

# Inferencing Issues: prune irrelevant hidden nodes

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# The point of deep learning frameworks

- (1) Quick to develop and test new ideas
- (2) Automatically compute gradients
- (3) Run it all efficiently on GPU (wrap cuDNN, cuBLAS, OpenCL, etc)

# PyTorch: Fundamental Concepts

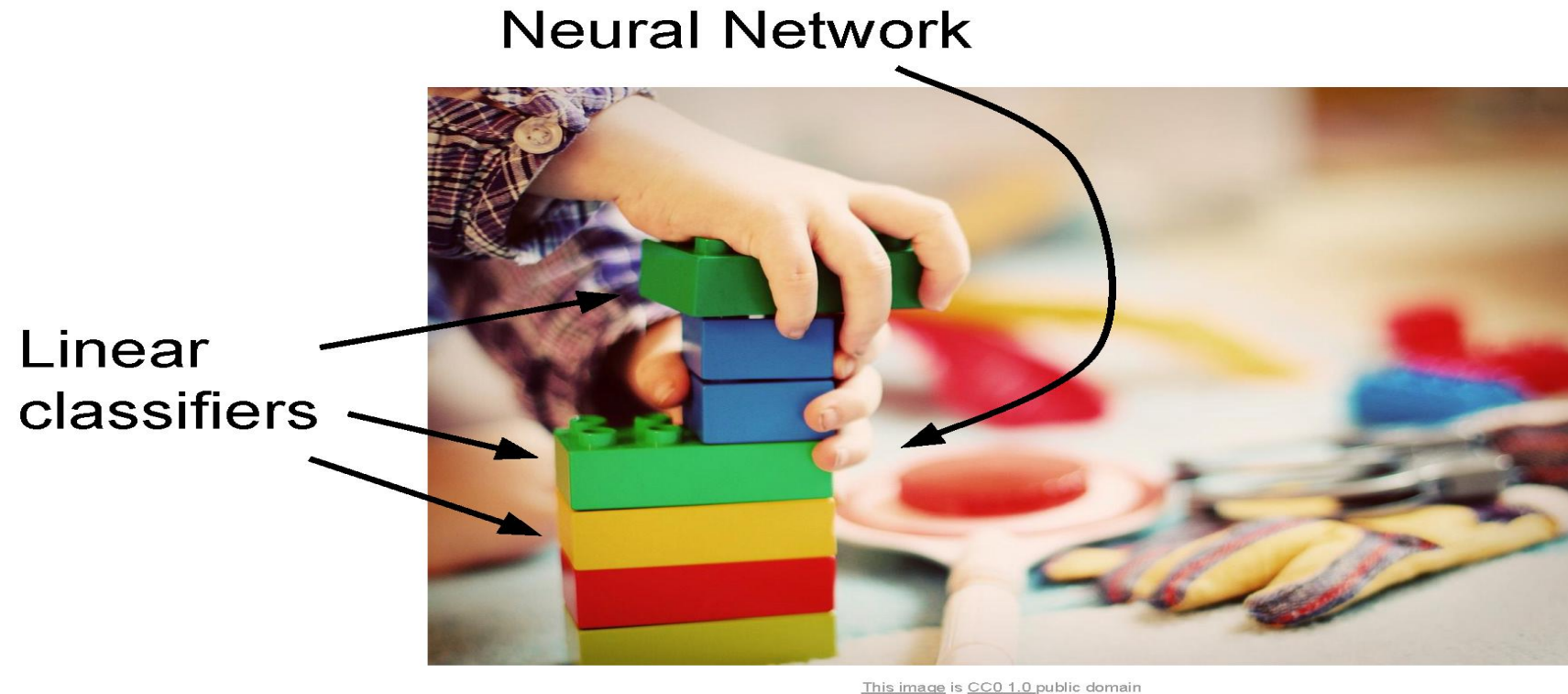
**Tensor:** Like a numpy array, but can run on GPU

**Autograd:** Package for building computational graphs out of Tensors, and automatically computing gradients

**Module:** A neural network layer; may store state or learnable weights

Where we are now...

# Developing a new AI system is like playing with Lego – lots of (pre-built or self-built) modules

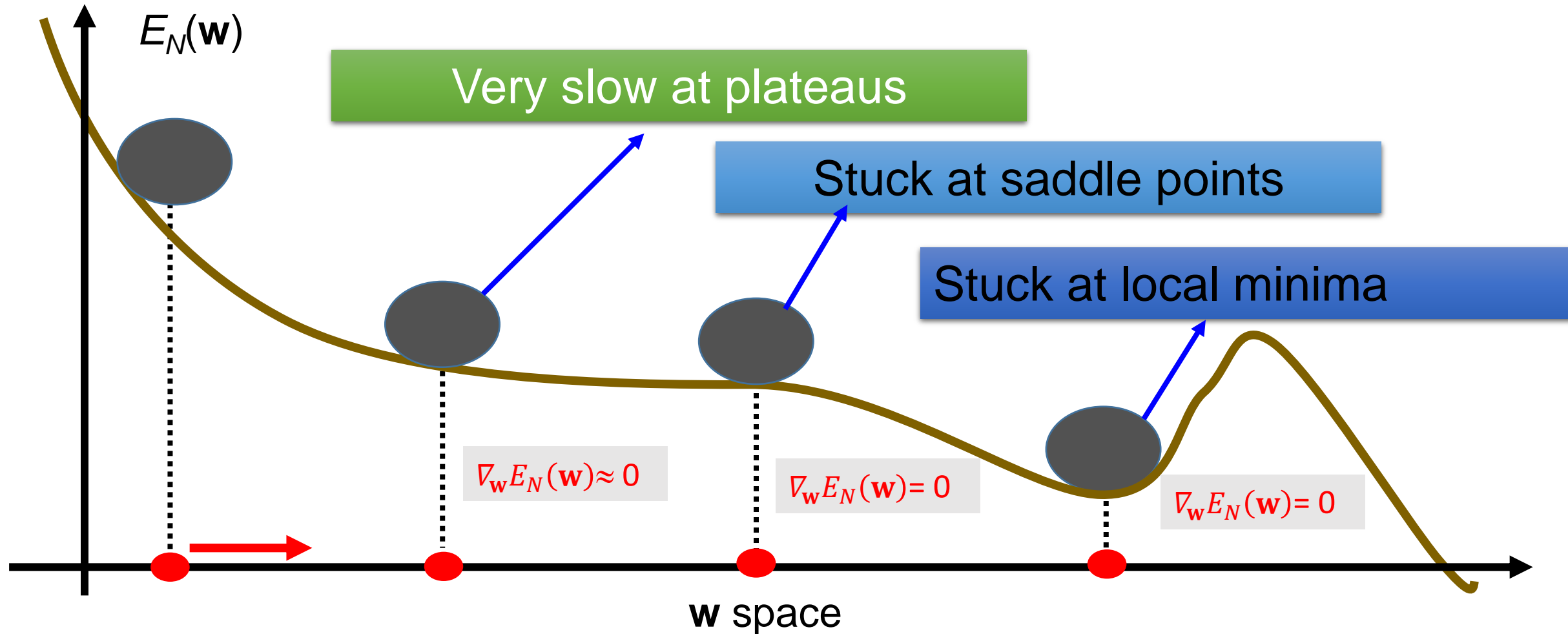


Where we are now...

