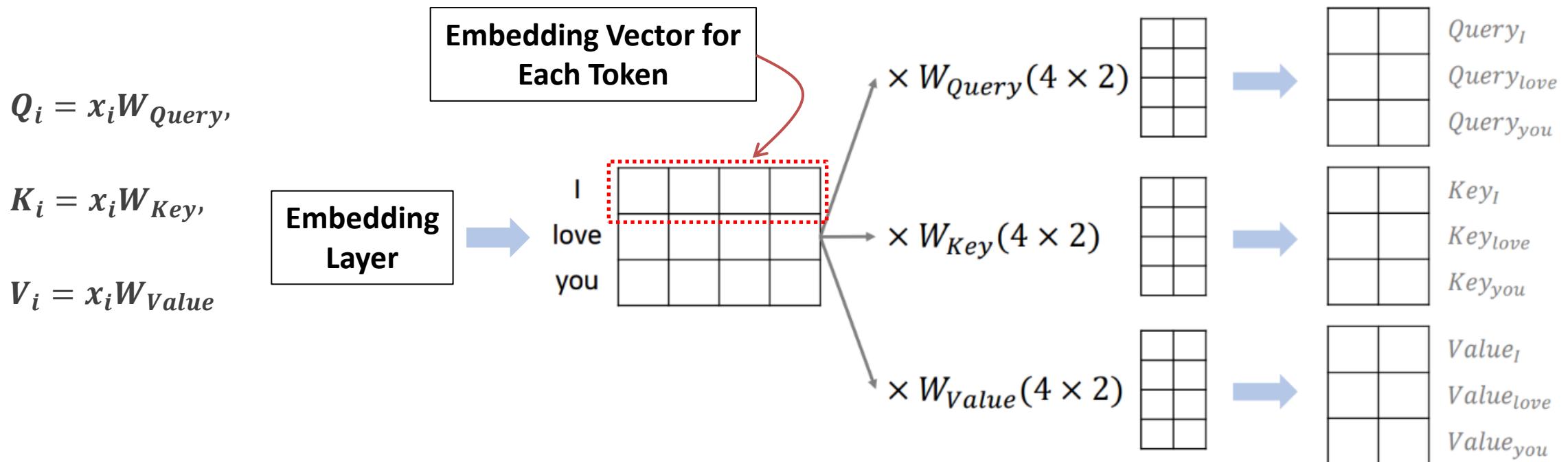
- ✓ **Query (Q):** Represents the word we are focusing on – What am I looking for?
- ✓ **Key (K):** Represents how relevant each word is when queried – What do I contain that might be useful to others?
- ✓ **Value (V):** Contains the actual information that will be combined to produce the attention output – The actual information to be passed along.

# Transformer – Key Components

## ▪ Attention Type 1 – Multi-Head Self-Attention (MHSA)

- **Queries, Keys, and Values**

- Each word embedding  $x_i$  (e.g., 4-dimensional vector) is projected into three different spaces



✓ The weight matrices  $W_{Query}$ ,  $W_{Key}$ ,  $W_{Value}$  are **learnable parameters**.

✓ They are **linear transformations**, not embeddings.

➤ They map the same input into different roles for computing attention.

# Transformer – Key Components

## ■ Attention Type 1 – Multi-Head Self-Attention (MHSA)

### • Scaled Dot-Product Attention

- The formula for attention is

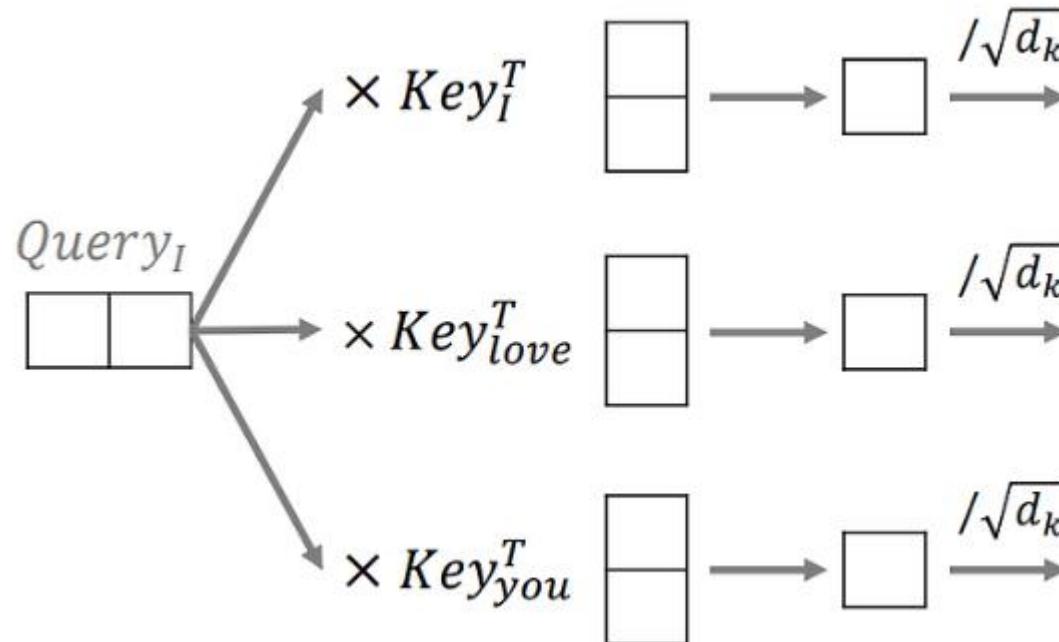
$$\text{Attention}(Q, K, V) = \text{softmax}\left(\frac{QK^T}{\sqrt{d_k}}\right)V$$

- Step 1: Similarity

- ✓ Compute the dot product between a Query and all Keys.
  - ✓ This measures how relevant each word is to the Query word.

- Step 2: Scaling

- ✓ Divide by  $\sqrt{d_k}$  to keep values small.
  - ✓ Prevents softmax from becoming too sharp when  $d_k$  is large.