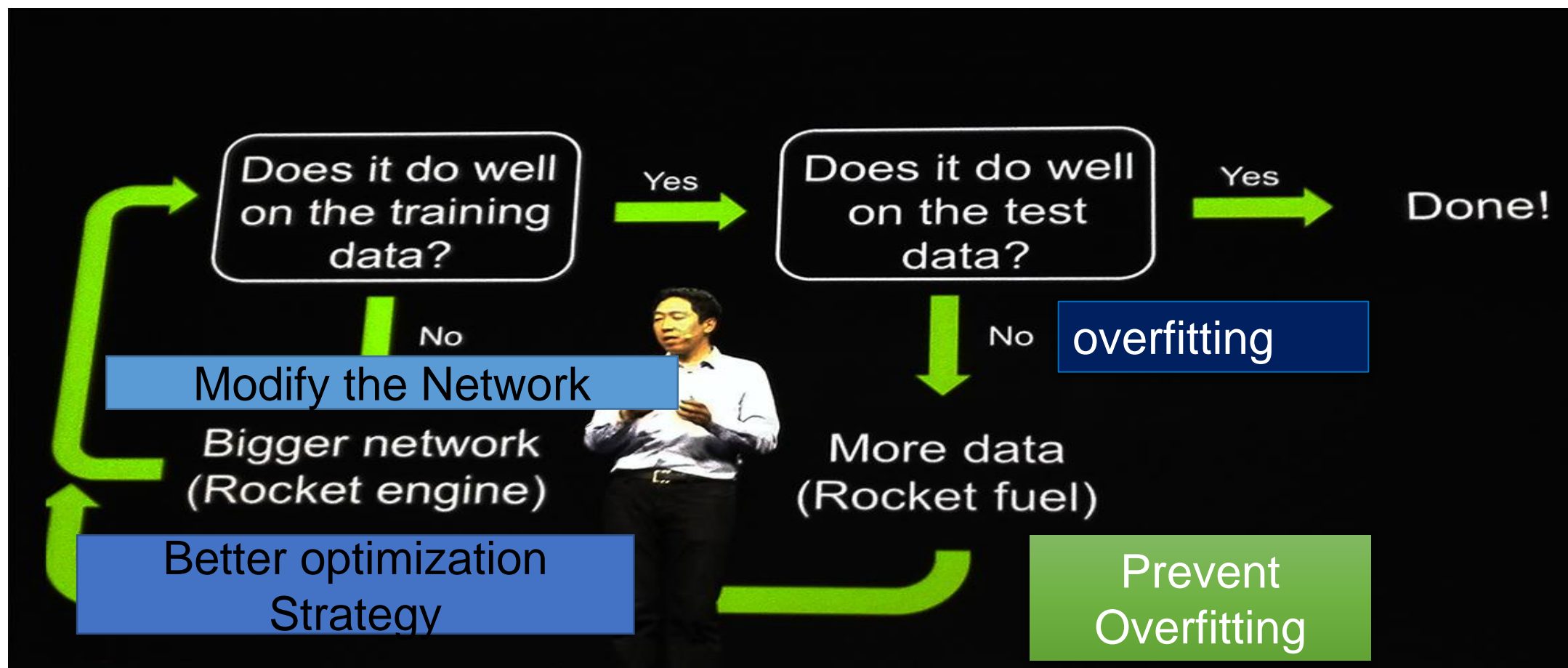
ideas/concepts →  
modules →  
learning algorithm →  
codes →  
intelligent systems

Where we are now...

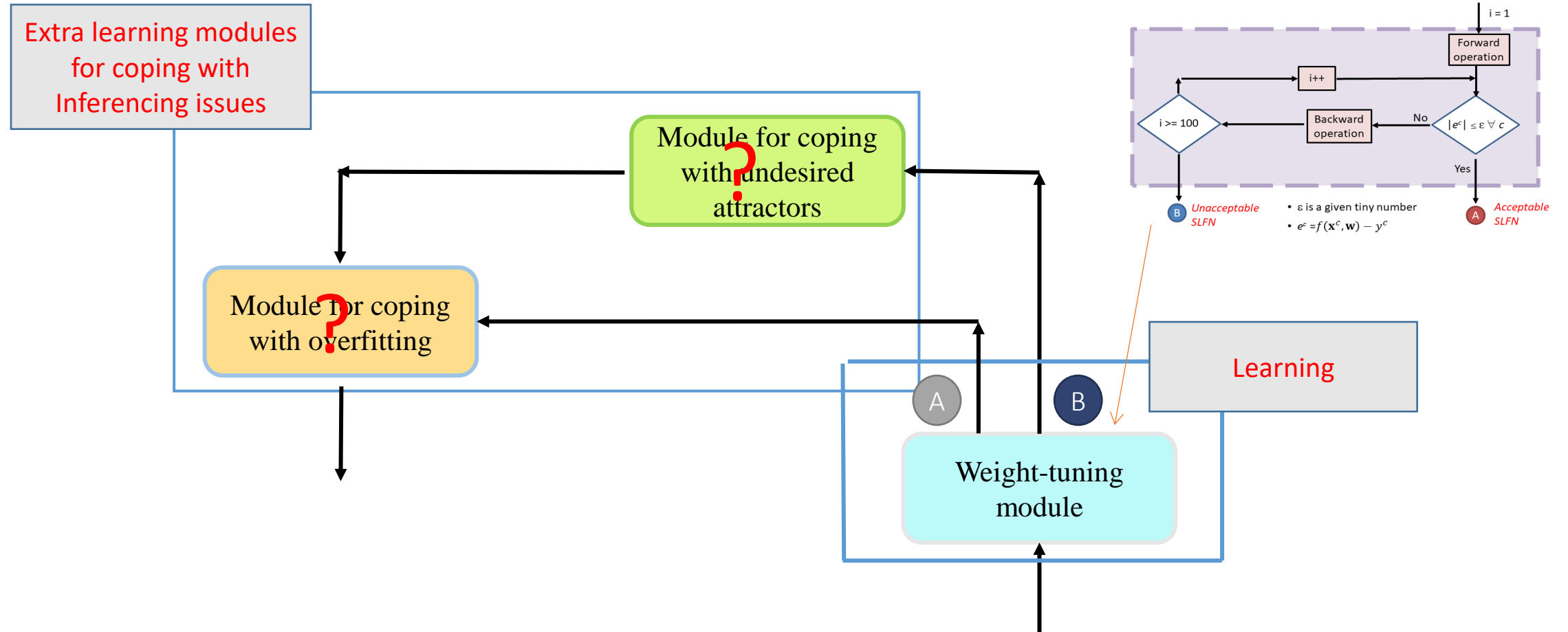
You need to deal with undesired attractors. Not only for the purpose of learning, but of inferencing.



# Recipe for Deep Learning



# Inferencing Issues





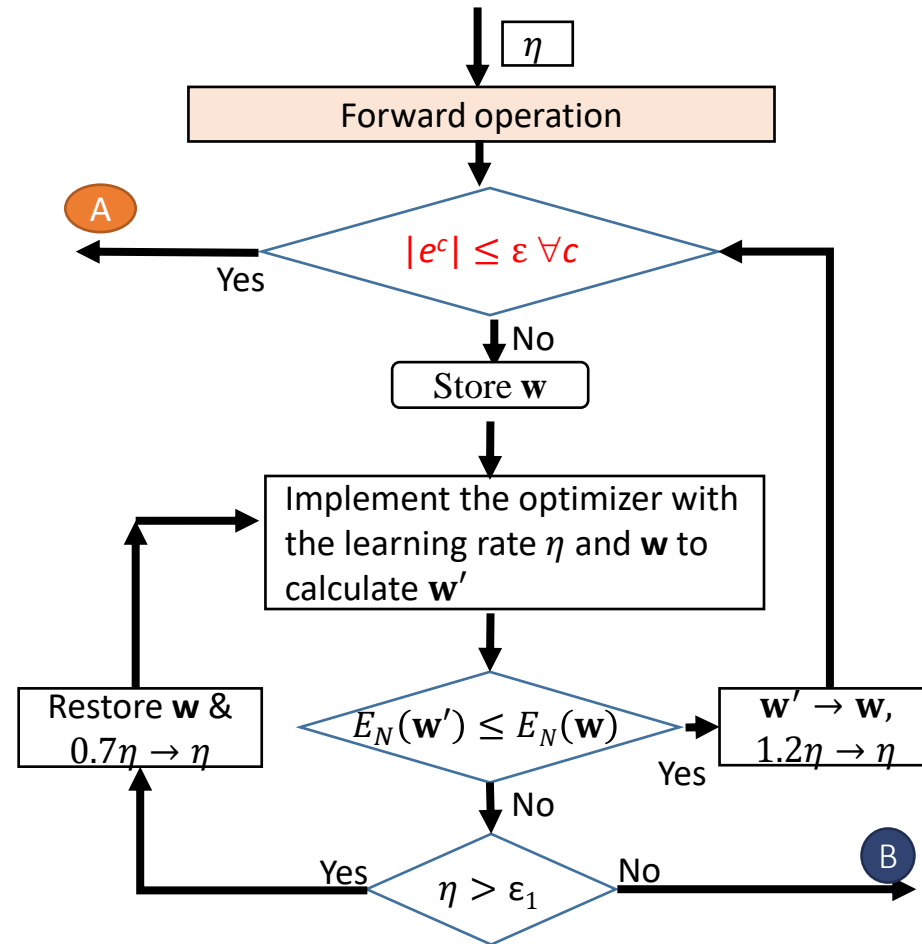
Where we are now...

## The weight-tuning module

- helps tune weights to obtain an acceptable SLFN.

Hyperparameters:

- Optimizer
- $\varepsilon$  &  $\varepsilon_1$
- 1.2 & 0.7



# Overfitting due to **big weights**

- To penalize big weights, there is a regularization term in the loss function:

- decay term: tiny  $\lambda$
- Regularization term: arbitrary  $\lambda$

$$E_N(\mathbf{w}) \equiv \frac{1}{N} \sum_{c=1}^N (f(\mathbf{x}^c, \mathbf{w}) - y^c)^2 + \lambda \|\mathbf{w}\|^2$$

- The weight decay coefficient  $\lambda$  determines how dominant the regularization is during gradient computation
- Big weight decay coefficient  $\rightarrow$  big penalty for big weights
- The above is the L2 regularization term
- L1 regularization:  $\lambda |\mathbf{w}|$
- Elastic net (L1 + L2)

# Regularization

$\lambda$  = regularization strength  
(hyperparameter)

$$L(W) = \underbrace{\frac{1}{N} \sum_{i=1}^N L_i(f(x_i, W), y_i)}_{\text{Data loss}} + \underbrace{\lambda R(W)}_{\text{Regularization}}$$

With this  $L(\mathbf{w})$ , the learning process tries to make sure (1)  $|e^c| \leq \epsilon \forall c$  and (2) a smaller  $\mathbf{w}$

**Data loss:** Model predictions should match training data

**Regularization:** Prevent the model from doing *too* well on training data

Why regularize?

- Express preferences over weights
- Make the model *simple* so it works on test data
- Improve optimization by adding curvature

Where we are now...