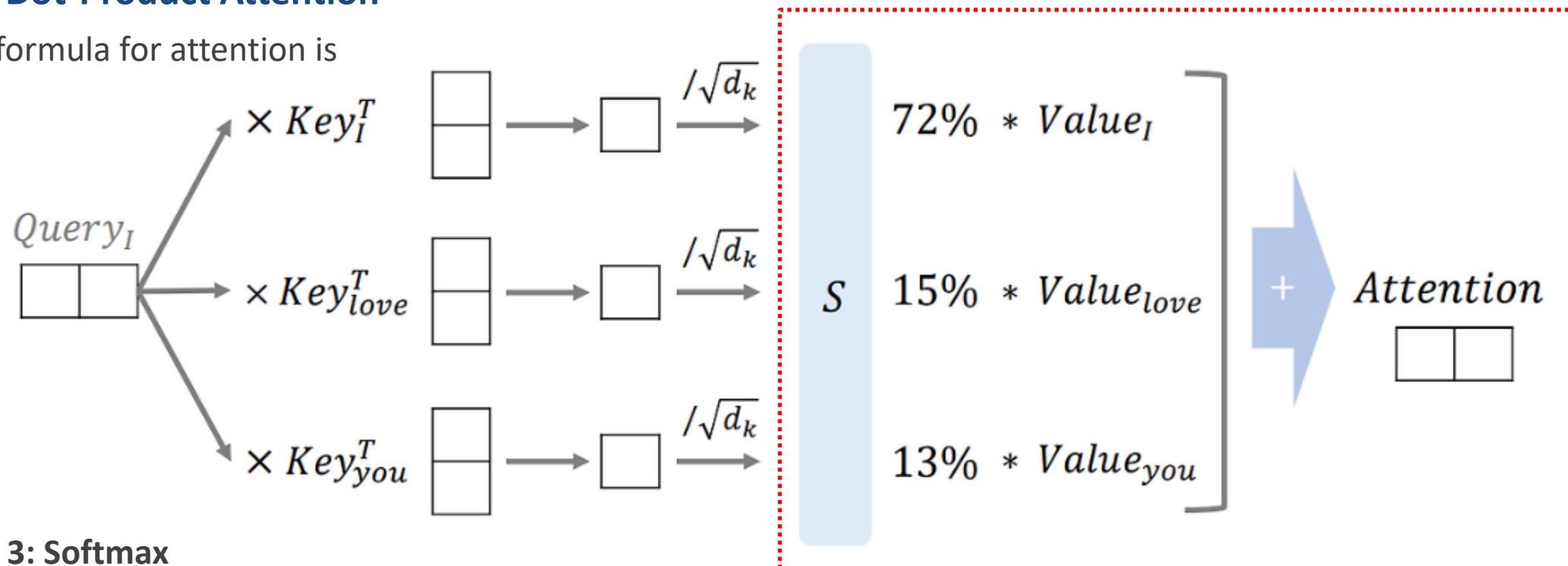


# Transformer – Key Components

## ■ Attention Type 1 – Multi-Head Self-Attention (MHSA)

### • Scaled Dot-Product Attention

- The formula for attention is



- Step 3: Softmax

✓ Convert similarity scores into probabilities (attention weights).

- Step 4: Weighted sum

✓ Multiply each Value vector by its attention weight.

✓ The result is the new representation for the word.

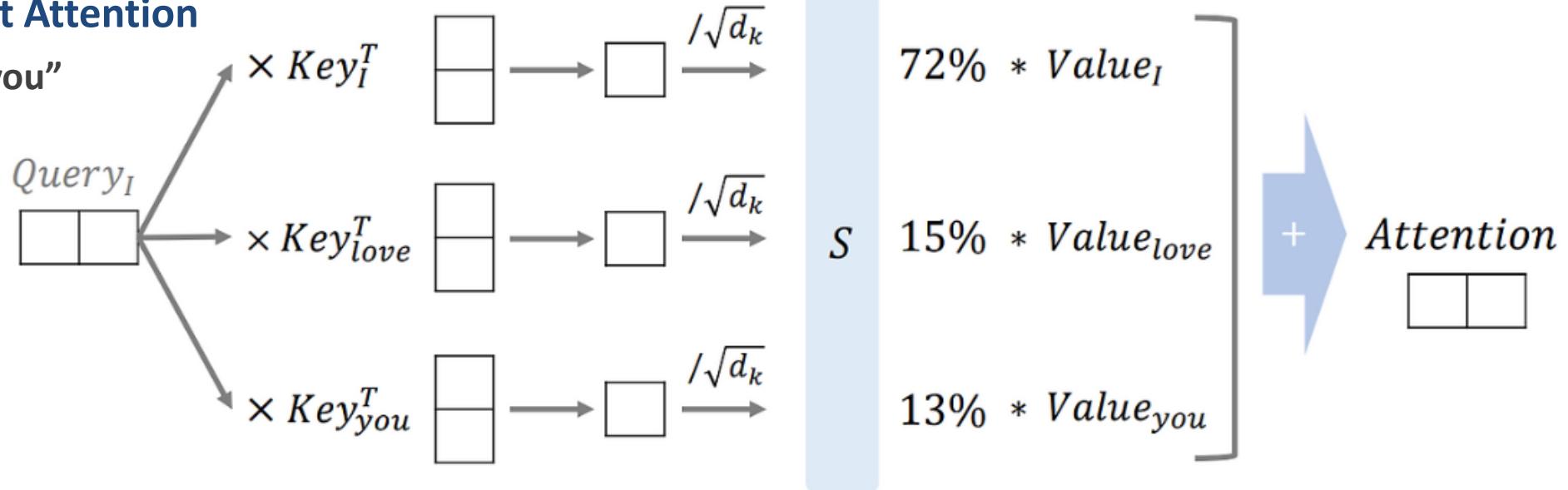
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# Transformer – Key Components

## ■ Attention Type 1 – Multi-Head Self-Attention (MHSA)

### • Scaled Dot-Product Attention

- Example: “I love you”



✓ Suppose we focus on the Query for “I”

- Dot product with  $Key_I$  → strong similarity → weight = 72%
- Dot product with  $Key_{love}$  → moderate → weight = 15%
- Dot product with  $Key_{you}$  → weaker → weight = 13%

✓ Final output for “I”:  $0.72 \cdot V_I + 0.15 \cdot V_{love} + 0.13 \cdot V_{you}$

✓ The word “I” is no longer just its original embedding.

It is now a **contextual embedding** that captures relationships with “love” and “you.”

# Transformer – Key Components

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- **Attention Type 1** – Multi-Head Self-Attention (MHSA)

- Scaled Dot-Product Attention

- Why Scaling is Necessary

- ✓ Without scaling, the dot products can be very large if  $d_k$  is large.

- When we compute self-attention, we use the dot product between a Query and a Key

$$s = q \cdot k = \sum_{i=1}^{d_k} q_i k_i$$

- If the dimension  $d_k$  is large, this dot product tends to grow in magnitude.

- This can cause the softmax function to produce extremely peaked distributions, leading to very small gradients and unstable training.

- ✓ Large values → softmax outputs are close to 0 or 1 → gradients vanish.

- ✓ Scaling by  $\sqrt{d_k}$  stabilizes training and ensures smoother gradients.

# Transformer – Key Components

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- **Attention Type 1** – Multi-Head Self-Attention (MHSA)

- Scaled Dot-Product Attention

- Why Scaling is Necessary

- ✓ Variance Analysis of the Dot Product**

- Let us assume

- Each element  $q_i$  and  $k_i$  is drawn from an independent distribution with mean 0 and variance 1.
    - That is,  $\mathbb{E}[q_i] = \mathbb{E}[k_i] = \mathbf{0}$  and  $\text{Var}(q_i) = \text{Var}(k_i) = \mathbf{1}$ .

- Then the variance of the dot product can be computed as

$$\text{Var}(q \cdot k) = \text{Var}\left(\sum_{i=1}^{d_k} q_i k_i\right)$$

- Since  $q_i$  and  $k_i$  are independent

$$\begin{aligned}\text{Var}(q \cdot k) &= \sum_{i=1}^{d_k} \text{Var}(q_i k_i) \\ &= \sum_{i=1}^{d_k} \mathbb{E}[q_i^2] \mathbb{E}[k_i^2] \\ &= \sum_{i=1}^{d_k} 1 \cdot 1 = d_k\end{aligned}$$

# Transformer – Key Components

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- **Attention Type 1** – Multi-Head Self-Attention (MHSA)

- Scaled Dot-Product Attention

- Why Scaling is Necessary

- ✓ Variance Analysis of the Dot Product

- The variance of the dot product grows **linearly with  $d_k$** .
        - When  $d_k$  is large, dot products become very large in magnitude.
        - Feeding these large values directly into the softmax makes the output distribution very sharp (close to one-hot).
        - **As a result, gradients become extremely small, which slows down or destabilizes training.**

- The Scaling Solution

- ✓ To address this, the Transformer introduces a **scaling factor**

$$s = \frac{q \cdot k}{\sqrt{d_k}}$$

- ✓ By dividing by  $\sqrt{d_k}$ , we normalize the variance

$$\text{Var}\left(\frac{q \cdot k}{\sqrt{d_k}}\right) = \frac{1}{d_k} \text{Var}(q \cdot k) = \frac{1}{d_k} \cdot d_k = 1$$

- This ensures that regardless of the dimensionality  $d_k$ , the variance of the scaled dot product remains stable ( $\approx 1$ ).

# Transformer – Key Components

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## ■ Attention Type 1 – Multi-Head Self-Attention (MHSA)

- **From Self-Attention to Multi-Head Attention**

- So far, we learned that **self-attention** allows each word to attend to all the other words in a sequence.
  - But the original Transformer goes a step further by introducing **Multi-Head Attention**.

- **Why Do We Need Multiple Heads?**

- A **single attention head** projects Queries, Keys, and Values into one subspace.
  - This limits the variety of relationships it can capture.
  - By using **multiple attention heads**, the model can learn to focus on different aspects of relationships **in parallel**:
    - ✓ One head may capture **syntactic structure** (e.g., subject–verb relationships).
    - ✓ Another may capture **semantic meaning** (e.g., word similarity).
    - ✓ Another may capture **positional dependencies**.
  - In short, multiple heads allow the model to **look at the sequence from different perspectives** at the same time.

# Transformer – Key Components

## ■ Attention Type 1 – Multi-Head Self-Attention (MHSA)

### • How Multi-Head Attention Works

- For each head  $i$ , we apply separate linear projections

$$\text{head}_i = \text{Attention}(Q \cdot W_i^Q, K \cdot W_i^K, V \cdot W_i^V)$$

- where each projection matrix has size

$$W_i^Q, W_i^K, W_i^V \in \mathbb{R}^{d_{\text{model}} \times d_k}$$

✓  $d_{\text{model}}$ : input embedding dimension (e.g., 512)

✓  $h$ : number of heads (e.g., 8)

✓  $d_k = d_v = \frac{d_{\text{model}}}{h}$  (e.g., 64)

- Each head computes its own attention output independently.

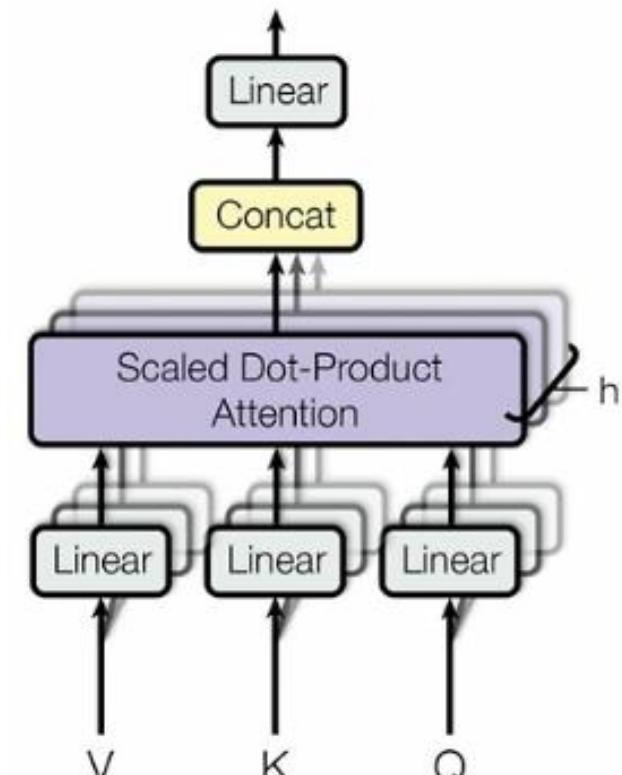
### • Combining the Heads

- Once all heads are computed

$$\text{MultiHead}(Q, K, V) = \text{Concat}(\text{head}_1, \dots, \text{head}_h)W^O$$

- The outputs of all heads are concatenated along the feature dimension.

- Then, a final projection  $W^O \in \mathbb{R}^{hd_v \times d_{\text{model}}}$  brings the dimension back to  $d_{\text{model}}$ .



Multi-Head Attention

# Transformer – Key Components

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## ■ Attention Type 1 – Multi-Head Self-Attention (MHSA)

### • The Role of Multi-Head Attention – Different Heads, Different Focus

- The following examples illustrate that different attention heads specialize in different roles

- **1. Sentence Type & Structure Attention**

- ✓ Some heads focus on functional words and punctuation, helping the model understand the **overall sentence form**.

- **2. Noun-Focused Attention**

- ✓ Other heads attend strongly to nouns, helping the model capture **entities** in the sentence.

- **3. Relation Attention**

- ✓ Certain heads capture **relations** between words, such as verb-object or subject-predicate dependencies.

- **4. Sentiment or Emphasis Attention**

- ✓ Some heads highlight emotionally charged words or intensifiers, focusing on the **tone of the sentence**.

Which do you like better, coffee or tea?

# Transformer – Key Components

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- **Attention Type 1** – Multi-Head Self-Attention (MHSA)

- The Role of Multi-Head Attention – Different Heads, Different Focus

- **Interpretability of Attention**

- ✓ Another advantage of attention is **interpretability**.
      - ✓ By visualizing attention weights, we can see **which words influence the prediction the most**.
      - ✓ Different colors in the visualization represent different heads.
      - ✓ This reveals that each head is not redundant but instead complements others.