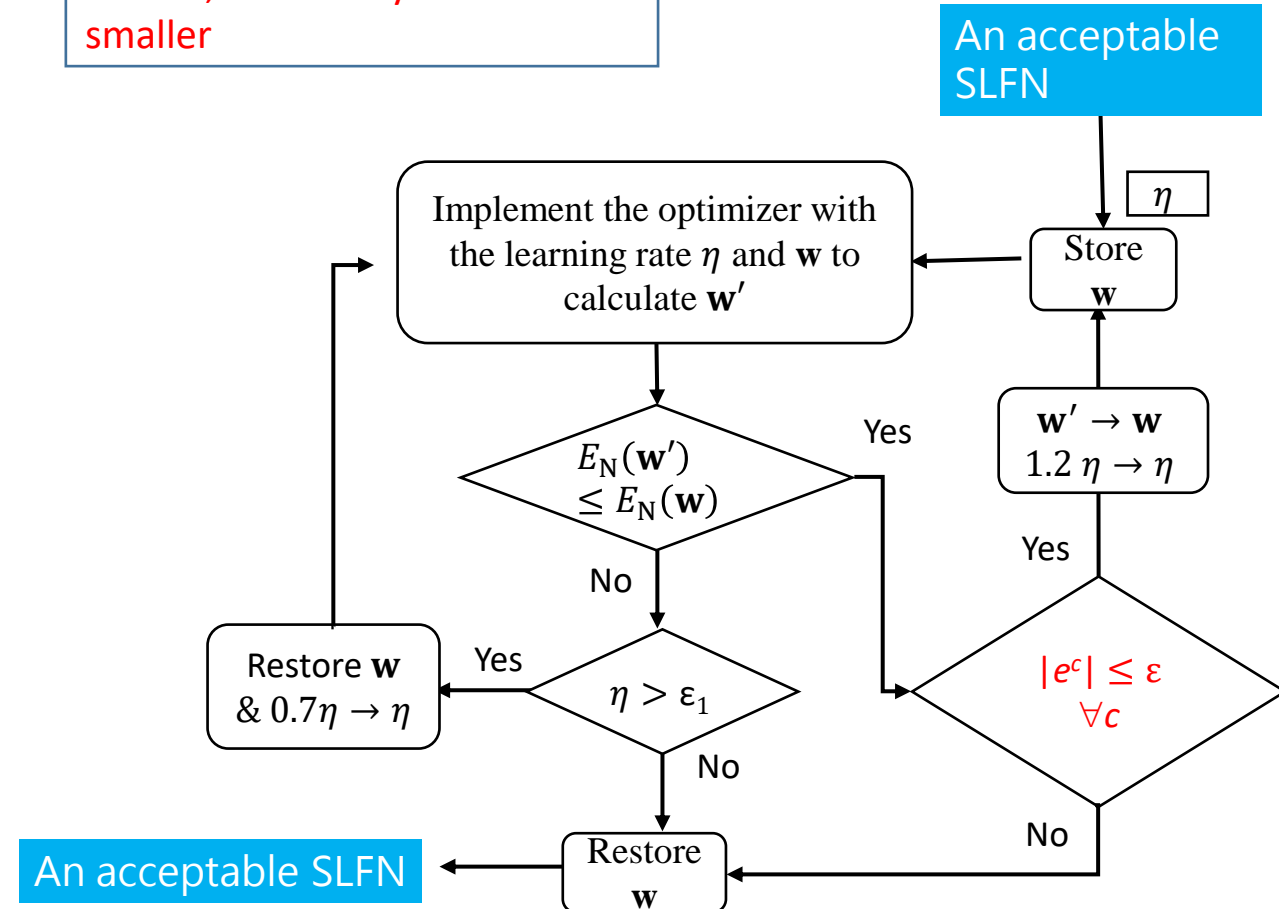
## The regularizing module

- helps further regularize weights after obtaining an acceptable SLFN.

### Hyperparameters:

- Optimizer
- 0.001
- $\varepsilon$  &  $\varepsilon_1$
- 1.2 & 0.7

For an acceptable SLFN,  $|e^c| \leq \varepsilon \forall c$ , but  $\mathbf{w}$  may not be smaller



$$E_N(\mathbf{w}) \equiv \frac{\sum_c (f(\mathbf{x}^c, \mathbf{w}) - y^c)^2}{N} + \frac{0.001}{p+1+p(m+1)} \left( \sum_{i=0}^p (w_i^0)^2 + \sum_{i=1}^p \sum_{j=0}^m (w_{ij}^H)^2 \right)$$

Where we are now...

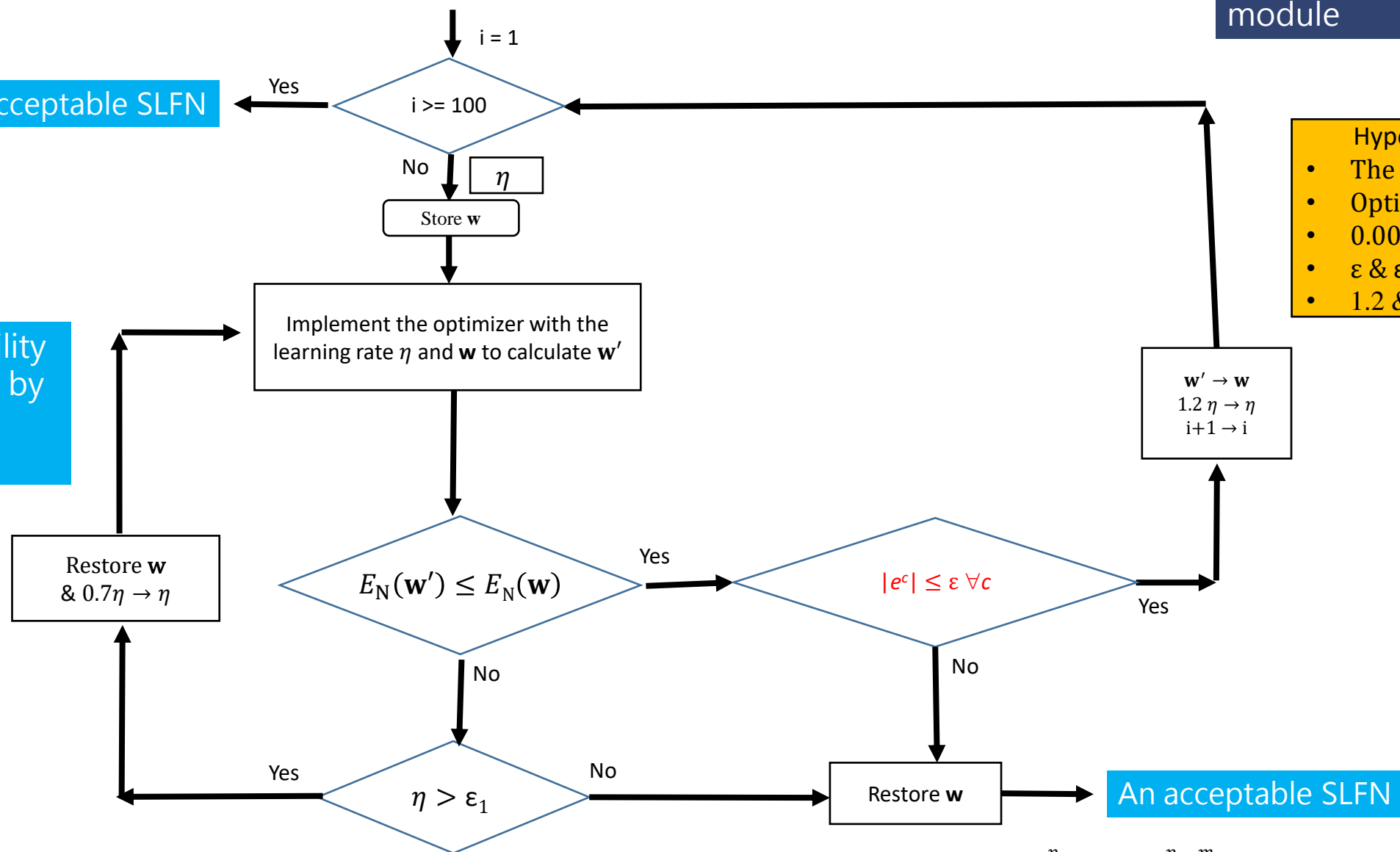
An acceptable SLFN

The regularizing module

Hyperparameters:

- The epoch constraint
- Optimizer
- 0.001
- $\varepsilon$  &  $\varepsilon_1$
- 1.2 & 0.7

The acceptability is determined by the criterion:  
 $|e^c| \leq \varepsilon \forall c$



$$E_N(\mathbf{w}) \equiv \frac{\sum_c (f(\mathbf{x}^c, \mathbf{w}) - y^c)^2}{N} + \frac{0.001}{p+1+p(m+1)} \left( \sum_{i=0}^p (w_i^0)^2 + \sum_{i=1}^p \sum_{j=0}^m (w_{ij}^H)^2 \right)$$