# Transformer – Key Components

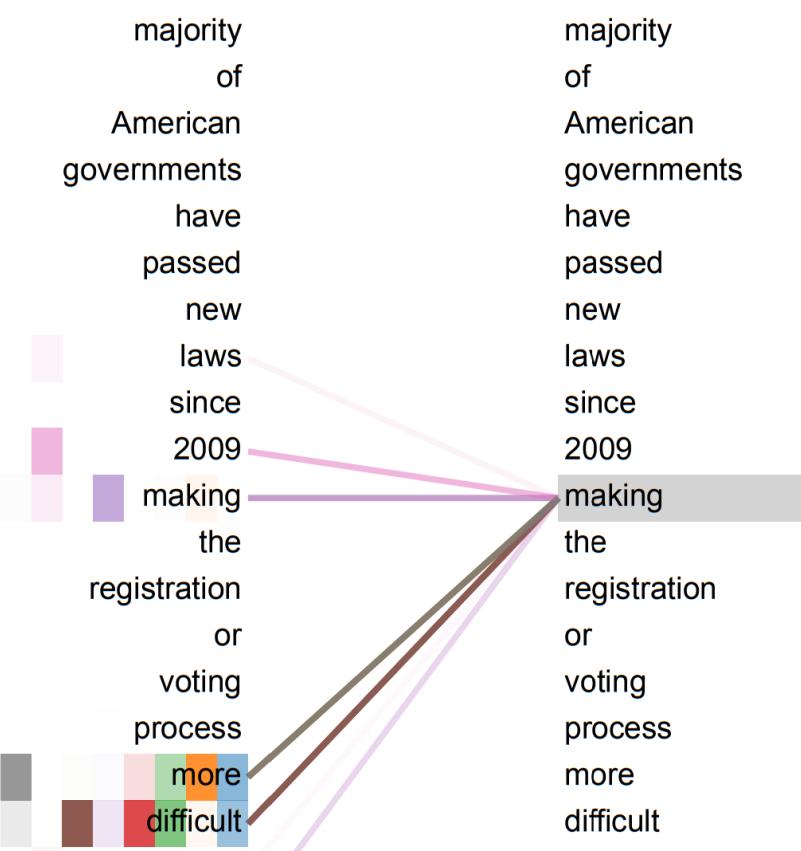
## ■ Attention Type 1 – Multi-Head Self-Attention (MHSA)

- The Role of Multi-Head Attention – Different Heads, Different Focus

- Case Studies - Interpretability of Attention

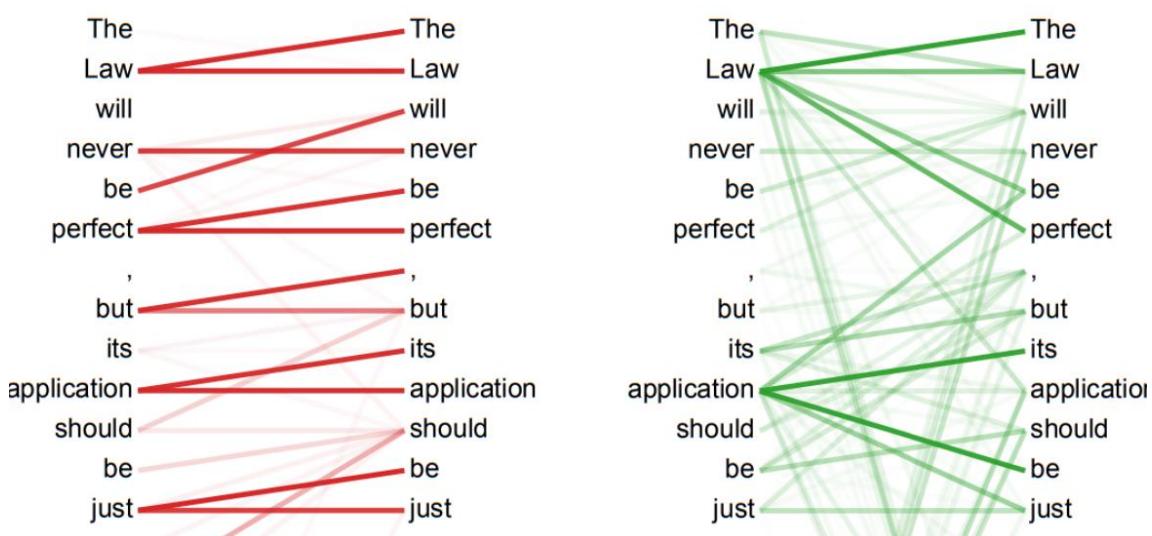
- ✓ 1. Long-Distance Dependency (Making example)**

- In one head, the verb “making” attends to words far earlier in the sentence.
    - This shows how the model captures **long-range dependencies** that RNNs struggle with.



- ✓ 2. Sentence Structure (Parallel Examples)**

- Some heads align multiple words with their repeated counterparts in translation or generation.
    - This reflects the model’s ability to learn **syntactic alignment**.



# Transformer – Key Components

## ■ Attention Type 1 – Multi-Head Self-Attention (MHSA)

- Multi-Head Attention in the Encoder

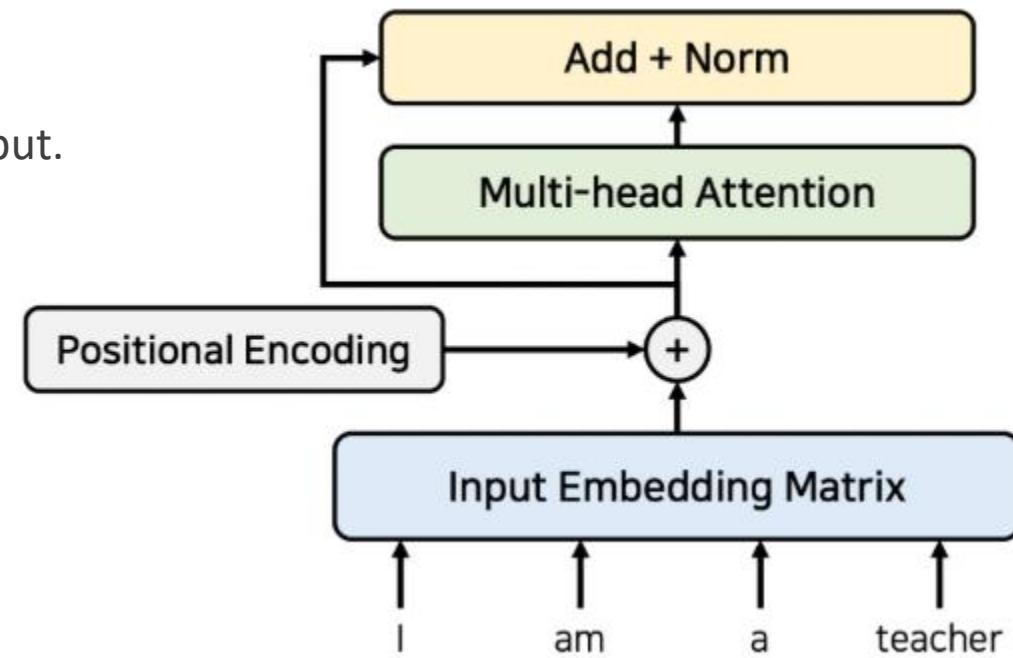
- Residual Connections in Transformers

- ✓ In the Transformer encoder, each sub-layer (such as **Multi-Head Attention** or the **Feedforward Network**) is wrapped with a residual connection

- 1. Input goes into the sub-layer.
    - 2. Output of the sub-layer is added back to the original input.
    - 3. This sum is then normalized (LayerNorm).

- Why Do We Use Residual Learning Here?

- ✓ It makes training more stable for deep architectures (Transformers often use 6–12 layers or more).
    - ✓ It allows the original input information to **flow through the network unaltered**, even if the sub-layer changes only a little.
    - ✓ It improves gradient flow during backpropagation, making optimization easier.



# Transformer – Key Components

## ■ Attention Type 1 – Multi-Head Self-Attention (MHSA)

### • Multi-Head Attention in the Encoder

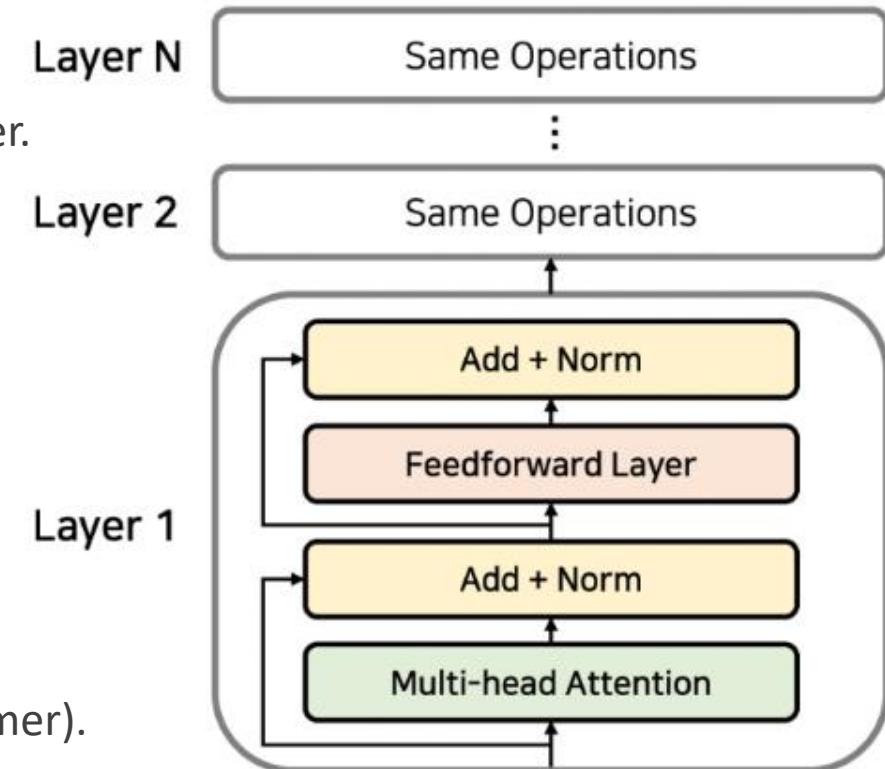
- In the Transformer Encoder, Multi-Head Attention is used in every layer.

- Each Encoder layer consists of
  - ✓ 1. Multi-Head Self-Attention
  - ✓ 2. Add & Norm (Residual Connection + Layer Normalization)
  - ✓ 3. Feedforward Network
  - ✓ 4. Another Add & Norm

- These layers are stacked **N times** (e.g., 6 layers in the original Transformer).

- The input embeddings are enriched step by step as they pass through each stacked layer.

- Importantly, **self-attention in the encoder** lets each word look at all the other words in the same sentence, helping the encoder build contextualized word representations.



# Transformer – Key Components

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## ▪ Attention Type 2 – Masked Multi-Head Self-Attention

- Motivation

- The **goal** of Decoder Self-Attention is the same as in the Encoder:  
→ To capture relationships between tokens in the sequence.

- Difference

- Encoder operates on the **input sentence** (all tokens available).
  - Decoder operates on the **output sentence** (generated tokens so far).

→ This means the decoder must ***not look at future words*** — otherwise it would be cheating.

- Auto-Regressive Property

- In sequence generation, the model predicts words **one by one**.
  - At time step  $t$ , the prediction must depend **only on words before  $t$** .

- Example

- ✓ Query = “I”, Key = [“I”]
    - ✓ Query = “love”, Key = [“I, love”]
    - ✓ Query = “you”, Key = [“I, love, you”]

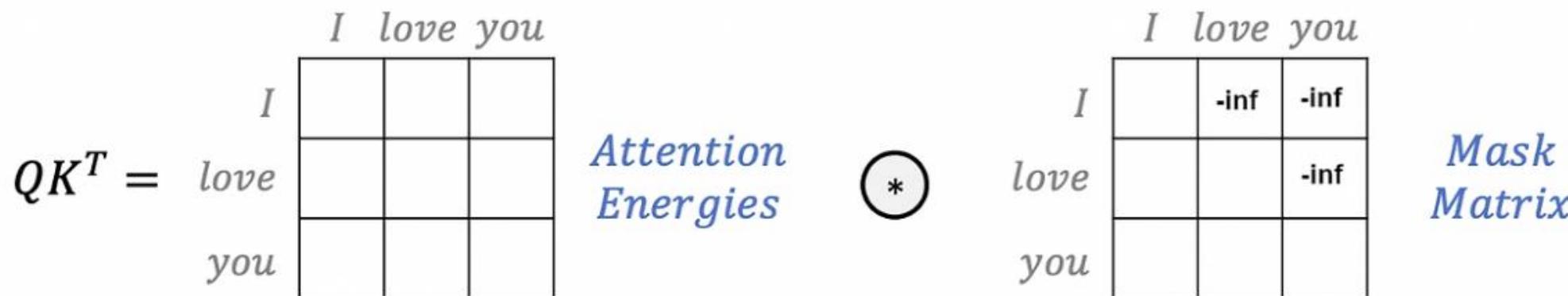
→ The decoder must not use information from future tokens when predicting the next word.

# Transformer – Key Components

## ■ Attention Type 2 – Masked Multi-Head Self-Attention

- **Masking Mechanism**

- To prevent access to future tokens, we use a **mask matrix**.
- Mask values are set to  $-\infty$  for forbidden positions.
- When passed through the **softmax function**, these positions become 0, meaning they are ignored.



$$QK^T \xrightarrow{+ \text{Mask}} \text{softmax} \left( \frac{QK^T}{\sqrt{d_k}} + \text{Mask} \right)$$