# In the learning process

- **The weight-tuning module helps tune weights to decrease the data error to obtain an acceptable SLFN.**
- **After obtaining an acceptable SLFN, the regularizing module with the regularization term helps further regularize weights while keeping the data error within the tolerance.**
- **A well-regularized SLFN can reduce the overfitting tendency.**

# In practice:

- **Adam** is a good default choice in many cases; it often works ok even with constant learning rate
- **SGD+Momentum** can outperform Adam but may require more tuning of LR and schedule
  - Try cosine schedule, very few hyperparameters!
- If you can afford to do full batch updates then try out **L-BFGS** (and don't forget to disable all sources of noise)

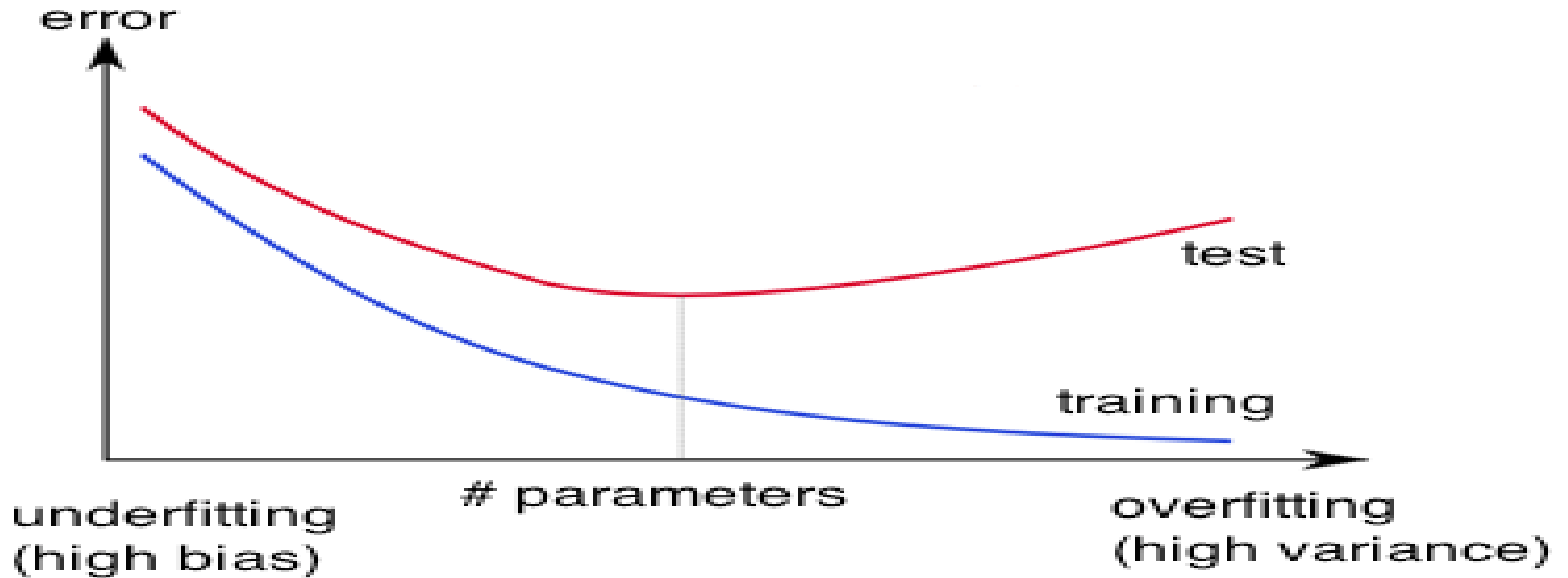
In the learning process

**Q: Which optimizer does better in the regularizing module?**



Where we are now...

# Overfitting due to **too many hidden nodes**

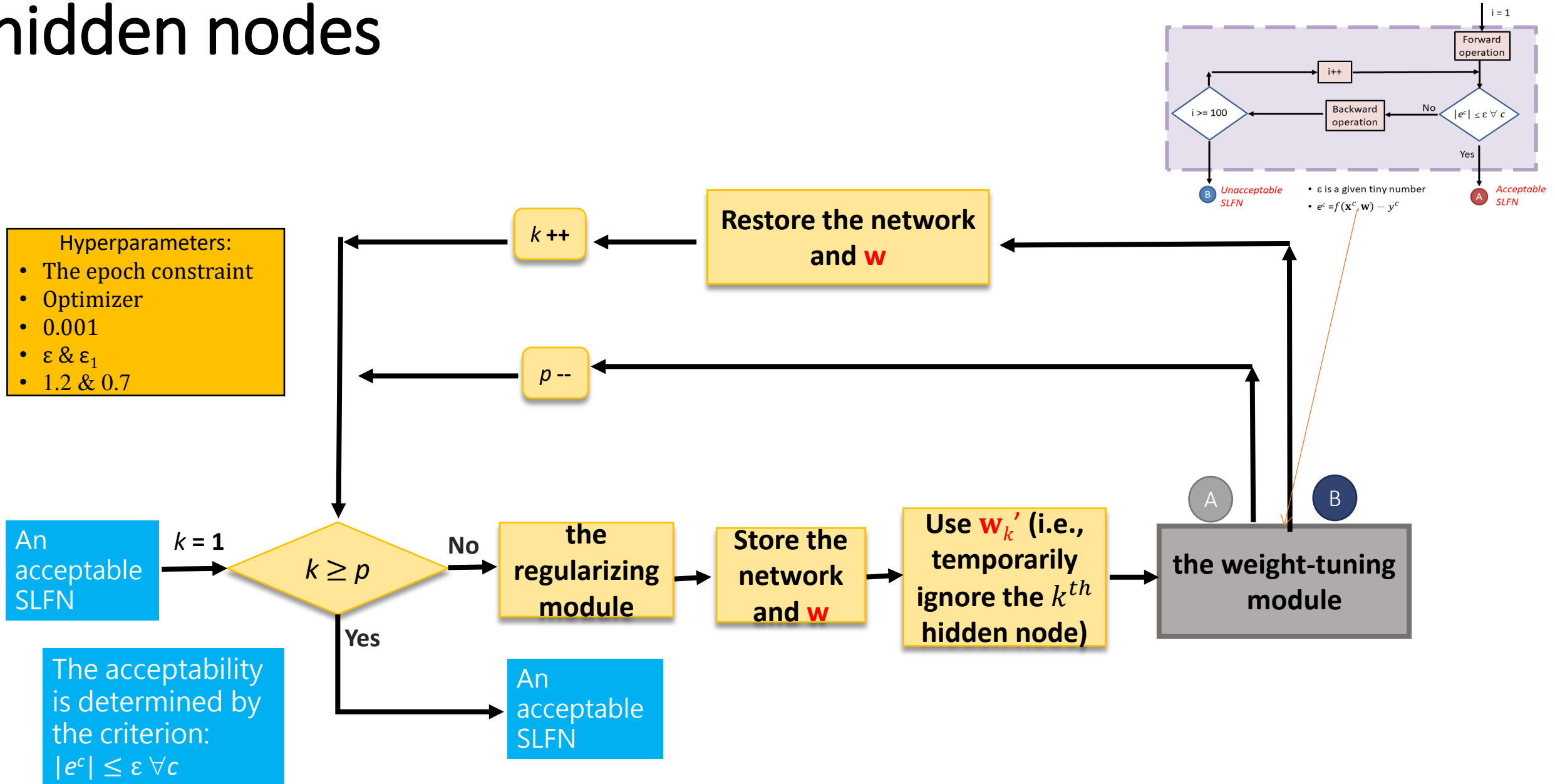


[https://www.neuraldesigner.com/images/learning/selection\\_error.svg](https://www.neuraldesigner.com/images/learning/selection_error.svg)