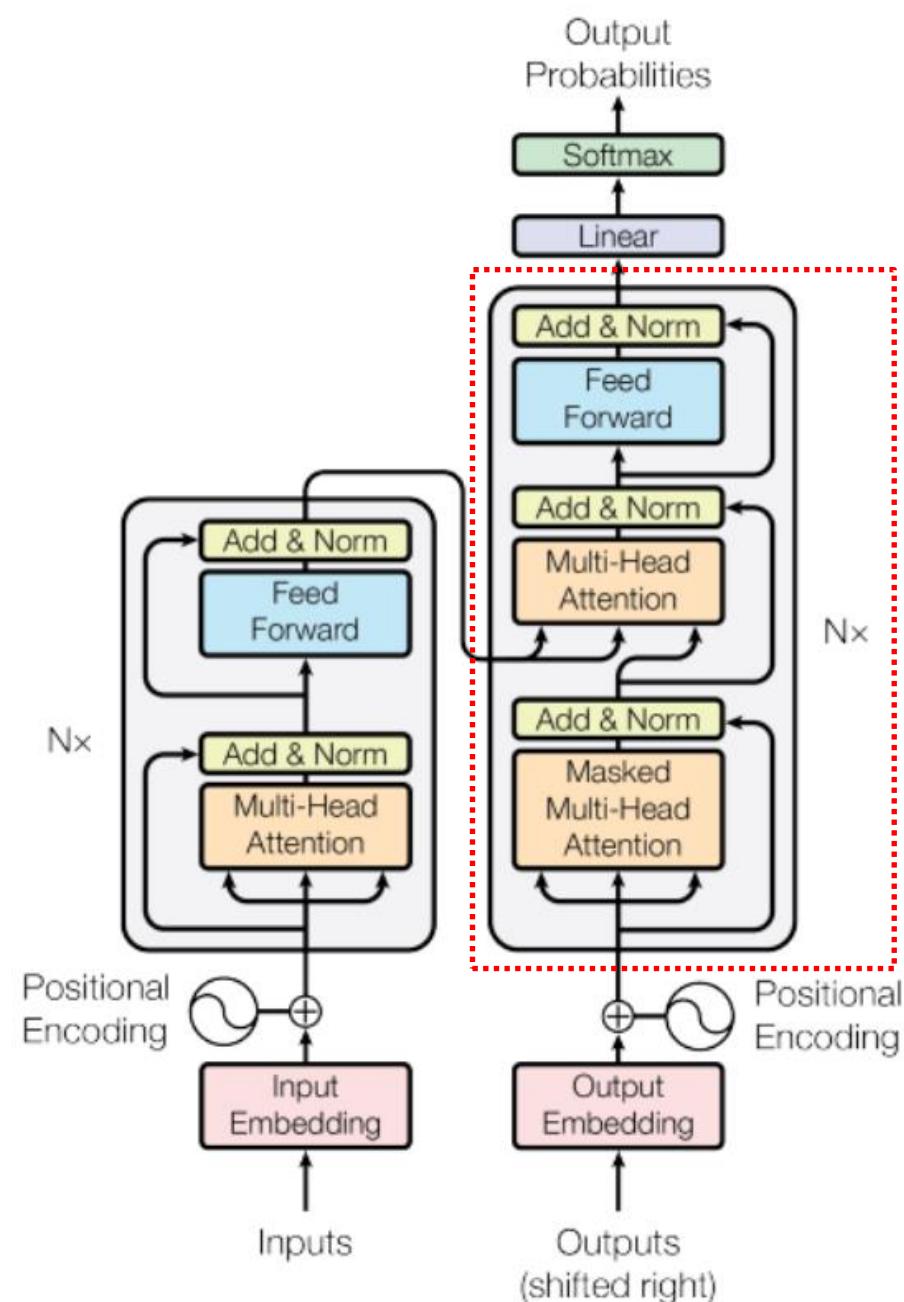
→ This ensures that each token only attends to itself and earlier tokens.

# Transformer – Key Components

## ▪ Attention Type 3 – Encoder–Decoder Attention

### • What is Encoder–Decoder Attention?

- In the decoder, there are **two types of attention**
  - ✓ **Masked Self-Attention** (prevents looking at future words).
  - ✓ **Encoder–Decoder Attention** (focuses on the input sequence).
- In **Encoder–Decoder Attention**
  - ✓ **Queries (Q)** come from the decoder.
  - ✓ **Keys (K)** and **Values (V)** come from the encoder.
- This allows the decoder to decide **which parts of the source sentence are most relevant** when generating each target word.



# Transformer – Key Components

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## ■ Attention Type 3 – Encoder–Decoder Attention

- Why Do We Need It?

- Self-attention in the encoder learns contextual representations of the input sentence.
- The decoder needs to know: “*When generating this word, which input words should I focus on?*”
- Encoder–Decoder Attention provides this mechanism by linking each decoder word to the encoder’s representations.

- Example

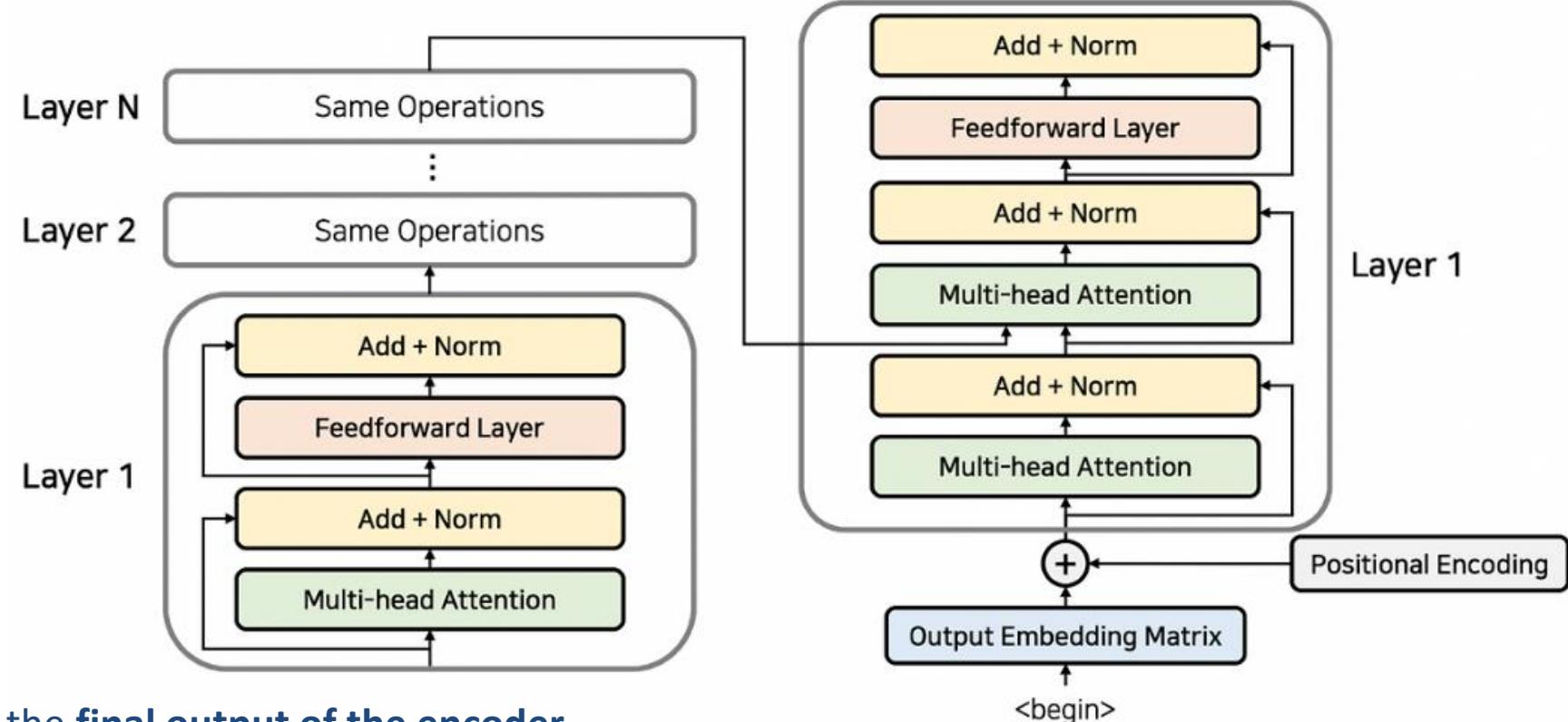
- When translating “*guten abend*” → “*good evening*”
  - ✓ The decoder word “*good*” should attend strongly to “*guten*.“
  - ✓ The decoder word “*evening*” should attend strongly to “*abend*.“

# Transformer – Key Components

## ■ Attention Type 3 – Encoder–Decoder Attention

### • How It Works

- At each decoding step



- ✓ 1. The decoder takes the **final output of the encoder**.
- ✓ 2. It computes attention scores between the decoder's Query and the encoder's Keys.
- ✓ 3. These scores weight the encoder's Values, producing a context vector.
- ✓ 4. This context vector guides the decoder in generating the next word.

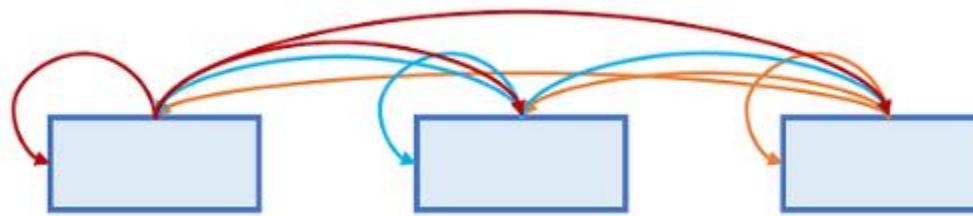
$$\text{Attention}(Q_{\text{decoder}}, K_{\text{encoder}}, V_{\text{encoder}})$$

# Transformer – Key Components

- Summary – Three Types of Attention in the Transformer

- Attention Type 1 – Encoder Multi-Head Self-Attention (MHSA)

- Each word in the input sequence attends to **all other words** in the same sentence.
    - Purpose: Build **contextual embeddings** that capture global relationships.



→ *Global context inside the encoder.*

■ : Encoder

- Attention Type 2 – Decoder Masked Multi-Head Self-Attention (Masked MHSA)

- Used in the **decoder** when generating output.
    - Each word can only attend to **itself and previous words**.
    - Future words are **masked** to preserve the **auto-regressive property**.

■ : Decoder



→ *Prevents cheating by blocking future tokens.*

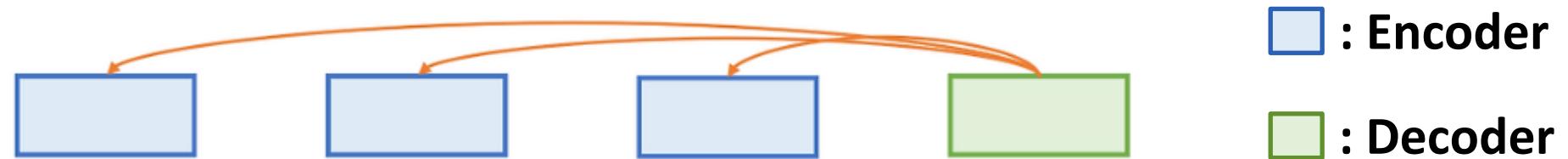
# Transformer – Key Components

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- Summary – Three Types of Attention in the Transformer

- **Attention Type 3 – Encoder–Decoder Attention**

- Queries come from the **decoder**, while Keys and Values come from the **encoder**.
    - Allows the decoder to focus on the **most relevant parts** of the input sentence.



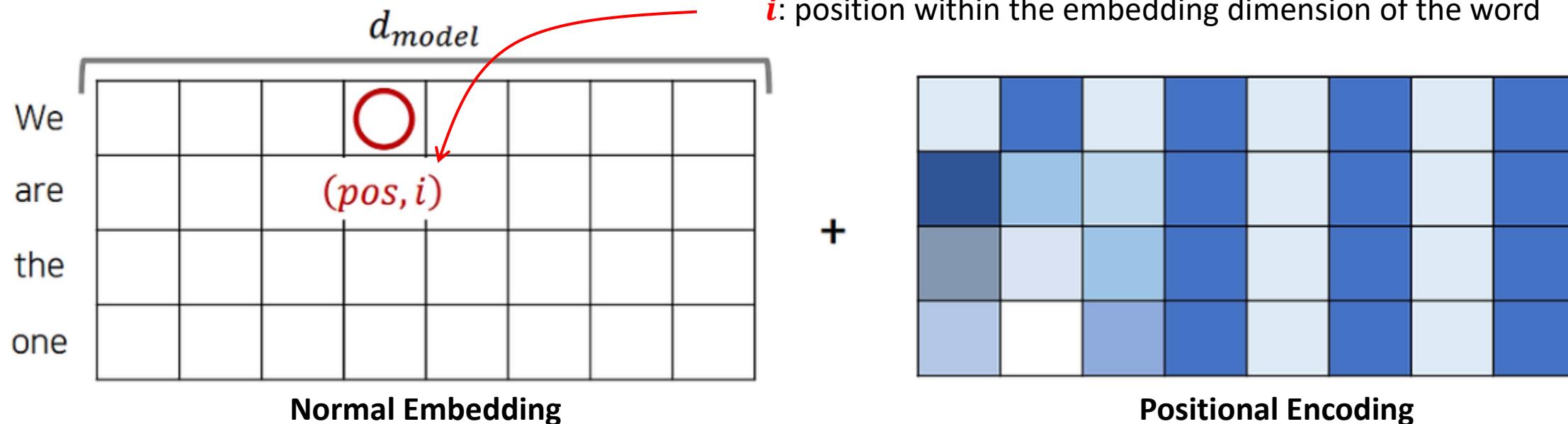
→ *Links the input sentence to the output generation.*

- **"Together, these three types of attention form the core of the Transformer architecture"**

# Transformer – Other Components

## ▪ Positional Encoding

- Why Do We Need Positional Information?



- Unlike RNNs or LSTMs, Transformers process the entire input sequence in parallel.
- This parallelization is powerful but loses the natural order of tokens that RNNs inherently have.
- To give the model a sense of sequence order, we add Positional Encoding to input embeddings.