# irrelevant hidden nodes & potentially irrelevant hidden nodes

- The hidden node that can be pruned without making the learning goal unsatisfied is an *irrelevant hidden node*. (Tsaih, 1993)
- For the SLFN with the  $\mathbf{w}$ , the  $i^{\text{th}}$  hidden node is *potentially irrelevant* if the learning goal can be accomplished via minimizing  $E_N(\mathbf{w}'_i)$ , where  $\mathbf{w}'_i \equiv \mathbf{w} - \{w_i^O, w_{i0}^H, \mathbf{w}_i^H\}$  and  $f(\mathbf{x}^c, \mathbf{w}'_i) \equiv \sum_{k \neq i} w_k^O a_k^c \quad \forall c$ . (Tsaih, 1993)
- Develop the *reorganizing module* that helps identify and remove the *potentially irrelevant hidden node*.

# The reorganizing module that one by one examines all hidden nodes



# Indexes and Parameters

$m$	單筆輸入資料中共有 $m$ 個變數，即SLFN模型中共有 $m$ 個輸入節點
$p$	SLFN模型共有 $p$ 個隱藏節點
$w_i^o$	第 $i$ 個隱藏節點與輸出節點之間的激發值之權重，上標 $o$ 表示該變數與輸出層相關
$\mathbf{w}^o = (w_1^o, w_2^o, \dots, w_p^o)^T$	所有隱藏節點與輸出節點之間的激發值之權重的向量， $((\cdot)^T$ 為矩陣 $(\cdot)$ 的轉置矩陣)
$w_0^o$	為輸出節點之閾值
$w_{ij}^H$	為第 $j$ 個輸入節點與第 $i$ 個隱藏節點之間的權重，上標 $H$ 表示該變數與隱藏層相關
$\mathbf{w}_i^H = (w_{i1}^H, w_{i2}^H, \dots, w_{im}^H)^T$	第 $i$ 個隱藏節點與所有輸入節點即輸入層之間的權重之向量
$\mathbf{W}^H = (\mathbf{w}_1^H, \mathbf{w}_2^H, \dots, \mathbf{w}_p^H)^T$	所有隱藏節點的權重的矩陣，即隱藏層與輸入層之間的權重的矩陣
$w_{i0}^H$	第 $i$ 個隱藏節點之閾值
$\mathbf{w}_0^H = (w_{1,0}^H, w_{2,0}^H, \dots, w_{p,0}^H)^T$	所有隱藏節點的閾值之向量
$\mathbf{x}^c \equiv (x_1^c, x_2^c, \dots, x_m^c)^T$	the input vector of the $c^{\text{th}}$ case
$\mathbf{a}^c \equiv (a_1^c, a_2^c, \dots, a_m^c)^T$	the hidden activation vector of the $c^{\text{th}}$ case
$y^c$	the desired output associated with $\mathbf{x}^c$

# irrelevant hidden nodes & potentially irrelevant hidden nodes

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- Use the principal component analysis (PCA) to help identify the *potentially irrelevant hidden node*.

# Principal Component Analysis

(Wold, Esbensen, & Geladi, 1987; Jolliffe, 2020)

$$(\mathbf{M} - \lambda \mathbf{I})\boldsymbol{\alpha} = \mathbf{0}$$

$$\lambda = \begin{bmatrix} \lambda_1 \\ \vdots \\ \lambda_i \end{bmatrix} \text{ (Score Vector)} \quad \boldsymbol{\alpha} = \begin{bmatrix} \alpha'_{11} & \dots & \alpha'_{1m} \\ \vdots & \ddots & \vdots \\ \alpha'_{i1} & \dots & \alpha'_{im} \end{bmatrix} \text{ (Loading Vector)}$$

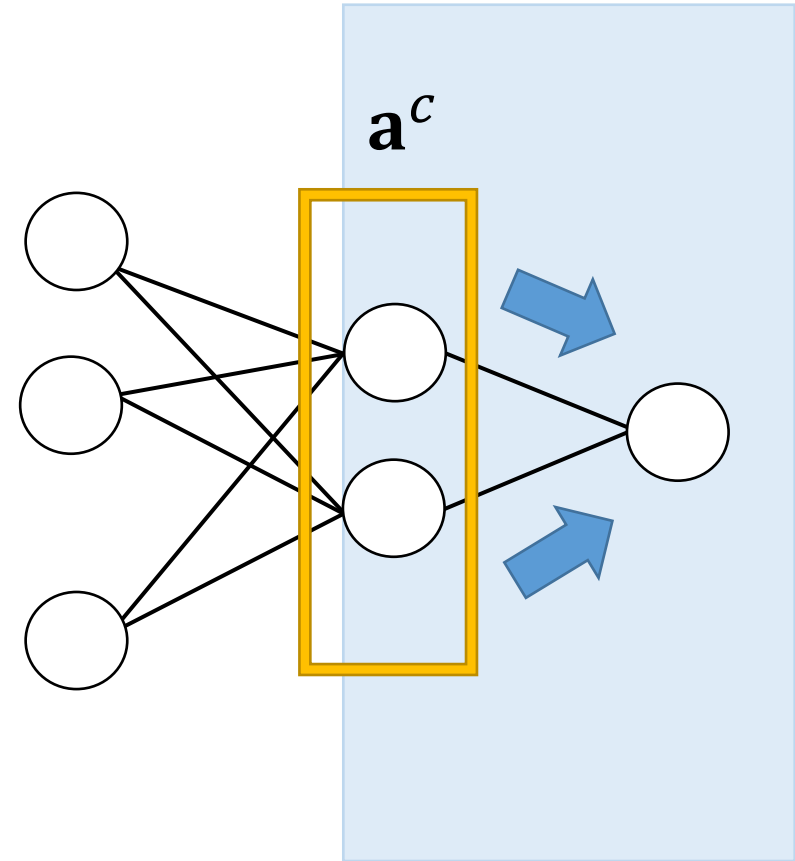
$$pc_i = \alpha'_{i1}x_1 + \alpha'_{i2}x_2 + \dots + \alpha'_{im}x_m = \sum_j^m \alpha'_{ij}x_j \quad \forall 1 \leq i \leq h, 1 \leq j \leq m$$

# Principal Component Analysis, PCA

1. Standardize the data set  $\{\mathbf{x}^c\}$  with mean = 0 and variance = 1 to get the data set  $\{\hat{\mathbf{x}}^c\}$ . May not be necessary
2. Compute the covariance matrix  $\mathbf{M}$  of the data set  $\hat{\mathbf{x}}^c$ .
3. Obtain the eigenvectors and eigenvalues from the covariance matrix  $\mathbf{M}$ .
4. Sort eigenvalues in descending order and choose the top  $h$  eigenvectors that correspond to the  $h$  largest eigenvalues.
5. Construct the projection matrix  $\alpha$  from the selected  $h$  eigenvectors.
6. Transform the data set  $\hat{\mathbf{x}}^c$  via  $\alpha$  to obtain the new  $h$ -dimensional feature subspace.