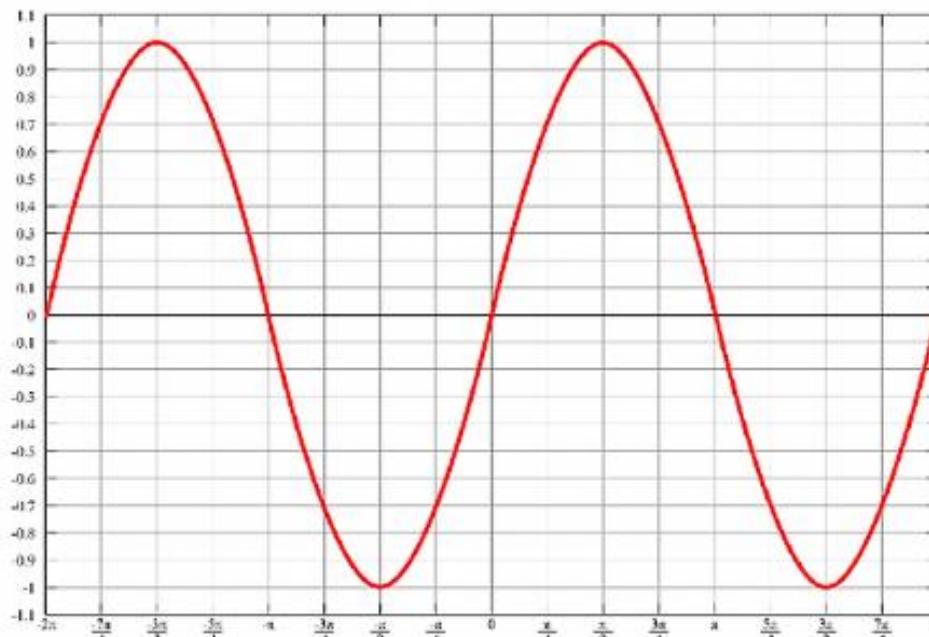
# Transformer – Other Components

## ■ Positional Encoding

### • The Idea of Positional Encoding

- Each token embedding **does not contain position by itself.**
- We generate a **positional vector** that encodes the position of each token in the sequence.
- Then, we simply **add this positional vector** to the word embedding before feeding it into the Transformer.

$$PE_{(pos, 2i)} = \sin\left(\frac{pos}{10000^{2i/d_{model}}}\right), \quad PE_{(pos, 2i+1)} = \cos\left(\frac{pos}{10000^{2i/d_{model}}}\right)$$



$$PE_{(pos, 2i)} = \sin(pos/10000^{2i/d_{model}})$$

$$PE_{(pos, 2i+1)} = \cos(pos/10000^{2i/d_{model}})$$

# Transformer – Other Components

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## ■ Positional Encoding

### • Why Use Sine and Cosine?

- Using integers (1, 2, 3, ...) as positional indices is unstable for training.  
→ *As the sequence length grows, indices become very large, which makes learning difficult.*
- Using ratios in [0, 1] also fails.
  - ✓ For example, the value 0.9 represents the 9th element in a sequence of length 10, but the 90th element in a sequence of length 100.  
→ *Thus, the same value has different meanings depending on sequence length.*
- Using binary vectors (e.g., [0, 1, 0, 1, 0, 0, 0, 1]) can also cause problems.  
→ *In high-dimensional spaces, distance metrics may treat them inconsistently, leading to incorrect similarity judgments.*
- Instead, sine and cosine functions provide **continuous, smooth, and periodic signals** that are stable across different sequence lengths.

# Transformer – Other Components

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## ■ Positional Encoding

- Why Use Sine and Cosine?

- Using both of sine and cosine.

→ If we only use sine (or only cosine), ***positions can overlap*** because the function ***repeats values periodically***.

- Properties of Positional Encoding

- Sine and cosine positional encodings are used because they satisfy four essential conditions:
  - **Uniqueness** – Each token position is assigned a unique value.
  - **Consistency of distance** – The relative distance between tokens is preserved.
    - ✓ e.g., the difference between token 1 and token 2 is the same as between token 2 and token 3.
  - **Scalability** – The encoding can generalize to sequences longer than those seen during training, without causing errors.
  - **Predictability** – Since sine and cosine are deterministic functions, the position values can always be reconstructed.

# Transformer

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## ■ Why Self-Attention? – From Quantitative Analysis

- Traditional RNNs, LSTMs, and Seq2Seq models have been powerful, but **Transformers replaced them with Self-Attention**. Why?
- There are three main reasons.
  - 1. Computational Complexity per Layer

$n$ : Sequence length,  $d$ : Representation dimension,  $k$ : kernel size

Layer Type	Complexity per Layer	Sequential Operations	Maximum Path Length
Self-Attention	$O(n^2 \cdot d)$	$O(1)$	$O(1)$
Recurrent	$O(n \cdot d^2)$	$O(n)$	$O(n)$
Convolutional	$O(k \cdot n \cdot d^2)$	$O(1)$	$O(\log_k(n))$
Self-Attention (restricted)	$O(r \cdot n \cdot d)$	$O(1)$	$O(n/r)$

- ✓ **RNNs:** Complexity =  $O(n \cdot d^2)$ , because computation is sequential across all tokens.
- ✓ **Self-Attention:** Complexity =  $O(n^2 \cdot d)$ .
- ✓ When the sequence length  $n$  is not too large compared to the representation dimension  $d$ , Self-Attention is more efficient.
- ✓ For natural language tasks with vocab sizes in the thousands, representation dimensions like 256 or 512 are typical. In such cases, Self-Attention is often computationally better.

# Transformer

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## ■ Why Self-Attention? – *From Quantitative Analysis*

- Traditional RNNs, LSTMs, and Seq2Seq models have been powerful, but **Transformers replaced them with Self-Attention**. Why?
- **There are three main reasons.**
  - 2. Amount of Computation

$n$ : Sequence length,  $d$ : Representation dimension,  $k$ : kernel size

Layer Type	Complexity per Layer	Sequential Operations	Maximum Path Length
Self-Attention	$O(n^2 \cdot d)$	$O(1)$	$O(1)$
Recurrent	$O(n \cdot d^2)$	$O(n)$	$O(n)$
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Self-Attention (restricted)	$O(r \cdot n \cdot d)$	$O(1)$	$O(n/r)$

- ✓ **RNNs:** Must process tokens sequentially — computation takes  $O(n)$ .
- ✓ **Self-Attention:** Can process all positions **in parallel**, because all Attention Scores can be computed at once.
- ✓ This parallelism makes Self-Attention significantly faster in modern GPU/TPU systems.

# Transformer

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## ■ Why Self-Attention? – *From Quantitative Analysis*

- Traditional RNNs, LSTMs, and Seq2Seq models have been powerful, but **Transformers replaced them with Self-Attention**. Why?
- There are three main reasons.
  - 3. Path Length for Long-Range Dependencies

$n$ : Sequence length,  $d$ : Representation dimension,  $k$ : kernel size

Layer Type	Complexity per Layer	Sequential Operations	Maximum Path Length
Self-Attention	$O(n^2 \cdot d)$	$O(1)$	$O(1)$
Recurrent	$O(n \cdot d^2)$	$O(n)$	$O(n)$
Convolutional	$O(k \cdot n \cdot d^2)$	$O(1)$	$O(\log_k(n))$
Self-Attention (restricted)	$O(r \cdot n \cdot d)$	$O(1)$	$O(n/r)$

- ✓ RNNs struggle with **long-range dependencies** because information must flow through many recurrent steps.
  - Maximum Path Length =  $O(n)$ .
- ✓ Self-Attention directly connects all tokens, so the path length =  $O(1)$ .
- ✓ This allows Transformers to **capture long-distance relationships** much more effectively than RNNs or CNNs.

- 
- What is attention map?
    - What kinds of information does the attention map include?