# The PCA application to SLFN

- Standardize the data set  $\{\mathbf{a}^c\}$  with mean = 0 and variance = 1 to get the data set  $\{\hat{\mathbf{a}}^c\}$ . May not be necessary
- Apply PCA to  $\{\hat{\mathbf{a}}^c\}$  to generate principal components (PCs) denoted as  $pc_i$ .
- Select the  $h$  top significant  $pc_i$  at the criterion of 85% of total explanation ability satisfied.





# PCA

$$\begin{aligned}
 f' &= \beta_1 pc_1 + \beta_2 pc_2 + \beta_3 pc_3 + \dots \\
 \bullet \quad pc_1 &= \alpha'_{11}\hat{a}_1 + \dots + \alpha'_{1p}\hat{a}_p \\
 \bullet \quad pc_2 &= \alpha'_{21}\hat{a}_1 + \dots + \alpha'_{2p}\hat{a}_p \\
 \bullet \quad pc_3 &= \alpha'_{31}\hat{a}_1 + \dots + \alpha'_{3p}\hat{a}_p \\
 \bullet \quad pc_4 &= \alpha'_{41}\hat{a}_1 + \dots + \alpha'_{4p}\hat{a}_p \\
 \bullet \quad pc_5 &= \alpha'_{51}\hat{a}_1 + \dots + \alpha'_{5p}\hat{a}_p \\
 \bullet \quad pc_6 &= \alpha'_{61}\hat{a}_1 + \dots + \alpha'_{6p}\hat{a}_p
 \end{aligned}$$

Linear Regression

85% of total explanation ability

# PCA

$$\mathbf{f}' = \beta_1 \times \boxed{\alpha'_{11}\hat{a}_1 + \cdots + \alpha'_{1p}\hat{a}_p} + \beta_2 \times \boxed{\alpha'_{21}\hat{a}_1 + \cdots + \alpha'_{2p}\hat{a}_p} + \beta_3 \times \boxed{\alpha'_{31}\hat{a}_1 + \cdots + \alpha'_{3p}\hat{a}_p}$$



$$\mathbf{f}' = \boxed{\beta_1\alpha'_{11} + \beta_2\alpha'_{21} + \beta_3\alpha'_{31}} \times \hat{a}_1 + \boxed{\beta_1\alpha'_{12} + \beta_2\alpha'_{22} + \beta_3\alpha'_{32}} \times \hat{a}_2 + \cdots + \boxed{\beta_1\alpha'_{1p} + \beta_2\alpha'_{2p} + \beta_3\alpha'_{3p}} \times \hat{a}_p$$

# PCA

$$f' = \beta_1 \times [\alpha'_{11}\hat{a}_1 + \dots + \alpha'_{1p}\hat{a}_p] + \beta_2 \times [\alpha'_{21}\hat{a}_1 + \dots + \alpha'_{2p}\hat{a}_p] + \beta_3 \times [\alpha'_{31}\hat{a}_1 + \dots + \alpha'_{3p}\hat{a}_p]$$

Let  $f' = \omega_1 \hat{a}_1 + \omega_2 \hat{a}_2 + \dots + \omega_p \hat{a}_p$

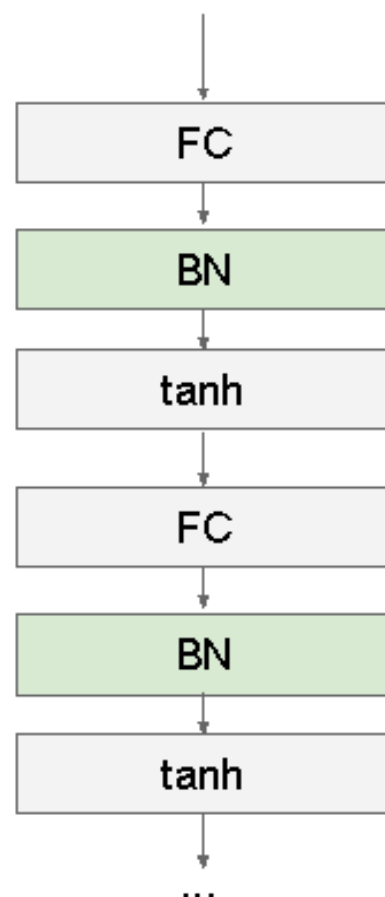
- Let  $k = \operatorname{argmin}_i |\omega_i|$ .

- Obtain  $\mathbf{w}'_k = \mathbf{w} - \{w_k^o, w_{k0}^H, \mathbf{w}_k^H\}$

$$f' = \underbrace{\beta_1 \alpha'_{11} + \beta_2 \alpha'_{21} + \beta_3 \alpha'_{31}}_{\text{red underline}} \times \hat{a}_1 + \underbrace{\beta_1 \alpha'_{12} + \beta_2 \alpha'_{22} + \beta_3 \alpha'_{32}}_{\text{red underline}} \times \hat{a}_2 + \dots + \underbrace{\beta_1 \alpha'_{1p} + \beta_2 \alpha'_{2p} + \beta_3 \alpha'_{3p}}_{\text{red underline}} \times \hat{a}_p$$

# Batch Normalization

[Ioffe and Szegedy, 2015]

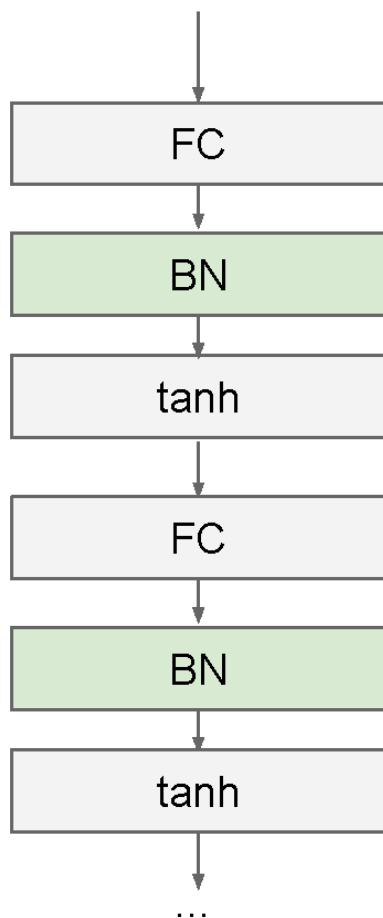


Usually inserted after Fully Connected or Convolutional layers, and before nonlinearity.

$$\hat{x}^{(k)} = \frac{x^{(k)} - \mathbb{E}[x^{(k)}]}{\sqrt{\text{Var}[x^{(k)}]}}$$

# Batch Normalization

[Ioffe and Szegedy, 2015]

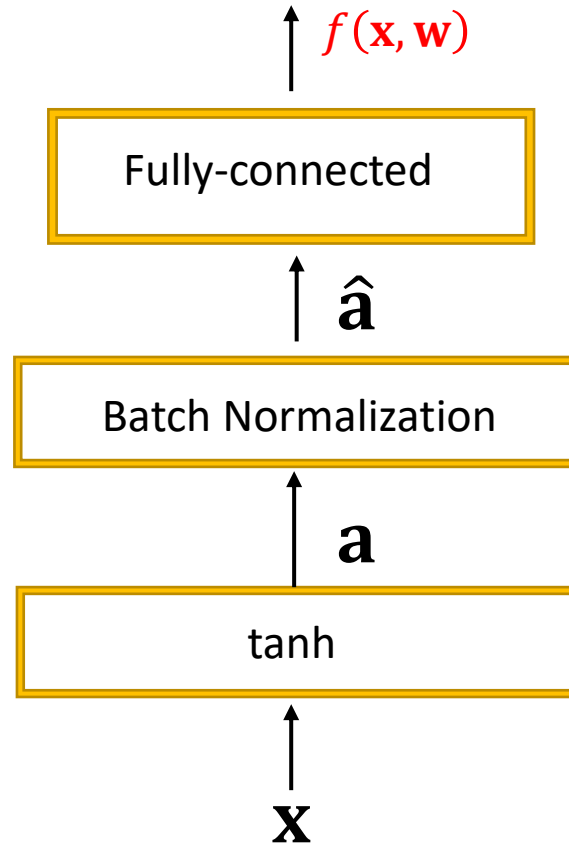


- Makes deep networks **much** easier to train!
- Improves gradient flow
- Allows higher learning rates, faster convergence
- Networks become more robust to initialization
- Acts as regularization during training
- Zero overhead at test-time: can be fused with conv!
- Behaves differently during training and testing: this is a very common source of bugs!

# The SLFN with BN for PCA

In the reorganizing module, Batch Normalization is inserted after the nonlinearity layer and before the FC layer.

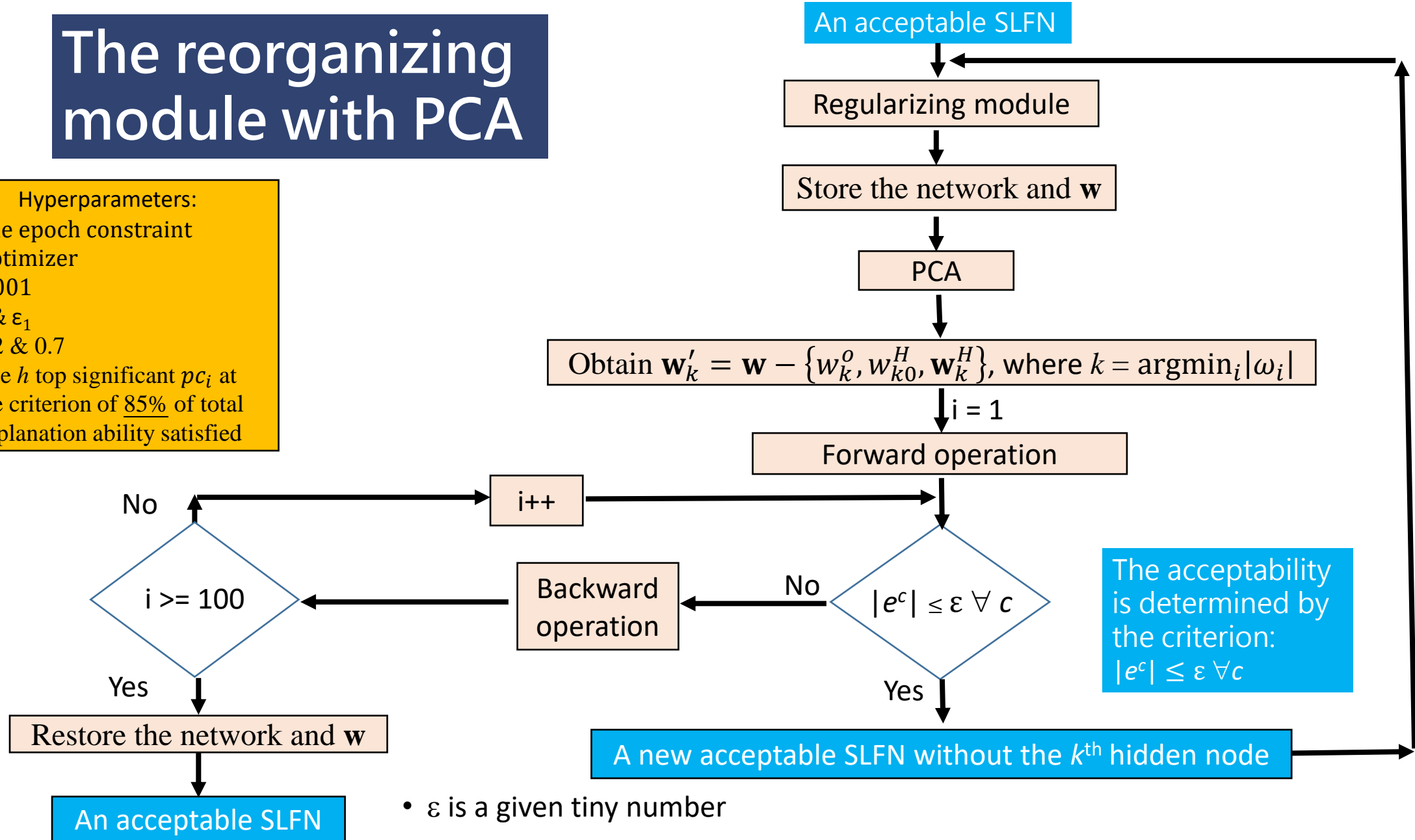
This may not be necessary.



# The reorganizing module with PCA

## Hyperparameters:

- The epoch constraint
- Optimizer
- 0.001
- $\varepsilon$  &  $\varepsilon_1$
- 1.2 & 0.7
- The  $h$  top significant  $pc_i$  at the criterion of 85% of total explanation ability satisfied



- $\varepsilon$  is a given tiny number
- $e^c = f(\mathbf{x}^c, \mathbf{w}) - y^c$

## Homework #5

Write down the code of the **reorganizing** module that helps identify and remove the potential irrelevant hidden node.